RESEARCH ARTICLE

f(R) **gravity constrained by PPN parameters and stochastic background of gravitational waves**

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Abstract We analyze seven different viable $f(R)$ -gravities towards the Solar System tests and stochastic gravitational waves background. The aim is to achieve experimental bounds for the theory at local and cosmological scales in order to select models capable of addressing the accelerating cosmological expansion without cosmological constant but evading the weak field constraints. Beside large scale structure and galactic dynamics, these bounds can be considered complimentary in order to select self-consistent theories of gravity working at the infrared limit. It is demonstrated that seven viable $f(R)$ -gravities under consideration not only satisfy the local tests, but additionally, pass the above PPN-and stochastic gravitational waves bounds for large classes of parameters.

Keywords Alternative gravity theories · Post-Newtonian parameters · Stochastic background of gravitational waves

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1 Introduction

The currently observed accelerated expansion of the Universe suggests that cosmic flow dynamics is dominated by some unknown form of dark energy characterized by a large negative pressure. This picture comes out when such a new ingredient, beside baryonic and dark matter, is considered as a source in the r.h.s. of the field equations. Essentially, it should be some form of un-clustered, non-zero vacuum energy which, together with (clustered) dark matter, should drive the global cosmic dynamics.

Among the proposals to explain the experimental situation, the "concordance model", addressed as Λ CDM, gives a reliable snapshot of the today observed Universe according to the CMBR, LSS and SNeIa data, but presents dramatic shortcomings as the "*coincidence and cosmological constant problems*" which point out its inadequacy to fully trace back the cosmological dynamics [\[1\]](#page-29-0).

On the other hand, alternative theories of gravity, extending in some way General Relativity (GR), allows to pursue a different approach giving rise to suitable cosmological models where a late-time accelerated expansion can be achieved in several ways. This viewpoint does not require to find out candidates for dark energy and dark matter at fundamental level (they have not been detected up to now), it takes into account only the "observed" ingredients (i.e. gravity, radiation and baryonic matter), but the l.h.s. of the Einstein equations has to be modified. Despite of this modification, it could be in agreement with the spirit of GR since the only request is that the Hilbert–Einstein action should be generalized asking for a gravitational interaction acting, in principle, in different ways at different scales [\[2,](#page-29-1)[3\]](#page-29-2).

The idea that Einstein gravity should be extended or corrected at large scales (infrared limit) or at high energies (ultraviolet limit) is suggested by several theoretical and observational issues [\[4](#page-29-3),[5\]](#page-29-4). Quantum field theory in curved spacetimes, as well as the low-energy limit of String/M theory, both imply semi-classical effective actions containing higher-order curvature invariants or scalar–tensor terms. In addition, GR has been definitely tested only at Solar System scales while it may show several shortcomings if checked at higher energies or larger scales. Besides, the Solar System experiments are, up to now, not so conclusive to state that the only viable theory of gravity is GR: for example, the limits on PPN parameters should be greatly improved to fully remove degeneracies [\[6](#page-29-5)].

Of course, modifying the gravitational action asks for several fundamental challenges. These models can exhibit instabilities [\[7](#page-29-6),[8](#page-29-7)] or ghost-like behavior [\[9](#page-29-8)], while, on the other hand, they have to be matched with observations and experiments in the appropriate low energy limit.

Despite of all these issues, in the last years, some interesting results have been achieved in the framework of the so called $f(R)$ -gravity at cosmological, Galactic and Solar System scales. Here *f* (*R*) is a general (analytic) function of the Ricci scalar *R* (see $[10-13]$ $[10-13]$ for review).

For example, there exist cosmological solutions that give the accelerated expansion of the universe at late times [\[14](#page-29-11)[–24\]](#page-29-12). In addition, it has been discovered that some stability conditions can lead to avoid ghost and tachyon solutions. Furthermore there exist viable *f* (*R*) models which satisfy both background cosmological constraints and stability conditions [\[25](#page-29-13)[,26](#page-29-14),[32](#page-29-15)[–37](#page-29-16)] and results have been achieved in order to place

constraints on *f* (*R*) cosmological models by CMBR anisotropies and galaxy power spectrum [\[38](#page-29-17)[–47\]](#page-30-0). Moreover, some of such viable models lead to the unification of early-time inflation with late-time acceleration [\[35](#page-29-18)[–37\]](#page-29-16).

On the other hand, by considering $f(R)$ -gravity in the low energy limit, it is possible to obtain corrected gravitational potentials capable of explaining the flat rotation curves of spiral galaxies or the dynamics of galaxy clusters without considering huge amounts of dark matter [\[48](#page-30-1)[–54](#page-30-2)].

Furthermore, several authors have dealt with the weak field limit of fourth order gravity, in particular considering the PPN limit [\[56](#page-30-3)[–72](#page-30-4)[,84](#page-30-5)] and the spherically symmetric solutions [\[73](#page-30-6)[–80](#page-30-7),[82,](#page-30-8)[83\]](#page-30-9).

This great deal of work needs an essential issue to be pursued: we need to compare experiments and probes at local scales (e.g. Solar System) with experiments and probes at large scales (Galaxy, extragalactic scales, cosmology) in order to achieve self-consistent $f(R)$ models. Some work has been done in this direction (see, e.g. [\[32](#page-29-15)]) but the large part of efforts has been devoted to address single data sets (observations at a given redshift) by a single model which, several time, is not working at other scales than the one considered. In particular, a given $f(R)$ model, evading Solar System tests, should be not simply extrapolated at extragalactic and cosmological scales only requiring accelerated cosmological solutions but it should be confronted with data and probes coming from cosmological observations. Reliable models are then those matching data at very different scales (and redshifts).

In order to constrain further viable $f(R)$ -models, one could take into account also the stochastic background of gravitational waves (GW) which, together with cosmic microwave background radiation (CMBR), would carry a huge amount of information on the early stages of the Universe evolution. In fact, if detected, such a background could constitute a further probe for these theories at very high red-shift [\[103](#page-31-0)]. On the other hand, a key role for the production and the detection of the relic gravitational radiation background is played by the adopted theory of gravity [\[85,](#page-30-10)[86\]](#page-30-11). This means that the effective theory of gravity should be probed at zero, intermediate and high redshifts to be consistent at all scales and not simply extrapolated up to the last scattering surface, as in the case of GR.

The aim of this paper is to discuss the PPN Solar-System constraints and the GW stochastic background considering some recently proposed $f(R)$ gravity models [\[25,](#page-29-13) [26,](#page-29-14)[32](#page-29-15)[,35](#page-29-18)[–37](#page-29-16)] which satisfy both cosmological and stability conditions mentioned above. Using the definition of PPN-parameters γ and β in terms of $f(R)$ -models [\[71](#page-30-12)[,72](#page-30-4)] and the definition of scalar GWs [\[87\]](#page-30-13), we compare and discuss if it is possible to search for parameter ranges of $f(R)$ -models working at Solar System and GW stochastic background scale. This phenomenological approach is complementary to the one proposed, e.g. in [\[32](#page-29-15),[46,](#page-29-19)[47\]](#page-30-0) where also galactic and cosmological scales have been considered to constraint the models.

The layout of the paper is the following. In Sect. [2,](#page-3-0) we review the field equations of *f* (*R*) gravity in the metric approach and their scalar–tensor representation, useful to compare the theory with observations. In Sect. [3,](#page-4-0) we review and discuss some viable $f(R)$ models capable of satisfying both local gravity prescriptions as well as the observed cosmological behavior. In particular, we discuss their stability conditions and the field values which have to achieved to fulfill physical bounds. Section [4](#page-17-0) is devoted to derive the values of model parameters in agreement with the PPN experimental constraints while, in Sect. [5,](#page-22-0) we deal with the constraints coming from the stochastic background of GWs. These latter ones have to be confronted with those coming from PPN parameterization. Discussion and conclusions are drawn in Sect. [6.](#page-28-0) As a general remark, we find out that bounds coming from the interferometric ground-based (VIRGO, LIGO) and space (LISA) experiments could constitute a further probe for $f(R)$ gravity if matched with bounds at other scales.

2 $f(R)$ gravity

Let us start from the following action

$$
S = S_g + S_m = \frac{1}{k^2} \int d^4x \sqrt{-g} \left[R + f(R) + \mathcal{L}_m \right],\tag{1}
$$

where we have considered the gravitational and matter contributions and $k^2 \equiv 16\pi G$. The non-linear $f(R)$ term has been put in evidence with respect to the standard Hilbert–Einstein term *R* and \mathcal{L}_m is the perfect-fluid matter Lagrangian. The field equations are

$$
\frac{1}{2}g_{\mu\nu}F(R) - R_{\mu\nu}F'(R) - g_{\mu\nu}\Box F'(R) + \nabla_{\mu}\nabla_{\nu}F'(R) = -\frac{k^2}{2}T_{\mu\nu}^{(m)}.
$$
 (2)

Here $F(R) = R + f(R)$ and $T_{\mu\nu}^{(m)}$ is the matter energy–momentum tensor. By introducing the auxiliary field *A*, one can rewrite the gravitational part in the Action [\(1\)](#page-3-1) as

$$
S_g = \frac{1}{k^2} \int d^4x \sqrt{-g} \left\{ \left(1 + f'(A) \right) (R - A) + A + f(A) \right\}.
$$
 (3)

As it is clear from Eq. [\(3\)](#page-3-2), if $F'(R) = 1 + f'(R) < 0$, the coupling $k_{eff}^2 = k^2 / F'(A)$ becomes negative and the theory enters the anti-gravity regime. Note that it is not the case for the standard GR.

Action [\(3\)](#page-3-2) can be recast in a scalar–tensor form. By using the conformal scale transformation $g_{\mu\nu} \to e^{\sigma} g_{\mu\nu}$ with $\sigma = -\ln(1 + f'(A))$, the action can be written in the Einstein frame as follows [\[10,](#page-29-9)[11\]](#page-29-20):

$$
S_E = \frac{1}{k^2} \int d^4x \sqrt{-g} \left(R - \frac{3}{2} g^{\rho \sigma} \partial_\rho \sigma \partial_\sigma \sigma - V(\sigma) \right), \tag{4}
$$

where

$$
V(\sigma) = e^{\sigma} g \left(e^{-\sigma} \right) - e^{2\sigma} f \left(g \left(e^{-\sigma} \right) \right) = \frac{A}{F'(A)} - \frac{F(A)}{F'(A)^2}.
$$
 (5)

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The form of $g(e^{-\sigma})$ is given by solving $\sigma = -\ln(1 + f'(A)) = \ln F'(A)$ as $A = g(e^{-\sigma})$. The transformation $g_{\mu\nu} \to e^{\sigma} g_{\mu\nu}$ induces a coupling of the scalar field σ with matter.

In general, an effective mass for σ is defined as [\[37](#page-29-16)]

$$
m_{\sigma}^{2} \equiv \frac{1}{2} \frac{d^{2} V(\sigma)}{d \sigma^{2}} = \frac{1}{2} \left[\frac{A}{F'(A)} - \frac{4F(A)}{(F'(A))^{2}} + \frac{1}{F''(A)} \right],
$$
(6)

which, in the weak field limit, could induce corrections to the Newton law. This allows, as it is well known, to deal with the extra degrees of freedom of $f(R)$ -gravity as an effective scalar field which reveals particularly useful in considering "chameleon" models [\[27](#page-29-21)[–31\]](#page-29-22). This "parameterization" will be particularly useful to deal with the scalar component of GWs.

3 *f(R)* **viable models**

Let us consider now a class of $f(R)$ models which do not contain cosmological constant and are explicitly designed to satisfy cosmological and Solar-System constraints in given limits of the parameter space. In practice, we choose a class of functional forms of *f* (*R*) capable of matching, in principle, observational data (see [\[22](#page-29-23)] for the general approach). Firstly, the cosmological model should reproduce the CMBR constraints in the high-redshift regime (which agree with the presence of an effective cosmological constant). Secondly, it should give rise to an accelerated expansion, at low redshift, according to the Λ CDM model. Thirdly, there should be sufficient degrees of freedom in the parameterization to encompass low redshift phenomena (e.g. the large scale structure) according to the observations [\[46](#page-29-19),[47\]](#page-30-0). Finally, small deviations from GR should be consistent with Solar System tests. All these requirements suggest that we can assume the limits

$$
\lim_{R \to \infty} f(R) = \text{constant},\tag{7}
$$

$$
\lim_{R \to 0} f(R) = 0,\tag{8}
$$

which are satisfied by a general class of broken power law models, proposed in [\[32](#page-29-15)], which are

$$
f_I(R) = -m^2 \frac{c_1 \left(\frac{R}{m^2}\right)^n}{c_2 \left(\frac{R}{m^2}\right)^n + 1}
$$
 (9)

or otherwise written as

$$
F_I(R) = R - \lambda R_c \frac{\left(\frac{R}{R_c}\right)^{2n}}{\left(\frac{R}{R_c}\right)^{2n} + 1},\tag{10}
$$

where m is a mass scale and $c_{1,2}$ are dimensionless parameters.

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Besides, another viable class of models was proposed in [\[25\]](#page-29-13)

$$
F_{II}(R) = R + \lambda R_c \left[\left(1 + \frac{R^2}{R_c^2} \right)^{-p} - 1 \right].
$$
 (11)

Since $F(R = 0) = 0$, the cosmological constant has to disappear in a flat spacetime. The parameters $\{n, p, \lambda, R_c\}$ are constants which should be determined by experimental bounds.

Other interesting models with similar features have been studied in [\[33](#page-29-24)[–37](#page-29-16)]. In all these models, a de-Sitter stability point, responsible for the late-time acceleration, exists for $R = R_1$ (> 0), where R_1 is derived by solving the equation $R_1 f_R(R_1) =$ $2f(R_1)$ [\[81](#page-30-14)]. For example, in the model [\(11\)](#page-5-0), we have $R_1/R_c = 3.38$ for $\lambda = 2$ and $p = 1$. If λ is of the unit order, R_1 is of the same order of R_c . The stability conditions, $f_R > 0$ and $f_{RR} > 0$, are fulfilled for $R > R_1$ [\[25](#page-29-13),[34\]](#page-29-25). Moreover the models satisfy the conditions for the cosmological viability that gives rise to the sequence of radiation, matter and accelerated epochs [\[34\]](#page-29-25).

In the region $R \gg R_c$ both classes of models [\(9\)](#page-4-1) and [\(11\)](#page-5-0) behave as

$$
F_{III}(R) \simeq R - \lambda R_c \left[1 - \left(R_c / R \right)^{2s} \right],\tag{12}
$$

where *s* is a positive constant. The model approaches Λ CDM in the limit $R/R_c \to \infty$. Finally, let also consider the class of models [\[26](#page-29-14),[45,](#page-29-26)[55\]](#page-30-15)

$$
F_{IV}(R) = R - \lambda R_c \left(\frac{R}{R_c}\right)^q.
$$
 (13)

Also in this case λ , *q* and R_c are positive constants (note that *n*, *p*, *s* and *q* have to converge toward the same values to match the observations). We do not consider the models whit negative *q*, because they suffer for instability problems associated with negative $F_{,RR}$ [\[38](#page-29-17)[–44](#page-29-27)[,88](#page-30-16)]. In Fig. [1,](#page-6-0) we have plotted some of the selected models as function of $\frac{R}{R_c}$ for suitable values of $\{p, n, q, s, \lambda\}.$

Let us now estimate m_{σ} for the models discussed above. For Model I [\[32\]](#page-29-15), when the curvature is large, we find

$$
f_I(R) \sim -\frac{m^2 c_1}{c_2} + \frac{m^{2+2n} c_1}{c_2^2 R^n} + \cdots, \qquad (14)
$$

and obtain the following expression:

$$
m_{\sigma}^{2} \sim \frac{m^{2}c_{2}^{2}}{2n(n+1)c_{1}} \left(\frac{R}{m^{2}}\right)^{n+2}.
$$
 (15)

Here the order of the mass-dimensional parameter m^2 should be $m^2 \sim 10^{-64} \text{ eV}^2$. Then in Solar System, where $R \sim 10^{-61} \text{ eV}^2$, the mass is given by $m_\sigma^2 \sim$ 10−58+3*ⁿ* eV² while on the Earth atmosphere, where *R*∼10−⁵⁰ eV2, it has to be

Fig. 1 Plots of four different $F(R)$ models as function of $\frac{R}{R_c}$. Model I in Eq. [\(9\)](#page-4-1) with $n = 1$ and $\lambda = 2$ (*dashed line*). Model II in Eq. [\(11\)](#page-5-0) with $p = 2$, $\lambda = 0.95$ (*dashdot line*). Model III in Eq. [\(12\)](#page-5-1) with $s = 0.5$ and $\lambda = 1.5$ (*dotted*). Model IV in Eq. [\(13\)](#page-5-2) with $q = 0.5$ and $\lambda = 0.5$ (*solid line*). We also plot $F(R) = R$ (*solid thick line*) to see whether or not the stability condition $F_R > 0$ is violated

 $m_{\sigma}^2 \sim 10^{-36+14n}$ eV². The order of the radius of the Earth is 10^7 m $\sim (10^{-14}$ eV)⁻¹. Therefore the scalar field σ is enough heavy if $n \gg 1$ and the correction to the Newton law is not observed, being extremely small. In fact, if we choose $n = 10$, the order of the Compton length of the scalar field σ becomes that of the Earth radius. On the other hand, in the Earth atmosphere, if we choose $n = 10$, for example, we find that the mass is extremely large:

$$
m_{\sigma} \sim 10^{43} \,\text{GeV} \sim 10^{29} \times M_{\text{Planck}}.\tag{16}
$$

Here *M*_{Planck} is the Planck mass. Hence, the Newton law correction should be extremely small.

In Model II

$$
f_{II}(R) = -\lambda R_0 \left[1 - \left(1 + \frac{R^2}{R_0^2} \right)^{-p} \right],
$$
 (17)

if *R* is large compared with R_0 , whose order of magnitude is that of the curvature in the present universe, we find

$$
f_{II}(R) = -\lambda R_0 + \lambda \frac{R_0^{2p+1}}{R^{2p}} + \cdots
$$
 (18)

By comparing Eq. [\(18\)](#page-6-1) with Eq. [\(14\)](#page-5-3), if the curvature is large enough when compared with R_0 or m^2 , as in the Solar System or on the Earth, we can set the following identifications:

$$
\lambda R_0 \leftrightarrow \frac{m^2 c_1}{c_2}, \quad \lambda R_0^{2p+1} \leftrightarrow \frac{m^{2+2n} c_1}{c_2^2}, \quad 2p \leftrightarrow n. \tag{19}
$$

We have $41m^2 \sim R_0$. Then, if *p* is large enough, there is no correction to the Newton law as in Model I given by Eq. [\(10\)](#page-4-2).

Let us now discuss the instability of fluid matter proposed in [\[88](#page-30-16)], which may appear if the matter-energy density (or the scalar curvature) is large enough when compared with the average density the Universe, as it is inside the Earth. Considering the trace of the above field equations and with a little algebra, one obtains

$$
\Box R + \frac{F^{(3)}(R)}{F^{(2)}(R)} \nabla_{\rho} R \nabla^{\rho} R + \frac{F'(R)R}{3F^{(2)}(R)} - \frac{2F(R)}{3F^{(2)}(R)} = \frac{\kappa^2}{6F^{(2)}(R)} T.
$$
 (20)

Here *T* is the trace of the matter energy–momentum tensor: $T = T_{\rho}^{(m)\rho}$. We also denote the derivative $d^n F(R)/dR^n$ by $F^{(n)}(R)$. Let us now consider the perturbation of the Einstein gravity solutions. We denote the scalar curvature, given by the matter density in the Einstein gravity, by $R_b \sim (\kappa^2/2)\rho > 0$ and separate the scalar curvature *R* into the sum of R_b (background) and the perturbed part R_p as $R = R_b + R_p$ $(|R_p| \ll |R_b|)$. Then Eq. [\(20\)](#page-7-0) leads to the perturbed equation:

$$
0 = \Box R_b + \frac{F^{(3)}(R_b)}{F^{(2)}(R_b)} \nabla_{\rho} R_b \nabla^{\rho} R_b + \frac{F'(R_b)R_b}{3F^{(2)}(R_b)} - \frac{2F(R_b)}{3F^{(2)}(R_b)} - \frac{R_b}{3F^{(2)}(R_b)} + \Box R_p + 2\frac{F^{(3)}(R_b)}{F^{(2)}(R_b)} \nabla_{\rho} R_b \nabla^{\rho} R_p + U(R_b)R_p.
$$
\n(21)

Here the potential $U(R_b)$ is given by

$$
U(R_b) = \left(\frac{F^{(4)}(R_b)}{F^{(2)}(R_b)} - \frac{F^{(3)}(R_b)^2}{F^{(2)}(R_b)^2}\right) \nabla_{\rho} R_b \nabla^{\rho} R_b + \frac{R_b}{3}
$$

$$
-\frac{F^{(1)}(R_b)F^{(3)}(R_b)R_b}{3F^{(2)}(R_b)^2} - \frac{F^{(1)}(R_b)}{3F^{(2)}(R_b)} + \frac{2F(R_b)F^{(3)}(R_b)}{3F^{(2)}(R_b)^2} - \frac{F^{(3)}(R_b)R_b}{3F^{(2)}(R_b)^2}.
$$
(22)

It is convenient to consider the case where R_b and R_p are uniform and do not depend on the spatial coordinates. Hence, the d'Alembert operator can be replaced by the second derivative with respect to the time, that is: $\Box R_p \rightarrow -\partial_t^2 R_p$. Equation [\(22\)](#page-7-1) assumes the following structure:

$$
0 = -\partial_t^2 R_p + U(R_b)R_p + \text{const.}
$$
 (23)

If $U(R_b) > 0$, R_p becomes exponentially large with time, i.e. $R_p \sim e^{\sqrt{U(R_b)}t}$, and the system becomes unstable.

In the 1/*R*-model, considering the background values, we find

$$
U(R_b) = -R_b + \frac{R_b^3}{6\mu^4} \sim \frac{R_0^3}{\mu^4} \sim \left(10^{-26} \text{sec}\right)^{-2} \left(\frac{\rho_m}{\text{g cm}^{-3}}\right)^3,
$$

$$
R_b \sim \left(10^3 \text{sec}\right)^{-2} \left(\frac{\rho_m}{\text{g cm}^{-3}}\right).
$$
 (24)

Here the mass parameter μ is of the order

$$
\mu^{-1} \sim 10^{18} \sec \sim \left(10^{-33} \text{eV} \right)^{-1} . \tag{25}
$$

Equation [\(24\)](#page-8-0) tells us that the model is unstable and it would decay in 10^{-26} sec (considering the Earth size). In Model I, however, $U(R_b)$ is negative:

$$
U(R_0) \sim -\frac{(n+2)m^2c_2^2}{c_1n(n+1)} < 0. \tag{26}
$$

Therefore, there is no matter instability.

For Model [\(17\)](#page-6-2), as it is clear from the identifications [\(19\)](#page-7-2), there is no matter instability too.

In order to study the stability of the de Sitter solution, let us proceed as follows. From the field equations [\(2\)](#page-3-3), we obtain the trace

$$
\Box f'(R) = \frac{1}{3} \left[R - f'(R)R + 2f(R) + \kappa^2 T \right].
$$
 (27)

Here, as above, $F(R)$ is $F(R) = R + f(R)$ and $T \equiv g^{\mu\nu} T^{(m)}_{\mu\nu}$.

Now we consider the (in)stability around the de Sitter solution, where $R = R_0$, and therefore $f(R_0)$ and $f'(R_0)$, are constants. Then since the l.h.s. in Eq. [\(27\)](#page-8-1) vanishes for $R = R_0$, we find

$$
R_0 - f'(R_0)R_0 + 2f(R_0) + \kappa^2 T_0 = 0.
$$
 (28)

Let us expand both sides of [\(28\)](#page-8-2) around $R = R_0$ as

$$
R = R_0 + \delta R. \tag{29}
$$

One obtains

$$
f''(R_0) \Box \delta R = \frac{1}{3} \left(1 - f''(R_0) R_0 + f'(R_0) \right) \delta R. \tag{30}
$$

Since

$$
\Box \delta R = -\frac{d^2 \delta R}{dt^2} - 3H_0 \frac{d \delta R}{dt},\tag{31}
$$

in the de Sitter background, if

$$
C(R_0) = \lim_{R \to R_0} \frac{1 - f''(R)R + f'(R)}{f''(R)} > 0,
$$
\n(32)

the de Sitter background is stable but, if $C(R_0) < 0$, the de Sitter background is unstable. The expression for $C(R_0)$ could be valid even if $f''(R_0) = 0$. More precisely, the solution of (30) is given by

$$
\delta R = A_{+}e^{\lambda_{+}t} + A_{-}e^{\lambda_{-}t}.
$$
\n(33)

Here A_+ are constants and

$$
\lambda_{\pm} = \frac{-3H_0 \pm \sqrt{9H_0^2 - C(R_0)}}{2}.
$$
\n(34)

Then, if $C(R_0) < 0$, λ_+ is always positive and the perturbation grows up. This leads to the instability. We have also to note that, when $C(R_0)$ is positive, if $C(R_0) > 9H_0^2$, δR oscillates and the amplitude becomes exponentially small being:

$$
\delta R = (A\cos\omega_0 t + B\sin\omega_0 t) e^{-3H_0 t/2}, \quad \omega \equiv \frac{\sqrt{C(R_0) - 9H_0^2}}{2}.
$$
 (35)

Here *A* and *B* are constant. On the other hand, if $C(R_0) < 9H_0^2$, there is no oscillation in δ*R*.

Let us now consider the case where the matter contribution *T* can be neglected in the de Sitter background and assume $f'(R) = 0$ in the same background. We can assume that there are two de Sitter background solutions satisfying $f'(R) = 0$, for $R = R_1$ and $R = R_2$ as it could be the physical case if one asks for an inflationary and a dark energy epoch. We also assume $f'(R) \neq 0$ if $R_1 < R < R_2$ or $R_2 < R < R_1$. In the case $C(R_1) < 0$ and $C(R_2) > 0$, the de Sitter solution, corresponding to $R = R_1$, is unstable but the solution corresponding to $R = R_2$ is stable. Then there should be a solution where the (nearly) de Sitter solution corresponding to R_1 transits to the (nearly) de Sitter solution R_2 . Since the solution corresponding to R_2 is stable, the universe remains in the de Sitter solution corresponding to R_2 and there is no more transition to any other de Sitter solution.

As an example, we consider Model I. For large curvature values, we find

$$
f_1(R) = -\Lambda + \frac{\alpha}{R^{2n+1}}.\tag{36}
$$

Fig. 2 Plots of Model V [\(38\)](#page-10-0) (*solid line*) and its first derivative (*dashed line*). Here $n = 2$ and α , β , γ are assumed as in [\(42\)](#page-11-0) with the value of R_0 taken in the Solar System. $f'(R)$ is negative for $0 < R < 0.64$. $f(R)$ is given in the range $0 < R < 1$ where we have adopted suitable units

Here Λ and α are positive constants and *n* is a positive integer. Then we find

$$
C(R) \sim \frac{1}{f''(R)} \sim \frac{R^{2n+2}}{2n(2n+1)\alpha} > 0.
$$
 (37)

This means that the de Sitter solution in Model I can be stable. We have also to note that $C(R_0) \sim H_0^{4n+4}/m^{4n+2}$. Here m^2 is the mass scale introduced in [\[32\]](#page-29-15) and $m^2 \ll H_0^2$. this means that $C(R_0) \gg 9H_0^2$ and therefore there could be no oscillation.

We may also consider the model proposed in [\[35\]](#page-29-18)(here Model V):

$$
f_V(R) = \frac{\alpha R^{2n} - \beta R^n}{1 + \gamma R^n}.
$$
\n(38)

Here α , β , and γ are positive constants and *n* is a positive integer. In Fig. [2,](#page-10-1) we show the behavior of Model V and of its first derivative. When the curvature is large ($R \to \infty$), $f(R)$ behaves as a power law. Since the derivative of $f(R)$ is given by

$$
f_V'(R) = \frac{nR^{n-1} \left(\alpha \gamma R^{2n} - 2\alpha R^n - \beta\right)}{(1 + \gamma R^n)^2},\tag{39}
$$

we find that the curvature R_0 in the present universe, which satisfies the condition $f'(R_0) = 0$, is given by

$$
R_0 = \left[\frac{1}{\gamma} \left(1 + \sqrt{1 + \frac{\beta \gamma}{\alpha}}\right)\right]^{1/n},\tag{40}
$$

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and

$$
f(R_0) \sim -2\tilde{R}_0 = \frac{\alpha}{\gamma^2} \left(1 + \frac{(1 - \beta \gamma/\alpha) \sqrt{1 + \beta \gamma/\alpha}}{2 + \sqrt{1 + \beta \gamma/\alpha}} \right). \tag{41}
$$

As shown in [\[35](#page-29-18)], the magnitudes of the parameters is given by

$$
\alpha \sim 2\tilde{R}_0 R_0^{-2n}, \quad \beta \sim 4\tilde{R}_0^2 R_0^{-2n} R_I^{n-1}, \quad \gamma \sim 2\tilde{R}_0 R_0^{-2n} R_I^{n-1}.
$$
 (42)

Here R_I is the curvature in the inflationary epoch and we have assumed $f(R_I) \sim$ $(\alpha/\gamma)R_I^n \sim R_I.$

 $C(R_0)$ in [\(32\)](#page-9-0) is given by

$$
C(R_0) \sim \frac{1}{f''(R_0)} = \frac{1 + \gamma R_0^n}{2n^2 \alpha R_0^{2n-2} \left(\gamma R_0^n - 1\right)}.
$$
\n(43)

By using the relations (42) , we find

$$
C(R_0) \sim \frac{R_0^2}{4n^2 \tilde{R}_0},
$$
\t(44)

which is positive and therefore the de Sitter solution is stable. We notice that $C(R_0)$ < $9H_0^2$ and therefore, there could occur oscillations as in [\(35\)](#page-9-1).

Furthermore, we can take into account the following model [\[36](#page-29-28)] (Model VI):

$$
f_{VI}(R) = -\alpha \left[\tanh\left(\frac{b(R - R_0)}{2}\right) + \tanh\left(\frac{bR_0}{2}\right) \right]
$$

$$
= -\alpha \left[\frac{e^{b(R - R_0)} - 1}{e^{b(R - R_0)} + 1} + \frac{e^{bR_0} - 1}{e^{bR_0} + 1} \right],
$$
(45)

where α and *b* are positive constants. When $R \to 0$, we find that

$$
f_{\rm VI}(R) \to -\frac{\alpha bR}{2\cosh^2\left(\frac{bR_0}{2}\right)},\tag{46}
$$

and thus $f(0) = 0$. On the other hand, when $R \to +\infty$,

$$
f_{\rm VI}(R) \to -2\Lambda_{\rm eff} \equiv -\alpha \left[1 + \tanh\left(\frac{bR_0}{2}\right) \right]. \tag{47}
$$

If $R \gg R_0$, in the present universe, Λ_{eff} plays the role of the effective cosmological constant. We also obtain

$$
f'_{VI}(R) = -\frac{\alpha b}{2\cosh^2\left(\frac{b(R - R_0)}{2}\right)},
$$
\n(48)

² Springer

which has a minimum when $R = R_0$, that is:

$$
f'_{VI}(R_0) = -\frac{\alpha b}{2}.
$$
 (49)

Then in order to avoid anti-gravity, we find

$$
0 < 1 + f_{\rm VI}'(R_0) = 1 - \frac{\alpha b}{2}.\tag{50}
$$

Beside the above model, we can consider a model which is able to describe, in principle, both the early inflation and the late acceleration epochs. The following two-step model [\[36](#page-29-28)] (Model VII):

$$
f_{\rm VII}(R) = -\alpha_0 \left[\tanh\left(\frac{b_0 (R - R_0)}{2}\right) + \tanh\left(\frac{b_0 R_0}{2}\right) \right] -\alpha_I \left[\tanh\left(\frac{b_I (R - R_I)}{2}\right) + \tanh\left(\frac{b_I R_I}{2}\right) \right],\tag{51}
$$

could be useful to this goal. Let us assume

 $R_I \gg R_0$, $\alpha_I \gg \alpha_0$, $b_I \ll b_0$, (52)

and

$$
b_I R_I \gg 1. \tag{53}
$$

When $R \to 0$ or $R \ll R_0 \ll R_I$, $f_{\text{VII}}(R)$ behaves as

$$
f_{\text{VII}}(R) \rightarrow -\left[\frac{\alpha_0 b_0}{2\cosh^2\left(\frac{b_0 R_0}{2}\right)} + \frac{\alpha_I b_I}{2\cosh^2\left(\frac{b_I R_I}{2}\right)}\right]R,\tag{54}
$$

and we find again $f_{\text{VII}}(0) = 0$. When $R \gg R_I$, we find

$$
f(R)_{\text{VII}} \to -2\Lambda_I \equiv -\alpha_0 \left[1 + \tanh\left(\frac{b_0 R_0}{2}\right) \right] - \alpha_I \left[1 + \tanh\left(\frac{b_I R_I}{2}\right) \right]
$$

$$
\sim -\alpha_I \left[1 + \tanh\left(\frac{b_I R_I}{2}\right) \right].
$$
(55)

On the other hand, when $R_0 \ll R \ll R_I$, we find

$$
f_{\text{VII}}(R) \to -\alpha_0 \left[1 + \tanh\left(\frac{b_0 R_0}{2}\right) \right] - \frac{\alpha_I b_I R}{2 \cosh^2\left(\frac{b_I R_I}{2}\right)} \sim -2\Lambda_0
$$

$$
\equiv -\alpha_0 \left[1 + \tanh\left(\frac{b_0 R_0}{2}\right) \right].
$$
 (56)

² Springer

Here, we have assumed the condition [\(53\)](#page-12-0). We also find

$$
f'_{\text{VII}}(R) = -\frac{\alpha_0 b_0}{2 \cosh^2\left(\frac{b_0 (R - R_0)}{2}\right)} - \frac{\alpha_I b_I}{2 \cosh^2\left(\frac{b_I (R - R_I)}{2}\right)},\tag{57}
$$

which has two minima for $R \sim R_0$ and $R \sim R_I$. When $R = R_0$, we obtain

$$
f'_{\text{VII}}(R_0) = -\alpha_0 b_0 - \frac{\alpha_I b_I}{2 \cosh^2 \left(\frac{b_I (R_0 - R_I)}{2}\right)} > -\alpha_I b_I - \alpha_0 b_0. \tag{58}
$$

On the other hand, when $R = R_I$, we get

$$
f'_{\text{VII}}(R_I) = -\alpha_I b_I - \frac{\alpha_0 b_0}{2 \cosh^2 \left(\frac{b_0 (R_0 - R_I)}{2}\right)} > -\alpha_I b_I - \alpha_0 b_0. \tag{59}
$$

Then, in order to avoid the anti-gravity behavior, we find

$$
\alpha_I b_I + \alpha_0 b_0 < 1. \tag{60}
$$

Let us now investigate the correction to the Newton potential and the matter instability issue related to Models VI and VII. In the Solar System domain, on or inside the Earth, where $R \gg R_0$, $f(R)$ in Eq. [\(45\)](#page-11-1) can be approximated by

$$
f_{\rm VI}(R) \sim -2\Lambda_{\rm eff} + 2\alpha e^{-b(R-R_0)}.\tag{61}
$$

On the other hand, since $R_0 \ll R \ll R_I$, by assuming Eq. [\(53\)](#page-12-0), $f(R)$ in [\(51\)](#page-12-1) can be also approximated by

$$
f_{\rm VII}(R) \sim -2\Lambda_0 + 2\alpha e^{-b_0(R - R_0)},\tag{62}
$$

which has the same expression, after having identified $\Lambda_0 = \Lambda_{\text{eff}}$ and $b_0 = b$. Then, we may check the case of (61) only. In this case, the effective mass has the following form

$$
m_{\sigma}^2 \sim \frac{e^{b(R-R_0)}}{4\alpha b^2},\tag{63}
$$

which could be again very large. In fact, in the Solar System, we find $R \sim 10^{-61}$ eV². Even if we choose $\alpha \sim 1/b \sim R_0 \sim (10^{-33} \text{ eV})^2$, we find that $m_\sigma^2 \sim 10^{1,000} \text{ eV}^2$, which is, ultimately, extremely heavy. Then, there will be no appreciable correction to the Newton law. In the Earth atmosphere, $R \sim 10^{-50} \text{ eV}^2$, and even if we choose $\alpha \sim 1/b \sim R_0 \sim (10^{-33} \text{ eV})^2$ again, we find that $m_\sigma^2 \sim 10^{10,000,000,000} \text{ eV}^2$.

Then, a correction to the Newton law is never observed in such models. In this case, we find that the effective potential $U(R_b)$ has the form

$$
U(R_e) = -\frac{1}{2\alpha b} \left(2\Lambda + \frac{1}{b} \right) e^{-b(R_e - R_0)},\tag{64}
$$

which could be negative, what would suppress any instability.

In order that a de Sitter solution exists in $f(R)$ -gravity, the following condition has to be satisfied:

$$
R = Rf'(R) - 2f(R). \tag{65}
$$

For the model [\(45\)](#page-11-1), the r.h.s of [\(65\)](#page-14-0) has the following form:

$$
R = -\frac{b\alpha R}{2\cosh^2\left(\frac{b(R-R_0)}{2}\right)} + 2\alpha \left[\tanh\left(\frac{b(R-R_0)}{2}\right) + \tanh\left(\frac{bR_0}{2}\right)\right].
$$
 (66)

For large *R*, the r.h.s. behaves as

$$
-\frac{b\alpha R}{2\cosh^2\left(\frac{b(R-R_0)}{2}\right)} + 2\alpha \left[\tanh\left(\frac{b(R-R_0)}{2}\right) + \tanh\left(\frac{bR_0}{2}\right)\right] \to 2\alpha, \quad (67)
$$

although the l.h.s. goes to infinity. On the other hand, when *R* is small, the r.h.s. behaves as

$$
-\frac{b\alpha R}{2\cosh^2\left(\frac{b(R-R_0)}{2}\right)} + 2\alpha \left[\tanh\left(\frac{b(R-R_0)}{2}\right) + \tanh\left(\frac{bR_0}{2}\right)\right] \to \frac{b\alpha R}{2\cosh^2\left(\frac{bR_0}{2}\right)}.
$$
\n(68)

Then if

$$
\frac{b\alpha}{2\cosh^2\left(\frac{bR_0}{2}\right)} > 1,\tag{69}
$$

there is a de Sitter solution. Combining Eq. (69) with Eq. (50) , we find

$$
2 > \alpha b > \frac{1}{2\cosh^2\left(\frac{bR_0}{2}\right)}.\tag{70}
$$

The stability, as above, is given by $C(R_{dS})$, where R_{dS} is the solution of [\(66\)](#page-14-2). The expression is given by

$$
C(R_{\text{dS}}) = -R_{\text{dS}} + \frac{2\cosh^3\left(\frac{b(R_{\text{dS}} - R_0)}{2}\right)}{\alpha b^2 \sinh\left(\frac{b(R_{\text{dS}} - R_0)}{2}\right)} - \frac{1}{b\tanh\left(\frac{b(R_{\text{dS}} - R_0)}{2}\right)}.
$$
(71)

Let us now rewrite Eq. (66) as follows,

$$
R_{\rm dS} = 2\alpha \left[\tanh\left(\frac{b\left(R_{\rm dS} - R_0\right)}{2}\right) + \tanh\left(\frac{bR_0}{2}\right) \right] \left[1 + \frac{\alpha b}{2\cosh^2\left(\frac{b\left(R_{\rm dS} - R_0\right)}{2}\right)} \right]^{-1}.
$$
\n(72)

Then by using (72) , we may rewrite (71) in the following form:

$$
C(R_{\text{dS}}) = \frac{-\alpha^2 b^2 (1 - x^2) [(x - x_0)^2 + 1 - x_0^2] + 4}{\alpha b^2 x (1 - x^2) [2 + \alpha b (1 - x^2)]},\tag{73}
$$

where

$$
x = \tanh\left(\frac{b\left(R_{\text{dS}} - R_0\right)}{2}\right), \quad x_0 = -\tanh\left(\frac{bR_0}{2}\right),\tag{74}
$$

and therefore we have

$$
-1 < x_0 \le x < 1, \quad x_0 < 0. \tag{75}
$$

Let us now consider [\(66\)](#page-14-2) in order to find a de Sitter solution. Since Eq. [\(66\)](#page-14-2) is difficult to solve in general, we assume $0 < R_{dS} \ll R_0$. Then we find

$$
R_{\text{dS}} = \frac{\epsilon}{b x_0}, \quad \epsilon \equiv 1 - \frac{2 \cosh^2\left(\frac{b R_0}{2}\right)}{\alpha b} = 1 - \frac{2}{\alpha b \left(1 - x_0^2\right)}. \tag{76}
$$

Equation [\(69\)](#page-14-1) tells that the parameter ϵ is positive and, by assumption, very small: $0 < \epsilon \ll 1$. Since ϵ is small, by using Eq. [\(74\)](#page-15-2), we find

$$
x = x_0 + \frac{(1 - x_0^2)}{2x_0} \epsilon + \mathcal{O}\left(\epsilon^2\right). \tag{77}
$$

Then by using the expression [\(73\)](#page-15-3) for $C(R_{dS})$, we find

$$
C(R_{\text{dS}}) \sim \frac{-\alpha^2 b^2 (1 - x_0^2)^2 + 4}{\alpha b^2 x_0 (1 - x_0^2) [2 + \alpha b (1 - x_0^2)]}.
$$
 (78)

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Fig. 3 Plots of Model VI [\(45\)](#page-11-1) (*solid line*) and Model VII [\(51\)](#page-12-1) (*dashed line*). Here $b = 2$ and $b_I = 0.5$ with $\alpha = 1.5$ and $\alpha_I = 2$. The value of R_I is taken in the Solar System while R_0 corresponds to the present cosmological value

From the definition of ϵ in [\(76\)](#page-15-4), we find

$$
\alpha b \left(1 - x_0^2 \right) = 2 + 2\epsilon + \mathcal{O} \left(\epsilon^2 \right),\tag{79}
$$

and then, from Eq. (79) , Eq. (78) can be written as follows;

$$
C(R_{\rm dS}) \sim -\frac{\epsilon}{bx_0}.\tag{80}
$$

Since $x_0 < 0$ in the condition [\(75\)](#page-15-6), we find $C(R_{dS}) > 0$ and therefore the de Sitter solution is stable.

In Fig. [3,](#page-16-1) we have plotted the two models [\(45\)](#page-11-1) and [\(51\)](#page-12-1) written in the form $F(R) =$ *R* + *f* (*R*). We have used the inequalities [\(52\)](#page-12-3) assuming, $R_I \sim \rho_g \sim 10^{-24}$ g/cm³ for the Galactic density in the Solar vicinity and $R_0 \sim \rho_g \sim 10^{-29}$ g/cm³ for the present cosmological density.

Our task is now to find reliable experimental bounds for such models working at small and large scales. To this goal, we shall take into account constraints coming from Solar System experiments (which, at present, are capable of giving upper limits on the PPN parameters) and constraints coming from interferometers, in particular those giving limits on the (eventual) scalar components of GWs. If constraints (and in particular the ranges of model parameters given by them) are comparable, this could constitute, besides other experimental and observational probes, a good hint to achieve a self-consistent $f(R)$ theory at very different scales.

4 Constraining *f(R)***-models by PPN parameters**

The above models can be constrained at Solar System level by considering the PPN formalism. This approach is extremely important in order to test gravitational theories and to compare them with GR. As it is shown in [\[57](#page-30-17)[–59,](#page-30-18)[71](#page-30-12)[,72](#page-30-4)], one can derive the PPN-parameters γ and β in terms of a generic analytic function $F(R)$ and its derivative

$$
\gamma - 1 = -\frac{F''(R)^2}{F'(R) + 2F''(R)^2},\tag{81}
$$

$$
\beta - 1 = \frac{1}{4} \left[\frac{F'(R) \cdot F''(R)}{2F'(R) + 3F''(R)^2} \right] \frac{d\gamma}{dR}.
$$
 (82)

These quantities have to fulfill the constraints coming from the Solar System experimental tests summarized in Table [1.](#page-17-1) They are the perihelion shift of Mercury [\[89](#page-30-19)], the Lunar Laser Ranging [\[90\]](#page-30-20), the upper limits coming from the Very Long Baseline Interferometry (VLBI) [\[91](#page-30-21)] and the results obtained from the Cassini spacecraft mission in the delay of the radio waves transmission near the Solar conjunction [\[92](#page-30-22)].

Let us take into account before the $f(R)$ -models [\(10\)](#page-4-2)–[\(13\)](#page-5-2). Specifically, we want to investigate the values or the ranges of parameters in which they match the Solar-System experimental constraints in Table [1.](#page-17-1) In other words, we use these models to search under what circumstances it is possible to significantly address cosmological observations by $f(R)$ -gravity and, simultaneously, evade the local tests of gravity.

By integrating Eqs. [\(81\)](#page-17-2)–[\(82\)](#page-17-2), one obtains $f(R)$ solutions depending on β and γ which has to be confronted with β_{exp} and γ_{exp} [\[71](#page-30-12),[72\]](#page-30-4). If we plug into such equations the models (10) – (13) and the experimental values of PPN parameters, we will obtain algebraic constraints for the phenomenological parameters $\{n, p, q, \lambda, s\}$. This is the issue which we want to take into account in this section.

From Eq. [\(81\)](#page-17-2), assuming $F'(R) + 2F''(R)^2 \neq 0$ and defining $A =$ $1-\gamma$ $\frac{1-\gamma}{2\gamma-1}$, we obtain

$$
[F''(R)]^2 - AF'(R) = 0.
$$
 (83)

The general solution of such an equation is a polynomial function [\[71](#page-30-12),[72](#page-30-4)].

Considering Model II given by [\(11\)](#page-5-0), we obtain

$$
\left[1 - \frac{2pR\left(\frac{R^2}{R_c^2} + 1\right)^{-p-1}\lambda}{R_c}\right] \left|\frac{\gamma - 1}{2\gamma - 1}\right|
$$

$$
-\frac{4p^2\left(\frac{R^2}{R_c^2} + 1\right)^{-2p}R_c^2\left(R_c^2 - (2p + 1)R^2\right)^2\lambda^2}{\left(R^2 + R_c^2\right)^4} = 0.
$$
(84)

Our issue is now to find the values of λ , *p*, and R/R_c for which the Solar System experimental constraints are satisfied. Some preliminary considerations are in order at this point. Considering the de Sitter solution achieved from (11) , we have $R = \text{const} = R_1 = x_1 R_c$, and $x_1 > 0$. It is straightforward to obtain

$$
\lambda = \frac{x_1 (1 + x_1^2)^{p+1}}{2 \left[\left(1 + x_1^2 \right)^{p+1} - 1 - (p+1) x_1^2 \right]}.
$$
\n(85)

On the other hand, the stability conditions $F_R > 0$ and $F_{RR} > 0$ give the inequality

$$
\left(1+x_1^2\right)^{p+2} > 1 + (p+2)x_1^2 + (p+1)(2p+1)x_1^4,\tag{86}
$$

which has to be satisfied. In particular, for $p = 1$, it is $x_1 > \sqrt{3}$ and then $\lambda > \frac{8}{3\sqrt{3}}$ 1.5396. In addition, the value of x_1 satisfying the relation (86) is also the point where $\lambda(x_1)$, in Eq. [\(85\)](#page-18-1), reaches its minimum.

To determine values of *R* compatible with PPN constraints, let us consider the trace of the field equations [\(2\)](#page-3-3) and explicit solutions, given the density profile $\rho(r)$, in the Solar vicinity. One can set the boundary condition considering $F_{,R_\infty} = F_{R_g}$

$$
F_{,R_g} = F_{,R}(R = k^2 \rho_g),\tag{87}
$$

where $\rho_g \sim 10^{-24}$ g/cm³ is the observed Galactic density in the Solar neighborhoods. At this point, we can see when the relation [\(84\)](#page-18-2) satisfies the constraints for very Long Baseline Interferometer ($\gamma - 1 = 4 \times 10^{-4}$) and Cassini Spacecraft ($\gamma - 1 =$ 2.1×10^{-5}). This allows to find out suitable values for *p*.

An important remark is in order at this point. These constraint equations work if stability conditions hold. In the range

$$
0 < \frac{R}{R_c} < \frac{1}{\sqrt{2p+1}}\tag{88}
$$

 $F_{,RR}$ is negative for the model [\(11\)](#page-5-0) and then stability conditions are violated. To avoid this range, we need, at least, $\frac{R}{R_c} > 1$. For example, we can choose $\frac{R}{R_c} = 3.38$, corresponding to de Sitter behavior. Then we have $p = 1$ and $\lambda = 2$. On the other hand,

Fig. 4 Plots of the first derivatives of four different models as function of $x = \frac{R}{R_c}$. Model I (*dashed*) is drawn for $n = 1$ and $\lambda = 2$. Model II (*dashdot*), for $p = 2$, $\lambda = 0.95$. Model III (*dotted*), for $s = 0.5$ and $\lambda = 1.5$. Model IV (*solid*) is for $q = 0.5$ and $\lambda = 0.5$. The labeled values of *x* indicate where the derivative changes its sign

for 0.944 < λ < 0.966, we have $p = 2$ and $\frac{R}{R_c} = \sqrt{3}$; finally, for $R > R_c$, we have $\lambda = 2$ and $p = 1.5$. For these values of parameters, the Solar System tests are evaded (Fig. [4\)](#page-19-0).

Let us consider now Model I, given by (9) . Inserting it into the relation (83) , we get

$$
\frac{R^3 \left[\left(\frac{R}{R_c}\right)^{2n} + 1 \right]^4 \left[R \left(\left(\frac{R}{R_c}\right)^{2n} + 1 \right)^2 - 2n \left(\frac{R}{R_c}\right)^{2n} R_c \lambda \right] \left| \frac{y-1}{2y-1} \right| - 4n^2 \left[(2n+1) \left(\frac{R}{R_c}\right)^{2n} - 2n + 1 \right]^2 \left(\frac{R}{R_c}\right)^{4n} R_c^2 \lambda^2}{R^4 \left[\left(\frac{R}{R_c}\right)^{2n} + 1 \right]^6}
$$
\n
$$
= 0. \tag{89}
$$

Using the same procedure as above, λ is related to the de Sitter behavior. This means

$$
\lambda = \frac{\left(1 + x_1^{2n}\right)^2}{x_1^{2n-1}\left(2 + 2x_1^{2n} - 2n\right)},\tag{90}
$$

while, from the stability conditions, we get

$$
2x_1^4 - (2n - 1)(2n + 4)x_1^{2n} + (2n - 1)(2n - 2) \ge 0.
$$
 (91)

Fig. 5 As above for the second derivatives of the models

For *n* = 1, one obtains $x_1 > \sqrt{3}$, $\lambda > \frac{8}{3\sqrt{3}}$. In this model, $F_{,RR}$ is negative for

$$
0 < \frac{R}{R_c} < \left(\frac{2n-1}{2n+1}\right)^{\frac{1}{2n}}.\tag{92}
$$

The VLBI constraint is satisfied for $n = 1$ and $\lambda = 2$, while, for $n = 1$ and $\lambda = 1.5$, Cassini constraint holds.

By inserting Model III, given by Eq. (12) , into the relation (83) , we obtain

$$
\frac{R^3 \left[R - 2s R_c \left(\frac{R_c}{R} \right)^{2s} \lambda \right] \left| \frac{\gamma - 1}{2\gamma - 1} \right| - 4 \left(2s^2 + s \right)^2 R_c^2 \left(\frac{R_c}{r} \right)^{4s} \lambda^2}{R^4} = 0. \tag{93}
$$

The de-Sitter point corresponds to

$$
\lambda = \frac{x_1^{2s+1}}{2(x_1^{2s} - s - 1)}
$$
(94)

while the stability condition is $x_1^{2s} > 2s^2 + 3s + 1$. VLBI and Cassini constraints are satisfied by the sets of values: $s = 1$, $\lambda = 1.53$, for $\frac{R}{R_c} \sim 1$; $s = 2$, $\lambda = 0.95$, for $\frac{R}{R_c} = \sqrt{3}$; *s* = 1, λ = 2, for $\frac{R}{R_c}$ = 3.38 (Fig. [5\)](#page-20-0).

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Finally let us consider Model VI, given by Eq. [\(45\)](#page-11-1), and Model VII, given by Eq. (51) . Using Eq. (83) for (45) , we get

$$
-\frac{1}{4}b\alpha \operatorname{sech}^{2}\left(\frac{1}{2}b(R - R_{0})\right)\left[b^{3}\alpha \operatorname{sech}^{2}\left(\frac{1}{2}b(R - R_{0})\right)\right] \times \tanh^{2}\left(\frac{1}{2}b(R - R_{0})\right) - 2\left|\frac{\gamma - 1}{2\gamma - 1}\right|\right] = 0.
$$
 (95)

As above, considering the stability conditions and the de Sitter behavior, we get the parameter ranges $0 < b < 2$ and $0 < \alpha \le 2$ which satisfy both VLBI and Cassini constraints. Inserting now Model VII in [\(83\)](#page-17-3), we have

$$
\frac{1}{2}\left|\frac{\gamma-1}{2\gamma-1}\right|\left[b\alpha\mathrm{sech}^2\left(\frac{1}{2}b(R-R_0)\right)-b_I\alpha_I\mathrm{sech}^2\left(\frac{1}{2}b_I(R-R_I)\right)+2\right]
$$

$$
-\frac{1}{4}\left[b^2\alpha\mathrm{sech}^2\left(\frac{1}{2}b(R-R_0)\right)\tanh\left(\frac{1}{2}b(R-R_0)\right)
$$

$$
-b_I^2\alpha_I\mathrm{sech}^2\left(\frac{1}{2}b_I(R-R_I)\right)\tanh\left(\frac{1}{2}b_I(R-R_I)\right)\right]^2=0.
$$
(96)

From the stability condition, we have that $F_{,R} > 0$ for $R > 0$ (see Fig. [6\)](#page-22-1) and $F_{,RR} < 0$ for $0 < R < 2.35$ in suitable units (see Fig. [7\)](#page-23-0). Observational constraints from VLBI and Cassini experiments are fulfilled for

$$
R_I \gg R_0, \quad \alpha_I \gg \alpha, \quad b_I \ll b. \tag{97}
$$

Plots for $b = 2$, $b_I = 0.5$, $\alpha = 1.5$ and $\alpha_I = 2$, verifying the constraints, are reported in Figs. [6](#page-22-1) and [7.](#page-23-0)

Considering now the relation for β given by Eq. [\(82\)](#page-17-2), one can easily verify that it is

$$
\frac{d\gamma}{dR} = -\frac{d}{dR} \left[\frac{F''(R)^2}{F'(R) + 2F''(R)^2} \right] = 0,
$$
\n(98)

and this result implies

$$
4(\beta - 1) = 0.\t(99)
$$

This means the complete compatibility of the $f(R)$ solutions between the PPN-parameters β and γ .

Now we want to see if the parameter values, obtained for these models, are compatible with bounds coming from the stochastic background of GWs achieved by interferometric experiments.

Fig. 6 Plots represent the first derivatives of functions [\(50\)](#page-12-2) (*solid line*) and [\(51\)](#page-12-1) (*dashed line*). Here, $b = 2$, $b_I = 0.5$, $\alpha = 1.5$ and $\alpha_I = 2$ with *R_I* with the Solar System value and *R*₀ the today cosmological value. It is $F \, R > 0$ for $R > 0$

5 Stochastic backgrounds of gravitational waves to constrain *f(R)***-gravity**

As we said before, also the stochastic background of GWs can be taken into account in order to constrain models. This approach could reveal very interesting because production of primordial GWs could be a robust prediction for any model attempting to describe the cosmological evolution at primordial epochs. However, bursts of gravitational radiation emitted from a large number of unresolved and uncorrelated astrophysical sources generate a stochastic background at more recent epochs, immediately following the onset of galaxy formation. Thus, astrophysical backgrounds might overwhelm the primordial one and their investigation provides important constraints on the signal detectability coming from the very early Universe, up to the bounds of the Planck epoch and the initial singularity [\[85](#page-30-10)[,94](#page-30-23)[–96](#page-30-24)[,98](#page-30-25)].

It is worth stressing the unavoidable and fundamental character of such a mechanism. It directly derives from the inflationary scenario [\[99](#page-30-26)[,100](#page-31-1)], which well fits the WMAP data with particular good agreement with almost exponential inflation and spectral index ≈ 1 , [\[101](#page-31-2), [102\]](#page-31-3).

The main characteristics of the gravitational backgrounds produced by cosmological sources depend both on the emission properties of each single source and on the source rate evolution with redshift. It is therefore interesting to compare and contrast the probing power of these classes of $f(R)$ -models at hight, intermediate and zero redshift [\[103\]](#page-31-0).

To this purpose, let us take into account the primordial physical process which gave rise to a characteristic spectrum Ω_{sgw} for the early stochastic background of

Fig. 7 Second derivatives of Model VI (*solid line*) and VII (*dashed line*). Here *F*,*R R* is negative in the range $0 \lt R \lt 4$ for Model VI and in the range $0 \lt R \lt 2.35$ for Model VII. As above, we have used $b = 2$, $b_I = 0.5$, $\alpha = 1.5$ and $\alpha_I = 2$ with the value of R_I taken in the Solar System and R_0 for the today cosmological value

relic scalar GWs by which we can recast the further degrees of freedom coming from fourth-order gravity. This approach can greatly contribute to constrain viable cosmological models. The physical process related to the production has been analyzed, for example, in [\[94](#page-30-23)[–97\]](#page-30-27) but only for the first two tensorial components due to standard GR. Actually the process can be improved considering also the third scalar–tensor component strictly related to the further $f(R)$ degrees of freedom [\[87\]](#page-30-13).

Before starting with the analysis, it has to be emphasized that the stochastic background of scalar GWs can be described in terms of a scalar field Φ and characterized by a dimensionless spectrum (see the analogous definitions for tensorial waves in [\[85](#page-30-10)[,94](#page-30-23)– [96,](#page-30-24)[98\]](#page-30-25)). We can write the energy density of scalar GWs in terms of the closure energy density of GWs per logarithmic frequency interval as

$$
\Omega_{sgw}(f) = \frac{1}{\rho_c} \frac{d\rho_{sgw}}{d\ln f},\tag{100}
$$

where

$$
\rho_c \equiv \frac{3H_0^2}{8\pi G} \tag{101}
$$

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is the critical energy density of the Universe, H_0 the today observed Hubble expansion rate, and $d\rho_{\text{sgm}}$ is the energy density of the gravitational radiation scalar part contained in the frequency range from f to $f + df$. We are considering now standard units.

The calculation for a simple inflationary model can be performed assuming that the early Universe is described by an inflationary de Sitter phase emerging in the radiation dominated era [\[94](#page-30-23)[–96](#page-30-24)[,98](#page-30-25)]. The conformal metric element is

$$
ds^{2} = a^{2}(\eta)[-d\eta^{2} + d\vec{x}^{2} + h_{\mu\nu}(\eta, \vec{x})dx^{\mu}dx^{\nu}],
$$
 (102)

and a GW with tensor and scalar modes in the $z+$ direction is given by [\[87](#page-30-13)]

$$
\tilde{h}_{\mu\nu}(t-z) = A^+(t-z)e_{\mu\nu}^{(+)} + A^\times(t-z)e_{\mu\nu}^{(\times)} + \Phi(t-z)e_{\mu\nu}^{(s)}.
$$
 (103)

The pure scalar component is then

$$
h_{\mu\nu} = \Phi e^{(s)}_{\mu\nu},\tag{104}
$$

where $e_{\mu\nu}^{(s)}$ is the polarization tensor.

It is possible to write an expression for the energy density of the stochastic relic scalar gravitons in the frequency interval $(\omega, \omega + d\omega)$ as

$$
d\rho_{sgw} = 2\hbar\omega \left(\frac{\omega^2 d\omega}{2\pi^2 c^3}\right) N_\omega = \frac{\hbar H_{ds}^2 H_0^2}{4\pi^2 c^3} \frac{d\omega}{\omega} = \frac{\hbar H_{ds}^2 H_0^2}{4\pi^2 c^3} \frac{df}{f},\tag{105}
$$

where f , as above, is the frequency in the standard comoving time. Equation [\(105\)](#page-24-0) can be written in terms of the today and de Sitter values of energy density being

$$
H_0 = \frac{8\pi G\rho_c}{3c^2}, \quad H_{ds} = \frac{8\pi G\rho_{ds}}{3c^2}.
$$
 (106)

Introducing the Planck density $\rho_{\text{Planck}} = \frac{c^7}{\hbar G^2}$, the spectrum is given by

$$
\Omega_{sgw}(f) = \frac{1}{\rho_c} \frac{d\rho_{sgw}}{d\ln f} = \frac{f}{\rho_c} \frac{d\rho_{sgw}}{df} = \frac{16}{9} \frac{\rho_{ds}}{\rho_{\text{Planck}}}.
$$
 (107)

At this point, some comments are in order. First of all, such a calculation works for a simplified model which does not include the matter dominated era. If such an era is also included, the redshift at equivalence epoch has to be considered. Taking into account also results in [\[97\]](#page-30-27), we get

$$
\Omega_{sgw}(f) = \frac{16}{9} \frac{\rho_{ds}}{\rho_{\text{Planck}}} (1 + z_{eq})^{-1}, \tag{108}
$$

for the waves which, at the epoch in which the Universe becomes matter dominated, have a frequency higher than *Heq* , the Hubble parameter at equivalence. This situation corresponds to frequencies $f > (1 + z_{ea})^{1/2}H_0$. The redshift correction in Eq. [\(108\)](#page-24-1)

Fig. 8 The spectrum of relic scalar GWs in inflationary models is flat over a wide range of frequencies. The horizontal axis is \log_{10} of frequency, in Hz. The vertical axis is $\log_{10} \Omega_{\text{gsw}}$. The inflationary spectrum rises quickly at low frequencies (wave which re-entered in the Hubble sphere after the Universe became matter dominated) and falls off above the (appropriately redshifted) frequency scale *f*max associated with the fastest characteristic time of the phase transition at the end of inflation. The amplitude of the flat region depends only on the energy density during the inflationary stage; we have chosen the largest amplitude consistent with the WMAP constrains on scalar perturbations. This means that, at LIGO and LISA frequencies, we have Ω_{sgw} < 2.3 $*$ 10⁻¹²

is needed since the today observed Hubble parameter H_0 would result different without a matter dominated contribution. At lower frequencies, the spectrum is given by [\[94](#page-30-23)[–96\]](#page-30-24)

$$
\Omega_{sgw}(f) \propto f^{-2}.\tag{109}
$$

Nevertheless, since the spectrum falls of $\propto f^{-2}$ at low frequencies, this means that today, at LIGO-VIRGO and LISA frequencies (indicated in Fig. [8\)](#page-25-0), one gets

$$
\Omega_{sgw}(f)h_{100}^2 < 2.3 \times 10^{-12}.\tag{110}
$$

It is interesting to calculate the corresponding strain at $\approx 100 Hz$, where interferometers like VIRGO and LIGO reach a maximum in sensitivity (see, e.g. [\[104](#page-31-4)[,105](#page-31-5)]). The well known equation for the characteristic amplitude [\[94](#page-30-23)[–96\]](#page-30-24), adapted to the scalar component of GWs, can be used. It is

$$
\Phi_c(f) \simeq 1.26 \times 10^{-18} \left(\frac{1Hz}{f}\right) \sqrt{h_{100}^2 \Omega_{sgw}(f)},\tag{111}
$$

and then we obtain the values in Table [2.](#page-26-0)

In summary, the above results point out that a further scalar component of GWs, coming, e.g. from $f(R)$ -gravity, should be seriously considered in the signal detection of interferometers. As discussed in [\[103\]](#page-31-0), this fact could constitute either an

independent test for alternative theories of gravity or a further probe of GR capable of ruling out other theories.

At this point, using the above LIGO, VIRGO and LISA upper bounds, calculated for the characteristic amplitude of GW scalar component, let us test the $f(R)$ -gravity models, considered in the previous sections, to see whether they are compatible both with the Solar System and GW stochastic background.

Before starting with the analysis, taking into account the discussion in Sect. [2,](#page-3-0) we have that the GW scalar component is derived considering

$$
\Phi = -\frac{\delta \sigma}{\sigma_0}, \quad \sigma = -\ln(1 + f'(A)) = \ln F'(A), \quad \delta \sigma = \frac{f''(A)}{1 + f'(A)} \delta A. \tag{112}
$$

As standard, we are assuming small perturbations in the conformal frame [\[87\]](#page-30-13)). This means

$$
g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad \sigma = \sigma_0 + \delta\sigma. \tag{113}
$$

These assumptions allow to derive the "linearized" curvature invariants $R_{\mu\nu\rho\sigma}$, $R_{\mu\nu}$ and *R* and then the linearized field equations [\[93\]](#page-30-28)

$$
\widetilde{R}_{\mu\nu} - \frac{\widetilde{R}}{2} \eta_{\mu\nu} = -\partial_{\mu}\partial_{\nu}\Phi + \eta_{\mu\nu}\Box\Phi,
$$
\n
$$
\Box\Phi = m^2\Phi.
$$
\n(114)

As above, for the considered models, we have to determine the values of the characteristic parameters which are compatible with both Solar System and GW stochastic background.

Let us start, for example, with the model [\(12\)](#page-5-1). Starting from the definitions [\(112\)](#page-26-1), it is straightforward to derive the scalar component amplitude

$$
\Phi_{III} = \frac{s(2s+1)\left(\frac{R_c}{R}\right)^{2s+1}\lambda}{\left[sR_c\left(\frac{R_c}{R}\right)^{2s}\lambda - R\right]\log\left[2 - 2s\left(\frac{R_c}{R}\right)^{2s+1}\lambda\right]}.
$$
(115)

Such an equation satisfies the constraints in Table. [2](#page-26-0) for the values *s* = 0.5, $\frac{R}{R_c}$ ~ 1, $λ = 1.53$ and $s = 1$, $\frac{R}{R_c}$ ∼ 1, $λ = 0.95$ (LIGO); $s = 2$, $\frac{R}{R_c} = \sqrt{3}$, $λ = 2$ (VIRGO); $s = 1, \lambda = 2$ and $\frac{R}{R_c} = 3.38$ (LISA).

It is important to stress the nice agreement with the figures achieved from the PPN constraints. In this case, we have assumed $R_c \sim \rho_c \sim 10^{-29}$ g/cm³, where ρ_c is the present day cosmological density.

Considering the model [\(9\)](#page-4-1), we obtain

$$
\Phi_{I} = -\frac{n\left[(2n+1)\left(\frac{R}{R_c}\right)^{2n} - 2n + 1 \right] \left(\frac{R}{R_c}\right)^{2n-1} \lambda}{\left[\left(\frac{R}{R_c}\right)^{2n} + 1 \right] \left\{ R \left[\left(\frac{R}{R_c}\right)^{2n} + 1 \right]^2 - n \left(\frac{R}{R_c}\right)^{2n} R_c \lambda \right\} \log \left(1 - \frac{2n \left(\frac{R}{R_c}\right)^{2n-1} \lambda}{\left(\frac{R}{R_c}\right)^{2n} + 1 \right)^2} \right]} (116)
$$

The expected constraints for GW scalar amplitude are fulfilled for $n = 1$ and $\lambda = 2$ and for $n = 1$ and $\lambda = 1.5$ when $0.3 < \frac{R}{R_c} < 1$.

Furthermore, considering the model (1) , one gets

$$
\Phi_{I} = -\frac{2p\left(1 + \frac{R^{2}}{R_{c}^{2}}\right)^{-p}R_{c}\left((1 + 2p)R^{2} - R_{c}^{2}\right)\lambda}{\left(R^{2} - R_{c}^{2}\right)^{2}\left[2 - \frac{2p\left(1 + \frac{R^{2}}{R_{c}^{2}}\right)^{-1-p}\lambda}{R_{c}}\right]\ln\left[2 - \frac{2pR\left(1 + \frac{R^{2}}{R_{c}^{2}}\right)^{-1-p}\lambda}{R_{c}}\right]}.
$$
\n(117)

The LIGO upper bound is fulfilled for $p = 1$, $\frac{R}{R_c} > \sqrt{3}$, $\lambda > \frac{8}{3\sqrt{3}}$; the VIRGO one for $p = 1$, $\frac{R}{R_c} = 3.38$, $\lambda = 2$; finally, for LISA, we have $p = 2$, $\frac{R}{R_c} = \sqrt{3}$ and $0.944 < \lambda < 0.966$. Besides, considering LISA in the regime $R >> R_c$, we have $\lambda = 2$ and $p = 1.5$.

Finally, let us consider Models VI and VII. We have

$$
\Phi_{VI} = \frac{b^2 \alpha \tanh\left[\frac{1}{2}b(R - R_0)\right]}{[b\alpha + \cosh(b(R - R_0)) + 1] \ln\left[\frac{b\alpha}{\cosh(b(R - R_0)) + 1}\right]},
$$
(118)

and

$$
\Phi_{\text{VII}} = \log \left[0.5 \left(b \alpha \text{sech}^2 (0.5b(R - R_0)) - b_I \alpha_I \text{sech}^2 (0.5b_I (R - R_I)) + 2 \right) \right]
$$

×
$$
\left[b \alpha \text{sech}^2 (0.5b(R - R_0)) - b_I \alpha_I \text{sech}^2 (0.5b_I (R - R_I)) + 4 \right]
$$

×
$$
\left[b^2 \alpha \text{sech}^2 (0.5b(R - R_0)) \tanh(0.5b(R - R_0)) \right]
$$

-
$$
b_I^2 \alpha_I \text{sech}^2 (0.5b_I (R - R_I)) \tanh(0.5b_I (R - R_I)) \right].
$$
 (119)

These equations satisfy the constraints for VIRGO, LIGO and LISA for $b = 2$, $b_I = 0.5$, $\alpha = 1.5$ and $\alpha_I = 2$ with R_I valued at Solar System scale and R_0 at cosmological scale.

6 Conclusions

In this paper, we have investigated the possibility that some viable $f(R)$ models could be constrained considering both Solar System experiments and upper bounds on the stochastic background of gravitational radiation. Such bounds come from interferometric ground-based (VIRGO and LIGO) and space (LISA) experiments. The underlying philosophy is to show that the $f(R)$ approach, in order to describe consistently the observed universe, should be tested at very different scales, that is at very different redshifts. In other words, such a proposal could partially contribute to remove the unpleasant degeneracy affecting the wide class of dark energy models, today on the ground.

Beside the request to evade the Solar System tests, new methods have been recently proposed to investigate the evolution and the power spectrum of cosmological perturbations in $f(R)$ models [\[46](#page-29-19),[47\]](#page-30-0). The investigation of stochastic background, in particular of the scalar component of GWs coming from the $f(R)$ additional degrees of freedom, could acquire, if revealed by the running and forthcoming experiments, a fundamental importance to discriminate among the various gravity theories [\[103](#page-31-0)]. These data (today only upper bounds coming from simulations) if combined with Solar System tests, CMBR anisotropies, LSS, etc. could greatly help to achieve a self-consistent cosmology bypassing the shortcomings of Λ CDM model.

Specifically, we have taken into account some broken power law $f(R)$ models fulfilling the main cosmological requirements which are to match the today observed accelerated expansion and the correct behavior in early epochs. In principle, the adopted parameterization allows to fit data at extragalactic and cosmological scales [\[32](#page-29-15)]. Furthermore, such models are constructed to evade the Solar System experimental tests. Beside these broken power laws, we have considered also two models capable of reproducing the effective cosmological constant, the early inflation and the late acceleration epochs [\[36\]](#page-29-28). These *f* (*R*)-functions are combinations of hyperbolic tangents.

We have discussed the behavior of all the considered models. In particular, the problem of stability has been addressed determining suitable and physically consistent ranges of parameters. Then we have taken into account the results of the main Solar System current experiments. Such results give upper limits on the PPN parameters which any self-consistent theory of gravity should satisfy at local scales. Starting from these, we have selected the $f(R)$ parameters fulfilling the tests. As a general remark, all the functional forms chosen for $f(R)$ present sets of parameters capable of matching the two main PPN quantities, that is γ*exp* and β*exp*. This means that, in principle, extensions of GR are not *a priori* excluded as reasonable candidates for gravity theories. To construct such extensions, the reconstruction method developed in [\[106](#page-31-6)] may be applied.

The interesting feature, and the main result of this paper, is that such sets of parameters are not in conflict with bounds coming from the cosmological stochastic background of GWs. In particular, some sets of parameters reproduce quite well both the PPN upper limits and the constraints on the scalar component amplitude of GWs.

Far to be definitive, these preliminary results indicate that self-consistent models could be achieved comparing experimental data at very different scales without extrapolating results obtained only at a given scale.

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