# Co-precipitation synthesis, structural characterization and fluorescent analysis of Nd<sup>3+</sup> doped Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> and Yb<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> nanocrystallines

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### Abstract

 $Nd^{3+}$ , as one of the most important rare-earth (RE) ion, has been playing a significant role in pumping the infrared (IR) light. Depending on different synthesis strategies, doping content, and crystal lattice, however,  $Nd^{3+}$  always shows different IR intensity. In this work, we have fabricated two series of  $Nd^{3+}$  doped nanocrystallines that share with the same crystal structure, i.e.,  $Nd^{3+}$  doped  $Y_3Al_5O_{12}$  (YAG) and  $Yb_3Al_5O_{12}$  (YbAG), through using the co-precipitation synthesis method while the ammonium bicarbonate as the precipitant agent. To reveal the influence of the synthesis conditions (e.g., synthetic temperature and pH value) on structural and florescent properties of  $Nd^{3+}$  doped YAG and YbAG nanocrystallines, several techniques have been performed in this work, including the X-ray diffraction (XRD), scanning electron microscopy (SEM), thermogravimetric-differential scanning calorimeter (TG-DSC), Fourier transform infrared (FT-IR) spectroscopy, Raman and florescent spectroscopy spectra. Our results reveal that the optimal synthesis conditions are 1000 °C and pH 8 for YAG:Nd<sup>3+</sup> and 900 °C and pH 9 for YbAG:Nd<sup>3+</sup>. Moreover, we also find the optimal Nd<sup>3+</sup> doping contents of the YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup> nanocrystallines are 3% and 1.5%, which correspond to the strongest fluorescent intensity upon excitation at 808 nm and 980 nm, respectively. Typically, we reveal that substitution of Y with Yb ions could allow to enhancing the Nd<sup>3+</sup> fluorescent intensity upon excitation at 808 nm. This work provides new insights into designing excellent crystal materials that can allow us to realize the laser transparent ceramics.

# 1 Introduction

In the recent years, great attentions have been paid to the laser crystal materials that base on the crystal host of  $Y_3Al_5O_{12}$  (YAG) [1–3], leading to a variety of feasible strategies (e.g., CW and pulse triggered operations) that can realize the commonly-used solid-state laser source [4, 5]. Although other laser materials, such as ScVO<sub>4</sub> [6, 7], Ln<sub>2</sub>O<sub>3</sub> (Ln=Y, Sc, Lu) [8], MF<sub>2</sub> (M=Ca, Sr, Ba) [9] and MgO [10], have become available, we notice that most of them cannot work without using the Nd<sup>3+</sup> ion as the activator. In addition,

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during the process of laser light realization, a transparent ceramic, featuring the advantages of large size, easily-control shape, economic-cost, high doping concentration, good optical homogeneity and multiple functions, is highly desirable. This is because it can play the necessity role of medium function, typically when we take into account the laser output and adjusted-Q value during the synthesis process. When compared to the frequently-used preparation methods (e.g., solvothermal [6], sol–gel [11] and solid-state reaction methods [12]), it is generally believed that the simpler preparation method for laser medium material would be the better.

The past decades have witnessed that Nd<sup>3+</sup> doped YAG laser has been widely accepted as the most commonly-recognized solid-state laser light source [13–23], but the shortcomings, such as the Nd<sup>3+</sup> doped content-induced fluorescent quenching upon excitation at 980 nm and 808 nm, high synthesis temperature and low pump light absorption ability [12, 13, 24], are the main obstacles, which will greatly hinder the Nd<sup>3+</sup> doped YAG laser materials toward some upfront cutting-edge applications (e.g., high-power gun). As a result, great efforts have been made over the past years, aiming to upgrade the IR fluorescent properties



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of  $Nd^{3+}$  doped YAG laser materials through increasing the  $Nd^{3+}$  doping content.

With the rapid development of InGaAs laser diode (LD) pump, researches find that another type of RE  $Yb^{3+}$  ion featured a simple energy level structure can be used as a promise active ion to address the above Nd<sup>3+</sup> contentinduced fluorescent quenching problem. Because of the advantages such as the high quantum efficiency upon excitation at 980 nm and 808 nm, long fluorescent life, low non-radiation-relaxation-induced heat load and nonexcited absorption [15-17], the Yb<sup>3+</sup> ion can exhibit its ability to work together with Nd<sup>3+</sup> and then to enhance the Nd<sup>3+</sup> fluorescent intensity [18–20]. Remarkably, after comparing the ionic radii of  $Yb^{3+}$  ion with that of  $Y^{3+}$ ion at the same coordinate number, we can easily find that their ionic radii are very close with each other. For example, at the coordinate numbers of 8, the Yb<sup>3+</sup> and  $Y^{3+}$  ions exhibit the ionic radii of 0.985 Å and 1.019 Å [22, 23], respectively. As a result, substitution of  $Y^{3+}$  ion with Yb<sup>3+</sup> ion in the YAG become possible, allowing to expecting the Nd<sup>3+</sup> fluorescent properties can be enhanced in the Yb/YAG related crystal materials but still keep the laser behavior analogous to the YAG:Nd<sup>3+</sup>. Enlightened by the above discussion, we thus have tried the  $Nd^{3+}$  doped YbAG and some mediate (Y,Yb)AG counterparts. In view of the strong absorption ability of Yb<sup>3+</sup> ion at 808 nm and 980 nm, the improved Nd<sup>3+</sup> output florescent intensity, resulting from the energy transfer from  $Yb^{3+}$  to  $Nd^{3+}$ , could be expected. This may further give rise to conquering the Nd<sup>3+</sup> concentration induced florescent quenching. All in all, if one wants to achieve the Nd<sup>3+</sup> florescent intensity yet lower sintering temperature, using the Yb<sup>3+</sup> ion to increase the Nd<sup>3+</sup> absorption efficiency is a good choice. So, co-doping the  $Yb^{3+}$  ion may improve the florescent properties of Nd<sup>3+</sup> doped YAG.

In this work, we designed and fabricated two series of Nd<sup>3+</sup> doped YAG and YbAG, by taking advantages of the co-precipitation method. To study the preparation conditions, the influence factors, such as the pH, synthesis temperature and Nd<sup>3+</sup> doped concentration, were studied. To check the structural and fluorescent properties, characterization techniques including the XRD, SEM, Raman spectroscopy, TG-DSC, FT-IR and fluorescent spectroscopy, were carried out. Our findings revealed that the optimal Nd<sup>3+</sup> doped contents of the YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup> nanocrystallines upon excitation at 808 nm and 980 nm were 3% and 1.5%, respectively. The results were different from the case of YbAG:Nd<sup>3+</sup> upon excitation 808 nm, where we found that there was no Nd<sup>3+</sup> doping content-induced fluorescent quenching within the  $Nd^{3+}$  doped range of 0`5.0%. This work developed a new type of YAG materials that could allow us to realize the laser transparent ceramic and, thus, will be an important work in the laser field.

### 2 Experimental section

### 2.1 Sample synthesis

Firstly, we designed the chemical compositions of  $(Y,Yb)_{3-x}Al_5O_{12}:Nd_x^{3+}$ , where the x values were 0.5%, 1.0%, 1.5%, 2.0%, 2.5%, 3.0%, 3.5%, and 5.0%. Then, on the basis of the raw materials of  $Y_2O_3$  (99.99%),  $Yb_2O_3$ (99.99%), Al<sub>2</sub>O<sub>3</sub> (99.99%) and Nd<sub>2</sub>O<sub>3</sub> (99.99%), we had carefully calculated the amount of each raw materials according to the above compositions. Specifically, we first dissolved the raw materials of Y<sub>2</sub>O<sub>3</sub>, Yb<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub> and Nd<sub>2</sub>O<sub>3</sub> into 50 mL of 5 mol/L diluted HNO<sub>3</sub>, followed by adding 20 mL of 2 mol/L Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O solution. Then, 10 g of ammonium bicarbonate ( $NH_4HCO_3$ ) and 5 g of ammonium sulfate ( $(NH_4)_2SO_4$ ) were added into the solution, allowing them to act as the precipitating agent and dispersing agent, respectively. Noted that the reverse coprecipitation method required a homogeneous mixing and the adding speed of the solution needed slower than 2 mL/ min, the aim of which was to prevent the blistering and occurrence of the unwanted reactions. During the process, the pH values of titrated solution were controlled from 6, 7, 8, 9 and to 10. After titrated, an absolute ethanol with a volume ratio of 1:10 total solutions were added. With 20 h aging, the achieved solutions were filtered and rinsed by deionized water and absolute ethanol solutions three times. Then, the precursors were achieved after drying the filtered precipitate at 120 °C for 20 h. Finally, we fired the powders in air by using a ceramic crucible at 800 °C, 900 °C, 1000 °C, 1100 °C, and 1200 °C. After cooling to room temperature, the final YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup> nanocrystallines were achieved.

### 2.2 Characterizations

The thermal properties of YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup> were recorded on a NETZSCH STA409PC thermogravimetric-Differential Scanning Calorimeter(TG-DSC). The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was used as the reference. The heating rate and range were set to 10 K/min and 25–1250 °C, respectively. A Japan Rigaku Ultima IV X-ray diffraction (XRD) analyzer, working with the CuK<sub> $\alpha$ </sub> radiation source, scanning range of 10–70° and rate of 10°/min, radiation wavelength of 1.5405 Å, X-ray tube voltage of 40 kV and current of 20 mA, was used to record the XRD of samples. The morphologies, surface chemical groups and microstructure of samples were recorded on a JEOL JSM-6701F field emission scanning electron microscope (SEM) and PerkinElmer Fourier transform infrared (FT-IR) spectroscopy. Raman spectroscopy equipped with a 514.5 nm Jobin–Yvon HR800 microscopic argon laser was used to record molecular features of samples. Fluorescent spectra of samples were recorded on a JY Fluorolog-3-tau fluorescent spectrometer equipped with an 808 nm and 980 nm pump excitation source.

# 3 Results and discussion

### 3.1 XRD and SEM analysis

Figure 1a, b(i, ii) gives the influence of synthesis temperature (a) and pH value (b) on the crystal phase variation of Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines. As can be seen, when the synthesis temperatures and pH values are greater than 800 °C and 7, the main XRD peaks of samples match well with the peaks derived from ICSD card No. 67102 and No. 20861 with the increase of the temperature and the pH value, the XRD intensity features an initial increase and then a subsequent decrease. Meanwhile, the XRD shape and full width half maximum (FWHM) become sharper and narrower with increasing of temperature and pH value, as reflected by the tendency variation. As a result, the optimal temperature and pH value are determined to 1000 °C and 8 for Nd<sup>3+</sup> doped YAG, while 900 °C and 9 for Nd<sup>3+</sup> doped YbAG. Furthermore, we also calculated the cell parameters of all samples based on the XRD results. The dependence of temperature and pH value on the cell parameters is shown in Fig. 1a, b(iii). As can be seen, the cell parameters (a, b, c) and cell volumes (V) seem to change little as compared to the ICSD card No. 67102 and No. 20861 cell parameters as compared to the standard lattice parameters (a, b, c) and cell volumes (V) of bulk YAG and YbAG crystals (i.e., a = b = c = 12.0163 Å, and V = 1734.92Å<sup>3</sup> for YAG; a = 5.8302 Å, b = 14.7634 Å, c = 6.2212 Å, and  $V = 448.80 \text{ Å}^3$  for YbAG), in particular when compared to those solid solutions which always involve the crystal ions with different ionic radii at the same coordination [24, 25]. This is because when the contents of  $Yb^{3+}$ ,  $Y^{3+}$  and  $Nd^{3+}$ ions in the YAG and YbAG crystal host are fixed, changing the temperature and pH value do not induce the change of their ionic radii. As a result, little change of the crystal lattice cell parameters can be observed when the temperature and pH value are changed. Of course, due to the different ions radii between Yb<sup>3+</sup> (R = 1.14 Å, CN = 8), Y<sup>3+</sup> (R=1.019 Å, CN=8) and Nd<sup>3+</sup> (R=1.109 Å, CN=8) ions, doping of YAG and YbAG with the Nd<sup>3+</sup> ion can allow the  $Nd^{3+}$  ion to substitute the  $Yb^{3+}$  and  $Y^{3+}$  ions rather than the Al sites (R=0.39 Å, CN=4; R=0.535 Å, CN=6) [22, 23]. As a result, doping of YAG and YbAG with the Nd<sup>3+</sup> ion



**Fig. 1** Influence of temperature (**a**)(**i**, **ii**) and pH value (**b**)(**i**, **ii**) on the crystal phase variation of Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines; dependence of temperature (**a**)(**iii**) and pH value (**b**)(**iii**) on the crystal cell parameters of Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines

almost cannot induce the change of lattice parameters (*a*, *b*, *c*) and cell volumes (*V*) of YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup>. Such lattice parameters and cell volumes of YAG and YbAG before and after doped with Nd<sup>3+</sup> can also reveal the successful incorporation of Nd<sup>3+</sup> into the YAG and YbAG crystal lattice.

Except for the above XRD characterizations, we also used the SEM technique to observe the influence of the synthesis temperatures and pH values on the microstructure variation, and results are shown in Fig. 2. Clearly, in Fig. 2a, the temperature and pH value of Nd<sup>3+</sup> doped YAG sample that correspond to the best nanocrystal behaviour are 1000 °C and 8, respectively. The average particle seize is ~75 nm (Fig. 2c), with excellent crystalline and good size distribution. This indicates the synthesis condition should be the best condition to render the Nd<sup>3+</sup> florescence. In addition, when the temperature is either less or greater 1000 °C, particles with incomplete crystallization, larger grain and closed pore appear. For the Nd<sup>3+</sup> doped YbAG (Fig. 2b), several particles with uniform size distribution at 50 nm, well dispersed and good crystallization are observed when the synthesis temperature and pH value are 900 °C and 9. When the temperature and pH value are less or greater than 900 °C and 9, Nd<sup>3+</sup> doped YbAG particles are either unevenness, closed pores, non-fully formed or irregular morphologies. Such crystal information tells us that the interface reflection and refraction of light that can result in the decrease of the optical transmittance may appear in the Nd<sup>3+</sup> doped YbAG when the temperature and pH value are not 900 °C and 9. This is not good news for the transparent ceramic and the laser output. However, the TEM results at least can somewhat reflect the XRD information (Fig. 1). That is, what the optimal temperature and pH value can allow to achieving the best transparent Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines.

## 3.2 TG-DSC and FT-IR spectral analysis

In view of the significant influence of synthesis temperature on the crystallizing process, we carried out the TG-DSC measurement on the Nd<sup>3+</sup> doped YAG and YbAG samples in order to understand their intrinsic thermal decomposition mechanism. The TG-DSC results are shown in Fig. 3. We can see from the TG-DSC curves that the Nd<sup>3+</sup> doped YAG and YbAG samples after gradually dried is close to 41% and 63% weight as compared to their respective initial weight. Specifically, we loaded 3 mg of Nd<sup>3+</sup> doped YAG and YbAG into the TG-DSC crucible and then heated them with gradually increasing the temperature. We can see that the TG curve of Nd<sup>3+</sup> doped YAG experiences a rapid decrease of mass when the temperature is less 600 °C (Fig. 3a). This is due to thermal-induced loss of water, nitrate radical and hydroxyl and decomposition of ammonium and partial carbonate. Such observation is related to an exothermic peak within the range of 600-850 °C, as reflected by the DSC curve. This, as reflected by 7.2% weight loss (see the TG curve), is due to the decomposition of carbonate. For the exothermic peak at 920 °C, it is ascribed to the YAG crystallization phase. However, with further increasing the temperature, the TG curve is deviated, typically after 900 °C,



Fig. 2 TEM images of Nd<sup>3+</sup> doped YAG (a) and YbAG (b) nanocrystallines prepared at different synthesis temperature



Fig. 3 TG-DSC curves of Nd<sup>3+</sup> doped YAG (a) and YbAG (b) nanocrystallines; FT-IR spectra of Nd<sup>3+</sup> doped YAG (a) and YbAG (b) nanocrystallines

which indicates the thermal decomposition process is over and the crystal structure of Nd<sup>3+</sup> doped YAG become more stable when the temperature is greater than 900  $^{\circ}$ C. For Nd<sup>3+</sup> doped YbAG sample (Fig. 3b), the TG-DSC curve is obviously different from that of Nd<sup>3+</sup> doped YAG, where we can find its main reaction begins before 500 °C and almost 60% of weight has been lost when the temperature is up to 125 °C. This weight loss is due to the volatilization of physically-adsorbed water and crystal water (124.3 °C), the decomposition of NH<sup>4+</sup> and carbonate (270.3 °C), and the release of NO<sup>3-</sup> and OH<sup>-</sup> groups. Within the temperature range of 700-1100 °C, there are several weak exothermic peaks, which are due to the weight loss resulted from the initial (785 °C), complete (918 °C) and crystal grain (1030 °C) forming process of YbAG. However, once the temperature is larger than 1050 °C, there is no obvious weight loss, indicating the fully-finished crystalline phase transition. Obviously, the crystallized processes of Nd<sup>3+</sup> doped YAG and YbAG can be reflected by the TG-DSC results, and they are basically consistent the results we achieved in Figs. 1 and 2.

The FT-IR spectra of Nd<sup>3+</sup> doped YAG and YbAG are also shown in Fig. 3. For bulk YAG (Fig. 3a), it exhibits a large number of FT-IR peaks, i.e., a weak peak at 3431 cm<sup>-1</sup> that corresponds to H–O–H bending vibration, several peaks at 846 cm<sup>-1</sup>, 1420 cm<sup>-1</sup> and 1526 cm<sup>-1</sup> that are resulted from the asymmetric expansion and bending vibration of  $CO_3^{2-}$ , and two peaks at 1385 cm<sup>-1</sup> and  $668 \text{ cm}^{-1}$  that come respectively from the asymmetric vibration of  $NO_3^{-}$  and asymmetric absorption of  $SO_4^{2-}$ . Other peaks, locating at 508 cm<sup>-1</sup>, 566 cm<sup>-1</sup>, 690 cm<sup>-1</sup>,  $720 \text{ cm}^{-1}$  and  $785 \text{ cm}^{-1}$ , are from the lattice vibrations and photons interaction of YAG. When the Nd<sup>3+</sup> ions are doped into YAG, increasing the temperature does not change these peak positions, except for their intensity. Moreover, the FT-IR results of Fig. 3a further show that when the synthesis temperature is 900 °C, a peak located at 1385 cm<sup>-1</sup>, which is from the asymmetric absorption vibration of NO<sup>3-</sup>, can be detected. This indicates that there are still the  $NO_3^-$  groups, even though the YAG crystal phase has been formed. However, at this temperature, the purity-phase of Nd<sup>3+</sup> doped YAG still cannot be achieved. As a result, the FT-IR results, together with the XRD and structural results of Figs. 1 and 2, tell us that the optimal temperature for Nd<sup>3+</sup> doped YAG nanocrystalline is 1000 °C. In bulk YbAG (Fig. 3a), it shows more FT-IR absorption peaks than the bulk YAG. The peaks

at 3144 cm<sup>-1</sup> and 1631 cm<sup>-1</sup> are all from the stretching and bending vibrations of H–O–H, indicating the YAG surface contains a small amount of water. The absorption peaks at 600 cm<sup>-1</sup>, 1129 cm<sup>-1</sup>, 1763 cm<sup>-1</sup>, and 2397 cm<sup>-1</sup> are from the vibration of Al–O bond, SO<sub>4</sub><sup>2–</sup>, NO<sub>3</sub><sup>–</sup>, and  $HCO_3^{-}$  groups, respectively. In addition, the  $CO_3^{2-}$  groups have been also reflected by the FT-IR spectra, and the symmetric stretching and bending vibration directly lead to two FT-IR peaks at 1398 cm<sup>-1</sup> and 824 cm<sup>-1</sup>. When the synthesis temperature is up to 800 °C, two FT-IR peaks at 1163 cm<sup>-1</sup> and 2327 cm<sup>-1</sup>, which correspond to the vibration absorption of  $HCO_3^{-1}$  and  $SO_4^{2-1}$  groups, can still appear. Other peaks at 512 cm<sup>-1</sup>, 563 cm<sup>-1</sup>,  $699 \text{ cm}^{-1}$ ,  $738 \text{ cm}^{-1}$  and  $803 \text{ cm}^{-1}$ , causing by the lattice vibrations and photons interactions of YbAG, are also observed. After doped with Nd<sup>3+</sup>, the FT-IR peaks of the  $NO_3^-$ ,  $HCO_3^-$ ,  $CO_3^{2-}$  and  $SO_4^{2-}$  groups can be also clearly detected. The FT-IR results also indicate the optimal synthesis temperature is 900 °C.

### 3.3 Raman spectral analysis

After analyzed on the TG-DSC and FT-IR results, we carried out the Raman spectral analysis to the Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines. The Raman results of Nd<sup>3+</sup> doped YAG and YbAG nanocrystallines are shown in Fig. 4. Figure 4a is the Raman spectra of Nd<sup>3+</sup> doped YAG synthesized at the temperature of 1000 °C. Clearly, there are many Raman peaks at 162 cm<sup>-1</sup>, 218 cm<sup>-1</sup>, 260 cm<sup>-1</sup>, 338 cm<sup>-1</sup>, 370 cm<sup>-1</sup>, 402 cm<sup>-1</sup>, 716 cm<sup>-1</sup>, 782 cm<sup>-1</sup>, 980 cm<sup>-1</sup>, 1046 cm<sup>-1</sup>, 1122 cm<sup>-1</sup>. After compared with previous works [26-28], these peaks are ascribed to the vibration of YAG that is mainly resulted from the mixing translational and rotational vibration of  $Y^{3+}$  ion and the asymmetric stretching vibration of AlO<sub>4</sub> groups. The dominated peak is located at 1122 cm<sup>-1</sup>. For the Nd<sup>3+</sup> doped YbAG sample achieved at 900 °C (Fig. 4b), a series of Raman peaks, locating at 124 cm<sup>-1</sup>, 158 cm<sup>-1</sup>, 236 cm<sup>-1</sup>, 258 cm<sup>-1</sup>, 322 cm<sup>-1</sup>, 362 cm<sup>-1</sup>, 548 cm<sup>-1</sup>, 706 cm<sup>-1</sup>, 732 cm<sup>-1</sup>, 800 cm<sup>-1</sup>,



**Fig. 4** Raman spectral curves of  $Nd^{3+}$  doped YAG (**a**) synthesized at 1000 °C and YbAG (**b**) synthesized at 900 °C nanocrystallines; Influence of pH value on the Raman spectra of the  $Nd^{3+}$  doped YAG (**c**) and YbAG (**d**) synthesized at 1000 °C and 900 °C, respectively

866 cm<sup>-1</sup>, and 1122 cm<sup>-1</sup>, are observed. Similar to Nd<sup>3+</sup> doped YAG sample, the Nd<sup>3+</sup> doped YbAG sample also exhibits a dominated Raman peak at 1122 cm<sup>-1</sup>. Among these peaks, except for the peak at 800 cm<sup>-1</sup> that comes from the symmetry stretching and asymmetric bending vibration of AlO<sub>4</sub>, other peaks at 124 cm<sup>-1</sup>, 158 cm<sup>-1</sup>, 236 cm<sup>-1</sup>, 258 cm<sup>-1</sup>, 322 cm<sup>-1</sup>, 362 cm<sup>-1</sup>, 548 cm<sup>-1</sup>, 706 cm<sup>-1</sup>, 732 cm<sup>-1</sup>, 866 cm<sup>-1</sup>, and 1122 cm<sup>-1</sup> are ascribed to the parallelism of Yb<sup>3+</sup> lattice translational vibration, the rotational vibration and asymmetric stretching vibration of AlO<sub>4</sub> tetrahedral.

Figure 4c, d show the influence of pH value on the Raman spectra of Nd<sup>3+</sup> doped YAG sample prepared at 1000 °C and Nd<sup>3+</sup> doped YbAG sample prepared at 900 °C, respectively. In Fig. 4c, there are several sharp Raman peaks at  $864 \text{ cm}^{-1}$ ,  $950 \text{ cm}^{-1}$ , 1178 cm<sup>-1</sup> when the pH value is 7, and they are due to the bending vibration of C, the asymmetric stretching vibrations of O=N=O bond, the asymmetric stretching vibration of S=O bond, respectively. This indicates that there are still C, N and S elements resided in the YAG lattice due to the RE nitrate solution that can react with the ammonium bicarbonate precipitant in a neutral environment (pH 7). Except for the dominated peak at  $1122 \text{ cm}^{-1}$ , other peaks are very weak at the pH 9, indicating the incomplete crystallization at this pH value. A peak, locating at  $267 \text{ cm}^{-1}$ , appears when the pH value is 10, and it can be ascribed to the Al-O bending vibrations. With these Raman peaks, we can confirm the optimal pH value for Nd<sup>3+</sup> doped YAG is 8. For  $Nd^{3+}$  doped YbAG (Fig. 4d), the pH range is 7–10. Raman signals are weak when pH is 7. The vibration intensity of molecular bond is not strong enough, thus exhibiting a poor sintering activity. Although the YbAG phases, as the XRD and TEM results revealed, can be formed, the YbAG crystal phase becomes not good enough under a neutral condition (pH 7). When pH is 10, a peak at 708  $\text{cm}^{-1}$ , causing by the bending vibration of O=N=O and ON=O bands, can be seen, but Nd<sup>3+</sup> doped YAG at this pH value does not reach a desirable phase-purity. When pH values is 8 and 9, distinguishable Raman peaks are observed, indicating this pH range is good for Nd<sup>3+</sup> doped YAG phase. Obviously, Raman spectral results basically are consistent with the results of Figs. 1, 2 and 3. That is, the optimal pH values for Nd<sup>3+</sup> doped YAG and YbAG are 8 and 8–9, respectively.

# 3.4 Fluorescent analysis

After determined the optimal synthesis temperature and pH value, we studied the fluorescent properties of  $Nd^{3+}$  doped YAG and YbAG samples upon pumping with the 808 nm and 980 nm NIR laser sources. The reason why we chose the 808 nm and 980 nm NIR wavelengths is because the  $Nd^{3+}$  and  $Yb^{3+}$  ions have been well known to

be good absorber to capture the 808 nm and 980 nm light for a long time, as witnessed by a large number of previous works and other commercial Nd<sup>3+</sup> doped laser crystal materials such as  $Nd^{3+}:Y_3Al_5O_{12}$  [3, 4],  $Yb^{3+}:Ln_2O_3$  [8], Nd:YAG-BiBO [12], SrWO<sub>4</sub>:Nd<sup>3+</sup> [29], LuVO<sub>4</sub>:Nd<sup>3+</sup> [30], and  $Nd^{3+}$ :PbWO<sub>4</sub> [31]. In Fig. 5a, a highest emission peak, locating at 1064 nm, can be detected in the Nd<sup>3+</sup> doped YAG, which is ascribed to the  ${}^{4}F_{3/2} \rightarrow {}^{4}I_{11/2}$ transition of Nd<sup>3+</sup> [3, 4, 12, 13, 29–31]. Other peaks are very weak, and they have a negative impact on the luminous efficiency of crystals. With increasing the Nd<sup>3+</sup> content [Fig. 5c (curve 1)], we can see that the emission intensity can increase initially and then decrease, showing the optimal Nd<sup>3+</sup> doping content that corresponds to the strongest fluorescent intensity is 3%. With further increasing the Nd<sup>3+</sup> content, the so-called fluorescent quenching appears. Such Nd<sup>3+</sup> content-induced fluorescent quenching can also be frequently reported by other previous works [32, 33]. Figure 5b shows the fluorescent spectra of  $Nd^{3+}$ doped YbAG sample upon excitation at 980 nm. The spectral features are much simpler as compared to the spectra of Nd<sup>3+</sup> doped YAG. There are only two main peaks at 1002 nm and 1030 nm and a weak peak at 992 nm, without the 1064 nm peak of Nd<sup>3+</sup>. The 992 nm and 1002 nm peaks are from the Yb<sup>3+</sup> transition of  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$ ; while the 1030 nm peak is from the  ${}^{4}F_{3/2} \rightarrow {}^{4}I_{11/2}$  transition of Nd<sup>3+</sup> ion [3, 4, 12, 13, 29–35]. It indicates that upon excitation at 980 nm, the wavelength of which is from  $Yb^{3+}$ ion, can lead to the Nd<sup>3+</sup> IR emission. Furthermore, when compared the results of Fig. 5b with that of Fig. 5a, we can find with the help of Yb<sup>3+</sup> absorption the IR fluorescent intensity of Nd<sup>3+</sup> ion can be enhanced greatly. The optimal Nd<sup>3+</sup> content that corresponds to the strongest intensity is 1.5% (the curve 2 of Fig. 5c). Once the excitation wavelength is 808 nm, the Nd<sup>3+</sup> doped YbAG sample exhibits three Nd<sup>3+</sup> unique fluorescent peaks, i.e., 970 nm, 1004 nm, and 1030 nm. The dominated peak is located at 1030 nm. Unlike Fig. 5a and b, Fig. 5c reveals that increasing the Nd<sup>3+</sup> doping content from 0 to 5% does not decrease the fluorescent intensity, which indicates that the YbAG:Nd<sup>3+</sup> does not exhibit the so-called concentrationinduced fluorescent quenching. We conclude that this is due to the fact that substitution of Y ions with Yb ions can increase the limitation of the Nd<sup>3+</sup> fluorescence, thereby overcoming the Nd<sup>3+</sup> fluorescent quenching at the range of 0-5% Nd<sup>3+</sup> content and enhancing the Nd<sup>3+</sup> fluorescent intensity at the same time. Furthermore, on the basis of the fluorescent results, we can also conclude that the  $Nd^{3+}$ ions have successfully entered into the YAG and YbAG crystal lattices when we consider the fact that bulk YAG and YbAG crystals cannot show the IR fluorescence upon excitation at 808 nm and 980 nm. The fluorescent results are obviously consistent with our XRD analysis results.





**Fig. 5** Fluorescent spectra of  $Nd^{3+}$  doped YAG upon excitation at 808 nm (**a**),  $Nd^{3+}$  doped YbAG upon excitation at 980 nm (**b**) and 808 nm (**c**), and (**d**) dependence of  $Nd^{3+}$  doping content on the flu-

orescent intensity for  $Nd^{3+}$  doped YAG upon excitation at 808 nm (curve 1),  $Nd^{3+}$  doped YbAG upon excitation at 980 nm (curve 2) and 808 nm (curve 3), respectively

# 4 Conclusions and outlook

In this work, we had used the RE Nd<sup>3+</sup> ion as the activator while the Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) and Yb<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YbAG) as the crystal host to successfully fabricate two series of Nd<sup>3+</sup> doped IR nanocrystallines that shared with the same crystal structure, i.e., Nd<sup>3+</sup> doped Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) and Yb<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YbAG). The co-precipitation method was involved to use the ammonium bicarbonate as the precipitant agent. In order to achieve the optimal synthesis conditions, we changed the synthesis temperature and pH value and studied their influence on the structural and florescent properties of Nd<sup>3+</sup> doped YAG and YbAG. On the basis of the experimental results derived from several characterization techniques such as XRD, SEM, TG-DSC, FT-IR, Raman and florescent spectroscopy, we had determined the optimal synthesis conditions, i.e., 1000 °C and pH 8 for YAG:Nd<sup>3+</sup> and 900 °C and pH 9 for YbAG:Nd<sup>3+</sup>. Furthermore, we found the optimal Nd<sup>3+</sup> doping contents of the

YAG:Nd<sup>3+</sup> and YbAG:Nd<sup>3+</sup> nanocrystallines that corresponded respectively to the strongest fluorescent intensity upon excitation at 808 nm and 980 nm were 3% and 1.5%, respectively. These obviously were different from the case of YbAG:Nd<sup>3+</sup> upon excitation at 808 nm, where we found there was no Nd<sup>3+</sup>-content-induced fluorescent quenching. Since the downconversion luminescence by pumping the short-wavelength into long-wavelength process and their related applications such as white LED device [36-39] while the upconversion luminescence refers to a nonlinear optical anti-Stokes process that can convert lower frequency incident photons (e.g., NIR light) into higherenergy photons (e.g., visible and even ultraviolet light) through several intermediate long-lived energy states of lanthanide ions [40-42], this work, which also refers to the upconversion luminescenc, could provide new insights into designing more excellent materials that can allow us to realize the laser transparent ceramics.

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### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

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