ORIGINAL ARTICLE

Cyclodextrin polymers in combination with water and deep eutectic solvent for the retention of *Eucalyptus citriodora* **essential oil**

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Received: 15 May 2022 / Accepted: 29 August 2022 / Published online: 26 September 2022 © The Author(s), under exclusive licence to Springer Nature B.V. 2022

Abstract

Eucalyptus citriodora is one of the most widely used essential oils (EOs) because of its various antimicrobial, antioxidant and anti-infammatory activities. However, its limited aqueous solubility restricts its use. The aim of this study was to develop supramolecular formulations able to retain *E. citriodora* EO in solution. For this purpose, new cyclodextrin (CD) polymers were synthesized and characterized using gel permeation chromatography, FTIR and NMR spectroscopies. Their retention ability toward *E. citriodora* in non-conventional green media (deep eutectic solvent (DES):water mixture), more precisely choline chloride:urea DES:water (70:30 wt%), was evaluated and compared to the corresponding native CD [β-cyclodextrin (β-CD)] or CD derivatives [hydroxypropylated-β-cyclodextrin (HP-β-CD) and low methylated-β-cyclodextrin (CRYSMEB)] using static headspace-gas chromatography (SH-GC). All the studied formulations showed a great capacity to retain and reduce the volatility of *E. citriodora*. The various polymers showed divergent retention efficiencies.

Keywords Cyclodextrins polymers · Deep eutectic solvents · Essential oil · *Eucalyptus citriodora* · Retention · Volatility

Introduction

Essential oils (EOs) are a natural source of aromas and bioactive molecules with a wide range of properties including antioxidant, antimicrobial, anti-infammatory and anti-carcinogenic. The incorporation of EOs in formulations has always attracted cosmetic, pharmaceutical and food industries. However, their use is challenging due to their volatile nature and their low aqueous solubility. Various methods could be envisaged to reduce their volatility and improve their solubility, including encapsulation in cyclodextrins (CDs) [[1,](#page-8-0) [2\]](#page-8-1) or cosolvency which means adding one or more solvents to the formulation [[3,](#page-8-2) [4\]](#page-8-3).

CDs are natural cyclic oligosaccharides possessing a truncated tridimensional cone shape characterized by a relatively hydrophobic internal cavity and a hydrophilic external surface [[5\]](#page-8-4). Native CDs composed of 6, 7, and 8 α -D-glucose residues have different cavity dimensions and are called α-CD, β -CD, and γ-CD, respectively. β -CD is the most interesting host for the encapsulation of EOs components due to its perfect cavity size $[6, 7]$ $[6, 7]$ $[6, 7]$ $[6, 7]$, efficient drug complexation and loading [\[8,](#page-8-7) [9](#page-8-8)], and relatively low cost [[10](#page-8-9)]. However, β-CD is poorly soluble in water (18 g L^{-1}) [\[11](#page-8-10)]. Various β-CD derivatives with improved aqueous solubility, inclusion capacity and lower toxicity profles have been synthesized and efficiently investigated in the literature [[2\]](#page-8-1). The hydroxypropylated-β-CD (HP-β-CD) and the low methylated-β-CD (CRYSMEB) are two of the most studied CD derivatives [[6,](#page-8-5) [9\]](#page-8-8).

CD polymers can be also obtained, by copolymerizing CD molecules with other difunctionalized compounds. They can be synthesized using conventional strategies that require the use of organic solvents or sustainable procedures such as the mechanochemical approach [[12](#page-8-11)]. In addition, their synthesis could be conducted in green media such as natural deep eutectic solvents (NADES) [[13](#page-8-12)]. CD polymers also ofer the advantages of superior solubilizing and complexing abilities. For example, an increase in the solubility of *Lavandula angustifolia* and *Mentha piperita* EOs was observed

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when using CD polymers as compared to native CDs $(\alpha$ -CD and γ-CD) [\[14](#page-8-13), [15\]](#page-8-14).

On the other hand, deep eutectic solvents (DESs) are neoteric class of green solvents defned as a mixture of two or more compounds that become liquid when mixed and heated at a particular molar ratio [[16,](#page-8-15) [17](#page-8-16)]. A DES is characterized by a great depression of the melting point as compared to its starting materials and to their ideal mixture [\[18\]](#page-8-17). The first and most studied DES is reline, composed of choline chloride (ChCl) and urea (U) mixed at a 1:2 molar ratio [[19\]](#page-8-18). DESs are characterized by low volatility, nonfammability and biocompatibility [\[20](#page-8-19)]. Few studies used DES to solubilize EOs and their components. A recent study showed a signifcant increase in the solubility of *trans*-anethole, as well as star anise and fennel EOs in DESs in comparison to water [[21\]](#page-8-20).

To the best of our knowledge, the literature lacks any research that considered combining DESs and CD polymers for the solubilization and retention of EOs. The aim of the present study is to develop new formulations composed of DES and CD polymers to solubilize one of the most used EOs, *E. citriodora*. Water-soluble polymers were synthesized using native β-CD or its derivatives (HP-β-CD and CRYSMEB) via the cross-linking method. Various crosslinking agents were used including four carboxylic acids (malonic acid, citric acid, glutaric acid and itaconic acid), poly(ethylene glycol) diglycidyl ether-640 (PEGDGE) and sodium trimetaphosphate (STMP). β-CD/malonic acid, HP-β-CD/glutaric acid, HP-β-CD/itaconic acid, HP-β-CD/ PEGDGE, HP-β-CD/STMP and all CRYSMEB/based polymers were herein synthesized and reported for the frst time. The synthesized polymers were submitted to physicochemical characterization. Diferent formulations combining ChCl:U (1:2 molar ratio) DES, water and the encapsulating agent (β-CD, CD derivative or CD polymer) were then prepared. Finally, the retention ability of these formulations towards *E. citriodora* was evaluated using static headspacegas chromatography (SH-GC).

Experimental section

Materials

Choline chloride (ChCl, 98%), glutaric acid and trisodium trimetaphosphate were purchased from Sigma-Aldrich, China. Urea (U, 99%) and poly(ethylene glycol) diglycidyl ether (PEGDGE) Mw 640 were purchased from Sigma-Aldrich, USA. Citric acid and sodium hydroxide were purchased from Sigma-Aldrich, France. Itaconic acid was purchased from Sigma-Aldrich, Germany. Malonic acid was provided by Merck group, Germany. β-CD was provided by Wacker-Chemie, Lyon, France. CRYSMEB (DS=4.9) and HP-β-CD ($DS = 5.6$) were provided by Roquette Frères, Lestrem, France. *E. citriodora* EO was provided by Herbes et Traditions, Comines, France. Choline chloride was dried at 60 °C for at least 2 weeks before use. All other compounds were used as received. Distilled water was used throughout this work.

Preparation of deep eutectic solvent

ChCl:U DES was prepared by heating ChCl and U in a 1:2 molar ratio under stirring at 60 °C until the formation of a clear and homogeneous liquid.

Synthesis and purifcation of CD polymers

Preparation of CD polymers

CD polymers were prepared by reticulation of either β-CD, HP-β-CD or CRYSMEB using several crosslinking agents.

Four acids (malonic acid, citric acid, glutaric acid and itaconic acid) were used following the synthetic procedure as previously reported [[22](#page-8-21)]. The aqueous solutions were prepared by mixing the catalyst (KH_2PO_4) , the CD, and the carboxylic acid with respective concentrations of 0.2, 0.09, and 0.45 mol L−1. These solutions were then concentrated by evaporation (at 50 °C and 5 mbar) and then treated at 140 °C for 30 min under reduced atmospheric pressure. The polymers were recovered after the addition of 50 mL of distilled water to the round-bottom fask. The fask was kept under agitation overnight to solubilize the reaction products. The fraction was then filtered off using sintered glass funnel (membrane porosity: 40 μm). Only trace amounts of insoluble products were obtained so these insoluble fractions were discarded.

Another crosslinking agent, the PEGDGE, was also used for polymer synthesis as previously described [[23](#page-8-22)]. 5 g of CD was dissolved in 21 mL NaOH (1.42 mol L^{-1}) and the mixture was stirred mechanically for 16 h at room temperature. PEGDGE was then added dropwise during 20 min under stirring, with a molar ratio of PEGDGE/CD equal to 3/1. The reaction mixture was heated to 30 °C for 5 h under stirring. After cooling for 20 min, the mixture was neutralized using HCl (6 mol L^{-1}). The volume of water was reduced by evaporation using the rotary evaporator (at 5 mbar and 50 °C). Then polymers were precipitated in acetone three times and one time in ether. The solid was then collected and dissolved in distilled water.

The linker STMP was also used to prepare CD polymers as previously described [\[24\]](#page-8-23). 5–6.6 g of CD were dissolved in 10 mL of NaOH solution (2.5 mol L^{-1}), under mechanical stirring (300 rpm) at 30 °C. After 210 min, the temperature was raised to 50 °C, and the desired amount of STMP (0.5, 3, 5 or 10 g with identifying codes of STMP1, STMP2,

STMP3 or STMP4, respectively) was added to the solution under vigorous stirring (600 rpm). After 90 min, 50 mL of water were added, and the pH was neutralized by HCl addition (6 mol L^{-1}).

Purifcation of CD polymers

The soluble fractions obtained with malonic, citric and glutaric acids were purifed by several passages on a Sephadex gel column (SephadexTM G-25 Medium) using water as eluent. Polymers with itaconic acid, PEGDGE and STMP were purifed by dialysis three times at room temperature for 24 h each time using a Spectra/Por® Standard grade regenerated cellulose membrane (molecular weight cut-off of 1) KDa). Solvent was then removed by a rotary evaporator and the obtained polymer fractions were dried under vacuum overnight.

Characterization of CD polymers

The purifcation process was followed by a physicochemical characterization of polymers using nuclear magnetic resonance spectroscopy (NMR), Fourier-transform infrared spectroscopy (FTIR) and gel permeation chromatography.

Nuclear magnetic resonnance spectroscopy

NMR spectra were recorded at 25° C in D₂O using a Bruker Avance III spectrometer, equipped with a multinuclear z-gradient BBFO probe head, operating at 400 MHz and 161 MHz for ${}^{1}H$ and ${}^{31}P$ NMR (when using STMP as the linker), respectively. Standard 5 mm NMR tubes were used.

In addition, using 1 H-NMR spectra, the number of linker molecules (n) for one CD molecule was determined by integrating the respective protons peaks. Then, the wt% of CD in the polymer fraction was calculated using the following equation:

$$
CD(wt\%) = \frac{MW_{CD}}{MW_{CD} + (n \times MW_{\text{linker}})} \times 100
$$
 (1)

where MW_{CD} and MW_{linker} stand for the molecular weight of the CD and the linker, respectively.

The synthesis yield was also calculated using the following equation:

$$
Synthesis yield (\%) = \frac{\frac{m_{polymer}}{apparentMW}}{n_{CD}} \times 100
$$
 (2)

where m_{polymer} stands for the experimentally obtained mass of polymer after purifcation, apparent MW stands for the calculated MW of the modifed monomer unit using the number of linker molecules per one CD molecule from the

 1 H-NMR analysis, and n_{CD} stands for the initially introduced CD number of moles. m_{polymer} over apparent MW represents the number of the modifed monomer unit.

Results are presented in * MERGEFORMAT Table [1.](#page-3-0)

Infrared spectroscopy

Nicolet iS5 (Thermo Scientifc) spectrophotometer was used to obtain the FTIR spectra of all compounds in the range of 600–4000 cm−1 using attenuated total refection (iD7 ATR) diamond accessory. The spectra are the results of 16 scans with a resolution of 0.482 cm^{-1} . Before performing the FTIR measurements on the compounds, background scan was carefully fltered.

Determination of the polydispersity index by gel permeation chromatography

Gel permeation chromatography (PL-GPC50 Plus, Agilent Technologies, Polymer Laboratories, A Varian Inc. Company) was carried out with PL-aquagel-OH 40 column at 40 °C and the fow rate was 1 mL min−1. Detection was conducted with a refractive index detector. Ultrapure water was used as eluent. The pressure during the analysis was set to 5.69 MPa. Calibration was made using standard pullulan polysaccharide samples from molecular weight: $180-708 \times 10^3$ g mol⁻¹. Samples were used with concentration of 0.01% and fltered using a 0.2 mm Agilent captiva filter-syringe prior to use. M_w and M_n (weight averaged molecular weight and number averaged molecular weight, respectively) were determined and the polydispersity index (PDI) was calculated for all obtained polymers using the following equation [[25\]](#page-8-24):

$$
PDI = \frac{M_w}{M_n} \tag{3}
$$

Static headspace gas chromatography

3 mL of ChCl:U (1:2 molar ratio) DES:water (70:30 wt%) mixed with diferent wt% of native CD, CD derivative or CD polymer were placed in 22 mL headspace vials. Then, an appropriate amount of *E. citriodora* EO (263 ppm) was added to all mixtures. Vials were sealed using silicone septa and aluminium foil and thermostated at 30 °C for 24 h under stirring to reach the equilibrium between liquid and gaseous phases. Subsequently, 1 mL of the headspace was withdrawn from the vial and injected in the chromatographic system for analysis via a heated transfer line (250 °C). Measurements were carried out using

linkers per CD molecule obtained using NMR spectroscopy, the calculated synthesis yield and the molar concentration of CDs ([CD]) in the headspace vials in retention studies

a Varian Genesis headspace sampler coupled to a Perkin Elmer Autosystem XL gas chromatography equipped with a fame ionization detector and a DB624 column using nitrogen as carrier gas. The GC column temperature conditions were set as follows: initial temperature of 50 °C for 2 min, increased to 200 °C at 5 °C min−1, then hold for 2 min, giving a total runtime of 34 min.

Retention studies

The volatility reduction (%) of *E.citriodora* was expressed as follows:

$$
Volatility reduction(\%) = 100 - \left(\frac{\sum A_i}{\sum A_0} \times 100\right) \tag{4}
$$

where ΣA_i stands for the sum of the peak areas of the *E*. *citriodora* EO volatile components in DES:water mixture (70:30 wt%) alone or in the presence of 2 wt% β-CD,

HP-β-CD, CRYSMEB or CD polymers and ΣA_0 stands for the sum of the peak areas of the *E. citriodora* EO volatile components in water.

Titration method

Increasing content (wt%) of β-CD, HP-β-CD, CRYSMEB, P1, P8 or P16 was used while the EO concentration was maintained constant (263 ppm). Results were expressed as:

$$
Normalized area(\%) = \frac{\sum A_i}{\sum A_0} \times 100
$$
\n⁽⁵⁾

where ΣA_i stands for the sum of the peak areas of the *E*. *citriodora* EO volatile components in the presence of β-CD, HP-β-CD, CRYSMEB, P1, P8 or P16 in DES:water mixture (70:30 wt%) and ΣA_0 stands for the sum of the peak areas of the *E. citriodora* EO volatile components in ChCl:U (1:2 molar ratio) DES:water (70:30 wt%).

Results and discussion

Characterization of CD polymers

Diferent crosslinking agents were used to synthesize CD polymers of $β$ -CD, HP- $β$ -CD and CRYSMEB (Table [1](#page-3-0)). Among these studied polymers, β-CD/malonic acid, HP-β-CD/glutaric acid, HP-β-CD/itaconic acid, HP-β-CD/ PEGDGE, HP-β-CD/STMP and all CRYSMEB/based polymers were synthesized and studied herein for the frst time.

Polymers were characterized using ¹H-NMR and FTIR spectroscopies. Also, their homogeneity was examined using gel permeation chromatography and the corresponding PDI values were calculated.

Figure [1](#page-4-0) shows the superposition of 1 H-NMR spectra of β-CD/based polymers. Results obtained for HP-β-CD and CRYSMEB/based polymers are illustrated in Supplementary information in Figures S1 and S2.

¹H-NMR results depicted alterations in the form of the CDs peaks as well as modifcations in the chemical shifts (δ). This confrmed the modifcation of all three CDs.

Polymers synthesized with STMP were investigated using 31P-NMR. Results for β-CD/based polymers are illustrated in Fig. [2.](#page-5-0) Results for HP-β-CD and CRYSMEB/based are presented in Supplementary information in Figure S3.

The peak that corresponds to inorganic phosphates (or unreacted STMP), usually located at -21 ppm, was not detected in the spectra of eight polymers (Fig. [2](#page-5-0), Figure S3). This proved that polymers were sufficiently washed before NMR measurements [[24](#page-8-23)]. Peaks located between 2 and 5 ppm, -2 to 2 ppm, -10 to -6 ppm as well as -22 to −20 ppm could be assigned to the grafted monophosphate groups, monophosphate linkages, pyrophosphates and grafted tripolyphosphates, respectively [\[27](#page-8-26)]. The corresponding structures of these moieties are found in the polymers and illustrated in * MERGEFORMAT Fig. [2.](#page-5-0) This proved the reticulation and thus the formation of CD polymers.

Then, the FTIR spectra were recorded for all polymers and starting materials. The FTIR spectra of β-CD, citric acid

Fig. 1 Superposition of 1H-NMR spectra of β-CD and its corresponding polymers. Orange, green and blue highlighted areas correspond to the peaks of β-CD, linkers and the overlapped β-CD and linker signals, respectively

Fig. 2 Superposition of 31P-NMR spectra of β-CD/STMP polymers. Below: Illustration of possible structure of these polymers (R: CD molecule)

Fig. 3 Overlay of FTIR spectra of β-CD, citric acid and β-CD/citric acid polymer (P1)

and the corresponding polymer (P1) are shown, as an example, in * MERGEFORMAT Fig. [3.](#page-5-1) Other examples are illustrated in supplementary information in Figures S4 and S5. Results showed the appearance of sharp peaks around 1700 cm^{-1} in all the polymers spectra. This proved the conversion of the carbonyl groups of the carboxylic acids into ester functions [[22](#page-8-21), [28](#page-8-27)].

Finally, gel permeation chromatography was carried out to determine the macromolecular mass of the soluble polymers and then to calculate the polydispersity index (PDI) values. Results are listed in * MERGEFORMAT Table [1.](#page-3-0) Examples of the obtained chromatograms are illustrated in supplementary information in Figures S6–S8.

PDI values for all polymers ranged from 1.14 to 3.77 depicting the heterogeneity of the obtained samples.

Retention studies

Retention studies were carried out in ChCl:U DES:water (70:30 wt%) medium. Water was added to ChCl:U DES because it was shown that the addition of water decreases the viscosity of this DES [[29\]](#page-8-28). Additionally, the presence of water allows CD experiencing an aqueous like environment and gives the CD macrocycle enough mobility to maintain its encapsulation ability [[30](#page-8-29)].

Retention studies were conducted using 2 wt% of CD or polymer. The fnal molar concentration of CD in the formulations containing polymers was calculated based on the number of linkers per CD molecule obtained from NMR studies according to Eq. [1](#page-2-0) and listed in * MERGEFOR-MAT Table [1.](#page-3-0)

The retention ability of native CD (β-CD), CD derivatives (HP-β-CD and CRYSMEB) and the synthetized polymers towards *E. citriodora* was evaluated by measuring the reduction in the EO volatility according to Eq. [4](#page-3-1). Figure [4](#page-6-0) shows, as an example, the effect of β-CD/citric acid (P1) polymer on

Fig. 4 Overlap of the chromatograms of E. citriodora EO in the absence and presence of β-CD or β-CD/citric acid (P1) polymer in ChCl:U (1:2 molar ratio) DES:water (70:30 wt%). Inset the structure of citronellal, the main component of the EO

the chromatographic profle of *E. citriodora* EO in ChCl:U (1:2 molar ratio) DES:water (70:30 wt%). Results obtained with P8 and P16 polymers are illustrated in Supplementary information in Figures S9 and S10.

Results of the volatility reduction of *E. citriodora* EO in the diferent mixtures containing β-CD/based polymers are presented in Fig. [5.](#page-6-1) Results for HP-β-CD and CRYSMEB/ based polymers are presented in Figure S11.

Firstly, it is worthy to note that ChCl:U (1:2 molar ratio) DES: water (70:30 wt%) itself significantly entrapped and reduced up to 58% the volatility of *E. citriodora* compared to water. This can be mainly due to the lower polarity of the mixture compared to water, which allowed higher solubilization of the EO [[31](#page-8-30)]. As can be noted in Figures Fig. [5](#page-6-1) and S11, the addition of all the encapsulating agents (β-CD, CD derivatives (HP-β-CD and CRYSMEB) or their corresponding polymers) led to an additional decrease in the volatility of the studied EO. The volatility reduction observed in the presence of the various hosts in DES:water 70:30 wt% was in the range of 77–94% as compared to water. This important decrease in the volatility directly implies a signifcant increase in the solubility of *E. citriodora* in the new formulations.

Additionally, from a mechanistic point of view, the better entrapment potential observed in the presence of β-CD, HP-β-CD, CRYSMEB and their synthetized polymers indicated that all these structures retained, at least partially, their inclusion capacity in this non-conventional medium (DES:water 70:30 wt%). In addition, results showed that β-CD and its two derivatives (HP-β-CD and CRYSMEB) possess a similar efficacy of volatility reduction (Fig. 5). This could be contributed to the fact that these CDs present the same cavity volume and thus pointed out the important role of the cavity in the entrapment potential of EOs components. However, as concerns the polymers, a large discrepancy in their performance was noticed (Fig. [5](#page-6-1)). This could not be attributed to the diference in the molar concentration of CD in the polymer/based mixtures since the variation in the CD concentration among mixtures was very slight (* MERGEFORMAT Table [1](#page-3-0)). For example, the HP-β-CD/ glutaric acid polymer (P9) with a molar CD concentration of 13.56 mmol L^{-1} showed a better volatility reduction than the HP-β-CD/PEDGE polymer (P11) with a molar CD concentration of 16.02 mmol L^{-1} , both in the ChCl:U DES:water 70:30 wt%.

Interestingly, some polymers presented significantly better entrapment ability than the parent macrocycle.

Fig. 6 Representation of the experimental points (flled circles) obtained for E. citriodora with a) β-CD and b) P1 in ChCl:U (1:2) DES:water 70:30 wt% at 30 °C compared with theoretical titration curves (solid lines)

β-CD/itaconic acid (P2), HP-β-CD/glutaric acid (P9), and CRYMEB/STMP1 (P18) polymers showed slightly higher retention ability towards *E. citriodora* EO, in comparison to the corresponding parent CD. All other polymers presented a similar or a less efficiency than the parent CD. This could be due to the highly-branched architecture of CD polymers, that can hinder the CD cavity and lower their encapsulation efficiency $[32]$ $[32]$. Generally, the lowest retention efficiency was obtained with PEGDGE/based CD polymers. PEGDGE presents a very long chain that could hinder the access to the CD cavity.

Static headspace‑gas chromatography titration method

In order to check out the presence of an inclusion phenomenon, static headspace-gas chromatography SH-GC titration method was carried out. The evolution of the EO volatility as a function of P1, P8 and P16 content in comparison to the relative native β-CD and CD derivatives was evaluated. These polymers were chosen, because they were synthesized using a conventional cross-linking agent, citric acid, and they showed very good synthesis yields (Table [1\)](#page-3-0). Results obtained with β-CD and P1 polymer are presented in * MERGEFORMAT Fig. [6.](#page-7-0) Results obtained with HP-β-CD, CRYSMEB and their relative polymers are illustrated in Supplementary information in Figures S12 and S13, respectively.

A gradual decrease in the volatility of the EO was observed when increasing the wt% of all studied CDs and polymers. The shape of the titration curves obtained fts well with the typical curves generally observed for the complexation phenomenon [[33,](#page-9-1) [34\]](#page-9-2). This suggests that the retention of the EO could be due to the encapsulation of the EO components within the CD cavity. In fact, the geometric ft of citronellal (Fig. [4\)](#page-6-0), the major component of *E. citriodora*, with the cavity of β-CD and its derivatives was already confirmed in the literature [[6](#page-8-5)].

Conclusion

CD polymers have long been considered as very promising materials targeting diferent applications including drug carriers, organic pollutants remedies and others in aqueous solutions. Herein, the ability of new ternary mixtures based on ChCl: U DES, water, and CD (β-CD, its derivatives (HP-β-CD and CRYSMEB), or CD polymers) to entrap and reduce the volatility of *E. citriodora* EO was investigated. Results showed that these novel mixtures efficiently reduced the volatility of EO. This consequently proved their ability to solubilize *E. citriodora* EO.

Supplementary Information The online version contains supplementary material available at<https://doi.org/10.1007/s10847-022-01161-2>.

Acknowledgements The authors would like to acknowledge the National Council for Scientifc Research of Lebanon (CNRS-L) and Université du Littoral Côte d'Opale (ULCO) for granting a doctoral fellowship to Lamia Nakhle. This work is a contribution to the CPER (Contrat de Plan Etat-Région) research project IRenE (Innovation et Recherche en Environnement) and is supported by the French Ministère de l'Enseignement Supérieur, the region Hauts-de-France and the European Regional Development Fund.

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