# Formation of a KNbO<sub>3</sub> single crystal using solvothermally synthesized $K_{2-m}Nb_2O_{6-m/2}$ pyrochlore phase

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#### Abstract

A  $K_{2-m}Nb_2O_{6-m/2}$  single crystal with a pyrochlore phase formed when the  $Nb_2O_5 + x \mod\%$  KOH specimens with  $0.6 \le x \le 1.2$  were solvothermally heated at 230 °C for 24 h. They have an octahedral shape with a size of 100 µm, and the composition of this single crystal is close to  $K_{1,3}Nb_2O_{5.65}$ . The single-crystal KNbO<sub>3</sub> formed when the single-crystal  $K_{2-m}Nb_2O_{6-m/2}$  was annealed at a temperature between 600 °C and 800 °C with  $K_2CO_3$  powders. When annealing was conducted at 600 °C (or with a small amount of  $K_2CO_3$ ), the KNbO<sub>3</sub> single crystal has a rhombohedral structure that is stable at low temperatures (< -10 °C). The formation of the rhombohedral KNbO<sub>3</sub> structure can be explained by the presence of the K<sup>+</sup> vacancies in the specimen. The KNbO<sub>3</sub> single crystal with an orthorhombic structure formed when the  $K_{2-m}Nb_2O_{6-m/2}$  single crystal was annealed at 800 °C with 20 wt% of  $K_2CO_3$ .

Keywords Solvothermal synthesis · KNbO3 · Single crystal · Metal vacancy

#### 1 Introduction

The KNbO<sub>3</sub> crystal has attracted a considerable amount of interest due to its excellent nonlinear optical, ferroelectric, photocatalytic, and piezoelectric properties [1–7]. KNbO<sub>3</sub> has a cubic structure at a temperature higher than 435 °C, and the tetragonal KNbO<sub>3</sub> phase is stable at a temperature between 435 °C and 225 °C. KNbO<sub>3</sub> has an orthorhombic structure at temperatures between 225 °C and -10 °C [6–8]. Finally, the KNbO<sub>3</sub> phase has a rhombohedral structure at a temperature lower than -10 °C [6–8]. Orthorhombic KNbO<sub>3</sub>

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has been extensively investigated, and it exhibits excellent physical properties. Various classical methods have been used to synthesize the good quality  $KNbO_3$  single crystal [3–5]. However, the process temperature of such methods is generally very high, and it is difficult to grow the good quality  $KNbO_3$  single crystal using such methods due to non-stoichiometric nature, inhomogeneity and cracking [3–5].

Recently, the hydrothermal method was used to synthesize a KNbO<sub>3</sub> single crystal to overcome such problems [2, 5-11]. In general, the process temperature of the hydrothermal method is low, ranging between 120 °C and 250 °C. Moreover, the final product of the hydrothermal method has a homogeneous composition [2, 5–11]. In general, the Nb<sub>2</sub>O<sub>5</sub> particles and KOH solution are used as starting materials to synthesize the KNbO<sub>3</sub> using a hydrothermal method. However, the concentration of KOH is high ( $6 \sim 10$  M), and it is thus possible to cause serious corrosion of the reaction vessel, which can be an obstacle for industrial applications [5-11]. Furthermore, only nano-sized KNbO3 single crystals have been produced using a general hydrothermal method, indicating that they are difficult for practical applications [6–11]. Micro-sized rhombohedral and orthorhombic KNbO3 crystals were hydrothermally synthesized in supercritical water with very low alkaline concentrations at 400 °C [2]. Moreover, several millimeter-sized KNbO<sub>3</sub> single crystals were synthesized using a hydrothermal method, but they were produced in high KOH concentrations



of 14 M at 490 °C, indicating that this method needs to be improved for practical application [5].

In this work, a  $K_{2-m}Nb_2O_{6-m/2}$  single crystal, which has a pyrochlore structure with a size of 100 µm, was solvothermally synthesized at a low temperature of 230 °C with a low concentration of KOH. The orthorhombic and rhombohedral KNbO<sub>3</sub> single crystals were produced when this  $K_{2-m}Nb_2O_{6-m/2}$  single crystal was annealed at 500 ~ 800 °C with a varying amount of  $K_2CO_3$  powders, indicating that relatively large KNbO<sub>3</sub> single crystals can be easily produced. Moreover, the structural variation of the KNbO<sub>3</sub> single crystal has also been investigated in this work.

### 2 Experimental procedures

Analytical-grade solid potassium hydroxide and niobium oxide (> 99.9%, High Purity Chemicals, Osaka, Japan) were used as starting materials to synthesize the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystal. At first, Nb<sub>2</sub>O<sub>5</sub> (0.05 mol) was added to the solution of KOH (0.5–2.0 mol), water, and ethanol (35 mL). The volume ratio of water and ethanol is 1:1, and this mixture solution was stirred for 1 h, poured into a Teflon vessel (100 mL) and placed in an autoclave. The autoclave was heated at 230 °C for 24 h and filtered, washed with distilled water and dried at 80 °C for 12 h. Finally, the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystals were annealed at 500 - 800 °C for 6 h with a varying amount of K<sub>2</sub>CO<sub>3</sub> (0-30 wt%) to synthesize the KNbO<sub>3</sub> single crystals. The structural properties of the specimens were examined via X-ray diffraction (XRD; Rigaku D/max-RC, Tokyo, Japan) using Cu-K radiation, and scanning electron microscopy (SEM; Hitachi S-4300, Osaka, Japan) was used to investigate the morphology of the specimens. The composition of the specimens was investigated using an energydispersive X-ray spectroscope (EDS: EMAX, Horiba, Kyoto, Japan) attached to a SEM. Fourier transform infrared spectrometry (FT-IR; Thermo Fisher Scientific Nicolet iS50, USA) was used to identify defects in the KNbO3 single crystal. FTIR spectra were obtained at a resolution of 4  $cm^{-1}$  and with 32 scans per specimen. The electron mapping images of the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> and KNbO<sub>3</sub> single crystals were obtained using electron probe X-ray microanalysis (EPMA: JXA-8500F, Miami, U.S.A) to analyze the crystallinity and compositional homogeneity of the specimens.

#### 3 Results and discussion

Figure 1(a)-(e) show the XRD patterns of the Nb<sub>2</sub>O<sub>5</sub> + x mol% KOH specimens with  $0.5 \le x \le 2.0$  solvothermally heated at 230 °C for 24 h. The K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> (JCPDS #35–1464) pyrochlore phase was formed in the specimen with x = 0.5, but the K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> (JCPDS #76–0977) secondary phase was



**Fig. 1** XRD patterns of the Nb<sub>2</sub>O<sub>5</sub> + x mol% KOH specimens heated at 230 °C for 24 h: (**a**) x = 0.5, (**b**) x = 0.6, (**c**) x = 1.2, (**d**) x = 1.5, and (**e**) x = 2.0

also developed in this specimen (see Fig. 1(a)). A pure K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> pyrochlore phase was obtained in the specimen with x = 0.6, and this phase was maintained for a specimen with x = 1.2, as shown in Fig. 1(b) and (c). The  $K_{2-m}Nb_2O_{6-m/2}$  pyrochlore phase show a high intensity (*l l l*) reflections (l = 1, 2, 3), indicating that it has a large (111) plane. However, the K<sub>2</sub>Nb<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O phase formed for specimens with  $1.3 \le x \le 1.5$  (see Fig. 1(d)), and it changed to a KNbO<sub>3</sub> phase for the specimen with x = 2.0, as shown in Fig. 1(e) [12]. The Nb<sub>2</sub>O<sub>5</sub> + x mol% KOH specimens with  $0.5 \le x \le 2.0$ , which were solvothermally heated at 230 °C for 24 h, were investigated using SEM, as shown in Fig. 2(a)-(d). Specimens with  $x \le 1.2$ , which have a K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> pyrochlore phase, have an octahedral shape with a large (111) plane, as shown in Fig. 2(a) and (b). On the other hand, the  $K_2Nb_2O_6$ ·H<sub>2</sub>O (x = 1.5) and KNbO<sub>3</sub> (x = 2.0) specimens show nanorods and nanoparticles shapes, respectively, as shown in Fig. 2(c) and (d). The  $K_{2-m}Nb_2O_{6-m/2}$ specimens are considered to be a single crystal because grain boundaries were not observed in the EPMA image of the specimen with x = 1.0 (see Fig. 2(e)). Moreover, K<sup>+</sup> and Nb<sup>5+</sup> ions are homogeneously distributed in this specimen. The size of the  $K_{2-m}Nb_2O_{6-m/2}$  single crystal with x = 0.5 is approximately 30 µm, and it increased with an increase of x to 100  $\mu$ m for the specimen with x = 1.2, indicating that the size of the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystal can be further increased by controlling the process conditions. The ratio of K<sup>+</sup> and Nb<sup>5+</sup> ions in the  $K_{2-m}Nb_2O_{6-m/2}$  single crystal is approximately 2:3, as shown in Fig. S1 a in supplemental material 1, indicating that the K<sub>1.3</sub>Nb<sub>2</sub>O<sub>5.65</sub> pyrochlore phase was developed in specimens with  $0.6 \le x \le 1.2$ . Furthermore, the ratios of K<sup>+</sup> and Nb<sup>5+</sup> ions in the K<sub>2</sub>Nb<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O nanorods (x = 1.5) and KNbO<sub>3</sub> nanoparticles (x = 2.0) are approximately 1: 1 and

Fig. 2 SEM images of the Nb<sub>2</sub>O<sub>5</sub> + x mol% KOH specimens heated at 230 °C for 24 h: (a) x = 0.5, (b) x = 1.2, (c) x = 1.5, and (d) x = 2.0. (e) EPMA image of the specimen with x = 1.0 showing the homogeneous distributions of K<sup>+</sup> and Nb<sup>5+</sup> ions



1.1: 1, respectively, as shown in Figs. S1(b) and (c) in supplemental material 1. Therefore, the morphology of the specimen is considered to be influenced by the ratio of K<sup>+</sup> and Nb<sup>5+</sup> ions.

The K2-mNb2O6-m/2 specimen was annealed at various temperatures with varying amounts of K<sub>2</sub>CO<sub>3</sub> powders to synthesize the KNbO<sub>3</sub> single crystal by supplying K<sub>2</sub>O to the  $K_{2-m}Nb_2O_{6-m/2}$  phase. Figure 3(a)-(d) show the XRD patterns of the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> specimen annealed at various temperatures for 6 h with 30 wt% K<sub>2</sub>CO<sub>3</sub> powders. The K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> phase was maintained in the specimen annealed at 500 °C (see Fig. 3(a)), but a small amount of  $K_4Nb_6O_{17}$  phase also formed in this specimen. Therefore, the reaction between the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> specimen and K<sub>2</sub>CO<sub>3</sub> powders is not considered to be significant at 500 °C, but a small amount of K2O evaporated from the K2-mNb2O6-m/2 specimen resulting in the formation of a K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> secondary phase. The KNbO<sub>3</sub> phase was formed in specimens annealed at temperatures higher than 500 °C, as shown in Fig. 3(b)-(d). In general, the KNbO<sub>3</sub> ceramic has an orthorhombic structure at room temperature, but the KNbO3 single crystal synthesized at 600 °C has a rhombohedral structure that can be identified by a peak at  $45.5^{\circ}$  in Fig. 3(b). The orthorhombic KNbO<sub>3</sub> phase was formed in the specimen annealed at 800 °C, as shown in

Fig. 3(d) [13–16]. Moreover, both rhombohedral and orthorhombic structures are considered to coexist in the KNbO<sub>3</sub> specimen annealed at 700 °C.



Fig. 3 XRD patterns of the  $K_{2-m}Nb_2O_{6-m/2}$  specimens annealed at various temperatures for 6 h with 30 wt%  $K_2CO_3$  powders: (a) 500 °C, (b) 600 °C, (c) 700 °C, and (d) 800 °C

For a detailed analysis of the crystal structure of the KNbO<sub>3</sub> specimens, the XRD reflections at 45.5° were measured via slow-speed scanning for specimens annealed at various temperatures, as shown in Fig. 4(a). Rhombohedral  $(020)_{R}$  reflection was observed for specimen annealed at 600 °C, confirming that the rhombohedral KNbO3 structure has developed in this specimen. The intensities of the orthorhombic  $(220)_{\Omega}$  and  $(002)_{\Omega}$ reflections increased with an increase in the annealing temperature and the orthorhombic  $(220)_{\Omega}$  and  $(002)_{\Omega}$  reflections were only observed for the specimen annealed at 800 °C, confirming that an orthorhombic KNbO<sub>3</sub> phase formed in the specimen annealed at 800 °C. Furthermore, both rhombohedral (020)<sub>R</sub> and orthorhombic  $(220)_{O}$  and  $(002)_{O}$  reflections were observed for the specimen annealed at 700 °C. According to a previous work, KNbO<sub>3</sub> nanorods synthesized using a hydrothermal method have a tetragonal structure that is not stable at room temperature [6, 7]. The formation of a tetragonal KNbO<sub>3</sub> phase was explained by the presence of OH<sup>-</sup> ions in the O<sup>2-</sup> sites that produced metal vacancies in the KNbO<sub>3</sub> phase [6, 7, 17]. Moreover, a rhombohedral structure was observed in the hydrothermally synthesized KNbO3 particles with a small K/Nb ratio, but the presence of the unstable rhombohedral structure was not explained [2]. An FTIR analysis was conducted on the KNbO<sub>3</sub> specimens synthesized at various temperatures, as shown in Fig. 4(b). The peaks at 509  $\text{cm}^{-1}$  and 593  $\text{cm}^{-1}$  can be explained by the stretching vibrations of the O-Nb-O [5, 10, 18]. Multiple peaks between 1000  $\text{cm}^{-1}$  and 1650  $\text{cm}^{-1}$  correspond to the K-O absorption bond [19]. The intensities of multiple peaks between  $1000 \text{ cm}^{-1}$  and  $1650 \text{ cm}^{-1}$  are small for the KNbO<sub>3</sub> specimen synthesized at 600 °C, and they increased with an increase in annealing temperature. Therefore, the number of K-O bonds is considered to increase with an increase in temperature. Moreover, the EDS analysis shows that a K-deficient KNbO3 phase formed in the specimen synthesized at 600 °C, and a stoichiometric KNbO3 phase (or slightly K-excess KNbO<sub>3</sub> phase) formed in specimens synthesized at 700 °C (or 800 °C), as shown in Figs. S3(a)-(c) in supplemental material 2. Therefore, the presence of a rhombohedral KNbO<sub>3</sub> phase is suggested to be related to the presence of vacancies formed in the K-sites.

Figure 5(a)-(d) show the XRD patterns of a  $K_{2-m}Nb_2O_{6-m/2}$  specimen annealed at 800 °C with varying amounts of  $K_2CO_3$ 

**Fig. 4** (a) XRD reflections at 45.5° measured via slow-speed scanning and (b) FTIR spectra of the KNbO<sub>3</sub> specimens annealed at various temperatures for 6 h with 30 wt% K<sub>2</sub>CO<sub>3</sub> powders





Fig. 5 XRD reflections of the  $K_{2-m}Nb_2O_{6-m/2}$  specimen annealed at 800 °C with varying amounts of  $K_2CO_3$  powders: (a) 0 wt%, (b) 10 wt %, (c) 20 wt%, and (d) 30 wt%

powders. The  $K_4Nb_6O_{17}$  phase formed in the  $K_{2-m}Nb_2O_{6-m/2}$ specimen that was annealed at 800 °C without K<sub>2</sub>CO<sub>3</sub> particles, owing to the evaporation of K<sub>2</sub>O during the annealing. The KNbO<sub>3</sub> phase formed in the specimen annealed with 10 wt%  $K_2CO_3$  powders, but the (220)<sub>O</sub> and (002)<sub>O</sub> reflections at 45.5° were not completely separated, indicating that both rhombohedral and orthorhombic structures could form in this KNbO<sub>3</sub> phase. The  $(220)_{O}$  and  $(002)_{O}$  reflections were clearly separated for the specimens annealed with a large amount of K<sub>2</sub>CO<sub>3</sub> powders, as shown in Fig. 5(c) and (d), indicating that they have an orthorhombic KNbO<sub>3</sub> phase [13–16]. This result also implies that the formation of rhombohedral KNbO3 crystals could be related to the presence of K vacancies. Figure 6(a)-(c) show SEM images of KNbO3 specimens synthesized at 800 °C with varying amounts of K<sub>2</sub>CO<sub>3</sub> powders. For the specimens annealed without K<sub>2</sub>CO<sub>3</sub> powders, cracks formed, probably due to the evaporation of  $K_2O$  during annealing. The KNbO<sub>3</sub> single crystal with an octahedral shape was well developed for specimens synthesized with 10 and 20 wt%  $K_2CO_3$  powders, as shown in Fig. 6(b) and (c). However, the KNbO<sub>3</sub> single crystals were agglomerated for a specimen annealed with 30 wt%  $K_2CO_3$  powders (see Fig. S2(d) in supplemental material 2). Figure 6(d) shows EPMA images of the KNbO<sub>3</sub> specimen synthesized with 20 wt%  $K_2CO_3$  powders, and the homogeneous KNbO<sub>3</sub> single crystal formed without grain boundaries. Therefore, the orthorhombic KNbO<sub>3</sub> single crystal with an octahedral shape was considered to have formed when the  $K_{2-m}Nb_2O_{6-m/2}$  specimen was annealed at 800 °C with 20 wt%  $K_2CO_3$  powders, and the size of this KNbO<sub>3</sub> single crystal is approximately 100 µm.

## **4** Conclusions

The Nb<sub>2</sub>O<sub>5</sub> + x mol% KOH specimens with  $0.5 \le x \le 2.0$ were solvothermally heated at 230 °C for 6 h. The single crystal with a K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> pyrochlore structure formed for the specimens with  $0.6 \le x \le 1.2$ . The K<sub>2</sub>Nb<sub>2</sub>O<sub>6</sub> ·H<sub>2</sub>O nanorods and KNbO3 nanocrystals formed for specimens with  $1.3 \le x \le 1.9$  and x = 2.0, respectively. The K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystal has an octahedral shape with a size of 100  $\mu$ m, and the composition of this single crystal is approximately K<sub>1.3</sub>Nb<sub>2</sub>O<sub>5.65</sub>. The K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystals annealed at high temperatures ( $\geq 600$  °C) with K<sub>2</sub>CO<sub>3</sub> powders were transformed into KNbO<sub>3</sub> single crystals. The rhombohedral KNbO3 single crystal was formed when the  $K_{2-m}Nb_2O_{6-m/2}$  single crystal was annealed at 600 °C, probably due to the presence of K<sup>+</sup> vacancies in the specimen. On the other hand, the KNbO<sub>3</sub> single crystal with an orthorhombic structure was obtained when the K<sub>2-m</sub>Nb<sub>2</sub>O<sub>6-m/2</sub> single crystal was annealed at 800 °C.

Fig. 6 SEM images of KNbO<sub>3</sub> specimens synthesized at 800 °C with varying amounts of  $K_2CO_3$  powders: (a) 0.0 wt%, (b) 10 wt%, and (c) 20 wt%. (d) EPMA image of the KNbO<sub>3</sub> single crystal showing homogeneous distributions of K<sup>+</sup> and Nb<sup>5+</sup> ions synthesized at 800 °C with 20 wt%  $K_2CO_3$  powders



However, the agglomeration of KNbO<sub>3</sub> single crystals occurred when they were annealed with a large amount of  $K_2CO_3 (\geq 30 \text{ wt\%})$ .

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