

# Synthesis of folate-pegylated polyester nanoparticles encapsulating ixabepilone for targeting folate receptor overexpressing breast cancer cells

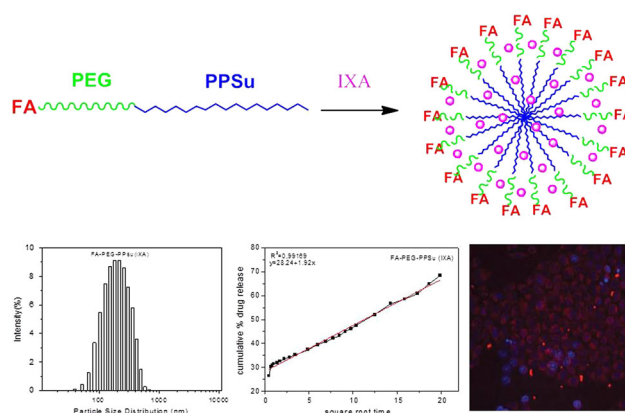
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**Abstract** The aim of this study was the preparation of novel polyester nanoparticles based on folic acid (FA)–functionalized poly(ethylene glycol)–poly(propylene succinate) (PEG–PPSu) copolymer and loaded with the new anticancer drug ixabepilone (IXA). These nanoparticles may serve as a more selective (targeted) treatment of breast cancer tumors overexpressing the folate receptor. The synthesized materials were characterized by <sup>1</sup>H-NMR, FTIR, XRD and DSC. The nanoparticles were prepared by a double emulsification and solvent evaporation method and characterized with regard to their morphology by scanning electron microscopy, drug loading with HPLC–UV and size by dynamic light scattering. An average size of 195 nm and satisfactory drug loading efficiency (3.5 %) were observed. XRD data indicated that IXA was incorporated into nanoparticles in amorphous form. The nanoparticles exhibited sustained drug release properties in vitro. Based on in vitro cytotoxicity studies, the blank FA–PEG–PPSu nanoparticles were found to be non-toxic to the cells. Fluorescent nanoparticles were prepared by conjugating Rhodanine B to PEG–PPSu, and live cell, fluorescence, confocal microscopy was applied in order to demonstrate the ability of FA–PEG–PPSu nanoparticles to

enter into human breast cancer cells expressing the folate receptor.

## Graphical Abstract



## 1 Introduction

Breast cancer accounts for 22.9 % of all cancers (excluding non-melanoma skin cancers) in women. Although breast cancer is less common between men, men tend to have poorer outcomes due to delays in diagnosis [1]. It is estimated that 1.1 million new cases appear annually, and about 400.000 related deaths are reported worldwide [2]. A new effective anticancer drug in the breast cancer treatment is ixabepilone (IXA), which is the first of a new class of microtubule-targeting agents called epothilones. It is a semisynthetic analogue of natural epothilone B that was rationally designed to have improved metabolic stability compared with natural epothilone B. In addition to its favorable pharmacokinetics and metabolic stability, IXA

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displays reduced susceptibility to multidrug-resistance-mediated efflux and has demonstrated preclinical antitumor activity in a number of in vivo models of tumors that are resistant through overexpression of multidrug-resistance proteins and to taxanes [3, 4].

A major challenge in cancer therapy is the selective (targeted) delivery of anticancer drugs to the tumor cells, which would reduce drug toxicity to normal tissues and cells. While systemic drug delivery already hinges largely on physicochemical properties of the drug, such as size, diffusivity, and plasma protein binding affinity, tumors possess a dense, heterogeneous vasculature and an outward net convective flow that act as hurdles to efficient drug deposition at the target site. Nanocarrier mediated delivery has emerged as a successful strategy to enhance delivery of therapeutics and imaging agents to tumors, thereby increasing the potential for diagnosis at an earlier stage or for therapeutic success (or both). Drug incorporation into nanoparticulate carriers could provide for a more selective drug delivery (drug targeting), prolong the biological activity, protect drug against degradation, and could control the rate of drug release allowing for the reduction of drug administration frequency [5].

Biocompatible and biodegradable polymers, such as poly(lactic acid) (PLA), its copolymers with glycolic acid (PLGA) and poly( $\epsilon$ -caprolactone) (PCL), have been extensively used to produce nanoparticles for controlled release and drug targeting applications. Although these polyesters are widely used as drug carriers and implants, their low degradation rate [6] sparked an increased interest for the synthesis of new materials with innovative and enhanced properties. Poly(propylene succinate) (PPSu) is such a new biocompatible polyester with relatively high biodegradation rate due to its low degree of crystallinity [7, 8]. Furthermore, due to its low melting point ( $T_m = 44^\circ\text{C}$ ), which is close to the physiological body temperature [9, 10], PPSu could be used as drug nanocarrier appropriate for selective drug release at the tumor site using local hyperthermia. In order to avoid the rapid removal of these nanoparticles from systemic circulation after intravenous administration, due to rapid uptake by reticular endothelial system (RES), pegylated PPSu nanoparticles based on PEG-PPSu copolymers have been developed [11–18]. Although pegylation of nanoparticles surface can lead to their preferential accumulation in tumor tissue due to the enhanced permeability and retention (EPR) phenomenon, it does not appear to increase the uptake of nanoparticles, and consequently of their drug load, by cancer cells [19]. In order to enhance nanoparticles uptake by tumor cells, targeting moieties, e.g. antibodies or antibody fragments, peptides and sugars, specifically recognized by receptors in cancer cells are attached on nanoparticles [20].

The aim of the present study is to synthesize targetable PEG-PPSu nanoparticles of ixabepilone by attaching folic acid (FA) on PEG-PPSu copolymers. FA can be conjugated to polymers after modification by an appropriate “spacer” (i.e.  $-\text{NH}_2-\text{CH}_2-\text{CH}_2-\text{SH}-$ ) that maintain its activity and functionality [5, 18, 21]. The ixabepilone-loaded FA-PEG-PPSu nanoparticles were prepared by a modified w/o/w emulsification and solvent evaporation process and characterized for their physicochemical and drug release properties. Furthermore, and in order to study the uptake of these nanoparticles by cancer cells using live cell fluorescence microscopy, fluorescent Rhodamine was covalently attached to PEG-PPSu used to prepare the nanoparticles.

## 2 Materials and methods

### 2.1 Materials

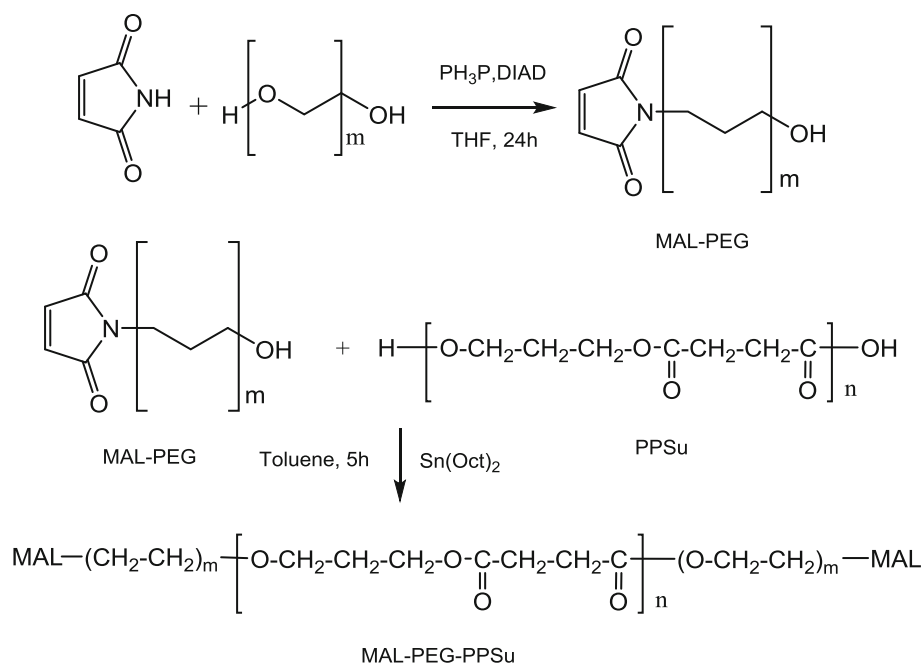
For the synthesis of conjugated polyester succinic acid (purity 99 %), 1,3-propanediol (purity 99 %), tetrabutyl titanate (TBT), triphenylphosphine ( $\text{Ph}_3\text{P}$ ), diethyl azodicarboxylate (DEAD), poly(ethylene glycol) (PEG) with molecular weight 2000, maleimide (MAL) and Sn(octanoate) were purchased from Aldrich Chemical Co. N-hydroxysuccinimide (NHS), triethylamine (TEA) and dicyclohexylcarbodiimide (DCC) were also purchased from Aldrich Chemical Co. Ixabepilone drug was purchased from BOC Sciences. All the other reagents were of analytical grade.

### 2.2 Synthesis of functional polyesters

#### 2.2.1 Functionalization of PEG with maleimide (MAL-PEG) and conjugation of MAL-PEG into PPSu (MAL-PEG-PPSu) (Scheme 1)

For the preparation of MAL-PEG a 250 mL round bottom flask was charged with  $\text{Ph}_3\text{P}$  (2.70 g, 10.3 mmol) to which 70 mL of THF were added. The resulting clear solution was cooled to  $-78^\circ\text{C}$ . DEAD (1.63 mL, 10.3 mmol) was added over 2–3 min. The yellow reaction mixture was stirred 5 min and then PEG (1.36 mL, 11.3 mmol) was added over 1 min and stirred for 5 min. Neopentyl alcohol (0.50 g, 5.7 mmol) and MAL (1.00 g, 10.3 mmol) were added sequentially to the reaction mixture as solids. The resulting suspension was allowed to remain at  $-78^\circ\text{C}$  for 5 min during which time most of the MAL dissolved. The cooling bath was then removed, and the reaction was stirred overnight at ambient temperature. Thin layer chromatography (TLC) indicated complete consumption of MAL. The clear solution was concentrated to

**Scheme 1** Schematic representation of functionalization of PEG with Maleimide (MAL-PEG) and synthesis of MAL-PEG-PPSu during reaction of MAL-PEG with PPSu polyester



approximately  $\frac{1}{4}$  of the original volume under vacuum and then it was applied to a silica gel column (2 in  $\times$  8 in) and eluted with 5:1 hexanol/EtOAc to obtain MAL-PEG (Scheme 1), after removing solvent.

For the preparation of MAL-PEG-PPSu the synthesis of PPSu was performed following a two stage melt polycondensation method (esterification and polycondensation) in a glass batch reactor according to the method described in our previous study [5].

A pre-determined amount of MAL-PEG-OH, PPSu and  $\text{Sn}(\text{Oct})_2$  catalyst (0.1 % amount of polyester in molar ratio) was dissolved in dry toluene in a flame-dried two-neck flask equipped with a distillation set. The mixture was heated to 130  $^\circ\text{C}$  and refluxed for 5 h. Subsequently, the solution was cooled to room temperature and precipitated into cold diethyl ether. The product (MAL-PEG-PPSu) (Scheme 1) was collected by filtration and dried in vacuum at room temperature.

### 2.2.2 Conjugation of folic acid onto MAL-PEG-PPSu (FA-PEG-PPSu) (Scheme 2)

For the synthesis of FA-PEG-PPSu, the folic acid should first be activated as folate-NHS ester (Scheme 2a). The carboxylate group of FA was activated by N-hydroxysuccinimide (NHS) and dicyclohexylcarbodiimide (DCC). Briefly, 1 g FA was dissolved in 50 mL dimethyl sulfoxide (DMSO). Then, NHS and DCC in a stoichiometric ratio of FA: NHS: DCC 1:2:1 were added to the solution. The solution was stirred 16 h at room temperature under shielding from light. The by-product dicyclohexylurea was

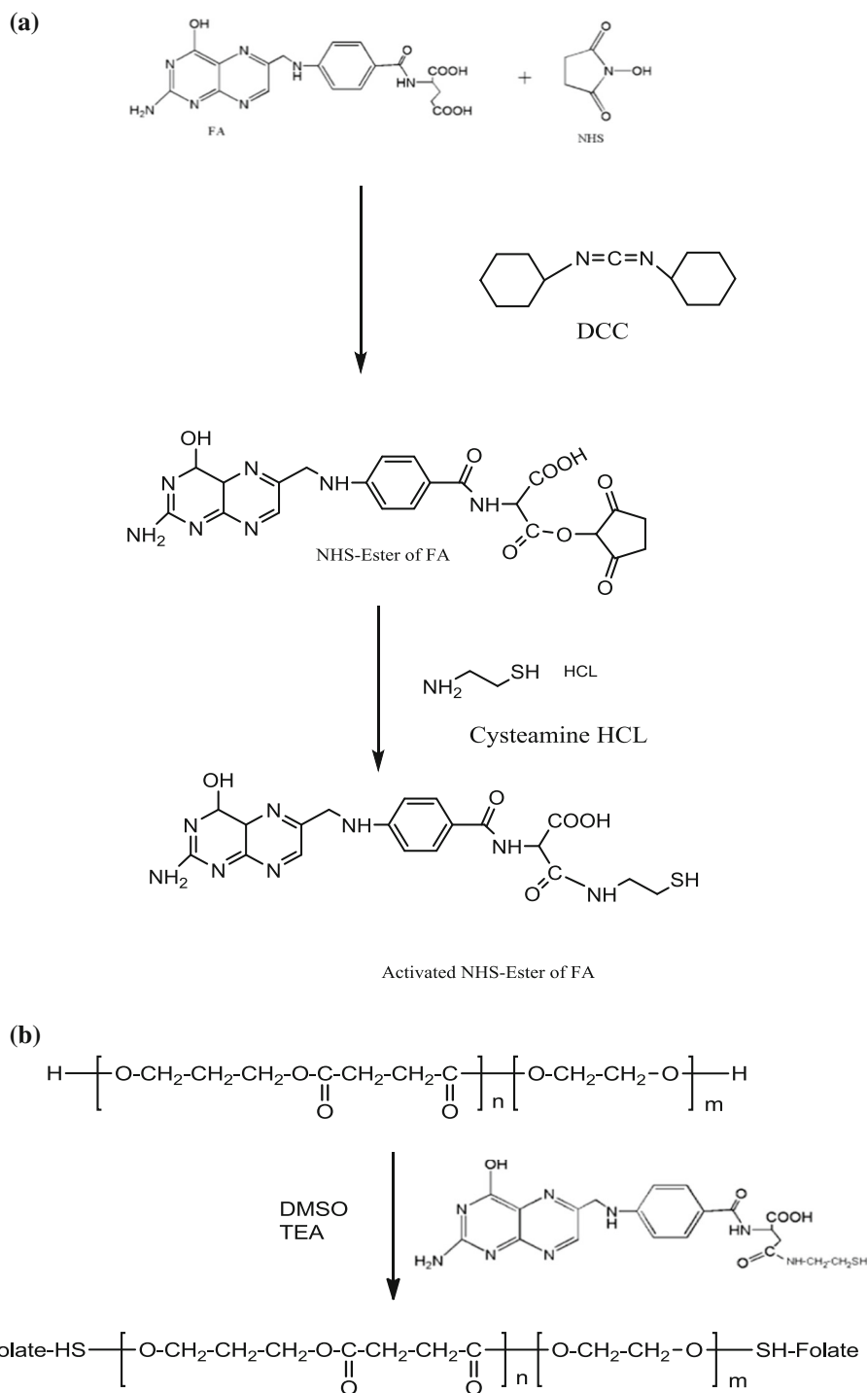
removed by filtration. The supernatant was added in glacial diethylether and the solid formed was filtrated and dried for 3 days at 30  $^\circ\text{C}$ . The dried product was dissolved in DMSO and cysteamine- hydrochloride and 0.1 mL (TEA) were added. Subsequently, the solution was stirred for 24 h and the final product was recovered after pouring into diethylether. The modified-folic acid (FA-NHS) was washed thrice with purified water and dried at 30  $^\circ\text{C}$ .

In the second step (Scheme 2b), activated FA reacted with MAL-PEG-PPSu. Briefly, 10 mg of FA-NHS were added in 20  $\mu\text{L}$  of TEA and a proper amount of MAL-PEG-PPSu was dissolved in 10 mL of DMSO. The solutions were mixed and stirred for 24 h at room temperature. DMSO residue was removed via distillation in order to receive a yellow colored polymer.

### 2.2.3 Conjugation of Rhodamine B to PEG-PPSu (Scheme 3a, b)

In order to be able to observe the entry of the nanoparticles into cancer cells with fluorescence microscopy, functionalized with the fluorescent dye Rhodamine B (Rh) PEG-PPSu was synthesized, which was then used to prepare fluorescent nanoparticles. The Rh conjugated PEG-PPSu was synthesized according to a published work [22]. Briefly, activation of Rh was effected when 0.1 gr of Rh was added into 10 mL DMSO and 0.06 mL TEA. After dissolving the dye, 51.6 mg of DCC and NHS were added. Magnetic stirring in the dark was continued for 24 h and the dicyclohexylurea produced was removed by filtration. DMSO and TEA were evaporated under

**Scheme 2 a** Schematic diagram of a production of activated folic acid (NHS-ester of FA) and **b** folic acid conjugation on PEG–PPSu to obtain FA–PEG–PPSu



vacuum and the activated Rh-NHS (Scheme 3a) was dried under vacuum.

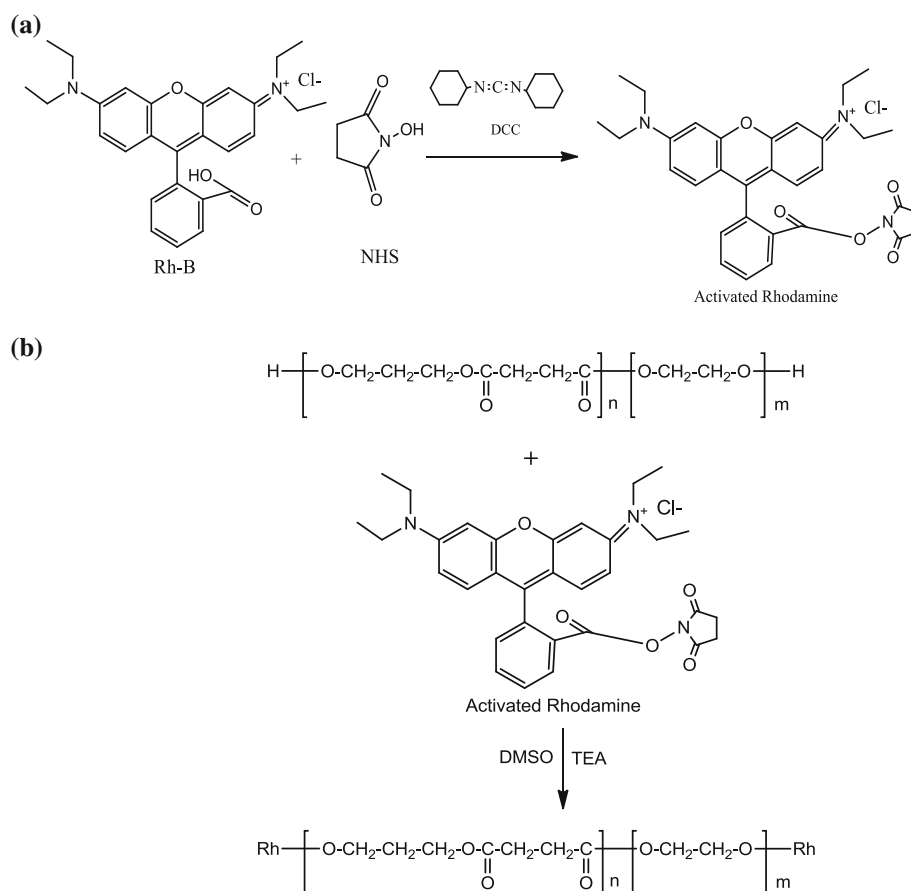
The dried Rh-NHS dissolved in 10 mL DMSO where 0.4 g of the PEG–PPSu and 0.02 mL TEA were also added in proportional amounts. The solution was stirred overnight and then dialyzed for 2 days. The final product was collected by lyophilization (Scheme 3b).

## 2.3 Functional materials characterization

### 2.3.1 Wide angle X-ray diffraction

Wide Angle X-ray diffraction (WAXD) measurements of the samples were performed by an automated powder diffractometer Rigaku Mini Flex II with Bragg–Brentano

**Scheme 3** Schematic diagram of **a** Rhodamine's activation and **b** conjugation of activated Rhodamine to PEG-PPSu



geometry ( $\theta$ – $2\theta$ ), using  $\text{CuK}\alpha$  radiation ( $\lambda = 0.154$  nm) in the angle  $2\theta$  range from  $5^\circ$  to  $50^\circ$ .

### 2.3.2 Fourier-transform infrared spectroscopy

FTIR spectra of the samples were taken with a FTIR-spectrometer (model FTIR-2000, Perkin Elmer, Dresden, Germany) using KBr disks (thickness of 500  $\mu\text{m}$ ). The spectra were recorded from 4000 to 400  $\text{cm}^{-1}$  at a resolution of 2  $\text{cm}^{-1}$  (64 co-added scans).

### 2.3.3 $^1\text{H-NMR}$ spectroscopy

$^1\text{H-NMR}$  spectra of polyesters were obtained with a Bruker spectrometer operating at a frequency of 400 MHz for protons. Deuterated chloroform ( $\text{CDCl}_3$ ) was used as solvent in order to prepare solutions of 5 % w/v. The number of scans was 10 and the sweep width was 6 kHz.

### 2.3.4 Differential scanning calorimetry

Differential scanning calorimetry (DSC) was performed on a Perkin-Elmer, Pyris Diamond differential scanning

calorimeter. A Perkin Elmer Intracooler 2P cooling accessory was used. Samples of  $5.0 \pm 0.1$  mg were sealed in aluminium pans and heated above the melting point of polyesters under nitrogen atmosphere at a heating rate 10  $^\circ\text{C}/\text{min}$ .

## 2.4 Preparation of IXA loaded polyester nanoparticles

IXA nanoencapsulation was carried out with a modified water-oil-water (w/o/w) emulsification/solvent evaporation method. In brief, 5 mg IXA were dissolved in 0.5 mL mixture of water with methanol (35:65 v/v) and the solution was emulsified with probe sonication at 15 W for 2 min in a FA-PEG-PPSu-FA solution in dichloromethane, typically 50 mg (45 mg of FA-PEG-PPSu—5 mg Rh-PEG-PPSu) polymer in 3 mL solvent. This emulsion was transferred to an aqueous solution of PVA ( $V = 9$  mL, 0.66 % w/v PVA) and the mixture was probe sonicated for 1 min. The formed w/o/w emulsion was gently stirred at room temperature and organic solvents were allowed to be evaporated completely. The nanoparticles were purified by centrifugation (9500 rpm for 20 min). The samples were reconstituted with deionized

water. The suspension was filtered by a microfilter with pore size of 1.2  $\mu\text{m}$  in order to remove polymer aggregates possibly formed.

## 2.5 Characterization of drug loaded nanoparticles

### 2.5.1 Nanoparticle yield, drug loading and entrapment efficiency

For the determination of the drug loading content, 3 mg of freeze-dried nanoparticles were dissolved in 50  $\mu\text{L}$  MeOH and diluted to 40 mL ACN/10 mM ammonium acetate 70/30 (v/v). The concentration of IXA in this solution was measured by High Performance Liquid Chromatography system using a Shimadzu HPLC (model LC-20AD) system. The column used was a CNW Technologies Athena C18, 120 A, 5  $\mu\text{m}$ , 250 mm  $\times$  4.6 mm with column temperature of 25  $^{\circ}\text{C}$ . The mobile phase consisted of ACN/10 mM ammonium acetate 70/30 (v/v) at a flow rate of 0.5 mL/min. Concentration determination was performed using an UV detector at 254 nm and was based on a previously created calibration curve. Nanoparticle yield, drug loading and drug entrapment efficiency were calculated using Eqs. (1)–(3), respectively:

$$\begin{aligned} \text{Nanoparticles yield (\%)} \\ &= \frac{\text{Weight of nanoparticles}}{\text{Weight of polymer and drug fed initially}} \times 100 \quad (1) \end{aligned}$$

$$\begin{aligned} \text{Drug loading content (\%)} \\ &= \frac{\text{Weight of drug in nanoparticles}}{\text{Weight of nanoparticles}} \times 100 \quad (2) \end{aligned}$$

$$\begin{aligned} \text{Entrapment efficiency (\%)} \\ &= \frac{\text{Weight of drug in nanoparticles}}{\text{Weight of drug fed initially}} \times 100 \quad (3) \end{aligned}$$

### 2.5.2 Morphology

The morphology of the prepared nanoparticles was examined with a Scanning Electron Microscope (JEOL, JMS-840). The samples were coated with carbon black to avoid charging under the electron beam. Operating conditions were: accelerating voltage 20 kV, probe current 45 nA, and counting time 60 s.

### 2.5.3 Particle size distribution by dynamic light scattering (DLS)

Particle size distribution of the IXA/polyester nanoparticles was determined by dynamic light scattering (DLS) using a Zetasizer Nano instrument (Malvern Instruments, Nano ZS, ZEN3600, UK) operating with a 532 nm laser. A

suitable amount of nanoparticles was dispersed in distilled water creating a total concentration 1 % and was kept under agitation at 37  $^{\circ}\text{C}$  in a water bath. Particle size was measured at different time intervals after sample introduction into the dispersion medium. All measurements were performed in triplicates and the results were reported as mean diameter  $\pm$  SD (standard deviation).

## 2.6 In vitro drug release

For the in vitro release studies, a dissolution apparatus type DISTEK 2100B equipped with an autosampler using the paddle method (USP II method) was used. Each dissolution vessel was loaded with quantity of nanoparticles corresponding to 2 mg of IXA. The test was performed at  $37 \pm 1$   $^{\circ}\text{C}$  with a rotation speed of 100 rpm. The dissolution medium was 500 mL of a solution simulating the inorganic composition of the body fluids (SBF) prepared according the Kokubo and Takadama procedure [23]. At predetermined time intervals, samples of 2 mL were withdrawn from the dissolution medium, filtered through 45  $\mu\text{m}$  UHMW polyethylene filters and assayed using an HPLC–UV method for the drug at 254 nm. In order to investigate the release mechanism, the obtained release data were fitted to several release models, such as zero order, first order, Hixson Crowell, Higushi and Korsmeyer-Peppas.

## 2.7 In vitro cytotoxicity studies: cells and culture conditions

HeLa Kyoto (HeLa K) and MCF7 cells were grown in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10 % FBS, 2 mM L-glutamine, 100 U/mL penicillin and 100  $\mu\text{g}/\text{mL}$  streptomycin (Invitrogen), at 37  $^{\circ}\text{C}$  with 5 %  $\text{CO}_2$  in a humidified incubator. Cell number was determined in duplicates using a haemocytometer. HeLa Kyoto and MCF7 cells were seeded in flat-bottomed 96-well plates (Sigma-Aldrich) at a density of 5000 and 8500 viable cells per well, respectively. Cells were incubated for 24 h to allow cell attachment. Cells were further incubated for 48 h with either PPSu–PEG or FA–PPSu–PEG nanoparticles at polymer concentrations ranging from 0.02 to 10  $\mu\text{g}/\text{mL}$ . After 48 h, a cell proliferation assay was performed: cells were washed twice with 1x PBS followed by an additional 1-h incubation with 100  $\mu\text{L}$  of DMEM containing 10  $\mu\text{L}/\text{well}$  Premix WST-1 (Takara). Absorbance of the formazan product was measured at 440 nm using a microplate plate reader (Infinite M1000, Tecan), while the reference wavelength was measured at 660 nm. Untreated cells were used as control for 100 % viability, and wells with only the culture medium and the Premix were used as background control (blank).

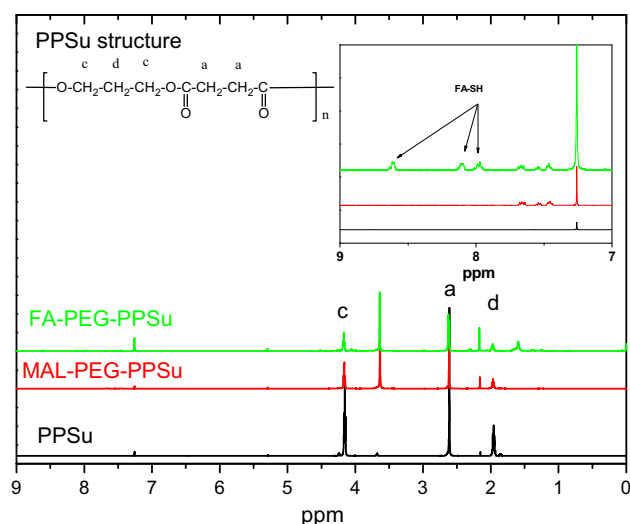
## 2.8 Live cell microscopy

For live cell microscopy,  $3 \times 10^4$  HeLa Kyoto cells were placed on Lab-Tek glass bottomed dishes 1 day before utilisation, and imaged on a customized Andor Revolution Spinning Disk Confocal System built around a stand (IX81; Olympus) with a  $\times 20$  air objective and a digital camera (Andor Ixon + 885) (CIBIT Facility, MBG-DUTH) equipped with an incubator at  $37^\circ\text{C}$ . Prior to imaging, cells were washed twice with a  $\text{CO}_2$ -independent medium, (041-95374, Life technologies) supplemented with 10 % FBS, 1 % L-Glu, 100 U/mL penicillin and 100  $\mu\text{g}/\text{mL}$  streptomycin, and then incubated in the same medium for imaging. Hoechst 33,342 at 1  $\mu\text{g}/\text{mL}$  final concentration was used to visualise the cell nucleus. Rhodamine was covalently attached to the nanoparticles in order to visualize them. Images were acquired as z stacks, according to experimental needs. Autofocus was performed at each time point before capturing a z-stack to ensure that the entire cell was captured. Image acquisition was performed in Andor IQ 2 software. Optical sections were recorded every 0.3  $\mu\text{m}$ . Image intensity analysis for data sets were performed in ImageJ 1.44n (National Institute of Health, USA) software. All microscopy images presented here are 2D maximum intensity projections of z-stack images (ImageJ 1.44n National Institute of Health, USA).

## 3 Results and discussion

### 3.1 Chemical structure and characterization of functional materials

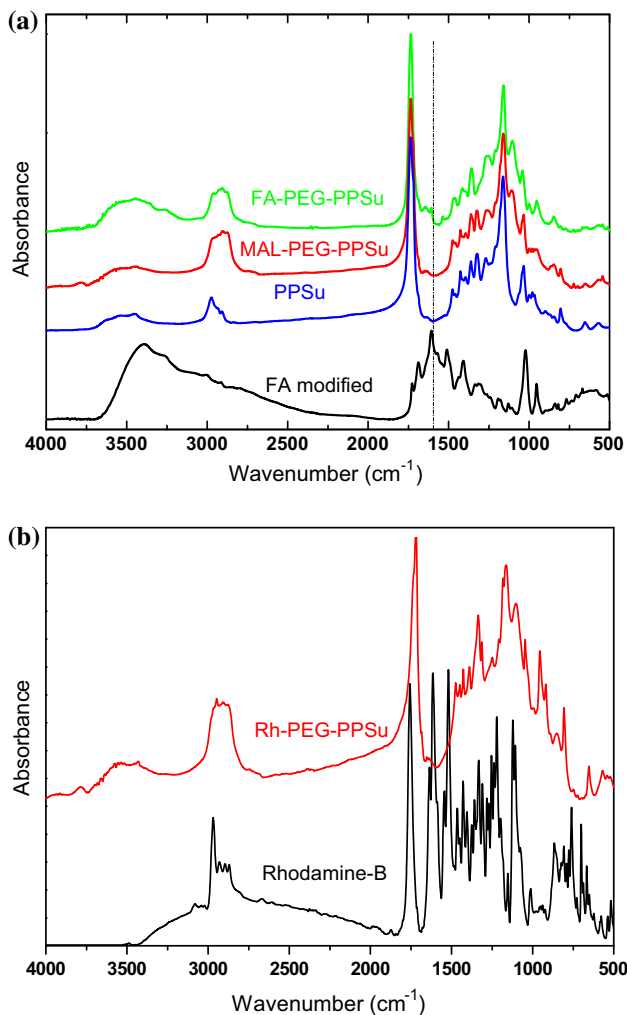
For the characterization of the synthesized materials and mainly to see if the proposed reactions are taking place  $^1\text{H}$ -NMR and FTIR spectroscopies were used and the recorded spectra of all materials are presented in Figs. 1 and 2, respectively. According to our previous study [9], the  $^1\text{H}$ -NMR spectrum of neat PPSu presents a single peak at 2.63 ppm which is attributed to the a (Fig. 1) methylene protons of succinic acid, a multiple peak between 1.9 and 2.02 ppm corresponding to the d (See Fig. 1) protons of propanediol and a triple peak at 4.09–4.21 ppm attributed to the c (Fig. 1) protons ( $-\text{CH}_2-\text{O}-$ ) of propanediol. The  $^1\text{H}$ -NMR spectrum of MAL-PEG-PPSu copolymer in addition exhibits a peak at 3.6 ppm assigned to the methylene groups of PEG and a peak at 4.18–4.3 ppm ascribed to the methylene groups of ethyleneglycol [21]. FA-PEG-PPSu copolymer presents, in addition to the above mentioned peaks, two small peaks at 7.8 and 8.0 ppm (See Fig. 1 and inset in Fig. 1) assigned to protons of FA [24].



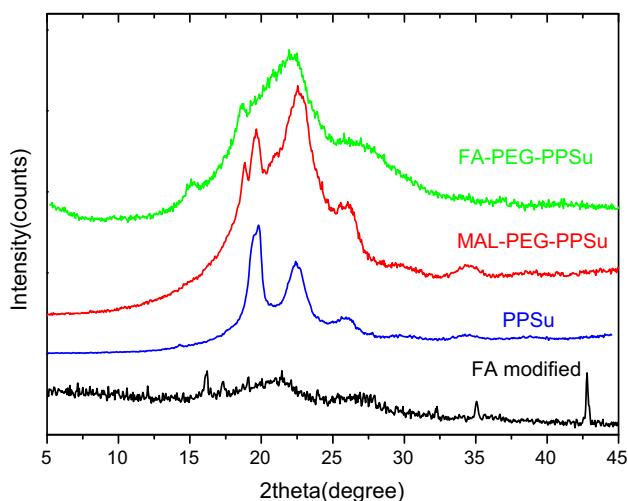
**Fig. 1**  $^1\text{H}$ -NMR spectra of PPSu, MAL-PEG-PPSu and FA-PEG-PPSu

Respectively, in FTIR spectrum (Fig. 2) of neat PPSu, a strong band at  $1734\text{--}735\text{ cm}^{-1}$  of carbonyl groups  $\text{C}=\text{O}$  and a broad band at  $3433\text{--}3439\text{ cm}^{-1}$  assigned to  $-\text{OH}$  groups can be observed. Furthermore, some other peaks at  $1454\text{--}1474\text{ cm}^{-1}$  ( $-\text{CH}-$ ) and at  $1163\text{--}1167\text{ cm}^{-1}$  ( $\text{C}-\text{O}-\text{C}$  stretching) can be also seen [25]. MAL-PEG-PPSu spectrum includes the following peaks: the strong band at  $1726\text{ cm}^{-1}$  assigned to the carbonyl groups of polyester and a broad band at  $3500\text{--}3400\text{ cm}^{-1}$  corresponds to the hydroxyl groups of PEG conjugated to the polymer. Three small peaks that recorded at 1608, 1686 and a  $606\text{ cm}^{-1}$  in FA-PEG-PPSu copolymer indicated the conjugation of modified FA into PEG-PPSu since these two peaks existed only in the neat modified FA [26]. Evidence of the existence of hydrogen bonding between FA and PEG provides the shifting in lower wavenumber of band at  $1608\text{ cm}^{-1}$ . FTIR spectroscopy as well as  $^1\text{H}$ -NMR confirm the successful preparation of the modified PPSu copolymers containing PEG and FA groups.

The successful conjugation of activated Rhodamine to PEG-PPSu was shown using FTIR spectroscopy. In Fig. 2b the spectrum of Rhodamine B is presented. At  $2967\text{ cm}^{-1}$  the peak of the methylene groups of aromatic rings is observed while at  $1766\text{ cm}^{-1}$  the absorbance of the carbonyl group is recorded. The shifting of the peak at  $1731\text{ cm}^{-1}$  in the spectrum of Rh-MAL-PEG-PPSu material and the existence of a small peak at  $1623\text{ cm}^{-1}$  ( $\text{C}=\text{N}$  stretching) indicate the successful conjugation of Rhodamine on the polymeric carrier.



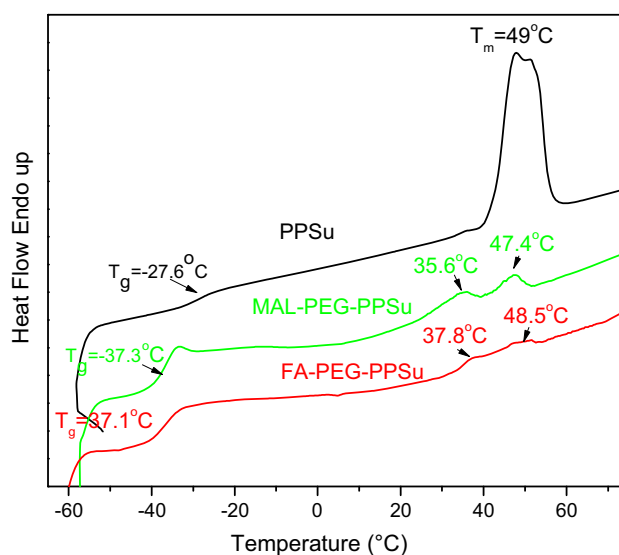
**Fig. 2** FTIR spectra of **a** PPSu, modified FA, MAL-PEG-PPSu and FA-PEG-PPSu and **b** Rhodamine-B and Rh-PEG-PPSu



**Fig. 3** X-ray diffraction (XRD) patterns of FA-NHS (FA modified), PPSu-neat, MAL-PEG-PPSu and FA-PEG-PPSu

The physical state of the synthesized functionalized PPSu copolymers has also been studied with XRD and DSC, since it is well known that crystallinity plays an important role in drug release from polymeric systems [27]. PPSu is a semicrystalline polyester with low melting point close to the human body temperature. In Fig. 3, the XRD patterns of neat PPSu, FA-PPSu, MAL-PEG-PPSu and FA-PEG-PPSu are illustrated. Neat PPSu presents characteristic crystalline peaks at  $2\theta = 19.6^\circ$ ,  $22.49^\circ$ ,  $25.92^\circ$  and  $34.45^\circ$ . FA exhibits also weak peaks at  $16^\circ$ ,  $35^\circ$  and  $42^\circ$ , confirming its crystalline nature. MAL-PEG-PPSu displays 4 main crystalline peaks at  $19^\circ$ ,  $21^\circ$ ,  $22^\circ$  and  $27^\circ$ . These peaks appeared also in the diffractogram of FA-PEG-PPSu, too. However, in MAL-PEG-PPSu pattern there is also a small peak, which was recorded as shoulder, at  $20.92^\circ$ , corresponded to the addition of PEG into PPSu. After the addition of FA into MAL-PEG-PPSu, a more amorphous material was prepared (FA-PEG-PPSu), since the peaks of PPSu are not so clearly visible. It appears that the addition of PEG and FA in PPSu chains modified its crystalline structure and copolymers with lower crystallinity were obtained.

Differential scanning calorimetry (DSC) was also used to investigate the physical structure of synthesized materials (See Fig. 4). Neat PPSu exhibited a melting point at  $49^\circ\text{C}$  and a  $T_g$  value at  $-27.6^\circ\text{C}$ , in accordance to our previous work [8]. The addition of MAL-PEG into PPSu reduced the melting point of PPSu to  $35.6^\circ\text{C}$  due to co-crystallization with PEG, as was verified by the XRD studies, while a second melting point appeared at  $47.4^\circ\text{C}$ . The co-crystallization structural consequences became



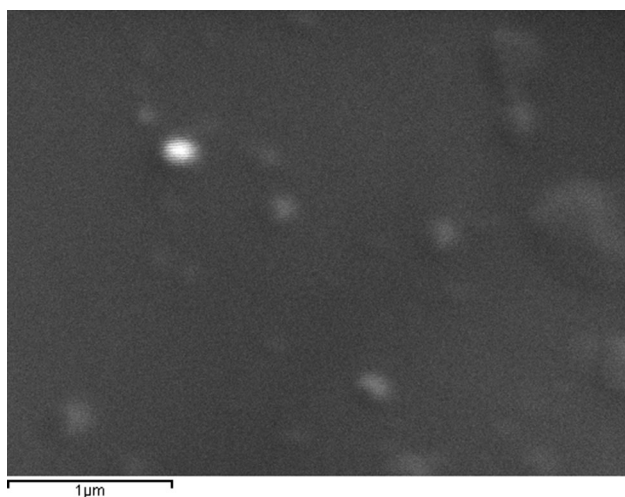
**Fig. 4** Differential scanning calorimetry thermograms (DSC) of PPSu, MAL-PEG-PPSu, and FA-PEG-PPSu



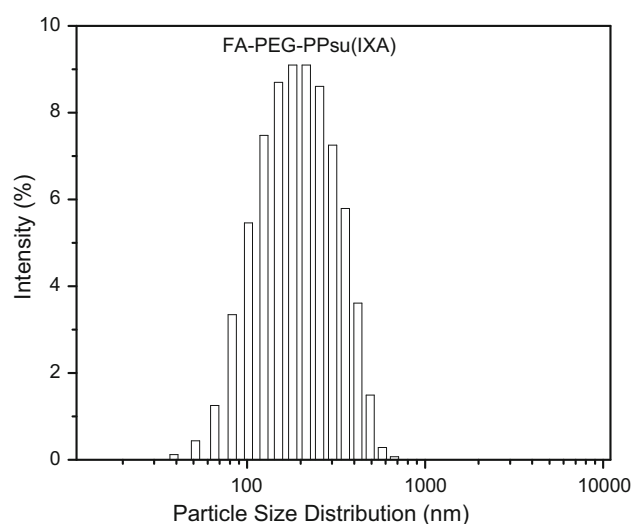
more clearly evident in the  $T_g$  value, which is shifted to  $-37.3$  °C due to the plasticization effect of PEG, since neat PEG has a  $T_g$  value near to  $-60$  °C. The heat capacity at  $T_g$  area in MAL-PEG-PPSu sample has been increased while the heat of fusion of melting point has been reduced, compared with neat PPSu, which is an indication that MAL-PEG-PPSu is more amorphous than PPSu. The  $T_g$  temperature of FA-PEG-PPSu appeared at  $-37.1$  °C, almost identical to that of MAL-PEG-PPSu. Two melting points were also recorded at  $37.8$  and  $48.5$  °C, but the respective peaks were smaller than those corresponding to MAL-PEG-PPSu. This confirms that FA-PEG-PPSu is more amorphous than neat PPSu and its MAL-PEG-PPSu copolymer, in accordance to the XRD results (See Fig. 3).

### 3.2 Characterization of functionalized nanoparticles

The FA-modified copolymers were used to prepare IXA-loaded nanoparticles. The prepared nanoparticles were initially characterized using SEM and Fig. 5 presents SEM micrograph of FA-PEG-PPSu nanoparticles of IXA. It is clear that individual particles with spherical shape were obtained. Dynamic light scattering (DLS) was used to characterize the size characteristics of the nanoparticles. Size is important for efficient tumor localization of nanoparticles after intravenous administration, with nanoparticles with size in the range of 20–200 nm accumulating preferentially at tumors due to the EPR phenomenon [20, 21]. According to DLS measurements (See Fig. 6), the size of nanoparticles ranged between 40 and 700 nm, with a mean particle size of 195 nm while the majority of the NPS present the favorable size of 100–200 nm. Therefore, we can suggest the folate-NPS are



**Fig. 5** SEM photograph of IXA-loaded FA-PEG-PPSu nanoparticles



**Fig. 6** Particle size distribution of IXA-loaded FA-PEG-PPSu nanoparticles based on DLS

suitable for their potential use as targetable nanocarriers of IXA [28–30]. In the literature, the definition of nanoparticles is not clear. However, according to several works, the researchers define NPs even they have 500 or 700 nm size [31].

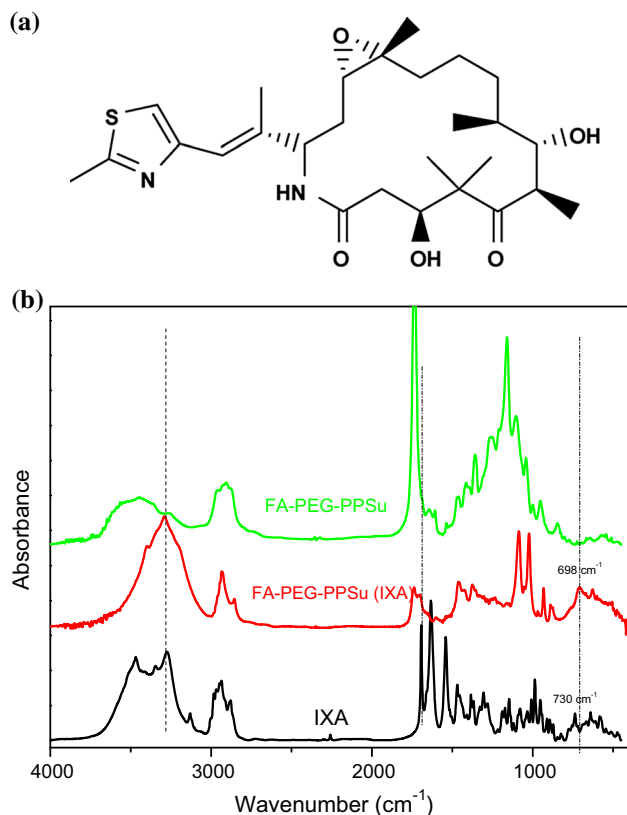
Drug loading in nanoparticles can be affected by various factors, such as polymer molecular weight, crystallinity and ratio of hydrophilic segments in the macromolecular chains [22]. Table 1 summarizes the nanoparticle yield, drug loading and entrapment efficiency of FA-PEG-PPSu nanoparticles. Satisfactory IXA (%) loading and entrapment efficiency were obtained with the modified w/o/w emulsification and solvent evaporation technique applied to prepare the nanoparticles.

In order to reveal if there any interactions between IXA (Fig. 7a) and polymer matrix, all prepared nanoparticles were studied by FTIR spectroscopy and were compared with IXA and the Folate-polymer spectra. As it can be seen in Fig. 7b, the characteristic peaks of IXA are recorded at  $3400$  and  $2982$   $\text{cm}^{-1}$  corresponding to its hydroxyl group and the secondary amino group, respectively. The spectrum of nanoparticles loaded with IXA is similar to that of unloaded FA-PEG-PPSu nanoparticles. So, it can be argued that there are no interactions between drug and polymer carrier.

X-Ray diffraction studies were performed in order to study the physical form of the drug in the prepared nanoparticles (Fig. 8). The diffractogram of neat IXA exhibits some sharp diffraction peaks, with the most characteristic of them appearing at  $15^\circ$ – $25^\circ$ . As it was mentioned above, FA-PEG-PPSu presents a broad peak, indicating a mainly amorphous structure of the synthesized

**Table 1** Nanoparticle yield, drug loading and entrapment efficiency of IXA-loaded FA-PEG-PPSu nanoparticles

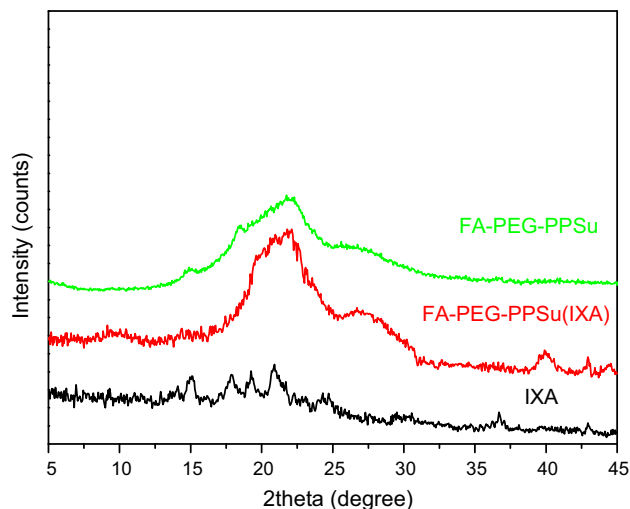
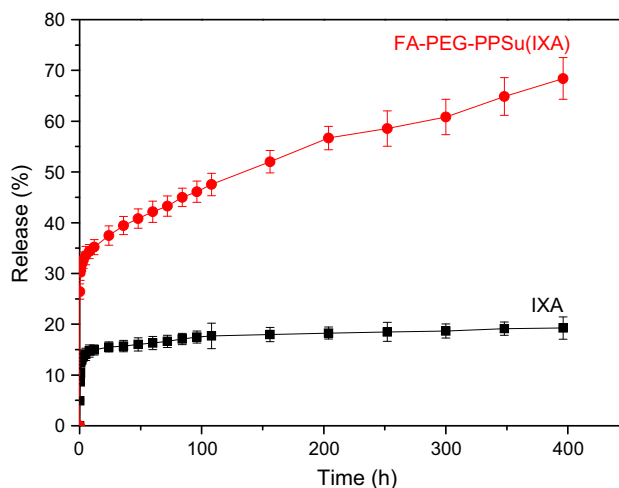
	Nanoparticle yield (%)	Drug loading (%)	Entrapment efficiency (%)
IXA loaded FA-PEG-PPSu	39.09	3.53	38.80

**Fig. 7** **a** Structure of ixabepilone and **b** FTIR spectra of IXA, IXA-loaded FA-PEG-PPSu nanoparticles, and FA-PEG-PPSu nanoparticles

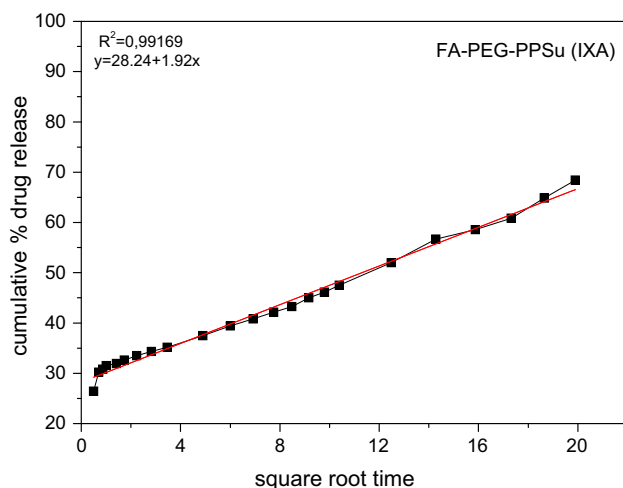
material. In the case of loaded nanoparticles with IXA, the diffractogram is similar to that of blank nanoparticles. However, the characteristic peaks of neat IXA were not recorded, indicating the dispersion of IXA in amorphous form inside the nanoparticles.

### 3.3 In vitro drug release

The drug release from nanoparticulate systems consisting of biodegradable polymers can be influenced by different parameters, such as crystallinity, glass transition temperature, melting point, molecular weight, drug entrapment efficiency to the polymer, nanoparticles' size and drug's hydrophilicity [23, 32–34]. In Fig. 9, the in vitro release

**Fig. 8** XRD diffractogram of FA-PEG-PPSu nanoparticles, IXA-loaded FA-PEG-PPSu nanoparticles and IXA**Fig. 9** Release profile of neat IXA and IXA encapsulated in FA-PEG-PPSu nanoparticles

profiles of non-encapsulated IXA and IXA-loaded nanoparticles are shown. IXA is poorly soluble drug according to the literature [35]. About 10 % percentage of free IXA had been dissolved during the first 5 h. Then, very slow dissolution was observed indicating the inability of the chosen model drug to fully be dissolved in body



**Fig. 10** Fitting the release profile obtained with the nanoparticles to Higuchi release model

fluids. However, the initial, relatively fast dissolution could be attributed to the rapid dissolution of IXA particles with very small diameter. As far of our knowledge, there are no reports studying NPs loaded with IXA, consisting of biodegradable polymer such as PPSu, PLA or PCL. However, PPSu attached to hydrophilic segments of PEG has been studied for several model drugs [17]. Vassiliou et al., showed that when a hydrophobic drug is entrapped in such polyesters higher release rates are verified as well as that the hydrophobic drug was mainly entrapped in hydrophobic segments [17]. Taxol a known anti-cancer drug was successfully encapsulated in magnetic core-shell NPs of PPSu in which case observed a biphasic pattern- a high release rate followed by slow dissolution [36]. In our case of nanoparticles, a biphasic pattern can also be identified. This behaviour is similar for polymeric nanoparticles [27, 33]. The burst release of around 35 % of IXA content was observed during the first 2 h, which was followed by a sustained release. Burst release phenomenon according to the literature does not have a clear mechanism however can be controlled by the manufacturing procedure [37]. The initial fast release from the nanoparticles could be attributed to the rapid dissolution of drug located on/close to nanoparticles' surface [38, 39]. The higher dissolution rate of encapsulated IXA compared to free (crystalline) IXA can be attributed to the mainly amorphous state of IXA entrapped into the polymeric matrix, as indicated by XRD studies (See Fig. 8). IXA is mainly encapsulated in the amorphous form in the nanoparticles and it is well known

that amorphous drugs have higher solubility compared with their crystalline counterparts [33].

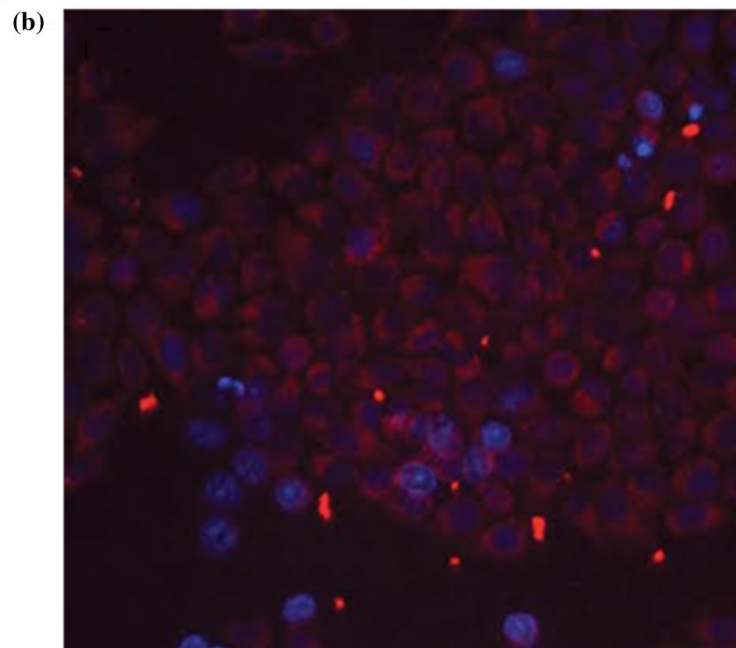
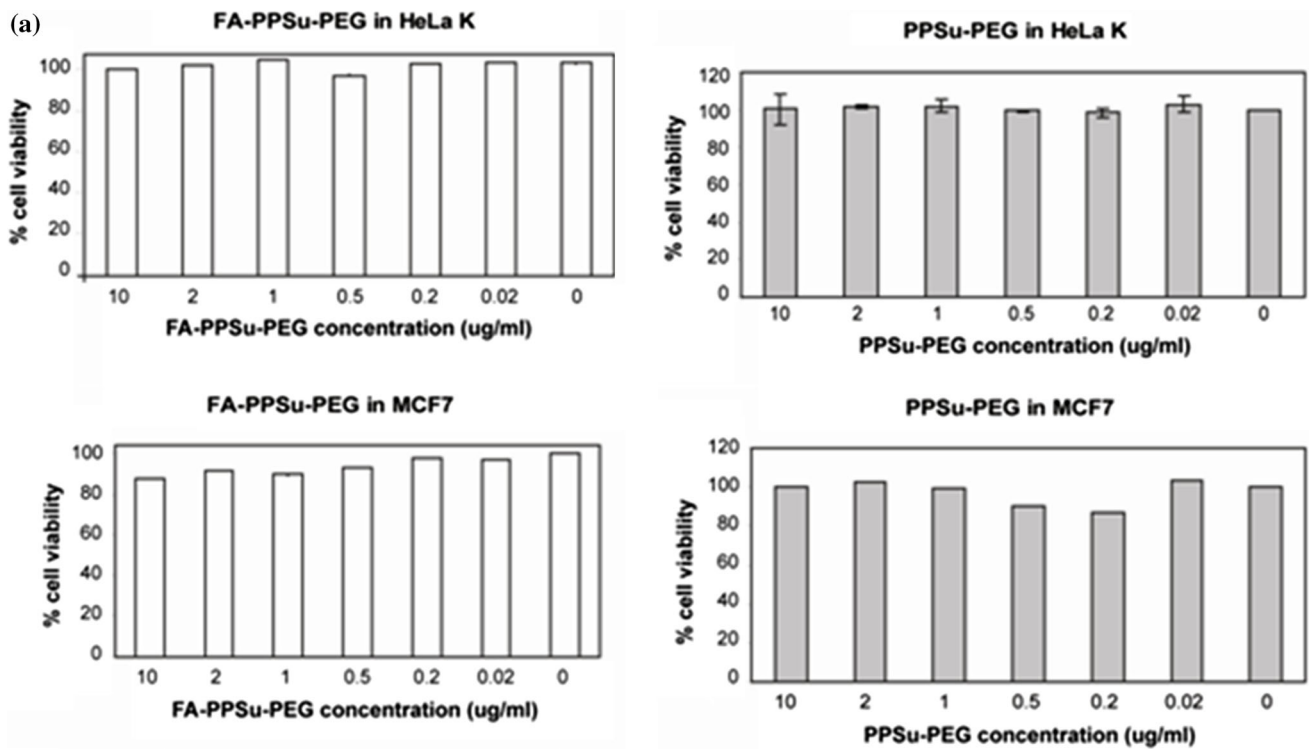
The *in vitro* release profile of IXA from the FA-PEG-PPSu nanoparticles was analyzed by various kinetic models. The kinetic models used were zero order, first order, Higuchi and Korsmeyer-Peppas equation [37]. Release kinetics was found to fit the Higuchi model best (See Fig. 10) and thus the release mechanism may be considered to be drug diffusion.

Additionally, in the prepared NPs it is believed that a high content of the drug is located near the hydrophilic part of FA-PEG. After these early stages, the drug from the hydrophobic part is diffused from the hydrophobic part indicating the slower release.

### 3.4 *In vitro* cytotoxicity and cellular uptake studies

The human cervical cancer cell line HeLa Kyoto (HeLa K) and the human breast cancer cell line MCF7 were used to test the cytotoxicity of PPSu-PEG and FA-PPSu-PEG nanoparticles (NPs) without IXA. The NPs were weighed, suspended in PBS and added in HeLa K and MCF7 cells. Figure 11a shows the viability of HeLa K and MCF7 cells after 48 h of cell culture with either PPSu-PEG or FA-PPSu-PEG in polymer concentration ranging from 0.02 to 10  $\mu\text{g}/\text{mL}$ . No significant cytotoxic activity was found for the drug-free NPs at all tested concentrations. Moreover, the addition of folic acid (FA) on the polymer molecules did not significantly affect cell viability, since  $97.2\% \pm 0.06\%$  of HeLa K cells and  $87.73\% \pm 0.01\%$  of MCF7 cells remained viable after 48 h of incubation with the nanoparticles. As a conclusion, the two different NPs tested in the two cancer cell lines did not result to significant loss of cell viability and thus can be considered to be safe and non-toxic.

In order to confirm the cellular uptake of the FA-PPSu-PEG nanoparticles after being modified by the tumor cell-specific targeting ligand folic acid, HeLa cells were used, which are folic acid receptor positive. In order to visualize the nanoparticles, Rhodamine (Rho) was covalently attached to them and HeLa cells were incubated in the presence of PPSu-PEG-Rho nanoparticles and their cell entry was imaged live using a confocal microscope (See Fig. 11b). Cell entry started at early time points, achieving a maximum at 5 h. The results confirm the ability of FA-PPSu-PEG nanoparticles to enter folic-acid receptor positive cells (HeLa).



**Fig. 11 a** Viability of HeLa K and MCF7 cells after 48 h incubation with PPSu-PEG and FA-PPSu-PEG nanoparticles. Graphs are the mean of 3 independent experiments  $\pm$  SD. **b** Representative image

showing the cellular uptake of the FA-PPSu-PEG-Rho nanoparticles in HeLa Kyoto cells, 5 h after their addition to the cell medium. Cell nuclei were stained with Hoechst 33,342

## 4 Conclusion

A novel FA-functionalized PEG–PPSu copolymer was successfully synthesized and fully characterized. In vitro cytotoxicity studies indicated that FA–PEG–PPSu is a cytocompatible material that could safely be used for the development of targetable nanoparticulate drug carriers. IXA-loaded nanoparticles of FA–PEG–PPSu, were prepared in a satisfactory yield and with a satisfactory IXA loading efficiency. The nanoparticles exhibited sustained drug release profile in simulating body fluid. Moreover, the nanoparticles were able to enter human breast cancer cells that express the FA receptor. The results obtained justify further investigation of the suitability of these nanoparticles to be utilized as targetable IXA nanocarriers in breast cancer chemotherapy.

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