New insight into PEO modified inner surface of HNTs and its nano-confinement within nanotube

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Abstract In this study, we demonstrate the use of poly (ethylene oxide) (PEO) for in-situ modification of the inner surface of halloysite nanotubes (HNTs) with water molecules as the hydrogen bond forming medium, as well as the nano-confinement of PEO molecular chains within the nanotube. Before testing, the Soxhlet experiment of PEO/ HNTs powder is applied in order to remove the physical adsorption of PEO molecules onto the outmost surface of HNTs. The crystal temperature of PEO changes sharply from 36.9 °C of neat PEO to -25 °C of PEO in the PEO/ HNTs powder and the decomposed temperature of PEO in the PEO/HNTs powder is about 13.1 °C higher than that of neat PEO, which is mainly owing to the nano-confinement effect of PEO within the HNTs with a diameter of about 10 nm. From thermo-gravimetric (TG) analysis, about 7.71 % by weight of PEO has been chemically bonded to HNTs. The hydrogen bonds among PEO, HNTs, and water molecules are evidenced by FTIR and XPS performances. Meanwhile, the binding energy of Al_{2p} in the innermost surface of HNTs shifts from 74.7 eV in the neat HNTs to 74.5 eV in the PEO/HNTs powder, while that of Si_{2p} on the outmost surface of HNTs keeps almost constant, indicating that the hydrogen bonds only exists inner the nanotube and PEO molecular chains have been trapped in nano-scale

within HNTs, which is in accordance with the DSC and TG observation.

Introduction

In recent years, polymer nanocomposites, especially including inorganic filler with at least one-dimension in nano-scale, have attracted considerable interest [1, 2], both in academia and in applied polymer industry, due mainly to their excellent performances such as high mechanical properties [3], thermal stability [4, 5], gas permeation resistance [6], fire retardant [7], and physical properties [8]. Two major reasons may be responsible for properties improvement in polymer nanocomposites. One is the welldispersion degree of inorganic filler in the polymer matrix because particle aggregation, caused by thermodynamic driving force, will introduce more defects in the nanocomposites, leading to a catastrophic effect for nanocomposites. Another is the interaction between polymer matrix and inorganic filler as strong interaction will allow stress to pass from polymer chains to inorganic filler in the form of chain relaxation when an external stress is applied to the nanocomposites [9]. For these purposes, many efforts of filler modification, such as silane coupling agent modification [8], in-situ carboxyl [1] or its salts [9] modification, ionic liquid modification [10], surface-initiated atom transfer radical polymerization (SI-ATRP) modification [11], etc., have been made in order to acquire good comprehensive performances of nanocomposites.

In all the inorganic fillers, halloysite nanotubes (HNTs) are a unique and facile nature mineral, with silica on the outermost surface and alumina (Al–OH) in the innermost surface [12, 13], as shown in Scheme 1. Kaolinite plates roll along the major crystallographic directions to form

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Scheme 1 Typical diagrams for the structure of a halloysite nanotube



tubes identical to proper tubular halloysite under hydration [12], providing a curling structure. Moreover, defects on the surface of halloysite, such as surface breakage, probably results from mechanical damage or crystallographic defects [14] (Scheme 1). Recently, it has reported that HNTs have typical dimensions of 10-50 nm in outer diameter, 5-20 nm in inner diameter with the lengths in a wide range from 0.02 to >30 μ m [15, 16], typical specific surface area of 65 m²/g and pore volume of ~ 1.25 mL/g. These unique structure characteristics, such as high surface area, large aspect ratio and hollow structure, make HNTs a promising candidate for low-density nanocomposites, taking the place of larger quantities, as well as high price, of macro- or micro-counterparts such as glass or carbon fibers. Modification of edges/defects of the halloysite tube outer surface is intended to be a particularly versatile approach to surface modification, with the purpose of improving clay dispersion in polymer matrix or fluidic material [9, 10, 17-19]. However, reports on the modification of the innermost surface hydroxyl group of HNTs are rarely delivered [11]. Peng et al. [14] found that the direct grafting of γ -aminopropyl-triethoxysilane (APTES) onto the hydroxyl groups of the internal walls, edges and external surfaces of HNTs is valid, based on the consideration of the thermal and evacuation pretreatment conditions by controlling the extent and mechanism of the modification. Another interesting finding is that the extent

of modification is also strongly affected by the morphological parameters of the original clay samples. Weng et al. [11, 20] believed that selective interior modification by immobilization of functional groups via covalent bonds could open up new applications based upon molecular recognition. In their works [11], the use of a catecholic anchor (Dopa) for selective modification of the inner surface of HNTs has been reported, following by SI-ATRP through selectively adsorbing Dopa to graft a layer of polymer brush into the nanotube lumen. In the polymer/ inorganic filler nanocomposites, the modification of inorganic filler through water as a hydrogen bond forming medium, to our knowledge, has not yet been explored.

In this study, a novel approach is introduced to modify HNTs with water naturally existed in the lumen of HNTs as a hydrogen bond forming medium between HNTs and poly (ethylene oxide) (PEO) by solution mixing method. The PEO/HNTs nanocomposite is extracted by methyl cyanide with a Soxhlet extractor for 24 h, sequentially following by Fourier transform infrared spectroscopy (FTIR) and X-ray photoelectron spectroscopy (XPS) measurement to evaluate the hydrogen bonding interactions among PEO, HNTs, and water molecules. Furthermore, thermo-gravimetric analysis (TGA) and differential scanning calorimetry (DSC) are performed to confirm the interaction incident and nano-confinement of PEO occurred within the lumen of HNTs.

Experimental section

Materials

PEO, the number average molecular weight of 2.0×10^5 , from Sigma-Aldrich was used as one of the mixing components. HNTs, was mined from Hubei, China and further treated according to the procedure [21] and dried in an vacuum oven at 80 °C for 12 h. Methyl cyanide was analytical reagent grade.

Preparation of PEO/HNTs powder

About 0.2 g HNTs was first dispersed in 10 mL methyl cyanide within a single neck flash for 10 min under room temperature. After that, the mixing solution was ultrasonically treated for 30 min, following by a vigorously stir. Then about 0.5 g PEO was added, the vigorously stir continued for another 3 h. The PEO/HNTs film was achieved by pouring the mixing into a home-made model and evaporating the solvent in a fume hood at room temperature for 12 h.

Soxhlet extracting experiment was carried out in order to remove the PEO molecular chain adsorbed to the surface of HNTs by physical adsorbing effect. Sufficient dosage of methyl cyanide was poured into a single neck flask equipped with Soxhlet extractor and condenser pipe in the purpose of refreshing the good solvent for PEO. Then, the PEO/HNTs film sealed by 400 mesh filtration fabric and filter paper was placed in the Soxhlet extractor, following by a heating incident of 95 °C for 24 h. After that, the PEO/HNTs powder was dried in a vacuum oven at 60 °C until the powder weight kept constant.

Characterization

DSC analysis

The crystalline behavior of PEO in the PEO/HNTs powder was examined using a Netzsch DSC 200F3 Instrument. Before testing, the PEO/HNTs powder was kept at a constant temperature of 60 °C for 12 h. Sample of approximately 10 mg was sealed in aluminum pans and performances were carried out with a heating rate of 10 °C/ min, in the temperature range 20–110 °C, under a nitrogen atmosphere. The sample was held at 110 °C for 10 min, following by a heating incident from 110 to -40 °C with a heating rate of -10 °C/min.

TG analysis

gravimetric analyzer (TG-209F3, the Netzsch Company) in the temperature range of 25–650 °C with a scanning rate of 10 °C/min under nitrogen atmosphere.

FTIR analysis

The FTIR analysis was conducted by a Bruker Tensor 27 spectrometer at room temperature. Spectra were taken from 400 to 4000 cm⁻¹ with resolving power of 4 cm⁻¹.

XPS analysis

It had been reported that the wall thickness of HNTs was about 20 nm [20], which exceeded the penetration depth of XPS (about 10 nm). However, since defects on the surface of HNTs, such as surface breakage, and HNTs oriented on the substrate randomly occurred, the chemical bonding in the inner surface may be partially exposed to X-ray [20]. Thus, XPS would be competent for examination of chemical bonding within the lumen of HNTs. XPS spectra of HNTs, PEO, and PEO/HNTs powder were recorded by using an X-ray photoelectron spectrometer (LVAC-PHI 1800, Ulvac-Phi Company) with an aluminum (mono) K_a source (1486.6 eV). The aluminum K_a source was operated at 15 kV and 10 mA. All core level spectra were referenced to the C_{1s} neutral carbon peak at 284.7 eV.

Results and discussion

Evidence for nano-confinement of PEO within HNTs

It is well known that the effect of interactions [22, 23], as well as the confinement in the hollow [24, 25] or crosslinking structure [26], between two mixing compounds will be of significant importance on the crystalline temperature of crystalline compounds. In our previous work [22], we found that the weak intermolecular interaction between NBR and PEO would cause a dramatic decrease in the crystal melting temperature of PEO, from 69.9 °C of neat PEO to 59.5 °C of PEO/NBR blended with the ratio of 5:95, which is also valid for strong molecular interaction reported by Yen et al. [23]. When the confinement of PEO reduces to nano-scale, an interesting phenomenon that the crystal melting temperature and crystal temperature of PEO decrease to sufficiently low can be obtained [24, 25], which also calls crystal melting temperature depression and crystal temperature depression, respectively. As described in Ref. [23-25], only the nano-confinement of PEO molecular chains can lead to a great decrease, below zero, in the crystal temperature of PEO, which is a valid approach to probe the nano-confinement of crystal polymers within nanotubes [25].

Fig. 1 Crystal melting temperature and crystal temperature of PEO: **a** neat PEO; **b**, **c** PEO/HNTs powder



In Fig. 1a, the crystal temperature and crystal melting temperature of neat PEO are about 69.9 and 36.9 °C, respectively. For accurate illumination of nano-confinement of PEO in HNTs, in this study, PEO/HNTs powder was pretreated by Soxhlet extracting experiment in order to remove the PEO molecular chains physically adsorbed to the surface of HNTs. In Fig. 1b, the crystal melting temperature of PEO in the PEO/HNTs powder is about 48.7 °C, about 21.2 °C lower than that of neat PEO [22]. We speculate that the crystal melting temperature depression may be due to the decrease in PEO crystallite size (nano-confinement) and the increase in the interfacial area [25, 27]. If PEO molecular chains chemically bonded to the surface of HNTs that cannot be eliminated by Soxhlet extracting experiment, the crystal temperature of PEO would lie above zero, neighboring the crystal temperature of neat PEO [23]. The crystal curve of PEO in the PEO/HNTs powder is also shown in Fig. 1b, it is clear that when the temperature is above zero, not any exothermal peak can be found, indicating that there is not any PEO molecular chains on the surface of HNTs. Meanwhile, a much greater crystal temperature depression, from 36.9 °C of neat PEO to -25 °C of PEO in the PEO/ HNTs powder, of about 61.9 °C is acquired, as shows in Fig. 1c. Yu et al. [24] suggested that when PEO molecules was trapped in nano-scale confined spaces, for instance 100 nm confined space, the crystal temperature of PEO reached -18.6 °C. With decreasing pore diameter, the crystal temperature decreased subsequently. As the pore diameter decreased to 10 nm, the crystal temperature could get to as far as -25.1 °C. The dimension

of PEO monoclinic unit cells is about 1 nm [28], in our laboratory [29] and other studies [15, 16], the inner diameter of HNTs is about 10 nm. In Fig. 1c, the crystal temperature of PEO in the PEO/HNTs powder is about -25 °C, suggesting that PEO molecular chains have be trapped within HNTs.

TGA analysis

For organic modified inorganic filler, it is universally known that two thermo-gravimetric (TG) platforms, representing the thermal decomposition of organic component at low temperature and thermal behavior of inorganic part at higher temperature, can be found in the TG curves [30]. In Fig. 2, only one decomposed platform is observed in the TG traces of HNTs and PEO, with the decomposed temperature for HNTs and PEO at 443.2 and 331.7 °C, respectively. However, the thermal curve of PEO/HNTs powder shows two decomposed platforms when compared to PEO or HNTs. The first decay is attributed to the loss of PEO with the decomposed temperature of 344.8 °C, which is 13.1 °C higher than that of neat PEO owing to the nanoconfinement of PEO within HNTs. This is in accordance with the result of the PEO nano-confinement from the DSC measurement of PEO/HNTs powder in "Evidence for nano-confinement of PEO within HNTs" section. Another one locates at the decomposed temperature of 446.5 °C, assigning to the dehydroxylation step of HNTs. Moreover, calculated from the TG trace of PEO/HNTs powder, one can get that about 7.71 % by weight of PEO has been chemically bonded to HNTs, which cannot be removed by







Fig. 3 The FTIR spectra of HNTs, PEO, and PEO/HNTs powder

the good solvent for PEO in the Soxhlet experiment, indicating that a strong interaction has formed between PEO and HNTs.

FTIR spectroscopy

FTIR spectra of HNTs, PEO, and PEO/HNTs powder in the whole region are shown in Fig. 3 and the details of the frequency and assignments of each vibrational mode observed are displayed in Table 1. The inner surface –OH and inner –OH stretching of HNTs are known to give two bands at 3697 and 3622 cm⁻¹ [14, 21], while that for PEO/

Table 1 The characteristic wavenumbers (cm^{-1}) of HNTs, PEO, and PEO/HNTs powder

Sample	HNTs	PEO	PEO/HNTs powder
Inner surface O-H stretching	3697	_	3699
Inner O-H stretching	3622	-	3625
O-H stretching of water	3559	-	3548
-CH ₂ -	_	2886	2878
O-H deformation of water	1652	-	1677
-C-O-C-	-	1111	1106
In-plane Si-O stretching	1033	-	1033
Perpendicular Si-O stretching	692	-	691
Deformation of Al-O-Si	535	-	534
Deformation of Si-O-Si	468	-	467
Deformation of Si-O	435	-	434

HNTs powder locates at 3699 and 3625 cm⁻¹, respectively, not obvious changes can be found. The broad peaks of water, –OH stretching and –OH deformation, shift from 3559 and 1652 cm⁻¹ [14] in the HNTs to 3548 and 1677 cm⁻¹ in the PEO/HNTs powder, separatively, indicating the chemical environment of H₂O in the PEO/HNTs powder would be different from that in the HNTs. Furthermore, a sharp peak at 2886 cm⁻¹ in the PEO spectrum is attributed to the stretching of methylene (–CH₂–) [22]. For the PEO/HNTs powder, a red shift, about 8 cm⁻¹, can be observed, which indicates a more constricted vibration of methylene groups existed in the PEO/HNTs powder. Similar phenomenon can be found in the –C–O–C– stretching of PEO and PEO/HNTs powder. All the



Fig. 4 XPS spectra taken for HNTs, PEO, and PEO/HNTs powder

observations mentioned above would provide us with a new understanding that a new type of chemical bond, hydrogen bond, may be formed among PEO, HNTs, and water molecules.

Moreover, compared HNTs with PEO/HNTs powder, there are not distinct difference in the in-plane Si–O stretching, perpendicular Si–O stretching, deformation of Al–O–Si, deformation of Si–O–Si and deformation of Si–O. As we mentioned above, HNTs possess a unique microstructure with silica on the outermost surface and alumina (Al–OH) in the innermost surface [12, 13], this suggests that not any interactions between PEO and outmost surface silica structure of HNTs can be found.

XPS analysis

It is well known that the formation of a new chemical bonding would lead to the variation of the chemical environment, which can be revealed by the variation of the binding energy of certain atoms via XPS survey [9]. The whole XPS spectra and fitting curves of their certain characteristic atoms of HNTs, PEO, and PEO/HNTs powder are depicted in Figs. 4 and 5 and their precise binding energies of characteristic atoms are also displayed in Table 2. As one can be seen from Table 2, the O_{1s} spectrum of HNTs is asymmetric and can be divided into two components attributed to oxide component (532.1 eV) and adsorbed water (533.0 eV) [31], whereas for PEO/HNTs powder, the O_{1s} spectrum can be analyzed in terms of three components, that is, 531.4 eV (the oxide component), 532.2 eV (PEO component), and 533.3 eV (adsorbed water), respectively. Meanwhile, the binding energy of O_{1s} in PEO locates at 532.6 eV. The great differences in the



Fig. 5 Fitting curves of certain characteristic atoms of HNTs, PEO, and PEO/HNTs powder

Sample	HNTs	PEO	PEO/HNTs powder
C _{1s}	_	284.6	284.6
	_	285.7	285.6
	_	286.6	286.8
	_	287.7	288.9
O _{1s}	532.0		531.4
	_	532.6	532.2
	533.0	-	533.3
Al_{2p}	74.7	-	74.5
Si _{2p}	103.1	-	103.0

 Table 2
 Binding energies of characteristic elements of HNTs, PEO, and PEO/HNTs powder

binding energies of O1s among HNTs, PEO, and PEO/ HNTs powder suggests the hydrogen bonds have been formed among PEO, HNTs and water molecules, which also causes the binding energy of C_{1s} of PEO (286.8 eV) in the PEO/HNTs powder shifts to higher value, about 0.2 eV higher than that of PEO. Furthermore, the binding energies of Al_{2p} and Si_{2p} in HNTs are 74.7 and 103.1 eV, separately. When combining with PEO, the binding energies of Al_{2n} and Si_{2n} of HNTs are 74.5 and 103.0 eV, respectively. As mentioned above, HNTs is form by rolling Kaolinite plates along the major crystallographic directions under a certain geological condition, with silica on the outermost surface and alumina (Al-OH) in the innermost surface. The almost unchanged in the binding energy of Si_{2p} in HNTs suggests that no chemical bonding occurs between PEO and outer surface silica of HNTs, which is in accordance to

Fig. 6 The interaction among PEO, HNTs, and water in the PEO/HNTs powder

the results from FTIR analysis. The binding energy of Al_{2p} in the innermost surface of HNTs shifts toward lower level, illuminating that the interaction between PEO and HNTs occurs inside the nanotube, which agrees with the results from crystal temperature depression of PEO within PEO/ HNTs powder in "Evidence for nano-confinement of PEO within HNTs" section.

Combined the results from FTIR and XPS measurements, one can see that the hydrogen bond is evidently formed between PEO and HNTs inside the HNTs with water molecules as hydrogen bond forming medium.

Discussion of PEO nano-confinement within HNTs

PEO nano-confinement within HNTs can be explained in Fig. 6. When PEO is mixing with HNTs in solvent, PEO molecular chains (smaller enough than the inner pore diameter of HNTs) can migrate into the inner surface of HNTs owing to thermodynamic driving force and subsequently, interactions among PEO, HNTs and water molecules occur, as displayed in Fig. 6, leading to the diffusion limitation of PEO molecular chains, which is one of the reasons responsible to crystal temperature depression. Another reason, the most important one, is the nano-scale confinement [32, 33] of PEO. The nano-scale restriction of PEO within HNTs prohibits the configuration and reorientation of PEO molecular chains, resulting in more "freezing" effect on molecular segment motion. For PEO confined in HNTs, the melt is divided by HNTs into abundant nano-scale domains, in which a surface nucleation mechanism is more suitable for PEO crystallization



[25], as shown in Fig. 6. The surface nucleation site can only influence an "infinitesimal" fraction of molecular segment due to the interaction and nano-scale confined effect of HNTs, therefore, a larger supercooling is needed to overcome the larger free energy barrier [34], leading to a greater decrease in the crystal temperature of PEO.

Conclusions

PEO in-situ modified HNTs with water molecules as the hydrogen bond forming medium has been successfully obtained. The Soxhlet experiment of PEO/HNTs powder is applied in order to remove the physical adsorption of PEO molecules onto the outmost surface of HNTs. The crystal temperature of PEO shifts dramatically from 36.9 °C of neat PEO to -25 °C of PEO in the PEO/HNTs powder by DSC measurement, which is attributed to the nano-scale confinement effect of PEO within the HNTs with a pore diameter of about 10 nm. Calculated from the TGA trace of PEO/HNTs powder, the decomposed temperature of PEO in the PEO/HNTs powder is about 13.1 °C higher than that of neat PEO owing to the nano-confinement of PEO within HNTs. Also, one can get that about 7.71 % by weight of PEO has been chemically bonded to HNTs, which cannot be removed by the good solvent of PEO. The chemical bonds introduced to PEO/HNTs powder are explored by FTIR and XPS measurements. The wavenumber of -OH stretching of water molecules changes from 3559 cm⁻¹ in HNTs to 3548 cm⁻¹ in the PEO/HNTs powder. Meanwhile, the great discrepancy in the binding energies of O_{1s} in HNTs, PEO, and PEO/HNTs powder can be observed, illuminating that hydrogen bond has been formed among PEO, HNTs, and water molecules. Furthermore, the binding energy of Al_{2p} in the innermost surface of HNTs in the PEO/HNTs powder shifts toward lower level, while that of Si2p on the outmost surface of HNTs and PEO/HNTs powder keeps almost constant, indicating the interaction between PEO and HNTs occurs inside the nanotube, which is also confirmed by the result from FTIR analysis that not any difference of in-plane Si-O stretching on the outmost surface of HNTs and PEO/ HNTs powder is detected. All the experimental data support a fact that hydrogen bonds have been introduced between PEO and HNTs by water molecules as modified medium in the inner surface of HNTs and PEO molecular chains have been trapped in the nano lumen of HNTs.

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