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# Assessment of turbulence closure models for resonant inertial response in the oceanic mixed layer using a large eddy simulation model

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Abstract Large eddy simulation (LES) of the resonant inertial response of the upper ocean to strong wind forcing is carried out; the results are used to evaluate the performance of each of the two second-order turbulence closure models presented by Mellor and Yamada (Rev Geophys Space Phys 20:851–875, [1982\)](#page-8-0) (MY) and by Nakanishi and Niino (J Meteorol Soc Jpn 87:895–912, [2009](#page-8-0)) (NN). The major difference between MY and NN is in the formulation of the stability functions and the turbulent length scale, both strongly linked with turbulent fluxes; in particular, the turbulent length scale in NN, unlike that in MY, is allowed to decrease with increasing density stratification. We find that MY underestimates and NN overestimates the development of mixed layer features, for example, the strong entrainment at the base of the oceanic mixed layer and the accompanying decrease of sea surface temperature. Considering that the stability functions in NN perform better than those in MY in reproducing the vertical structure of turbulent heat flux, we slightly modify NN to find that the discrepancy between LES and NN can be reduced by more strongly restricting the turbulent length scale with increasing density stratification.

Keywords Large eddy simulation - Turbulence closure model - Oceanic mixed layer - Inertial oscillation - Sea surface temperature

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#### 1 Introduction

Turbulent processes in the oceanic mixed layer are of crucial importance in regulating the temperature and velocity fields in the upper ocean, thus controlling atmosphere–ocean interactions leading to climate changes. Accurate parameterization of subgrid-scale upper ocean processes must therefore be incorporated into oceanic general circulation models and/or coupled atmosphere– ocean general circulation models.

Second-order turbulence closure models, for example, the Mellor–Yamada closure model (Mellor and Yamada [1974](#page-8-0), [1982\)](#page-8-0) and its modified versions have been widely incorporated into atmospheric and oceanic general circulation models. The Mellor–Yamada closure model, however, has been criticized for underestimation of mixing intensity leading to a warm sea surface temperature bias (Martin [1985](#page-8-0); Large and Crawford [1995](#page-8-0)) and a high relative humidity bias in the atmospheric boundary layer (Sun and Ogura [1980](#page-9-0); Turton and Brown [1987\)](#page-9-0). This deficiency motivated a number of studies attempting to improve the Mellor–Yamada closure model by incorporating data from field observations and laboratory experiments (e.g., Galperin et al. [1988;](#page-8-0) Mellor [2001](#page-8-0)), although the available data were somewhat limited to be reflected in the formulation of each turbulent quantity.

The performance of second-order turbulence closure models in reproducing the atmospheric and oceanic mixed layer processes can be more accurately evaluated through large eddy simulation (LES) studies that directly derive each turbulent quantity. Moeng and Wyngaard ([1986,](#page-8-0) [1989](#page-8-0)) carried out LES and showed the importance of buoyancy effects in parameterizing several turbulent quantities in the convectively driven atmospheric boundary layers. Nakanishi [\(2001\)](#page-8-0) and Nakanishi and Niino ([2004,](#page-8-0)

N. Furuichi (&) - T. Hibiya - Y. Niwa

[2006,](#page-8-0) [2009](#page-8-0)) carried out a series of LES studies of the atmospheric boundary layers to develop a turbulence closure model which has improved the shortcomings of the Mellor–Yamada closure model, for example, insufficient growth of the convective boundary layer; the improved model has been incorporated into meso-scale weather prediction models (Saito et al. [2007;](#page-8-0) Wang et al. [2011\)](#page-9-0) and atmospheric general circulation models (Watanabe et al. [2010\)](#page-9-0). LES has also been used to reproduce several upper ocean processes (Skyllingstad and Denbo [1995](#page-8-0); McWilliams et al. [1997](#page-8-0); Wang et al. [1998;](#page-9-0) Skyllingstad et al. [2000;](#page-8-0) Noh et al. [2004;](#page-8-0) Sullivan et al. [2007\)](#page-9-0), the results of which have sometimes been used to assess parameterized turbulent quantities for the oceanic mixed layer processes (Large and Gent [1999](#page-8-0); Skyllingstad et al. [2000](#page-8-0); Umlauf and Burchard [2005](#page-9-0); Noh et al. [2011\)](#page-8-0).

In this study, we evaluate the performance of the two second-order turbulence closure models of Mellor and Yamada [\(1982\)](#page-8-0) (MY) and Nakanishi and Niino [\(2009\)](#page-8-0) (NN) in reproducing the development of the oceanic mixed layer by inertially rotating wind stress forcing. We first perform LES for the resonant inertial response of the upper ocean; the excited large amplitude near-inertial oscillations lead to enhanced vertical shear causing strong turbulent mixing in the upper ocean, thus having dominant effects on sea surface temperature (Price et al. [1978;](#page-8-0) Shay et al. [1992](#page-8-0); Large and Crawford [1995](#page-8-0); Skyllingstad et al. [2000;](#page-8-0) Zedler et al. [2002;](#page-9-0) Furuichi et al. [2008\)](#page-8-0). Using our LES results as a reference, we next evaluate the performance of MY and NN. Special attention is directed to examining whether or not NN, the performance of which has been shown in the atmospheric boundary layer, can also reproduce the characteristic feature in oceanic mixed layer processes, namely, the evolution of turbulence associated with the enhancement of wind-induced inertial shear.

# 2 Numerical models

### 2.1 LES

The experimental design of LES in this study is essentially the same as that described by Skyllingstad et al. [\(2000](#page-8-0)), who successfully reproduced a typical resonant inertial response of the upper ocean to a traveling storm observed during the Ocean Storms Experiment (Large and Crawford [1995\)](#page-8-0). The filtered governing equations are given by:

$$
\left(\frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla\right) \mathbf{u} + \mathbf{f} \times \mathbf{u} = -\nabla \left(\frac{p}{\rho_0}\right) - \frac{g\rho'}{\rho_0} \hat{z} + SGS,
$$
\n
$$
\left(\frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla\right) \theta = SGS,
$$
\n
$$
\nabla \cdot \mathbf{u} = 0
$$

where  $u = (u, v, w)$  is the three-dimensional velocity vector,  $\theta$  is the potential temperature,  $f = f \hat{z}$  is the Coriolis parameter with  $\hat{z}$  the upward unit vector, p is the pressure, g is the acceleration due to gravity,  $\rho_0$  is the reference density, and  $\rho'$  is the density perturbation (hereafter, primed variables denote the resolved perturbations from the horizontal average in LES). The subgrid-scale turbulent processes (denoted by SGS) are parameterized using the filtered structure function approach of Ducros et al. [\(1996](#page-8-0)), because it has been confirmed to perform well in reproducing the observed development of the oceanic mixed layer under strong wind forcing (Skyllingstad et al. [2000](#page-8-0)). For simplicity, the salinity is assumed to be constant (32.8 psu) and no surface heat flux is applied. The effects of the Langmuir circulation (Craik and Leibovich [1976\)](#page-8-0) and of surface wave breaking on the development of the oceanic mixed layer are also ignored because they are likely to be much smaller than the effect of resonant wind stress forcing (Skyllingstad et al. [2000](#page-8-0); Noh et al. [2004](#page-8-0)). Throughout this study, the local inertial frequency at  $45^{\circ}$ N  $(f_{45})$  is assumed.

A cyclic boundary condition is used at the lateral boundaries, whereas a rigid boundary condition is used at both the top and bottom. To eliminate the effects of reflected waves from the bottom rigid boundary, a sponge layer is introduced within 15 m of the bottom. To solve the governing equations, we use a Fourier expansion in the horizontal directions and a centered finite difference in the vertical direction with the fourth-order Runge–Kutta time stepping method.

The wind stress forcing  $\tau$  is prescribed as:

$$
\tau = (\tau_x, \tau_y) = A_0 \sin^2(\pi t / t_d)(\cos \omega t, -\sin \omega t)
$$

where  $\omega$  represents a constant angular rotation rate,  $A_0 = 1.4$  Nm<sup>-2</sup> is the maximum wind stress, and  $t<sub>d</sub> = 24$  h is the storm duration. We carry out two kinds of LES with  $\omega = f_{45}$  (resonant case, Fig. [1a](#page-2-0)) and  $\omega = 0$  s<sup>-1</sup> (off-resonant case) to see how the evolution of the oceanic mixed layer is linked with resonant inertial oscillations. The initial background temperature field is horizontally uniform and mimics the typical stratification in the North Pacific during fall (Fig. [1](#page-2-0)b), whereas the initial turbulent velocity field is assumed to be a superposition of small random perturbations having the horizontal average of kinetic energy  $q_0^2 \exp(z/H)$  with  $q_0^2 = 10^{-10}$  m<sup>2</sup> s<sup>-2</sup> and  $H = 20$  m. The model domain is 200 m in the horizontal and 108 m in the vertical with a grid resolution  $\Delta x = \Delta y = \Delta z = 0.8$  m, much higher than used by Skyllingstad et al. [\(2000\)](#page-8-0) (2 m). The numerical simulations are carried out for 24 h with a time step of 0.5 s.

It should be noted that the results of LES, in particular, the turbulent heat flux and the energy dissipation rate,

<span id="page-2-0"></span>

Fig. 1 a Time series of wind stress forcing for  $\omega = f_{45}$ . b Vertical structure of initial temperature profile

might be affected by grid resolution. To see the sensitivity of the calculated results to grid resolution, therefore, an additional LES is carried out for the resonant case using  $\Delta x = \Delta y = \Delta z = 0.5$  m for 12 h.

# 2.2 One-dimensional turbulence closure models

In this study, we evaluate a simplified Level 2.5 version of MY (Mellor [2001](#page-8-0), [2003](#page-8-0)) and the Level 2.5 version of NN (Nakanishi and Niino [2009](#page-8-0)). Because these turbulence closure models have already been described in detail by the above authors, only a brief description is given below.

The turbulence closure models express turbulent fluxes as:

$$
UF = \langle w^* u^* \rangle = -q I S_M \frac{\partial U}{\partial z}, \quad VF = \langle w^* v^* \rangle = -q I S_M \frac{\partial V}{\partial z},
$$
  

$$
HF = \langle w^* \theta^* \rangle = -q I S_H \frac{\partial \Theta}{\partial z}, \tag{1}
$$

where  $\langle \rangle$  denotes ensemble average, U and V are the ensemble-averaged horizontal velocities,  $\Theta$  is the ensemble-averaged potential temperature,  $u^*$ ,  $v^*$ ,  $w^*$ , and  $\theta^*$  are the turbulent components of velocity and temperature, respectively,  $q^2/2$  is the turbulent kinetic energy, l is the turbulent length scale, and  $S_M$  and  $S_H$  are the stability functions.

The stability functions  $S_H$  and  $S_M$  in MY are given by

$$
S_{\rm H} = \frac{A_2(1 - 6A_1/B_1)}{1 - (3A_2B_2 + 18A_1A_2)G_{\rm H}},
$$
\n
$$
S_{\rm M} = \frac{(18A_1^2 + 9A_1A_2)S_{\rm H}G_{\rm H} + A_1(1 - 3C_1 - 6A_1/B_1)}{1 - 9A_1A_2G_{\rm H}},
$$
\n(2b)

where

$$
G_{\rm H}=-\frac{l^2}{q^2}N^2,
$$

N is the buoyancy frequency, and  $(A_1, A_2, B_1, B_2, C_1)$  = (0.92, 0.74, 16.6, 10.1, 0.08) are the closure constants. Note that  $q$  and  $l$  are obtained by solving the prognostic equations:

$$
\frac{\partial(q^2)}{\partial t} + \frac{\partial}{\partial z} \left\{ -qI S_q \frac{\partial(q^2)}{\partial z} \right\} = 2 \left[ qI S_M \left\{ \left( \frac{\partial U}{\partial z} \right)^2 + \left( \frac{\partial V}{\partial z} \right)^2 \right\} - qI S_H N^2 - \frac{q^3}{B_1 l} \right],
$$
(3)

$$
\frac{\partial (q^2 l)}{\partial t} + \frac{\partial}{\partial z} \left\{ -q l S_q \frac{\partial (q^2 l)}{\partial z} \right\} = E_l l \left[ q l S_M \left\{ \left( \frac{\partial U}{\partial z} \right)^2 + \left( \frac{\partial V}{\partial z} \right)^2 \right\} - E_3 q l S_H N^2 \right] - \frac{W q^3}{B_1},\tag{4}
$$

where W is a "wall proximity" function, and  $(E_1,$  $E_3$ ) = (1.8, 1.0) are additional non-dimensional constants, and we assume  $S_a = 0.41 S_M$  following Mellor [\(2003](#page-8-0)).

In another turbulence closure model NN, the closure constants  $(A_1, A_2, B_1, B_2, C_1, C_2, C_3, C_5) = (1.18, 0.665,$ 24.0, 15.0, 0.137, 0.75, 0.352, 0.2) are introduced taking into account the buoyancy effects on the pressure-covariance terms (Moeng and Wyngaard [1986,](#page-8-0) [1989\)](#page-8-0) and the stability functions  $S_H$  and  $S_M$  are given by:

$$
S_{\rm H} = \alpha_{\rm c} A_2 \frac{\phi_1 + 3C_1 \phi_4}{\phi_1 \phi_3 + \phi_2 \phi_4},\tag{5a}
$$

$$
S_{\rm M} = \alpha_{\rm c} A_1 \frac{\phi_2 - 3C_1 \phi_3}{\phi_1 \phi_3 + \phi_2 \phi_4},\tag{5b}
$$

where

$$
\phi_1 = 1 - 9\alpha_c^2 A_1 A_2 (1 - C_2) G_H,
$$
  
\n
$$
\phi_2 = \phi_5 + 9\alpha_c^2 A_2^2 (1 - C_2) (1 - C_5) G_H,
$$
  
\n
$$
\phi_3 = \phi_5 - 12\alpha_c^2 A_1 A_2 (1 - C_2) G_H,
$$
  
\n
$$
\phi_4 = 6\alpha_c^2 A_1^2 G_M,
$$
  
\n
$$
\alpha_c = \min(q/q_2, 1),
$$

with

$$
\phi_5 = 1 - 3\alpha_c^2 A_2 B_2 (1 - C_3) G_{\rm H},
$$

<span id="page-3-0"></span>
$$
G_{\rm M} = \frac{l^2}{q^2} \left[ \left( \frac{\partial U}{\partial z} \right)^2 + \left( \frac{\partial V}{\partial z} \right)^2 \right],
$$

and  $q_2^2/2$  the turbulent kinetic energy given by the Level 2 model. In addition, the formulation of  $S_q$  is modified as  $S_q = 3S_M$ 

Another important difference between MY and NN is in the formulation of turbulent length scale: instead of using Eq. [4,](#page-2-0) we prescribe the turbulent length scale in NN by taking into account the effect of stable stratification such as:

$$
l^{-1} = l_{\rm S}^{-1} + l_{\rm T}^{-1} + l_{\rm B}^{-1} \tag{6}
$$

where

$$
l_{\rm S} = -\kappa z, \quad l_{\rm T} = 0.23 \frac{\int_{-D}^{0} (-qz)dz}{\int_{-D}^{0} qdz},
$$

$$
l_{\rm B} = \begin{cases} q/N & N^2 > 0\\ \infty & N^2 \le 0 \end{cases}
$$

with  $\kappa = 0.41$  the von Kármán constant. Because no surface heat flux is applied in this study, the expression for the turbulent length scale can be simplified from its original version by dropping the newly introduced terms which depend on the Monin–Obukhov length scale.

Fig. 2 Results from LES. a– c Vertical cross sections of the temperature (color contour; interval is  $0.4$ °C) and the resolved turbulent heat flux (shade) along  $y = 0$  m for the resonant case. d–f Time-depth sections of the horizontally averaged temperature (contour interval  $0.4^{\circ}$ C) and zonal and meridional velocities (contour interval  $0.2 \text{ ms}^{-1}$ ) for the resonant case. g Time series of the horizontally averaged sea surface temperature for the two cases

Each turbulence closure model is incorporated into a simple one-dimensional numerical model with uniform 2-m resolution. With the same initial conditions as used in LES for the turbulent velocity field  $(q^2 = q_0^2 \exp(z/H)$  with  $q_0^2 = 10^{-10}$  m<sup>2</sup> s<sup>-2</sup> and *H* = 20 m) and the background temperature field (Fig. [1](#page-2-0)b), the one-dimensional numerical model is forced by each of the resonant and off-resonant wind forcing. The results are then compared with the corresponding LES to check the performance of each turbulence closure model.

# 3 Results and discussion

### 3.1 Results of LES

Figure 2a–f depicts the results from LES with  $\Delta x = \Delta y =$  $\Delta z = 0.8$  m for the resonant case showing the time series of potential temperature ( $\theta$ , contour) and the resolved turbulent heat flux ( $w'\theta'$ , shade) in an x-z cross section (a-c) and the time-depth sections of the horizontally averaged temperature and velocity fields (d–f). Negative turbulent heat flux is gradually enhanced as a result of strong vertical mixing in the thermocline (Fig.  $2a-c$ ). Consequently, the bottom of the thermocline deepens and the sea surface temperature drops by  $1.3^{\circ}$ C (Fig. 2d). It is also found that



the velocity fields develop and extend downward in response to the resonant wind stress forcing (Fig. [2e](#page-3-0), f), which leads to enhancement of vertical shear at the base of the oceanic mixed layer causing strong entrainment. Figure [2](#page-3-0)g shows the time series of the horizontally averaged sea surface temperature obtained from the resonant and offresonant LES, demonstrating that the resonant wind stress forcing produces much more rapid evolution of the oceanic mixed layer. Overall behavior of the oceanic mixed layer shown in Fig. [2](#page-3-0) is consistent with previous observations



Fig. 3 Vertical profiles of horizontally averaged total turbulent heat flux for the resonant case from LES using  $\Delta = 0.8$  m (black lines) and  $\Delta = 0.5$  m (gray lines, only for  $t = 12$  h). The *dash-dotted lines* denote the contributions from the subgrid-scale parameterization

Fig. 4 Results obtained from turbulence closure models for the resonant case. a–c Timedepth sections of temperature (contour interval  $0.4^{\circ}$ C) and zonal and meridional velocities (contour interval  $0.2 \text{ ms}^{-1}$ ) obtained from MY. d–f As in a–c but from NN. g Comparison of the sea surface temperature obtained from LES (gray solid line) with those obtained from MY (black dashed line) and NN (black solid line)

and numerical experiments (Large and Crawford [1995](#page-8-0); Crawford and Large [1996](#page-8-0); Skyllingstad et al. [2000](#page-8-0)).

To assess the turbulence closure models, we use the vertical profiles of total turbulent heat flux calculated using:

$$
HF_{\text{LES}} = \overline{w'\theta'} + HF_{\text{SGS}},
$$

where the overbar denotes the horizontal average and  $HF_{SGS}$ is the contribution from the subgrid-scale parameterization. Figure 3 shows the vertical profiles of  $HF$ <sub>LES</sub> for the resonant case and those of  $HF_{SGS}$ , both at  $t = 12$  h and 18 h  $(t = 12 \text{ h})$  for a grid resolution of 0.8 (0.5) m. The effect of subgrid-scale parameterization is minor (at most 15% of the total turbulent heat flux) and the total turbulent heat flux is fairly independent of grid resolution. Furthermore, comparison of the calculated results using grid resolution of 0.8 m and 0.5 m for each turbulent quantity shown later (Fig. [5\)](#page-5-0) indicates that the turbulent kinetic energy can be calculated without taking into account the subgrid-scale contributions (discussed in the Sect. 3.2) and the conclusion presented here is not subject to grid resolution.

# 3.2 Comparison of LES with turbulence closure models

Figure 4 shows the time-depth sections of the temperature and velocity fields and the time variations of the sea surface temperature for the resonant case, both obtained from MY



<span id="page-5-0"></span>Fig. 5 Time variations of vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  obtained from LES (gray lines), MY (red lines), and NN (blue lines), respectively, for the resonant case. The green lines denote the results obtained from the slightly modified NN (see text). In the upper panels, the results of the additional LES using a nearly half grid resolution (0.5 m) are shown (light gray lines). Note that the result of LES for  $B_1 l$  below the base of the oceanic mixed layer which rapidly increases with depth has not been used in this analysis



-20

 $-40$ 

and NN, which should be compared with the corresponding results from LES. Although MY and NN both reproduce the enhancement of inertial oscillations in response to the resonant wind stress forcing, the drop of the sea surface temperature is underestimated by 25% in MY and overestimated by 15% in NN.

Figure 5 shows the vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  at  $t = 12$  h and 18 h for the resonant case obtained from LES (gray lines), MY (red lines), and NN (blue lines), respectively, all of which are associated with the turbulent heat and momentum fluxes (Eqs. [1](#page-2-0), [2a](#page-2-0), [2b](#page-2-0), [5a,](#page-2-0) [5b](#page-2-0)). In particular, the turbulent quantities from LES are calculated using:

$$
q_{\text{LES}}^2 = \overline{u'u' + v'v' + w'w'} = \overline{u_i'u_i'}, \quad [B_1l]_{\text{LES}} = \frac{q_{\text{LES}}^3}{|\varepsilon_{\text{LES}}|},
$$

$$
\varepsilon_{\text{LES}} = -\frac{\overline{X_{\text{m}}}}{2} \left( \frac{\partial u_j'}{\partial x_i} + \frac{\partial u_i'}{\partial x_j} \right)^2,
$$

where the subscripts  $i$  and  $j$  denote the  $x, y, z$  directions, and  $\varepsilon$ <sub>LES</sub> is the energy dissipation with  $X<sub>m</sub>$  the eddy viscosity calculated in LES (Nakanishi and Niino [2009;](#page-8-0) Skyllingstad et al. [2000\)](#page-8-0).

The calculated values of  $q_{\text{LES}}^2$  and  $[B_1 l]_{\text{LES}}$  can be used to assess the turbulence closure models, except  $[B_1 l]_{\text{LES}}$ below the base of the oceanic mixed layer (defined as the depth at which  $N^2$  becomes maximum; Fig. 5) which rapidly increases with depth as  $\varepsilon_{\text{LES}}$  approaches zero. We can see that the discrepancies in the vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  found between MY and LES are significantly reduced between NN and LES except for  $B_1l$ .

To identify the parameters responsible for the discrepancies between LES, MY, and NN, we first compare the



case obtained by incorporating the turbulent quantities from LES (Fig. 5) into Eq. [1](#page-2-0) (i.e.  $[S_H/B_1]_{\text{LES}} = -HF_{\text{LES}}/(q_{\text{LES}} [B_1]_{\text{LES}} \partial \theta_{\text{LES}})$  $\partial z$ ), gray lines), Eq. [2a](#page-2-0) ([SH/B<sub>1</sub>]<sub>MY</sub>, black dash-dotted lines), and Eq.  $5a$  ([ $S_H/B_1$ ]<sub>NN</sub>, black solid lines)

vertical profiles of  $S_H/B_1$  for the resonant case obtained by incorporating the turbulent quantities from LES (Fig.  $5$ ) into Eq. [1](#page-2-0) (i.e.  $[S_H/B_1]_{\text{LES}} = -HF_{\text{LES}}/(q_{\text{LES}} \quad [B_1]_{\text{LES}})$  $\partial \theta_{\text{LES}}$  $\partial z$ )) with those obtained by incorporating the tur-bulent quantities from LES into Eq. [2a](#page-2-0) ( $[S_H/B_1]_{MY}$ ) and Eq. [5a](#page-2-0) ( $[S_H/B_1]_{NN}$ ). The results are shown in Fig. 6 where we can see that, compared with Eq. [2a](#page-2-0), Eq. [5a](#page-2-0) yields a vertical profile of  $S_H/B_1$  closer to that from LES. This implies the performance of the stability functions is improved by taking into account the buoyancy effects on the pressure-covariance terms.

Next, the vertical profiles of  $[B_1 l]_{\text{LES}}$  are compared with those obtained by incorporating the turbulent quantities

from LES into Eq. [6](#page-3-0) ( $[B_1 l]_{NN}$ ). Figure 7 shows that, in contrast with the stability functions, the difference between  $[B_1l]_{NN}$  (black thin lines) and  $[B_1l]_{LES}$  (gray lines) becomes evident below  $z = -20$  m.

This motivates us to carry out an additional numerical experiment slightly modifying NN such that the buoyancy length scale  $l_{\text{B}}$  (Eq. [6](#page-3-0)) is reduced by approximately half  $(l_B = 0.53q/N)$  as suggested by Galperin et al. ([1988,](#page-8-0) [1989\)](#page-8-0) who took into account the relationship between the temperature variance and the Ozmidov length scale (Dillon [1982\)](#page-8-0) and the results of the laboratory experiments on decaying turbulence in stratified fluids (Dickey and Mellor [1980\)](#page-8-0). We can obtain the vertical profile of  $B_1l$  closer to that of  $[B_1l]_{\text{LES}}$  by incorporating the turbulent quantities from LES into the modified version of Eq. [6](#page-3-0) mentioned above ( $[B_1l]_{NNmod}$ , black thick lines in Fig. 7). Furthermore, incorporating  $[B_1l]_{NNmod}$  thus obtained and other turbulent quantities from LES into Eq. [5a](#page-2-0) yields the vertical profile  $[S_H/B_1]_{NNmod}$  which agrees well with that from LES (i.e.  $[S_H/B_1]_{\text{LESmod}} = -HF_{\text{LES}}/(q_{\text{LES}} [B_1 I]_{\text{NNmod}})$  $\partial \theta_{\text{LES}}$  $\partial z$ ) except below the base of the oceanic mixed layer (Fig. 8). As a result, this slight modification leads to accurate predictions of vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  (green lines in Fig. [5\)](#page-5-0) and hence the development of the oceanic mixed layer (Fig. 9).

Finally, we evaluate the performance of MY, NN, and the modified NN for the off-resonant case. Figures [10](#page-7-0) and [11](#page-7-0) show the time variations of the temperature field and the vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$ , where we can recognize the same features as found for the resonant case; the discrepancies in the vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  between MY and LES are reduced between NN and LES and much more between the modified NN and LES (Fig. [11](#page-7-0)). The underestimate (overestimate) of the decrease



Fig. 7 Comparison of the vertical profiles of  $B_1l$  obtained from LES for the resonant case  $([B_1]_{\text{LES}}$ , gray lines) with those obtained by incorporating the turbulent quantities from LES (Fig. [5\)](#page-5-0) into Eq. [6](#page-3-0)  $([B_1]_{NN}$ , black thin lines) and the modified version of Eq. [6](#page-3-0) where the buoyancy length scale is reduced by about half  $([B_1l]_{NNmod}$ , black thick *lines*). Note that  $[B_1l]_{\text{LES}}$  below the base of the oceanic mixed layer which rapidly increases with depth has not been used in this analysis



Fig. 8 Comparison of the vertical profiles of  $S_H/B_1$  for the resonant case obtained by incorporating  $[B_1l]_{NNmod}$  (black thick lines in Fig. 7) and other turbulent quantities from LES into Eq. [1](#page-2-0) (i.e.  $[S_H]$ )  $B_1$ ]<sub>LESmod</sub> =  $-HF_{LES}/(q_{LES} [B_1 l]_{NNmod} \partial \theta_{LES}/\partial z)$ , *gray lines*) and Eq. [5a](#page-2-0)  $([S_H/B_1]_{NNmod}$ , black lines)



Fig. 9 a Time-depth section of temperature for the resonant case (contour interval 0.4C) obtained from the modified NN where the buoyancy length scale  $l_B$  is reduced by about half ( $l_B = 0.53q/N$ ). b Comparison of the sea surface temperature obtained from LES with that obtained from the modified NN

of sea surface temperature in MY (NN) can be suitably corrected by using the modified NN (Fig. [10\)](#page-7-0).

## 4 Conclusion

In this study, by comparison with results from LES, we have found that the development of the oceanic mixed layer caused by resonant wind stress forcing (i.e. strong entrainment at the base of the oceanic mixed layer and accompanying decrease of sea surface temperature) is underestimated by the second-order turbulence closure model of Mellor and Yamada ([1982\)](#page-8-0) (MY) and somewhat overestimated by the second-order turbulence closure model of Nakanishi and Niino ([2009\)](#page-8-0) (NN). Considering

<span id="page-7-0"></span>Fig. 10 a–d Time-depth sections of the temperature (contour interval  $0.4$ <sup>o</sup>C) and e time variations of the sea surface temperature obtained from LES, MY, NN, and the modified NN, respectively, for the off-resonant case

 0 [m] -20 -40 -60 -80 [m] 0  $-20$  $-40$  $-60$ 

Fig. 11 Time variations of vertical profiles of  $N^2$ ,  $q^2$ , and  $B_1l$  obtained from LES (gray lines), MY (red lines), NN (blue lines), and the modified NN (green lines), respectively, for the off-resonant case. Note that the result of LES for  $B_1 l$  below the base of the oceanic mixed layer which rapidly increases with depth has not been used in this analysis



that the stability functions in NN perform better than those in MY in reproducing the vertical structure of turbulent heat flux, we have modified NN by reducing the buoyancy length scale  $l_B$  (Eq. [6](#page-3-0)) by approximately half  $(l_B = 0.53q/N)$  and found that the discrepancy can be much diminished. The turbulent length scale and the stability functions both reflecting the effect of density stratification have thus been found to be essential in simulating the resonant inertial response of the oceanic mixed layer to strong wind stress forcing.

This study is relevant to the numerical modeling of the upper ocean response at mid latitudes where near-inertial oscillations are efficiently excited (Watanabe and Hibiya [2002](#page-9-0); Alford [2003;](#page-8-0) Zhai et al. [2007,](#page-9-0) [2009](#page-9-0); Komori et al. [2008](#page-8-0); Furuichi et al. [2008\)](#page-8-0). Needless to say, the results of LES should be compared with those of other oceanic mixed layer models (Price et al. [1986;](#page-8-0) Large et al. [1994](#page-8-0); Kantha and Clayson [1994,](#page-8-0) [2004](#page-8-0); Noh and Kim [1999](#page-8-0); Umlauf and Burchard [2005](#page-9-0); Huang et al. [2011](#page-8-0)) in more realistic situations by taking into account convection, surface wave

<span id="page-8-0"></span>breaking, and Langmuir turbulence. Each of the improved oceanic mixed layer models should be incorporated into oceanic general circulation models to check its performance in terms of the large-scale ocean dynamics (Ezer 2000; Kara et al. 2008).

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