



# Safety of a single bolus administration of heparin without the measurement of activated clotting time during cryoballoon ablation: a prospective randomized controlled trial

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## Abstract

**Background** Single-shot ablation has emerged as an effective technique for index atrial fibrillation (AF) ablation, with an advantage of short procedure time. Although recent guidelines recommend peri-procedural uninterrupted oral anticoagulants (OACs), the intra-procedural anticoagulation strategy remains uncertain under non-vitamin K OACs (NOACs). We investigated procedural safety of a single bolus administration of heparin without activated clotting time (ACT) measurement during cryoballoon ablation (CBA).

**Methods** Two hundred patients ( $64.2 \pm 10.0$  years, 70% with non-paroxysmal AF) who underwent CBA with uninterrupted NOACs were randomly assigned to No-ACT group and ACT group. A bolus of heparin (100 U/kg) was routinely administered immediately after transseptal puncture. In the ACT group, an additional injection of heparin (30 U/kg) was administered if ACT at 30 min after the initial bolus was  $< 300$  s.

**Results** There were no differences in baseline characteristics including CHA<sub>2</sub>DS<sub>2</sub>-VASc score between the two groups. The left atrium indwelling and procedure times were  $60.4 \pm 13.1$  min and  $78.9 \pm 13.9$  min, respectively, and not significantly different between the two groups. The mean ACT was  $335.2 \pm 59.9$  s in the ACT group. Any bleeding rate was 3.2% in all patients and there was no statistically significant difference in bleeding complications between the two groups. In the ACT group, groin hematoma, laryngopharyngeal bleeding, and hemoptysis occurred in 3, 1, and 1 patient, respectively. Cardiac tamponade occurred in 1 patient in the No-ACT group. No thromboembolic events occurred during the 30-day follow-up after CBA.

**Conclusions** Single bolus administration of heparin without ACT measurement is a feasible anticoagulation strategy for CBA in patients with uninterrupted NOAC intake.

**Keywords** Activated clotting time · Atrial fibrillation · Cryoballoon ablation · Heparin · Non-vitamin K oral anticoagulant

## 1 Introduction

Pulmonary vein isolation (PVI) is an effective rhythm control therapy for atrial fibrillation (AF) [1, 2]. However, procedure-related complications still cannot be ignored and thromboembolic complications remain a major limitation of AF ablation. Patients undergoing AF ablation are at a higher risk of intra- and post-procedural thromboembolic events; therefore, peri-procedural anticoagulation is necessary.

Since non-vitamin K oral anticoagulants (NOACs) have been commonly used for stroke prevention of AF, recent studies examined the peri-procedural management of NOACs in patients scheduled to undergo radiofrequency catheter ablation (RFCA) for AF [3–6]. Based on these results, the performance of the ablation procedure without interruption of oral anticoagulant (OAC) is recommended for patients undergoing AF ablation who have been therapeutically anticoagulated with OAC including NOACs [7].

In terms of intra-procedural anticoagulation management, unfractionated heparin should be administered prior to or immediately following transseptal puncture and dose adjustment is recommended to achieve and maintain an activated clotting time (ACT) of at least 300 s [8]. However, this recommendation is based on results of studies conducted using

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vitamin K antagonists (VKA) in a setting of point-by-point AF ablation [9]. Moreover, the majority of recommendations were made based on results from studies evaluating the efficacy and safety of RFCA and not on diverse modalities for AF ablation. Several modalities use different energy sources to achieve PVI. Cryoballoon ablation (CBA), a balloon-based single shot technique for PVI, has shown the similar efficacy and safety profile with a relatively short learning curve compared to RFCA [10–14]. Previous randomized clinical trials (RCTs) demonstrated that CBA had a shorter procedure time and lower rates of re-hospitalization, cardioversion, and repeat ablation than RFCA. Therefore, the use has exponentially increased.

However, no data have been available in terms of anticoagulation strategy during CBA for patients who received uninterrupted NOACs. We aimed to investigate the safety of a simple anticoagulation strategy using a single bolus administration of heparin without ACT monitoring compared to that of the conventional approach using ACT-guided heparin administration in patients who underwent CBA with uninterrupted NOACs.

## 2 Methods

### 2.1 Study population

This trial was a randomized, open-label, single-center study that included 200 patients undergoing first-time CBA for drug-refractory AF who had been taking once-daily rivaroxaban ( $n = 100$ ) or edoxaban ( $n = 100$ ) between July 2019 and June 2020. Paroxysmal AF (PAF) was defined as a self-terminating AF that lasted  $\leq 7$  days. The exclusion criteria were patients younger than 18 years, mechanical prosthetic

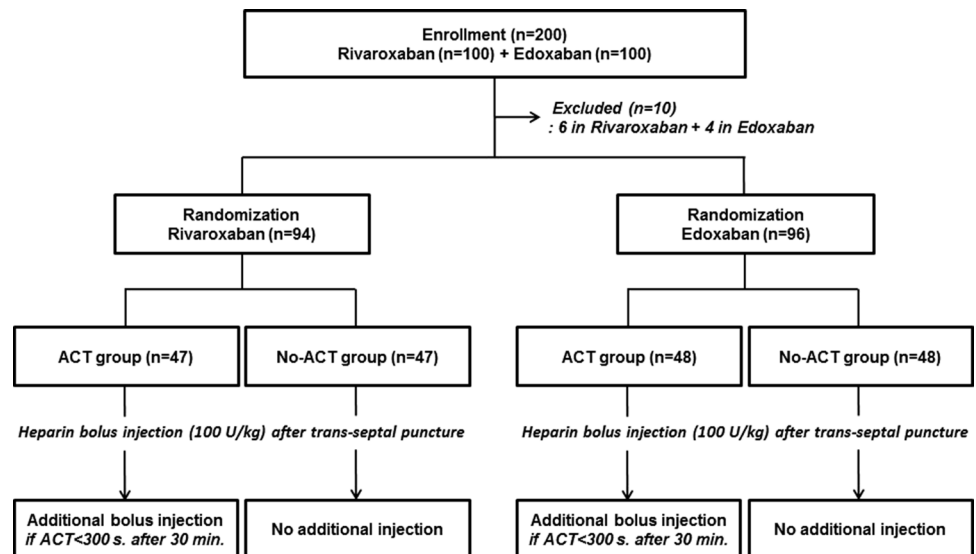
valve or moderate-severe mitral stenosis, a history of AF ablation or cardiac surgery, chronic kidney disease (creatinine clearance rate [CCr]  $< 30$  mL/min or dialysis), an acute stroke within 6 months, and a refusal to take NOACs in the long-term period. The study protocol was approved by the institutional review board of each institute and complied with the principles of the Declaration of Helsinki. Written informed consent was obtained from all enrolled patients.

### 2.2 Anticoagulation

Figure 1 shows a flow diagram of the study population. Of the enrolled participants, we excluded 6 and 4 patients taking rivaroxaban and edoxaban, respectively, because they did not have continuous NOACs; therefore, 190 patients completed the study. During the study period, all enrolled patients took their scheduled dose of rivaroxaban 20 mg (15 mg if CCr was  $< 50$  mL/min) or edoxaban 60 mg (30 mg if they met one or more dose reduction criteria) [15] at night (recommended hora somni).

Patients with or without additional peri-procedural ACT-guided heparin administration were randomly assigned in a 1:1 ratio to an ACT or a No-ACT group, respectively. Systemic anticoagulation with NOACs has been initiated at least 3 weeks before the procedure, during the procedure, and at least 2 months post-ablation. All patients received intra-procedural anticoagulation with intravenous unfractionated heparin. A bolus of heparin (100 U/kg) was routinely administered immediately after transseptal puncture in all patients. In the ACT group, an additional injection of heparin (30 U/kg) was administered if the ACT at 30 min after the initial bolus administration was  $< 300$  s. In the No-ACT group, ACT was not measured and no additional heparin was administered.

**Fig. 1** Flow diagram of study population



## 2.3 Ablation procedure

Deep sedation was performed with a continuous infusion of propofol and fentanyl. A 9-French (Fr) long sheath, a 7-Fr long sheath, and an 8.5-Fr long sheath (SL-1) were introduced through the right femoral vein. Intracardiac echocardiography (ICE; ViewFlex™, Abbott, Inc., USA) was used to identify the absence of thrombi in the left atrium (LA) or LA appendage before transseptal puncture and to guide the appropriate transseptal puncture for CBA in all patients. After a single transseptal puncture, the SL-1 sheath was exchanged with a 15-Fr steerable sheath (FlexCath™, Medtronic, USA). The cryoballoon (CB) catheter (CB2; Arctic Front Advance™, Medtronic), assembled with an intraluminal mapping catheter (20 mm, Achieve™, Medtronic), was gently advanced through the steerable sheath until it reached the distal CB shaft marker to the entrance of the steerable sheath. The CB catheter was advanced over the intraluminal mapping catheter inserted into each PV and inflated. After advancing the inflated CB to each PV antrum, Doppler ICE imaging was used to identify the complete occlusion of each PV. With best-fit occlusion, the mapping catheter was used to obtain PV potential recordings for real-time monitoring of PVI. The dosing regimen was different depending on the time to isolation (TTI, time from freeze initiation until loss of PV potentials). An initial freeze was delivered for 3 min if the TTI was  $\leq 30$  s. Otherwise, the initial freeze was delivered for 4 min. The freeze stopped and reposition of the CB catheter was performed if the TTI was not achieved or the temperature did not reach  $-40$  °C for 60-s freezing. After PVI, a bonus freeze was applied for 2 min at the more antral side of each PV to create a wide antral circumferential ablation. To avoid phrenic nerve palsy, diaphragmatic stimulation was achieved by pacing the ipsilateral phrenic nerve with a 900-ms cycle and a 20-mA output during right-sided CBA. A fisherman's knot suture closure without protamine injection was performed to obtain femoral venous hemostasis following sheath removal. After 6 h of immobilization, puncture sites were inspected. NOAC was taken at the scheduled time on the day of the procedure after confirming complete hemostasis or the absence of bleeding complications.

## 2.4 Study endpoint

The study endpoint was the safety outcomes including thromboembolic events and bleeding complications during the procedure and 30 days following CBA. Thromboembolism was diagnosed by a combination of both the clinical situation and radiologic studies. Thromboembolic events were defined as stroke, transient ischemic attack (TIA), or systemic embolism (SE). Bleeding complications were defined as any procedure-related bleeding.

## 2.5 Statistical analysis

The sample size was arbitrary adopted based on previous study conducted by catheter ablation [3] because no data was available in terms of anticoagulation strategy during CBA for patients who received uninterrupted NOACs. Central randomization at a 1:1 ratio was performed using computer-generated random permutation sequences to avoid any potential bias. All normally distributed continuous variables are expressed as mean and standard deviation (SD) and were compared using Student's *t*-test. Categorical variables were expressed as numbers and percentages. Categorical variables are reported as counts and proportions and were analyzed using Pearson's chi-square or Fisher's exact tests, as appropriate. A *P*-value of  $< 0.05$  was considered statistically significant. All statistical analyses were performed using SPSS Statistics for Windows, version 26.0 (IBM Corp., Armonk, NY, USA).

## 3 Results

### 3.1 Baseline characteristics

Baseline characteristics of enrolled patients are shown in Table 1. The mean age was  $64.2 \pm 10.0$  years. The majority of patients were male (80.0%) and had non-PAF (70.0%). The mean CHA<sub>2</sub>DS<sub>2</sub>-VASc score was  $2.6 \pm 1.7$ , and CCR was  $76.2 \pm 24.4$  mL/min. There were no significant differences in the medical history and echocardiographic parameters between the two groups, except for vascular disease ( $P=0.035$ ). Compared to the ACT group, concomitant use of anti-platelet agent was higher in the No-ACT group, but was not statistically different between the two groups.

### 3.2 Procedural outcomes

The procedural data are presented in Table 2. Successful PVI was achieved in all enrolled patients. The average total procedure and LA indwelling times were  $78.9 \pm 13.9$  min and  $60.4 \pm 13$  min, respectively. The mean ablation and fluoroscopy times were  $27.7 \pm 9.4$  min and  $3.3 \pm 3.5$  min, respectively. In the ACT group, the mean ACT at 30 min after the initial bolus administration of heparin (100 U/kg) was  $335.2 \pm 59.9$  s, and additional heparin injection was performed in 31 patients (33%). There were no significant differences in the total procedure time, LA indwelling time, ablation time, fluoroscopy time, and initial heparin dose between the two groups, except for the total heparin dose ( $P<0.001$ ). Figure 2 shows the mean heparin dose and mean ACT between patients taking rivaroxaban and those taking edoxaban in the ACT group. The mean ACT of patients taking rivaroxaban was significantly lower than that of

**Table 1** Baseline characteristics in enrolled patients

	Overall (n=190)	ACT (n=95)	No-ACT (n=95)	P-value
Age, years	64.2±10.0	64.0±9.7	64.4±10.4	0.795
Sex, male (%)	152 (80.0)	75 (78.9)	77 (81.1)	0.717
Body weight, kg	70.5±11.8	70.8±12.5	70.3±11.2	0.760
BMI, kg/m <sup>2</sup>	25.1±3.2	25.3±3.2	24.9±3.2	0.461
Creatinine clearance, mL/min	76.2±24.4	77.7±28.1	73.7±24.0	0.282
Type of AF (%)				0.082
Paroxysmal	57 (30.0)	34 (35.8)	23 (24.2)	
Non-paroxysmal	133 (70.0)	61 (64.2)	72 (75.8)	
Medical history (%)				
Heart failure	57 (30.0)	25 (26.3)	32 (33.7)	0.268
Hypertension	137 (72.1)	66 (69.5)	71 (74.7)	0.419
Diabetes mellitus	60 (31.6)	28 (29.5)	32 (33.7)	0.532
Stroke/TIA	25 (13.2)	13 (13.7)	12 (12.6)	0.830
Vascular disease	33 (17.4)	11 (11.6)	22 (23.2)	0.035
CHA <sub>2</sub> DS <sub>2</sub> -VASc	2.6±1.7	2.5±1.6	2.8±1.8	0.281
NOAC (%)				1.000
Rivaroxaban	94 (49.5)	47 (49.5)	47 (49.5)	
Edoxaban	96 (50.5)	48 (50.5)	48 (50.5)	
Anti-platelet agent	21 (11.1)	7 (7.4)	14 (14.7)	0.105
Echocardiography				
LA dimension, mm	42.7±6.0	42.0±6.5	43.2±5.3	0.207
LVEF, %	57.7±8.2	57.4±8.0	58.0±8.5	0.597

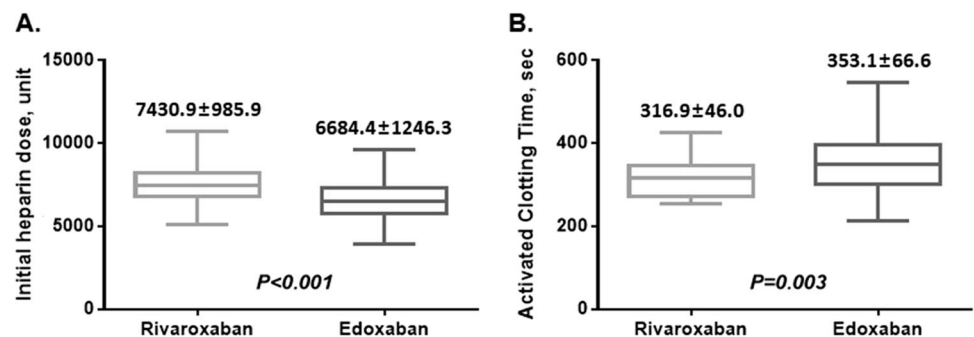
AF, atrial fibrillation; BMI, body mass index; CHA<sub>2</sub>DS<sub>2</sub>-VASc, congestive heart failure, hypertension, age ≥ 75 (doubled), diabetes mellitus and prior ischemic stroke, transient ischemic attack or thromboembolism (doubled), vascular disease, age 65 to 74, sex category (female); LA, left atrium; LVEF, left ventricle ejection fraction; NOAC, non-vitamin K oral anticoagulant; TIA, transient ischemic attack

**Table 2** Procedural data

	Overall (n=190)	ACT (n=95)	No-ACT (n=95)	P-value
LA indwelling time, min	60.4±13.1	62.1±12.8	58.8±13.5	0.084
Procedure time, min	78.9±13.9	79.8±12.9	78.0±14.9	0.375
Ablation time, min	27.7±9.4	28.7±9.1	26.7±9.5	0.135
Fluoroscopy time, min	3.3±3.5	3.6±3.9	3.0±2.9	0.208
Initial heparin dose, unit	7053.7±1182.8	7080.0±1245.0	7027.4±1123.2	0.760
Total heparin dose, unit	7400.0±1479.7	7772.5±1691.2	7027.4±1123.2	<0.001
ACT, sec		335.2±59.9	-	

ACT, activated clotting time; LA, left atrium

**Fig. 2** Comparison of **A** initial unfractionated heparin dose and **B** activated clotting time at 30 min after initial bolus administration of heparin between two groups



patients taking edoxaban ( $316.9 \pm 46.0$  s vs.  $353.1 \pm 66.6$  s,  $P=0.003$ ); nonetheless, the initial heparin dose was significantly higher in patients taking rivaroxaban ( $P < 0.001$ ).

### 3.3 Safety outcomes

Table 3 shows procedure-related complications. During the 30-day period following CBA, none of the enrolled patients had stroke, TIA, or SE events. Any bleeding events occurred in 6 patients, 5 in the ACT group and 1 in the No-ACT group, but there was no significant difference between the two groups. In the ACT group, groin hematoma developed in 3 patients, and laryngopharyngeal bleeding and hemoptysis developed in each 1 patient. In the No-ACT group, cardiac tamponade requiring urgent pericardial drainage developed in 1 patient during linear ablation at the cavo-tricuspid isthmus. None of the enrolled patients developed fatal complications. Table 4 shows bleeding events in patients with or without an additional administration of heparin. Any bleeding event rate was higher in patients with an additional heparin injection (9.7%) compared to those without (1.9%), but there was no statistical difference between the groups ( $P=0.056$ ).

## 4 Discussion

To the best of our knowledge, our study is the first prospective RCT to evaluate the safety of a single bolus administration of heparin (100 U/kg) without ACT monitoring under the condition of uninterrupted NOACs in patients with AF undergoing CBA. No thromboembolic or fatal events occurred during the 30-day follow-up period following CBA.

Notwithstanding the low  $CHA_2DS_2$ -VASc scores, patients undergoing AF ablation have the greatest risk for intra- and post-procedural thromboembolism development. An appropriate anticoagulation management is, therefore, essential throughout the entire procedural period. Current guidelines recommend uninterrupted systemic OAC therapy for at least 3 weeks before and 2 months after AF ablation, regardless of the  $CHA_2DS_2$ -VASc score. Moreover, intra-procedural administration of unfractionated heparin prior to or immediately after transseptal puncture as well as additional dose adjustment every 15–30 min to maintain ACT above 300 s is recommended. However, this recommendation about intra-procedural heparin administration was based on the results of studies using VKA in patients with AF undergoing RFCA.

In terms of the initial loading dose of heparin, previous studies revealed that the administration of a higher dose of heparin was required to achieve the target ACT in AF patients with uninterrupted NOACs therapy than in those with uninterrupted VKA therapy [16–19]. In addition, Yamaji et al. [20] reported that an adequate loading dose of heparin was different for each NOAC because NOACs have different mechanisms of action. In the present study, although the average ACT in the ACT group was over 300 s ( $335.2 \pm 59.9$ ), there was significant difference in mean ACT between patients who took rivaroxaban and those who took edoxaban ( $P=0.003$ ). This finding is in line with that reported in previous studies.

A previous study demonstrated that radiofrequency (RF) energy was significantly more thrombogenic than cryoenergy, with a higher incidence of thrombus formation and larger thrombus volumes [21]. Compared to cryoablation,

**Table 3** Procedure-related complications between ACT group and No-ACT group

	Overall ( $n=190$ )	ACT ( $n=95$ )	No-ACT ( $n=95$ )	<i>P</i> -value
Thromboembolic event	0	0	0	NS
Any bleeding event (%)	6 (3.2)	5 (5.3)	1 (1.1)	0.211
Groin hematoma	3	3	0	
Cardiac tamponade	1	0	1	
Laryngopharyngeal bleeding	1	1	0	
Hemoptysis	1	1	0	

Thromboembolic event: a stroke, transient ischemic attack (TIA), or systemic embolism (SE)

**Table 4** Procedure-related complications in patients with or without an additional injection of heparin

	Overall ( $n=190$ )	Without additional heparin ( $n=159$ )	With additional heparin ( $n=31$ )	<i>P</i> -value
Any bleeding event (%)	6 (3.2)	3 (1.9)	3 (9.7)	0.056
Groin hematoma	3	2	1	
Cardiac tamponade	1	1	0	
Laryngopharyngeal bleeding	1	0	1	
Hemoptysis	1	0	1	

RF ablation resulted in a > fivefold increased risk of thrombus formation with larger thrombus volumes for endocardial ablation lesions of equal size in equivalent cardiac chambers. Specifically, the thrombus volume was positively correlated with the extent of hyperthermic tissue injury, whereas it was not related to the degree of hypothermic tissue injury. They suggested that these results probably reflected the histological observation that cryoablation resulted in well-delineated and discrete lesions with preservation of tissue ultrastructure, including the endothelial cell layer. In contrast, RF energy-induced lesions had serrated edges with extensive endothelial cell destruction.

However, several studies evaluating the incidence of acute silent cerebral lesions after PVI using magnetic resonance imaging (MRI) have demonstrated that CBA may not be associated with a lower risk of thromboembolic cerebral events than RFCA [22–24]. These results underline the hypothesis that thrombus formation originating from an extended destruction of the endothelium surface is probably not the only cause of acute cerebral lesions. Although the definite cause of micro-cerebral infarctions associated with AF ablation has not been fully elucidated, several possible embolic sources are considered, such as gaseous emboli or microthrombi entrapped within the sheath during catheter insertion or extraction, platelet-rich microthrombi formed at the endothelial injury site, gaseous emboli formed during blood heating, and microparticles (char) as a result of rapid hyperthermal tissue damage. Among these possible embolic sources, the first can be the presumable cause of microthromboembolism associated with CBA. Therefore, the systemic use of intravenous heparin does not appear to fully eliminate the CBA-related microthromboembolic risk. Instead, intermittent flushing with heparinized saline through the central lumen of the steerable sheath during the entire CBA procedure appears to be more effective in preventing the formation of gaseous emboli or microthrombi at the gap portion between the steerable sheath and CB shaft [25].

A recent meta-analysis demonstrated a significantly shorter procedure time in CBA compared to RFCA [26]. The total procedural time in previous studies has been reported from  $73.5 \pm 16$  to  $192.9 \pm 44$  min during CBA and from  $118.5 \pm 15$  to  $283.7 \pm 78.0$  min during RFCA [27]. In the present study, the total procedure and LA indwelling times were  $79.0 \pm 14.3$  min and  $60.4 \pm 13.2$  min, respectively. If the LA indwelling time is less than 60 min, additional administration of heparin would not be necessary, especially in patients with ACT of above 300 s at 30 min after transseptal puncture. Peripheral vascular complications are cumulatively reported in approximately 1–2% of cases, and cardiac perforation was noted in 0.1–3.2% of cases [28–30]. The incidence of stroke or TIA has been reported to be lower than 1% despite a relatively high

rate of silent cerebral embolic lesions [31]. In the present study, no patient developed thromboembolic events and fatal complications. Any bleeding rate was 3.2% in all enrolled patients. Non-fatal bleeding complications including groin hematoma, laryngopharyngeal bleeding, and hemoptysis were only observed in the ACT group. Also, additional heparin injection showed a tendency for increasing bleeding event ( $P=0.056$ ). The incidence of any bleeding complications was similar to other studies [8], but no statistical difference between the two groups was found which may be due to small sample size.

As VKA and NOACs have different effects on the intrinsic and/or extrinsic blood coagulation cascade, the same intra-procedural anticoagulation strategy could not be applied in patients with uninterrupted VKA and NOACs intake. However, the recommendation about intra-procedural anticoagulation regimens has not been changed, even though different ablation modalities such as CBA are widely used in clinical practice. Indeed, intra-procedural anticoagulation with unfractionated heparin might cause minor or major bleeding complications, which could be increased in patients undergoing CBA for whom a 15-Fr sheath is used. Therefore, the results of the present study may be meaningful for reappraising the intra-procedural anticoagulation strategy under the condition of uninterrupted NOACs at timely manner. In addition, a single bolus administration of heparin strategy without ACT measurements can be beneficial through cost and labor savings that can be incurred by repeated measures to reach the target ACT.

This study had several limitations. First, the sample size was not large enough and the number of events was small. Thus, it might be insufficient to identify a significant difference in clinical outcomes depending on whether or not an additional dose of heparin was administered. Furthermore, highly experienced operators performed the procedure in the present study, which could reduce the sensitivity of the results. Second, only two NOACs were evaluated in this study. In order to maintain a similar inhibitory effect of endogenous factor Xa between the two groups, we enrolled only patients who had been taking once-daily NOACs (rivaroxaban and edoxaban) at night, and the maximum interval between the last pre-ablation NOAC dose and the ablation procedure was 12 h. Therefore, the results could not be extrapolated to all NOACs. Third, silent stroke was not reflected in thromboembolic events because routine MRI before and after CBA was not performed in this study. Therefore, further RCT including brain imaging study should be needed to generalize this strategy. Lastly, we could not measure the peak level of ACT because we measured ACT at 30 min after the initial bolus administration of heparin. However, it might be unnecessary to assess the peak ACT level because the LA indwelling time was relatively short.

## 5 Conclusions

The present study demonstrated that a single bolus injection of heparin (100 U/kg) without ACT measurement was an effective and safe intra-procedural anticoagulation regimen in patients with uninterrupted NOACs undergoing CBA, which had a relatively short LA indwelling time. With the current increase in NOAC administration and CBA, our results would be helpful in determining the peri-procedural anticoagulation strategy. Further large-scaled RCTs are required to clarify the uncertainty about an optimal intra-procedural anticoagulation management under the condition of uninterrupted NOACs.

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**Data availability** The data underlying this article will be shared on reasonable request to the corresponding author.

## Declarations

**Ethics approval** This study protocol was approved by Hallym University Sacred Heart Hospital Institutional Review Board (HAL-LYM2019-04025).

**Conflict of interest** The authors declare no competing interests.

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