

Prevalence of Depressive Disorders in Individuals with Autism Spectrum Disorder: a Meta-Analysis

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Abstract

Substantial uncertainty exists about the prevalence of depressive disorders in individuals with autism spectrum disorder (ASD). This meta-analysis quantitatively summarized studies that assessed the lifetime and current prevalence of unipolar depressive disorders in children, adolescents, and adults with ASD. We also examined demographic, methodological, and study moderators. This meta-analysis adhered to PRISMA guidelines. A total of 7857 articles were identified through 5 databases (PubMed, Web of Science, PYSCInfo, CINAHL, ProQuest Dissertations and Theses), forward searches, and backward searches. Two reviewers independently screened articles and extracted data. Sixty-six articles met inclusion criteria. Results indicated that the pooled lifetime and current prevalence was 14.4% (95% CI 10.3-19.8) and 12.3% (95% CI 9.7-15.5), respectively. Rates of depressive disorders were highest among studies that used a standardized interview to assess depressive disorders (lifetime = 28.5%, 95% CI 20.1-38.8; current = 15.3%, 95% CI 11.0–20.9) and required participants to report on their own depressive symptoms (lifetime = 48.6%, 95% CI 33.3-64.2; current = 25.9%, 95% CI 17.0-37.3). Rates were also higher in studies that included participants with higher intelligence. Lifetime, but not current, prevalence was positively associated with age and the proportion of the sample that was White. In conclusion, we found that the rates of depressive disorders are high among individuals with ASD. Compared to typically developing individuals, individuals with ASD are 4-times more likely to experience depression in their lifetime. These results suggest that individuals with ASD should be regularly screened and offered treatment for depression.

Keywords Autism spectrum disorder · Depressive disorders · Comorbid · Prevalence · Meta-analysis

Global prevalence estimates indicate that 1 in 160 individuals is diagnosed with autism spectrum disorder (ASD), a lifelong neurodevelopmental disorder with two core features: persistent deficits in social communication and social interactions; and restricted, repetitive patterns of behavior, interests, and activities (American Psychiatric Association 2013; World Health Organization 2017). In the United States, prevalence estimates indicate that 1 in 68 individuals is diagnosed with ASD (Wingate et al. 2014), which suggests that the rates of ASD

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Chloe C. Hudson c.hudson@queensu.ca may be even higher when populations are well monitored. Significant heterogeneity exists in the symptom presentation and level of functioning in individuals with ASD; however, the majority of individuals with ASD experience poor outcomes, including lack of independent living, unemployment, and few peer relationships (Fernell et al. 2013).

Negative outcomes for individuals with ASD may be exacerbated by psychiatric comorbidities (Matson and Cervantes 2014), which are associated with greater adaptive behaviour impairments in individuals with ASD (Kraper et al. 2017). Unipolar depressive disorders are the most common psychiatric disorders, and they are the leading cause of disability worldwide (Ustün et al. 2004). As such, comorbid depressive disorders may result in particularly poor prognoses for individuals with ASD. Lifetime prevalence rates of unipolar depressive disorders in US epidemiological samples have been estimated at 11.7% for post-pubertal adolescents (Merikangas et al. 2010) and 16.6% for adults (Kessler et al. 2005). In contrast, there is extreme variability in reported rates

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of depression in studies of individuals with ASD, with lifetime prevalence estimates ranging from 1% to 76% (Billstedt et al. 2005; Joshi et al. 2013). Reliable estimates of comorbid depressive disorders in individuals with ASD are essential to ascertain the overall burden of comorbidity on the health care system and to ensure that treatment programs addressing comorbid depression are sufficient to meet the need. Depression in ASD may be associated with severe consequences, including attempted suicide (Cassidy and Rodgers 2017; Richa et al. 2014), a regression in level of functioning (Magnuson and Constantino 2011), and the need for higher levels of care. Therefore, failure to address comorbid depression in the treatment of ASD adds significantly to the personal and societal burden associated with the disorder.

Several factors may explain the wide range in estimated rates of depressive disorders in individuals with ASD. Among typically developing individuals, young adults, females, and White individuals experience higher rates of depressive disorders compared to other age groups, males, and non-White individuals (Kessler et al. 1993; Riolo et al. 2005). It is unclear whether depressive disorders in individuals with ASD follow similar trends. Intelligence may also influence the prevalence rates of depressive disorders in individuals with ASD, although the direction of the effect remains unclear. Low intellectual functioning has been associated with the presence and persistence of depressive disorders in typically developing individuals (Koenen et al. 2009). However, it has also been suggested that individuals with ASD who have average or above average intelligence may have a better understanding of the deficits associated with ASD, resulting in a greater susceptibility to becoming depressed (Chandrasekhar and Sikich 2015).

Methodology choices, including recruitment setting and assessment tools, also vary widely across studies. Recruiting from a hospital-based system may inflate prevalence estimates by sampling individuals who are presenting for psychiatric treatment. In addition, reliance on prior diagnoses or unstandardized interviews may underestimate the prevalence of depressive disorders compared to standardized semi-structured interviews, which systematically ask participants about symptoms of depressive disorders (Miller et al. 2001). Informant choice may also influence prevalence rates. Parents of typically developing adolescents (i.e., adolescents without a neurodevelopmental disorder) report that they lack knowledge of their child's feelings (Moretti et al. 1985), which may contribute to discrepancies between self- and parent-report of psychiatric comorbidities.

To our knowledge, there have been no attempts to quantitatively synthesize the existing literature on prevalence rates of depressive disorders in individuals with ASD. The current meta-analysis is the first to fill this gap by exploring the lifetime and current prevalence of unipolar depressive disorder diagnoses in children, adolescents, and adults with ASD. *Lifetime prevalence* refers to the proportion of individuals who have met criteria for a unipolar depressive disorder in their lifetime, whereas *current prevalence* refers to the proportion of individuals who met criteria for a unipolar depressive disorder at the time of the assessment or within a three-month period. Psychiatric diagnoses were assigned using a standardized interview, clinical judgement, or retrospectively (i.e., chart review or self/caregiver-report of prior diagnoses). We also examined demographic variables (age, sex, ethnicity, intelligence), methodological variables (recruitment setting, assessment method, informant) and study characteristics (year of publication, peer reviewed publication status) as moderators, which may help to explain the variability in rates found across studies.

Method

Literature Search Strategy

Studies were identified by searching the following databases: PubMed, Web of Science, PYSCInfo, CINAHL, and ProQuest Dissertations and Theses. We searched for the following search terms: autis*, ASD, Asperger, pervasive developmental disorder and PDD-NOS combined with depress*, dysthym*, internaliz*, and mood. Searches were conducted in November 2016. As a complement to this search, we performed backward searches (i.e., examining reference lists of eligible studies) and forward searches (i.e., examining articles that had cited eligible studies).

The searches yielded a total of 7857 articles. The first and second authors screened all articles. During the first stage, articles were excluded based on the title and abstract if they were not written in English, did not include participants with ASD, or did not assess depression. All articles that were deemed relevant by one or both authors were included. A total of 970 articles were identified. In the second stage, the first and second authors independently reviewed the full text of articles. Articles were excluded if they met any of the exclusion criteria from the first stage, if they did not report the lifetime or current prevalence of a depressive disorder diagnosis, or if they specifically recruited participants for psychiatric difficulties. Studies that only assessed depressive symptoms were excluded. At this stage, discrepancies between authors were discussed and resolved by consensus. The first author contacted the corresponding author of studies to clarify details, if necessary. If the authors did not respond or could not provide the information, these studies were excluded. The most common clarifications were whether authors assessed lifetime or current prevalence of depressive disorders (n = 27or 40.91% of included studies) and whether studies differentiated between unipolar and bipolar depressive disorders (k = 6

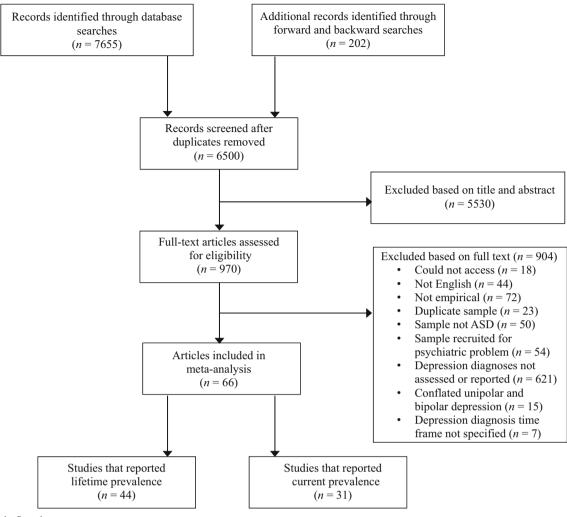


Fig. 1 Study flowchart

or 9.09% of included studies). The results of the systematic literature search are shown in Fig. 1.

Corresponding authors were contacted to obtain any available unpublished data. Of the 49 unique corresponding authors, 29 authors (59.18%) responded; however, no unpublished data were obtained (i.e., the authors had no data or they were unable to send the data due to data sharing restrictions). A total of 66 articles (35 reporting lifetime prevalence, 22 reporting current prevalence, 9 reporting both) met inclusion criteria. The exact number of studies included in moderation analyses varies because of missing data on methodological and demographic characteristics.

Study Coding

Both the first and second author coded all articles. The average percent agreement across variables was 91.91% (range: 80.30–98.49%). Disagreement was resolved by consensus and the consensus ratings were used in analyses. Studies that

reported two independent samples (e.g., prevalence rates stratified by age group) were coded separately. Five demographic variables were coded: age (mean, range), percentage of participants who were male, percentage of participants who were White, mean full scale intelligence quotient (FSIQ), and percentage of participants who had an IQ of less than 70. Three methodology variables were coded: recruitment setting (outpatient, community, or both), depression assessment method (standardized interview or other assessment method), and informant (caregiver, self, or both). Other assessment methods included chart review (n = 10), parent report of prior diagnoses by a health professional (n = 15), self-report of prior diagnoses by a health professional (n = 2), or an unstandardized assessment by a health professional (n = 5). Two study characteristics were coded: year of publication and peer reviewed publication status. Finally, we also coded depression diagnosis reference period (i.e., current, lifetime, or other), the sample size, and the number of participants who met criteria for a depressive disorder in order to compute the effect sizes. Because studies often failed to report the specific depressive disorders they assessed, we combined all unipolar depressive diagnoses (e.g., major depressive disorder, persistent depressive disorder, other specified depressive disorder, etc.). The coded variables for each study are presented in Table S1 in the online data supplement.

Study quality was assessed using the Risk of Bias tool (RoB; Hoy et al. 2012), which is specifically designed to assess risk of bias in prevalence studies. The RoB includes ten items that assess external and internal validity using forced choice response (*yes, no*). Two items were excluded that were not relevant to the current study. Therefore, the highest possible score on the RoB was reduced to eight. Studies were judged to be at low risk of bias (\geq 4 points) or high risk of bias (<4 points). Both the first and second author independently rated studies using the RoB tool and discrepancies were resolved by consensus. The average percent agreement across RoB variables was 85.85% (range: 75.76–98.49%). The RoB items and coding guide can be found in Appendix A in the online data supplement.

Data Analysis

Meta-analyses were performed with the Comprehensive Meta-Analysis software (Borenstein et al. 2005) using random-effects models. We examined studies that reported the proportion of individuals with ASD who met criteria for a lifetime or current unipolar depressive disorder. These proportions were transformed into a logit event rate effect size and the standard error was calculated. The logit event rates were transformed back to proportions after analyses were conducted to improve interpretability of the results. Forest plots were drawn to visualize the extent of heterogeneity across studies. Both the Q and I^2 statistics were used to quantitatively examine heterogeneity.

Moderator Analyses We evaluated whether the proportion of the sample that met criteria for a depressive disorder varied due to demographic variables, methodological variables, or study characteristics. We used the Q_{between} statistic, an analogue to analysis of variance, to test the relation between proportions and each categorical variable. A series of all possible two-group comparisons were conducted with Bonferroni correction to follow-up significant categorical moderators. Continuous moderators were analyzed using meta-regression. Interactions among moderator variables were not tested due to insufficient power.

Publication Bias Publication bias was estimated quantitatively using the Begg's rank method (Begg and Mazumdar 1994) and Egger's weighted regression analysis (Egger et al. 1997), which compute the relation between effect sizes and sample sizes. In addition, funnel plots were examined for asymmetry. If significant funnel plot asymmetry existed, the trim and fill method

was used to determine the number of missing studies due to publication bias (Duval 2005; Duval and Tweedie 2000).

Results

Lifetime Prevalence of Depressive Disorders

Based on the 44 studies identified, the pooled lifetime prevalence of unipolar depressive disorders in individuals with ASD was 14.4% (95% CI 10.3–19.8). The results were found to be heterogeneous, range = 0.4% to 76.2%, $I^2 = 97.53$, Q =1741.71, p < 0.01. A forest plot for the meta-analysis of lifetime prevalence of depressive disorders in individuals with ASD is depicted in Fig. 2.

Factors Associated with Lifetime Prevalence

Coded moderator variables for each study are presented in Table S1 in the online data supplement. A summary of the lifetime prevalence stratified by categorical moderator variables is presented in Table 1. A significant amount of variance remained unaccounted for in all moderator analyses (ps < .001).

Demographic variables Higher mean age of the sample was associated with higher lifetime prevalence (regression coefficient: 0.07, 95% CI 0.03–0.11, p < .001). Studies that included only adult participants aged 18 and older (40.2%, 95% CI 22.8–60.6, n = 8) had a significantly higher lifetime prevalence rate compared to studies that only included child or adolescent participants aged 18 and under (7.7%, 95% CI 4.7-12.4, n = 18), $Q_{\text{between}}(1) = 17.32, p < .001$. Studies that included only adult participants also had higher lifetime prevalence rates compared studies that included children/ adolescents and adults (14.3%, 95% CI 8.3-23.7, n= 12), $Q_{\text{between}}(1) = 6.99$, p = .008. Studies that only included children/adolescents did not statistically differ from studies that included both children/adolescents and adults, $Q_{\text{between}}(1) = 2.78$, p = .10. In addition, the proportion of males in the sample was not associated with lifetime prevalence rates (regression coefficient: = 0.01, 95% CI -0.05–0.02, *p* = .39).

Studies with a higher proportion of participants who were White were associated with higher lifetime prevalence rates (regression coefficient = 0.07, 95% CI 0.03–0.11, p < .001). Greater mean IQ of the sample was associated with greater lifetime prevalence (regression coefficient: 0.06, 95% CI: 0.03–0.09, p < .001). Studies with a mean IQ greater than 100 had significantly higher prevalence rates (52.8%, 95% CI 39.8–65.4, n = 7) than studies with a mean IQ less than 100 (12.2%, 95% CI 6.4–22.1, n = 8), $Q_{\text{between}}(1) = 21.18$,

| Beckman et al., 2016 Billstedt et al., 2005 Bradley & Bolton, 2006 Buck et al., 2014 Cassidy et al., 2014 Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) Dekevzer, 2009 | Event rate 0.051 0.009 0.222 0.315 0.167 0.091 0.019 0.123 0.311 0.004 0.083 0.070 0.182 | limit 0.031 0.001 0.115 0.084 0.270 0.071 0.023 0.006 0.094 0.267 0.002 0.075 | $\begin{array}{c} 0.083\\ 0.063\\ 0.385\\ 0.202\\ 0.364\\ 0.343\\ 0.300\\ 0.059\\ 0.161\\ 0.359\\ 0.007 \end{array}$ | Z-Value -11.000 -4.651 -3.125 -7.243 -6.915 -3.285 -3.105 -6.721 -12.455 -7.239 21.950 | p-Value 0.000 0.002 0.000 0.000 0.001 0.002 0.000 0.000 0.000 | | | |
|--|--|--|--|--|---|--|-----------|----------|
| Billstedt et al., 2005 Bradley & Bolton, 2006 Buck et al., 2014 Cassidy et al., 2014 Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.009 0.222 0.132 0.315 0.167 0.091 0.123 0.311 0.004 0.083 0.070 | $\begin{array}{c} 0.001 \\ 0.115 \\ 0.084 \\ 0.270 \\ 0.071 \\ 0.023 \\ 0.006 \\ 0.094 \\ 0.267 \\ 0.002 \\ 0.075 \end{array}$ | 0.063 0.385 0.202 0.364 0.343 0.300 0.059 0.161 0.359 0.007 | -4.651 -3.125 -7.243 -6.915 -3.285 -3.105 -6.721 -12.455 -7.239 | $\begin{array}{c} 0.000\\ 0.002\\ 0.000\\ 0.000\\ 0.001\\ 0.002\\ 0.000\\ 0.000\\ \end{array}$ | | | |
| Bradley & Bolton, 2006 Buck et al., 2014 Cassidy et al., 2014 Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | $\begin{array}{c} 0.222\\ 0.132\\ 0.315\\ 0.167\\ 0.091\\ 0.019\\ 0.123\\ 0.311\\ 0.004\\ 0.083\\ 0.070\\ \end{array}$ | $\begin{array}{c} 0.115\\ 0.084\\ 0.270\\ 0.071\\ 0.023\\ 0.006\\ 0.094\\ 0.267\\ 0.002\\ 0.075\\ \end{array}$ | 0.385 0.202 0.364 0.343 0.300 0.059 0.161 0.359 0.007 | -3.125 -7.243 -6.915 -3.285 -3.105 -6.721 -12.455 -7.239 | $\begin{array}{c} 0.002\\ 0.000\\ 0.000\\ 0.001\\ 0.002\\ 0.000\\ 0.000\\ \end{array}$ | | | |
| Buck et al., 2014 Cassidy et al., 2014 Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | $\begin{array}{c} 0.132\\ 0.315\\ 0.167\\ 0.091\\ 0.019\\ 0.123\\ 0.311\\ 0.004\\ 0.083\\ 0.070\\ \end{array}$ | 0.084 0.270 0.071 0.023 0.006 0.094 0.267 0.002 0.075 | 0.202 0.364 0.343 0.300 0.059 0.161 0.359 0.007 | -7.243 -6.915 -3.285 -3.105 -6.721 -12.455 -7.239 | $\begin{array}{c} 0.000\\ 0.000\\ 0.001\\ 0.002\\ 0.000\\ 0.000\\ \end{array}$ | | | |
| Cassidy et al., 2014 Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | $\begin{array}{c} 0.315\\ 0.167\\ 0.091\\ 0.019\\ 0.123\\ 0.311\\ 0.004\\ 0.083\\ 0.070\\ \end{array}$ | $\begin{array}{c} 0.270\\ 0.071\\ 0.023\\ 0.006\\ 0.094\\ 0.267\\ 0.002\\ 0.075\\ \end{array}$ | 0.364 0.343 0.300 0.059 0.161 0.359 0.007 | -6.915 -3.285 -3.105 -6.721 -12.455 -7.239 | $\begin{array}{c} 0.000\\ 0.001\\ 0.002\\ 0.000\\ 0.000\end{array}$ | | | |
| Chen et al., 2016 Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.167 0.091 0.019 0.123 0.311 0.004 0.083 0.070 | 0.071 0.023 0.006 0.094 0.267 0.002 0.075 | 0.343 0.300 0.059 0.161 0.359 0.007 | -3.285 -3.105 -6.721 -12.455 -7.239 | 0.001 0.002 0.000 0.000 | | | |
| Clark et al., 2015 Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.091 0.019 0.123 0.311 0.004 0.083 0.070 | 0.023 0.006 0.094 0.267 0.002 0.075 | 0.300 0.059 0.161 0.359 0.007 | -3.105 -6.721 -12.455 -7.239 | $0.002 \\ 0.000 \\ 0.000$ | | | |
| Close et al., 2012 (1) Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.019 0.123 0.311 0.004 0.083 0.070 | 0.006 0.094 0.267 0.002 0.075 | 0.059 0.161 0.359 0.007 | -6.721 -12.455 -7.239 | $0.000 \\ 0.000$ | | | • |
| Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.123 0.311 0.004 0.083 0.070 | 0.094 0.267 0.002 0.075 | 0.161 0.359 0.007 | -12.455 -7.239 | 0.000 | | | |
| Close et al., 2012 (2) Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.311 0.004 0.083 0.070 | 0.267 0.002 0.075 | 0.359 0.007 | -7.239 | | | | |
| Close et al., 2012 (3) Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.004 0.083 0.070 | 0.267 0.002 0.075 | 0.359 0.007 | -7.239 | 0.000 | | | _ |
| Cummings et al., 2016 (1) Cummings et al., 2016 (2) | 0.004 0.083 0.070 | 0.002 0.075 | 0.007 | | | | | |
| Cummings et al., 2016 (2) | 0.083 0.070 | 0.075 | | -21.930 | 0.000 | | | _ |
| | 0.070 | | 0.091 | -43.956 | 0.000 | | T∎ | |
| | | 0.038 | 0.125 | -7.892 | 0.000 | | | - |
| Demirkaya et al., 2016 | | 0.101 | 0.306 | -4.302 | 0.000 | | | - |
| Farmer et al., 2015 | 0.031 | 0.018 | 0.053 | -12.168 | 0.000 | | | - |
| Gillberg et al., 2016 | 0.580 | 0.441 | 0.708 | 1.126 | 0.260 | | <u>г</u> | |
| Giovinazzo et al., 2013 | 0.023 | 0.006 | 0.088 | -5.224 | 0.000 | | | |
| González, 2008 | 0.016 | 0.002 | 0.106 | -4.078 | 0.000 | | | |
| Henry et al., 2014 | 0.049 | 0.002 | 0.100 | -7.096 | 0.000 | | | |
| Joshi et al., 2013 | 0.762 | 0.642 | 0.851 | 3.932 | 0.000 | | | |
| Joshi et al., 2013 | 0.371 | 0.295 | 0.453 | -3.058 | 0.000 | | | |
| Kamp-Becker et al., 2010 | 0.115 | 0.038 | 0.303 | -3.318 | 0.002 | | | |
| Lever, & Geurts, 2016 | 0.572 | 0.038 | 0.652 | 1.696 | 0.001 | | רן | |
| Levfer et al., 2006 | 0.372 | 0.489 | | -6.689 | 0.090 | | | |
| | 0.128 | 0.078 | 0.205 0.810 | 2.902 | 0.000 | | I * | |
| Lugnegard et al., 2011 | | | | | | | | _ |
| Mallory, 2014 | 0.085 | 0.032 | 0.206 | -4.543 -6.206 | 0.000 | | | |
| Mansour et al., 2017 | 0.040 | 0.015 | 0.103 | | 0.000 | | | _ |
| Mattila et al., 2010 | 0.140 | 0.068 | 0.266 | -4.454 | 0.000 | | 1- | |
| Mazefsky et al., 2008 | 0.412 | 0.210 | 0.648 | -0.724 | 0.469 | | I_ | |
| McDermott et al., 2005 | 0.059 | 0.019 | 0.167 | -4.659 | 0.000 | | = | |
| Morgan et al., 2003 | 0.207 | 0.152 | 0.276 | -6.963 | 0.000 | | | • |
| Mukaddes & Fateh, 2010 | 0.297 | 0.173 | 0.461 | -2.392 | 0.017 | | | _ |
| Mukaddes et al., 2010 (1) | 0.167 | 0.071 | 0.343 | -3.285 | 0.001 | | - | - |
| Mukaddes et al., 2010 (2) | 0.400 | 0.243 | 0.581 | -1.088 | 0.277 | | | |
| Orinstein et al., 2015 | 0.190 | 0.098 | 0.337 | -3.682 | 0.000 | | | |
| Patel et al., 2016 | 0.280 | 0.140 | 0.482 | -2.120 | 0.034 | | | |
| Rosenberg et al., 2011 | 0.110 | 0.101 | 0.119 | -43.117 | 0.000 | | I I | |
| Roy et al., 2015 | 0.580 | 0.441 | 0.708 | 1.126 | 0.260 | | I | _ |
| Schweers, 2015 | 0.113 | 0.064 | 0.193 | -6.422 | 0.000 | | 1 | - |
| Taylor & Gotham, 2016 | 0.194 | 0.096 | 0.355 | -3.375 | 0.001 | | · | |
| Tsakanikos et al., 2011 | 0.060 | 0.032 | 0.111 | -8.003 | 0.000 | | | - |
| Wise, 2015 | 0.250 | 0.097 | 0.508 | -1.903 | 0.057 | | | _ |
| Wozniak et al., 1997 | 0.558 | 0.422 | 0.685 | 0.830 | 0.406 | | | |
| Wu et al, 2016 | 0.006 | 0.005 | 0.008 | -34.873 | 0.000 | | • | |
| Pooled effect size | 0.144 | 0.103 | 0.198 | -9.121 | 0.000 | | | • |

Fig. 2 Forest plot of lifetime prevalence of unipolar depressive disorders in individuals with ASD

p < .001. The proportion of individuals who had an IQ of less than 70 was not associated with lifetime prevalence (regression coefficient: -0.01, 95% CI: -0.03–0.01, p = .21).

Methodology variables Lifetime prevalence rates did not vary by recruitment setting, $Q_{\text{between}}(2) = 3.48$, p = .18. However, the pooled lifetime prevalence in studies that used standardized semi-structured interviews (28.5%, 95% CI 20.1–38.8, k = 22) was significantly higher than that reported in studies that used other assessment methods (6.7%, 95% CI 4.2–10.3, n = 22), $Q_{\text{between}}(1) = 25.70$, p < .001.

Further, lifetime prevalence rates varied significantly based on the informant, $Q_{\text{between}}(2) = 20.27$, p < .001. Studies in which participants reported on their own

depressive symptoms had significantly higher prevalence rates (48.6%, 95% CI 33.3–64.2, n = 6) compared to studies in which caregivers reported on the participants' depressive symptoms (14.4%, 95% CI 10.1–20.0, n =21), $Q_{\text{between}}(1) = 20.25$, p < .001. The lifetime prevalence rates in studies in which both the caregivers and participants reported on their depressive symptoms (23.0%, 95% CI 7.9–51.0, n = 7) did not differ from studies in which participants or caregivers independently reported on their depressive symptoms, $Q_{\text{between}}(1) =$ 2.59, p = .11 and $Q_{\text{between}}(1) = 0.76$, p = .39, respectively.

Study characteristics Year of publication was not associated with lifetime prevalence rates (regression coefficient: 0.05, 95% CI -0.14–0.05, p = .34). The lifetime prevalence rates in

1.00

| | Lifetime | | | Current | | | | |
|--------------------------|------------|-----------|----|---------|------------|-----------|----|---------|
| | Prevalence | 95% CI | п | p value | Prevalence | 95% CI | п | p value |
| Age | | | | <. 001 | | | | .29 |
| Children (18 and under) | 7.7% | 4.7-12.4 | 18 | | 10.6% | 7.6–14.6 | 15 | |
| Adults (18 and over) | 40.2% | 22.8-60.6 | 8 | | 19.4% | 9.2-36.5 | 5 | |
| Both Children and Adults | 14.3% | 8.3-23.7 | 12 | | 13.7% | 7.6–23.5 | 7 | |
| FSIQ | | | | < .001 | | | | .67 |
| Mean FSIQ <100 | 12.2% | 6.4-22.1 | 8 | | 12.9% | 7.3–22.1 | 7 | |
| Mean FSIQ >100 | 52.8% | 39.8-65.4 | 7 | | 15.4% | 8.5-26.3 | 9 | |
| Recruitment Setting | | | | .18 | | | | .63 |
| Community | 9.5% | 5.0-17.3 | 14 | | 10.6% | 7.0–15.7 | 10 | |
| Outpatient | 21.1% | 11.5-35.4 | 18 | | 13.7% | 8.9-20.4 | 14 | |
| Both | 12.4% | 5.7-25.1 | 11 | | 13.6% | 8.2-21.6 | 6 | |
| Assessment Method | | | | < .001 | | | | .04 |
| Standardized Interview | 28.5% | 20.1-38.8 | 22 | | 15.3% | 11.0-20.9 | 18 | |
| Other | 6.7% | 4.2-10.3 | 22 | | 9.3% | 6.6-13.0 | 13 | |
| Informant | | | | < .001 | | | | .004 |
| Self | 48.6% | 33.3-64.2 | 6 | | 25.9% | 17.0-37.3 | 4 | |
| Caregiver | 14.4% | 10.1-20.0 | 21 | | 10.4% | 7.3–14.6 | 14 | |
| Both | 23.0% | 7.9–51.0 | 7 | | 12.1% | 7.9–18.2 | 12 | |

Table 1 The prevalence of depressive disorders in individuals with ASD stratified by categorical methodological and demographic moderators

FSIQ Full Scale Intelligence Quotient

studies that were published in peer reviewed journals (n = 39) did not differ significantly from studies that had not undergone peer review (n = 5), $Q_{\text{between}}(1) = 2.05$, p = .15.

Publication Bias The funnel plot (Fig. 3) is symmetrical, suggesting no publication bias. Similarly, quantitative assessments of publication bias were not significant (p = .12 for Begg's rank

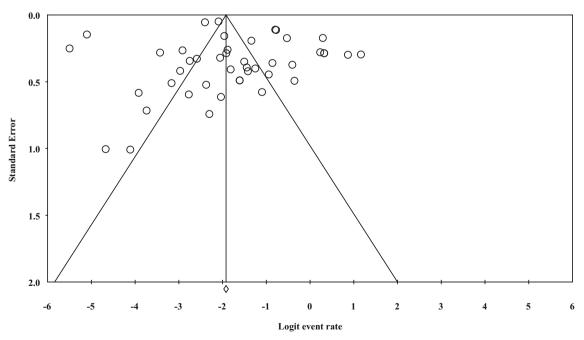


Fig. 3 Funnel plot of lifetime prevalence of unipolar depressive disorders in individuals with ASD

correlation analysis; p = .37 for Egger's weighted regression analysis).

Current Prevalence of Depressive Disorders

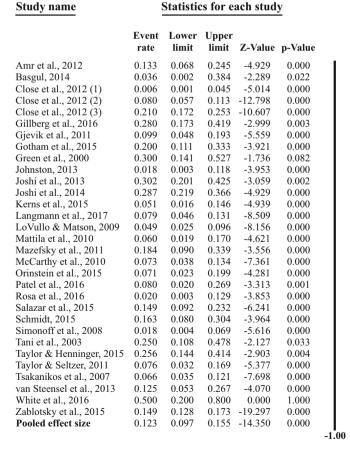
Based on the 31 studies identified, the pooled current prevalence of unipolar depressive disorders in individuals with ASD was 12.3% (95% CI 9.7–15.5). The results were found to be heterogeneous, range = 0.6% to 50.0%, I^2 = 80.36, Q = 152.77, p < .001. A forest plot for the meta-analysis of current prevalence of depressive disorders in individuals with ASD is depicted in Fig. 4.

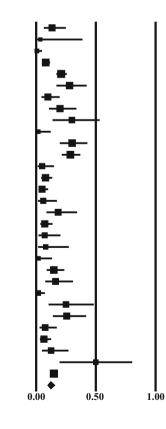
Factors Associated with Current Prevalence

Coded moderator variables for each study are presented in Table S1 in the online data supplement. A summary of the current prevalence stratified by categorical moderator variables is presented in Table 1. A significant amount of variance remained unaccounted for in all moderator analyses (ps < .001). Demographic variables Age was not associated with current prevalence rates (regression coefficient: 0.01, 95%) CI -0.02–0.05, p = .39). Further, current prevalence rates did not differ among studies that only included adult participants, studies that only included child/adolescent participants, and studies that included both adults and children/adolescents, $Q_{\text{between}}(2) = 2.45$, p = .29. In addition, current prevalence rates were not associated with sex (regression coefficient: = 0.02, 95% CI -0.02-0.05, p = .48), ethnicity (regression coefficient: = 0.00, 95%) CI -0.02–0.02, p = .93), or FSIQ (regression coefficient = 0.02, 95% CI -0.01-0.04, p = .17). However, studies that contained more individuals with an IQ of less than 70 had lower current prevalence rates of depressive disorders (regression coefficient: -0.01, 95% CI -0.02-0.01, p < .001).

Methodology variables The current prevalence rates of depressive disorders in individuals with ASD did not vary depending on the setting from which participants were recruited, $Q_{\text{between}}(2) = 0.93$, p = .63. However, the pooled current prevalence rates reported in studies that used a standardized semi-structured interview (15.3%,

Event rate and 95% CI





-0.50

Fig. 4 Forest plot of current prevalence of unipolar depressive disorders in individuals with ASD

95% CI 11.0–20.9, n = 18) was significantly higher than the pooled current prevalence rates reported in studies that used other assessment methods (9.3%, 95% CI 6.6– 13.0, n = 13), $Q_{\text{between}}(1) = 4.28$, p = .04.

Further, current prevalence rates varied significantly based on the informant, $Q_{between}(2) = 11.17$, p = .004. Studies in which participants reported on their own depressive symptoms had significantly higher prevalence rates (25.9%, 95% CI 17.0–37.3, n = 4) compared to studies in which caregivers reported on the participants' depressive symptoms (10.4%, 95% CI 7.3–14.6, n = 14), $Q_{between}(1) = 10.65$, p = .001, and studies in which both caregivers and the participants' reported on the participants' depressive symptoms (12.1%, 95% CI 7.9–18.2, n = 12). The current prevalence rates in studies in which both the caregivers and participants reported on their depressive symptoms did not differ from studies in which only caregivers reported on the participants' depressive symptoms, $Q_{between}(1) = 0.29$, p = .59.

Study characteristics Year of publication was not associated with current prevalence rates (regression coefficient: 0.02, 95% CI -0.05–0.09, p = .60). Only one study was retrieved that assessed current prevalence rates and was not in a peerreviewed journal (1.8%, 95% CI 0.03–11.8). This study had significantly lower current prevalence rates than studies that were published in peer reviewed journals (12.7%, 95% CI 10.0–15.9, n = 30), $Q_{\text{between}}(1) = 4.09$, p = .04.

Publication Bias As shown in Fig. 5, the funnel plot is asymmetrical, suggesting publication bias may be present. Quantitative measures of publication bias were significant (Egger's weight regression analysis, p = .04) or trending (Begg's rank correlation analysis, p = .09). The trim and fill method imputed five missing studies due to publication bias. After adjustment for publication bias, the current prevalence of depressive disorders was 15.6% (95% CI 14.32–16.91).

Discussion

The current meta-analysis is the first to quantitatively summarize rates of unipolar depressive disorders in individuals with ASD. The results confirm that depression is a problem of considerable magnitude in this population. The pooled lifetime prevalence rate was 14.4% and the pooled current prevalence rate was 12.3%. The lifetime prevalence of depression in children with ASD 18-years-old and younger is similar to the lifetime rates found in post-pubertal typically developing adolescents (Merikangas et al. 2010). However, we found that the current prevalence of depression in studies that only assessed youth with ASD is four-fold higher than current prevalence in youth without ASD (Angold and Costello 2001). When our analyses are restricted to adult samples, the prevalence of depression in individuals with ASD is three- to

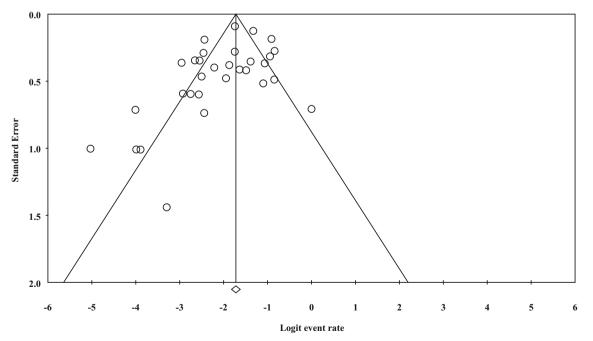


Fig. 5 Funnel plot of current prevalence of unipolar depressive disorders in individuals with ASD

four-fold higher than the rates seen in typically developing adults (Kessler et al. 2003, 2005).

Demographic Moderators

The current meta-analysis identified several factors that may influence the rates depressive disorder in individuals with ASD. Samples that included more White participants had higher lifetime prevalence of depressive disorders. This finding is consistent with studies in the general population that suggest that White individuals report higher levels of depression compared to non-White individuals (Breslau et al. 2008; Riolo et al. 2005). It is possible that non-White individuals with ASD may be under-diagnosed, particularly in the studies that relied on unstandardized methods for assessing depression or when standardized interviews are not culturally sensitive. Insufficient power precluded us from investigating whether the relation between ethnicity and rates of depressive disorders varied across assessment methods, but future researchers are encouraged to disentangle this relation.

Higher FSIQ was also associated with higher lifetime prevalence and samples that contained more people in the Extremely Low IQ range (i.e., IQ < 70) had lower current prevalence of depressive disorders. Individuals with low intellectual functioning may have difficulties identifying and communicating their thoughts and feelings, making it difficult to diagnose depressive disorders in this population. Conversely, individuals with ASD who had average or above average intellectual functioning may be more aware of their deficits (e.g., in social interactions), which may be associated with higher rates of depressive disorders (Chandrasekhar and Sikich 2015).

No age differences were found in the current prevalence of depressive disorders in individuals with ASD. This finding is in contrast to the typically developing literature, which has found that the 12-month prevalence of major depressive disorder is threefold higher in young adults compared to older adults; however, age is not linearly related to depression in typically developing samples, which may have prevented us from detecting age trends (American Psychiatric Association 2013; Costello et al. 1988; Kessler et al. 2010; Regier et al. 1988). In addition, no sex differences were found in the current or lifetime prevalence rates. This null finding is in contrast to the typically developing literature, which consistently reports that females experience 1.5- to 3-fold higher rates of current and lifetime depressive disorders compared to males (American Psychiatric Association 2013; Kessler et al. 1993). However, it should be noted that the majority of the studies included in the current meta-analysis included a preponderance of males, and they were primarily focused on children. Therefore, we may have been underpowered to find age and sex effects. Age and sex differences may also be difficult to

detect in individuals with ASD due to a potential interaction between these variables. Gotham and colleagues found that males with ASD have high rates of depressive symptoms throughout adolescence, where as females endorse more depressive symptoms as they age (Gotham et al. 2015). Targeted, longitudinal studies are required to determine the unique sex and developmental trends associated with the onset of depression in individuals with ASD.

Methodological Moderators

Assessing depressive disorders in individuals with ASD with standardized semi-structured interviews resulted in higher rates compared to studies that assessed depressive disorders using non-standardized procedures. Standardized interviews are the most reliable way of assessing psychiatric disorders in the general population (Miller et al. 2001). As such, the rates reported using such instruments may be a more accurate reflection of the true prevalence rate of depression in the ASD population. At the same time, however, individuals with ASD often underestimate their impairments compared to caregiverreport, which may result in biased prevalence estimates (Johnson et al. 2009). Some have suggested that existing standardized interviews may need to be adapted for individuals with ASD because existing measures may mischaracterize ASD symptoms or phrase questions in ways that are difficult for individuals with ASD to understand (Chandrasekhar and Sikich 2015; Mazzone et al. 2012). Only five studies in the current meta-analysis used adapted interviews; thus more research is needed directly comparing the reliability and validity of adapted and non-adapted interviews.

Self-report of depressive symptoms resulted in higher lifetime and current prevalence rates compared to caregiver-report. This finding is consistent with the typically developing literature, which has found that children report higher rates of psychiatric comorbidities than their caregivers (Achenbach et al. 1987). It is possible that individuals with ASD may be reporting depressive symptoms that their caregivers are missing. In contrast, it is also possible that differences may be due to a third variable interaction (e.g., caregiver-report may be more likely to be obtained when participants have more severe ASD symptoms). Again, future research that is able to examine interactions between these moderators is needed to address this issue.

Strengths and Limitations

The current meta-analysis has a number of notable strengths. First, we employed a methodologically rigorous approach according to current guidelines that resulted in screening nearly 8000 articles, allowing us to provide a comprehensive overview of the current literature. Second, studies were not excluded based on geographical location, thus allowing for the generalization of our findings to the global population of individuals with ASD. Finally, we focused on depressive *diagnoses* rather than symptoms, and thus the prevalence rates reported here reflect the presence of a clinically significant psychiatric comorbidity.

The results of the meta-analysis should be interpreted in light of some limitations. First, our sample size in the metaanalysis that assessed current prevalence of depressive disorders was small (n = 31), which contributes to low power for moderation analyses. In addition, the small number of studies that assessed each moderator precluded us from investigating interactions among moderator variables. Exploring these interactions would have provided more accurate rates of depressive disorders in individuals with ASD. Finally, as with all meta-analyses, we were limited by existent studies. The results reflect only what is available in terms of existing literature. On average, the participants included in the current metaanalysis were young ($M_{age} = 11.30$), male (81.65% male), and had slightly below average cognitive abilities ($M_{\rm FSIQ} = 91.37$). Consequently, the overall prevalence estimates presented in the current study are not representative of all individuals with ASD. Future research is needed to better understand the prevalence of depressive disorders in subgroups of individuals with ASD.

Conclusions

The current study is an essential step in supporting individuals with ASD by elucidating the pervasiveness of comorbid depression in this population. In North America, nearly 1 million individuals with ASD will experience a depressive disorder in their lifetime. Consequently, health care providers should be aware that depression in individuals with ASD is a common problem. The impairments associated with depression may be compounded by the presence of additional psychiatric comorbidities, such as anxiety, which is highly prevalent in individuals with ASD (van Steensel et al. 2011). Regularly screening for comorbidities will facilitate access to treatments (Kiep et al. 2015; McGillivray and Evert 2014) and prevent compounding the disability associated with ASD, thereby minimizing the personal and societal costs of these disorders.

Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no conflict of interest.

Ethical Approval Meta-analyses are exempt from research ethics board review because the data is collected from publically available information.

Informed Consent Because the study did not involve interaction with participants, informed consent was not required.

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