

Probabilistic validation of protein NMR chemical shift assignments

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Abstract Data validation plays an important role in ensuring the reliability and reproducibility of studies. NMR investigations of the functional properties, dynamics, chemical kinetics, and structures of proteins depend critically on the correctness of chemical shift assignments. We present a novel probabilistic method named ARECA for validating chemical shift assignments that relies on the nuclear Overhauser effect data. ARECA has been evaluated through its application to 26 case studies and has been shown to be complementary to, and usually more reliable than, approaches based on chemical shift databases. ARECA is available online at <http://areca.nmrfam.wisc.edu/>.

Keywords NMR chemical shift assignments · Probabilistic method · Validation · NOESY experiment

Introduction

Nuclear magnetic resonance (NMR) spectroscopy is used routinely for studying molecular interactions (Goldflam et al. 2011; Nishida and Shimada 2011), structural dynamics (Baldwin and Kay 2009; Kim et al. 2012; Kleckner and Foster 2011), and three-dimensional structures (Wüthrich 1986) of proteins. A necessary key step in such studies is associating spectral frequencies with atoms, i.e., finding a solution to the chemical shift assignment problem. Currently, most manual and automated approaches to NMR studies derive assignments from through-bond coupling in proteins labeled uniformly with ¹³C and ¹⁵N. The valid interpretation of NMR studies is dependent on the correctness of the assignments. For example, protein structure determination relies on assignments for the correct interpretation of through-space contact information contained in nuclear Overhauser effect (NOE) spectra (Wüthrich 1986). Algorithms have been developed to address the concern of the validity of chemical shift assignments on the basis of chemical shift statistics with or without information on the 3D structure of the protein (Moseley et al. 2004; Rieping and Vranken 2010; Shen and Bax 2010; Wang et al. 2010; Zhang et al. 2003). Because these approaches rely on chemical shift statistics from Biological Magnetic Resonance data Bank (BMRB, Ulrich et al. 2008), the errors they report are only indicators of deviations averaged chemical shifts. In a given protein, the effects of ring-currents (Vernet and Boekelheide 1974; Wannere and Schleyer 2003), hydrogen-bonds (Yao et al. 2010), and other local conformational effects often lead to substantial deviations from chemical shift predictions. As a result, correct assignments can be scored as invalid or incorrect assignments as valid. Validation methods that use 3D structures are only applicable when a highly accurate structure is available, and this is not the case for the many NMR studies concerned with

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molecular interactions, conformational changes, dynamics, or intrinsically disordered proteins. Current chemical shift validation methods suffer from their reliance on chemical shift statistics and 3D structures of uncertain structural quality (Buchner and Güntert 2015).

Every entry in BMRB contains a validation report generated by the AVS method (Moseley et al. 2004), which lists chemical shift assignment outliers. On average, every entry contains more than 19 outlier assignments with a standard deviation about 94 flagged atoms (Fig. 1). These large numbers of outliers clearly indicate the need for an independent approach for chemical shift validation. NOE experiments can provide an alternative source of information for validating chemical shift assignments through the detection of short-range through-space interactions between protons. NOE information has been used for automated assignment schemes (Schmidt and Güntert 2012; Xu et al. 2006) and for validating protein structures (Huang et al. 2005); however, the independent validation of assignments has been limited to a manual approach for backbone protons (Serrano et al. 2012). We describe here a probabilistic method called ARECA for ‘Assessment of the Reliability of Chemical shift Assignments’ that is applicable to diverse NMR studies (Fig. 2) and provides tools for examining and correcting suspicious assignments.

Methods

ARECA’s software package consists of five modules (Fig. 3): inputs, statistical analyses, probability calculations, outputs, and external resources.

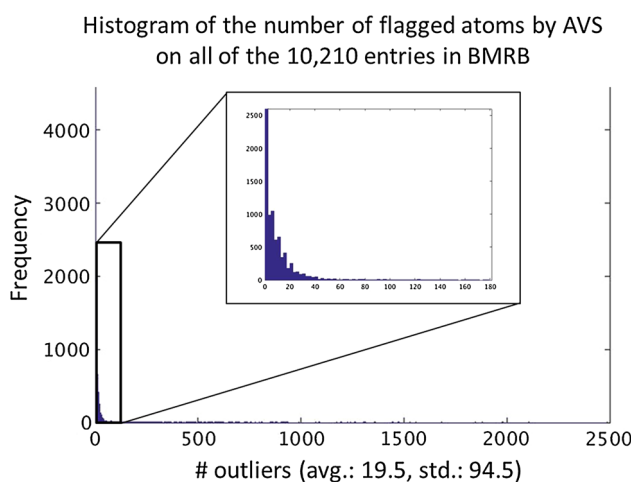


Fig. 1 Histogram of numbers of flagged atoms by the AVS method on the BMRB entries. The data were retrieved from BMRB in September 2015. The *inset* region shows that most of the entries have fewer than 40 flagged atoms. BMRB entry bmr16632 with 2489 flagged atoms has the highest number of flagged atoms (likely as the result of incorrect chemical shift referencing)

Input module

The input to ARECA consists of chemical shift assignments in either BMRB or XEASY format and ^{13}C -edited and/or ^{15}N -edited NOESY peak lists in either SPARKY or XEASY format. Alternatively, ARECA accepts input files generated by PONDEROSA-C/S (Lee et al. 2014), which performs peak picking on the NOESY spectra and generates a single compact file containing assignments and peak lists.

Statistical analyses

This module provides the necessary statistical information used in ARECA’s calculations. The truth model was constructed using the experimental database (PACSY, Lee et al. 2012) and was verified against the theoretical database generated using the Tinker molecular modeling software package (<http://dasher.wustl.edu/tinker/>) (Kundrot et al. 1991; Pappu et al. 1998; Ponder and Richards 1987; Ponder et al. 2010; Ren and Ponder 2003; Ren et al. 2011; Shen and Bax 2013). Supplementary Information S1 explains the process of constructing and evaluating the truth model. The cumulative distribution function (CDF) was generated from imperfect input and was used to calculate a p value for rejecting the null hypothesis that ARECA’s output is a result of validating imperfect inputs (chemical shift assignments and peak lists). The CDF is explained in Supplementary Information S2.

Probability calculation module

This module calculates the assignment probabilities and confidences using the truth model. The assignment probability can be thought of as a supporting factor that represents how well an assignment is validated by experimental NOESY peaks matching those expected from the truth model. A low probability (low supporting factor) means either the assignment is incorrect or that the experimental NOESY peak lists are missing expected peaks. Supplementary Information S3 describes this module in greater details.

External resource module

The correct chemical shift referencing of the heavy atoms of the backbone (plus CB) is crucial for protein NMR studies. The LACS (Linear Analysis of Chemical Shifts) package (Wang et al. 2005) is a commonly used tool for

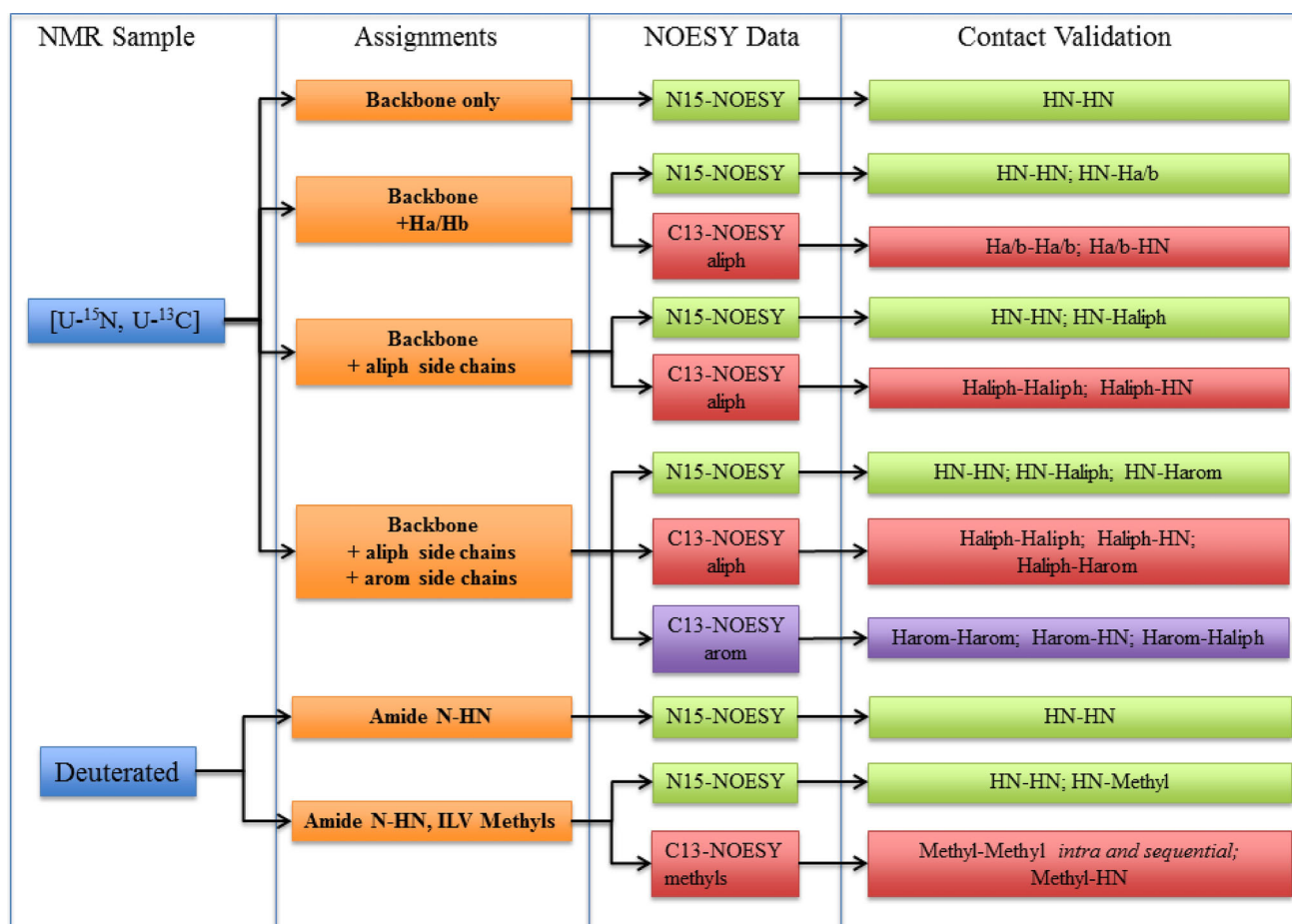


Fig. 2 Application of ARECA to different types of NMR studies. ARECA is capable of validating protein chemical shift assignments in NMR studies with a variety of goals (e.g. molecular dynamics, chemical kinetics, structure calculations) and with samples containing different labeling schemes. The *first column* shows the protein

labeling patterns of the NMR sample. The *second column* indicates the atom types whose assignments can be validated with a given labeling pattern. The *third column* indicates the types of input NOE data required. The *fourth column* indicates the expected NOE contacts that ARECA validates for each type of study

identifying and correcting chemical shift referencing errors. The LACS package applies a regression function to the chemical shifts of CA, CB, HA, and C, and suggests referencing adjustments. This report is available as an output of ARECA and can be used to identify and correct chemical shift referencing errors.

Output module

When the calculations are completed, ARECA sends an email to the user containing (a) the percentages of the flagged residues and atoms, (b) the calculated *p* value, which provides a quick assessment of the correctness of the inputs, (c) a hyperlink to an xml-formatted report of the probabilities and confidences of chemical shift assignments for the residues and atoms within, and (d) a hyperlink to a compressed file that contains a comprehensive report (in

pdf format) with information (as described in Supplementary Information S3) on the reasoning behind each calculated probability, along with assigned NOESY peak lists and the LACS report. ARECA's extension in NMRFAM-SPARKY (Lee et al. 2015) enables the user to view the peaks in the NOESY spectrum colored according to their assignment probability (Fig. 4).

In ARECA, each proposed chemical shift assignment is validated against NOESY peak lists on the basis of a truth model (Supplementary Information S1) generated from a curated database of peptide or protein structures. A list of expected NOESY contacts is generated from the truth model, and these are used to calculate for each residue (Supplementary Information S3) (1) the number of expected NOESY contacts that agree with the experimental NOESY peak lists (a measure of experimental support for the assignment or its probability) and (2) the number of assigned atoms out of the theoretical maximum (a measure

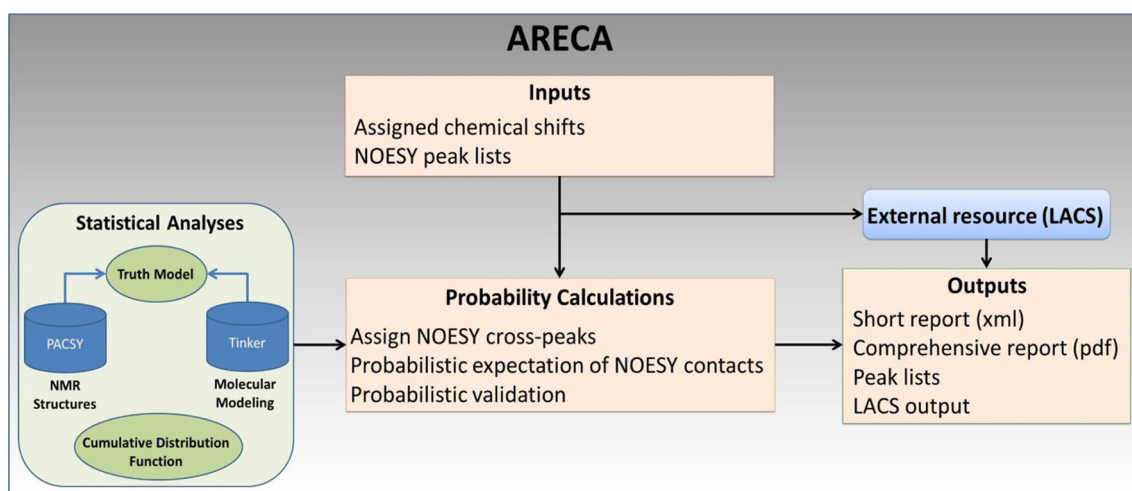


Fig. 3 Overall structure of ARECA. Users interact with the web-interface of ARECA to upload the inputs. These inputs are submitted to the probability calculations module and also to the external resource (LACS package). The statistical analyses module is a preprocessed unit that provides a truth model and a CDF to the

probabilistic validation part of the probability calculations module. The probability calculations module uses the truth model and the CDF for assigning the short-range NOESY cross-peaks and also validating the inputs. The output module provides the validation results and the LACS results on chemical shift referencing

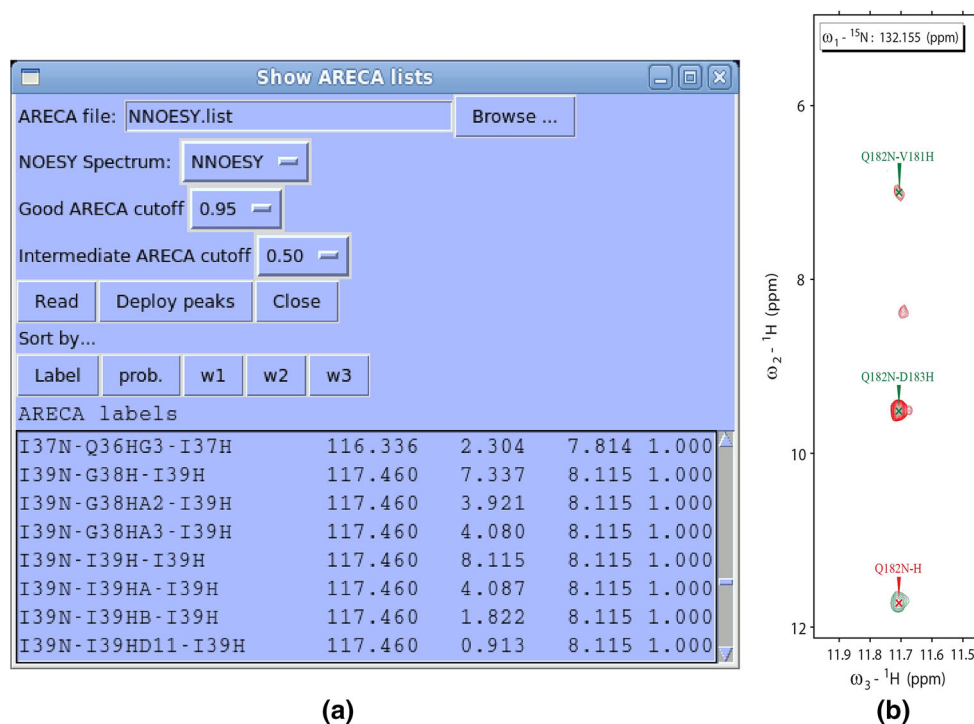


Fig. 4 Screen shots of the ARECA extension to NMRFAM-SPARKY. NMRFAM-SPARKY, which is available from the NMRFAM website, reads ARECA's assigned peaks lists and overlays the peaks onto experimental NOE spectra color-coded according to their probabilities. Peaks with a probability higher than or equal to the 'good' ARECA cutoff are shown in green; peaks with a probability between the 'good' and 'intermediate' ARECA cutoffs are shown in blue; peaks with probabilities less than the intermediate cutoff are shown in yellow; and peaks that were expected but were not in the given NOE peak lists are shown in red. **a** ARECA window showing a partial ^{15}N -NOESY peak list. The *table* shows the peak assignments,

their spectral frequencies (ω_1 , ω_2 , ω_3), and their calculated probabilities (in this example all 1.000). **b** NOESY region showing peaks colored according to their probabilities. This example shows the strip plot for residue Q182 N-H of VDRLBD (see Supplementary Information S4). Peaks corresponding to the inter-residue contacts of Q182H with V181H and D183H were present in the peak lists with the probability = 1 and are colored green. However, the intra-residue contact is missing its representative peak (in this case because of spectral folding known as 'aliasing'), and its assigned probability is zero. ARECA's extension placed the expected peak and colored it red to signal the inconsistency between assignments and NOE peak lists

Table 1 Summary of ARECA's performance with data available for several proteins

Protein name (peak list type)	Residues	BMRB ID	PDB ID	ARECA				<i>p</i> value
				Residues with low		Atoms with low		
				Probability (%)	Confidence (%)	Probability (%)	Confidence (%)	
VDRLBD	262	17770	–	0.85	0.00	1.06	0.00	1.00E–04
SgR145	197	16806	2kw5	6.33	1.90	4.27	0.00	0.02
HR5460A(final)	160	17524	2lah	0.00	0.00	0.55	0.11	1.00E–04
HR5460A(raw)				5.37	0.00	14.03	0.11	0.11
HR2869A	155	15356	2js7	0.65	0.00	9.43	0.11	0.07
OR36(final)	134	17613	2lci	0.00	0.78	0.43	0.12	1.00E–04
OR36(raw)				3.12	0.78	9.70	0.12	0.07
RP3097	128	15270	2jq5	0.00	0.00	3.03	0.00	1.00E–04
BvR155	120	17370	2l7q	1.68	0.00	10.69	0.00	0.08
YR313A(final)	119	18487	2ltl	0.00	0.00	0.84	0.00	1.00E–04
YR313A(raw)				1.82	0.00	8.66	0.00	0.07
HR2876B(final)	107	18489	2ltm	0.00	0.00	0.00	0.00	1.00E–04
HR2876B(raw)				0.00	0.00	5.73	0.00	0.04
HR6430A(final)	99	17508	2la6	0.00	0.00	0.00	0.00	1.00E–04
HR6430A(raw)				0.00	0.00	0.29	0.00	1.00E–03
HR2876C(final)	97	19068	m5o	0.00	0.00	0.20	0.00	1.00E–04
HR2876C(raw)				3.41	0.00	8.35	0.00	0.06
RhR5	95	15344	2jrt	1.06	0.00	8.69	0.00	0.07
OR135(final)	79	18145	2ln3	0.00	0.00	0.11	0.00	1.00E–04
OR135(raw)				0.00	0.00	6.69	0.00	0.05
HR8254A(final)	73	18909	2m2e	1.39	0.00	2.83	0.00	1.00E–04
HR8254A(raw)				1.39	0.00	2.83	0.00	1.00E–04
HR6470A(final)	69	17484	2l9r	0.00	0.00	0.80	0.00	1.00E–04
HR6470A(raw)				1.67	0.00	2.40	0.00	1.00E–04
StT322(final)	63	18214	2loj	0.00	0.00	2.12	0.00	1.00E–04
StT322(raw)				0.00	0.00	2.97	0.00	1.00E–04

Because ARECA provides probabilities and confidences for both residues and atoms, these are shown in separate columns. Assignments for CASD-NMR target proteins were accompanied by raw and refined NOE peak lists; ARECA's results with these are shown in separate rows. The percentage of atoms with low probability is used to calculate a *p* value, which indicates the overall correctness of the chemical shift assignments and NOE peak lists. All *p* values higher than 0.05 indicate inconsistency between inputs (assignments and peak lists) and are shown in bold

of assignment completeness or its confidence). ARECA flags suspicious assignments: cases when fewer than half of the expected contacts could be verified (probability <0.5) or cases when more than half of the atoms expected to contact the atom in question were unassigned (confidence <0.5). ARECA also calculates the percentage of flagged atoms (the number of flagged atoms over the total number of assigned atoms) and reports the *p* value of rejecting the null hypothesis that the percentage is a result of validating imperfect inputs (chemical shift assignments and peak lists). The *p* value is calculated using a CDF of the percentages of 10,000 incorrect inputs. In Supplementary Information S2, we discuss (1) the construction of the CDF,

(2) the advantages of ARECA over other validation methods, and (3) the evaluation of ARECA's performance with incomplete or incorrect inputs that resulted in 98 % precision and 96 % recall.

Results and discussion

Over a range of 26 case studies, we compared ARECA's performance against two validation packages that rely on the chemical shift statistics: AVS (Moseley et al. 2004) and PANAV (Wang et al. 2010). In addition, for proteins with structures deposited into the Protein Data Bank (PDB) (Berman et al. 2007), we compared ARECA's performance

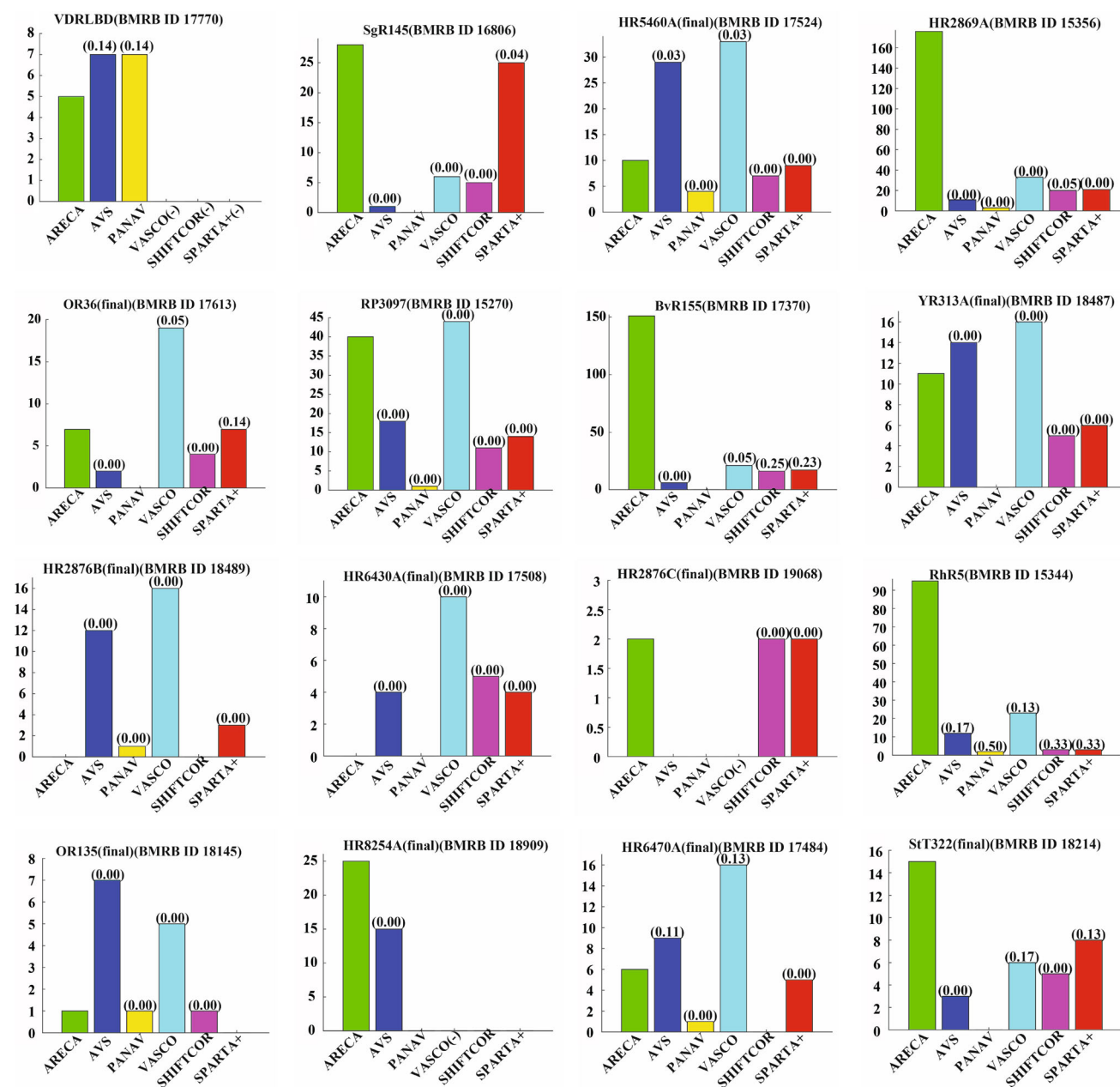


Fig. 5 The bars indicate the number of atoms flagged by the different validation methods for 10 CASD-NMR proteins (ARECA used the final peak lists) and 6 non-CASD-NMR proteins. If the method was not applicable because of the lack of a 3D structure, its name is

followed by ‘(-)’. The numbers on top of the bars for the other methods provide the ratio between the atoms flagged in common by ARECA and that method divided by the total number of atoms flagged by that method

against three validation packages that rely on 3D structures: VASCO (Rieping and Vranken 2010; Vranken and Rieping 2009), SHIFTCOR (Zhang et al. 2003), and SPARTA+ (Shen and Bax 2007, 2010). These comparisons were conducted on a set of 16 proteins that included 10 target proteins from the second round of CASD-NMR (Rosato et al. 2015; Wassenaar et al. 2012) and 6 other proteins from the BMRB. The other validation methods rely on chemical shift statistics as the basis for flagging

outlier atoms that could have valid or incorrect assignments. By contrast, ARECA flags atoms with inconsistencies between chemical shift assignments and experimental NOE peak lists, as can result from incorrect assignments or incomplete or erroneous NOE peak lists.

The p value in ARECA addresses the question of whether the assignments and NOE peak lists are reliable or require further investigation. From our analysis, we concluded that a p value less than 0.05 is indicative of a

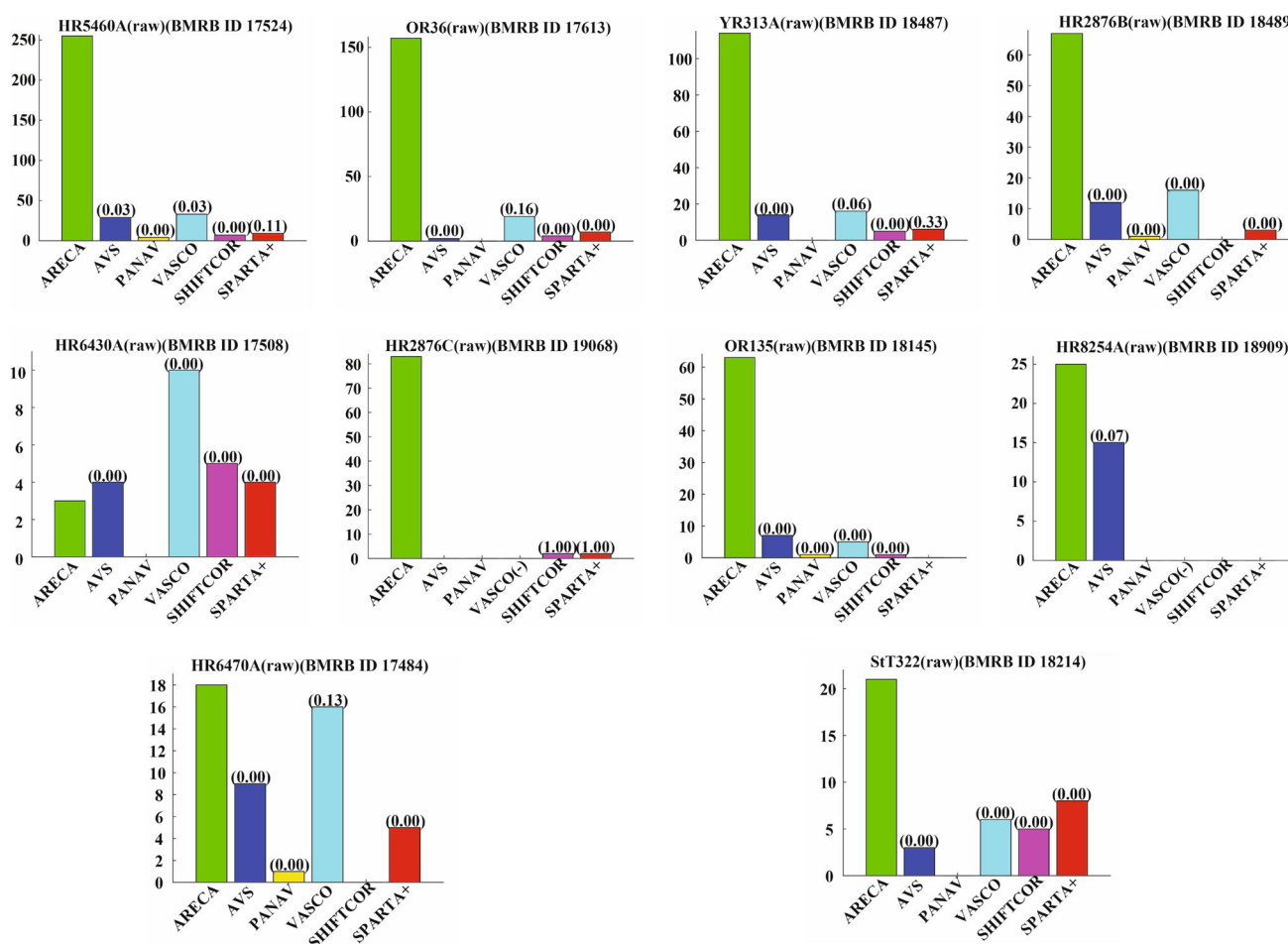


Fig. 6 The bars indicate the number of atoms flagged by the different validation methods for 10 CASD-NMR proteins (ARECA used the raw peak lists). If the method was not applicable because of the lack of a 3D structure, its name is followed by ‘(-)’. The numbers on top of

the bars for the other methods provide the ratio between the atoms flagged in common by ARECA and that method divided by the total number of atoms flagged by that method

reliable data set. In situations where the p value is higher than this threshold, additional investigations can be performed using the ARECA’s extension in NMRFAM-SPARKY (Supplementary Information S4). Table 1 shows the p values for the data sets examined here.

The refined peak lists of all the CASD-NMR proteins had acceptable p values ($<1.0E-4$), which indicated that the inputs were accurate and required no further investigation. ARECA flagged significantly more assignments with the raw NOE peak lists as input than with the refined NOE peak lists (except for HR8254A, whose values remained the same with p values of $1.0E-4$). Three of the non-CASD-NMR proteins examined (HR2869A, BvR155, RhR5) had unacceptable p values (>0.05); our investigation of the flagged atoms indicated that the low probabilities most likely were the result of errors in the peak lists deposited at BMRB. The remaining three proteins had acceptable p values

($1.0E-4$), and no further investigation was required. These results are discussed in greater details in Supplementary Information S4.

The number of atoms flagged by ARECA does not necessarily indicate the correctness or completeness of the data; nevertheless, we compared this number with those flagged by the other validation methods (Fig. 5). In parentheses are the ratio of the number of flagged atoms in common between ARECA and that method divided by the total number of atoms flagged by that method. These ratios indicate that the level of agreement between ARECA and the other validation approaches is low. The atoms flagged by the other validation methods include carbonyl carbons, which are not evaluated by ARECA. A similar analysis was carried out with raw peak lists for 10 CASD-NMR proteins (Fig. 6); in all but one case, the agreement was worse than with the refined peak lists as input.

Conclusions

In contrast to methods that report outliers that may represent valid or invalid assignments, ARECA flags assignments with low probability or confidence and offers tools for determining whether they result from missing data or an incorrect assignment; ARECA facilitates the correction of assignments on the basis of reinterpretation of experimental spectral data. In addition, the p values calculated by ARECA provide a criterion for the validity of the input data. Unique strengths of ARECA are (1) its provision of a metric for overall assignment validity and (2) its associated visualization tools that enable users to verify or correct the assignments of flagged atoms. The ARECA extension to the NMRFAM-SPARKY software suite (Lee et al. 2015) can be used to investigate atoms with low assignment probabilities in the context of experimental protein NMR data. Such investigations can diagnose whether a low assignment probability arose from missing NOE peaks (as can result from chemical exchange, peak overlap, or spectral artifacts) or from errors in data interpretation (such as uncorrected spectral aliasing or inaccurate peak picking). Verification of the consistency between NOESY data and chemical shift assignments can help to simplify and accelerate the tedious process of structure calculation and refinement from NMR data. Even for protein NMR studies that do not involve 3D structure determination, NOESY data can be collected and used to validate chemical shift assignments. ARECA shows promise for future incorporation into strategies for automated protein NMR assignment and structure determination.

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