### REVIEW



# The NLRP3 Inflammasome and Its Role in Sepsis Development

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Abstract— The pathophysiology of sepsis is extremely complex. During this disease, the exacerbation of the inflammatory response causes oxidative stress, alterations in mitochondrial energy dynamics, and multiple organ failure. Some studies have highlighted the important role of the NLRP3 inflammasome in sepsis. This inflammasome is a macromolecular protein complex that finely regulates the activation of caspase-1 and the production and secretion of potent pro-inflammatory cytokines such as IL-1 $\beta$  and IL-18. In this review, we elucidate evidences to understand the connection between sepsis development and the NLRP3 inflammasome, the most widely investigated member of this class of receptor.

KEY WORDS: sepsis; NLRP3; inflammasome; organ failure.

# INTRODUCTION

Sepsis is a syndrome characterized by pathophysiologic and biochemical alterations caused by an infectious insult. These abnormalities are related to an exacerbated systemic inflammation, and they manifest as lifethreatening clinical conditions [1] that may lead to multiple organ dysfunction [2] and a mortality rate of approximately 60% [3].

The initial stage of sepsis pathophysiology involves the production of signaling molecules responsible for recruiting immune cells, such as the pathogen-associated molecular patterns (PAMPs) and the damage-associated molecular patterns (DAMPs) and their interaction with germline-encoded receptors called pattern recognition receptors (PRRs) [4]. The involvement of Toll-like receptor-4 (TLR-4) in sepsis is well described [5–7]; however, another class of receptor has drawn attention in recent years: the nucleotide-binding and oligomerization domain (NOD)-like receptor (NLR) class, specifically the pyrin domain-containing 3 (NLRP3) member. NLRP3, along with other structures, generate a complex called inflammasome that can convert pro-inflammatory procaspases into their mature form, thus inducing the release of important pro-inflammatory cytokines [8, 9].

The NLRP3/caspase-1/IL-1 axis has emerged as a critical signaling pathway of the innate immune system

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and the progression of inflammation. There are important evidences regarding the involvement of NLRP3 inflammasome in different inflammatory diseases, such as cerebral ischemia [10], Parkinson's and Alzheimer's disease [11], inflammatory bowel disease [12], and atherosclerosis [13].

The connection of these diseases with bacterial sepsis occurs as follows: activation of *Toll-like* receptors by the presence of DAMPs and PAMPs, characterizing step 1 of the inflammasome activation and generation of ROS by phagocytic cells and mitochondrial damage activate the step 2 [14]. However, the connection of NLRP3 to the development of sepsis and organ dysfunction requires further investigation, and in this review, we elucidate evidences that lead the connection between sepsis development and the NLRP3 inflammasome, the most widely investigated member of this class of receptor.

### NLRP3 INFLAMMASOME

The innate immune system has been recognized as the primary line of defense to fight back invading pathogens and maintain homeostasis [15]. Components of pathogens, injured tissue, and cells may release pathogen-associated molecular patterns (PAMPs) and damage-associated molecular patterns (DAMPS) that will be recognized by pattern recognition receptors (PRRs) and stimulate immune responses, and among these receptors, pyrin domain-containing 3 (NLRP3) is under intense investigation [16] as NLRs may form protein complexes called inflammasomes [17].

The NLRP3 is a group of high-molecular–weight cytosolic protein complex formed to mediate host immune responses to several DAMPs and PAMPs. This complex consists of three main parts: (a) a sensor/receptor protein of cytosolic location that serves as a platform for complex formation, for example, NLRP3; (b) an ASC (apoptosis-associated speck-like protein containing a CARD) adapter protein; and (c) an effector protein, pro-caspase-1 [9, 18, 19].

NLRP3 inflammasome activation requires two steps. The first one is called priming and consists in the expression of NLRP3 and pro-IL-1b through the stimulation of NF-kB in response to microbial molecules, such as TLR ligands or endogenous cytokines, e.g., tumor necrosis factor alpha (TNF-a). The second step is the activation phase in which an increase in extracellular adenosine triphosphate (ATP), extracellular osmolarity or pH alterations,  $\beta$ -amyloid fibers and degradation of extracellular matrix

components, increase in potassium efflux, generation of mitochondrial reactive species, and lysosomal destabilization and leakage of cathepsin B trigger NLRP3 assembly and activation [20–22].

After conformational activation, NLRP3 interacts with the ASC adapter protein and induces the aggregation of ASC into a large cytosolic protein speck. Then, ASC specks generate a platform for recruitment of pro-caspase-1 monomers, which promotes its self-cleavage and activation. Consequently, active caspase-1 stimulates the cleavage of pro-IL-1b and pro-IL-18 into mature IL-1b and IL-18, thus promoting inflammatory responses [23, 24]. Active caspase-1 also cleaves gasdermin D to free its Nterminal domain and induce the formation of pores at the membrane, leading to a pro-inflammatory form of cell death called pyroptosis [19], and this process is called canonical NLPR3 inflammasome activation. In addition, recent evidences point to another process named noncanonical inflammasome activation, in which LPS derived from Gram-negative bacteria stimulates caspase-11, and this induces pyroptosis as well as caspase-1-dependent maturation and production of IL-1 $\beta$  and IL-18 [25, 26].

### NLRP3 AND SEPSIS: ROLE ON INFLAMMATION

Considering that the immune system is the first one> affected in sepsis and the key signaling role played by NLRP3, different approaches have been studied to modulate this inflammasome in sepsis (Fig. 1) [27]. Biglycan is a stationary component of the extracellular matrix (ECM) found in most tissues under normal conditions, but it can become an endogenous ligand for TLR4 in macrophages after releasing from activated macrophages or the ECM during tissue damage. In this sense, biglycan can activate the NLRP3 inflammasome and elevate IL-1 $\beta$  levels in macrophages, without the need for costimulatory factors [28].

Follistatin-like protein 1 (FSTL-1) is a secreted glycoprotein formed mainly by mesenchymal cells, and it is overexpressed in several inflammatory conditions characterized by elevated IL-1 $\beta$ . Chaly and colleagues (2014) proposed that FSTL-1 can access the intracellular space of cells that do not normally express this protein, such as monocytes/macrophages, and then FSTL-1 enters in the mitochondria, where it may improve ATP production, which is an important stimulus to activate NLRP3 inflammasome. Also, FSTL-1 can increase NLRP3 and pro-caspase-1 expression [29].



Fig. 1. Effects of different substances on the immune system after sepsis. Several events and triggers are required for the activation of NLRP3. Like PAMPs, biglycan is an endogenous ligand for TLR4 and P2X7 receptor, activating the transcription of genes encoding NLRP3-forming proteins, or potassium efflux, one of the signals required for NLRP3 activation. Alpha-linolenic acid can reduce the expression of NLRP3, caspase-1, pro-IL-1 $\beta$ , and pro-IL-18. Dimethyl sulfoxide (DMSO) blocks ASC formation and caspase-1 formation. Follistatin-like protein 1 (FSTL-1) can activate the conversion of pro-caspase-1 into its mature form. Reactive species generated by mitochondria are triggers that activate NLRP3 inflammasome, whereas nitric oxide (NO) and sestrins seem to reduce this activation signal. Once activated, the NLRP3 inflammasome leads to the cleavage of pro-IL-1 $\beta$  and pro-IL-18 to their active form and causes pyroptosis.

Sestrins (Sesn) are proteins that shield cells exposed to a variety of environmental stresses, including oxidative stress and DNA damage, maintain metabolic homeostasis, and induce autophagy under conditions of genotoxic stress [30]. Bone marrow-derived macrophages (BMDMs) of *Sesn2* knockout mice exhibited defective mitophagy after immune stimulation, leading to hyperactivation of the NLRP3 inflammasome and increased mortality after sepsis [31].

Animals with genetic suppression for NLRP3 showed decreased autophagy in neutrophils along with increased phagocytosis and clearance of bacteria and improved survival [32]. In addition, genetic deficiency of NLRP3 induced the synthesis of lipoxin B4, a lipid mediator typically involved in the resolution of inflammation, in septic mice, and in macrophages stimulated with LPS and ATP [33]. On the other hand, in neonatal sepsis, the inhibition of caspase-1 and caspase-11 rather than NLRP3 blockade increased survival and decreased cytokine levels, as

well as elevated the recruitment of macrophages and peritoneal neutrophils, associated with increased phagocytosis [34].

NLRP3 inflammasome seems to be inhibited by some substances. The administration of nitric oxide (NO) derived from iNOS may reduce the activation of NLRP3 due to a mitochondrial stabilization process, whereas it does not impact the TLR/NF- $\kappa$ B signaling pathways [35]. Dimethyl sulfoxide (DMSO) displayed ability to attenuate IL-1 maturation, caspase-1 activity, and ASC pyroptosome formation via NLRP3 inflammasome activator [36].

The extract of *Syneilesis palmata* (SP), a traditional Korean therapeutic herb widely used to treat pain or arthritis, also attenuated IL-1 $\beta$  secretion via the inhibition of NLRP3 inflammasome activation induced by monosodium urate, ATP, and nigericin. Further, SP ameliorated the severity of NLRP3 inflammasome-mediated symptoms in LPS-induced endotoxin and *Escherichia coli*-induced sepsis mouse models [37].

Alpha-linoleic acid metabolites also seemed to exert anti-inflammatory role by preventing the activation of NLRP3 inflammasome both in LPS-challenged RAW 264.7 cells and mouse peritoneal macrophages, as well as by the induction of apoptosis and inhibition of autophagy in the LPS-challenged macrophage [38]. *In vitro* tests with different macrophage lineages proved that apigenin, a natural flavonoid present in fruits and vegetables, may prevent LPS-induced IL-1 $\beta$  production by blocking caspase-1 activation through the disruption of the NLRP3 inflammasome assembly [39].

## NLRP3 and Sepsis: Effects on the Mitochondrial Energetic Response

Mitochondrial energy dysfunction plays an important role in the pathogenesis of sepsis, especially by the ability to increase mitochondrial ROS production [40]. Evidence suggests that mitochondrial uncoupling proteins (UCPs), a superfamily of mitochondrial anion carrier proteins, are related to NLRP3 activation. Mitochondrial uncoupling protein-2 (UCP2) has been involved in numerous physiopathological conditions including metabolic disorders, inflammation, ischemic shock, cancer, and aging, even being pointed as a possible central modulator in metabolic processes<sup>[41]</sup>. In macrophages, UCP2 deficiency suppressed NLRP3 expression, the data suggest that UCP2 regulates NLRP3-mediated caspase-1 activation through fatty acid synthase (FASN)-mediated lipid synthesis. The study further demonstrated that regulation of downstream AKT and p38 MAPK activation by FASN is critical for NLRP3 expression and caspase-1 activation [42].

Mitochondrial damage was associated with inflammation through of NLRP3 activation. Excessive or sustained calcium (Ca2<sup>+</sup>) uptake can lead to mitochondrial damage characterized by increased production of ROS, mitochondrial permeability transition, and eventually rupture of the mitochondria. In addition, mitochondria have a principal role in regulating Ca2<sup>+</sup> levels by taking Ca2<sup>+</sup> that is released from the endoplasmic reticulum, causing elevation of their levels within mitochondria [43]. With the production of ROS, lysosomes can rupture, structures that function as Ca2<sup>+</sup> deposits, and this Ca2<sup>+</sup> mobilization in turn leads to the activation of NLRP3 [44].

In other hand, autophagy is a degradation system of cytoplasmic constituents that involves sequestration of cellular components, fusion to lysosomes, degradation of the cellular materials by hydrolytic enzymes, and reutilization of degradation products [45]. Evidence suggests that autophagy negatively regulates NLRP3 activation [45, 46]. A hypothesis suggests that inflammasomes or pro-IL-1 $\beta$  molecules are degraded by autophagosomes [43, 47], and the second hypothesis proposes that mitochondria-selective autophagy, termed mitophagy, eliminates dysfunctional/damaged mitochondria, avoiding the cytoplasm release of inflammasome activation DAMPs like ROS and thus preventing activation of NLRP3 inflammasome [48]. The ROS formation are critical events associated with NLRP3 inflammasome activation. However, the mitochondrial dysfunction and inflammasome activation and their contribution to the pathogenesis of sepsis thus remain incompletely understood.

# NLRP3 and Sepsis: Effects on the Cardiovascular System

The *in vitro* stimulation of cardiac fibroblasts with LPS elevates the expression of NLRP3 and caspase-1, and this alteration is reverted by the administration of glyburide, a NLRP3 inhibitor [49]. NLRP3 *knockout* animals showed reduced myocardial damage when treated with melatonin [50]. Cortistatin, a neuropeptide and an immunomodulatory factor, demonstrated an interesting ability to inhibit NF-kB and NLRP3 activity, prevent the production and release of pro IL-1 $\beta$ , and attenuate sepsis-associated myocardial injury [51]. A recent study demonstrated that NLRP3 is activated in platelets of septic rodents, and it is associated with inflammation, endothelial permeability, and multiple organ injury [52].

# NLRP3 and Sepsis: Effects on the Gastrointestinal System

Recent studies have shown the inflammatory effects of NLRP3 inflammasome on the gastrointestinal system. The hepatic levels of NLRP3 as well as proteins related to pyrocytosis seem to increase as sepsis evolves, thus inhibiting these proteins and blocking NLRP3 activation can alleviate acute liver injury induced by sepsis and may increase survival [53]. In fact, silencing NLRP3 gene 48 h prior to sepsis induction improved hyperbileacidemia and reduced cytokine levels, neutrophil infiltration, and macrophages pyrocytosis in hepatic tissue [54]. Of note, bile acids are recognized as a class of DAMPS that synergize with ATP in activating the NLRP3 inflammasome in a Ca<sup>2+</sup> influx-dependent manner; however, bile acids are also ligands of the farnesoid X receptor (FXR), and, once stimulated, FXR seems to physically interact with NLRP3 inflammasome components to repress NLRP3 activation [55].

With regard to treatment options, mice subjected to LPS-induced acute liver injury and treated with bone marrow mesenchymal stem cells showed reduced levels of NLRP3, ASC, and caspase-1 as a result of prostaglandin E2 (PGE2) secretion and enhanced IL-10 levels [56]. The oral administration of *Cinnamonum osmophloeum* essential oil to mice before LPS injection reduced plasma levels of IL-1 $\beta$  and IL-18 and diminished the expression of ASC, caspase-1, and NLRP3 in the intestinal mucosa [57].

### NLRP3 and Sepsis: Effects on the Renal System

A few studies have demonstrated the effects of NLRP3 modulation on kidney disease caused by sepsis. The viability of mitochondria is mainly sustained by sirtuin 3 (SIRT3), a member of NAD<sup>+</sup>-dependent deacetylases family, and its overexpression in renal tissue improves mitochondrial dynamics by decreasing reactive species. In this sense, Zhao and colleagues (2016) [58] demonstrated that sepsis induced a higher upregulation of NLRP3 inflammasome in SIRT3 knockout rats than in wild type animals, and this was reversed by an antioxidant treatment.

Wang and colleagues (2015) [59] tested the effects of carbon monoxide (CO) on rats with sepsis-induced acute kidney failure. Their results indicated a decrease in serum creatinine and urea levels and reduced apoptotic and histological scores, followed by diminished NLRP3 expression and higher survival rate.

Hyperin, a flavonoid substance found in Ericaceae, Guttifera, and Celastraceae plants, was tested in mice subjected to LPS-induce acute kidney injury. Hyperin treatment significantly inhibited TNF- $\alpha$ , IL-6, and IL-1 $\beta$  production through modulation of TLR4 expression and NF- $\kappa$ B activation. In addition, hyperin treatment blocked LPSinduced NLRP3 signaling pathway in a dose-dependent manner [60].

### NLRP3 and Sepsis: Effects on the Respiratory System

Septic patients can have their respiratory function severely compromised due to increased neutrophil infiltration, edema formation, and elevated levels of IL- $1\beta$  and IL-18 in the lung tissue, and the heme oxygenase-1 (HO-1) enzyme seems to be protective due to its anti-inflammatory features. The administration of hemin, a HO-1 inducer, downregulated the expression for NLRP3, ASC, and caspase-1 and the activity of NF- $\kappa$ B and NLRP3 inflammasome [61]. The study of different medicinal plants and their products to treat or prevent respiratory damage is increasing. In a recent research, cinnamaldehyde, a phenolic compound of *Cinnamon* species, was able to reduce the expression of NLRP3 and IL-1 $\beta$  *in vitro* and in the lungs of LPS-injected mice [62]. Another study demonstrated protective effects of dihydromyricetin, an isolated substance from the tender stem and leaves of the *Ampelopsis grossedentata* species, against CLP-induced acute lung injury by inhibiting NLRP3 inflammasome activation and subsequent pyroptosis [63].

# NLRP3 and Sepsis: Effects on the Central Nervous System

Resveratrol, a phenolic compound found in grapes, significantly inhibited NLRP3 expression and IL-1 $\beta$  cleavage in the hippocampus of mice subjected to a sepsis-associated encephalopathy model, while treating BV2 cell lines with resveratrol prevents ATP induced NLRP3 activation and IL-1ß cleavage [64]. Recently, Fu and colleagues (2019) [65] demonstrated that inhibiting the formation of NLRP3 inflammasome may positively contribute to the reduction of neurological and cognitive impairment of septic animals. The authors suggested that the NLRP3/caspase-1 pathway-induced pyroptosis mediates cognitive deficits, at least in mice. However, further studies are crucial to elucidate the potential effects of inflammasome inhibitors on cognitive damage after sepsis.

### CONCLUSION

The evidences presented here demonstrated that NLRP3 inflammasome activation may induce important alterations in different systems affected by sepsis. So far, the mechanistic evaluations indicate that inhibiting the assembly and activation of this inflammasome could prevent the inflammatory response normally visualized in sepsis. Still, further studies are necessary to demonstrate the detailed influence exerted by the NLPR3 inflammasome on sepsis pathophysiology and to design new treatment approaches.

## COMPLIANCE WITH ETHICAL STANDARDS

**Conflict of interest.** The authors declare that they have no conflicts of interest in this publication.

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