Chemical routes to materials

Solution-processed mixed halide $CH_3NH_3Pbl_{3-x}Cl_x$ thin films prepared by repeated dip coating

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ABSTRACT

The mixed halide $CH_3NH_3PbI_{3-x}Cl_x$ crystalline thin film has been prepared by two-step solution-processed repeated dip coating method at an ambient atmosphere. X-ray diffraction study reveals the presence of tetragonal and cubic phases in deposited film. Raman study confirms the metal halide bond in the inorganic framework and organic CH_3 stretching/bending of C–H bond in $CH_3NH_3PbI_{3-x}Cl_x$ perovskite. Scanning electron microscopy shows that cuboid and polyhedral-like crystal grains of 100 nm to $2 \mu \text{m}$ may find applications in optoelectronics. The perovskite $CH_3NH_3PbI_{3-x}Cl_x$ thin film shows high spectral absorption coefficient of the order of $10^6~\mathrm{m}^{-1}.$ In optical band gap study, we found the coexistence of cubic and tetragonal perovskite phases. The energy band gap is dominated by cubic phase having $E_g = 2.50$ eV over tetragonal phase with band gap $E_g = 1.67$ eV. The room-temperature photoluminescence study confirms band edge, shallow and deep-level emissions. The temperaturedependent cathodoluminescence study shows red, green and ultraviolet emissions. The dominating green luminescence evolved for cubic phase at 2.51 eV. The red and ultraviolet emissions are also found for mixed-phase $CH₃NH₃$. $PbI_{3-x}Cl_x$ thin film, suitable for preparation of light-emitting devices.

Introduction

The ability of solution-processed organic–inorganic perovskites $CH_3NH_3PbX_3$ (X = Br, I and Cl) to convert visible light into electricity was first discovered in 2006 using $CH_3NH_3PbBr_3$ as a sensitizer on nanoporous $TiO₂$ in a liquid electrolyte-based dye-

sensitized solar cells, but the device power conversion efficiency (PCE) was found low [[1\]](#page-6-0). Researchers try to boost up the PCE of solar cells using perovskite nanoparticles or thin film over conventional dyes replacing the halogen site partially but PCE still unsatisfactory. At room temperature, methyl ammonium lead tri-iodide (CH₃NH₃PbI₃) forms a

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tetragonal structure of band gap ~ 1.5 –1.7 eV, whereas $CH_3NH_3PbBr_3$ and $CH_3NH_3PbCl_3$ form cubic structure of band gap ~ 1.5 –2.30 eV and \sim 3.0–3.11 eV, respectively [[2,](#page-6-0) [3\]](#page-6-0). Among these perovskites, $CH_3NH_3PbI_3$ and $CH_3NH_3PbBr_3$ are immensely used in photovoltaic and optoelectronic applications. In comparison with these perovskites, $CH₃NH₃PbCl₃$ remains overlooked to scientific community and have not investigated extensively. $CH₃$ - $NH₃PbCl₃$ is a wide band gap material which exhibits high optical absorption coefficient in the UV spectral range [[3\]](#page-6-0). Therefore, $CH_3NH_3PbCl_3$ can be used as an visible-blind UV photodetector and hole transport layer for highly efficient organic light-emitting diodes [\[3](#page-6-0), [4](#page-6-0)]. Researchers are trying to tune the band gap of perovskites in between 1.5 and 3.11 eV to generate a significant advancement for photovoltaic's and lightemission applications. The band gap of perovskites can be tuned by different approaches. Inclusion of organic or inorganic cations can alter the band gap of perovskites [[5\]](#page-6-0). Partial substitution of halogen anions such as Cl at iodide site can convert the parent halide perovskite to mixed halide perovskite (CH_3NH_3) . $PbI_{3-x}Cl_{x}$ and tune the band gap of parent halide perovskites. It can also modify the physical properties of parent perovskites $[6, 7]$ $[6, 7]$ $[6, 7]$ $[6, 7]$. At room temperature, $CH_3NH_3PbI_{3-x}Cl_x$ contains tetragonal crystal structure similar to $CH_3NH_3PbI_3$ but exhibits exceptional optoelectronic properties, such as tunable direct band gap ranging from UV to near-IR [\[8](#page-6-0)], strong light absorption, high photoluminescence quantum efficiency, high charge-carrier mobility, low recombination rates etc. $[9, 10]$ $[9, 10]$ $[9, 10]$ $[9, 10]$. Recently, the solar power conversion efficiency of mixed halide perovskite has been reported to 23.7% [[11\]](#page-6-0). Apart from this, mixed halide perovskites are intensively investigated for light emitters in LED's and lasers [[12\]](#page-6-0). Despite progress has been achieved in terms of preparation and conversion efficiency of mixed halide perovskites, still some issues such as the presence of Cl by part at I or Br sites and its effect on structural and physical properties of $CH_3NH_3PbI_{3-x}Cl_x$ remain still important in correlation with preparation condition and technique. Furthermore, it has been reported that thin-film growth parameters and post-treatment process such as ultrasonic substrate vibration [\[13](#page-6-0), [14](#page-6-0)] and short-time annealing [[15–17\]](#page-6-0) can also play an important role for the modification of structural, morphological, tuning of band gap and luminescence properties of perovskite thin films.

Several physical and chemical deposition techniques including some new solution-processed casting methods, such as doctor blading, inkjet printing, screen printing, drop casting, slot-die coating, roll-toroll printing, etc. $[18, 19]$ $[18, 19]$ $[18, 19]$, have been employed to fabricate high-quality $CH_3NH_3PbI_{3-x}Cl_x$ thin films. However, all these methods are a bit more expensive due to use of vacuum environment and also cause difficulties in controlling film compositions. In most cases, the precursor solution of mixed halide perovskite was prepared in a globe box at nitrogen atmosphere with a rotary evaporator which is expensive and complex process. However, in this work our main focus concentrates on cost-effective production of chlorinated perovskite film by repeated dip coating technique at air ambient and studies the effects of the partial replacement of I by Cl on structural, optical and electronic properties in order to understand photovoltaic and optoelectronic applications.

Previously, we reported multi-color emissions of $CH_3NH_3PbI_{3-x}Cl_x$ thin film prepared by chemical dip-coating technique at ambient atmosphere [\[20](#page-7-0)]. In this paper, we show the coexistence of tetragonal and cubic phases in $CH_3NH_3PbI_{3-x}Cl_x$ thin film deposited on glass substrate by repeated dip coating method at ambient atmosphere. The grown film is capable of showing high absorption coefficient, tuning the traditional band gap of perovskite and displaying strong colored emission peaks at 2.51 eV (green luminescence). The reason for the coexistence of cubic and tetragonal phases and their role on physical properties have been explained on the basis of the result of the X-ray diffraction (XRD), scanning electron microscope (SEM), Raman spectroscopy, ultraviolet (UV)-visible spectroscopy, photoluminescence (PL) and temperature-dependent cathodoluminescence (CL) experiments.

Experimental section

Preparation of perovskite solution and deposition of $CH_3NH_3PbI_{3-x}Cl_x$ thin film by repeated dip coating technique is performed in an ambient atmosphere illustrated in Fig. [1.](#page-2-0) The details of the preparation of perovskite solutions are described in our previous work [\[20](#page-7-0)]. For the preparation of chlorinated perovskite film, prepared solution was heated at (80 ± 10) °C for 30 min and then a clean glass

Figure 1 Schematics for preparation of perovskite solution and dip coating technique for the synthesis of $CH₃NH₃PbI_{3-x}Cl_x$ thin film.

substrate was dipped into the solution for 10 s and then taken out from glass container (g) dried in air for 05 s. This process of dip coating cycle was performed for four to five times to complete the film growth process. The ash (h) color of pristine film changed from ash to dark brown (i) when heated on a hot plate at 100 \degree C for 5 min. Similar changes of color after heating were reported by [\[21](#page-7-0), [22](#page-7-0)].

X-ray diffraction (XRD) data of prepared sample were collected from a BRUKER D8 advance X-ray diffractometer in between Bragg angle of $(10-50)^\circ$ with Cuk_a radiation of wavelength $\lambda = 0.154$ nm. The surface morphology of the film was studied by a ZEISS EVO 18 Research scanning electron microscope (SEM). The phonon vibrational modes of the film were recorded by Renishaw in via Raman spectrometer using 514.5 nm line of Ar^+ ion laser. Optical transmission and reflection were recorded using UV-1601PC SHIJMADZU spectrophotometer. Room-temperature photoluminescence (RTPL) measurement was performed using an F-4600, Hitachi spectroflurophotometer at an excitation wavelength, λ_{ex} = 500 nm. Cathodoluminescence (CL) spectra were recorded at temperatures 80 K and 300 K using FEI Quanta 200 ESEM connected to ocean optics spectrometer. The thickness of the deposited film was measured using Newton's rings method [\[23–25](#page-7-0)] at the middle of the film area $(1 \text{ cm} \times 1 \text{ cm})$. The film thickness was found out from the difference between the diameter of the rings of the interference pattern formed on the film surface and glass substrate using the relation,

$$
t = \frac{r_n^2 - r_n^{'2}}{2R}
$$
 (1)

where r_n is the radius of the ring with film, r'_n is the radius of the ring without film, R is the radius of curvature of plano-convex lens used and t is the film thickness. The experiment was performed for 4 to 5 times at different places in the film area (1 cm \times 1 cm) and the average film thickness was estimated to \sim 160 nm.

Results and discussion

Structural analysis

Figure [2](#page-3-0) shows the XRD pattern of mixed halide perovskite thin film. The presence of diffraction peaks at Bragg angles $2\theta \approx 15.55^{\circ}$, 22.11°, 35.22° and 38.88 is corresponding to (1 0 0), (1 1 0), (2 1 0) and (2 1 1) reflections of the cubic $(*)$ trichloride (CH₃NH₃₋ PbCl₃) phase $[26]$ $[26]$. The remaining reflection peaks at (1 1 0), (2 2 0), (3 1 0) and (2 2 4) corresponding to angles 14.20° , 28.55° , 31.77° and 40.55° are representing the tetragonal (#) $CH_3NH_3PbI_{3-x}Cl_x$ phase [[27,](#page-7-0) [28](#page-7-0)]. A very low intensity peak at around 12.77° corresponding to $(0\ 0\ 1)$ plane corresponds to $PbI₂$ (\blacksquare) phase due to decomposition of $CH_3NH_3PbI_{3-x}Cl_x$ in the air [\[27](#page-7-0)]. It is reported that cubic phase of $CH₃NH₃PbCl₃$ is transformed into tetragonal phase when synthesized below 54 $°C$, whereas tetragonal to cubic phase transformation occurred when annealed at 100 °C $[29]$ $[29]$. In this work, we have prepared

Figure 2 XRD pattern of dipping-deposited $CH_3NH_3PbI_{3-x}Cl_x$ thin film shows the presence of cubic $(*)$, tetragonal $(\#)$ and PbI_2 (\blacksquare) phases. Inset shows the vibrational Raman spectrum excited at $\lambda_{\rm ex} = 514.5$ nm.

 $CH_3NH_3PbI_{3-x}Cl_x$ film at 80 °C and annealed at 100 \degree C in air ambient. From XRD pattern of annealed film (Fig. 2), it is clear that there are two major intensity peaks, one corresponding to (1 0 0) plane which is a signature of cubic $CH₃NH₃PbCl₃$ phase; while other corresponding to (1 1 0) plane denotes the tetragonal $CH_3NH_3PbI_{3-x}Cl_x$ phase. This XRD result is suggesting that cubic and tetragonal phases can coexist for the film annealed at 100 $^{\circ}$ C. Similar coexistence of cubic and tetragonal phases was reported by Luo et al. [[29\]](#page-7-0) for spin-coated $CH₃NH₃PbI₃$ film which converted to $CH₃NH₃PbCl₃$ when annealed at 100 \degree C for 10 min and further annealing at the same temperature for 45 min, $CH₃NH₃PbCl₃$ again converted to an intermediate phase to $CH₃NH₃PbI₃$ due to template effect. In general, it is established that cubic $CH_3NH_3PbCl_3$ phase is originated by placing of methylammonium (MA⁺) cations forming C_{3v} molecular symmetry in between the cavities of a three-dimensional array of $PbCl₆$ octahedra. The $MA⁺$ cations perform complex rotation and orientation to satisfy the site symmetry occupying O_h sites, whereas Pb atom occupies three chlorine atoms that lie on D_{4h} sites [[30,](#page-7-0) [31](#page-7-0)] in one of each per unit cell. However, large ionic difference of Cl^- and I^- ions makes the formation energy for $CH_3NH_3PbI_{3-x}Cl_x$ higher compared to cubic $CH₃NH₃PbCl₃$ phase and helps to dominant $CH_3NH_3PbCl_3$ over CH_3NH_3 - $PbI_{3-x}Cl_{x}$ phase. Therefore, the structural modulation of perovskite $CH₃NH₃PbI₃$ replacing I by Cl may induce the variation of electronic and optical properties which may create avenue to cater photovoltaic and optoelectronic applications [[32\]](#page-7-0).

Raman spectroscopy analysis

The vibrational mode of deposited $CH_3NH_3PbI_{3-x}Cl_x$ film has been investigated by means of vibrational Raman spectroscopy in the range of $100-1600$ cm^{-1} , as shown in inset of Fig. 2. From this figure, it is seen that there are four distinct vibrational modes centered at 110, 174, 1032 and 1419 cm^{-1} , respectively. The vibrational modes centered at 110 and 174 cm^{-1} are for tetragonal $CH_3NH_3PbI_{3-x}Cl_x$ [[33\]](#page-7-0) phase corresponding to the hetero-polar ionic/covalent interactions of metal and halide bond (Pb–X bond) in the inorganic framework [\[34](#page-7-0)]. On the other hand, the vibrational mode of cubic $CH₃NH₃PbCl₃$ phase is found at 1419 cm^{-1} corresponding to CH₃ stretching [[35\]](#page-7-0) or C–H bending [[36\]](#page-7-0) bonds. Furthermore, the vibrational mode for organic part (CH₃N H_3^+) is found at 1032 cm^{-1} [[37\]](#page-7-0). It is noted that the coexistence of cubic and tetragonal phases is evidenced by Raman spectroscopy as well.

Surface morphology

Surface morphology of solution-processed mixed halide perovskite plays a vital role in optoelectronic applications. The topology of scanning electron microscope (SEM) image of $CH_3NH_3PbI_{3-x}Cl_x$ perovskite is shown in inset of Fig. [3.](#page-4-0) The SEM image indicates that film is composed of cuboids and polyhedral-like shapes with grain sizes 100 nm to 2 μ m consistent to [[38,](#page-7-0) [39](#page-7-0)] distributed all over the film surface. Such large grain size perovskites are suitable for efficient charge transfer, reduction in exciton recombination and making high-performance optoelectronic devices [[40\]](#page-7-0). It is also reported that spin-coated large grain size films by ultrasonic vibration improves device performances [[7\]](#page-6-0).

To confirm the presence of Cl in the deposited film, elemental analysis was done by energy-dispersive X-ray spectroscopy (EDS) for uncoated $CH₃NH₃$. $PbI_{3-x}Cl_{x}$ $PbI_{3-x}Cl_{x}$ $PbI_{3-x}Cl_{x}$ sample as shown in Fig. 3. The EDS spectrum shows peaks for C, O, Si, Na and Cl atoms using K-series X-rays and Pb atoms for M-series X-rays, respectively. The presence of O, Si and Na peaks in the spectrum originated from soda lime glass substrate. It is important to note that the EDS spectrum

Figure 3 EDS analysis of deposited $CH_3NH_3PbI_{3-x}Cl_x$ thin film. The corresponding SEM image (inset) showing the large cuboid and polyhedral crystals.

reveals the presence of Cl in the deposited film. The atomic % of the different elements present in the film is shown in table (inset) of Fig. 3.

Optical studies

The absorption coefficient (x) was calculated from transmission $T(\lambda)$ and reflection $R(\lambda)$ data using the relation [[41–44\]](#page-7-0)

$$
\alpha = \frac{1}{t} \ln \left[\frac{\left[1 - R(\lambda)\right]^2}{T(\lambda)} \right] \tag{2}
$$

where t is film thickness. The variation of α with photon energy for the $CH_3NH_3PbI_{3-x}Cl_x$ thin film is shown in the inset of Fig. 4. The film displays high absorption coefficient of the order of 10^6 m⁻¹ in both visible and near-IR spectral ranges. The large value of α seems to arises from s–p antibonding coupling of mixed halide perovskite $[45]$ $[45]$. It is noted that the absorption coefficient is minimum at wavelength \sim 740 nm which corresponds to traditional band gap 1.67 eV for tetragonal phase of $CH_3NH_3PbI_{3-x}Cl_x$. However, the sharp band edge wavelength at around 500 nm is corresponding to the band gap energy 2.50 eV of mixed-phase perovskite.

The optical band gap (E_g) of the mixed halide perovskite film for direct transition can be expressed by the following equation [[46\]](#page-8-0)

$$
(\alpha h v)^2 = A (h v - E_g) \tag{3}
$$

Figure 4 Plot of $(\alpha h v)^2$ vs photon energy for direct transition in dipping-deposited $CH_3NH_3PbI_{3-x}Cl_x$ thin film. In inset, the variation of the absorption coefficient, the optical transmittance (left scale) and reflectance (right scale) spectra with wavelength of dipping-deposited $CH_3NH_3PbI_{3-x}Cl_x$ thin film.

where A is the band edge constant related to the effective masses associated with the valence and conduction bands and hv is the photon energy. The value of the optical band gap for the absorption could be estimated by extrapolating the linear portion of Eq. (2), and the intercept of the energy axis of Fig. 4 gives the value of E_g . The estimated band gap is found to be 2.50 eV which is lower than 3.0 eV for cubic $CH_3NH_3PbCl_3$ phase but higher than 1.6 eV for traditional $CH_3NH_3PbI_{3-x}Cl_x$ phase [\[1](#page-6-0), [27,](#page-7-0) [28\]](#page-7-0). Thus, the tuning of the band gap mainly results from the interaction of cubic and tetragonal phases in which cubic phase is dominant over tetragonal phase for which we got $E_g = 2.50$ eV for cubic phase. This value is close to the band gap $E_g = 3.0$ eV of cubic perovskite. Our XRD experiment also demonstrated higher volume of cubic phase over tetragonal phase. This effect lowers the recombination process because of the replacement of excess halogen ions in heavy metal element Pb with organic framework. It is also noted that some relativistic effects such as spin–orbit coupling and higher electronegativity between the metal cation and halide anion may increase the band gap of $CH_3NH_3PbI_{3-x}Cl_x$ film. A small peak at \sim 1.67 eV is also observed in Fig. 4 (shown by an arrow) which may arise due to the optical transitions of the free carriers for the tetragonal phase of the deposited perovskite.

Photoluminescence (PL) is one of the useful techniques to characterize the optical properties of semiconducting materials. The room-temperature PL measurement of the $CH_3NH_3PbI_{3-x}Cl_x$ thin film was performed at an excitation wavelength, λ_{ex} = 500 nm, as shown in Fig. 5.

In the spectrum, a very intense luminescence peak at 1.64 eV and two weak peaks at 2.01 eV and 2.11 eV are observed. The optical band gap estimated by optical transmittance measurement is tuned to 2.5 eV, which suggests the presence of both $CH₃$ $NH₃PbI_{3-x}Cl_x$ and $CH₃NH₃PbCl₃$ phases. Therefore, PL emission at 1.64 eV may be due to band edge (BE) emission which attributed to the free carriers' exciton. Besides, BE emission at 1.64 eV, two other emissions at 2.01 eV and 2.11 eV refer to either of the shallowlevel defects of vacancies or interstitials of organic part, otherwise deep-level defects of halogen interstitials or anti-sites of lead and halogen as suggested by DFT calculations [\[47](#page-8-0)]. These defects states are related to the preparation method which allows a particular chemical structure with Cl-containing polycrystalline system having higher polarization along the C–N \cdots Cl axis [[31\]](#page-7-0).

Temperature-dependent cathodoluminescence study

The cathodoluminescence (CL) is an advanced technique that detects simultaneously secondary

Figure 5 RTPL spectrum of dipping-deposited $CH_3NH_3PbI_{3-x}Cl_x$ film excited at $\lambda_{ex} = 500$ nm.

Figure 6 Cathodoluminescence spectra of dipping-deposited $CH_3NH_3PbI_{3-x}Cl_x$ thin film at temperatures 80 K and 300 K.

electrons and light emissions which correlate the physical structure of the material to its local transport and optical properties of the sample. The CL measurement of $CH_3NH_3PbI_{3-x}Cl_x$ thin film was carried out at 10 nA electron beam current with an accelerating voltage of 10 kV at temperatures 80 K and 300 K as shown in Fig. 6.

In the cathodoluminescence spectrum, a sharp emission of green luminescence (GL) peak at 2.51 eV is in between the band gap energies for tetragonal (1.6 eV) and cubic (3.0 eV) phases suggesting the interaction of tetragonal $CH_3NH_3PbI_{3-x}Cl_x$ and cubic $CH₃NH₃PbCl₃$ phases. There are few other broader emission peaks found at 1.67 eV and 1.80 eV corresponding to red luminescence (RL) and very weak peaks at 3.34 eV and 3.60 eV for ultraviolet luminescence (UVL), respectively. It should be noted that the emissions at 3.34 eV and 3.6 eV are the frequency doubling of emissions at 1.67 eV and 1.80 eV, respectively, known as harmonic emissions. However, the CL peak intensity is found lower for 300 K than the intensity at 80 K. This lowering of intensity is the direct evidence of decreasing recombination events with increasing temperature [[48\]](#page-8-0).

Conclusions

In summary, $CH_3NH_3PbI_{3-x}Cl_x$ thin film has been successfully prepared by repeated dip coating method at an ambient atmosphere. Structural results provide an evidence of the formation of both tetragonal $CH_3NH_3PbI_{3-x}Cl_x$ and cubic $CH_3NH_3PbCl_3$ phases in the deposited film. Raman study confirms the metal halide bond in the inorganic framework and organic $CH₃$ stretching/bending of C–H bond in $CH_3NH_3PbI_{3-x}Cl_x$ perovskite. From SEM image, it is clear that the film surface is compact and composed of cuboids and polyhedral grains having high absorption coefficient of the order of 10^6 m⁻¹ in both visible and near-IR spectral regions. Photoluminescence study confirms band edge accompanied with shallow and deep-level defect emissions. The green luminescence at 2.51 eV from cathodoluminescence study confirms the interaction between tetragonal $CH_3NH_3PbI_{3-x}Cl_x$ and cubic $CH_3NH_3PbCl_3$ phases. Importantly, this work presents an easy and effective method for the deposition of $CH_3NH_3PbI_{3-x}Cl_x$ thin film with large grain size useful for high-performance perovskite optoelectronic devices.

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