

# Solving the Inverse Kinematics Problem of Multiple Redundant Manipulators with Collision Avoidance in Dynamic Environments

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Received: 13 June 2020 / Accepted: 21 October 2020 / Published online: 22 January 2021 © Springer Nature B.V. 2021

#### Abstract

This article presents an approach for collision-free kinematics of multiple redundant manipulators in complex environments. The approach describes a representation of task space and joint limit constraints for redundant manipulators and handles collision-free constraints by micromanipulator dynamic model and velocity obstacles. A new algorithm based on Newton-based and first-order techniques is proposed to generate collision-free inverse kinematics solutions. The present approach is applied in simulation for the redundant manipulators in a various working environments with dynamic obstacles. The physical experiments using a Baxter robot in a various working environments with dynamic obstacles are also performed. The results demonstrate the effectiveness of the proposed approach compared with existing methods regarding working environment and computational cost.

Keywords Inverse kinematics · Multiple manipulators · Collision avoidance · Dynamic obstacles

# **1 Introduction**

One current trend in robotics is to use the manipulators in dynamic environments for different tasks. Moreover, the need for spatial and temporal coordination of multiple manipulators work cooperatively in complex environments arises in industrial and service robotics alike. For instance, many complex tasks in industrial processes that cannot be accomplished by a single manipulator are still performed in cooperation with human workers or other robots. Furthermore, cooperative human-robot and multi-robot working environments have been extensively applied in various related fields [1, 2]. In such systems, collision avoidance is a major problem in the application of the manipulators. To enhance human safety and work efficiency, the manipulator must compute an inverse kinematics (IK) solution based on the desired pose of its end-effector and local observations of the workspace, such that it stays free of collisions with the human workers or other moving robots.

The redundant manipulators are designed with more degrees of freedom (DOF) that have been used in many complex environments to achieve the tasks. However, the complex environments provide significant challenges in inverse kinematics problem of the redundant manipulates:

- 1. Task Space Constraints: Computing the joint coordinates that satisfy kinematics constraints based on the given each end-effector pose [3, 4].
- 2. Collision-free Constraints: A redundant manipulator should not collide with other manipulators and/or mov-ing obstacles [5].
- 3. Joint Limits Constraints: Joints have limited range of movement so the manipulator cannot move if a joint move to a position outside its limits [6, 7].

To compute efficient IK solutions for redundant manipulators, the numerical and optimization methods have been used in several approaches in literature [8–12]. However, most methods do not focus on collisions with other manipulators and/or moving obstacles in a shared working environment. Moreover, there are many studies work on the problem of collision-avoidance for multi-robot systems or moving obstacles [25–35]. The limitations of these studies though are that the robots are assumed to rigid bodies and only take dynamics constraints into account; they can not be directly applied to redundant manipulators.

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In this paper, a real-time collision-free IK (CFIK) algorithm is presented to compute efficient IK solutions for a redundant manipulator, which operates in a three-dimensional (3-D) working environment with other manipulators and/or moving obstacles. The algorithm uses a novel combination of the Jacobian matrix and velocity obstacles (VO). In such a case, the formulation of the VO is extended to redundant manipulators and the IK problem is defined as a new high dimensional optimization problem by task constraints. Our algorithm initially relates IK solutions to task space and joint limit constraints for each redundant manipulator and updates joint state at each iteration to reduce the task error by a Newton-based method. In contrast to existing IK algorithm, the CFIK algorithm continues to search for the collision-free IK solutions using a first-order method. The basic idea is that the movements of each joint are guided by collision-free constraints, which are formulated using linear inequalities and taken into account to compute the constraint factor of the VO in the given time window. Based on a brief analysis of convergence rate and stability of the collision-free constraints, it can be concluded that the proposed algorithm provides collision-avoidance guarantee for all redundant manipulators when working in a shared space.

The CFIK algorithm has been implemented in simulation and experiment for a variety of working environments with a Baxter robot and computes IK solutions that satisfy the task space, joint limit and collision-free constraints. The algorithm also considers the dynamic obstacles moving in the environments. To guarantee that there is no collision, the simulations use Gazebo simulator, which supports physics engines and offers the ability to efficiently and accurately simulate robots in dynamic environments. In general, the run time to generate an IK solution depends on the kinematic solver and the number of manipulators and dynamic obstacles. In our benchmarks, it takes less than a millisecond for a 7-DOF arm on a single CPU core.

The rest of this paper is organized as follows. Section 2 reviews the related work in IK and VO algorithms. In Section 3, the formulation of task space, joint limit and collision-free constraints are applied to the redundant manipulators. In Section 4, we relate IK solutions to all three constraints, present a novel CFIK algorithm and discuss how to address the problem of collision avoidance with static obstacles in this framework. Section 5 presents a convergence rate and stability analysis for the collisionfree constraints. Section 6 highlights the performance on both arms of Baxter robot in dynamic environments with the human model and the Kuka LBR iiwa articulated robot arm. Our method solves a broader class of problems and performs much faster than existing methods. Finally, the paper is concluded in Section 7.

#### 2 Previous Work

In this section, the overview of prior work on inverse kinematics of redundant manipulators and collision-free navigation of multiple robots will be given.

The most popular way to generate valid IK solutions is to use closed-loop algorithms based on the Inverse Jacobian matrix [8, 9]. As in [10], the relevant closedloop IK (CLIK) methods for redundant robots are compared based on the main points of kinematic issue and two enhancements are proposed. In [11], the problem of falsenegative failures of Kinematics and Dynamics Library (KDL)'s IK implementation is considered. An IK method with a variety of open source algorithms is presented to generate a better IK solution, without those failures. In [12], the authors present a method to find accurate solutions for high DOF robots using a hybrid evolutionary approach. A variety of shape trajectory control methods can be used to find an analytical IK solution of the redundant or hyper-redundant manipulators. These methods include the classical planar curve called tractrix [13], the shape trajectory of rattlesnakes [14], the mechanics-based model [15], the plain and extended spline [16], the elastic backbone [17], the physical curves [18], the backbone curves [19], and the inchworm steps [20].

To find collision-free IK solutions of redundant and soft manipulators, the method proposed in [21] is based on the natural cyclic coordinate descent (CCD) to solve this problem. In [22], the authors explore three algorithms for task space constrained of redundant robots by Tangent Space Sampling and First-Order Retraction. The algorithm described in [23] combines the closed-loop pseudo-inverse (CLP) method with a multi-objective genetic algorithm (GA) for the trajectory planning of redundant robots. Other techniques are based on algebraic solvers [24]. None of these methods consider collision-free constraints or dynamic obstacles.

Many researches have been focused on effective methods to compute collision-free IK solutions for multiple robots in a shared workspace. One of the early developments to solve this issue is the VO [25–27]. In [28], a new concept named reciprocal velocity obstacle (RVO) is proposed for collision-free and oscillation-free navigation among dynamic obstacles in the same environment. Besides, the RVO algorithm has been extended to deal with bounds on acceleration [29] or flying robots in 3-D workspace [30]. Other VO-based methods have been generalized to apply to handle the dynamic constraints. These include multiple differential-drive robots [31], double or arbitrary integrator [32, 33], car-like robots [34], linear quadratic regulator (LQR) controllers [35], non-linear equations of motion [36], etc. As in [32], a *n*-vehicle collision avoidance strategy is presented that can account for delays and higher order dynamics. However, these methods also did not consider the IK problem for redundant manipulators in a dynamic environment.

# **3 Specifying Constraints**

#### **3.1 Mathematical Statement**

A redundant manipulator is considered as consisting of a finite number of rigid-links, which is a concatenation of n identical modules. Let  $\xi \in \mathbb{R}^c$  represents the constraint variable to be handled. Let  $q = [q_1, \ldots, q_n]$  be the joint configuration refer to a vector of the joint variable. Moreover, it can be assumed that the constraint  $\xi$  can be derived from the joint configuration q by function

$$\xi = f(\boldsymbol{q}). \tag{1}$$

For redundant systems (i.e., when n > c), it is especially useful to add other constraints, which can be used to keep the manipulator from restricted region of the joint configuration. For simplicity, an additional constraint function can be expressed as

$$\psi \triangleq \psi(\boldsymbol{q}) \le 0. \tag{2}$$

Now considering the functional form of those constraints for the manipulator, one must solve the problem with equality constraints, gives

Given constraint functions 
$$\xi$$
 and  $\psi$   
Compute  $q$  subject to:  
 $\xi = f(q)$  and  
 $\psi \le 0.$ 
(3)

The following subsections will describe the definition of many common constraints including task space, joint limit and collision-free constraints.

#### 3.2 Task Space and Joint Limit Constraints

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The forward kinematics of a redundant manipulator is described by the equation

$$\boldsymbol{\xi}^{E} = f_{E}(\boldsymbol{q}), \tag{4}$$

where  $\xi^E \in \mathbb{R}^6$  is the end-effector frame. Let **T** represents the rigid transformation between two frames. Then, the matrix  $\mathbf{T}_i^{i-1}$  is the transformation from link coordinate frame  $\xi^i$  to frame  $\xi^{i-1}$  (i = 1, ..., n). The matrix  $\mathbf{T}_E^0$  is also employed for translations and rotations of the end-effector frame  $\xi^E$  with respect to the base frame  $\xi^0$ . For any joint configuration  $\boldsymbol{q}$ , the homogeneous transformations can be used to compute the individual link transformation matrices of an *n*-DOF manipulator:

$$\boldsymbol{\xi}^{E} \sim \mathbf{T}_{E}^{0}(\boldsymbol{q}) = \mathbf{T}_{1}^{0} \mathbf{T}_{2}^{1} \cdots \mathbf{T}_{n}^{n-1} \mathbf{T}_{E}^{n}.$$
(5)

The task constraints of a manipulator can be described by a restriction on the motion freedom of the end-effector [37]. Giving the desired position and orientation of the endeffector  $\mathbf{T}_t^0$  (transformation from the task frame  $\xi^t$  to the base frame  $\xi^0$ ), the algorithm needs to compute a joint configuration  $\boldsymbol{q}$ , which satisfies task constraints based on the forward kinematics. The functional form of the task constraints is given by

$$\mathbf{T}_{E}^{t}(\boldsymbol{q}) = (\mathbf{T}_{t}^{0})^{-1} \mathbf{T}_{E}^{0}(\boldsymbol{q}),$$
(6)

where the matrix  $\mathbf{T}_{E}^{t}$  is the transformation from frame  $\xi^{t}$  to frame  $\xi^{E}$ . Then, the positioning error  $\boldsymbol{e} \in \mathbb{R}^{6}$  between frame  $\xi^{t}$  and frame  $\xi^{E}$  is defined as

$$\boldsymbol{e} \equiv \mathbf{T}_E^t(\boldsymbol{q}). \tag{7}$$

In addition, the joint limit constraints for the joint position  $q_i$  can be defined as

$$q_{i,min} \le q_i \le q_{i,max}.\tag{8}$$

#### 3.3 Collision-free Constraints

The collision-free constraints begin with decomposing each movable link of the kinematic chain into a series of spheres. The number of spheres and their relative frames and radii are dynamically determined by the size of the links to make sure that there are no collisions. The bounding sphere is used to generate a micromanipulator dynamic model based on the specifications of the manipulator. Moreover, each dynamic obstacle in the environment is also assumed to be a sphere or a series of spheres. In practice, our collision avoidance method tends to be conservative because of these bounding sphere approximations.

In the Cartesian space, let  $S_m$  (m = 1, ..., M, where M is the number of spheres used for this manipulator's decomposition) be one of the spheres on link i with radius  $r_m$ , and let A be an obstacle with radii  $r_A$ . The sphere frame  $\xi^{S_m}$  and the obstacle frame  $\xi^A$  are derived from the base frame  $\xi^0$ , based on the rigid transformations of the base axes. The matrixes  $\mathbf{T}_{S_m}^0$  and  $\mathbf{T}_A^0$  specify the position and orientation of  $\xi^{S_m}$  and  $\xi^A$ , with respect to  $\xi^0$ . In a micromanipulator dynamic model, all spheres are rigidly attached to the manipulator after motion. Let  $\mathbf{T}_{S_m}^i$  represents the position and orientation of  $\xi^{S_m}$  and represents the position  $\xi^n$  is given as follows:

$$\mathbf{T}_{S_m}^0 = \mathbf{T}_i^0 \mathbf{T}_{S_m}^i = \mathbf{T}_1^0 \cdots \mathbf{T}_i^{i-1} \mathbf{T}_{S_m}^i.$$
(9)

During the iteration process (Algorithms 1 and 2 in Section 4), the matrix  $\mathbf{T}_{S_m}^0$  transforms into a new matrix  $\mathbf{T}_{S_{m'}}^0$ , which

represents the position and orientation of frame  $\xi^0$  with respect to frame  $\xi^{S_{m'}}$ . The transform  $\mathbf{T}_{S_m}^{S_{m'}}$  is given as follows:

$$\mathbf{T}_{S_m}^{S_{m'}} = \mathbf{T}_0^{S_{m'}} \mathbf{T}_{S_m}^0 = \left(\mathbf{T}_{S_{m'}}^0\right)^{-1} \mathbf{T}_{S_m}^0.$$
 (10)

As shown in Fig. 1, the distance  $d_{Am}$  specifies the Euclidean distance between the obstacle frame  $\xi^A$  and the sphere frame  $\xi^{S_m}$ . If  $d_{Am} \leq r_m + r_A$ , it can be deduced that sphere  $S_m$  and sphere A are colliding. The Minkowski sum of these spheres  $S_m$  and A can be described by the equation

$$S_m \oplus A = \{s_m + a | s_m \in CH(S_m), a \in CH(A)\},$$
(11)

where  $CH(S_m)$  and CH(A) are the convex hulls of sphere  $S_m$  and sphere A, respectively. The VO for sphere  $S_m$  induced by sphere A for time window  $\tau$  is shown in Fig. 2. It can be given as

$$VO_{S_m|A}^{\tau} = \{ \boldsymbol{v} | \lambda^{\tau}(\boldsymbol{p}_m, \boldsymbol{v} - \boldsymbol{v}_A) \cap A \oplus -S_m \neq \emptyset \},$$
(12)

where  $-S_m = \{-s_m | s_m \in CH(S_m)\}, v_A$  is the velocity vector of sphere *A*, and  $\lambda^{\tau}(p_m, v - v_A)$  is a ray starting at the position of  $\mathbf{T}_{S_m}^0$  with direction v,

$$\lambda^{\tau}(\boldsymbol{p}_m, \boldsymbol{v} - \boldsymbol{v}_A) = \{\boldsymbol{p}_m + t(\boldsymbol{v} - \boldsymbol{v}_A) | t \in [0, \tau]\}.$$
(13)

As shown in Fig. 3, if sphere  $S_m$  has velocity  $\boldsymbol{v}_m$ , it can be observed that sphere  $S_m$  may collide with sphere A during the time interval  $[0, \tau]$  if the relative velocity vector of  $\boldsymbol{v}_m - \boldsymbol{v}_A$  is inside the region  $V O_{S_m|A}^{\tau}$ . To avoid a possible collision before time  $\tau, \boldsymbol{v}_m - \boldsymbol{v}_A$  must be outside  $V O_{S_m|A}^{\tau}$ . To



**Fig. 1** In the *XYZ*-dimensional, one of the spheres on the link *i* and a dynamic obstacle are bounded by spheres whose transforms are  $\mathbf{T}_{S_m}^0$  and  $\mathbf{T}_A^0$  and radii are  $r_m$  and  $r_A$ , respectively



**Fig. 2** In the  $v_X v_Y v_Z$ -dimensional, the shaded area represents the velocity obstacles for sphere *A* induced by sphere  $S_m$  in time window  $\tau$ 

select a velocity  $\boldsymbol{v}_m$ , we use a constraint defined with respect to  $\boldsymbol{v}_m - \boldsymbol{v}_A$  and  $VO_{S_m|A}^{\tau}$ . The vector,  $\boldsymbol{\omega}_m$ , from  $\boldsymbol{v}_m - \boldsymbol{v}_A$  to the closest point of the boundary of  $VO_{S_m|A}^{\tau}$  is defined as follows:

$$\boldsymbol{\omega}_{m} = (\arg\min||\boldsymbol{v} - (\boldsymbol{v}_{m} - \boldsymbol{v}_{A})||_{2}) - (\boldsymbol{v}_{m} - \boldsymbol{v}_{A}), \, \boldsymbol{v} \in \partial V O_{S_{m}|A}^{\tau},$$
(14)

where  $\partial V O_{S_m|A}^{\tau}$  is the boundary of  $V O_{S_m|A}^{\tau}$ . Let  $\varphi_m$  represents the vector from point  $(p_m - p_A)/\tau$  to  $v_m - v_A$ .



**Fig. 3** In the  $v_X v_Y v_Z$ -dimensional, the vector  $\varphi_m$  determines if there is a collision, and the vector  $\omega_m$  informally represents the amount of load the sphere takes to avoid collisions

As with previous formulations, the constraint factor  $\psi_m$  is defined as follows:

$$\psi_m = \frac{\varphi_m}{\|\varphi_m\|} \cdot \omega_m. \tag{15}$$

If  $\psi_m > 0$ , then sphere  $S_m$  will collide with sphere A. If  $\psi_m \leq 0$ , there will be no such collision between those two spheres during the time interval  $[0, \tau]$ .

#### **4 Algorithmic Solution**

#### 4.1 Relating IK Solutions to Task Space and Joint Limit Constraints

As introduced in Section 3.2, the positioning error e is computed using the forward kinematics of a redundant manipulator.

For each given task frame  $\xi^t$  (i.e., the task space constraints), the analytical solution to IK problem

$$\arg\min\|\boldsymbol{e}\|_2^2 \tag{16}$$

is the set defined as

$$Q \triangleq \left\{ \boldsymbol{q}^* \in \mathbb{R}^n : \boldsymbol{\xi}^t = f_E\left(\boldsymbol{q}^*\right) \right\}.$$
(17)

Due to kinematic redundancy, let us consider a numerical method to generate valid IK solutions. We use the Newtonbased convergence algorithm, which applying a singular value decomposition (SVD) to compute the pseudoinverse of the Jacobian  $J^{\dagger}$  and reducing the task space constraints by iterating the function

$$\boldsymbol{q}^{k+1} = \boldsymbol{q}^k + \Delta \boldsymbol{q}^k, \tag{18}$$

where  $q^{k+1}$  is the joint configuration at step k + 1,  $q^k$  is the previous joint configuration. The most common values of a seed joint configuration  $q^0$  for this algorithm are the current joint values.  $\Delta q^k$  is the joint state variation that can be used to map  $||\boldsymbol{e}||_2^2$  into a stopping criteria  $\varepsilon$ , and it is defined as

$$\Delta \boldsymbol{q}^{k} = \mathbf{J}^{\dagger} \boldsymbol{e}. \tag{19}$$

Using the SVD method,  $\mathbf{J}^{\dagger} \in \mathbb{R}^{6 \times n}$  can be expressed as follow:

$$\mathbf{J}^{\dagger} = \mathbf{U} \boldsymbol{\Sigma}^{\dagger} \mathbf{V}^{T}.$$
 (20)

More specifically, **U** and **V** are the  $n \times n$  and  $6 \times 6$  unitary orthogonal matrix, respectively.  $\Sigma$  is an  $6 \times n$  rectangular diagonal matrix [38]. When the redundant manipulator gets close to singularities, the joint state variation  $\Delta q^k$  will be very large. To prevent the redundant manipulator getting stuck in this situation, we discard the joint configuration  $q^k$ and find a better initial joint configuration through a random joint values over a standard uniform distribution number on the open interval  $(q_{i,min}, q_{i,max})$ . In addition to keep joint values with in the valid range (i.e., joint limits) in each iteration, it is recommended to add a second adjustment to Problem 16.

The functional form of Problem 16 with joint limit constraints is

$$\underset{s.t.}{\operatorname{arg\,min}} \|\boldsymbol{e}\|_{2}^{2}$$

$$s.t. \quad q_{i,min} \leq q_{i} \leq q_{i,max}.$$
(21)

Furthermore, the set of the analytical solution to Problem 21 can be defined similar to before as

$$Q \triangleq \left\{ \boldsymbol{q}^* \in \mathbb{R}^n : \boldsymbol{\xi}^t = f_E\left(\boldsymbol{q}^*\right), \ q_{i,min} \leq q_i^* \leq q_{i,max} \right\}.$$
(22)

The approach of adjust the joint values by the joint limit constraints is summarized in Table 1.

As a baseline, the Pseudo-code for the Newtonbased convergence algorithm is presented in Algorithm 1. Moreover, Algorithm 1 terminates after  $\|\boldsymbol{e}\|_2^2 \leq \varepsilon$  or a maximum computation time  $t_{max}$ , see line 3. If the transforms  $\mathbf{T}_t^0$  and  $\mathbf{T}_E^0(\boldsymbol{q})$  are found,  $\boldsymbol{e}$  can be computed using Eq. 7 within function COMPUTE\_ERROR(), see lines 2 and 9.  $\mathbf{J}^{\dagger}$  can be computed using Eq. 20 within function JACOBIAN\_INVERSE(), see line 5.

# 4.2 Relating IK Solutions to Collision-free Constraints

Algorithm 1 can compute the joint configuration  $q^{k+1}$  and positioning error e after each iteration. To find a collisionfree IK solution, our method continues to update the joint configuration if  $\psi_m(q^k) > 0$ . The constraint factor  $\psi_m$ can be computed using the joint configuration  $q^{k+1}$  and  $q^0$ .

Table 1 Joint limit constraints

JOINT_LIMIT_CONSTRAINT $(q)$	);
1	for $i = 1$ to $n$
2	$\mathbf{if}  q_i \leq q_{i,min}$
3	$q_i^r \leftarrow * \text{REMAINDER} * (\frac{q_i - q_{i,min}}{2\pi})$
4	$q_i = q_{i,min} - q_i^r + 2\pi$
5	<b>if</b> $q_i \ge q_{i,max}$
6	then $q_i = q_{i,min}$
7	else if $q_i \ge q_{i,max}$
8	$q_i^r \leftarrow \text{REMAINDER}(\frac{q_{i,max}-q_i}{2\pi})$
9	$q_i = q_{i,max} + q_i^r - 2\pi$
10	$\mathbf{if} \ q_i \le q_{i,min}$
11	then $q_i = q_{i,max}$
12	return q

<sup>1</sup>The word \*REMAINDER\* represents it will return the floating-point remainder of division

#### Algorithm 1 Newton-based convergence.

**Input:**  $q^0 = current joint, k = 0, t_{left} = 0.$ **Output:** IK solution of the redundant manipulator. 1: Initialize:  $t_{max} \leftarrow \text{Get_Maxtime}()$ . 2: Initialize:  $\boldsymbol{e} \leftarrow \text{COMPUTE}\_\text{ERROR}(\mathbf{T}_{E}^{t}(\boldsymbol{q}^{0})).$ 3: while  $t_{left} \leq t_{max}$  and  $||e||_2^2 > \varepsilon$  do  $k \leftarrow k + 1$ 4:  $\mathbf{J}^{\dagger} \leftarrow \text{JACOBIAN}_\text{INVERSE}(\boldsymbol{q}^k)$ 5:  $\Delta \boldsymbol{q}^k = \mathbf{J}^{\dagger} \boldsymbol{e}$ 6:  $\hat{\boldsymbol{q}^{k+1}} = \boldsymbol{q}^k + \Delta \boldsymbol{q}^k$ 7:  $q^{k+1} \leftarrow \text{JOINT\_LIMIT\_CONSTRAINT}(q^{k+1})$ 8: 9:  $e \leftarrow \text{COMPUTE}_\text{ERROR}(\mathbf{T}_E^t(\boldsymbol{q}^{k+1}))$ if  $\|\boldsymbol{e}\|_2^2 \leq \varepsilon$  then 10: return  $q^{k+1}$ 11: 12: else  $t_{left} \leftarrow t_{left} + \text{Get_Duration}()$ 13: 14: end if 15: end while 16: return  $q^0$ 

Then, a secondary constraint is formulated as a gradient of  $\psi_m$ , which is used to find a better joint configuration for the IK algorithm to perform obstacle avoidance.

Now, Problem 21 can be rewritten as

 $\arg \min \qquad \|\boldsymbol{e}\|_{2}^{2}$ s.t.  $\begin{cases} q_{i,min} \leq q_{i} \leq q_{i,max} \\ \psi_{m} \leq 0 \end{cases}$ (23)

With this definition, it is possible to generate the set of the analytical solution, which is the deterministic function of Problem 23, gives

$$Q \triangleq \left\{ \boldsymbol{q}^* \in \mathbb{R}^n : \xi^t = f_E\left(\boldsymbol{q}^*\right), \ q_{i,min} \leq q_i^* \\ \leq q_{i,max}, \ \psi_m\left(\boldsymbol{q}^*\right) \leq 0 \right\}.$$
(24)

Since the relationship between  $\psi_m$  and q is nonlinear, the first-order iterative optimization algorithm is used to make  $\psi_m$  converges to the minimum. Let  $\frac{\partial \psi_m}{\partial x_m}$  represents the function of differentials of  $x_m$ . Then, an associate function is given as

$$G(\boldsymbol{p}_m) = \left[ \frac{\partial \psi_m}{\partial x_m}, \frac{\partial \psi_m}{\partial y_m}, \frac{\partial \psi_m}{\partial z_m} \right]^T.$$
 (25)

Note that  $\boldsymbol{p}_m = [x_m, y_m, x_m]^T$  presents the position vector of frame  $\xi^{S_m}$ , which is the translation vector in homogeneous transform  $\mathbf{T}_{S_m}^0(\boldsymbol{q}_I)$ , where  $\boldsymbol{q}_I = [q_1, \cdots, q_i]$ . Let  $\mathbf{J}_p^m \in \mathbb{R}^{3 \times i}$  represents the linear velocity Jacobian of the frame  $\xi^{S_m}$ , which can be computed as follow:

$$\mathbf{J}_{p}^{m} = \begin{bmatrix} \frac{\partial x_{m}}{\partial q_{1}} & \cdots & \frac{\partial x_{m}}{\partial q_{i}} \\ \frac{\partial y_{m}}{\partial q_{1}} & \cdots & \frac{\partial y_{m}}{\partial q_{i}} \\ \frac{\partial z_{m}}{\partial q_{1}} & \cdots & \frac{\partial z_{m}}{\partial q_{i}} \end{bmatrix}.$$
(26)

Given the definitions of Eqs, 25 and 26, the equation of gradient  $\nabla \psi_m(\boldsymbol{q}_I)$  is given as follows:

$$\nabla \psi_m(\boldsymbol{q}_I) = \left[\frac{\partial \psi_m}{\partial q_1}, ..., \frac{\partial \psi_m}{\partial q_i}\right]^T = \left(\mathbf{J}_p^m(\boldsymbol{q}_I)\right)^T G(\boldsymbol{p}_m). \quad (27)$$

Then, the first-order iterative optimization algorithm is applied by iterating the function

$$\boldsymbol{q}_{I}^{k+1} = \boldsymbol{q}_{I}^{k} - \gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{I}^{k}), \qquad (28)$$

where  $\gamma^k$  is the step size. In the spirit of Eq. 18, a transformation from  $\gamma^k \nabla \psi_m(\boldsymbol{q}_I^k) \in \mathbb{R}^i$  to  $\gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k) \in \mathbb{R}^n$  is provided as

$$\gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{I}^{k}) \stackrel{\circ}{=} \gamma^{k} \nabla \hat{\psi}_{m}(\boldsymbol{q}_{I}^{k}) \tag{29}$$
$$= [\underbrace{\gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{1}^{k}), \dots, \gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{i}^{k})}_{i}, \underbrace{0, \dots, 0}_{n-i}].$$

For this transformation, the gradient direction  $\gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k)$  and the joint configuration  $\boldsymbol{q}$  have the same dimensional  $\mathbb{R}^n$ . Then Eq. 18 can be rewritten as

$$\boldsymbol{q}^{k+1} = \boldsymbol{q}^k + \Delta \boldsymbol{q}^k - \gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k). \tag{30}$$

#### 4.3 CFIK Algorithm

Given the Eq. 30, the Pseudo-code for our CFIK algorithm can be given as Algorithm 2. Similar to Algorithm 1, CFIK algorithm terminates after  $\|\boldsymbol{e}\|_2^2 \leq \varepsilon$  and  $\psi_m \leq 0$  or a maximum computation time  $t_{max}$ , see lines 4 and 17.  $\psi_m$  is computed using Eq. 15 within function COLLISION-FREE\_CONSTRAINT(), see lines 3 and 16. The gradient direction  $\gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k)$  can be computed using Eq. 29 within function COMPUTE\_GRADIENT\_DIRECTION(), see line 11.

#### 4.4 Avoiding Collisions with Static Obstacles

A typical robot working environment also contain static obstacles, such as wall. Therefore, the same method as above will be applied to this situation, it would compute the velocity obstacle for sphere to avoid collisions with static obstacles. The geometric shape of the static obstacle is assumed to be a regularly shaped body, in terms of the cylinder and cuboid. As can be seen in Fig. 4a, the wall obstacle is modeled as a cuboid. Let *A* be the cuboid, and  $S_m$  is a sphere centered at  $p_m$  with radius  $r_m$ . Then, the velocity obstacle  $V O_{S_m|A}^{\tau}$  can be defined as

$$VO_{S_m|A}^{\tau} = \{ \boldsymbol{v} | \lambda^{\tau}(\boldsymbol{p}_m, \boldsymbol{v}) \cap A \oplus -S_m \neq \emptyset \},$$
(31)

as shown in Fig. 4b and c. If the velocity  $v_m$  is inside, sphere  $S_m$  will collide with the cuboid A within time  $\tau$ . The vector  $\omega_m$  is redefined as

$$\boldsymbol{\omega}_m = (\arg\min||\boldsymbol{v} - \boldsymbol{v}_m||_2) - \boldsymbol{v}_m, \, \boldsymbol{v} \in \partial V O_{S_m|A}^{\tau}, \qquad (32)$$



then this vector will be used in the collision avoidance approach of the CFIK algorithm to redundant manipulators.

### 5 Convergence Rate and Stability Analysis

#### 5.1 Convergence Rate

In this section, the convergence rate of the collision-free constraints is illustrated to ensure convergence of the defined scenarios when using our method. Equation 28 shows the update rule of the joint configuration q at each iteration to keep  $\psi_m$  moving towards the minimum. To demonstrate the function  $\psi_m$  has a convergence rate, we first show that  $\psi_m$  is Lipschitz continuous and  $\beta$  smooth [39]. For sake of simplicity, the convergence rate analysis will be discussed with respect to the condition of  $\psi_m$  as shown in Fig. 3.

**Lemma 5.1** The function  $\psi_m$  is Lipschitz continuous.

*Proof* Equation 15 defines the function  $\psi_m$ . For any two joint configuration  $q_I^1$  and  $q_I^2$ , we define

$$\left| \psi_m(\boldsymbol{q}_I^1) - \psi_m(\boldsymbol{q}_I^2) \right|$$
  
=  $\left| \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)\|} \boldsymbol{\omega}_m(\boldsymbol{q}_I^1) - \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)\|} \boldsymbol{\omega}_m(\boldsymbol{q}_I^2) \right|.$  (33)

The values of  $\varphi_m$  and  $\omega_m$ , can be computed according to Eq. 11–14.

$$\boldsymbol{\varphi}_m = (\boldsymbol{v}_m - \boldsymbol{v}_A) - \left(\frac{\boldsymbol{p}_m + \boldsymbol{p}_A}{\tau}\right), \qquad (34)$$

$$\boldsymbol{\omega}_{m} = \left(\frac{r_{m} + r_{A}}{\tau} - \|\boldsymbol{\varphi}_{m}\|\right) \frac{\boldsymbol{\varphi}_{m}}{\|\boldsymbol{\varphi}_{m}\|}.$$
(35)

Substituting (34) and (35) into Eq. 33 gives

$$\begin{aligned} \left| \psi_{m}(\boldsymbol{q}_{I}^{1}) - \psi_{m}(\boldsymbol{q}_{I}^{2}) \right| \\ &= \left| \frac{\varphi_{m}(\boldsymbol{q}_{I}^{1})}{\|\varphi_{m}(\boldsymbol{q}_{I}^{1})\|} \left( \frac{r_{m}+r_{A}}{\tau} - \|\varphi_{m}(\boldsymbol{q}_{I}^{1})\| \right) \frac{\varphi_{m}(\boldsymbol{q}_{I}^{1})}{\|\varphi_{m}(\boldsymbol{q}_{I}^{2})\|} \right| \\ &- \frac{\varphi_{m}(\boldsymbol{q}_{I}^{2})}{\|\varphi_{m}(\boldsymbol{q}_{I}^{2})\|} \left( \frac{r_{m}+r_{A}}{\tau} - \|\varphi_{m}(\boldsymbol{q}_{I}^{2})\| \right) \frac{\varphi_{m}(\boldsymbol{q}_{I}^{2})}{\|\varphi_{m}(\boldsymbol{q}_{I}^{2})\|} \right| \\ &= \left| \left\| \varphi_{m}(\boldsymbol{q}_{I}^{2}) \right\| - \left\| \varphi_{m}(\boldsymbol{q}_{I}^{1}) \right\| \right| \\ &\leq \left\| \left( \boldsymbol{v}_{m}^{\boldsymbol{q}_{I}^{2}} - \boldsymbol{v}_{A} \right) - \left( \frac{p_{m}(\boldsymbol{q}_{I}^{2}) + p_{A}}{\tau} \right) - \left[ \left( \boldsymbol{v}_{m}^{\boldsymbol{q}_{I}^{1}} - \boldsymbol{v}_{A} \right) \right. \\ &- \left( \frac{p_{m}(\boldsymbol{q}_{I}^{1}) + p_{A}}{\tau} \right) \right] \right\| \\ &= \left\| \boldsymbol{v}_{m}^{\boldsymbol{q}_{I}^{2}} - \boldsymbol{v}_{m}^{\boldsymbol{q}_{I}^{1}} - \frac{p_{m}(\boldsymbol{q}_{I}^{2}) - p_{m}(\boldsymbol{q}_{I}^{1})}{\tau} \right\|. \end{aligned}$$
(36)

To construct the VO, the sphere velocity vector is obtained in each iteration, as a function of time  $\Delta t$ , through the translation vector  $\boldsymbol{p}_m(\boldsymbol{q}_I)$  in transform  $\mathbf{T}_{S_m}^0(\boldsymbol{q}_I)$ 

$$\boldsymbol{v}_{m}^{k+1} = \begin{bmatrix} v_{m_{x}}^{k+1} \\ v_{m_{y}}^{k+1} \\ v_{m_{z}}^{k+1} \end{bmatrix} = \frac{\boldsymbol{p}_{m}(\boldsymbol{q}_{I}^{k+1}) - \boldsymbol{p}_{m}(\boldsymbol{q}_{I}^{0})}{\Delta t} = \begin{bmatrix} \frac{\boldsymbol{x}_{m}^{k+1} - \boldsymbol{x}_{m}^{0}}{\Delta t} \\ \frac{\boldsymbol{y}_{m}^{k+1} - \boldsymbol{y}_{m}^{0}}{\Delta t} \\ \frac{\boldsymbol{z}_{m}^{k+1} - \boldsymbol{z}_{m}^{0}}{\Delta t} \end{bmatrix}.$$
(37)

Consider  $\Delta t$  is the time duration of the end-effector moving between the two Cartesian poses  $\xi^E$  and  $\xi^t$  at one movement step. For simplicity, it can be assumed that the end-effector moves at constant velocity in our experiments. Substituting the velocity of sphere  $S_m$  in Eq. 37 into Eq. 36, can be rearranged as

$$\begin{aligned} \left| \psi_m(\boldsymbol{q}_I^1) - \psi_m(\boldsymbol{q}_I^2) \right| \\ &\leq \left\| \frac{p_m(\boldsymbol{q}_I^2) - p_m(\boldsymbol{q}_I^0)}{\Delta t} - \frac{p_m(\boldsymbol{q}_I^1) - p_m(\boldsymbol{q}_I^0)}{\Delta t} - \frac{p_m(\boldsymbol{q}_I^2) - p_m(\boldsymbol{q}_I^1)}{\tau} \right\| \quad (38) \\ &= \left( \frac{1}{\Delta t} - \frac{1}{\tau} \right) \left\| \boldsymbol{p}_m(\boldsymbol{q}_I^2) - \boldsymbol{p}_m(\boldsymbol{q}_I^1) \right\|. \end{aligned}$$

Let us assume the transformation between joint configurations  $q_I$  and the position vector  $p_m$  of frame  $\xi_m$  is locally **Algorithm 2** Collision-Free IK Solution Generator (our novel CFIK algorithm that accounts for task space, joint limit and collision avoidance constraints).

**Input:**  $q^0 = current joint, k = 0, t_{left} = 0.$ Output: Collision-Free IK solution of the redundant manipulator. 1: Initialize:  $t_{max} \leftarrow \text{Get}_MAXTIME}()$ . 2: Initialize:  $\boldsymbol{e} \leftarrow \text{COMPUTE}_\text{ERROR}(\mathbf{T}_E^t(\boldsymbol{q}^0)).$ 3: Initialize:  $\psi_m(q^0) \leftarrow \text{COLLISION-FREE}_\text{CONSTRAINT}$  $q^{0}$ . 4: while  $t_{left} \leq t_{max}$  do 5:  $k \leftarrow k + 1$ if  $\|\boldsymbol{e}\|_2^2 > \varepsilon$  then 6:  $\mathbf{J}^{\dagger} \leftarrow \text{Jacobian_Inverse}(\boldsymbol{q}^k)$ 7:  $\Delta q^k = \mathbf{J}^{\dagger} \boldsymbol{e}$ 8: end if 9: if  $\psi_m(\boldsymbol{q}^k) > 0$  then 10:  $\gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k)$ Сом-11: PUTE\_GRADIENT\_DIRECTION( $q_I^k$ ) end if 12:  $\boldsymbol{q}^{k+1} = \boldsymbol{q}^k + \Delta \boldsymbol{q}^k - \gamma^k \nabla \hat{\psi}_m(\boldsymbol{q}_I^k)$ 13:  $q^{k+1} \leftarrow \text{JOINT-LIMIT-CONSTRAINT}(q^{k+1})$ 14:  $e \leftarrow \text{COMPUTE}\_\text{ERROR}(\mathbf{T}_E^t(\boldsymbol{q}^{k+1}))$ 15:  $\psi_m(\boldsymbol{q}^{k+1}) \leftarrow \text{COLLISION-FREE\_CONSTRAINT}(\boldsymbol{q}^{k+1})$ if  $\|\boldsymbol{e}\|_2^2 \leq \varepsilon$  and  $\psi_m(\boldsymbol{q}^{k+1}) \leq 0$  then 16: 17: return  $q^{k+1}$ 18: 19: else  $t_{left} \leftarrow t_{left} + \text{Get_Duration}()$ 20: 21: end if 22: end while 23: return  $q^0$ 

linear; in other words, the Jacobian  $\mathbf{J}_p^m$  is a constant for those two configurations  $\boldsymbol{q}_I^1$  and  $\boldsymbol{q}_I^2$ , leading to the following linear relationship

$$\boldsymbol{p}_m(\boldsymbol{q}_I^1) = \mathbf{J}_p^m \boldsymbol{q}_I^1 \tag{39}$$

and

$$\boldsymbol{p}_m(\boldsymbol{q}_I^2) = \mathbf{J}_p^m \boldsymbol{q}_I^2. \tag{40}$$

Equation 38 can be rewritten as

$$\begin{aligned} \left| \psi_m(\boldsymbol{q}_I^1) - \psi_m(\boldsymbol{q}_I^2) \right| &\leq \left( \frac{1}{\Delta t} - \frac{1}{\tau} \right) \left\| \mathbf{J}_p^m \left( \boldsymbol{q}_I^2 - \boldsymbol{q}_I^1 \right) \right\| \\ &\leq \left( \frac{1}{\Delta t} - \frac{1}{\tau} \right) \left\| \mathbf{J}_p^m \right\| \left\| \boldsymbol{q}_I^2 - \boldsymbol{q}_I^1 \right\|. \end{aligned} \tag{41}$$

This proves that the function  $\psi_m$  is Lipschitz continuous [40].

**Lemma 5.2** *The function*  $\psi_m$  *is*  $\beta$  *smooth.* 

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*Proof* Equation 27 defines the equation of gradient  $\nabla \psi_m(\boldsymbol{q}_I^1)$ . For any two joint configuration  $\boldsymbol{q}_I^1$  and  $\boldsymbol{q}_I^2$ , gives

$$\left\|\nabla\psi_m(\boldsymbol{q}_I^1) - \nabla\psi_m(\boldsymbol{q}_I^2)\right\| \tag{42}$$

$$= \left\| \left( \mathbf{J}_p^m(\boldsymbol{q}_I^1) \right)^T G\left( \boldsymbol{p}_m(\boldsymbol{q}_I^1) \right) - \left( \mathbf{J}_p^m(\boldsymbol{q}_I^2) \right)^T G\left( \boldsymbol{p}_m(\boldsymbol{q}_I^2) \right) \right\|.$$

According to Eq. 25 we have

$$G\left(\boldsymbol{p}_{m}\right) = \left[\begin{array}{c} \frac{\partial\psi_{m}}{\partial x_{m}}, \ \frac{\partial\psi_{m}}{\partial y_{m}}, \ \frac{\partial\psi_{m}}{\partial z_{m}}\end{array}\right]^{T} = \frac{\boldsymbol{\varphi}_{m}}{\left\|\boldsymbol{\varphi}_{m}\right\| \, \Delta t}.$$
(43)

Then, in the linear case, Eq. 42 can be rewritten as

$$\begin{aligned} \left\| \nabla \psi_m(\boldsymbol{q}_I^1) - \nabla \psi_m(\boldsymbol{q}_I^2) \right\| \\ &= \left\| \mathbf{J}_p^m \left( \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)\|\Delta t} - \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)\|\Delta t} \right) \right\| \\ &\leq \left\| \mathbf{J}_p^m \right\| \left\| \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^1)\|\Delta t} - \frac{\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)}{\|\boldsymbol{\varphi}_m(\boldsymbol{q}_I^2)\|\Delta t} \right\|. \end{aligned}$$
(44)

Now let  $\varphi_{m,min} = \min(\| \varphi_m(q_I^1) \|, \| \varphi_m(q_I^2) \|)$ . Substituting this in the previous result gives

$$\begin{aligned} \left\| \nabla \psi_{m}(\boldsymbol{q}_{I}^{1}) - \nabla \psi_{m}(\boldsymbol{q}_{I}^{2}) \right\| \\ &\leq \frac{\left\| \mathbf{J}_{p}^{n} \right\|}{\varphi_{m,\min}\Delta t} \left\| \varphi_{m}(\boldsymbol{q}_{I}^{1}) - \varphi_{m}(\boldsymbol{q}_{I}^{2}) \right\| \\ &= \frac{\left\| \mathbf{J}_{p}^{n} \right\|}{\varphi_{m,\min}\Delta t} \left\| \boldsymbol{v}_{m}^{q_{I}^{1}} - \boldsymbol{v}_{m}^{q_{I}^{2}} - \frac{p_{m}(\boldsymbol{q}_{I}^{1}) - p_{m}(\boldsymbol{q}_{I}^{2})}{\tau} \right\| \\ &= \frac{\left\| \mathbf{J}_{p}^{m} \right\|}{\varphi_{m,\min}\Delta t} \left( \frac{1}{\Delta t} - \frac{1}{\tau} \right) \left\| \boldsymbol{p}_{m}(\boldsymbol{q}_{I}^{1}) - \boldsymbol{p}_{m}(\boldsymbol{q}_{I}^{2}) \right\| \\ &= \frac{\left\| \mathbf{J}_{p}^{m} \right\|^{2}}{\varphi_{m,\min}\Delta t} \left( \frac{1}{\Delta t} - \frac{1}{\tau} \right) \left\| \boldsymbol{q}_{I}^{1} - \boldsymbol{q}_{I}^{2} \right\|, \end{aligned}$$
(45)

and it can be concluded that  $\psi_m$  is a  $\beta$ -smooth function, where  $\beta = \frac{\left\|\mathbf{J}_p^m\right\|^2}{\varphi_{m,min}\Delta t} \left(\frac{1}{\Delta t} - \frac{1}{\tau}\right).$ 

**Theorem 5.3** Let  $\psi_m$  be a convex and  $\beta$ -smooth function with  $\boldsymbol{q}_I$  as the current joint configuration and  $\boldsymbol{q}_I^*$  as the optimal joint configuration on  $\mathbb{R}^I$ . Then  $\|\boldsymbol{q}_I^k - \boldsymbol{q}_I^*\|$ decreases with k if  $\gamma^k \leq 1/\beta$ .

Proof: For the two joint configuration  $q_I^1$  and  $q_I^2$ , we define

$$\left\|\boldsymbol{q}_{I}^{k+1} - \boldsymbol{q}_{I}^{*}\right\|^{2} = \left\|\boldsymbol{q}_{I}^{k} - \gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{I}^{k}) - \boldsymbol{q}_{I}^{*}\right\|^{2}$$
$$= \left\|\boldsymbol{q}_{I}^{k} - \boldsymbol{q}_{I}^{*}\right\|^{2} - 2\gamma^{k} \nabla \psi_{m}(\boldsymbol{q}_{I}^{k})^{T} \left(\boldsymbol{q}_{I}^{k} - \boldsymbol{q}_{I}^{*}\right)$$
$$+ \left(\gamma^{k} \left\|\nabla \psi_{m}(\boldsymbol{q}_{I}^{k})\right\|\right)^{2}.$$
(46)

As derived in Lemma 5.2, gives

$$\psi_m(\boldsymbol{q}_I^k) - \psi_m(\boldsymbol{q}_I^*) \leq \nabla \psi_m(\boldsymbol{q}_I^k)^T \left( \boldsymbol{q}_I^k - \boldsymbol{q}_I^* \right) - \frac{\left\| \nabla \psi_m(\boldsymbol{q}_I^k) \right\|^2}{2\beta}.$$
(47)

And knowing that

$$\psi_m(\boldsymbol{q}_I^k) - \psi_m(\boldsymbol{q}_I^*) \ge 0 \tag{48}$$
and

$$-\nabla \psi_m (\boldsymbol{q}_I^k)^T \left( \boldsymbol{q}_I^k - \boldsymbol{q}_I^* \right) \le -\frac{\left\| \nabla \psi_m (\boldsymbol{q}_I^k) \right\|^2}{2\beta}$$
(49)

in Eq. 47, it can be rewritten as

$$\left\|\boldsymbol{q}_{I}^{k+1}-\boldsymbol{q}_{I}^{*}\right\|^{2} \leq \left\|\boldsymbol{q}_{I}^{k}-\boldsymbol{q}_{I}^{*}\right\|^{2}-\gamma^{k}\frac{\left\|\nabla\psi_{m}(\boldsymbol{q}_{I}^{k})\right\|^{2}}{\beta} + \left(\gamma^{k}\left\|\nabla\psi_{m}(\boldsymbol{q}_{I}^{k})\right\|\right)^{2} \leq \left\|\boldsymbol{q}_{I}^{k}-\boldsymbol{q}_{I}^{*}\right\|^{2} -\gamma^{k}\left(\frac{1}{\beta}-\gamma^{k}\right)\left\|\nabla\psi_{m}(\boldsymbol{q}_{I}^{k})\right\|^{2}.$$
(50)

This means that if  $\gamma^k \leq 1/\beta$ , then

$$\left\| \boldsymbol{q}_{I}^{k+1} - \boldsymbol{q}_{I}^{*} \right\|^{2} \leq \left\| \boldsymbol{q}_{I}^{k} - \boldsymbol{q}_{I}^{*} \right\|^{2}.$$
(51)

#### 5.2 Stability Analysis

The stability analysis of our CFIK algorithm will be discussed based on solely three tasks of a redundant manipulator(the DH parameters are listed in Appendix A):

- 1. The position of the end-effector  $\xi_p^t \in \mathbb{R}^3$ .
- 2. The position of the wrist  $\xi_y^5 \in \mathbb{R}$ , along the *y* axis of the base frame  $\xi^0$ .
- 3. The position of the elbow  $\xi_x^4 \in \mathbb{R}$ , along the *x* axis of the base frame  $\xi^0$ .

The task errors  $\boldsymbol{e} \in \mathbb{R}^{3+1+1}$  is

$$\boldsymbol{e} = \left[ \left( \boldsymbol{e}_p^E \right)^T \left( \boldsymbol{e}_y^5 \right)^T \left( \boldsymbol{e}_x^4 \right)^T \right]^T, \tag{52}$$

where  $e_p^E \in \mathbb{R}^3$ ,  $e_y^5 \in \mathbb{R}$  and  $e_x^4 \in \mathbb{R}$  are the task error of three tasks, respectively. There is a dynamic obstacle in the working environment with the position of [0.35, -0.67, 0.7] (in meters), the velocity of [0, 0.05, 0] (in m/s) and the radii of 0.1m. The initial joint configuration of the manipulator is given as

$$q = [-0.18, -1.0, 1.19, 1.94, -0.67, 1.03, 0.50]$$
 (in rads),  
(53)

The desired positions of three tasks are assigned:

$$\xi_p^E = [0.168867, -0.010499, 0.784523]^T$$
 (in meters), (54)

 $\xi_y^5 = [-0.14]$  (in meters), (55)

$$\xi_x^4 = [0.078]$$
 (in meters). (56)

Then, the Lyapunov function candidate is defined as

$$V(\boldsymbol{e}) = \frac{1}{2}\boldsymbol{e}^T\boldsymbol{e}$$
(57)

whose time derivative is

$$\dot{V} = -\boldsymbol{e}^{T} \begin{bmatrix} \mathbf{J}_{p}^{E} \\ \mathbf{J}_{y}^{5} \\ \mathbf{J}_{x}^{4} \end{bmatrix} \dot{\boldsymbol{q}}.$$
(58)

With Eq. 26 and 30, the corresponding Jacobian  $\mathbf{J}_p^E \in \mathbb{R}^{3 \times n}$  of the primary task is given by

$$\mathbf{J}_{p}^{E} = \mathbf{J}_{p}^{\dagger} - \frac{\gamma \nabla \hat{\psi}_{m}(\boldsymbol{q}_{I})}{\boldsymbol{e}_{p}^{E}}.$$
(59)

The Jacobians  $\mathbf{J}_{y}^{5} \in \mathbb{R}^{1 \times n}$  and  $\mathbf{J}_{x}^{4} \in \mathbb{R}^{1 \times n}$  can be easily computed using Eq. 26.

Based on our CFIK algorithm, the Lyapunov function V and the norm error of those three tasks versus the motion time are shown in Fig. 5. It can be seen that the Lyapunov function and the norm errors decrease to zero with the value of the time horizon increases. More specifically, we can take into account some parameters similar to the approach in [41], gives

$$\underline{\lambda}_{22} = \sigma_{\min} \left( \mathbf{J}_{y}^{5} N_{p}^{E} \left( \mathbf{J}_{y}^{5} \right)^{\dagger} \right), \tag{60}$$

$$\underline{\lambda}_{33} = \sigma_{\min} \left( \mathbf{J}_x^4 \overline{N} \left( \mathbf{J}_x^4 \right)^{\dagger} \right), \tag{61}$$

$$\lambda_y^5 = \sigma_{\min}\left(\Lambda_y^5\right),\tag{62}$$

$$\lambda_x^4 = \sigma_{\min}\left(\Lambda_x^4\right),\tag{63}$$

in which

$$N_p^E = \mathbf{I} - \left(\mathbf{J}_p^E\right)^{\dagger} \mathbf{J}_p^E, \ \overline{N} = N_p^E \left(\mathbf{I} - \left(\mathbf{J}_y^5\right)^{\dagger} \mathbf{J}_y^5\right), \tag{64}$$

 $\sigma_{\min} (\sigma_{\max})$  is the smallest (largest) singular value of the matrix, **I** is the identity matrix of proper size,  $\Lambda_y^5$  and  $\Lambda_x^4$  are the gain matrices of the tasks  $\xi_y^5$  and  $\xi_x^4$ , respectively. In



Fig. 5 Time history of the Lyapunov function and the norm error of three tasks





this work, the values of  $\lambda_y^5$  and  $\lambda_x^4$  are obtained using the estimates

$$\lambda_y^5 > \frac{\overline{\lambda}_{21}^2}{4\underline{\lambda}_{22}},\tag{65}$$

$$\lambda_x^4 > \max\left\{\frac{\overline{\lambda}_{31}^2}{4\underline{\lambda}_{33}}, \ \frac{\overline{\lambda}_{32}^2}{4\underline{\lambda}_{22}\underline{\lambda}_{33}}\right\},\tag{66}$$

where  $\overline{\lambda}_{21} = \sigma_{\max} \left( \mathbf{J}_{y}^{5} \left( \mathbf{J}_{p}^{E} \right)^{\dagger} \right), \overline{\lambda}_{31} = \sigma_{\max} \left( \mathbf{J}_{x}^{4} \left( \mathbf{J}_{p}^{E} \right)^{\dagger} \right),$ and  $\overline{\lambda}_{32} = \sigma_{\max} \left( \mathbf{J}_{x}^{4} N_{p}^{E} \left( \mathbf{J}_{y}^{5} \right)^{\dagger} \right)$ . Given the definitions of Eqs. 60–66, the values of  $\underline{\lambda}_{22}, \underline{\lambda}_{33}, \lambda_{y}^{5}$  and  $\lambda_{x}^{4}$  with respect to time are shown in Fig. 6.

# **6** Results

#### 6.1 Implementation and Performance

This section presents the results from various simulation and physical experiments that highlight the performance of our CFIK algorithm in a various working environments, which include multiple redundant manipulators and dynamic obstacles. The experiments are initialized by decomposing the right arm of Baxter robot into a series of spheres to generate a micromanipulator dynamic model. As shown in Fig. 7, the micromanipulator dynamic model contains five spheres from sphere  $S_1$  (with frame  $\xi^{S_1}$ ) to sphere  $S_5$  (with frame  $\xi^{S_5}$ ), and the base coordinate system with frame  $\xi^0$ is fixed to the ground. In addition, the seven revolute joints of the Baxter arm are represented in the following manner:  $q = [q_1, \ldots, q_7]$ , which parametrize the rotation about axis  $z_i$  (i = 1, ..., 7) in link coordinate frame  $\xi^i$  respectively. The DH parameters of those spheres and the right arm model are listed in Appendix A, which can be used to describe the rigid transforms between successive coordinate systems.

The CFIK algorithm does not take all sphere obstacles into account, as the constraint factor  $\psi_m$  is always a negative real number when the distance between two spheres is sufficiently large. Therefore, a neighboring region  $NR_m$ around the current position of sphere  $S_m$  is defined and we only consider the sphere obstacle  $A_h$  inside this region. Furthermore, the size of  $NR_m$  is determined by the velocity of each obstacle and the size of time-step  $\tau$ . Unless otherwise noted, a neighboring region of  $NR_m = 5r_m$  is used during the experiments. The choice of  $\tau$  must be big enough to make sure that there are no collisions in each movement step. However, a big value of  $\tau$  may lead to oscillations in the joint trajectory. In these experiments, the time window is  $\tau = 2$ , which resulted in good solutions.

Then, the desired position and orientation of the endeffector are used to compute positioning error and use the VO to define the constraint factor for all spheres in each manipulator. A new joint configuration is computed by iterating the Eq. 30. The loop terminates as soon as the constraints of positioning error and constraint factors



**Fig. 7** The general method of combined the micromanipulator dynamic model and the VO for the right arm of Baxter robot. The 3-D environment contains two dynamic obstacles  $A_1$  and  $A_2$ .  $VO_{S_3|A_1}^{\tau}$  and  $VO_{S_3|A_2}^{\tau}$  represent the velocity obstacle for sphere  $S_3$  (orange sphere) induced by the obstacles  $A_1$  and  $A_2$  in the time window  $\tau$ . The green sphere represents the neighbor region  $NR_3$  of sphere  $S_3$ 

 Table 2
 A schematic overview of our approach for finding collision-free IK solutions

**Input:**  $\mathcal{R}$ : List of redundant manipulators,  $\mathcal{A}$ : List of obstacles,  $\varXi$ :Inverse kinematics query.

loop
for all $R_j \in \mathcal{R}$ and $A_h \in \mathcal{A}$
Construct micromanipulator dynamic models
end for
for all $S_{j,m} \in R_j$ such that $S_{l,m} \in R_l$ and $j \neq l$ do
Construct $VO_{S_{im} S_{lm}}^{\tau}$ and $VO_{S_{im} A_{h}}^{\tau}$
Compute gradient direction $\gamma^k \nabla \hat{\psi}_{j,m}(\boldsymbol{q}_I^k)$
end for
for all $R_j \in \mathcal{R}$ do
Compute joint state variation $\Delta q_{i}^{k}$
Compute new joint configuration $q_{j}^{k+1}$
Compute positioning error $e_j$
Compute collision-free constraint factor $\boldsymbol{\psi}_j = (\psi_{j,1}, \dots, \psi_{j,M})$
if $q_i^{k+1}$ fulfils stopping criterion in Algorithm 2 then
Apply joint configuration $q_i^{k+1}$ to $R_j$ as a collision-free IK
solution
end if
end for
end loop

are satisfied. The general CFIK algorithm for multiple redundant manipulators is shown in Table 2.

Our approach is implemented and evaluated in three challenging scenarios of experimented redundant manipulators to demonstrate the avoidance behavior. In our experiments, a path planner of the end-effector is needed, which is out of the scope of our current formulation. The end-effector paths using this method are given by user. The joint configuration  $q^0$  and end-effector starts from a known position. The desired Cartesian error is  $10^{-6}$  m in any of the dimensions, the step size  $\gamma^k = 0.5$  and the timeout  $t_{max} = 5$  ms.

**A. Dynamics Obstacle** (See Figs. 8, 9 and 10) One manipulator (7-DOF) avoid collisions with a human model. The

human model is modeled as a series of spheres. Only that spheres are considered with respect to collision avoidance. The DH parameters of those spheres are listed in Appendix B. At this level, the human model takes the role of a dynamic obstacle, moving toward and against the Baxter robot with a planned walking path, which can be expressed by the planar position and orientation  $r(x_H, y_H, \theta_H)$ . In addition, the end-effector of right arm must maintain the task constraint in the Cartesian space.

**B. Dual-Arm Robot Coordination** (See Figs. 11 and 12) Two robot arms (14-DOF) working independently in a shared environment and do not collide with each other. The left arm of Baxter robot is modeled as a series of spheres, which are introduced in the workspace as obstacles that the right arm has to avoid. At the beginning of the movement, two arms are in the untucked position. The DH parameters of sphere obstacles and left arm model are listed in Appendix C. The joint motion command for the left arm was generated based on traditional closed-loop IK algorithm, and the joint motion command for the right arms must maintain the task constraint in the Cartesian space. As soon as the right arm moves three boxes to the new positions on the table, the left arm can complete its motion.

**C. Three Arms Coordination** (See Figs. 13 and 14) Three arms (21-DOF) working independently in a shared environment and do not collide with each other. Those three arms are in the untucked position at the beginning of movement. The Kuka LBR iiwa articulated robot arm has been considered as obstacles that the Baxter's two arms have to avoid. The DH parameters of those spheres and the Kuka arm model are listed in Appendix D. The joint motion command for the Kuka arm was generated based on traditional closed-loop IK algorithm, and the joint motion command for the Baxter's two arms was generated based on our CFIK algorithm. In addition, the end-effector of three arms must maintain the task constraint in the Cartesian space.

These results show that the proposed algorithm is feasible for the redundant manipulators to perform tasks with

**Fig. 8** a View of the working environment with a Baxter robot and a human model. The endeffector path is also shown. **b** The micromanipulator dynamic model for the right arm of Baxter robot (orange spheres) and the human model (red spheres)





**Fig. 9** Simulation of 3-D collision-free motion for picking & placing use-case by right arm. The right arm is in the untucked position before the movement. There is a human model walking in the working

environment. Our method can generate collision-free IK solutions for the right arm and complete the place motion



**Fig. 10** Experiment of 3-D collision-free motion for picking & placing use-case. (a) Paths for right arm joints  $q_1$ - $q_7$  and human motion parameters  $x_H$ ,  $y_H$  and  $\theta_H$ . (b-e) The CFIK algorithm has been





successfully performed by the real Baxter robot. The human and the right arm will meet, and joints  $q_1$ - $q_3$  diverge significantly to avoid human

Fig. 11 a View of the simulation environment with a Baxter robot. The end-effector paths of right arm (black curve) and left arm (blue curve) are also shown. b The micromanipulator dynamic model for the left arm (red spheres) and right arm (orange spheres)





**Fig. 12** Experiment of 3-D collision-free motion for moving box use-case of both arms of Baxter robot (b-e). (a) Paths for joints  $q_1$ - $q_7$  of the left and right arms. The arms will meet, and right arm joints  $q_2$  and  $q_3$  diverge significantly to avoid left arm







**Fig. 14** Experiment of 3-D collision-free motion for picking & placing use-case of both arms of Baxter robot (**b-e**). **a** Paths for joints  $q_1$ - $q_7$  of Kuka arm, left arm and right arm. The arms will meet, and left and right arm joints  $q_1$ - $q_5$  diverge significantly to avoid Kuka arm

Table 3A comparison of the<br/>computation time for the six<br/>algorithms tested across<br/>various DOF

Technique	DOF	e (Position/Rotation, m)	Environment	Avg Time(ms)
FR-RRT	6 + 2	$10^{-6}/10^{-6}$	static obstacles	≥ 2.5
TS-RRT	6 + 2	$10^{-4}/10^{-4}$	static obstacles	$\geq 2.5$
RGD-RRT	6 + 2	$10^{-5}/10^{-5}$	static obstacles	$\geq 5$
PRM	6	/	static obstacles	< 10
PSO	7	$10^{-6}/10^{-6}$	dynamic obstacles	2.01
CFIK	7	$10^{-6}/10^{-6}$	dynamic obstacles	< 1.5

collision avoidance in dynamic environments. In these simulation and physical experiments, there is no collisions occur during operations. As Fig. 8 shows, consider the right arm of Baxter robot with seven joints and the task space constraints with six DOF (i.e.,  $\xi^t \in \mathbb{R}^6$ ). This manipulator uses the joint  $q_1$ - $q_5$  to avoid obstacles, i.e., i = 5 in Eq. 29, as shown in Fig. 10a. Furthermore, all joint angles are within their specified limit constraints and there is no sudden activation of each joint, as shown by the joint space path in Figs. 12a and Fig. 14a.

#### **6.2 Performance Analysis**

As introduced in Section 4, the CFIK algorithm uses a locally optimal collision avoidance scheme, which computes the velocity vector without any collisions for each sphere independently. If this method produces a valid velocity vector for each sphere, then this proves by the VO that it is possible to work in a 3-D workspace among other manipulators and/or moving obstacles. If this method fails, we assume it is impossible to operate in dynamic environments and reject this IK solution.

The CFIK algorithm is implemented in C++ based on an ROS Kinetic Kame. The code runs on a single notebook CPU i7-7700HQ at 2.81 GHz. The computational time is a critical variable when multiple redundant manipulators work cooperatively in a shared workspace with dynamic obstacles. To compute collision-free IK solutions, some algorithms, such as RRT [42] and PRM [43], operate by the randomized motion planning algorithms. Likewise, an early version of this work using PSO and RVO is presented in [44]. However, in the case of random selection, these algorithms make the computational cost grow. Our CFIK algorithm is a computationally efficient method. The algorithm creates a gradient of the function  $\psi_m(q_I)$  as a secondary constraint, and projects it to the Newton-based convergence with the task space and joint limit constraints. This method is found to be faster than related randomized motion planning approaches; achieving computational performance similar to closed-loop IK algorithms with no avoid collisions. To further demonstrate the efficiency of our algorithm, Table 3 summarizes the average computation time of the six algorithms for standard manipulation chains. Note that our approach can generate collisionfree IK solutions in dynamic environments and can handle redundant manipulators.

Furthermore, to avoid collisions, the relationship between the VO and the joint space motion is identified instead of defining the distance functions for obstacles such as [45] and [46]. This has been done for the redundant manipulators with different dynamic environments. To our knowledge, there is no state-of-the-art IK algorithm that is capable of the joint space motion planning of multiple redundant manipulators using the velocity of each sphere in a shared workspace, which is a huge advantage.

### 7 Conclusion and Future Work

In this paper, a new CFIK algorithm for redundant manipulators has been presented. The algorithm can be implemented in real-time for the control of multiple redundant manipulators in dynamic scenes and is based on an IK solution that consider task space, joint limit and collision-free constraints. Moreover, convergence rate and stability analysis have been discussed to demonstrate the feasibility of the proposed approach. In simulation and physical experiments, a Baxter robot (each arm has seven DOF) was used to demonstrate the efficiency of the CFIK algorithm in different dynamic workspaces, which may include the human worker or other moving robots. The results have shown that our algorithm requires less computation and is able to compute collision-free, smooth IK solutions for all manipulators in the workspace.

In future, the research would aim at evaluating the performance of our method in dynamic environment with other complex obstacles, that takes into account more than simply sphere obstacle, and apply them to the micromanipulator dynamic model formulation. In addition, our implementation considers only 7-DOF redundant manipulators, but we would like to adapt the CFIK algorithm for other kinematic configurations, in particular snake robots in [14] and hyper-redundant manipulators in [21] as they have similar kinematic parameters.

Acknowledgements This work has been supported by the National Natural Science Foundation of China [Project Number: 91848101] and the Foundation for Innovative Research Groups of the National Natural Science Foundation of China [Grant Number: 51521003].

Supplementary Information The online version contains supplementary material available at (10.1007/s10846-020-01279-w)

#### **Compliance with Ethical Standards**

**Conflict of interests** The authors declare that they have no conflict of interest.

$i/S_m$	$\alpha_{i-1}/\alpha_{m-1}$ (rad)	$a_{i-1}/a_{m-1}(m)$	$d_i/d_m(\mathbf{m})$	$\theta_i/\theta_m$ (rad)	joint limit(rad)	$r_m(m)$
0	0	0	0	-0.7854	/	/
1	0	0.83288	0.129626	$q_1$	(-1.7016, +1.7016)	/
2	-1.5708	0.069	0.27053	$q_2$	(-2.147, +1.047)	/
3	1.5708	0.102	0	$q_3 + 1.5708$	(-3.0541, +3.0541)	/
$S_1$	0	0	0	0	/	0.08
$S_2$	0	0	0.128	0	/	0.07
$S_3$	0	0.069	0.134	0	/	0.085
4	-1.5708	0	0	$q_4 - 1.5708$	(-0.05, +2.618)	/
5	1.5708	0.10359	0	$q_5 + 1.5708$	(-3.059, +3.059)	/
$S_4$	0	0	0	0	/	0.065
$S_5$	0	0	0.16641	0	/	0.065
6	-1.5708	0.01	0.10359	$q_6 - 1.5708$	(-1.5707, +2.094)	/
7	1.5708	0	0.115975	$q_7 + 1.5708$	(-3.059, +3.059)	/

# Appendix A: DH Parameters of Micromanipulator Dynamic Model and Right Arm Model

# Appendix B: DH Parameters of the Human Model

$\overline{A_h}$	$\alpha_{h-1}$ (rad)	$a_{h-1}(m)$	$d_h(\mathbf{m})$	$\theta_h$ (rad)	$r_h(m)$
$A_1$	0	0	0.7	0	0.1
$A_2$	0	0	-0.25	0	0.08
$A_{3}(A_{6})$	+(-)1.5708	0	0.2	-(+)1.5708	0.08
$A_4(A_7)$	0	0	0.2	0	0.08
$A_{5}(A_{8})$	0	0	0.15	0	0.07

#### $i/A_h$ $\alpha_{i-1}/\alpha_{h-1}$ (rad) $a_{i-1}/a_{h-1}(m)$ $d_i/d_h(\mathbf{m})$ $\theta_i/\theta_h$ (rad) joint limit(rad) $r_h(m)$ 0 0 0 0.7854 0 / / 1 0 0.83288 0.129626 $q_1$ (-1.7016, +1.7016)1 2 -1.57080.069 0.27053 (-2.147, +1.047)/ $q_2$ 3 1.5708 0.102 0 $q_3 + 1.5708$ (-3.0541, +3.0541)/ 0 0 0 0 1 0.08 $A_1$ 0 0 0.128 0 / 0.07 $A_2$ 0.069 0.134 0 0.085 $A_3$ 0 1 $q_4 - 1.5708$ -1.57080 0 / 4 (-0.05, +2.618)5 1.5708 0.10359 0 $q_5 + 1.5708$ (-3.059, +3.059)/ 0 0 0 0 1 0.065 $A_4$ 0 0 0.16641 0 0.065 $A_5$ 1 0.10359 0.075 $A_6$ 0 0.01 0 / 6 -1.57080 0 $q_6 - 1.5708$ (-1.5707, +2.094)/ 7 1.5708 0 (-3.059, +3.059)0.115975 $q_7 + 1.5708$ / 0 0 0.015 0 0.055 $A_7$ / 0 0 $A_8$ 0 0.124 1 0.055 0 0 0.115 0 / 0.055 $A_9$

# Appendix C: DH Parameters of Sphere Obstacles and Left Arm Model

# Appendix D: DH Parameters of Sphere Obstacles and Kuka Arm Model

$i/A_h$	$\alpha_{i-1}/\alpha_{h-1}$ (rad)	$a_{i-1}/a_{h-1}(m)$	$d_i/d_h(\mathbf{m})$	$\theta_i/\theta_h$ (rad)	joint limit(rad)	$r_h(\mathbf{m})$
1	1.5708	0	0.36	$q_1$	(-2.967, +2.967)	/
2	-1.5708	0	0	$q_2$	(-2.094, +2.094)	/
$A_1$	0	0	0	0	/	0.08
$A_2$	0	0	0.21	0	/	0.07
3	-1.5708	0	0.21	$q_3$	(-2.967, +2.967)	/
4	1.5708	0	0	$q_4$	(-2.094, +2.094)	/
$A_3$	0	0	0	0	/	0.075
$A_4$	0	0	0	0.21	/	0.07
5	1.5708	0	0.19	<i>q</i> 5	(-2.967, +2.967)	/
6	-1.5708	0	0	$q_6$	(-2.094, +2.094)	/
$A_5$	0	0	0	0	/	0.075
7	0	0	0.126	$q_7$	(-2.967, +2.967)	/
<i>A</i> <sub>6</sub>	0	0	0	0.02	/	0.065

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**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

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