#### **ORIGINAL PAPER**



# Sources of Socialization in E-Cigarette Initiation Among Rural Middle School-Age Youth

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#### Abstract

Research has considered the role of social learning in substance use and determined that social influences are powerful determinants of substance initiation. However, the relationships between peer, sibling, and parent behaviors and e-cigarette initiation among early adolescents, and rural youth in particular, have yet to be examined. The present study investigated how peer delinquency, sibling substance use, and parental approval contribute to risk of e-cigarette initiation across middle school while also examining these associations with alcohol use initiation. Adolescents (N = 663) self-reported perceptions of peer delinquency, sibling substance use, parental approval about substance use, and their own e-cigarette and alcohol use. Multilevel survival analyses were conducted to model the risk of initiation and predictors of this risk. Results indicate that the risk of e-cigarette initiation increased by 75% annually as youths progressed through middle school. All social factors were significant predictors of e-cigarette initiation, while perceived peer delinquency and parental approval predicted alcohol initiation. Results emphasize the importance of early intervention for preventing e-cigarette initiation and the influence of peers, siblings, and parents on e-cigarette use.

Keywords E-cigarettes · Substance initiation · Middle school · Rural · Socialization

#### Highlights

- This study contributes to our understanding of the risk of e-cigarette and alcohol initiation across middle school in a sample of rural youth.
- Results suggest that the risk of e-cigarette initiation increased by 75% annually across middle school, but the risk for alcohol initiation remained higher and stable.
- · Findings identified peer delinquency and parental approval as risks for both e-cigarette and alcohol initiation.
- Sibling substance use was significantly associated with e-cigarette but not alcohol initiation.

For many, adolescence is a time of risk-taking and experimentation, and middle school represents a peak period for substance initiation (D'Amico & McCarthy, 2006). However, little research to date has examined e-cigarette initiation in this age group. Furthermore, research on risk and protective factors for e-cigarette use among rural

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Paula J. Fite pfite@ku.edu adolescents is sparse, though research has identified differences in prevalence rates among urban and rural high school-aged youth (Noland et al., 2017). Increasing rates of adolescent e-cigarette use (Johnston et al., 2020; Miech et al., 2021) coupled with e-cigarettes' developmental consequences (McCabe et al., 2018), necessitate further consideration of e-cigarette initiation, particularly among rural youth. The current study addresses these gaps in the literature by examining the timing of risk for e-cigarette initiation and considers multiple sources of socialization (i.e., peers, siblings, and parents) for e-cigarette initiation among rural middle school students. Additionally, to further inform our understanding of the timing and risk factors for e-cigarette initiation, we present e-cigarette findings alongside those of alcohol, which has more research available on

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the importance of social influences (e.g., Barnow et al., 2004; Donovan & Molina, 2011; Fagan & Najman, 2005; Hoeben et al., 2021; Widdowson et al., 2020).

# Early Substance Initiation and Subsequent Consequences

The current study focuses on e-cigarette initiation among early adolescents, which is a sensitive period for engaging in substance use (D'Amico & McCarthy, 2006). Indeed, prior research suggests that e-cigarette use is prevalent among middle school-age youth (Fite et al., 2020). National data suggest a 9% increase in nicotine vaping among 8th grade students from 2017 to 2019, with 35% of youth initiating e-cigarette use by 12th grade (Johnston et al., 2020). Even with its relative novelty, e-cigarette use has been linked with increased risk for alcohol, tobacco, and drug use (Chang & Seo, 2020; McCabe et al., 2018). E-cigarette use is also associated with the use of multiple other types of combustible tobacco products, which give rise to their own developmental risks (e.g., school drop-out; Johnston et al., 2014). Finally, e-cigarette use is associated with increased risky behaviors related to sex, driving, and violence (Chang & Seo, 2020). Early substance initiation more broadly has been consistently linked with an increased risk for substance dependence across substances (i.e., alcohol, tobacco, and marijuana) across late adolescence and adulthood (Dawson et al., 2008; Van Ryzin & Dishion, 2014). Furthermore, prior research suggests that prevention efforts in middle school may be most effective (Marsiglia et al., 2011). There are differences in prevalence rates of substance use between rural and urban youth with rural youth indicating greater alcohol consumption, tobacco use, and drug use (Lambert et al., 2008; Rhew et al., 2011). Limited information about rural versus urban e-cigarette use suggests differences, though some findings indicate higher rates of use among rural youth (Dai et al., 2021), while others indicate higher rates of use among urban youth (Noland et al., 2017). While there are some data suggesting risk for initiation of e-cigarette increases throughout high school (Ortega et al., 2021), the current study advances the literature by examining risk for early e-cigarette initiation from 6th to 8th grade in a rural sample. We also examined social factors (i.e., peer delinquency, sibling e-cigarette use, and parental approval about e-cigarettes) that likely contribute to adolescents' risk for early initiation of e-cigarettes to inform targets of prevention and intervention.

# Socialization in Substance Use

Social learning theory holds that behaviors (e.g., substance use) are shaped by individuals' social environments

(Bandura, 1977), which includes family and friends. However, individuals' physical environments also shape behaviors and interact with their social environments to influence learning (Pea, 1993). For example, parents, siblings, and peer influences on substance use may depend on access and availability of substances as well as amount of time one is able to spend with each of these influences, which likely varies in rural settings. Thus, research ought to examine multiple social influences of substance use and to consider the environmental context (e.g., rural settings) when examining such associations.

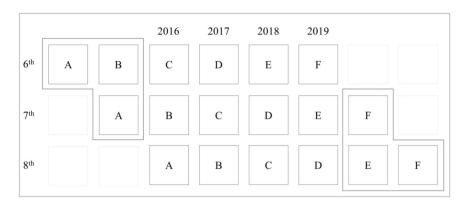
For all youth, caregivers provide important opportunities for social learning. Adolescents' perceptions of parental substance use influence their own behaviors (Li et al., 2002). Research suggests that the influence of parental use peaks in middle school years, while peer and older sibling use is most concordant with individual use in high school (Salvy et al., 2014). Though perceptions of parental substance use clearly shape adolescents' behaviors, parental attitudes about substance use have also been shown to predict youth substance use (Fite et al., 2018; Sale et al., 2003). Parental attitudes about substance use perpetuate norms that inform adolescents' attitudes about substance use (Wilson & Donnermeyer, 2006). Additionally, having a family environment that is more alcohol-positive (e.g., greater parental alcohol use, perceived approval of alcohol use) contributes to early initiation (Donovan & Molina, 2011). Parental approval may also inform parents' communication about substance use, which in turn influences youth behaviors (Miller-Day & Dodd, 2004; Miller-Day & Kam, 2010). To date, only one study has considered the influence of youth's perceptions of parental attitudes on e-cigarette use specifically. Fite et al. (2018) found that high schoolers reported more favorable parental attitudes for e-cigarette use relative to tobacco use, and more favorable parental attitudes were associated with greater likelihood of having used e-cigarettes. Among youth residing in rural settings, parental influences (e.g., closeness, monitoring) may be especially protective for rural compared with urban youth (De Haan et al., 2010), who may have greater access to peers (Brody & Murry, 2001) and may be more susceptible to non-family peer influences (Wilson & Donnermeyer, 2006). Thus, more research examining the influence of parental approval on e-cigarette use among rural youth while also considering other socialization factors is warranted.

Siblings are also important agents of social learning who represent a unique source of socialization since they are family members like parents but typically close in age like peers (Stormshak et al., 2004). Siblings share contextual factors (e.g., home environment, genetics, or parenting behaviors), but siblings also engage in mutual processes of social deidentification and social learning (Whiteman et al., 2009). Youth spend most of their childhood with their siblings, and siblings are a source of social support and companionship like peers, though siblings often spend more time together, have continuous lifelong relationships, and are in the same family system (Stormshak et al., 2004). For these reasons, siblings may model and reinforce substance use behaviors, accounting for variability in substance use that is otherwise unexplained by peers or parents. Indeed, perceived sibling use is associated with adolescent's substance use behaviors (Fagan & Najman, 2005; Fite et al., 2018), and older siblings' use is associated with younger siblings' substance use intentions (Maiva et al., 2023) and behaviors (Whiteman et al., 2013). Sibling attitudes alone are sufficient to impact youths' substance use behaviors (Pomery et al., 2005). One twin study asserts that correspondence of substance use between siblings is more likely due to shared environmental factors (e.g., mutual friends) than genetic susceptibility (Rende et al., 2006). However, shared environmental factors do not completely account for associations in sibling use behaviors. One study found that mutual modeling and younger siblings' admiration of older siblings, in addition to shared friends, contributed to youth risk of substance use (Whiteman et al., 2014). Fagan and Najman (2005) examined associations between older siblings' use of tobacco and alcohol and younger sibling's use and found that older siblings' tobacco and alcohol use were predictive of younger siblings' use, even when accounting for a variety of shared family experiences (e.g., maternal alcohol use). While research has identified the influence of siblings on substance use behaviors, there are few studies (e.g., Fite et al., 2018) that have focused on sibling influence in rural contexts, where siblings may be especially influential because of fewer opportunities for peer socialization (Brody & Murry, 2001). The current study focuses specifically on sibling use of e-cigarettes and alcohol given that the literature on the influence of siblings on substance use among rural youth is sparse and sibling substance use behaviors have been found to influence adolescent substance use behaviors in samples of non-rural youth (e.g., Fagan & Najman, 2005; Whiteman et al., 2013).

The influence of peer behaviors becomes particularly important in adolescence, as youth begin to differentiate themselves from their families (Schuler et al., 2019). Peer delinquency specifically has been linked with youths' substance use behaviors (Barnow et al., 2004; Hoeben et al., 2021; Trucco et al., 2011) and is perhaps a stronger predictor of substance use than family or neighborhood factors or media exposure (Ferguson & Meehan, 2011). Routinely engaging with delinquent peers in unstructured contexts increases adolescents' risks of engaging in delinquent behaviors themselves, including the use of alcohol, cigarettes, and tobacco (Hoeben et al., 2021). One study found that peer delinquency mediates the relationship between adolescents' own aggressive and delinquent behaviors and the frequency and quantity of alcohol consumption (Barnow et al., 2004). Peer delinquency likely contributes to youths' substance initiation through mechanisms of social reinforcement and modeling (Trucco et al., 2011), and peer delinquency may inform youth attitudes about substance use (Ferguson & Meehan, 2011). One study found that having a persistently delinquent friend conferred risk for alcohol, tobacco, and marijuana initiation even if the friend did not use that specific substance (Widdowson et al., 2020). Though there is compelling evidence for the influence of peers' delinquent behaviors on alcohol, tobacco, and marijuana use, there is a paucity of information on the importance of peer delinquency in e-cigarette use. Higher levels of peer e-cigarette use have been found to be associated with greater e-cigarette use among adolescents (Rocheleau et al., 2020). However, peer influence on e-cigarette initiation has yet to be evaluated. Given the literature suggesting that peer delinquency more broadly confers risk for substance use, the current study evaluates how perceptions of peer delinquency contribute to the risk of e-cigarette initiation. Because of reduced access to shared mobility (Shaheen et al., 2017), youth in rural areas may have less physical access to peers (Brody & Murry, 2001), making family influences especially salient. In contrast, peers may also exert greater influence in rural settings because of the scarcity of recreational activities and increased boredom (Dew et al., 2007).

# **Present Study**

Using multilevel survival analyses, the present study advances the early substance use literature by identifying risk of initiation over time for e-cigarettes among rural youth, which better informs the timing of prevention and intervention efforts. Further, consistent with social learning theory, the current study provides an examination of how various sources of socialization (i.e., perceptions of parental approval about substance use, sibling substance use, and peer delinquency) may contribute to risk for early initiation of e-cigarette among youth residing in a rural community, which informs targets for prevention and intervention efforts. Though perceptions of others' behaviors (e.g., substance use) do not always align with actual behaviors (Henry et al., 2011), the present study opted to include youth perceptions, which inform social learning (Trucco et al., 2011) as mental representations of others' behaviors (Deutsch et al., 2015). Indeed, prior research has demonstrated that perceptions of others' substance use is a more powerful determinant of individual use than others' actual substance use (Bauman & Fisher, 1986; Iannotti & Bush, 1992). Finally, given extant literature examining alcohol use Fig. 1 Visual representation of accelerated longitudinal design with six cohorts across four time points. Cohorts with missing data are delineated with gray. All data were collected in the fall semesters of listed years



in rural samples (Lambert et al., 2008; Rhew et al., 2011), alcohol initiation was also examined to provide further context and understanding of socialization influences on e-cigarette use among rural youth.

Consistent with trends observed in national samples (Johnston et al., 2020), we first hypothesized that risk for initiation of e-cigarette and alcohol use to increase throughout middle school. Secondly, base on prior alcohol research among urban (e.g., Sale et al., 2003) and rural youth (e.g., Fite et al., 2018), we hypothesized that greater parental approval about substance use would be associated with increased risk for initiation of both e-cigarette and alcohol use. Thirdly, consistent with research on sibling socialization among urban (Fagan & Najman, 2005; Whiteman et al., 2013) and rural youth (Fite et al., 2018), we hypothesized that sibling use would be positively associated with risk of e-cigarette and alcohol initiation. Finally, we hypothesized that higher levels of perceived peer delinquency would be associated with increased risk for e-cigarette and alcohol initiation, which corresponds with prior research on peer delinquency and substance use (e.g., Barnow et al., 2004; Hoeben et al., 2021; Trucco et al., 2011). Though we hypothesized that all social factors would contribute to the risk of e-cigarette and alcohol initiation, based on the current study's rural sample we anticipated that family influences (i.e., parents and siblings) would be most salient in this rural sample of youth because of reduced access to peers (Brody & Murry, 2001).

# Method

#### **Participants**

Middle school students (i.e., 6th through 8th grade) from a public school in a small, Midwest community participated. The school is the only public middle school in the community. Data across four school years (2016–2019) were utilized. All measures were collected at all time points. Because data were structured for an accelerated longitudinal

Table 1 Data Structure for Accelerated Longitudinal Design

Cohort	Grade Level			Total N
	6th	7th	8th	
Α	-	-	2016 (81)	81
В	-	2016 (94)	2017 (94)	188
С	2016 (84)	2017 (91)	2018 (86)	261
D	2017 (111)	2018 (115)	2019 (115)	341
E	2018 (91)	2019 (85)	-	176
F	2019 (115)	-	-	115
Observations	401	385	376	1162

Measurement occasions for each grade level are represented by year. Sample sizes of observations for each grade level and year are denoted. Missing data is denoted by dashes

design (ALD), six cohorts (i.e., groups of students in the same grades at the same time) across four years were generated. The data structure is described in Fig. 1.

The number of students in each cohort and for each grade and time point are listed in Table 1. Race and ethnicity were not reported by the students. However, the school's community is predominantly White (87.7%; U.S. Census Bureau, 2010). The median household income for the community is \$79,427 (U.S. Census Bureau, 2010). Youths reported their gender as male (53.2%; n = 350), female (44.5%; n = 293), or other (2.3%; n = 15). Twenty-eight participants did not report gender at any time point and were coded as missing. Since gender was included in the model as a covariate, students that listed other for gender were excluded from analyses because of their small sample size.

#### Procedure

The institutional review board of the researchers' university and the participating school's administrators approved all procedures of the current study. Surveys were administered in fall semesters of 2016, 2017, 2018, and 2019. Caregivers provided consent via an online enrollment system, and consent rates for each year were 82.61%, 82.06%, 77.36%, and 79.7% respectively. Both caregivers and youth were notified that the study obtained a certificate of confidentiality from the Department of Health and Human Services, which provided protections in reporting illegal behavior. Students filled out measures using the secure platform Qualtrics while survey questions were read aloud. No school faculty or staff were in the room while students completed the surveys. Youth were not compensated for participation.

#### Measures

#### Perceived Peer Delinquency

Students self-reported on their friends' delinquent behaviors using the 14-item Peer Affiliations Questionnaire (Fergusson et al., 1999). The questions on this scale (e.g., "Stolen or tried to steal something worth more than \$50") were dichotomous ("yes" or "no"), and greater sum scores indicated greater peer delinquency. The scale included specific questions about peers' alcohol, tobacco, marijuana, or other drug use. Internal consistencies ranged from 0.83 to 0.89 across data collections.

#### Perceived Sibling Substance Use

Youth were asked to report if any of their siblings had "ever drank alcohol" or "used vaporizers or e-cigarettes" (Bahr et al., 2005), or if they had no siblings.

#### Perceived Parental Approval About Substance Use

Youth reported on caregivers' views of alcohol use with questions from a previous study (Bahr et al., 2005), and a question about parental attitudes about vaporizer or e-cigarette use was added. Specifically, adolescents responded to separate questions of how wrong (1 = "very wrong", 4 = "not wrong at all") they think their parents would find them using alcohol or vaporizers or e-cigarettes with higher scores indicating greater approval.

#### Individual Substance Use

Self-reported alcohol and e-cigarette use items were taken from the Center for Substance Abuse Prevention (CSAP) Student Survey, which were adapted from the California Student Survey (Pentz et al., 1989). Specifically, youth were asked: "Have you EVER had a drink of alcohol?" with alcohol referring to "beer, wine, wine coolers, grain alcohol, or hard liquor." Adolescents were also asked: "Have you EVER used a vaporizer or e-cigarette, even just a few puffs?" There were some inconsistent reports of lifetime substance use with some students reporting lifetime use at an earlier time but no use at a subsequent time for alcohol use (4.2%, n = 29) and e-cigarettes use (1.0%, n = 7). Discrepancies were rectified by coding the first time in which a student reported use being the time of initiation.

#### **Analysis Plan**

#### Data Structure

Prior to analysis, data were restructured for an accelerated longitudinal design in IBM SPSS Statistics 26 (IBM Corp., 2019). Six cohorts were formed across four time points (i.e., 2016, 2017, 2018, 2019). Data for each of these cohorts were recoded so variables reflected individuals' grades (i.e., 6th, 7th, or 8th), regardless of calendar year. The data were structured as person-period with 1162 rows and time points (i.e., repeated measures for each participant) nested within 663 participants.

#### **Data Analysis**

Data were modeled using multilevel survival analyses to determine the hazard ratio and probability of substance use initiation across middle school. The term "time" represents "grade." Because substance use initiation was only reported at discrete intervals, we elected to use discrete-time survival analyses to model timing of initiation (Singer & Willett, 1993), and analyses accommodated both continuous and dichotomous predictors in our models (e.g., Singer & Willett, 1993). Analyses were conducted in R (R Core Team, 2020) using the lme4 package (Bates et al., 2015). Because the lme4 package utilizes complete case analysis, individuals' data were only analyzed at a particular grade if all data for that observation were available (i.e., not missing), and once youth endorsed substance use, their subsequent observations were excluded from survival models. Missingness and exclusions resulted in 671 (57.75%) observations included in the e-cigarette model and 694 (59.72%) observations included in the alcohol model.

Time was coded so that the intercept estimate could be informative of the hazard odds of 6th grade (i.e., 6th, 7th, and 8th grade were coded as 0, 1, and 2 respectively). Youth's self-report of gender was included as a timeinvariant covariate and perceptions of peer delinquency, sibling substance use, and parental approval were included as time-varying covariates. Initiation of alcohol and e-cigarettes were modeled in separate survival analyses, and first report of lifetime use indicated timing of substance use initiation.<sup>1</sup>

Both linear and quadratic trajectories were assessed in alcohol and e-cigarette models before model predictors

<sup>&</sup>lt;sup>1</sup> Data regarding traditional tobacco (i.e., cigarette) and marijuana use initiation were collected. However, both had inadequate numbers for survival analysis, and models were not producible. Accordingly, the current manuscript focuses specifically on e-cigarette initiation, with alcohol initiation as the comparison.

Table 2 Model Estimates andHazard Odds Ratios forE-Cigarette and AlcoholInitiation

Substance	Model	Ν	Covariate	Log Hazard	<i>p</i> -value	Hazard Ratio	Probability
E-Cigarettes	1	671	Intercept	-10.33	0.000	0.00	0.00
			Time	1.10	0.047	2.99	0.75
			Gender	-0.19	0.798	0.83	0.45
			Peer delinquency	0.38	0.015	1.46	0.59
			Sibling e-cigarette use	1.93	0.032	6.89	0.87
			Parental approval – e -cigarettes	1.97	0.004	7.19	0.88
Alcohol	2	694	Intercept	-7.68	0.000	0.00	0.00
			Time	0.47	0.103	1.61	0.62
			Gender	-0.79	0.139	0.45	0.31
			Peer delinquency	0.30	0.009	1.34	0.57
			Sibling alcohol use	1.03	0.051	2.81	0.74
			Parental approval - alcohol	2.39	0.000	10.86	0.92

N refers to the number of observations across time, not the number of participants. Hazard ratios were computed by taking the exponent of the estimate. Probabilities were then computed from hazard ratios

were included. For e-cigarettes, fit indices of models with time specified as linear and quadratic (AICs = 422.1, 424.1) did not favor a particular model (Burnham & Anderson, 2002). Fit indices for alcohol models with linear and quadratic trajectories (AICs = 739.8, 741.0) likewise did not provide support for one model over another. Linear and quadratic trajectories were also assessed in alcohol and e-cigarette models with predictors included. There was no significant difference between linear and quadratic models (AICs = 374.2, 375.6) for alcohol initiation, and the quadratic model did not converge for e-cigarette initiation. Ultimately, time was specified in the models as linear, favoring parsimony.

### Results

#### **Descriptive Statistics**

Peer delinquency summed scores for 6th (M(SD) = 0.77(1.90)), 7th (M(SD) = 0.74(1.97)), and 8th grade (M(SD) = 0.97(2.10)) ranged from 0–14. Of youth with siblings, 23.7% (n = 88), 27.1% (n = 95), and 34.8% (n = 120) reported sibling alcohol use across 6th, 7th, and 8th grade, respectively. Sibling e-cigarette use was endorsed by 11.6% (n = 43), 13.4% (n = 47), and 17.0% (n = 57) of 6th, 7th, and 8th grade students. Between 6.0–6.9% of youth reported that they had no siblings and were treated as missing data. Of youths endorsing sibling alcohol use, 94.2% indicated that their sibling was older. For those endorsing sibling e-cigarette use, 97.9% reported that their sibling was older. Youths reported consistently disapproving parental approval about alcohol across 6th (M(SD) = 1.17(0.49)), 7th (M(SD) = 1.14(0.43)), and 8th grade

(M(SD) = 1.24(0.52)). Parental approval about e-cigarettes were also consistent for 6th (M(SD) = 1.12(0.47)), 7th (M(SD) = 1.12(0.42)), and 8th grade students (M(SD) = 1.17(0.50)).

Youths' cohort membership was correlated only with time (6th, 7th, and 8th grade), which is unsurprising given the structure of the data. Gender was negatively associated with parental approval about alcohol and e-cigarettes with boys reporting greater parental approval than girls.

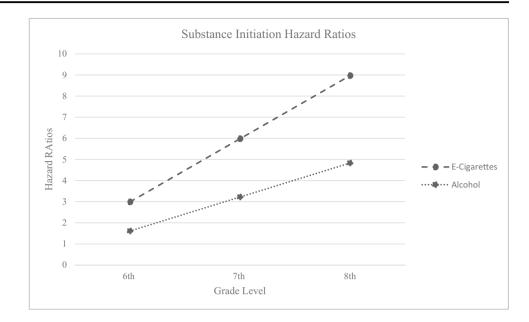
E-cigarette initiation steadily increased across 6th (3.00%, n = 12), 7th (4.60%, n = 17), and 8th (6.40%, n = 17)n = 23) grade. Rates of alcohol initiation were stable from 6th (11.00%, n = 43) to 7th grade (9.70%, n = 34) and 7th to 8th grade (12.00%, n = 39). Lifetime prevalence rates of e-cigarette use increased across 6th (3.00%, n = 12), 7th (5.30%, n = 20), and 8th (8.10%, n = 30). Lifetime rates of alcohol use were similar for 6th (11.00%, n = 43) and 7th (10.90%, n = 41) grade, but higher for 8<sup>th</sup> grade (17.10%, 10.90%)n = 63). Rates of alcohol initiation for this middle school sample correspond with rates of lifetime use of a large national study (21.7% of 8th graders; Johnston et al., 2021). Rates of e-cigarette initiation are slightly less than expected compared with the youth from same large national study (17.5% of 8th graders; Johnston et al., 2021), which may be because of the study's entirely rural sample (Pesko & Robarts, 2017).

#### **Survival Analysis**

#### **E-Cigarettes**

Fixed effects estimates, significance, hazard ratios, and probabilities are reported in Table 2. The exponentials of the fixed effects' estimates were taken to produce the hazard

**Fig. 2** Hazard odds ratios for E-cigarette and alcohol initiation from 6th to 8th grade. Hazard odds ratios are taken from time estimates of models 1 and 2



ratios, and probabilities were computed from the hazard ratios. Hazard ratios, which we also reported as probabilities, were consulted to determine the magnitude of effects (Schober & Vetter, 2018) wherein the hazard ratio is the probability that risk of initiation will happen for every 1 unit increase in the value of the predictor. For e-cigarette use, time was a significant predictor, and risk for e-cigarette use increased from 6th to 8th grade with a 75% increased risk of e-cigarette initiation per year (see Fig. 2). Gender was not a significant predictor of e-cigarette initiation. Peer delinquency was a significant predictor of e-cigarette initiation with risk of initiation increasing 59% per unit increase of their peer delinquency score. Sibling e-cigarette use was associated with e-cigarette initiation, with an 87% increased risk of initiation for youth with a sibling who uses e-cigarettes. Finally, parental approval about e-cigarettes predicted e-cigarette initiation, with each unit increase in approval corresponding to an increased risk of e-cigarette initiation of 88%.

#### Alcohol

Though probability of alcohol initiation increased over time (Fig. 2), the estimate for time was non-significant. This suggests that risk for alcohol initiation did not change linearly as youth aged from 6th to 8th grade. Gender was also not predictive of alcohol use initiation. Peer delinquency was a significant predictor of alcohol use initiation. The probability was 0.57, suggesting that every unit increase in peer delinquency scores corresponded with a 57% greater risk of alcohol initiation. Sibling alcohol use was only marginally statistically associated with alcohol initiation (b = 1.03, p = 0.051). Finally, parental approval significantly predicted alcohol use, with each unit increase in parents' approval increasing the risk of alcohol initiation 92%.

# Discussion

The present study advances extant literature by identifying social risk factors for e-cigarette initiation in a rural sample of middle school-age youth within a social learning theory framework. This research directly informs the timing of prevention and intervention efforts as well as specific targets for intervention (i.e., peers, siblings, and parents). Our first hypothesis was supported for e-cigarettes, with risk of e-cigarette initiation increasing by 75% annually, which is consistent with evidence suggesting that e-cigarette use increases throughout adolescence (Johnston et al., 2020). However, estimates were non-significant for alcohol use, suggesting that risk of alcohol initiation remained elevated and stable from 6th to 8th grade. That is, youth used alcohol throughout middle school, but the risk of alcohol use initiation did not change from 6th to 8th grade. These findings contrast with prior research that shows that risk of initiation increases from early to middle adolescence (Lopez-Vergara et al., 2016). Perhaps these discrepant findings are unique to the present study's sample (e.g., predominantly White youth from the only middle school in the community), though there is evidence that rates of lifetime use increase across middle school for rural as well as urban youth (Warren et al., 2017).

Our second hypothesis that parental approval would predict risk of initiation was supported for both e-cigarettes (and alcohol) in this rural sample of youth. Parents advance norms about substance use (Wilson & Donnermeyer, 2006), and parental approval may also be indicative of substancepositive environments (Donovan & Molina, 2011), where substances are more available, substance use is not sanctioned, or substance use is socially rewarded. Parental approval may also be related to parent substance use behaviors, which present opportunities for children to witness and model their parents' behaviors (Rusby et al., 2018). Finally, parental attitudes about substances may also be related to parent-child communication about drug use with ongoing discourse that utilizes personal experiences and integrates consequences for substance use behaviors being most effective for prevention (Miller-Day & Dodd, 2004). While youth gradually begin to differentiate themselves from their family during adolescence (Schuler et al., 2019), parents remain powerful social influences during middle school (Salvy et al., 2014), and the present study confirmed these influences on substance use within a rural sample.

Our third hypothesis that sibling use would be associated with increased risk of initiation was supported for e-cigarette, but not alcohol, initiation. Sibling use predicted e-cigarette use, which aligns with previous findings for e-cigarette (Fite et al., 2018) and other substance use (Fagan & Najman, 2005; Maiya et al., 2023; Whiteman et al., 2013). Siblings are family members like parents but are often similar in age like peers (Stormshak et al., 2004), and siblings effectively model and reinforce substance use behaviors (Fagan & Najman, 2005; Maiya et al., 2023; Whiteman et al., 2013). However, sibling use did not predict alcohol use, which may suggest that sibling influence on alcohol use may not be as strong as other influences in this age group when evaluated alongside peer delinquency and parental approval. It is worth noting that the effect sizes for sibling use were larger than those of peer delinquency in both e-cigarette and alcohol models and that the effect was significant for e-cigarettes and nearly significant (p = 0.051) for alcohol. Though prior research has identified both peers and siblings as important agents of social learning in substance use, there is evidence that sibling deviant behaviors, compared with peer deviance, better predict substance use over time (Stormshak et al., 2004). In contrast, one study identified peer substance use as a predictor of high-volume drinking episodes and intoxication frequency at 3- and 6-month follow-up, while sibling use only predicted drinking volume at 3 months (Yurasek et al., 2019). Schuler and colleagues (2019) also found that associations between adolescent use and friend use were stronger than those between adolescents and siblings across middle and high school. Though sibling use was not significantly associated with risk of alcohol initiation, findings from the present study may lend support to the influence of siblings over peers on substance use behaviors among rural youth.

Finally, consistent with our fourth hypothesis and prior research (Barnow et al., 2004; Ferguson & Meehan, 2011; Hoeben et al., 2021; Trucco et al., 2011; Widdowson et al., 2020; Schuler et al., 2019; Yurasek et al., 2019), peer delinquency contributed to both e-cigarette and alcohol initiation in the present study. Peer delinquency likely influences substance use behaviors by increasing adolescents' engagement in delinquent behaviors, including substance use (Hoeben et al., 2021). Peers who engage in delinquent behaviors may both model and reinforce these behaviors (Trucco et al., 2011). Furthermore, peer delinquency informs social expectations around substance use (Ferguson & Meehan, 2011; Widdowson et al., 2020), and may be more influential of these norms than family or media (Ferguson & Meehan, 2011). While peer e-cigarette use is related to greater adolescent e-cigarette use (Rocheleau et al., 2020), the present study identifies peer delinquency as a risk factor for alcohol and e-cigarette use in a rural sample where access to peers may be reduced (Brody & Murry, 2001).

As expected, within this rural sample, family factors (i.e., parent approval and sibling use) were more salient than peer delinquency for e-cigarette use, with parental approval and sibling behaviors conferring greater risk probabilities for initiation than peer delinquency. Parental approval also had a greater risk probability for alcohol initiation than peer delinquency, though sibling use was not significantly associated with risk of alcohol initiation. These findings may suggest that rural youth are especially subject to parental influences (De Haan et al., 2010), potentially because they have reduced access to peers compared with urban youth (Brody & Murry, 2001). Gender was not a significant predictor for either e-cigarette or alcohol initiation. National trends for alcohol use identify narrowing differences between genders (Johnston et al., 2020), and this discrepancy is minuscule for youth in 8th grade.

#### Strengths, Limitations, and Future Directions

There were several methodological strengths in this study. Firstly, we utilized an accelerated longitudinal design to combine adjacent cohorts to examine substance initiation longitudinally, which allowed us to examine age-related developmental changes (Galbraith et al., 2017). The present study's focus on early initiation was also a particular strength since early substance initiation is linked with numerous developmental consequences (Hingson et al., 2006; Johnston et al., 2014). The study's rural sample was a significant strength since limited research suggests that rural youth differ from urban youth in substance use prevalence (Lambert et al., 2008; Martino et al., 2008) and in e-cigarette use specifically (Dai et al., 2021). In addition to rural youth being underrepresented in substance use research, access to health services is reduced in rural areas (Mumford et al., 2019), making prevention efforts for this population essential.

The findings of the present study should be interpreted considering its limitations. Race and ethnicity were not assessed, though there is evidence of racial and ethnic differences in adolescent substance use (Johnston et al., 2021). Overall, the sample was from a racially homogenous community (87.7% White), which limits the generalizability of the findings. Six youth were excluded because of their inconsistent reports of gender or their self-report of their gender as "other." Future studies should evaluate trends of e-cigarette and alcohol use trends among transgender or gender expansive youth. Perceptions of peer delinquency and parental approval, as opposed to perceived peer and parent substance use, were considered in the present study. Because of data collection limitations, peer delinquency, but not peer alcohol or e-cigarette use, was included as a model predictor for alcohol and e-cigarette initiation. While there are benefits of including a broader risk factor social risk factor (i.e., peer delinquency) for a more specific behavior (i.e., substance use), this is a potential limitation of the current study. Though prior research has identified peer delinquency (Barnow et al., 2004; Ferguson & Meehan, 2011; Hoeben et al., 2021; Trucco et al., 2011) and parental approval (Donovan & Molina, 2011; Fite et al., 2018; Sale et al., 2003) as risk factors for youth substance behaviors, future research may benefit from including measures with greater consistency across social influences (e.g., perceived peer, sibling, and parent substance use behaviors as well as peer and sibling delinquency). Future research may also wish to compare peer delinquency with peer substance use as predictors of substance initiation. Youth reported on their own perceptions of peer, sibling, and parents' behaviors or approval. Though these perceptions are influential factors for substance initiation (D'Amico & McCarthy, 2006; Salvy et al., 2014), future research would benefit from the inclusion of peer, sibling, and parent self-reports of their own behaviors and approval of substances. Sibling substance use was a dichotomous predictor and did not capture the frequency or extent of sibling substance use. Having multiple siblings that use substances, having some but not all siblings that use substances, and having older versus younger siblings that use substances may all differentially contribute to risk for substance initiation. Future studies may also benefit from examining sibling gender in relation to influence on substance use. Additionally, future studies should consider whether there are differences in the influence of peers on youth with and without siblings. Finally, other individual attributes (e.g., substance willingness; Gerrard et al., 2008) and environmental factors (e.g., parental divorce; Sartor et al., 2007) have been linked with substance use among adolescents and would be informative if included in future studies timing of initiation.

Future studies should also incorporate more frequent measurement occasions (e.g., every 6 months), which would provide more information about timing of initiation. Similarly, the current study only modeled within-time social influences because of concerns that data missingness and a reduced number of time points (i.e., two time points) would present power issues for time-lagged analyses (Jóźwiak & Moerbeek, 2012). Because of limitations of the statistical package used, the present study utilized listwise deletion within time, which is robust to violations of missing completely at random (MCAR) and missing at random (MAR; Allison, 2010). Though the present study benefited from a large sample size, future studies conducting survival analyses may benefit from using imputation methods (e.g., full information maximum likelihood) to handle missing data. Additional data points would better allow antecedent social influences to be examined. Since there may be meaningful interactive effects for these model covariates, future studies should conduct theory-driven evaluations of potential interactions between gender, perceived peer delinquency, perceived sibling substance use, and perceived parental approval about substance use on youth risk for initiation.

There are several implications that can be gleaned from the present study. In the present study, e-cigarette initiation was evident as early as 6th grade and risk doubled annually throughout middle school, though overall rates remained low. Findings indicate that prevention approaches need to occur prior to middle school, and prevention efforts should continue throughout middle school. Results also indicate that peer delinquency, sibling substance use, and parental approval increase the likelihood of initiation several times over. Preventive education may focus on e-cigarettes' negative health consequences, addictive nature, or gateway effects for traditional tobacco use to combat the influence of peers (Park et al., 2019). Successful prevention programs should incorporate parents, and combined student- and parent-based programs show promise for preventing adolescent alcohol and drug use (Newton et al., 2017). Targeted parent-child communication about alcohol may influence vouth perceptions of alcohol use and reduce adolescent alcohol use (Miller-Day & Dodd, 2004; Miller-Day & Kam, 2010), so interventions aimed to facilitate communication about substance use may benefit youth and their families (Choi et al., 2017). E-cigarette findings in the present study and prior research evidence the importance of targeting sibling relationships for prevention and intervention efforts for adolescent substance use behaviors. Substance use preventive interventions should incorporate siblings and encourage siblings to engage in constructive activities with one another (Feinberg et al., 2012). Parent-focused interventions may also empower parents to manage sibling relationships by reducing sibling collusion and conflict (Low et al., 2012). Although links between social influences and substance use have been well-documented, the present study provides support for the influence of peers, siblings, and parents on early e-cigarette and alcohol initiation among rural middle school-age youth.

#### **Data Availability**

Data and R code are available upon request.

#### **Compliance with Ethical Standards**

Conflict of Interests The authors declare no competing interests.

**Consent to Participate** Informed consent was obtained from all legal guardians of minor participants included in the study, and guardians provided informed consent regarding to publishing participant data.

**Ethics Approval** Approval was obtained from the institutional review board of the University of Kansas. All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

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