

Covalent functionalization of silica nanoparticles with poly(*N*-isopropylacrylamide) employing thiol-ene chemistry and activator regenerated by electron transfer ATRP protocol

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Received: 18 August 2013 / Accepted: 21 October 2013 / Published online: 31 October 2013
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Abstract A facile method has been developed for the synthesis of core-shell nanostructure poly(*N*-isopropylacrylamide) grafted on silica nanoparticles (SiO₂ NPs) by using the combination of ARGET ATRP and thiol-ene click chemistry. The covalent attachment of the thermo-responsive polymer was achieved by taking advantage of the fast, robust, and high efficient thiol-ene click reaction as demonstrated by FT-IR and XPS. The ARGET ATRP provides the good conversion of the monomer in a well-controlled manner as indicated by the narrow value of PDI (1.21). The grafting amount of the polymer on SiO₂ NPs was found to be ca. 18 % as determined by TGA technique. TEM images of the encapsulated SiO₂ NPs suggested that the SiO₂ NPs core was covered by the soft polymer layer. Interestingly, dynamic light scattering analysis revealed that the as-synthesized nanocomposites exhibited the thermo-responsive behavior with the transition temperature around 31–33 °C.

Introduction

Over the past decade, new routes for the incorporation of inorganic, organic, and polymers in nanoscale size have offered promising opportunities in the preparation of high performance advanced nanomaterials [1–4]. The emergence of “click” chemistry, typically Huisgen 1,3-dipolar azide-alkyne cycloaddition and thiol-ene reaction, has attracted immense scientific interests due to its efficiency and the versatilities [5, 6]. The ease of synthesis of the alkene/alkyne and thiol/azide functionalities, coupled with tolerance to a wide variety of functional groups, stability and reaction conditions, make these coupling process highly attractive for the synthesis of complex macromolecular structures [7–9]. In addition, “click” chemistry has also greatly facilitated the post-modification of functional polymers [10, 11] and synthesis of nanocomposites [12, 13]. Recently, the combination of controlled radical polymerization (CRP) and click reaction has been regarded as a powerful strategy for the preparation of functional hybrid materials [10, 14, 15].

Two of the most successful CRP techniques are reversible addition fragmentation chain transfer (RAFT) and atom transfer radical polymerization (ATRP). Both techniques have been successfully applied for the synthesis of various homo-polymers as well as block copolymers [16–20]. Despite of such achievements, these methods suffer drawbacks that limit their use for the preparation of polymers to use in practical applications. RAFT employs a RAFT agent as a chain transfer agent, often a thiocarbonyl-based compound, to control the polymerization. If the RAFT agent is not cleaved from the polymer in post-polymerization, polymers produced via RAFT can be highly colored or toxic [21–23]. In ATRP, a transition metal catalyst (often a Cu(I)/(II) system) used with relatively high concentration to control the polymerization leads to difficulties for the

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purification process in order to yield products with high purity [24]. Additionally, conventional ATRP must be carried out in a completely deoxygenated atmosphere to avoid the catalyst poisoning [24]. Although there have been several advances in order to overcome these issues, still some problems remain in this technique [25]. A new technology based on “activators regenerated by electron transfer atom transfer radical polymerization” (ARGET ATRP) which uses an excess amount of a reducing agent to regenerate Cu(I) from Cu(II) to maintain an appropriate Cu(I)/(II) balance was developed by Matyjaszewski and his group [26]. The inclusion of this reducing agent allows to reduce the concentration of copper to part per million (ppm) levels and in most cases removal of the low levels of catalyst is achieved simply by precipitation of the polymer. Moreover, the usage of an excess reducing agent provides tolerance of oxygen, as a result, the polymerization can be conducted in the presence of limited amounts of air [26–29]. Therefore, the combination of “click” chemistry with robust CRP techniques like ARGET ATRP may offer a superior route for the fabrication of well-defined inorganic/polymer nanocomposites.

Stimuli-responsive polymers are preferable building blocks for the fabrication and engineering of nanomaterials because they exhibit abrupt changes in their physicochemical properties corresponding to the shift in external environment. By tailoring with these kinds of polymers, the surface behavior can be tuned under external stimuli, such as ionic strength, pH, as well as temperature and thereby the release of guest molecules from the surface can be controlled [30–32]. Among these types of polymers, poly(*N*-isopropylacrylamide) (PNIPAm) is one of the most extensively studied polymers due to its thermo-responsive properties [33]. PNIPAm is biocompatible and it exhibits a lower critical solution temperature (LCST) at ~ 32 °C that is nearby human body temperature [33]. Therefore, PNIPAm has been widely applied for biomedical applications including biosensor, tissue engineering, and controlled drug delivery [33–36]. Silicon dioxide nanoparticles, also known as silica nanoparticles (SiO_2 NPs), are the core materials for a large number of materials researches due to their chemical and mechanical stability [37, 38]. Several advanced functional materials based on SiO_2 NPs for opto-electronics and biomedical applications have been achieved by coating of SiO_2 NPs with a layer of polymers [14, 30, 39–43]. Consequently, SiO_2 NPs coatings have attracted significant scientific interest and such a huge effort has been paid in order to discover new robust surface modification protocol [44, 45]. Taking all of the above considerations into account, this paper demonstrates a facile method for the versatile and efficient functionalization of SiO_2 NPs with thermo-responsive polymer PNIPAm. This approach is based on tailor-made thiol-functionalized SiO_2 NPs and alkene-functionalized PNIPAm brush precursors.

The novelty of the method is the combination of robust living radical polymerization and click chemistry for a facile chemical anchoring of thermo-responsive polymer onto SiO_2 NPs. The ARGET ATRP offers the ability to prepare the polymers with controlled structure and compositions with click chemistry as easy handling and highly efficient strategy. This newly devised protocol requires only a ppm level of catalyst and it does not require extremely deoxygenated condition. Overall, it is a one-step process. To the best of our knowledge, this is the first report for the synthesis PNIPAm-*g*- SiO_2 nanocomposites by “thiol-ene” click chemistry and ARGET ATRP technique.

Experimental

Materials

NIPAm (Sigma-Aldrich, 97 %) was purified by recrystallization with hexane. 2-Bromoisobutyrylbromide (2-BiBB, Sigma-Aldrich, 98 %) was distilled under reduced pressure over CaH_2 . 10-Undecen-1-ol (Tokyo Chemical Industry, 98 %), tetraethyl orthosilicate (TEOS, Sigma-Aldrich, 98 %), 3-mercaptopropyl trimethoxysilane (MPTS, Sigma-Aldrich, 98 %), *N,N,N',N',N''*-pentamethyldiethylenetriamine (PMDETA, Sigma-Aldrich, 99 %), ammonia solution ($\text{NH}_3 \cdot \text{H}_2\text{O}$, Junsei, 28 wt%), copper (0) (99.9 %), Irgacure 651 (Sigma-Aldrich, 99 %), and solvents (reaction grade) were used as received.

Synthesis of thiol-functionalized SiO_2 NPs

SiO_2 nanoparticles were prepared by sol-gel reaction according to the modified Stöber method [46, 47]. Namely, about 18 mL (0.040 mol) of TEOS was added dropwise to a mixture of absolute ethanol (300 mL), deionized water (30 mL), and 28 wt% ammonia solution (6 mL). The reaction mixture was stirred for 6 h at room temperature. Subsequently, 4 mL (8.4 mmol) of MPTS was injected into the SiO_2 sol, and the reaction was allowed to proceed for another 18 h. After the reaction, the resulting SiO_2 nanospheres with thiol bonds on the surface were purified by three centrifugation/redispersion cycles in acetone, ethanol, and deionized water. The SiO_2 nanospheres were finally dried in a vacuum oven at room temperature overnight.

Synthesis of 10-undecenyl 2-bromoisobutyrate (UniB-Br)

The vinyl-terminated ATRP initiator, UniB-Br, was synthesized according to following procedure: 10-undecen-1-ol (1.70 g, 0.01 mol) was mixed with triethylamine (1.01 g, 0.01 mol) in 50 mL of dried toluene. To this

solution, a solution of BiBB (2.30 g, 0.01 mol) in toluene (10 ml) was added dropwise for 30 min with stirring. The reaction was kept for 12 h with stirring under nitrogen atmosphere. The precipitate was filtered off using a frit funnel. The product was yellowish oil after the removal of the solvent. The oily mixture was dissolved in toluene and washed with large amount of 0.01 N HCl and cold water, respectively. After drying with anhydrous CaCl_2 , the solvent was removed under reduced pressure. The product, UniB-Br, was purified by SiO_2 column chromatography using hexane:ethylacetate (15:1) as eluent. The final product was colorless oil with a yield of 90.5 %. ^1H NMR (400 MHz, CDCl_3): δ 5.80 (m, 1H, $-\text{CH}=\text{}$), 4.97 and 4.92 (m, 2H, $\text{H}_2\text{C}=\text{}$), 4.15 (t, 2H, $-\text{OCH}_2-$), 2.02 (m, 2H, $=\text{CH}-\text{CH}_2-$), 1.92 (s, 2H, $-\text{C}(\text{CH}_3)_2-$), 1.66 (m, 2H, $-\text{CH}_2-\text{CH}_2-\text{O}-$), 1.26–1.37 (m, 12H, $-(\text{CH}_2)_6\text{CH}_2-\text{CH}_2-\text{O}-$).

Synthesis of vinyl-terminated polymer by ARGET ATRP

The vinyl-terminated thermo-responsive PNIPAm was synthesized under mild condition by taking advantages of ARGET ATRP. The polymerization was performed in isopropyl alcohol (IPA), employing $\text{CuBr}_2/\text{PMDETA}$ as a catalyst and UniB-Br as an initiator in the presence of copper powder as a reducing agent at room temperature. In a typical process, a dry 25 mL vial equipped with a magnetic stirrer was charged with NIPAm (5.65 g, 0.01 mol), IPA (4.0 mL), copper powder (50 mg), and UniB-Br (159 mg, 1 mmol). After sealing the vial with a septum and melting the mixture, the resulting solution was degassed by nitrogen bubbling. A degassed solution of CuBr_2 (1.11 mg, 5 μmol) and the PMDETA ligand (8.65 mg, 50 μmol) in IPA (1.0 mL) was introduced into the vial to initiate the polymerization. The polymerization was stopped after 24 h by removing the septum and exposing the catalyst to air. The product mixture was diluted in dichloromethane and passed through a short alumina column to remove the catalyst, followed by precipitation in excess amount of diethyl ether. Dissolution and precipitation were repeated several times until white powder was obtained. The final product was dried thoroughly under vacuum prior to characterization (conversion: 60 %). The polymer was analyzed by GPC and ^1H NMR.

Surface modification of SiO_2 NPs with PNIPAm using “click” chemistry

About 0.12 g of the $\text{SiO}_2\text{-SH}$ and 0.25 g (0.05 mmol) of vinyl-terminated PNIPAm were introduced into 10 mL of dichloromethane in a Pyrex tube. After the addition of sufficient amount of the photo-initiator, the mixture was homogenized by ultrasonic treatment. The tube was sealed

and degassed by nitrogen bubbling for 15 min. Then, the reaction mixture was exposed to an UV (365 nm) source and stirred for 24 h with magnetic stirring to ensure the completed conversion. The resultant PNIPAm-*g*- SiO_2 nanocomposites were precipitated in cold ethyl ether, and washed thoroughly with dichloromethane to remove the un-reacted PNIPAm chains.

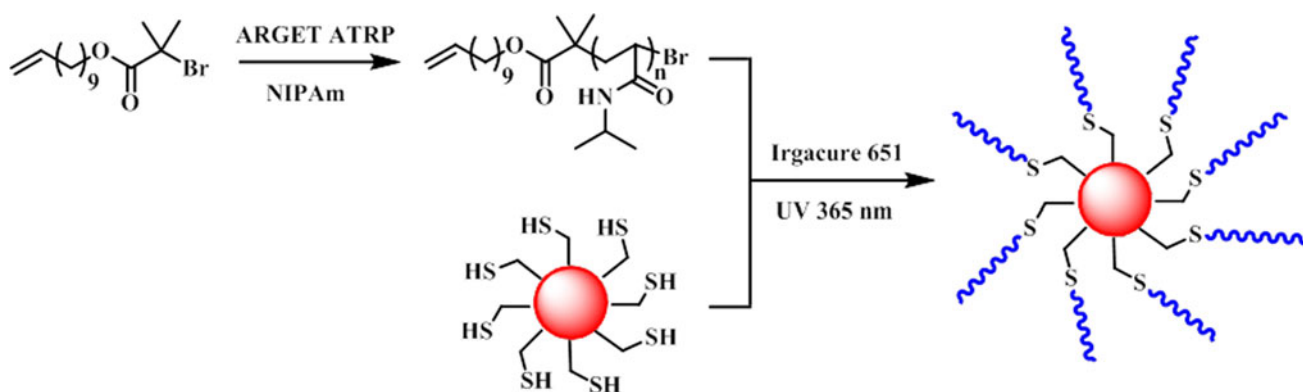
Characterization

Fourier-transform infrared (FT-IR) spectroscopic analysis was carried out on an Agilent FT-IR spectrophotometer. Transmission electron microscopy (TEM) images were obtained using a JEOL BTEM with an accelerating voltage of 80 kV. Surface composition of nanocomposites was investigated by an X-ray Photoelectron Spectroscopy (XPS) (Thermo VG Multilab 2000) in ultrahigh vacuum with Al $K\alpha$ radiation. The molecular weight (M_n) and molecular weight distribution ($\text{PDI} = M_w/M_n$) of the vinyl-terminated polymer prepared by ARGET ATRP were characterized by gel permeation chromatography (GPC) on an HP 1100 apparatus equipped with a set of four columns (10⁵, 10⁴, 10³, 10² Å: polymer standards service), THF was used as an eluent solvent. Poly(methylmethacrylate) samples were used as standards to construct the calibration curve. Thermogravimetric analysis (TGA) was carried out on a thermogravimetric analyzer (TA Instrument, Model 2050) at a heating rate of 10 °C/min in nitrogen. The ^1H NMR spectrum of UniB-Br initiator and polymers were measured using a JNM-ECP 400 (JEOL) Spectrophotometer with deuterated chloroform as a solvent.

Results and discussion

A general strategy for the surface modification of SiO_2 NPs with the well-defined thermo-responsive polymer by taking advantages of ARGET ATRP and thiol-ene “click” chemistry is presented in Scheme 1. In the process, first the thiol-functionalized SiO_2 NPs were prepared. Meanwhile, the vinyl-terminated polymer was realized by the robust controlled radical polymerization. Subsequently, the covalent attachment of clickable SiO_2 NPs with vinyl-terminated polymeric shells was achieved by thiol-ene chemistry.

The vinyl-terminated ATRP initiator was prepared by the esterification of alcohol and bromide acid which is widely recognized as the most efficient method for the preparation of functionalized ATRP initiator. The ^1H NMR spectrum of the vinyl-terminated ATRP initiator is depicted in Fig. 1a. ^1H NMR spectrum shows the peaks at (a) 5.82 and (b) 4.99 ppm, which confirmed the attachment of vinyl-terminated groups to the initiator. By using the



Scheme 1 Synthetic approach for the preparation of PNIPAm-*g*-SiO₂ nanocomposites by the combination of click chemistry and ARGET ATRP

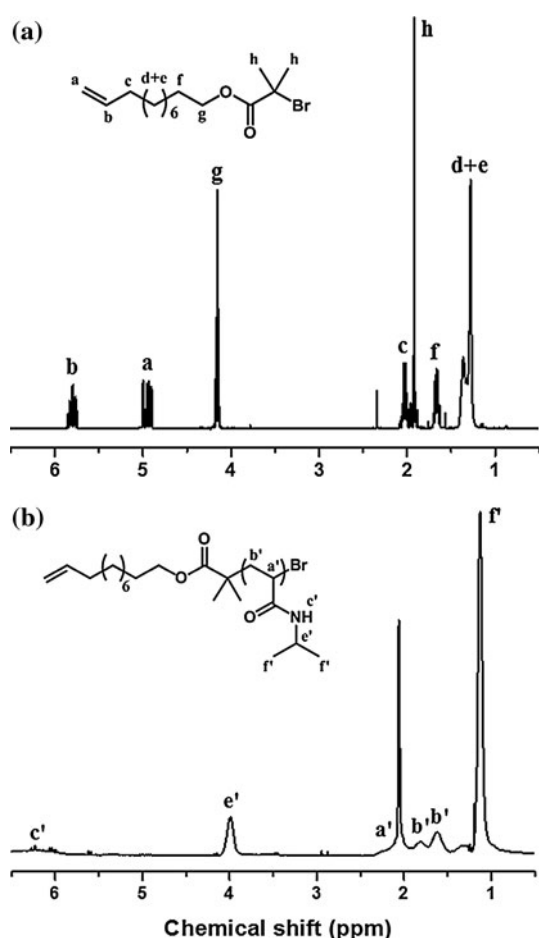


Fig. 1 ¹H NMR spectrum of the vinyl-terminated ATRP initiator (a), and vinyl-terminated PNIPAm (b)

vinyl-functionalized initiator, further modifications to attach “ene” moiety to the polymer chains are not necessary because the vinyl group of 10-undecenyl 2-bromoisobutyrate is un-reactive to ATRP reaction [48]. The vinyl-terminated ATRP initiator was used for the synthesis of PNIPAm by a facile ARGET ATRP process. Figure 1b

shows the ¹H NMR spectrum of the vinyl-terminated PNIPAm. On the spectrum, characteristic signals of PNIPAm at (j) 3.98 and (k) 1.12 ppm representing methyne and methyl protons of isopropyl groups are represented. In addition, the relatively broad peaks at 1.5–2.5 ppm due to the characteristic chemical shifts of polymer backbone protons are also observed. These results contributed to verify the successful synthesis of the vinyl-terminated PNIPAm. From GPC analysis, the *M_n* and molecular weight distribution PDI of the vinyl-PNIPAm were determined to be 7100 kg/mol and 1.21, respectively.

Figure 2 shows the FT-IR spectra of SiO₂-SH and PNIPAm-*g*-SiO₂ nanoparticles. The FT-IR spectrum of typical thiol-modified SiO₂ NPs is shown in Fig. 2a; the weak but obvious band at 2394 cm⁻¹ corresponding to the thiol stretching vibration, whereas the bands around 2979–2926 cm⁻¹ can be attributed to the alkyl symmetric and asymmetric stretching. The thiol-functionalized SiO₂ NPs were used as the precursor materials for the “click” modification. After the “click” reaction, the disappearance of the thiol peak indicates successful consumption of thiol to the vinyl-terminated PNIPAm through radical addition. Moreover, it can also be seen that the spectrum of PNIPAm-*g*-SiO₂ exhibited the strong absorption at 1649 and 2980 cm⁻¹, attributable to the stretching vibration of carbonyl groups (>C=O) and polymer chain alkyl groups (–CH₂, –CH₃), respectively. In both case of SiO₂-SH and PNIPAm-*g*-SiO₂ spectra, the appearance of the characteristic peaks of Si–O stretching, bending, and out of plane deformation at about 1100, 940, and 450 cm⁻¹ suggested that the covalent modification through this newly devised approach may not significantly alter the inherent chemical feature of the original SiO₂ NPs. This finding is quite resembled with other reported results on encapsulation of SiO₂ NPs by polymers [14, 30, 36, 39]. These FT-IR results confirm the successful covalent attachment of polymers onto the surface of SiO₂ NPs via “click” process.

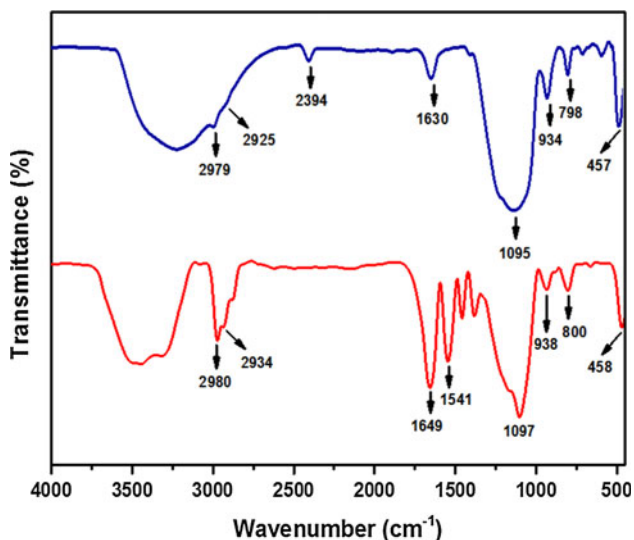


Fig. 2 FT-IR spectrum of thiol-functionalized SiO₂ NPs (a), and PNIPAm-g-SiO₂ (b)

XPS was employed to characterize the changes in chemical composition of the nanosphere surface during the modification process. Figure 3a, b presents the XPS wide scan spectra of the SiO₂-SH and PNIPAm-g-SiO₂ nanocomposites, respectively. The existence of the C1s and S2p signals in the SiO₂-SH spectrum was attributed to the organic composition of the silane agent attached onto the surface of SiO₂ NPs. By comparison of Fig. 3b and Fig. 3a, the appearance of the signal at 400.4 eV due to N1s indicated the success of the covalent attachment of the polymer chain onto the SiO₂ NP surface upon the click modification. The subsequent thiol-ene click grafting of PNIPAM brushes on the nanospheres has also caused a remarkable increasing of intensity of the C1s signal.

The degree of functionalization of the SiO₂ NPs via the “click” protocol was investigated through TGA analysis. The TGA curves of the SiO₂-SH (a), PNIPAm-g-SiO₂ (b), and PNIPAM homopolymer (c) are shown in Fig. 4, as

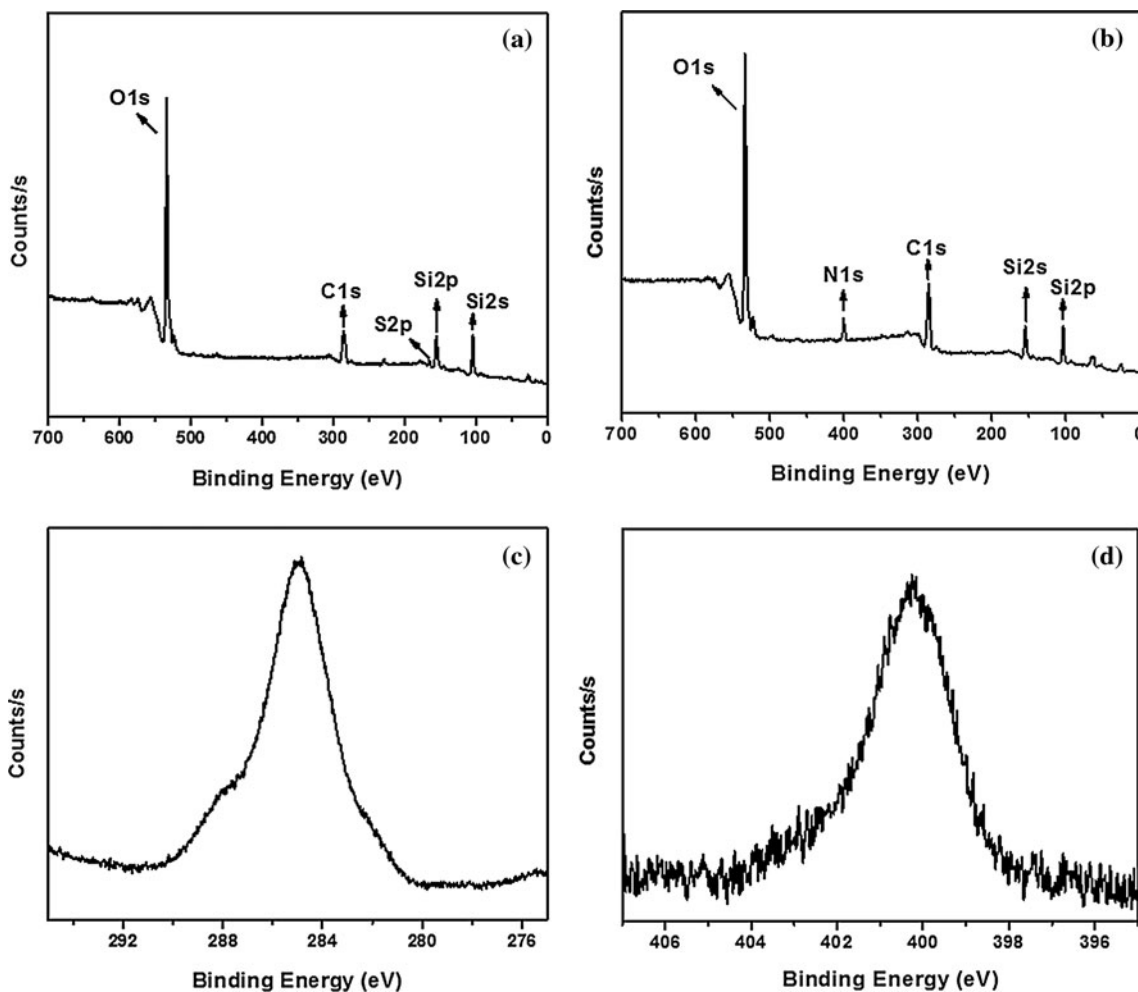


Fig. 3 XPS a wide scan of the SiO₂-SH, b wide scan of PNIPAm-g-SiO₂, c C1s core level, and d N1s core level of PNIPAm-g-SiO₂

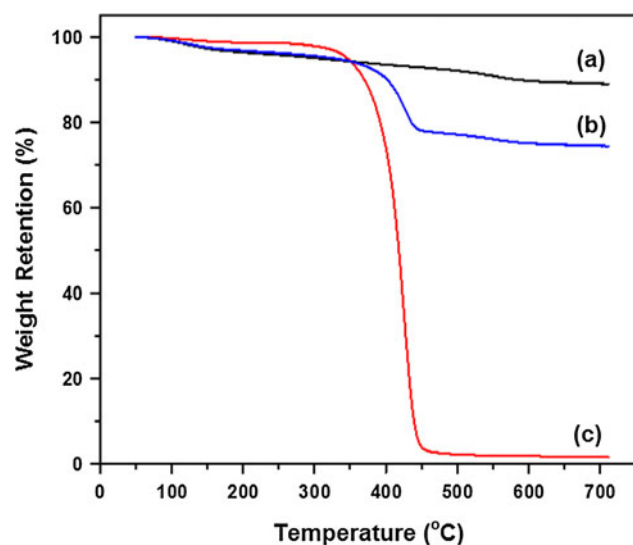


Fig. 4 TGA curve of SiO₂-SH (a), PNIPAm-g-SiO₂ (b), and PNIPAm homopolymer (c)

exhibiting the variation of residual masses of the samples depended on temperature. In the case of SiO₂-SH NPs, while temperature was raised up to 700 °C about 10 % weight was lost may be due to the decomposition of thiol functionality. After polymer immobilization, a significant amount of weight loss was observed at the temperature range of ca. 350–450 °C. This major weight loss occurred at around 360 °C can be attributed to the decomposition of PNIPAm chain. Based on TGA, the content of PNIPAm in the composites can be estimated to be ca. 18 %.

The TEM micrographs of bare SiO₂ NPs and the modified SiO₂ NPs are depicted in Fig. 5. The samples were prepared from the chloroform solution (1 wt%) by a drop-casting method. The SiO₂-SH NPs exhibited an average external diameter of ca. 100 nm and showed relatively broad size distribution (Fig. 5a). After the modification, the existence of the polymer layer which was estimated to be

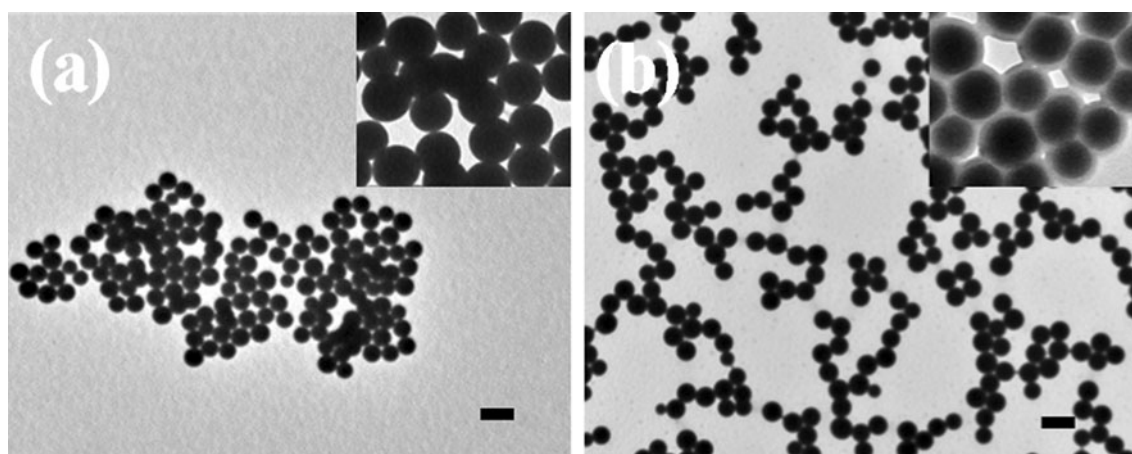


Fig. 5 TEM micrograph of SiO₂-SH (a), and PNIPAm-g-SiO₂(b) (Scale bar 200 nm)

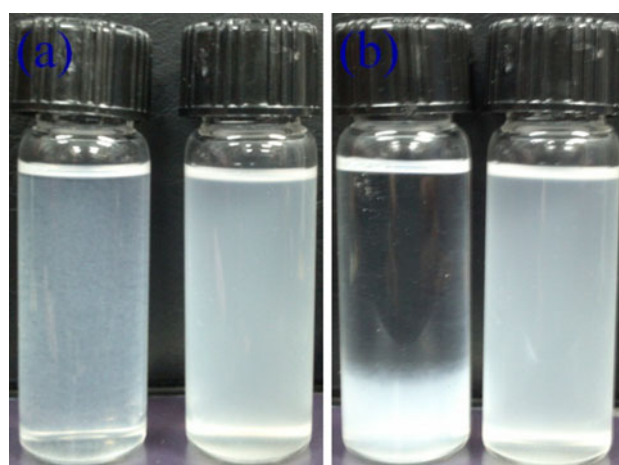


Fig. 6 Digital photographs of SiO₂-SH and PNIPAm-g-SiO₂ dispersed in chloroform at preparation (a) and after 12 h (b)

ca. 15 nm as an outer shell (lighter color) surrounding SiO₂ NPs could be clearly observed (Fig. 5b). It can be concluded that SiO₂ NPs were successfully encapsulated by PNIPAm shells employing thiol-ene click chemistry.

The colloidal stability of SiO₂ NPs was significantly improved by the covalent grafting of PNIPAm chains. The digital photographs of freshly prepared dispersion of SiO₂-SH and PNIPAm-g-SiO₂ nanocomposites in the chloroform are shown in Fig. 6a for a comparative study. The as-synthesized nanocomposites exhibited a good dispersion in chloroform, while SiO₂-SH showed large aggregation. Moreover, the SiO₂-SH precipitated in chloroform within a few minutes, whereas the nanocomposites remained well dispersed in chloroform for prolonged period (Fig. 6b). It could be deduced that the existence of PNIPAm chains covalently attached to SiO₂ surface which had improved the colloidal stability of SiO₂ NPs remarkably since they might play the role of a steric stabilizing layer to prevent agglomeration of individual particles. When the

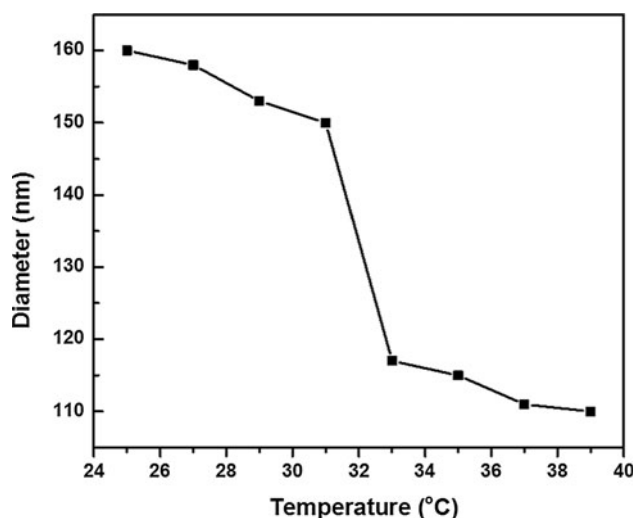


Fig. 7 Diameter of PNIPAm-*g*-SiO₂ nanocomposites in response to shift of temperature

as-synthesized nanocomposites dispersed into hydrophobic solution, the severe aggregation of the NPs was minimized due to the repellant force between polymer chains existed on nanoparticles surface.

The thermo-responsive property of PNIPAm-*g*-SiO₂ nanocomposites was studied by dynamic light scattering (DLS) at a range of temperatures increasing from 25 to 39 °C. The relationship between the hydrodynamic diameter of the nanocomposites and temperature is depicted in Fig. 7. At the temperature from 25 to 31 °C, PNIPAm is hydrophilic and soluble in water; the PNIPAm chains exist in a coil state, forming a solvated, incompact nanoshell on the exterior surface of SiO₂. As a result, the nanospheres diameter negligibly decreased in that range of temperature. When the temperature crossed the LCST, in the range of 31–33 °C, the hydrodynamic diameter of the core-shell nanostructure decreased dramatically because the hydrophilic chains in water underwent the inverse phase transition state to be hydrophobic. Therefore, long-PNIPAm chains collapsed toward the surface of SiO₂, forming a compact closed nanoshell around the exterior surface of SiO₂. These results suggest that the surface of SiO₂ NPs has been tailored with the thermo-responsive behavior of PNIPAm by click reaction.

Conclusion

In this study, we have demonstrated a robust protocol for the covalent immobilization of the thermo-responsive polymer PNIPAm on SiO₂ NPs employing the combination of ARGET ATRP and click chemistry. The thiol-ene approach for grafting vinyl-terminated polymers is straightforward and effective to directly graft polymers to

the accessible thiol on the surface of SiO₂ NPs in the one-step process. The success of covalent functionalization was confirmed by surface analysis methods (FT-IR and XPS). ¹H NMR spectrum of PNIPAm further confirmed the synthesis of the polymer via ARGET ATRP technique. The molecular weight and molecular weight distributions of the grafted polymer were calculated to be 7100 kg/mol and 1.21, respectively. TGA analysis revealed that the content of PNIPAm in the composites was ca. 18 %. TEM images of the bare and modified SiO₂ NPs suggested that the SiO₂ NP core was covered by the soft polymer layer. The colloidal stability of SiO₂ NPs was significantly improved by the covalent grafting of the PNIPAm brush. The as-synthesized nanocomposites exhibited the thermo-responsive behavior as indicated by DLS which could be valuable for potential applications toward biomedical areas. Moreover, the combination of click chemistry and ARGET ATRP to construct well-defined hybrid nanostructures with a multifunctional surface is expected to allow the mimicking of more complex molecules and macromolecular structures in life science as well as for the applications in multifunctional and stimuli-responsive delivery systems.

Acknowledgements This work was financially supported by the Grant from the Industrial Source Technology Development Program (Project No. 10035163) of the Ministry of Knowledge Economy (MKE) of Korea and by the Joint Program of Cooperation in Science and Technology through NRF Grant funded by the MEST (No. 2011-0025680).

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