#### **RESEARCH**



# Comparison of different methods for extraction of phycocyanin from the cyanobacterium *Arthrospira maxima* (Spirulina)

Jan Kuhnholz<sup>1</sup> · Till Glockow<sup>1</sup> · Verena Siebecke<sup>1</sup> · Anh Thu Le<sup>2</sup> · Long-Dinh Tran<sup>2</sup> · Anja Noke<sup>1</sup>

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#### Abstract

Phycocyanin is an interesting alternative to synthetic food colorants. Various methods to obtain phycocyanin from *Arthrospira* (Spirulina) biomass have been described in the literature, including ultrasonication, glass bead extraction and freeze-thawing. In this study, three optimized procedures were implemented to assess their efficacy in obtaining phycocyanin from *Arthrospira maxima* biomass, facilitating a comparative analysis of their effectiveness. After harvesting the biomass, extraction processes were conducted utilizing ultrasonication followed by flocculation with chitosan in various organic acid solutions, as well as glass bead extraction and freeze-thawing techniques, each followed by centrifugation. The obtained extracts were analyzed spectrophotometrically across the wavelength range of 280 to 800 nm. The freeze-thawing method yielded the highest C-PC contents at  $17.03 \pm 0.53\%$ , followed closely by the ultrasonication method at  $15.21 \pm 0.41\%$ . The highest purity of  $2.02 \pm 0.01$  was attained through ultrasonication and subsequent flocculation with chitosan in acetic acid. Conversely, employing chitosan dissolved in citric or lactic acid for flocculation resulted in greenish extracts containing high amounts of chlorophyll.

**Keywords** Spirulina · Phycocyanin · Ultrasonication · Glass beads · Freeze-thawing · Extraction

#### Introduction

In recent years consumer awareness of the potential risks posed by certain food additives has increased. This is particularly evident in the case of synthetic food colorants, as several of these dyes have been shown to elevate the risk of developing cancer or immunological diseases (Martelli et al. 2014). Consequently, laws prohibit the use of known harmful substances in food production. However, other synthetic food dyes remain available for industrial purposes due to their cost-effectiveness, high efficacy, reliability, and chemical stability (Chen et al. 1998). Specifically concerning blue food colorants, the industry faces a shortage of alternatives to synthetic dyes (Newsome et al. 2014).

One alternative could be phycocyanin (PC), a protein found in cyanobacteria (C-PC) and Rhodophyta (R-PC), where it plays a major role in photosynthesis as an accessory pigment of bright cobalt-blue color (Horváth et al. 2013; Singh et al. 2015). The PC molecule consists of two subunits,  $\alpha$  (ca. 19 kDa) and  $\beta$  (ca. 21 kDa). Within the cell, the PC mostly occurs in its trimeric  $(\alpha\beta)_3$  or hexameric  $(\alpha\beta)_6$  form, displaying a ring-like structure of one ring (trimer) or two stacked rings (hexamer) (Abalde et al. 1998). PC is hydrophilic and belongs to the group of phycobiliproteins (PBP). The bright blue color of PC is due to the covalently bound chromophore phycocyanobilin (PCB), a tetrapyrrole derivative attached to the apoprotein by thioether bounds at the 84th amino acid in both the  $\alpha$  and the  $\beta$  subunit. Additionally, a third PCB group is attached to the 155<sup>th</sup> amino acid of the β subunit. The amino acid sequence of PC mostly forms helical areas, displaying a topological structure similar to the heme group in the myoglobin molecule (Stec et al. 1999). In cyanobacteria, C-PC hexamers are part of the so-called phycobilisomes (PBS). These PBS are protein structures with antenna-like protein stacks consisting of C-PC and phycoerythrin (PE), another PBP of reddish color. The antennas are attached to a third light-blue PBP, allophycocyanin (APC), which itself is attached to photosystem II within the thylakoid membrane of cyanobacteria and eukaryotic chloroplasts. These PBS enable the utilization



<sup>☐</sup> Jan Kuhnholz jan.kuhnholz@hs-bremen.de

Hochschule Bremen, City University of Applied Sciences Bremen, Neustadtswall 30, 28199 Bremen, Germany

Ho Chi Minh City University of Technology, 268 Ly Thuong Kiet Street, District 10, Ho Chi Minh City, Vietnam

of light energy by electron transfer for the photosystem II, making it possible for the cyanobacterium to perform photosynthesis (Samsonoff and MacColl 2001; Singh et al. 2015). Moreover, it also has been demonstrated that photosystem I is also provided with energy from phycobilins (Mullineaux 2008; Singh et al. 2015).

In addition to its use as a food colorant, phycocyanin (PC) is recognized for its antioxidative capacity and is therefore a subject of ongoing research. The incorporation of phycocyanin into a mayonnaise product enhanced its antioxidative capacity, qualifying the resulting product as a functional food (Khorsand et al. 2021). Studies investigating the impact of C-PC in the diet of European seabass under heat stress conditions have demonstrated its ability to enhance the fish's resistance to heat stress (Islam et al. 2021). Similarly, research on Nile tilapia subjected to heat stress has yielded comparable findings, albeit with a much higher dosage of C-PC per kilogram of feed used in the experiment (El-Araby et al. 2022). Furthermore, the beneficial effects of supplementing feed with C-PC have been observed in mammals, particularly rabbits (Abdelnour et al. 2020).

The majority of phycocyanin (PC) for industrial demand is typically extracted from cyanobacteria, specifically Arthrospira platensis or Arthrospira maxima, commonly referred to as Spirulina (Eriksen 2008; Sekar and Chandramohan 2008; Moraes et al. 2011). Like all cyanobacteria, Spirulina performs oxygenic photosynthesis to obtain energy for the synthesis of sugar molecules. Spirulina consists of unicellular species that collectively form long helicallyshaped filaments (Tomaselli 1997). For optimal growth, Spirulina requires a temperature between 30 and 35°C, and a pH between 9 and 11 (Usharani et al. 2012). The natural habitats of Spirulina are tropical or subtropical water bodies with high concentrations of carbonates and bicarbonates (Rajasekaran et al. 2016). The beneficial value of Spirulina for human nutrition is contributed by the high protein content of 55-70 % by reference to the dry matter content (Oliveira et al. 1999; Babadzhanov et al. 2004; Aouir et al. 2017). Besides, Spirulina is rich in polysaccharides, unsaturated fatty acids, vitamins, minerals and antioxidative substances like C-PC (Rajasekaran et al. 2016; Jung et al. 2019). It is also assumed, that the intake of large amounts of intact bacteria cells has a positive impact on the competence of the immune system and displays anti-inflammatory, antioxidative, and anti-carcinogenic properties (Hayashi et al. 2006; Eriksen 2008). That makes the cyanobacterium itself a valuable food for human nutrition. The United States Food and Drug Administration allowed the use of Spirulina products in 2013 for various food categories like bakery products, ice cream, beverages, and chewing gums (FDA 2013). The market volume for Spirulina products in 2016 was estimated to be 700 million US dollars and predicted to reach 2 billion US dollars by 2026 (Soni et al. 2021).

been described, including freeze-thawing (Doke 2005; Prabhath et al. 2019; Tan et al. 2020), glass bead extraction (Moraes et al. 2011), and ultrasonication (Furuki et al. 2003). A common problem in C-PC extraction is the presence of chlorophyll in the extract (Doke 2005; Günerken et al. 2015; Li et al. 2020). In this study, three different extraction methods were compared to evaluate the C-PC yield, purity, and selectivity obtained by these methods. Fresh biomass harvested from one single culture was used to perform all three extractions simultaneously. Freeze-thawing and glass bead disruption were performed followed by centrifugation. As an interesting alternative, ultrasonication and subsequent flocculation with chitosan-acid solution was established. This method offers the advantage of replacing the expensive centrifugation step with flocculation, which can be more easily scaled up.

For the extraction of phycocyanin, various methods have

# **Materials and methods**

#### Spirulina cultivation

Arthrospia maxima UTEX 2342 (purchased from Culture Collection of Algae, University of Texas, Austin, USA). It was cultivated in a 10 L algabag (algatec GbR, Germany) in half-concentrated Spirulina medium (by Culture Collection of Algae Göttingen, Germany, version of March 2007) at 25°C for 33 days. The culture was aerated and the light intensity was set at 63 μmol photons m<sup>-2</sup> s<sup>-1</sup> emitted by VALOYA C75 DIM spectrum AP67 (Valoya Ltd, Finland). Biomass increase was measured using photometric absorption measurement at 800 nm (OD<sub>800</sub>).

## **Biomass harvesting**

The biomass was harvested during the exponential growth phase at  $OD_{800}=1.32$  and concentrated by filtering through a 40 µm mesh tissue. The concentrated biomass was then washed twice by adding deionized water in 50 mL tubes (1:2 w/v), thoroughly shaken, centrifuged (3,500 rpm, 10 min), and the supernatant was discarded. The washed biomass was stored at 4°C for 18 h. Subsequently, the dry matter content was measured thermo-gravimetrically and the biomass was used for C-PC extraction. In total, 28.83 g of wet biomass with a dry matter content of 12.58 % were obtained and used for the three different extraction procedures described below.

#### **Ultrasonication-assisted extraction**

For cell lysis and C-PC extraction using ultrasonication, 15 g of the harvested biomass were mixed with 135 g of deionized water (1:10, w/w) to adjust the dry matter content



to 1.26 %. The cell suspension was then processed using a UP100H ultrasonic processor with MS7D sonotrode (0.8 s interval, 100 % intensity) and D7K flow-through cell (Hielscher Ultrasonics GmbH, Germany). The processing was carried out using a peristaltic pump (100 mL s<sup>-1</sup>) and ultrasonicated for 27 min (equivalent to 18 flow-through cycles). After confirming successful cell disruption by microscopy, the cell suspension was divided into 3 subsamples, each with a volume of 50 mL, and stored at 4°C for 1 h. Each subsample was then mixed with 5 g of a 1 % chitosan Heppix AS solution (Separ Chemie GmbH, Germany). The chitosan was dissolved beforehand in either acetic acid, citric acid, or lactic acid (10 % acid concentration each). After adding the different chitosan-acid solutions, all three subsamples were stirred for 10 min at 80 rpm and then filtered with a 60 µm plankton sieve. The resulting filtrates were considered the C-PC extracts, and the pH and absorption spectrum were measured.

### Freeze-thawing

The remaining biomass (10 g) was mixed with 40 mL  $CaCl_2$  solution (10 g  $L^{-1}$ ). An aliquot of 2 mL was taken and used for glass bead extraction (as described in the next chapter). The remaining cell suspension was divided into several micro reaction tubes and frozen at -80°C. After 18 h, the cell suspension was thawed at room temperature for 4 h and then refrozen at -80°C. After another 20 h, the cell suspension was thawed for 4 h and then centrifuged (10,000 rpm, 30 min, 4°C). The supernatants were measured photometrically.

# **Glass bead extraction**

A 2 mL aliquot of the cell suspension in  $CaCl_2$  solution (10 g L<sup>-1</sup>), as mentioned before, was used for glass bead extraction. For every replicate, 500  $\mu$ L of the cell suspension was pipetted into a micro reaction tube already filled with 500 mg of glass beads (Ø 0.25 – 0.5 mm; Verder Scientific GmbH & Co. KG, Germany). The micro reaction tubes were applied to a bead mill (Retsch bead mill MM301; Verder Scientific) and underwent cell lysis using 4 disruption cycles with 30 Hz for 25 s each with 30 s of cooling phase in between. After disruption, the samples where kept on ice and then centrifuged (10,000 rpm, 30 min, 4°C). The bluish supernatants were measured photometrically.

### **Photometric analysis**

The C-PC concentration and purity were determined by measuring the absorption spectrum from 280 to 800 nm using a Genesys 50 UV/VIS spectrophotometer (Thermo-Fisher Scientific Inc., USA). Prior to measurement, the extracts were appropriately diluted with deionized water to

ensure they fell within the linear measuring range. Concentrations and purities were calculated using equations originally proposed by Bennett and Bogorad (1973):

(1) 
$$c_{C-PC}[mg \cdot mL^{-1}] = \frac{A_{620} - 0.474 * A_{650}}{5.34}$$

(2) 
$$purity_{C-PC}[-] = \frac{A_{620}}{A_{280}}$$

where  $C_{C-PC}$  is C-PC concentration in the extract;  $A_x$  is absorption of the final extract at the wavelength x;  $purity_{C-PC}$  is the purity of the C-PC in the extract calculated as the ratio of absorptions at 620 nm and 280 nm. C-PC content is expressed as percentage (w/w) (representing gram C-PC per 100 gram dry matter). The selectivity as the ratio of the absorption at 620 nm and 680 nm was elected as an indicator to evaluate the presence of undesired chlorophyll a in the extract.

(3) selectivity 
$$[-] = \frac{A_{620}}{A_{680}}$$

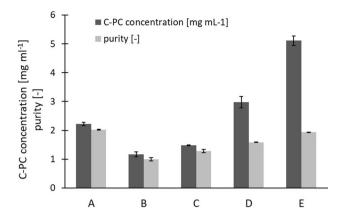
where  $A_X$  is absorption of the final extract at the wavelength X.

The mean absorption spectra of all five extracts were generated by calculating the arithmetic mean of the measured wavelengths for each extract. Subsequently, the five mean absorption spectra were normalized by setting the absorption at 620 nm as 1 (equivalent to 100 %) and assigning relative values to all other absorptions based on this reference point. To achieve this, the lowest measured absorption was subtracted from every absorption in the spectrum. Then, each of these values was multiplied by the reciprocal of the absorption at 620 nm (after subtracting the lowest measured absorption).

# **Statistical analysis**

The glass bead extraction as well as the freeze-thawing extraction were conducted as separate triplicates (n=3). The three results were utilized to compute the arithmetic mean  $(\bar{x})$  and standard deviation (SD). Conversely, the ultrasonication-assisted extraction was not performed in separate triplicates. Instead, the cell suspension was prepared in a single batch. Subsequent to cell disruption, this suspension was divided into three subsamples, and each was treated with a different chitosan-acid solution for flocculation. The extract resulting from each of the three different treatments was measured three times (n=3). Following measurement, the three results for each extract were used to calculate the arithmetic mean  $(\bar{x})$  and standard deviation (SD).



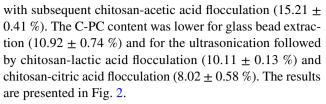


**Fig. 1** C-PC concentration and purity of extracts obtained from *A. maxima* by different extraction methods: ultrasonication followed by flocculation with chitosan in acetic acid (A), citric acid (B), lactic acid (C); glass bead extraction followed by centrifugation (D); freezethawing followed by centrifugation (E); values display the arithmetic mean of the triplicates (n=3); error bars show the standard deviations

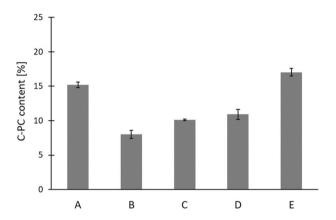
## Results

The five resulting C-PC extracts (ultrasonication with chitosan-acetic acid, chitosan-citric acid, and chitosan-lactic acid flocculation solution, glass bead extraction, and freeze thawing) were analyzed spectrophotometrically. The dry matter content of the initial biomass (washed and concentrated) was  $12.58 \pm 0.84$  %. The total yield of dry matter from the culture was 0.76 g L<sup>-1</sup>. For the ultrasonication-assisted extraction, the dry matter content of the cell suspension was adjusted to 1.26 % with deionized water. For both glass bead extraction and freeze-thawing extraction, the dry matter content was adjusted to approximately 2.5 % with CaCl<sub>2</sub> solution.

The C-PC concentrations of the five different extracts were:  $5.11 \pm 0.16 \text{ mg mL}^{-1}$  (freeze-thawing),  $2.98 \pm 0.20$ mg mL<sup>-1</sup> (glass bead extraction),  $2.23 \pm 0.06$  mg mL<sup>-1</sup> (ultrasonication and subsequent chitosan-acetic acid flocculation),  $1.17 \pm 0.08$  mg mL<sup>-1</sup> (ultrasonication and subsequent chitosan-citric acid flocculation), and  $1.48 \pm 0.02$  mg mL<sup>-1</sup> (ultrasonication with subsequent chitosan-lactic acid flocculation). The highest purity of C-PC was observed in the ultrasonicated sample with subsequent chitosan-acetic acid flocculation (2.02  $\pm$  0.01) and the freeze-thawed sample (1.94  $\pm$  0.01). The lowest purity was found in the ultrasonicated samples followed by chitosan-citric and lactic acid flocculation (1.00  $\pm$  0.06 and 1.28  $\pm$  0.06, respectively). The extract obtained by glass bead extraction and centrifugation had a purity of 1.58  $\pm$  0.01. C-PC concentration and purity of the five final extracts are displayed in Fig. 1. With regard to the dry matter content used for the different extraction methods, the highest C-PC content was  $17.03 \pm 0.53 \%$ for the freeze-thawed samples, followed by ultrasonication



The normalized absorption spectra of the five different extracts (Fig. 3) all showed a distinct peak with maximum absorption at 620 nm (ultrasonication with flocculation) or 616 nm (glass bead extraction and freeze-thawing). The ultrasonicated samples with flocculated with chitosan-citric and lactic acid solution additionally showed higher absorption in the area from 280 nm to 480 nm and around 680 nm. The glass bead extracted and the freeze-thawed samples displayed a marginal higher absorbance at around 650 nm.

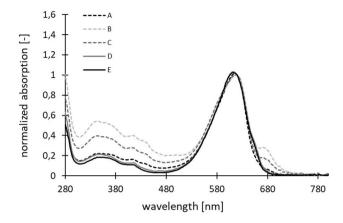


**Fig. 2** C-PC contents of *A. maxima* biomass obtained by different extraction methods: ultrasonication followed by flocculation with chitosan in acetic acid (A), citric acid (B), lactic acid (C); glass bead extraction followed by centrifugation (D); freeze-thawing followed by centrifugation (E); values display the arithmetic mean of the triplicates (n=3); error bars show the standard deviations

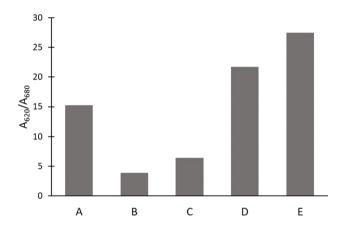
The ratio of the absorption of 620 nm to 680 nm used as an indicator for the selectivity of the extraction method was highest for freeze-thawing (27) and glass bead extraction (22) (Fig. 4). The bluish extract of the ultrasonicated sample treated with chitosan-acetic acid solution had a ratio of around 15. The ultrasonicated samples treated with chitosancitric and lactic acid flocculation had values of ca. 4 and 2, respectively.

The final pH of the ultrasonicated extracts was 4.03 (for chitosan-acetic acid flocculation), 3.14 (for chitosan-citric acid flocculation), 3.02 (for chitosan-lactic acid flocculation). The pH of the extract obtained by bead mill extraction was 7.14, while the freeze-thawing extract had a pH of 6.49. The freeze-thawed extract, the extract from glass bead extraction and the one from ultrasonication using chitosan-acetic acid solution for flocculation exhibited an intense bluish colour ('cobalt blue'), while





**Fig. 3** Normalized absorption spectra of C-PC extracts obtained from *A. maxima* by different extraction methods: ultrasonication followed by flocculation with chitosan in acetic acid (A), citric acid (B), lactic acid (C); glass bead extraction followed by centrifugation (D); freezethawing followed by centrifugation (E)



**Fig. 4** Ratio of absorption at 620 nm ( $A_{620}$ ) to absorption at 680 nm ( $A_{680}$ ) as an indicator for the selectivity of C-PC extracts obtained from *A. maxima* by different extraction methods: ultrasonication followed by flocculation with chitosan in acetic acid (A), citric acid (B), lactic acid (C); glass bead extraction followed by centrifugation (D); freeze-thawing followed by centrifugation (E); values display the  $A_{620}/A_{680}$ -values of the normalized spectra

the ultrasonicated samples with citric and lactic acidcontaining flocculation solution displayed a more greenish proportion ('aqua green') in their color composition (Fig. 5).

# **Discussion**

While *A. platensis* is well known for its C-PC content and has been the subject of various studies regarding extraction methods, publications on the extraction of C-PC from *A. maxima* are less numerous. However, at the cellular level, both, *A. platensis* and *A. maxima* exhibit remarkable

similarity, despite minor differences in cell morphology and the trichomes have been described (Tomaselli 1997). The chemical composition of both species is also very similar with respect to protein, carbohydrate, and lipid content, as well as the fatty acid composition. Contrarily, *A. maxima* demonstrated a better growth when culturing temperature was chosen to be between 20 and 40°C. At optimal culturing temperature of 30°C, the protein content in *A. maxima* was slightly higher than in *A. platensis*, while not varying significantly at other temperatures (Oliveira et al. 1999).

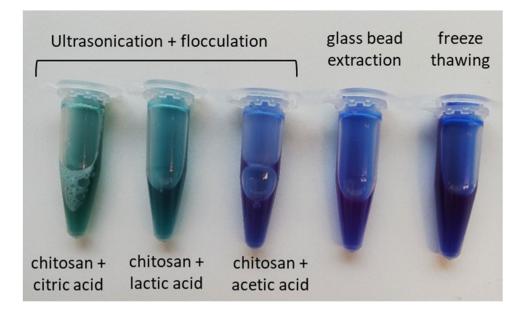
#### **CPC concentration and content**

The freeze-thawing method, which has been described as one of the most effective and simplest methods for C-PC extraction (Tan et al. 2020), provided the highest C-PC concentration (5.11 mg mL<sup>-1</sup>) in this study. This value exceeds most reported values for C-PC concentration in literature for C-PC extracts from A. maxima (Nisticò et al. 2022) and A. platensis (Silveira et al. 2007; Moraes et al. 2011; Aoki et al. 2021). For glass bead extraction, conducted with the same biomass concentration as the freeze-thawing extraction, the C-PC concentration was found to be 2.98 mg mL<sup>-1</sup>. The lower C-PC concentration in the glass bead extracts (approximately 60% compared to freeze-thawing approach) can be attributed to less effective cell disruption. The ultrasonication method, which utilized lower biomass concentrations, led to extracts with C-PC concentrations ranging from 2.23 to 1.17 mg mL<sup>-1</sup>. The C-PC concentration in the resulting extract is strongly influenced by the dry matter concentration used, while the C-PC yield per dry matter is not. However, Tan et al (2020) stated that in freeze-thawing extraction, even a dry matter contents of 4 % can lead to reduced C-PC contents compared to 0.5 and 2 %, which gave higher C-PC contents not significantly differing among each other. Initial dry matter contents of more than 8 % were shown to result in high concentrated cell suspensions in which extractant efficiency is reduced (Silveira et al. 2007).

In this study the freeze-thawing method obtained the highest C-PC content (17.03 %) indicating the most effective extraction procedure. This was followed by the ultrasonication method combined with flocculation using chitosan in acetic acid (15.21 %). These values exceed those reported in most other publications for C-PC extraction from *A. platensis* using various methods and for extraction from *A. maxima* via stirring for 24 h (Nisticò et al. 2022). A comparison of the results from this study with those from other publications can be found in Tab. 1. In all of these publications, deionized water or sodium phosphate buffers were used for C-PC extraction. However, in this study, a calcium chloride solution was used because previous experiments (results not shown) demonstrated its superiority over other extractants (deionized water, phosphate buffers). Therefore, the high



**Fig. 5** Photograph of C-PC extracts obtained from *A. maxima* by different extraction methods. Extracts are not diluted



C-PC contents obtained via freeze-thawing can be partially attributed to the choice of extractant. This contrasts with the results on the efficiency of different extractants, which found no significant differences between sodium chloride solution, calcium chloride solution, deionized water, and phosphate buffer (pH 7) in terms of the C-PC concentration obtained (Silveira et al. 2007). Interestingly, Tan et al. (2020) who also employed the freeze-thawing extraction method, reported a similar C-PC content of 17.28 % (and also a similar purity). However, Ruiz-Domínguez et al. (2019) using spray-dried A. maxima powder demonstrated that less common cell disruption methods such as high-pressure homogenization and microwaving, but also freeze-thawing, could result in even higher C-PC contents of 29.2 %, 21.1%, and 22.6 %, respectively. This is more than the assumed average C-PC content of 14-20 % in Spirulina dry matter (Ali and Saleh 2012; Vernès et al. 2015), indicating that both, the high efficiency of the extraction methods and a high protein or C-PC content of the Spirulina cells due to the strain characteristics or the optimization of culturing conditions contributed to the high C-PC contents. For A. platensis, even simple cell lysis in deionized water could result in a higher C-PC content of 21.1 % (Aoki et al. 2021) using A. platensis NIES-39 strain and SOT medium for cultivation.

The wide range of results reported in literature and this study cannot be solely attributed to the different extraction methods employed, but rather to variations in protein content within the cells. The protein content of *A. platensis* typically ranges from 55 to 70 % of dry matter (Oliveira et al. 1999; Babadzhanov et al. 2004; Aouir et al. 2017). The C-PC content in *A. platensis* has been reported to lie between 14 % (Ali and Saleh 2012) and 20 % (Vernès et al. 2015) of dry matter, indicating that more than 20 %

of the entire proteome in this cyanobacterium is contributed by C-PC. On the other hand, the chemical composition of Spirulina is strongly dependent on the culturing conditions used to grow the biomass (Oliveira et al. 1999; Olguín et al. 2001; Markou et al. 2012; Marrez et al. 2014). For instance, the protein content in A. maxima has been shown to increase at lower temperatures (20-30°C) during cultivation, while higher temperatures lead to an increase in carbohydrate content of the cells (Oliveira et al. 1999). The choice of culture medium also influences the protein content, with the BG-11 medium resulting in higher protein amounts in the biomass compared to modified BG-11 and Zarrouk Medium (Marrez et al. 2014). Standardized media often yield higher protein contents in the final biomass than media utilizing secondary raw materials, likely due to the lack of accessible nitrogen, leading to nitrogen deficiency in the cells when cultivated with non-standardized media (Olguín et al. 2001; Marrez et al. 2014). Additionally, the various available strains display a wide variety in chemical composition which can be attributed to their natural habitat (Aouir et al. 2017). The protein content of Spirulina cells also depends on the light intensity applied during cultivation. Studies have shown that reduced illumination contributed to higher protein contents in A. platensis (Olguín et al. 2001; Markou et al. 2012). Varying culture conditions make the comparability of different extraction methods published in literature difficult.

## **Purity**

The extract obtained by ultrasonication with subsequent chitosan-acetic acid flocculation displayed the highest purity with 2.02. Second highest purity was found in the freeze-thawed



Table 1 Overview of various results for C-PC extraction in other publications compared to the results from this study

Organism	Extraction method	c <sub>C-PC</sub> [mg mL <sup>-1</sup> ]	Purity [-]	C-PC content [%]	Source
A. maxima	НРН	-	-	29.2	Ruiz-Domínguez et al. (2019)
A. maxima	microwaving	-	-	21.5	Ruiz-Domínguez et al. (2019)
A. maxima	Freeze-thawing	5.11	1,94	17.03	this study
A. maxima	Sonication and flocculation	2.23	2,02	15.21	this study
A. maxima	Glass bead extraction	2.98	1,58	10.92	this study
A. maxima	Stirring for 24 h (before UF)	0.23	0,74	11.6	Nisticò et al. (2022)
A. platensis	Lysis in deionized water	0.16	1.76	21.1	Aoki et al. 2021
Arthrospira sp	Freeze-thawing	-	1.95	17.28	Tan et al. (2020)
A. platensis	Pulsed electric fields	-	0.51	15.19	Martínez et al. (2017)
A. platensis	Ultrasonication + HPH	-	0.89	13.59	Zhou et al. (2024)
A. platensis	Freeze-thawing	-	1.06	13.19	Prabhath et al. (2019)
A. platensis	Stirring at 35°C for 48 h	3.97	0.80	9.93	Minchev et al. (2020)
A. platensis	Pulsed electric fields	-	-	8.52	Jaeschke et al. (2019)
A. platensis	ultrasonication	-	-	6.00	Furuki et al. (2003)
Arthrospia sp	Freeze-thawing	-	1.34	8.63	Doke (2005)
Arthrospira sp	Air drying	-	1.80	8.00	Doke (2005)
A. platensis	Rotary shaker for 4 h	3.68	0.46	4.60	Silveira et al. (2007)
A. platensis	sonication + glass beads	0.21	-	4.38	Moraes et al. (2011)

Shown are the used organism, the extraction method, the C-PC concentration ( $c_{C-PC}$ ), the purity, and the C-PC content *HPH* high pressure homogenization, *UF* ultrafiltration

extract (1.94). These values are higher than in most other publications for C-PC from A. maxima (Nisticò et al. 2022) and A. platensis (Doke 2005; Silveira et al. 2007; Martínez et al. 2017; Prabhath et al. 2019; Aoki et al. 2021). Higher values for the purity can be achieved by further purification with ammonium sulphate precipitation, dialysis or additional filtration steps (Athiyappan et al. 2024). A purification method combining ammonium sulphate precipitation and reversed phase high-performance liquid chromatography was shown to increase the purity of a C-PC extract from 0.89 to 4.35 (Zhou et al. 2024). Amarante et al. (2020) used ion exchange chromatography with pH gradient elution to obtain C-PC extracts with purities of 4.2 and 3.5. An increase in purity can affect the bioactive properties of the phycocyanin. Zhou et al. (2024) have demonstrated that analytical grade C-PC shows higher antioxidative capacity, anti-inflammatory activity and emulsifying activity compared to the food-grade crude extract. In general, purity values of higher than 0.7 are considered food grade and a purity values of more than 4.0 are considered analytical grade (Rito-Palomares et al. 2001). Therefore, all the extracts obtained in this study are food grade.

Since the purity is defined as the ratio between phycocyanin  $(A_{620})$  and aromatic amino acids  $(A_{280})$ , a lower purity indicates a relatively increased contaminant protein concentration in the extract. This can be the consequence of a higher degree of cell disruption corresponding to a lower selectivity of the whole extraction method due

to the division of particles that otherwise would be easily separated from the extract (Furuki et al. 2003). Also, nucleotides and nucleic acids contribute to the absorption at 280 nm (Voet et al. 1963). Compared to incubation in deionized water, the extraction of C-PC by ultrasonication was demonstrated to rapidly decrease the purity value of the resulting extract. The purity also decreased when ultrasonication lasted for longer than 20 min which is assumed to be the consequence of the release of proteins from cell organelles (Tavakoli et al. 2021). Furthermore, the pH influencing the water-solubility of disintegrated cell proteins can contribute to a varying C-PC purity. In fact, protein isolates from A. platensis were shown to have their lowest solubility at a pH of 3 corresponding to the isoelectric point of the protein isolate (Devi et al. 1981). The solubility of the proteins is increasing when pH is decreased (pH 2) and also when the pH is increased (pH 4-10) (Benelhadj et al. 2016). This is, more or less, in accordance with the purities found in this study, where the extract obtained by ultrasonication with chitosanacetic acid flocculation (pH 4.03) had the highest purity, followed by the freeze-thawed extract (pH 6.49), and the bead milled extract (pH 7.14) showing the lowest purity of the three bluish extracts. The two ultrasonicated extracts with citric acid and lactic acid containing flocculation solution had pH values close to the isoelectric point of spirulina protein isolate (3.14 and 3.02, respectively), but lower purities. Since the C-PC concentration of these two

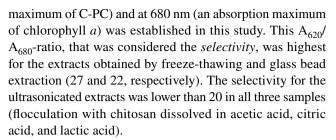


extracts was also lower compared to the extract obtained by ultrasonication and flocculated with chitosan-acetic acid solution, the pH of the final extract apparently affected the C-PC stability causing it to denaturize. The maximum stability for C-PC lies between pH 5 and 7.5 (Sarada et al. 1999; Chaiklahan et al. 2012). At pH 4, a slight and at pH 3, a massive decrease of C-PC concentration could be observed. This is attributed to unfolding of the protein structure leading to precipitation (Wu et al. 2016). A change in the protein conformation by proteolytic digestion of C-PC was demonstrated to decrease the absorption at 620 nm while simultaneously increasing the absorption in the UV range (with a peak at 350 nm) which is associated with the folding of the PCB chromophores (Debreczeny et al. 1989). This can explain the higher absorption in the area of 280 to 380 nm for the ultrasonicated samples as a result of degradation due to the low pH.

The method used to separate the extract from the cell debris can also contribute to different purity values of the extract. The ultrasonicated samples were processed by chitosan flocculation and filtration instead of centrifugation. Based on the methodology, the final extract may contain residues of chitosan. Since chitosan is a well-known coagulating agent due to its high number of charged amino acid groups, it can bind proteins and flocculate them out of the extract (Li et al. 1992). This has maybe furtherly contributed to the high purity of the ultrasonicated extract with chitosan-acetic acid flocculation. In this case, the low purity for the ultrasonicated samples containing citric acid and lactic acid is rather implicated by the decrease in C-PC concentration due to the low pH than the increase of protein concentration. However, the presence of chitosan in the extract can also cause practical problems for the further processing in cosmetic or food industry (unwanted flocculation or chemical reactions). Concerning the food legislations, at least the use of chitosan originating from shrimp shells could be problematic. On the other hand, the chitosan from the white button mushroom (Agaricus bisporus) has already been classified GRAS (generally recognized as safe) for certain applications in food industry by the FDA (FDA 2022). For Europe, Chitosan extracts from fungi (A. bisporus or Aspergillus niger) have been authorized as food supplements by the European Commission (EC 2017).

## Selectivity

In previous extraction experiments (results not shown), the quality was often reduced by the presence of chlorophyll a resulting in a greenish or greyish color of the extract. Therefore, an indicator to display the ratio of C-PC and chlorophyll a using the ratio of absorptions at 620 nm (absorption



Excessive cell disruption can cause higher proportions of unwanted substances in the final extract, since the increased degree of cell constituent destruction leads to the solution of substances that are aimed to be separated from the extract with the solid parts (Furuki et al. 2003). There are extraction methods that have already been shown to reduce the abundance of chlorophyll a in C-PC extracts from A. platensis, e.g. pulsed electric fields treatment (PEF) (Jaeschke et al. 2019; Li et al. 2020) or high-pressure processing (HPP) (Li et al. 2020) when compared to ultrasonication. In comparison to homogenisation of the biomass with mortar and pestle, the freeze-thawing method yielded C-PC extracts with lower chlorophyll contents (Sarada et al. 1999), indicating, that rough physical methods show lower selectivity. On the other hand, bead milling is associated with a reduced selectivity, due to the formation of small cell debris particles (Günerken et al. 2015). Contrary to this, the glass bead extracts in this study, showed a high selectivity compared to the ultrasonicated samples, which can best be observed looking at the normalized absorption spectres. But regarding the low C-PC content of 10,92 % obtained in this experiment, a non-completed cell disruption can contribute to a selectivity higher than expectable. Acid extraction also was described to result in C-PC extracts with significant amounts of chlorophyll (Doke 2005). This is supported by the fact, that the ultrasonicated extracts with low pH values (ranging from 3 - 4) showed the highest chlorophyll a contamination in this study. Furthermore, the used extraction solution has an influence on the chlorophyll concentration in the final extract. Sodium chloride solutions with concentrations of more than 5 g L<sup>-1</sup> were shown to significantly reduce the chlorophyll concentration in C-PC extracts compared to less concentrated NaCl-solutions and deionized water (Li et al. 2020). In this study, freeze-thawing and glass bead extraction were carried out using calcium chloride (10 g L<sup>-1</sup>), which is in accordance to the findings mentioned before. Moreover, the addition of 1.5 % calcium chloride to a phosphate buffer was demonstrated to increase the C-PC yield and purity while reducing the greenish coloration of the extract (Lijassi et al. 2024). The ultrasonicated samples had lower selectivity values than the extracts yielded with freeze-thawing and glass beads. Because of the subsequent flocculation with chitosan as part of the extraction procedure, calcium chloride solution was not suitable as the extractant. Instead, deionized water was used for the ultrasonication, and can therefore



explain the lower selectivity. The separation technique can also contribute to lower selectivity, since the ultrasonication was carried out accompanied by subsequent flocculation and filtration, while for freeze-thawing and glass bead extraction centrifugation was applied. However, previous experiments, that are not shown in this study, showed, that centrifugation of the ultrasonicated samples resulted in green-brownish extracts indicating a failed separation of extract and cell debris.

Actually, the contamination of C-PC extracts with high amounts of chlorophyll can bias the calculation of C-PC concentration, because the absorption of chlorophyll can interfere with the absorption of phycocyanin and allophycocyanin (Yacobi et al. 2015). Therefore, some publications have suggested the adaption of the common formula for the calculation of the C-PC concentration taking the potential presence of chlorophyll *a* in the final extract into account (Lauceri et al. 2017; Fabre et al. 2022). However, this bias only applies for less concentrated C-PC extracts (Yacobi et al. 2015; Lauceri et al. 2017). In literature, the adaption of the formula apparently has as yet not prevailed.

## **Conclusion**

With the freeze-thawing method, C-PC extracts with very high C-PC concentrations and purities can be achieved. However, the repeated freeze-thawing method is very timeand energy-consuming for high biomass throughputs and therefore not suitable for industrial applications. Moreover, the final extracts contain large amounts of calcium chloride, which, depending on the further use, would have to be removed in an additional process step. This also applies for the bead mill extraction method. Additionally, the purity of the extracts obtained by glass bead extraction was lower than for the other methods used in this study. Ultrasonicationassisted extraction followed by flocculation with chitosanacetic acid solution can be an interesting alternative for the extraction of C-PC from A. maxima. The advantages of this method are the high C-PC yield and purity. Furthermore, the ultrasonication and subsequent flocculation processes can be scaled up easily to industrial scale. Disadvantages are the relatively low selectivity and the contamination of the extract with chitosan and acetic acid from the flocculation solution.

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by Jan Kuhnholz; Supervision by Anja Noke; Revision: Jan Kuhnholz and Anja Noke

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#### **Declarations**

**Competing interests** The authors declare no competing interests.

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