

# Assessing University-Society Engagements: Towards a Methodological Framework

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#### Abstract

Higher education institutions pursue three distinct yet interrelated missions of education, knowledge production, and community engagement. Until the last decades of the twentieth century, the third mission, also known as community engagement, has received little attention. Although the third mission generally aims to contribute to the socio-cultural and economic transformations of society, its distinct characteristics are still under-theorized. None of the existing methodological and conceptual frameworks provides a holistic analysis of significant engagement indicators that transcend specific application contexts. Collecting data on university engagement with society and making meaningful interpretations is thus a challenge to researchers and practitioners. To contribute to filling this gap, this modified Delphi study proposes a comprehensive methodological framework of university-society engagements in Africa. The core thesis is that engagement is ubiquitous- university missions and support systems embody it. The framework thus constitutes eight engagement domains aligned with university core functions (teaching, knowledge production, and societal service) and support systems (governance, digitalization, internationalization, partnerships, and sustainability), with 52 items, rating scales, and descriptors. The framework contributes to conceptual and methodological clarity, informs data collection, and interpretations of the different modalities of university engagements. The alignment of engagement domains to university activities and the simplified articulations of the indicators ensure straightforward interpretations and applications of the framework by practitioners and researchers. It is also significant as it comes at a time when universities are expected to contribute more directly and significantly to the realizations of the UN 2030 SDGs and the African Union 2063 centennial development ambitions.

**Keywords** Community engagement · Societal service · University mission · The third mission · University-society engagement





#### Introduction

Higher education institutions (HEIs) pursue three distinct yet interrelated missions of teaching, knowledge production, and community service. Also known as *community engagement*, the third mission has been relegated behind the two missions until the last decades of the twentieth century (Maassen et al., 2019; Rubens et al., 2017). Globalization, discourses surrounding the knowledge society and economy, funding and accountability measures, and global development regimes like the Millennium Development Goals (MDGs) and the 2030 Sustainable Development Goals (SDGs) appear to contribute to the further reinvigoration of the third mission.

This revitalization has partially spurred the emergence of diverse conceptual and methodological frameworks (e.g. Addie, 2018; Fitzgerald et al., 2012; Frondizi et al., 2019; Laredo, 2007; Marhl and Pausits, 2011; Montesinos et al., 2008; Schalkwyk, 2015; Secundo et al., 2017; Trencher et al., 2014). These frameworks aspire to problematize and define the nature of university-societal engagements. While these frameworks and related literature expand our understanding, they do have limitations that impact their applicability.

One persistent challenge is the conceptual conundrum that hinders the establishment of a common understanding of the third mission. Without clear operationalizations, varied terminologies are employed, including community service, third leg/third stream (with education and research as the first and second streams/ legs) (Rubens et al., 2017), service learning, outreach, community engagement, scholarly engagement, university-industry linkages, and popularization of science (Schalkwyk, 2015, p. 205), community engagement (Benneworth, 2013; Boyer, 2016), and entrepreneurial activities (Frondizi et al., 2019; Marhl and Pausits, 2011; Montesinos et al., 2008; Rubens et al., 2017; Trencher et al., 2014). This terminological inconsistency contributes to the conceptual confusion surrounding the third mission.

Another issue is that many indicators found in university engagement frameworks primarily revolve around the third mission. The problem with this approach is that it narrows down engagement to a dedicated third mission only, disregarding the embedded nature of engagement within teaching and research as well (Bekele & Ofoyuru, 2021; Fitzgerald et al., 2012).

The third issue is that, while the individual frameworks provided particulars about emerging university-society engagements (USE), none of them provides a comprehensive and holistic analysis of the significant domains of engagement, associated indicators and scales that transcend specific application contexts. There is "no agreed upon common understanding of the exact nature of the third mission" (Maassen et al., 2019, p.8) which warrants further problematization and operationalization (Bekele & Ofoyuru, 2021; Maassen et al., 2019; Niederberger & Spranger, 2020).

Fourthly, most of the existing frameworks have been developed within Western/Northern realities (Bekele & Ofoyuru, 2021; Schalkwyk, 2015) which restricts their salience and fecundity to successfully explain USE in the developing world,



including Africa. African HE is a colonial creation (Assie-Lumumba, 2006; Cloete & Maassen, 2015) lacking local relevance. Its primary mission has been to generate and transmit ideology alien to Africa (Balsvik, 2005; Kom, 2005; Mayaki, 2019). The domineering pedagogies prevalent in African campuses also restrict critical scholarly engagements and humanely faculty-student relationships (Dei et al., 2019; Kom, 2005; Nhemachena & Mawere, 2022). Moreover, an analysis of the current strategic plans of 30 universities in 14 countries indicate that universities are recently repositioning themselves to become more relevant and significant to their society (Bekele & Ofoyuru, 2021). Consequently, problematizing and theorizing USE in Africa needs to consider these contextual realities and trends.

There is thus a clear need for "identifying and formulating standards or guidelines for theoretical and methodological issues and developing measurement tools and identifying indicators" (Niederberger & Spranger, 2020, p.2). This study makes a valuable contribution to address this gap through a critical examination of USE and the development of a comprehensive methodological framework. The framework aims to assess the scope, depth, and quality of USE in Africa, which is a continent that has been relatively underexplored in this regard. By employing a modified Delphi method that incorporates empirical data and conceptual mapping of existing literature, this study presents a methodological framework comprising eight domains and 52 indicators aligned with the core functions of universities (teaching, knowledge production, and service) and support systems (governance, digitalization, internationalization, partnerships, and sustainability). The framework proposes rating scales for assessing the extent and quality of USE.

Once further validated, this framework has the potential to enhance conceptual clarity, improve data collection, and facilitate interpretations of various modalities of USE. This contribution is particularly timely, as it stimulates discussions on the conceptual and methodological aspects related to this critical topic that intersects higher education and sustainable development. Moreover, its significance is heightened by the current expectations of the United Nations and its member countries, which emphasize the role of HEIs in directly and significantly contributing to the achievements of the 2030 SDGs.

For clarity, some terms need operational definitions. This study focuses on USE within the contexts of globalization, internationalization, massification of higher education, and global development regimes such as the 2030 SDGs. Our usage of *university* includes HEIs which have dedicated engagements with their society. *Society* refers to the spheres of influence universities claim to have such as cities and towns, communities, districts, states, provinces, countries, regions, continents, and the world society at large (Bekele & Ofoyuru, 2021; Frondizi et al., 2019).

Engagement is thus conceived as embedded in teaching and knowledge production and can also have a dedicated third mission of service or developmental outreach (Bekele & Ofoyuru, 2021; Fitzgerald et al., 2012). The assumption is that the three university missions address societal needs and challenges concomitantly and synergistically (Bekele & Ofoyuru, 2021; Fitzgerald et al., 2012). Although engagement and third mission somehow conceptually overlap, see the literature review section, the former is used in this study for consistency and its comprehensiveness. The



subsequent sections discuss the research methodology employed and the literature review that triggered the development of the methodological framework.

## Methodology

## Delphi method

The Delphi method relies on expert judgements to investigate issues that lack common interpretations and is used to develop standards or guidelines (Green, 2014; Niederberger & Spranger, 2020) and establish consensus (Schmalz et al., 2021; Skulmoski et al., 2007). A modified Delphi method was deemed appropriate in this study based on three key considerations. Firstly, there is a lack of common interpretations and clear standards for studying USE, making it necessary to gather expert judgments to further problematize the phenomenon. Secondly, unlike most traditional Delphi studies, an extensive review of theoretical and empirical literature as well as methodological frameworks was conducted to precisely define the knowledge frontier. Thirdly, empirical data was collected in one round from experts to "ensure that the conceptualization of the construct makes theoretical sense to scholars in the field" (Artino et al., 2014, p. 464). Additional rounds of data collection were deemed unlikely to yield significant new insights and "one often sees a fall in the response rate" (Skulmoski et al., 2007, p. 11) due partly to experts' tight schedules. Sufficient agreement levels on most of the indicators were achieved in one round data collection. The subsequent stages of this modified Delphi study are discussed in detail below.

### Conceptual mapping

A configurative, integrative review (Gough & Thomas, 2016; Hallinger, 2013) was conducted to identify the core conceptual, theoretical, and methodological features of USE. Two graduate research assistants conducted independent electronic literature searches employing ERIC, Google Scholar, and Scopus search engines. For quality and scope, only journal articles, books and doctoral dissertations were considered. The following keywords were alternatively used for the search: University/higher education (HE) missions, university/HE functions, university/HE third mission, university/HE service, university/HE outreach, university/HE-society/community service, university/HE third stream/leg, university/HE engagement with society, emerging university/HE engagement with society, social relevance and significance of university/HE, university/HE-society/community engagements and linkages, universities/HEI and socio-economic development, universities/HEIs and social development, university/HE and society/community partnerships, university/HE and economic development, and entrepreneurship and higher education/university.

As configurative reviews are not intended to be an exhaustive search (Gough et al., 2012; Newman & Gough, 2020), this study did not intend to include all possibly available literature on engagement. Instead, the review, which is discussed later,



aimed at providing theoretical and methodological grounding for the development of a comprehensive engagement framework.

### Questionnaire development

Questionnaire development involved several steps. Firstly, we identified literature on USE, including university third mission, methodological frameworks, and theoretical frameworks. Based on these, three independent analyses were conducted to identify the core features of engagement. The conceptual mapping was instrumental in informing the development of a comprehensive methodological framework for engagement, consisting of eight domains and 100 indicators. These indicators were aligned with university core missions including teaching/learning (15 indicators), research (15 indicators), and societal service (14 indicators), as well as support systems such as governance (12 indicators), internationalization (12 indicators), partnerships (12 indicators), digitalization (10 indicators), and sustainability (10 indicators), see Table 3 below for detail.

In line with suggestions by Niederberger and Spranger (2020) and Nwori (2011), a seven-point Likert scale was used to rate each item or indicator, where 1, 2, 3, 4, 5, 6, and 7 respectively refer to strongly agree, agree, somewhat agree, neither agree nor disagree, somewhat disagree, disagree, and strongly disagree. An option for comments from panelists about domains, indicators, and the general organization of the framework was also provided.

#### Panel selection

The selection of the panel for the Delphi studies involved identifying experts with a deep understanding of the issues under study (Green, 2014; Nwori, 2011). Previous research suggests that the minimum sample size for Delphi panelists can vary, with studies recommending sample sizes of 12 (Vogel et al., 2019), 27 (Mengual-Andrés et al., 2016), 10 -15 (Nwori, 2011; Skulmoski et al., 2007), 20 (Iqbal & Pipon-Young, 2009), and 11- 30 (Woodcock et al., 2020). This study identified 30 professors, associate professors, senior lecturers, and senior researchers teaching and/or researching higher education topics in Africa. Publications on higher education in Africa as journal articles, book chapters, or books was one of the criteria for selecting experts. Experts were identified using electronic search based on their publications and our networks. The selected panelists were working in notable universities in Egypt, Ethiopia, Nigeria, Norway, Uganda, South Africa, and the UK. Some of them held senior leadership positions as director, provost/chancellor, and secretary-general.

We explained the purpose of the study to all potential panelists and secured the consent of 14 for participation by email. We assured them of voluntary participation; withdrawal from the study at any point without explanations; as well as privacy and confidentiality. We asked the experts to judge the salience of the indicators based on their expert opinions which did not in any way interfere with their personal lives and that of the operations of their institutions. Three reminding emails were sent to all, and 14 participants completed the survey based on which analysis was performed.



## Data collection and analysis

As web services are known for facilitating efficient Delphi studies (Iqbal & Pipon-Young, 2009; Mengual-Andrés et al., 2016), data collection and analysis for this study were conducted using SurveyMonkey. This platform was chosen to enable virtual data collection from panelists located both within and beyond Africa. Given that physical contact was neither necessary nor feasible, SurveyMonkey provided a practical solution for gathering data from the panelists involved in the study.

Various agreement levels are combined to get overall consensus percentages for Delphi studies. Niederberger and Spranger (2020) and Woodcock et al. (2020) indicate 60% or 75% consensus thresholds, respectively. In this study, we did not expect resounding consensus about engagement indicators due to the contentious nature of the phenomenon itself and the intersubjective nature of social research generally. Because of these and because the survey was developed based on an extensive literature review and that the panel data was needed for triangulation and validation, 60% was considered acceptable. Strongly agree, agree, and somehow agree percentages were combined to show overall consensus while disagree, somehow disagree, and strongly disagree were combined to show overall disagreement. The subsequent section maps out the core theoretical, conceptual and methodological features of USE.

## Configurative literature review

As indicated above, a configurative literature review was conducted to precisely define the knowledge frontier and then to inform the drafting of the methodological framework this study proposes. The major results of the review are succinctly presented below.

Over the past three decades, there has been a notable proliferation of theoretical and conceptual frameworks exploring emerging functions of universities. These frameworks include the entrepreneurial university model (Clark, 1998; Etzkowitz, 2014), Mode 2 knowledge production (Gibbons et al., 1994), Mode 3 (Barnnet, 2004; Carayannis & Campbell, 2006; Jimenez, 2008), and academic capitalism and the new economy (Slaughter & Rhoades, 2009). These frameworks have contributed to the evolving understanding of universities' roles and functions amid societal transformations. Although the specific foci and contexts of these frameworks vary and their generalizability across different settings is not yet fully established, they collectively examine universities' positionings to directly address socio-economic development. These frameworks generally explore the ways in which universities are adapting and responding to the changing demands and challenges of their spheres of influence. Below are some of the key works that directly influenced the development of the methodological framework proposed in this study.

Several conceptual frameworks explain the role of knowledge production in society. This involves what Guston et al. (1994) called a "new social contract" of science whereby academic researchers are called upon to serve the needs of "users" or society including industry and government. For instance, Gibbons et al. (1994) developed Modes 1 and 2 as research strategies to discuss the contexts and purposes of



knowledge production. Mode 1, which is associated with traditional science organized around disciplinary cultures, is more academic with little interest in application whereas Mode 2 is situated primarily within application contexts and is driven by varied interests. Rhoades and Slaughter (2006) further developed the notion of the commercialization of education and research. Frameworks branded as Mode 3 also appear to offer alternative explanations to Mode 2, including the issue of socioeconomic development, democratization, and public accountability (Bekele, 2021; Sandstrom, 2014). Modes 2 and 3, tend to complement Mode 1 but the successive modes highlighted inadequacy in their respective predecessors.

The triple helix model refers to interactions among university, industry, and government to foster socio-economic development (Etzkowitz & Leydesdorff, 2000). This model also denotes internal transformations in structures and functions within the three helices that allow them to take on new roles beyond the traditional ones. Carayannis and Campbell (2012) proposed the quadruple helix model adding media-based public and civil society to the triple helix, and later the quintuple helix model adding "natural environment, natural environments of society" to the quadruple helix (p. 20). Overall, the helices models explain how and why university functions and governance become more outward-looking, inclusive, participatory, transparent, and socially accountable.

Clark (1998) also provided an analytical framework within the notion of the entrepreneurial university. Clark drew from the analysis of the five key organizational elements of transformation. Strengthened steering (managerial) core needs to become "quicker, more flexible, and especially more focused in reactions to expanding and changing demands" (Clark, 1998, p. 2). An enhanced developmental periphery entails professionalized interdisciplinary outreach offices, centers, or units responsible for knowledge transfer, fundraising, continuing education, intellectual property development, industrial contact, and alumni affairs. Diversified funding is a base for (institutional) self-reliance and sustainability. A stimulated academic heartland (academic departments) needs to build strong connections with the outside world and provide income from diversified sources. Moreover, a universitywide entrepreneurial culture that embraces change is part of the entry. Although the elements of entrepreneurialism hold significant potential in shaping the development of methodological frameworks to explain USE, it is important to acknowledge that these elements were observed within the specific contexts of five European case universities.

## **Engagement domains and indicators**

While the above frameworks explain alternative research trajectories on emerging university functions, none provides holistic engagement domains and indicators. This research has extracted traces of engagement indicators and proxies and categorized them along university core functions and support structures to facilitate understanding, see Table 1 below. Literature suggests that education, research (knowledge production), partnership, development, governance, sustainability, and internationalization are recognized as the key domains of USE (Barke & Hankins, 2021; Bieluch



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Domains	Indicators	Frameworks
Governance	Agile, flexible, democratic (transparent, inclusive, participatory, accountable), entrepreneurial culture	Barnnet, 2004; Carayannis & Campbell, 2006; Clark, 1998, 2004; Rhoades & Slaughter, 2006
Education	Socially relevant curricula, engaging pedagogies, advanced thinking skills, student internship, diversified student population, continuing education	Barnnet, 2004; Carayannis & Campbell, 2006; Clark, 1998; Rhoades & Slaughter, 2006
Research	Application-driven, transdisciplinary, knowledge as reflexive, novel quality control systems, the plurality of the scientific method, external funding	Barnnet, 2004; Carayannis & Campbell, 2006; Clark, 1998; Gibbons et al., 1994; Rhoades & Slaughter, 2006
Developmental outreach	Professionalized outreach offices for knowledge transfer, fundraising, continuing education, intellectual property development, industrial contact, and alumni affairs	Barnnet, 2004; Carayannis & Campbell, 2006; Clark, 1998; Gibbons et al., 1994; Slaughter & Rhoades, 2006
Partnership	Engagements with local, national, continental, and global actors, knowledge management regimes (professional associations, societies, networks, publishers)	Carayannis & Campbell, 2006; Etzkowitz & Leydesdorff, 2000
Sustainability	Outreach offices, entrepreneurial culture, diversified income, intellectual property, alumni fundraising	Clark, 1998; Rhoades & Slaughter, 2006; Slaughter & Rhoades, 2009



et al., 2021; Bölling & Eriksson, 2016). These domains give rise to various indicators, including legal agreements, strategic visions, application driven research and promotion criteria, among others (Boyer, 2016; Derrett, 2013; Milne & Hamilton, 2021).

The domains listed below are discussed in the literature as areas of USE. For instance, a university-industry partnership is taken as a way to integrate the activities of universities with business communities (Bieluch et al., 2021). Partnership schemes are framed within the principles of inclusiveness, mutuality, and shared decision-making (Swick et al., 2021). *Governance* concerns coordination, strategic leadership, management of funding, quality assurance processes, and the integration of related policy (Abaurre, 2014; Ofoyuru, 2018; Paton et al., 2014; Swick et al., 2021). *Education* involves student placement in the form of internships, skills training, public dialogues, and practicum programs (Glass et al., 2011; Karasik & Hafner, 2021; Ofoyuru, 2018). *Research* draws on the role of communities in the process of research and utilization of outputs (Barke & Hankins, 2021; Derrett, 2013; Hart and Aumann, 2013).

Service is also recognized as an important engagement domain (Benneworth, 2013; Boyer, 2016; Ofoyuru, 2018). Indicators of service include agricultural extension, university-managed business incubators, technology transfer, extra-mural education, distance education, legal advice, service learning, clinical services, and management consulting (Banya, & Elu, 2001; Benneworth, 2013). Moreover, internationalization ambitions such as recruiting international students and faculty, exchange programs, mobility schemes, joint programs, co-publishing, and international research collaborations are also considered as vital (Syed Kechik et al., 2014). Sustainability primarily involves establishing synergy between university vision and culture, democratic practices including transparency and accountability, inclusive and participatory partnerships, dedicated offices for partnerships, and partnerships with industry (Bekele et al., 2021).

Overall, the frameworks and the various engagement domains and proxy indicators highlighted above do not adequately capture and reflect specific socio-economic and political contexts in which universities operate. While they contribute to a macro conceptual mapping, they do not provide logically coherent and comprehensive frameworks for assessing the quality and scope of USE.

Various methodological frameworks (e.g. Frondizi et al., 2019; Jongbloed et al., 2008; Laredo, 2007; Loi and Guardo, 2015; Rubens et al., 2017; Trencher et al., 2014; Schalkwyk, 2015; Zomer and Benneworth, 2011) have identified alternative sets of engagement indicators. Accordingly, USE mainly manifests through community service by transferring knowledge. This transfer is justified both as a means to generate revenue for the university and as a manifestation of the university's prosocial behavior aimed at contributing to socio-economic transformations. However, the frameworks lack detailed and elaborate domains of indicators and organizing logics, which hinders the conceptualization of USE.

Other frameworks (e.g. Addie, 2018; Fitzgerald et al., 2012; Marhl and Pausits, 2011; Montesinos et al., 2008; Secundo et al., 2017) offer better explanatory power as they identify more comprehensive domains and indicators, which can significantly contribute to our understanding of the evolving nature of USE. However, they



also have several limitations that challenge their salience and interpretations across different contexts.

Firstly, there exists a terminological 'chaos' that hampers the establishment of a shared understanding among stakeholders. Terms such as third mission, community service, community engagement, university engagement, entrepreneurialism, outreach, service university, service learning, and university-industry linkages are used in the literature without clear operationalizations.

Secondly, most of the domains and indicators coalesce primarily around the concept of third *mission*. This usage restricts engagement to a dedicated third mission only while in practice the frameworks demonstrate the embedded nature of engagement to university core functions of teaching and research as well. Consequently, theorizing engagement by focusing exclusively on the third mission seems restrictive and is inconsistent to emerging conceptions of university missions. The forces of globalization, technological transformations, and development policy regimes such as the SDGs are significant drivers that necessitate the inclusion of engagement indicators linked to core functions and support structures of universities such as governance, technology, internationalization, partnerships, and sustainability. The aforementioned engagement indicators are not directly aligned with these, making it difficult for stakeholders to easily understand and apply the methodological frameworks to assess the extent and quality of university engagements.

Thirdly, recognizing engagement both as a process and an outcome could potentially trigger and drive strategic investment, implementation, and monitoring and assessment efforts. However, the existing frameworks often failed to provide clear and adequate distinctions between engagement as a process and engagement as an outcome. This lack of clarity hinders the comprehensive understanding and effective measurement of the various dimensions of engagement.

Fourthly, it is important to note that the frameworks depict universities as the primary producers, disseminators, and translators of scientific knowledge. However, there is a growing recognition that international organizations are also emerging as alternative knowledge production sites (Bekele et al., 2021; Zaap, 2020) alongside think tanks and government research units. Moreover, universities often engage in research collaborations and partnerships with these international organizations. Therefore, it is necessary to consider this evolving landscape when identifying indicators for USE to ensure a comprehensive and accurate assessment of knowledge production and dissemination dynamics.

Fifthly, it is worth noting that all the frameworks, except for Schalkwyk (2015), have been developed within Western/Northern contexts. While frameworks and theories are generally assumed to have broader applicability, it is important to recognize that the operations of universities are significantly influenced by contextual and national realities (Wit & Altbach, 2021). Therefore, it is crucial to consider the diverse realities and perspectives across different regions when adopting and adapting these frameworks to ensure their relevance and effectiveness. As indicated in the introduction section, African HE operates within mosaic cultures and endures colonial legacies (Assie-Lumumba, 2006) and lacks critical scholarly engagements and humanely faculty- student relationships (Dei et al., 2019; Kom, 2005; Nhemachena & Mawere, 2022). Population size, level of industrialization and democratization,



mindset and institutional culture, and local/national knowledge systems affect the quality and extent of USE. As African universities define *society* to include their spheres of influence at local, provincial or state, national, regional/continental, and global levels (Bekele & Ofoyuru, 2021), frameworks that reflect these dimensions are needed for meaningful study of engagement.

Consequently, frameworks that overcome these and possibly other limitations of existing frameworks are needed for creating common understanding of engagement among stakeholders (Frondizi et al., 2019; Maassen et al., 2019; Niederberger & Spranger, 2020). This is even more significant for settings such as Africa where there is a dearth of literature on the topic (Bekele & Ofoyuru, 2021; Schalkwyk, 2015).

## Towards a comprehensive methodological framework

To contribute to filling this clear gap in our understanding of USE, this study proposes a holistic and generic methodological framework developed based on extensive reviews of theoretical and methodological frameworks, and empirical data. The framework is holistic as it constitutes eight engagement domains aligned with university core functions (teaching–learning, research, service) and supporting structures (governance, digitalization, internationalization, partnerships, and sustainability). The original framework consists of 100 indicators spread across the eight engagement domains.

As detailed in the methodology section (Panel selection), 14 higher education experts reviewed the methodological framework using a 7-point scale of strongly agree, agree, somehow agree (jointly denoting agreement); disagree, somehow disagree, and strongly disagree (jointly denoting disagreement), and neither agree nor disagree. Applying the 60% agreement threshold, 52 of the 100 items secured clear consensus, see Table 2 for the final indicators. Engagement domains of teaching-learning, research, societal service, governance, internationalization, partnerships, digitalization, and sustainability respectively constitute 8, 7, 6, 7, 7, 7, and 3 indicators. Compared to existing methodological frameworks, this framework proposes a substantial number of indicators better reflecting the versatility and complexity of USE.

The framework is also generic, for it identifies the most salient features of USE beyond institutional and national peculiarities, discussed later. *Methodological framework* denotes the presence of a *logic* and an *analytical* perspective to it, having its own theoretically informed principles, assumptions, domains, indicators, and rating scales to assess USE. The framework adds conceptual clarity and contributes to stakeholder shared interpretations of the different modalities of USE.

Additional attributes of the framework include the following. One, the framework adopts a results framework, including inputs and enabling environments (e.g. supportive policies and guidelines, material and human resources), processes (e.g. active student engagement, community-oriented approaches, inclusive and participatory decision making), outputs (e.g. number of highly engaged faculty, number of professional development programs, number of institutional networks), and outcomes (e.g. student acquisition of skills and competencies,



 Table 2
 Methodological framework of university-society engagements

Domain	Indicator	Agreement (%)	Score
Teaching-Learning	Curricula reflect societal challenges or needs.	75	2
	Curricula embody local or national knowledge systems.	67	2
	Teaching content informed by contemporary research results.	83	2
	Diversified student population across programs.	67	2
	Adoption of student internships or experiential instruction.	75	2
	Student projects address societal needs or challenges.	67	2
	Instruction promotes skills and competencies relevant to society.	64	2
	The assessment focuses on student skills and competencies.	70	2
Total	8 indicators		16
Research	Research problems mirror societal needs or challenges.	64	2
	Multimethod adopted for studying the research problem.	75	2
	Research engaging graduate students.	75	2
	Number of formal collaborations with other research organizations.	64	2
	Publications in peer-reviewed national and international venues.	75	2
	Research result dissemination in local and national conferences.	83	2
	Researcher engagement in public discussions or discourses.	73	2
Total	7 indicators		14
Service	Consulting (paid) with local, national, and international entities.	92	2
	Academics engaged in public dialogue/lecture.	75	2
	Academics serve as a scientific advisory and board members in society.	75	2
	Number of co-publications with local and national entities.	67	2
	Number of student internships in public and private entities.	64	2
	Relevant distance education programs are available.	64	2
Total	6 indicators		12
Governance	Strategic long-term university vision and planning.	82	2
	Institutional autonomy and academic freedom.	67	2
	Transparency and accountability systems.	83	2
	Inclusive and participatory decision-making at various levels.	64	2
	Centralized and efficient financial management.	73	2
	Faculty and staff incentives and reward systems.	64	2
	University-wide forum for policy-oriented discussions.	64	1
Total	7 indicators		13
Digitalization	Accessible university technology services.	64	2



Table 2 (continued)

Domain	Indicator	Agreement (%)	Score
	University community's favorable attitude toward technology.	83	2
	Technology-supported teaching, learning, and research.	73	2
	Automation or digitization of university business processes.	73	2
	Institutional policies for technology use, property rights, security.	82	2
	Continuous research or experimentation on strategic technology use.	64	1
	Permanent technology support services, or helpdesks.	70	2
Total	7 indicators		13
Internationalization	Curricula embody international content.	75	2
	International staff, faculty, and student presence.	75	2
	Formal networks with universities and other entities abroad.	92	2
	Research collaboration with international entities.	83	2
	Number of international co-publishing.	75	2
	Faculty, staff, and student presentations at international conferences.	100	2
	Consulting services to international entities.	73	2
Total	7 indicators		14
Partnerships	Institutional networks with local, national, continental, or global actors.	83	2
	Regulations, policies, or laws to govern partnerships.	67	2
	Partnerships in alignment with the university mission.	75	2
	Partnerships built on mutual understanding and reciprocity.	67	2
	Shared decision-making at various levels.	64	1
	Shared knowledge management systems.	64	2
	Open access to partnership outputs, outcomes.	67	2
Total	7 indicators		13
Sustainability	Permanent centers or units for coordinating overall engagement.	67	1
	University-wide culture (mindset) for societal engagement.	67	2
	Diversified and sustainable income sources.	75	2
Total	3 indicators		5
Grand total	52 indicators		100

publications and policy briefs, faculty participation in national policy making and planning). Two, both qualitative and quantitative indicators are included to capture the complexity of the phenomenon. Three, the necessity of fine-tuning university engagements with national, continental, and global realities enhances the societal relevance and significance of universities in Africa. The framework



is consistent with the logic of development and sustainability ushered in the UN 2030 SDGs and in the African Union the Africa We Want 2063 ambitions.

Universities also operationalize societal needs and challenges differently due to variations in contextual realities. Many indicators are thus dedicated to addressing the notion of local contexts, including the inclusion of local knowledge systems and societal needs in university curricula and pedagogy, community participation in the operations of universities, policy and legislative frameworks, infrastructures, and partnerships with civil society groups, non-profit organizations, and business communities. More specifically, the embodiment in curricula of societal needs and local knowledge systems is conceived as a vital manifestation of USE in Africa, see the first two items of the framework in Table 2. To exemplify the proposed embodiment, we draw on Asabiyya and Ubuntu humanistic philosophies respectively from Northern and Southern Africa and Yoruba and Zara Yacob epistemologies respectively from Western and Eastern Africa. Our intention is not to approximate African philosophy through them but to demonstrate the existence of relevant local knowledge systems that could further cement USE in Africa.

If carefully integrated, these African knowledge systems could partly be considered remedies for the local irrelevance of curricula, domineering faculty-student relationships, and faculty-centered education prevalent in African HEI (Bekele et al., 2023). Ubuntu, which means "a person is a person through other persons" (Letseka, 2013, p. 339) and Asabiyya, which generally means humanity to others (Shihade, 2022), promote such values as empathy, open communication, engagement, sharing, harmony, cooperation, congruence, and a common worldview. If systematically integrated into education, these humanely values could trigger and drive the reconceptualization of the existing master–slave faculty-student relationships in African campuses.

Moreover, the Yoruba (from Nigeria) and Zara Yacob (from Ethiopia) epistemologies could contribute towards stimulating and supporting meaningful student and faculty scholarly engagements. The Yoruba discourse employs rigorous methodologies and criteria for the production and evaluation of any type of information and knowledge (Wiredu, 2004; Hallen, 1998, 2004). Knowledge produced through first-hand experience that involves testimony is accorded the highest status (imo). Rigorous discussions, analyses, testimonies, and reflections are also conducted to distinguish between more reliable and less reliable information (igbagbo). Alternatively, Zara Yacob's rational philosophy called Hatata is a distinctive and profound mode of thinking (Sumner, 1978, 2004; Teodros, 2004; Wiredu, 2004). Intentionally doubting the truth value of a phenomenon, systematically dissecting and interrelating ideas, identifying alternative and even conflicting explanations, qualifying them based on the power of reasoning, and finally drawing the most compelling conclusions could induce more faculty and student agency and meaningful engagements (Bekele et al., 2023).

Overall, embodying in curricula Asabiyya and Ubuntu humanistic philosophies and Yoruba and Zara Yacob empiricist and rationalist epistemologies could be considered a powerful strategy to improve the local relevance of HE, which is a vital expression of USE in Africa. Further validation of the methodological framework concerns the development of specific guidelines and rubrics to assess the embodiment in curricula of African knowledge systems and societal needs.



## Rating university-society engagements

Existing methodological frameworks do not have ratings and descriptors, making engagement comparisons nearly impossible. Addie (2018) developed an engagement framework with three core categories (Mediation, Centrality, and Difference), 9 indicators, and 19 items. Although this methodology was found relevant in understanding engagement in European and North American universities, it has limitations when applied in other contexts. First, the framework is limited in scale and does not allow a robust explanation and comparison of engagement. The single-digit levels of engagement are also hard to interpret. Second, the indicators are linked to the concepts of mediation, centrality, and difference, which are difficult to explain and understand, especially for practitioners. These limitations partly triggered the development of the comprehensive framework with more elaborate domains, items, and scales.

The framework we are proposing has eight domains and 52 indicators that cut across university core functions and its supporting systems, see Table 2. All the items (indicators) receive two points each, making a total of 104. As the number is usually considered a cap in daily usage and implies a sense of completeness, 100 is the highest engagement score a university could get. To deal with the four extra points, we considered slightly different weights for four items, see those in Italics in Table 2. We kept untouched those item weights linked to the core university functions (teaching-learning, research and service) but took one item from each of the supporting systems categories (governance, digitalization, partnerships and sustainability) having agreement percentages lower than 70% and assigned them one point each. In instances where two or more items having the same agreement levels existed within a category, we made judgment about the relative significance of each and assigned one point for the one which appeared pragmatically or conceptually less significant. This appears a subjective exercise, but it does not affect our overall formula as it concerns only four items. Engagement is thus best expressed on a flexible continuum ranging from 1-100.

The assumption is that universities engage with society in some ways and to some extent; the maximum and minimum engagement scores a university can get are 100 and 1, respectively. However, for a meaningful interpretation, ranges of scores are considered, see Table 3. Except for the lowest level of engagement, dubbed as lagging or minimal, equal interval sizes are used. A university with a score between 90 and 100 has an outstanding or ideal engagement with its society, whereas scores below 40 indicate minimal engagement characteristic of the laggards. In between, various engagement levels are found which might best reflect the diversity of African universities as manifested in their extent and quality of engagement with their spheres of influence at several levels.

Some practical implications of the methodological framework are worth considering. First, a university can use this framework to report an overall engagement level along the eight domains. Second, departments, schools, faculties, or colleges within a university can also use the framework to assess their performances along the eight engagement domains. In fact, one typically starts with this analysis level and aggregates data to the entire university. Third, universities can use the



Engagement descriptor	Engagement score	Explanatory notes
Outstanding	90–100	Exemplar/superior/distinguished/ ideal engagement
Advanced	80-89	Excellent engagement
Proficient	70–79	Competent/very good engagement
Dedicated	60-69	Moderate/average engagement
Developing	50-59	Expanding/budding engagement
Emerging	40–49	Beginning engagement
Lagging	Below 40	Minimal engagement

**Table 3** Rating of engagement by descriptors

framework to compare their extent of engagement over time. Fourth, the framework also allows for inter-university comparisons. Fifth, a university or department can also use one or more of the eight engagement domains to assess their performances in those areas only. Overall, this framework allows intra-university, intra- and inter-domain, and inter-university engagement comparisons.

However, the limitations of this study needs to be acknowledged. Although the sample size (14) satisfies basic requirements from Delphi studies, the inclusion of more scholars from the five regions of Africa could have added more insights. Moreover, the inclusion of varied voices, including university leaders, civil society leaders, and experts working in national, continental and international development organizations could have added more salience. These are considered the major stakeholders who aspire to harness sociocultural and economic transformations of societies through education and knowledge production. Email invitations to participate in this study were sent to 20 leaders but none responded positively. Future scholarly engagements should overcome these and similar challenges.

Further operationalization, validation, and or falsification of the methodological framework across varied contexts can enhance its fecundity. Issues for interrogation include the validation of the framework considering research versus generalist universities, the social sciences and humanities versus the natural sciences and engineering fields, and private versus public universities. More immediate scholarly engagement involves the development of guidelines and rubrics for data collection and analysis, especially for those indicators linked to the inclusion in curricula of local knowledge systems and local societal needs and challenges. The original insights this study offers should be considered as *critical first steps* needed towards developing rigorous and user-friendly methodological tools to assess the quality and extent of emerging university engagements with their spheres of influence.

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#### **Declarations**

**Competing interest** The authors declare they have no conflict of interests.

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