

# Synthesis and characterization of $\text{Sm}(\text{OH})_3$ and $\text{Sm}_2\text{O}_3$ nanoroll sticks

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**Abstract** Hexagonal phase  $\text{Sm}(\text{OH})_3$  nanoroll sticks were first synthesized by hydrothermal method, and cubic phase  $\text{Sm}_2\text{O}_3$  nanoroll sticks were obtained by post-annealing treatment at 450 °C. The growth of  $\text{Sm}_2\text{O}_3$  nanoroll sticks was found to be [40-4] direction. Their characteristics were examined by scanning electron microscopy, transmission electron microscopy, X-ray diffraction crystallography, Raman, FT-IR, UV–Vis–NIR absorption, and temperature-programmed reduction experiment.

## Introduction

Samarium oxide ( $\text{Sm}_2\text{O}_3$ ) is a high-k dielectric material and has extensively been studied for its potential application to alternative gate dielectric in complementary metal–oxide–semiconductors (CMOS) and resistance random access memories (RRAM) [1–4]. Wang et al. used high-k  $\text{Sm}_2\text{O}_3$  thin film as a pH-sensing layer [5]. Application to catalyst has also been of interest [6–10]. Elkins et al. demonstrated that  $\text{Sm}_2\text{O}_3$  could be a promising catalyst for the oxidative coupling of methane [8]. Wang et al. reported a potential application to photocatalytic dye degradation [9,

10]. Many effects have been devoted to synthesize diverse morphologies of  $\text{Sm}_2\text{O}_3$ .  $\text{Sm}(\text{OH})_3$  has also been prepared since  $\text{Sm}_2\text{O}_3$  could be obtained by a post-thermal dehydration [11, 12]. Facial solution-based synthetic methods include hydrothermal [13–15], precipitation [11, 16], sol–gel [18], and template [19] methods. Many different morphologies have been synthesized and reported, including nanorods [11, 15–17, 20], nanodisks [18], nanowires [21, 22], submicrospindles [23], nanoparticles [24], and nanoplates [20, 21]. Yu et al. synthesized single unit cell size (1.1 nm thick and 2.2 nm wide)  $\text{Sm}_2\text{O}_3$  nanowires and nanoplates by thermal decomposition of  $\text{Sm}(\text{III})$  acetate in the presence of oleylamine and a long-chain carboxylic acid under Ar atmosphere [21]. Panda et al. used the same chemicals but applied microwave to synthesize  $\text{Sm}_2\text{O}_3$  nanorods [20]. Nejad et al. synthesized  $\text{Sm}_2\text{O}_3$  nanoparticles (50–80 nm) in supercritical water condition by a hydrothermal method and reported BET surface areas of 20–32 m<sup>2</sup>/g for the synthesized nanoparticles [14].  $\text{Sm}_2\text{O}_3$  fibers were prepared by thermal calcination of samarium citrate-PVA composite nanofibers, which were prepared by an electrospinning technique [25]. Precipitation method has also widely been employed to synthesize nanorods [11, 12]. Zhang et al. reported  $\text{Sm}_2\text{O}_3$  mesoporous structures by a surfactant-mediated PMMA-template assisted synthesis and a post-thermal treatment [19].

In the present study, we first prepared  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  nanoroll (rolled into nanotubes) sticks, which were then characterized using various experimental techniques. The morphology could be controlled by an amount of ammonia and a reaction temperature. Because new morphology generally exhibits different physicochemical properties and application performances [26] the newly found nanoroll stick morphology provides new insight for developing  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  nanostructures for

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various applications including high-k materials, catalysts, and sensors.

## Experimental section

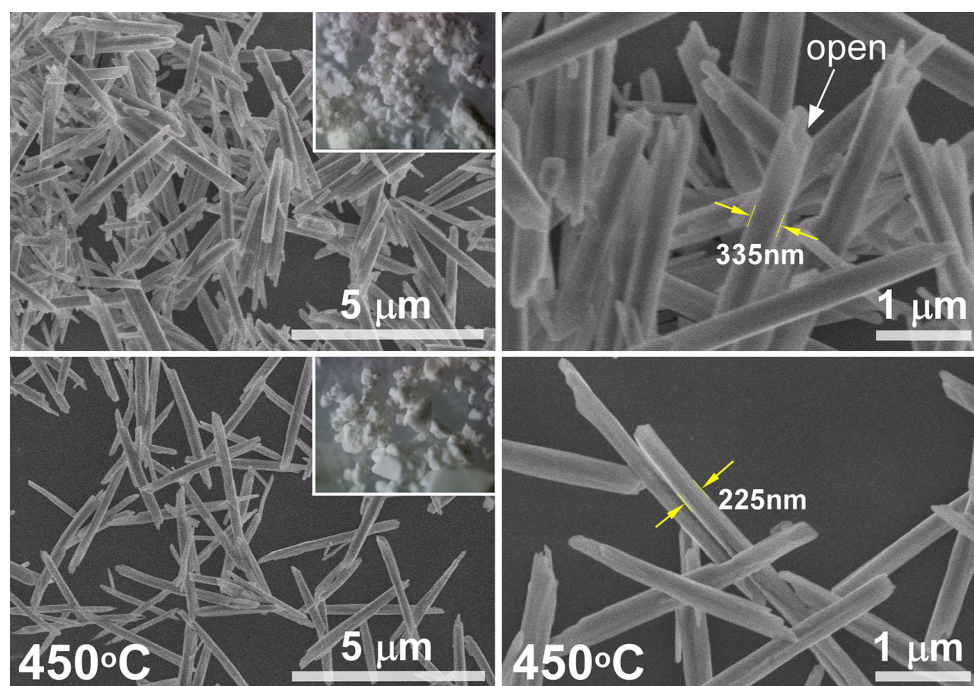
For the synthesis of  $\text{Sm}(\text{OH})_3$  nanoroll sticks, we prepared 25 mL of  $\sim 0.04$  M  $\text{Sm}(\text{III})$  sulfate octahydrate (Sigma-Aldrich, 99.9 %) solution and added 2.0 mL of ammonia solution ( $\sim 30$  %) drop-wise to obtain precipitates. The solution was then transferred to a 100 mL Teflon-lined stainless autoclave and placed in an oven ( $140$  °C) for 12 h. After that we naturally cooled the solution to room temperature, centrifuged, and washed white precipitates with deionized water and ethanol repeatedly. The powder samples were fully dried in an oven ( $90$  °C). To obtain  $\text{Sm}_2\text{O}_3$  nanoroll sticks, we calcinated the  $\text{Sm}(\text{OH})_3$  nanoroll sticks at  $450$  °C for 4 h. The morphology of the samples was examined by scanning electron microscopy (SEM, Hitachi SE-4800). For the  $\text{Sm}_2\text{O}_3$  nanoroll sticks, high resolution transmission electron microscopy (HRTEM) images were obtained using a Tecnai G2 F20 S-TWIN at an acceleration voltage of 200 kV. X-ray diffraction (XRD) patterns were recorded using a PANalytical X'Pert Pro MPD diffractometer with  $\text{Cu K}\alpha$  radiation. For the powder samples, diffuse reflectance UV–Vis–NIR absorption spectra were obtained using a Cary5000 spectrophotometer. Raman spectra were taken using a Bruker Senterra Raman spectrometer at a laser excitation wavelength of

532 nm. FT-IR spectra were obtained using a Thermo Scientific Nicolet iS10 spectrometer with ATR (attenuated total reflectance) mode.

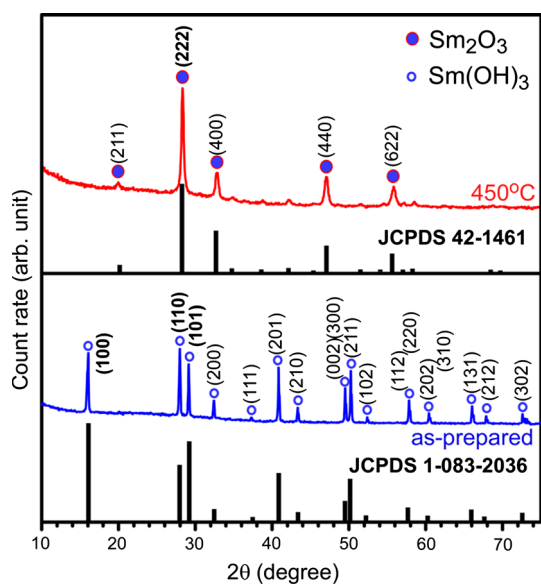
## Results and discussion

Figure 1 shows the SEM images of as-prepared and post-annealed (at  $450$  °C)  $\text{Sm}(\text{III})$  powder samples. The as-prepared sample showed stick morphology with widths of  $300$ – $350$  nm and lengths of  $4$ – $5$   $\mu\text{m}$ . The edges of the sticks showed open structure, indicating a tube-like morphology. For the thermal-annealed sample, the morphology was not significantly changed, however the sticks became significantly skinnier with widths of  $200$ – $250$  nm. The shrinkage is due to a thermal dehydration effect. The color of the sample was white and unchanged after thermal annealing at  $450$  °C.

The XRD patterns of the as-prepared and  $450$  °C-annealed samples were obtained and are displayed in Fig. 2. The XRD patterns of the as-prepared sample matched well with those of the hexagonal phase (space group  $P63/m$ )  $\text{Sm}(\text{OH})_3$  (JCPDS 01-083-2036) well. Three major peaks were found at  $2\theta = 16.0^\circ$ ,  $28.0^\circ$ , and  $29.2^\circ$ , assigned to the (100), (110), and (101) planes, respectively. Other crystal planes were also assigned to the corresponding XRD peaks in Fig. 2. For the formation of  $\text{Sm}(\text{OH})_3$ , a following reaction is proposed:  $2\text{Sm}^{3+}(\text{aq}) + 3\text{SO}_4^{2-}(\text{aq}) + 6\text{OH}^-(\text{aq}) + 6\text{NH}_4^+(\text{aq}) \rightarrow 2\text{Sm}(\text{OH})_3(\text{s}) + 3\text{SO}_4^{2-}(\text{aq}) + 6\text{NH}_4^+(\text{aq})$

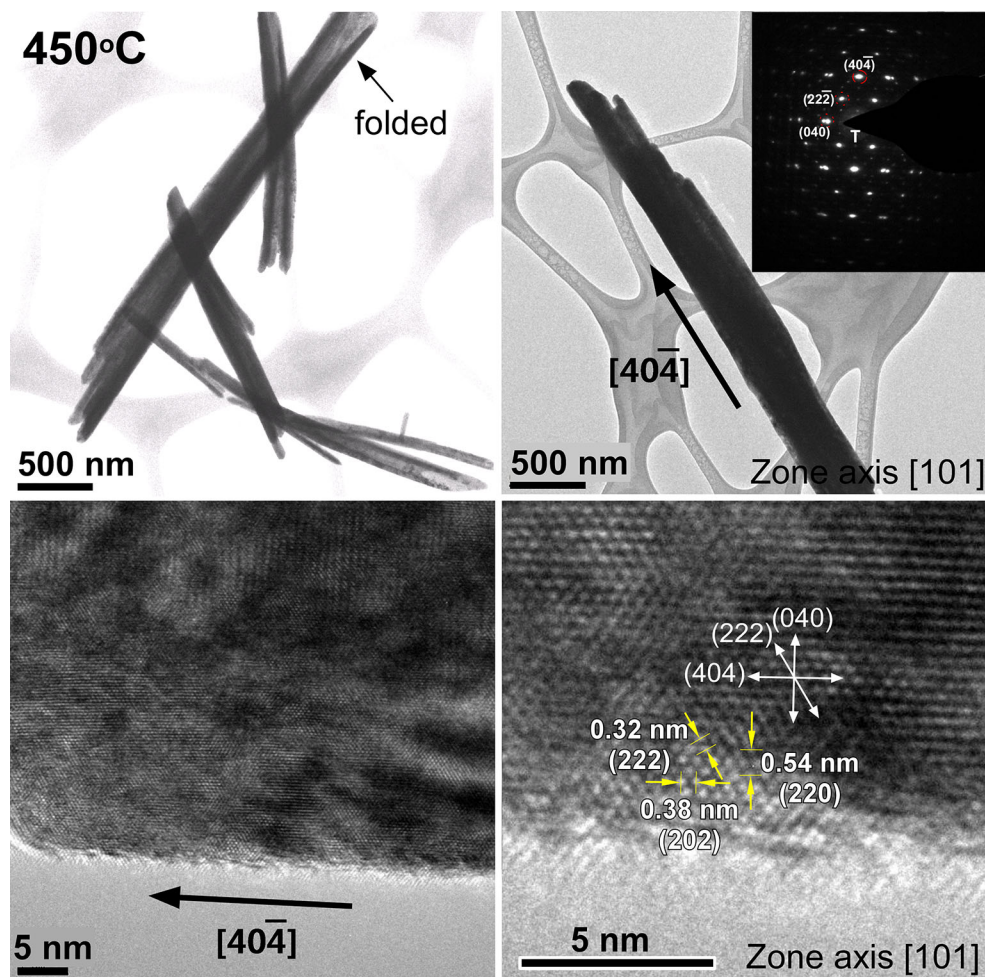


**Fig. 1** SEM images of as-prepared (*top*) and  $450$  °C-annealed (*bottom*) samples. *Insets* show optical microscope images of the corresponding samples



**Fig. 2** Powder X-ray diffraction patterns of as-prepared (*bottom*) and 450 °C-annealed (*top*) samples. The reference XRD patterns are also shown below the corresponding XRD patterns

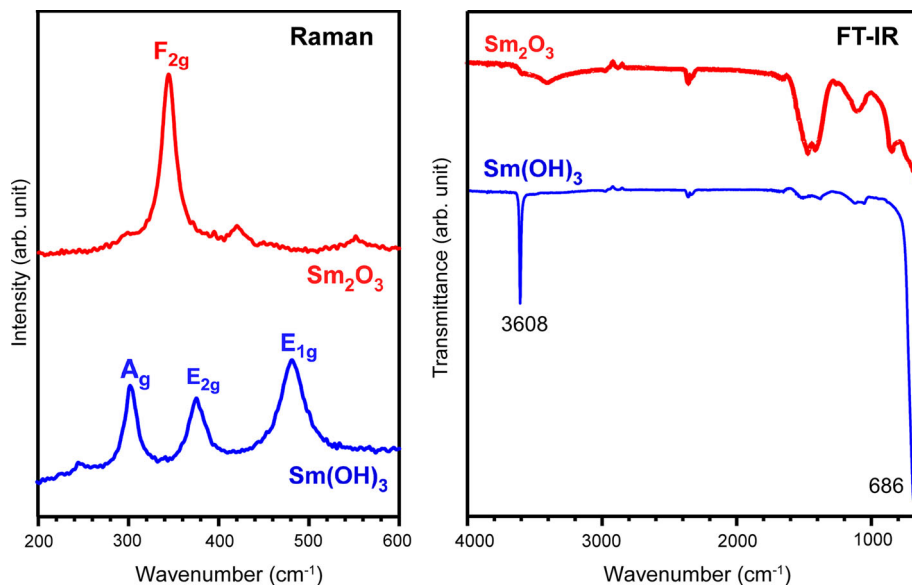
(aq) [11]. At lower concentrations of ammonia,  $\text{SO}_4^{2-}$  ions may also be participated to form  $\text{Sm}(\text{OH})(\text{SO}_4)$ . To confirm this scenario, we also synthesized with a smaller amount of ammonia (1.0 mL), and obtained a plate morphology showing a different crystal structure. This indicates that the plates consist of different Sm complexes which are under investigation. When we added 1.5 mL of ammonia we obtained mixed morphologies; SEM and XRD confirmed not shown here. Based on this, we conclude that the amount of ammonia plays a major role in determining the morphology. At a higher reaction temperature of 210 °C (not shown here), we found that the plates became thicker and the roll sticks became more like rods. For the thermal-annealed sample, the XRD patterns were drastically different from those of as-prepared sample. The patterns were in good match with those of cubic (space group Ia-3) phase  $\text{Sm}_2\text{O}_3$  (JCPDS 42-1461). A major peak was found at  $2\theta = 28.3^\circ$  and assigned to the (222) plane. Other minor peaks at  $2\theta = 19.9^\circ$ ,  $32.8^\circ$ ,  $47.0^\circ$ , and  $55.8^\circ$  were assigned to the (211), (400), (440), and (622) planes, respectively.



**Fig. 3** TEM and HRTEM images, and SAED patterns (*inset*) of  $\text{Sm}_2\text{O}_3$  nanoroll sticks



**Fig. 4** Raman (left) and FT-IR (right) spectra of  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  nanoroll sticks



**Fig. 5** UV–Visible reflectance absorption spectra of  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  roll-sticks. The spectral region in 900–2000 nm was 3× amplified for clear peak identification

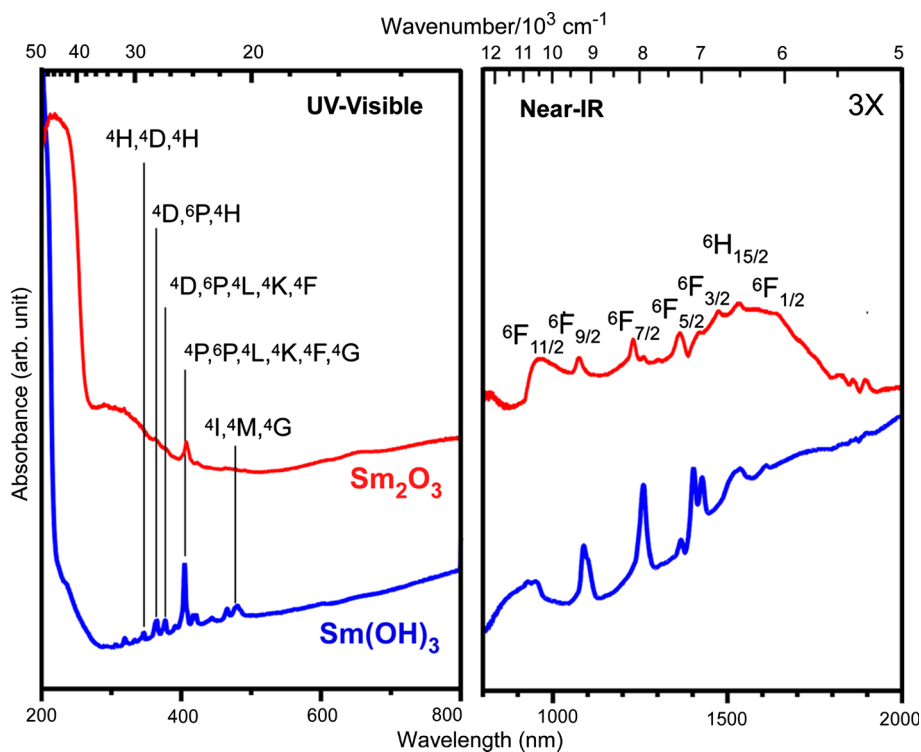
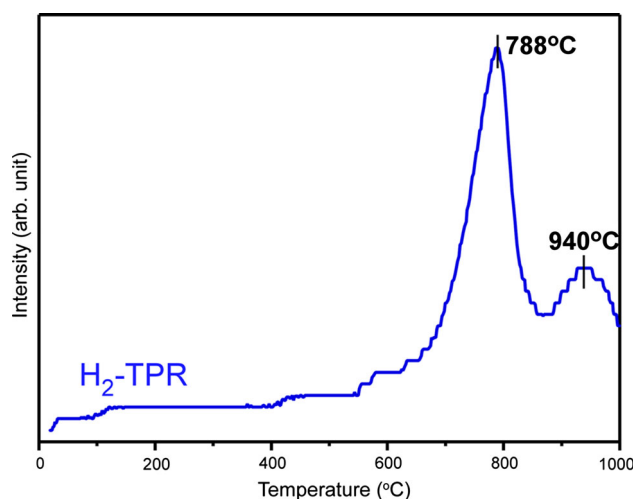


Figure 3 displays the TEM and HRTEM images, and the selected area electron diffraction (SAED) patterns of  $\text{Sm}_2\text{O}_3$  nanoroll sticks. As discussed above in Fig. 1, the TEM image clearly showed that a long roll sheet was folded to form a roll stick structure [22]. The SAED patterns along the [101] zone axis showed highly single crystalline nature of the nanoroll stick. The SAED pattern indicates that the crystal growth preferentially occurs along [40-4]. The HRTEM images showed clear lattice fringes. The distances between the planes were measured to be

0.32, 0.38, and 0.54 nm, which correspond the (222), (202), and (220) planes of cubic phase  $\text{Sm}_2\text{O}_3$ . On the basis of the results discussed above, we proposed the following growth mechanism. The nanoroll sticks may be formed by the following anisotropic growth and folding mechanism.  $\text{Sm}(\text{OH})(\text{SO}_4)$  seed with sheet morphology is initially formed, gradually transformed to  $\text{Sm}(\text{OH})_3$  and then the sheets anisotropically grow into longer sheets. Due to different growth directions, rates, and strains upon forming from  $\text{Sm}(\text{OH})(\text{SO}_4)$  to  $\text{Sm}(\text{OH})_3$  the sheets consequently



**Fig. 6** Hydrogen temperature-programmed reduction (TPR) profile of  $\text{Sm}_2\text{O}_3$  nanorods

roll up to form tubes (or roll stick-like structures at a lower temperature of 140 °C) or rods (at a high temperature of 210 °C).

The nanoroll sticks could also be synthesized for other rare earth compounds by a facial hydrothermal method by controlling the amount of ammonia and a reaction temperature. Sohn et al. have also synthesized other rare earth compounds including Dy(III) and Tb(III) and found similar

morphological changes [27, 28]. Sheet morphology has commonly been obtained and converted to tube or rod morphology.

Figure 4 shows Raman and FT-IR spectra of  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  nanoroll sticks. For  $\text{Sm}(\text{OH})_3$  nanoroll sticks, three major Raman peaks were observed at 302.0, 375.4, and 481.0  $\text{cm}^{-1}$ , which could be assigned to  $A_g$  translatory,  $E_{2g}$  translatory, and  $E_{1g}$  libration modes, respectively [16]. For  $\text{Sm}(\text{OH})_3$  with a hexagonal crystal phase (P63/m), the vibrational mode representations are  $4A_g + 3B_g + 2E_{1g} + 5E_{2g} + 2A_u + 4B_u + 4E_{1u} + 2E_{2u}$ , where  $4A_g$ ,  $2E_{1g}$  and  $5E_{2g}$  are Raman active. For  $\text{Sm}_2\text{O}_3$  with a cubic phase, 22 Raman active modes of  $4A_g$ ,  $4E_g$ , and  $14F_g$  are expected [29, 30]. The strong Raman peak at 344.7  $\text{cm}^{-1}$  was assigned to the combination of  $A_g$  and  $F_g$  modes [29, 30]. For the FT-IR spectrum of  $\text{Sm}(\text{OH})_3$ , a very sharp IR peak was observed at 3608.0  $\text{cm}^{-1}$  and attributed to the O–H stretching vibration [16, 31, 32]. The peak at 685.6  $\text{cm}^{-1}$  was due to bending vibration of  $\text{Sm–O–H}$  [16]. For  $\text{Sm}_2\text{O}_3$ , a broad peak at 3400  $\text{cm}^{-1}$  was due to  $\text{H}_2\text{O}$  (or hydrated) adsorbed on the oxide surface. The peaks at 1412 and 1473  $\text{cm}^{-1}$  were commonly observed and attributed to symmetric and asymmetric stretching of  $\text{COO}^-$ , respectively [15].

Figure 5 displays the diffuse reflectance UV–Vis–NIR absorption spectra of  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  nanoroll stick

**Table 1** Summary of literature reported for  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$

Experimental condition and method	Morphology and size	Experimental data shown
$\text{Sm}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ , $\text{NH}_4\text{OH}$ , precipitation method [11]	$\text{Sm}(\text{OH})_3$ and $\text{Sm}_2\text{O}_3$ nanorods 30–150 nm wide and 150–350 nm long	XRD, HRTEM, TG, BET
$\text{Sm}(\text{NO}_3)_3$ sol., a surfactant, triethylamine, precipitation and heating (600 and 900 °C) [12]	$\text{Sm}_2\text{O}_3$ nanoparticles, nanorods, nanoplates	SEM, XRD, PL, magnetic susceptibility
$\text{Sm}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ , NaOH in supercritical water, hydrothermal method [14]	$\text{Sm}_2\text{O}_3$ nanoparticles, 50–80 nm	XRD, TEM, BET
$\text{Sm}(\text{NO}_3)_3$ sol., toluene, a capping agent, t-butylamine, hydrothermal method (120–200 °C) [15]	$\text{Sm}_2\text{O}_3$ nanoparticles and nanorods, 7–25 nm wide and 100–160 nm long	XRD, TEM, XPS, FT-IR, TG/DTA, BET
$\text{SmCl}_3 \cdot 6\text{H}_2\text{O}$ , a surfactant (CTAB), $\text{NH}_4\text{OH}$ , precipitation method [16]	$\text{Sm}(\text{OH})_3$ nanorods, 15–90 nm wide and 120–500 nm long	SEM, XRD, HRTEM, FT-IR, Raman UV–Vis absorption,
$\text{Sm}(\text{acac})_3$ in benzyl alcohol at 200 °C, Sol–gel method and calcination at 500 °C [18]	ultrathin $\text{Sm}_2\text{O}_3$ nanodisks	SEM, XRD, HRTEM
$\text{Sm}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ , sucrose, citric acid, PMMA microsphere template in methanol [19]	$\text{Sm}_2\text{O}_3$ mesoporous walls	SEM, XRD, HRTEM, UV–Vis–NIR absorption, Raman, FT-IR, PL, TG/DSC, BET
$\text{Sm}(\text{III})$ acetate + oleylamine + a long-chain carboxylic acid thermal decomposition [20]	$\text{Sm}_2\text{O}_3$ nanorods, 1.2 nm wide and 4–5 nm long	HRTEM, XRD
$\text{Sm}(\text{III})$ acetate + oleylamine + oleic acid microwave [21]	$\text{Sm}_2\text{O}_3$ nanowires, nanoplates, single unit cell size (1.1 nm $\times$ 2.2 nm)	HRTEM, XRD
$\text{Sm}(\text{III})$ nitrate sol, KOH (180 °C and 12 h), hydrothermal method [22]	$\text{Sm}(\text{OH})_3$ nanowires, 20–30 nm wide and 2–5 $\mu\text{m}$ long	XRD, HRTEM
$\text{Sm}_2(\text{SO}_4)_3 \cdot 8\text{H}_2\text{O}$ , $\text{NH}_4\text{OH}$ in water (140 °C, 12 h), hydrothermal method ( <i>this study</i> )	$\text{Sm}(\text{OH})_3$ and $\text{Sm}_2\text{O}_3$ nanoroll sticks, ~300 nm wide and ~5 $\mu\text{m}$ long	SEM, XRD, HRTEM, UV–Vis absorption, FT-IR, TG/DSC, TPR

powder samples. The Y-axis was converted from the reflectance data using the Kubelka–Munk method. Although the intensities were different before and after thermal annealing all the UV–Vis–NIR absorption peaks were in good match with the electronic transitions of Sm(III) [19, 33]. The absorption spectra between 900 and 2000 nm correspond to the f–f transitions from the ground state of  ${}^6\text{H}_{5/2}$  to  ${}^6\text{F}_{1/2,3/2,5/2,7/2,9/2,11/2}$  and  ${}^6\text{H}_{15/2}$  energy levels as assigned on the corresponding peaks [33]. The absorption peaks found between 300 and 500 nm were assigned on the corresponding peaks. The broad absorption below 300 nm was possibly due to the  $\text{O}^{2-}$ – $\text{Sm}^{3+}$  charge-transfer [19]. Zhang et al. reported very similar UV–Vis absorption profiles between 200 and 600 nm for  $\text{Sm}_2\text{O}_3$  mesoporous walls [19].

We examined surface activity of  $\text{Sm}_2\text{O}_3$  nanoroll sticks by recording temperature-programmed hydrogen reduction profiles as shown in Fig. 6. The hydrogen consumption was gradually increased from 400 °C. The gradual increase was commonly attributed to the reduction of surface oxide [34]. Above 700 °C, two broad and strong reduction peaks appeared at 788 and 940 °C. The former (major) peak at 788 °C was plausibly due to reduction process of  $\text{Sm}_2\text{O}_3 \rightarrow \text{SmO}$  and the latter (minor) peak to  $\text{SmO} \rightarrow \text{Sm}$ .

To show the originality of the nanoroll sticks, we summarized the previously reported results for  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  in Table 1. Various morphologies have previously been reported using diverse synthetic methods. However, nanoroll stick morphology has never been reported so far.

## Conclusion

Hexagonal phase  $\text{Sm}(\text{OH})_3$  nanoroll sticks were synthesized by a hydrothermal method for the first time. Highly single crystalline cubic phase  $\text{Sm}_2\text{O}_3$  nanoroll sticks were obtained by post-thermal annealing at 450 °C. Growth direction of the oxide was found to be [40-4]. The crystal phases were confirmed by XRD, HRTEM, Raman, and FT-IR spectra. The UV–Vis–NIR absorption peaks of  $\text{Sm}(\text{OH})_3$  and  $\text{Sm}_2\text{O}_3$  clearly showed the electronic transitions of Sm(III). The TPR peaks at 788 and 940 °C were attributed to reductions of  $\text{Sm}_2\text{O}_3$  and  $\text{SmO}$ , respectively.

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