

# **Experimental investigations in the intermetallic and microvoid formation in sub‑200 °C Cu–Sn bonding**

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#### **Abstract**

This paper reports the intermetallic growth and microvoid formation in the Cu–Sn layers, which were annealed at low temperatures (sub-200°C) for durations varying from 120 to 1440 min. A 10 µm thick tin was electrodeposited on copper samples. Both Cu<sub>6</sub>Sn<sub>5</sub> and Cu<sub>3</sub>Sn IMCs were formed and had a non-uniform scalloped shaped profile but with different scallops sizes. Void growth was studied at three different locations, i.e., the Cu–Cu<sub>3</sub>Sn interface, within the Cu<sub>3</sub>Sn, and at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface. The void size in these locations increased with increasing annealing durations and temperatures due to the coalescence of nearby voids. The void fraction at the Cu–Cu<sub>3</sub>Sn and Cu<sub>3</sub>Sn–Cu<sub>6</sub>Sn<sub>5</sub> interfaces was observed to decrease, whereas the void fraction within the  $Cu<sub>3</sub>Sn$  IMC increased with increasing annealing durations. The largest voids were seen at the Cu–Cu<sub>3</sub>Sn interface, while the highest void fraction was found within the Cu<sub>3</sub>Sn IMC. The overall void size and void fractions for all experimental conditions were always smaller than 3  $\mu$ m<sup>2</sup> and 1.44  $\mu$ m<sup>-1</sup>, respectively. The obtained results can be used in the hermetic packaging of MEMS devices performed at sub-200 °C. Processing at these low temperatures result in reduced thermo-mechanical stress and also eliminate the molten tin squeezing-out from the bonding zone, which is a known issue in Cu–Sn solid–liquid inter-diffusion bonding performed at temperature > 232 °C.

## **1 Introduction**

Achieving hermetic packaging is an absolute necessity to maintain long term reliability in Micro-electro-mechanicalsystems (MEMS) inertial sensors such as accelerometer, gyroscopes, and digital micromirror, etc. Hermetic packaging not only provides a controlled environment but also protects the moving structures from the external contaminants, and moisture, and thus, preventing the devices from the corrosion.

Anodic bonding, glass-frit bonding, eutectic bonding, and thermocompression bonding are some of the popular hermetic packaging techniques used in MEMS applications; however, these techniques have certain limitations. Anodic bonding requires high electrical potential  $(>300 V)$ , which may not be suitable for many commercial applications [\[1](#page-10-0)]. In the glass-frit bonding, the processing temperature is around  $\sim$  400 °C, which results in higher thermo-mechanical stresses. The re-melt temperature of the glass-frit bond is

 $\boxtimes$  Pradeep Dixit pradeep.dixit@iitb.ac.in around the original bonding temperature, which restricts its application to multiple stacking. Fusion bonding is not suitable for MEMS packaging applications due to its extremely high bonding temperature ( $>600$  °C). Higher bonding temperatures generate higher thermo-mechanical stresses since the materials used in MEMS sensors and packaging has different coefficients of thermal expansion (CTE), which often results in failure and reliability risks. To improve the longterm reliability, there are continuous demands to develop cost-efective packaging methods having lower processing temperatures, leading to reduced thermo-mechanical stresses [[2\]](#page-10-1).

Metal-based hermetic bonding techniques are being explored for the MEMS packaging applications. The metals have extremely low helium leakage rate compared to silicon and glass-based materials, thus implying a very high degree of hermeticity [\[3](#page-10-2), [4\]](#page-10-3). The metallic bond pads/rings also provide efficient heat dissipation along with rigid mechanical support to the packaged structure. Direct metal-to-metal thermocompression bonding (i.e., Cu–Cu, Au–Au) is used in the packaging of MEMS accelerometers for mobile phone applications. However, in the thermocompression bonding, the pressure is beyond the yield strength of the metals. In some extreme cases where the die thickness is less than

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50 µm, the bonding pressure resulted in the die cracking [\[3](#page-10-2)]. It also has a high bonding temperature  $(\sim 350-400 \degree C)$ , which led to the generation of high thermo-mechanical stresses. Native oxide formation in Cu and the higher cost of Au are other challenges in achieving process-compatible, reliable, and low-cost hermetic packaging by direct metalto-metal thermocompression bonding [\[5\]](#page-10-4).

To overcome the limitations of thermocompression bonding while retaining its advantages, soft metal-based Solid–liquid-inter-difusion (SLID) bonding was explored. In SLID bonding, an intermediate metal (e.g., Sn and In) having low melting temperatures  $(<232 \degree C)$  is used for bonding purposes. Compared to thermocompression bonding, SLID has lower bonding temperatures and bonding pressures. Cu–Sn based SLID bonding has been used because of their low-cost, readily available, and the lower melting point of tin [[6–](#page-10-5)[10](#page-10-6)]. At bonding temperatures above the melting point of Sn, Cu initially dissolves into the molten Sn till liquid Sn gets saturated with Cu. It results in the formation of solid intermetallic compounds (IMCs), namely  $Cu<sub>6</sub>Sn<sub>5</sub>$  and Cu<sub>3</sub>Sn having higher melting points, i.e., 415 °C and 676 °C, respectively [\[11,](#page-10-7) [12](#page-10-8)]. Since the IMC thicknesses are quite low and are electrically conductive [[13](#page-10-9)], the SLID bonding can also be used to form interconnects simultaneously.

SLID bonding eliminates the requirement of a very smooth and uniform surface since the intermediate soft metal is easily deformed upon low bonding pressures. The mechanical strength of the SLID bonded structures has shown wide variations in the range of 2 to 60 MPa [\[11,](#page-10-7) [14](#page-10-10)[–16](#page-10-11)] which implies that a better understanding of the Cu–Sn SLID bonding process including the IMC growth and void formation and the efect of voids over the IMC growth is required.

Most of the literature related to Cu–Sn difusion bonding has higher processing temperatures ( $>$  232 °C) [\[9](#page-10-12)–[11,](#page-10-7) [17](#page-10-13)[–22\]](#page-10-14), while the studies involving Cu–Sn difusion study at low temperatures  $(<232 \degree C)$  are either performed with solders or are very limited [\[7](#page-10-15), [8](#page-10-16), [10](#page-10-6), [23\]](#page-10-17). At higher processing temperatures ( $>$  232 °C), the molten tin squeezing out between the die and the substrate are observed when the pressure is applied. This squeezed tin may causes short-circuit and result in reduced reliability [\[9](#page-10-12), [14](#page-10-10)]. Figure [1](#page-1-0) shows the problem of tin squeezing/flowing out when the Cu–Sn samples were heated at 300 °C for 10 min. The molten tin gets in contact with the neighboring copper pad and may cause the short-circuiting of the devices. The squeezing out of Sn also reduces the available amount of Sn, which results in reduced IMC growth. This problem can be eliminated by performing the bonding at sub-200 °C temperature. As

<span id="page-1-0"></span>**Fig. 1** SEM images showing the electrodeposited copper pads covered with 3 µm tin, **a** molten tin was squeezed out at 300 °C, **b** tin was intact at 200 °C



shown in Fig. [1](#page-1-0)b the electrodeposited tin was still intact when the samples were heated to 200°C for 60 min.

A combined study of IMC growth and void formation for the Cu–Sn bonding at sub-200 °C is essential to address the existing issues of SLID bonding and to achieve a costefective, reliable hermetic packaging of MEMS devices. Therefore, IMC growth and void formation in the Cu–Sn solid-state difusion stacks are investigated at sub-200 °C. In this study, void formation and IMC growth at diferent annealing temperatures for varying durations are presented in terms of the average void sizes, the void fractions, and the IMC thicknesses. The experimentally measured IMC thicknesses were later compared with the thickness predicted by a MATLAB numerical simulation model using the difusion parameters from the literature [\[10](#page-10-6)]. Variation in the void size and void fraction with their efects on the IMC growth are also reported.

## **2 Experimental details**

#### **2.1 Sample preparation**

Copper samples (purity 99.9%) having a diameter of 16 mm and 10 mm length were used as the substrates, which were polished and cleaned with acetone, isopropyl alcohol (IPA) and deionized (DI) water in the ultrasonic bath to remove any organic impurities and airborne particles. A 10 µm thick Sn layer was electrodeposited by using a pulse electrodeposition technique. Both pulse-on and pulse-off periods were kept as 1 ms. Electrodeposition was performed at a current density of 30 mA/cm<sup>2</sup> in a commercial electrolyte (Atotech, Germany), having continuous fltration and agitation to achieve uniform electrodeposition. The Cu substrates before and after the electrodeposition of Sn are shown in Fig. [2](#page-2-0).

The samples were annealed at diferent temperatures (i.e., 150 °C, 175 °C and 200 °C) for varying durations (i.e., 120 min, 420 min, and 1440 min) in a vacuum furnace to



<span id="page-2-0"></span>**Fig. 2** Fabricated samples for the void and IMC growth in this study, **a** Pure Cu sample, and **b** Electroplated Sn over Cu sample

inhibit the oxidation of copper and tin. The annealed samples were then molded in an epoxy mold and sequentially polished using silicon carbide (SiC) papers and diamond suspensions having 6  $\mu$ m, 1  $\mu$ m, and 0.25  $\mu$ m particle sizes.

#### **2.2 Microstructural characterization**

The cross-sectional micrographs of the samples were observed under the feld emission gun scanning electron microscope (FEG-SEM) (JEOL JSM-7600F). All FEG-SEM micrographs were analyzed at a  $\times$  4000 magnification having a resolution of 0.023 µm pixel−1. Energy dispersive spectroscopy (EDS) was performed to identify the composition of the observed IMCs. The SEM micrographs were analyzed to measure the average thicknesses of  $Cu<sub>6</sub>Sn<sub>5</sub>$  and  $Cu<sub>3</sub>Sn$ , void sizes in terms of void areas and void fractions, i.e., the number of voids per unit length. The voiding data was observed at three locations, i.e., at the  $Cu-Cu<sub>3</sub>Sn$  interface, within the Cu<sub>3</sub>Sn, and the Cu<sub>3</sub>Sn–Cu<sub>6</sub>Sn<sub>5</sub> interface. For each experimental condition, the IMC thicknesses, the void areas, and the void fractions were measured at four diferent regions in three diferent samples to get the averaged data. As the void growth is arbitrary, the average data of IMC thickness and void areas will be a fair approximation of the behavior of entire Cu–Sn stack.

## **3 Experimental results and discussion**

#### **3.1 Intermetallic growth in the Cu–Sn stack**

Initially, the IMC thicknesses were calculated by a MAT-LAB-based numerical simulation using the reaction kinetics model for one-dimension difusion. The difusion parameters needed in this model were taken from the literature [\[10\]](#page-10-6). The thicknesses of IMCs and consumed Sn for any temperature and duration were given by [[10\]](#page-10-6):

<span id="page-2-1"></span>
$$
y_t^2 - y_o^2 = kt^{2n}
$$
 (1)

where

<span id="page-2-2"></span>
$$
k = k_o \exp\left(-\frac{E_a}{RT}\right) \tag{2}
$$

where  $y_t$  represents the thickness ( $\mu$ m) at time *t* (min),  $y_o$  is the initial thickness at  $t=0$  min, i.e.,  $y_o=0$ , *k* is the diffusion rate constant ( $\mu$ m<sup>2</sup> min<sup>-1</sup>),  $k_o$  is the diffusion coefficient ( $\mu$ m<sup>2</sup> min<sup>-1</sup>),  $E_a$  is the activation energy (kJ mol<sup>-1</sup> K<sup>-1</sup>), *R* is the gas constant (kJ mol<sup>-1</sup>), *T* is the absolute temperature (K), *t* is the annealing time (min), and *n* is the dimensionless empirical time constant. The  $Cu<sub>6</sub>Sn<sub>5</sub>$  thickness was calculated by using the mass conservation of Sn as the amount of consumed Sn will be equal to the total amount of Sn present in the Cu<sub>3</sub>Sn and the Cu<sub>6</sub>Sn<sub>5</sub> [\[21\]](#page-10-18). The total IMC

thickness was calculated as the summation of the  $Cu<sub>3</sub>Sn$  and the  $Cu<sub>6</sub>Sn<sub>5</sub>$  thicknesses.

Figure [3](#page-3-0) shows the composition of the top surface of an electrodeposited sample annealed at 200 °C for 1440 min as obtained by the EDS. The results confrmed that the top surface in all the samples still had Sn, which was not entirely converted into the IMCs. The thickness of IMC layers predicted from the simulation model indicates that even at extreme experimental conditions (200 °C and 1440 min) only a 5.98 µm thick Sn would be consumed to form a 6.67 µm thick  $Cu<sub>6</sub>Sn<sub>5</sub>$  and a 2.56 µm thick  $Cu<sub>3</sub>Sn$ . The simulation model predicted that the complete conversion of a 10  $\mu$ m thick Sn into Cu<sub>6</sub>Sn<sub>5</sub> and Cu<sub>3</sub>Sn IMCs would require more than 4600 min at an annealing temperature of 200 °C. Performing experiments for such a prolonged duration is not practically possible, and therefore, the lower thickness of electrodeposited tin should be used for sub-200 °C bonding applications.

Figure [4](#page-4-0) shows the formation of IMCs in the samples annealed at diferent experimental conditions. The crosssectional SEM micrographs also confrmed that the Sn was not completely consumed to form the IMCs. Both  $Cu<sub>6</sub>Sn<sub>5</sub>$ and  $Cu<sub>3</sub>Sn$  IMCs have non-uniform scallops, but the variation of scallop size in the case of  $Cu<sub>6</sub>Sn<sub>5</sub>$  was higher than that in the Cu<sub>3</sub>Sn. Since, it has been reported that  $Cu<sub>6</sub>Sn<sub>5</sub>$  has monoclinic lattice structure at temperatures below 186 °C and hexagonal lattice structure above 186 °C [\[24](#page-10-19), [25\]](#page-11-0), the difusion rates would be diferent in the diferent crystallographic directions. Therefore, a scallop shape growth was noticed in the  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMC. The small scallops of  $Cu<sub>3</sub>Sn$ could be due to the selective and rapid dissociation of  $Cu<sub>6</sub>Sn<sub>5</sub>$  into Cu<sub>3</sub>Sn at specific locations. The IMC thicknesses were observed to increase with increasing durations for all annealing temperatures (Fig. [4a](#page-4-0)–i).

Since the IMC growth is parabolic  $[26]$  $[26]$  $[26]$ , the square of IMC thickness was plotted against the annealing duration (Fig. [5\)](#page-4-1). A linearly increasing trend was noticed for the  $Cu<sub>6</sub>Sn<sub>5</sub>$  and  $Cu<sub>3</sub>Sn$  growth with the increasing durations at the given annealing temperature. These results have verifed the parabolic growth behavior of both IMCs. The slope of  $Cu<sub>6</sub>Sn<sub>5</sub>$  growth was lower than that of the Cu<sub>3</sub>Sn. It implies that the  $Cu<sub>3</sub>Sn$  growth occurs at a slower rate than the  $Cu<sub>6</sub>Sn<sub>5</sub>$  growth at the initial stages of annealing. However, as the annealing duration increases, the growth rate of  $Cu<sub>3</sub>Sn$ is higher than  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMC. It was clearly observed for the samples annealed at 150 °C, i.e., for 120 min, the average Cu<sub>3</sub>Sn thickness was lower (0.63 µm) than average Cu<sub>6</sub>Sn<sub>5</sub> thickness (1.02  $\mu$ m) and for 1440 min, the average Cu<sub>3</sub>Sn thickness was higher (1.86 µm) than that of the Cu<sub>6</sub>Sn<sub>5</sub>  $(1.63 \mu m)$  (Fig. [5a](#page-4-1)). A similar trend was also observed for



<span id="page-3-0"></span>**Fig. 3** EDS of Cu–Sn samples annealed at 200° for 1440 min



<span id="page-4-0"></span>**Fig. 4** SEM micrographs showing cross-sections of the samples annealed at diferent temperatures and time durations. **a**–**c** 150 °C, for 120 min, 420 min and 1440 min; **d**–**f** 175 °C, for 120 min, 420 min

and 1440 min; **g**–**i** 200 °C, for 120 min, 420 min and 1440 min, respectively. (Same scale for all the SEM micrographs)



<span id="page-4-1"></span>**Fig. 5** Variation of the square of the IMC thickness with time to show parabolic growth of Cu– Sn IMC for diferent temperatures, i.e. **a** 150 °C, **b** 175 °C, and **c** 200 °C

samples annealed at 17[5](#page-4-1) °C (Fig. 5b). However, the samples annealed at 200 °C showed deviation from the above trend in which the growth rate of  $Cu<sub>3</sub>Sn$  IMC was measured to be lower than the growth rate of  $Cu<sub>6</sub>Sn<sub>-5</sub>$  (Fig. [5c](#page-4-1)). The reduced growth rate of  $Cu<sub>3</sub>Sn$  was due to the larger voids formed in the samples annealed at 200 °C compared to the other experimental conditions. These voids acted as a barrier layer for diffusing Cu atoms resulting in reduced  $Cu<sub>3</sub>Sn$  IMC growth at 200 °C. However, the fraction of the interface that voids cover is relatively smaller, so another reason for lower Cu<sub>3</sub>Sn thickness than Cu<sub>6</sub>Sn<sub>5</sub> at 200 °C could be the higher stability of  $Cu<sub>6</sub>Sn<sub>5</sub>$  at higher temperatures [[27](#page-11-2)].

The IMC thicknesses were also observed to increase with increasing annealing temperatures for a given duration, as shown in Fig. [4a](#page-4-0), d, g for 120 min of annealing. The variation of IMC thicknesses as a function of annealing temperature for a given duration is presented in Fig. [6](#page-5-0). The slope of  $Cu<sub>6</sub>Sn<sub>5</sub>$ was higher than that of the  $Cu<sub>3</sub>Sn$  for a given duration. The difference in the Cu<sub>3</sub>Sn and Cu<sub>6</sub>Sn<sub>5</sub> thicknesses increased with the increasing annealing temperatures. It indicates that the growth of  $Cu<sub>6</sub>Sn<sub>5</sub>$  was faster at higher temperatures than that of  $Cu<sub>3</sub>Sn$  for a given annealing duration. Figure [6a](#page-5-0) illustrates that for a 120 min annealing duration, the diference between the  $Cu<sub>6</sub>Sn<sub>5</sub>$  and Cu<sub>3</sub>Sn IMC thickness was less at 150 °C, but was comparatively higher at 200 °C. This implies that the growth of  $Cu<sub>6</sub>Sn<sub>5</sub>$  occurs more rapidly at higher annealing temperature than that of the  $Cu<sub>3</sub>Sn$  IMC for the given annealing duration. The slope of the  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMC growth was nearly three times higher than that of the  $Cu<sub>3</sub>Sn$  IMC growth. Another reason for the dominant growth of  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMC was the significant

void growth at the Cu–Cu<sub>3</sub>Sn interface at higher temperatures, which retarded the Cu difusion and eventually reduced the  $Cu<sub>3</sub>Sn$  growth. Therefore, at higher temperatures, the  $Cu<sub>6</sub>Sn<sub>5</sub>$ growth dominated over the  $Cu<sub>3</sub>Sn$  growth for the given annealing duration.

For a constant annealing temperature, the IMC growth results imply that the formation of  $Cu<sub>6</sub>Sn<sub>5</sub>$  occurs at a faster rate, but as the annealing process continues the  $Cu<sub>3</sub>Sn$  growth takes over. For longer annealing durations at a given temperature, the thickness of  $Cu<sub>3</sub>Sn$  IMC increases at a rapid rate at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface by consuming the already formed  $Cu<sub>6</sub>Sn<sub>5</sub>$  and at Cu–Cu<sub>3</sub>Sn interface by the reaction of diffused Sn with the available Cu. For a constant annealing duration, the Cu<sub>6</sub>Sn<sub>5</sub> showed a higher growth rate for higher annealing temperatures. It shows that the annealing performed at a higher temperature favors the growth of  $Cu<sub>6</sub>Sn<sub>5</sub>$  subjecting to the availability of pure Sn. The annealing for longer duration favors the growth of  $Cu<sub>3</sub>Sn$  since the  $Cu<sub>6</sub>Sn<sub>5</sub>$  reacts with the diffusing Cu atoms and decomposes to form  $Cu<sub>3</sub>Sn$ .

The difusion rate constants and the activation energy for the IMCs growth were calculated from the measured IMC thicknesses obtained at diferent annealing temperatures and durations using the simplified version of Eq.  $(1)$  $(1)$  and Eq.  $(2)$  $(2)$  as:

$$
\ln (y_t^2 - y_o^2) = \ln (k) + 2n \ln (t)
$$
\n(3)

<span id="page-5-2"></span><span id="page-5-1"></span>
$$
\ln(k) = \ln(k_o) - \frac{E_a}{R} \left(\frac{1}{T}\right)
$$
\n(4)

The difusion rate constant and the empirical time constant were measured as the intercept, and the slope of the

<span id="page-5-0"></span>**Fig. 6** Variation of IMC thickness as a function of annealing temperatures for a given annealing time duration, i.e. **a** 120 min, **b** 420 min, and **c** 1440 min



<span id="page-6-0"></span>

graphs plotted in Fig. [7a](#page-6-0)–c using Eq. [\(3](#page-5-1)), respectively. Similarly, the diffusion coefficient and activation energy were found by Eq. ([4](#page-5-2)) as the intercept and slope of the graphs plot-ted in Fig. [7](#page-6-0)d, respectively. The diffusion coefficient and activation energy for  $Cu<sub>3</sub>Sn$  and  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMCs were experimentally calculated to be  $2.35 \times 10^7 \,\mathrm{\upmu m^2 \, min^{-1}}$ , 77.26 kJ mol<sup>-1</sup> K<sup>-1</sup>, and 2.479 × 10<sup>4</sup>  $\mu$ m<sup>2</sup> min<sup>-1</sup>, 41.72 kJ mol<sup>-1</sup> K<sup>-1</sup>, respectively. The diffusion coefficient and activation energy for consumed Sn thickness was measured to be  $1.81 \times 10^6 \,\mathrm{\upmu m}^2$ min<sup>-1</sup>, 59.73 kJ mol<sup>-1</sup> K<sup>-1</sup>, respectively. The comparison of the diffusion coefficient and activation energy obtained in this study with those available in the literature is presented in Table [1.](#page-6-1) Since diferent units were used in the literature, all values were converted to SI units for the sake of comparison. The diffusion coefficient obtained in this study was comparatively higher than the other studies, which can be attributed to the quality of the used Cu substrates. In this

study, 99.9% pure Cu was employed rather than the thermal deposited or electroplated Cu samples, which were used in the reported publications. The commercial electrolytes use several additives such as brightener, leveler, suppressor, etc., which introduces certain impurities (carbon, sulfur, chlorine, phosphorus, etc.) into the electrolyte. These impurities from the electrolytes get transferred to the electrodeposits [\[28](#page-11-3)], which results in excess void formation. The difusion process is thus degraded since void formation reduces the actual difusion area. The activation energy was observed to be in close agreement with the literature having electroplated Cu as the base material.

The experimentally obtained IMC thicknesses were compared with the IMC thicknesses calculated by the simulation model using diffusion parameters from the literature [[10\]](#page-10-6) (Fig. [8](#page-7-0)). It was noticed that the experimentally obtained IMC thicknesses were more than the ones predicted by numerical simulation. It was due to the higher diffusion coefficient for the IMCs growth in this study, which itself was due to the high purity Cu base material. For samples annealed at 200 °C, the experimental Cu<sub>6</sub>Sn<sub>5</sub> IMC thickness was lower

<span id="page-6-1"></span>**Table 1** Comparison of the obtained diffusion coefficients and the activation energy with the earlier reported values in the literature



<span id="page-7-0"></span>**Fig. 8** Comparison of IMC thickness obtained experimentally in this study and calculated from the numerical simulation using difusion parameters from literature [[10](#page-10-6)] corresponding to the diferent annealing temperatures and time durations, i.e. **a** 150 °C, **b** 175 °C, and **c** 200 °C



than the ones given by the simulation. It was due to the higher rate of  $Cu<sub>6</sub>Sn<sub>5</sub>$  dissociation to form  $Cu<sub>3</sub>Sn$  at higher temperatures resulting in higher  $Cu<sub>3</sub>Sn$  growth as compared to the simulation results since the diffusion coefficient of Cu<sub>3</sub>Sn is comparatively higher than that of Cu<sub>6</sub>Sn<sub>5</sub>.

# **3.2 Void growth in the Cu–Sn stack**

Void growth was investigated in terms of the average void size and the average void fraction at diferent locations within the Cu–Sn samples, i.e., at the Cu–Cu<sub>3</sub>Sn interface, within Cu<sub>3</sub>Sn, and at Cu<sub>3</sub>Sn–Cu<sub>6</sub>Sn<sub>5</sub> interface. Voids within the  $Cu<sub>6</sub>Sn<sub>5</sub>$  layer were not analyzed in this study since the  $Cu<sub>6</sub>Sn<sub>5</sub>$  is thermodynamically unstable, and finally gets transformed to the stable  $Cu<sub>3</sub>Sn$  in the Cu–Sn diffusion bonding.

#### **3.2.1 Void size**

Figure [9](#page-8-0) presents the variation in the average void size as a function of the annealing time duration at given annealing temperatures. The subset of the individual plot shows the SEM micrographs corresponding to experimental conditions for minimum (i.e., 150 °C, 120 min), in-between (i.e., 175 °C, 420 min) and largest void sizes (i.e., 200 °C, 1440 min). The average void sizes were in the sub-μm range. The average void sizes at diferent locations were observed to increase with increasing annealing duration (Fig. [9](#page-8-0)). The voids nucleate at thermodynamically favorable sites like the vicinity of incorporated impurities or grain boundaries and then increase in size with time due to the merging of the nearby voids [\[20](#page-10-20)]. The voids in the Cu–Sn layers are due to the impurities in the base metals and the Kirkendall's effect, i.e., void formation due to the vacancy difusion because of the diferences in the difusion rate of Cu and Sn into each other as well as their IMCs. The impurities in the base Cu layer result in the void formation at the  $Cu-Cu<sub>3</sub>Sn$  interface. The impurities, like carbon, chlorine, sulfur, phosphorus, in base Cu difuses to the Cu grain boundaries and further with diffusion it moves to  $Cu-Cu<sub>3</sub>Sn$  interface and gets accumulated at the interface aiding void formation at  $Cu-Cu_3Sn$ interface [\[28](#page-11-3), [29\]](#page-11-4). In this study, the base Cu was 99.9% pure, and thus it would not be that much dominant in void formation, but it has still assisted the void formation due to Kirkendall's effect at  $Cu$ - $Cu$ <sub>3</sub>Sn interface resulting into the high voiding at  $Cu-Cu<sub>3</sub>Sn$  interface.

The average void size at the  $Cu-Cu_3Sn$  interface was significantly larger than the voids within the  $Cu<sub>3</sub>Sn$  and  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface (Fig. [9\)](#page-8-0). The void growth rate for voids at  $Cu$ -Cu<sub>3</sub>Sn interface was also higher than the void growth rate at other locations. The  $Cu-Cu_3Sn$  interface acts as the sink for the difusing vacancies since the vacancies move towards Cu from Sn due to the higher difusion rate of Cu than Sn into each other and their IMCs [[12\]](#page-10-8). Therefore, the diffusing vacancies move through the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$ interface and  $Cu<sub>3</sub>Sn$  layer to finally get stopped and accumulated at the  $Cu-Cu_3Sn$  interface with gradually increasing in size. The  $Cu-Cu_3Sn$  interface void sizes for samples



<span id="page-8-0"></span>**Fig. 9** Variation in the average void size as a function of the annealing durations at the annealing temperatures with SEM micrographs, **a** at 150 °C, **b** 175 °C, and **c** 200 °C. (Same scale for all the SEM micrographs)

annealed at 150 °C for 120 min showed similar void sizes to that at other locations, which can be attributed to the initial stage of void formation at all these locations.

Diffusing vacancies do get accumulated at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface and also within the Cu<sub>3</sub>Sn layer, but to a comparatively smaller level than those being diffused through to get accumulated at the  $Cu-Cu<sub>3</sub>Sn$  interface fnally. The average void size and the rate of increase in the void sizes within the  $Cu<sub>3</sub>Sn$  with the annealing durations were very low. It was due to the higher availability of thermodynamically favorable sites for void nucleation and vacancies getting diffused through the  $Cu<sub>3</sub>Sn$  layer so that the vacancies did not accumulate signifcantly within the  $Cu<sub>3</sub>Sn$  to form larger voids. The void size and the rate of void size increment at  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface were somehow larger than that of the  $Cu<sub>3</sub>Sn$  voids but were considerably lower than the voids at  $Cu-Cu_3Sn$  interface. Since the vacancies had to diffuse from the  $Cu<sub>6</sub>Sn<sub>5</sub>$  IMC [monoclinic ( $>186$  °C) or hexagonal lattice ( $>186$  °C)] to Cu<sub>3</sub>Sn IMC (orthorhombic lattice), the voids would have been stopped at the  $Cu_3Sn-Cu_6Sn_5$  interface due to the mismatch in the crystal lattice structure. This resulted in higher void accumulation at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface and larger void sizes than that within the  $Cu<sub>3</sub>Sn$  IMC.

The smallest and largest voids were observed to be within the  $Cu<sub>3</sub>Sn$  IMC and at the Cu–Cu<sub>3</sub>Sn interface, in the samples annealed at 150 °C and 200 °C, respectively. The smallest void size was measured to be less than  $0.06 \mu m^2$ , while the largest void was  $\sim$  3  $\mu$ m<sup>2</sup> observed at the Cu–Cu<sub>3</sub>Sn interface in samples annealed at 200 °C for 1440 min.

#### **3.2.2 Void fraction**

Figure [10](#page-9-0) shows the variation in the average void fraction obtained for diferent experimental conditions. For the voids present at the interfaces of Cu–Cu<sub>3</sub>Sn and Cu<sub>3</sub>Sn–Cu<sub>6</sub>Sn<sub>5</sub>, the void fraction was observed to decrease with increasing annealing time durations for given annealing temperatures. However, the void fraction for the voids within  $Cu<sub>3</sub>Sn$ showed an increasing trend with increasing time.

The decreasing trend of the average void fraction at the Cu–Cu<sub>3</sub>Sn interface and Cu<sub>3</sub>Sn–Cu<sub>6</sub>Sn<sub>5</sub> interface was due to the coalescence of smaller voids in the close vicinity to form larger size voids. The increasing trend in the average void fraction for the voids within the  $Cu<sub>3</sub>Sn$  IMC was diferent than the voids present at other locations. It was due to the higher number of void nucleation and lower void accumulations near the already formed voids since the newer voids were being formed, resulting in the negligible coalescence of the voids. As the merging of voids did not occur significantly in the  $Cu<sub>3</sub>Sn$  layer, the number of voids increased with a very slight increment in the void sizes. It resulted in the highest average void fraction in the  $Cu<sub>3</sub>Sn$ 



<span id="page-9-0"></span>**Fig. 10** Variation in the average void fraction with annealing time durations for diferent annealing temperatures, **a** 150 °C, **b** 175 °C, and **c** 200 °C

layer. For prolonged annealing duration, i.e., > 1440 min, once the prospective thermodynamically favorable sites for void nucleation will be flled with the voids, the void accumulation would occur, which will result in larger void sizes and reduced void fractions.

The average void fraction at  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface was considerably lower than that for voids within the  $Cu<sub>3</sub>Sn$  and relatively higher than those at the interface of  $Cu-Cu<sub>3</sub>Sn$  layers. Since the vacancies difuse from Sn towards the Cu, the difusing vacancies get partially stuck or stopped at the IMCs interfaces and results in the void formation. The reason for a lower void fraction at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface was the coalescence of these partially trapped vacancies while the significant share diffuses into the  $Cu<sub>3</sub>Sn$ . It resulted in a comparatively larger void size (Fig. [9](#page-8-0)) and a lower void fraction at  $Cu_3Sn-Cu_6Sn_5$  interface than that for the voids within  $Cu<sub>3</sub>Sn$  (Fig. [10\)](#page-9-0).

The average void fraction was lowest for the voids present at the Cu–Cu<sub>3</sub>Sn interface than the voids within the Cu<sub>3</sub>Sn layer and at the interface of  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  layers. The voids at the interface of  $Cu-Cu_3Sn$  were accumulated from two sources, i.e., impurities moving from the base Cu resulting in voids and the difusing vacancies moving from Sn to base Cu due to the higher difusion rate of Cu than Sn. The different crystal lattice structure of Cu (face-centered cubic) and  $Cu<sub>3</sub>Sn$  (orthorhombic) having different lattice parameters is believed to be the cause of increased void accumulation at the  $Cu-Cu<sub>3</sub>Sn$  interface. The rapid coalescence of voids at  $Cu-Cu_3Sn$  interface resulted in the large-sized voids and reduced void fraction. The average void fraction of Cu–Cu<sub>3</sub>Sn interface voids for samples annealed at 150  $\rm{°C}$ for 120 min showed a higher void fraction than other locations. At lower annealing temperature and shorter annealing durations, larger numbers of voids have been nucleated at the interface of  $Cu-Cu<sub>3</sub>Sn$  due to the surface irregularities and impurities present at the Cu surface during electrodeposition of Sn. A similar number of voids would have been formed at diferent temperatures too but at much lower annealing time durations which would have merged to form larger voids with a smaller void fraction with further annealing.

It was noticed that the average void fraction for the  $Cu-Cu<sub>3</sub>Sn$  interface voids continuously decreased with the annealing time durations. Initially, the void fraction would be higher at the Cu–Cu<sub>3</sub>Sn interface, but as the annealing proceeds, the voids merge and the void fraction decrease to become lowest when compared to the voids formed at the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface and within the Cu<sub>3</sub>Sn. This transition can easily be observed for the samples annealed at 150 °C (Fig. [10](#page-9-0)a) where the void fraction of the Cu–Cu<sub>3</sub>Sn interface is initially the highest and then reduces with the increasing annealing duration. A similar trend was also seen for the samples annealed at 175  $\degree$ C (Fig. [10b](#page-9-0)) however, the void fraction at the  $Cu-Cu_3Sn$  interface was lesser than the void fraction obtained at an annealing temperature of 150 °C. It was due to a comparatively higher annealing temperature, which resulted in a relatively faster merging of voids. For samples annealed at 200 °C, the trend was same; however as the annealing temperature was higher than 175  $\degree$ C, the transition would have occurred at a much earlier stage of the annealing, i.e.,  $< 120$  min (Fig. [10c](#page-9-0)). The highest void fraction was seen in the samples annealed at 150 °C for 1440 min, i.e., 1.44  $\mu$ m<sup>-1</sup> for voids within the Cu<sub>3</sub>Sn layer. On the other hand, the samples annealed at 200 °C for 1440 min had the lowest void fraction, i.e., 0.03  $\mu$ m<sup>-1</sup> at the  $Cu-Cu<sub>3</sub>Sn$  interface.

Since the voids are arbitrary and cannot be avoided at all in the Cu–Sn difusion stack, a compromise between the void size and void fraction should be made to obtain higher reliability of the bonding process. For the lower processing temperatures ( $< 200$  °C), the samples had sub-micrometer size voids having a higher void fraction within the  $Cu<sub>3</sub>Sn$ layer and lower void fraction at the  $Cu-Cu_3Sn$  and the  $Cu<sub>3</sub>Sn-Cu<sub>6</sub>Sn<sub>5</sub>$  interface. It implies that the voids were randomly distributed in the IMCs and the interfaces. Since the void sizes are small and cover a minor share of the Cu–Sn bonded area, it can be accepted to attain improved reliability of the packaging than the larger voids formed at higher processing temperatures. In the case of high processing temperature, sometimes voids can be so large that the IMC layer gets delaminated from the base Cu [[20](#page-10-20), [30](#page-11-5)] resulting in the catastrophic failure of the packaging.

# **4 Conclusion**

Cu–Sn solid-state difusion stack has been investigated to understand the IMC growth and the void formation with their correlation at temperatures below the melting point of Sn (232 °C), i.e., at 150 °C, 175 °C, and 200 °C. At low annealing temperatures, both  $Cu<sub>6</sub>Sn<sub>5</sub>$  and  $Cu<sub>3</sub>Sn$  were observed to have scalloped shaped growth; however,  $Cu<sub>6</sub>Sn<sub>5</sub>$ IMC had larger scallops, and the  $Cu<sub>3</sub>Sn$  IMC had smaller scallops. The difusion parameters and activation energy for both  $Cu<sub>3</sub>Sn$  and  $Cu<sub>6</sub>Sn<sub>5</sub>$  were experimentally obtained to be  $2.35 \times 10^7$  µm<sup>2</sup> min<sup>-1</sup>, 77.26 kJ mol<sup>-1</sup> K<sup>-1</sup>, and  $7.55 \times 10^3$  $\mu$ m<sup>2</sup> min<sup>-1</sup>, 37.46 kJ mol<sup>-1</sup> K<sup>-1</sup>, respectively. The diffusion coefficients were compared with those reported in the literature and were observed to be on the higher side since the base copper was 99.9% pure rather than electrodeposited copper. The activation energy was in close agreement with those noted in the literature. Void growth result obtained in this study implies that for low annealing temperatures, the largest void sizes were formed at  $Cu-Cu<sub>3</sub>Sn$  interface with the least void fraction. The highest void fraction was observed for voids within  $Cu<sub>3</sub>Sn$  with the least void sizes. Large voids at  $Cu$ – $Cu<sub>3</sub>Sn$  interface for samples annealed at 200 °C has been observed to retard IMC growth by reducing the active difusion area. The void size was measured to be less than 3 µm for all the experimental conditions. Cu–Sn difusion bonding has an optimistic potential for low temperature highly reliable hermetic packaging of MEMS structures. The obtained experimental results can be used in the hermetic packaging of MEMS devices performed at low temperatures ( $\leq 200$  °C). It will not only reduce the problem of molten Sn squeezing out but also reduce the thermomechanical stresses generated during the conventional SLID bonding.

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