

# A rigidity theorem of $\xi$ -submanifolds in $\mathbb{C}^2$

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**Abstract** In this paper, we first introduce the concept of  $\xi$ -submanifold which is a natural generalization of self-shrinkers for the mean curvature flow and also an extension of  $\lambda$ -hypersurfaces to the higher codimension. Then, as the main result, we prove a rigidity theorem for Lagrangian  $\xi$ -submanifold in the complex 2-plane  $\mathbb{C}^2$ .

**Keywords**  $\xi$ -Submanifold · The second fundamental form · Mean curvature vector · Torus

**Mathematics Subject Classification (2000)** Primary 53A30 · Secondary 53B25

## 1 Introduction

Let  $x : M^n \rightarrow \mathbb{R}^{n+p}$  be an  $n$ -dimensional submanifold in the  $(n+p)$ -dimensional Euclidean space  $\mathbb{R}^{n+p}$ . Then  $x$  is called a *self-shrinker* (to the mean curvature flow) in  $\mathbb{R}^{n+p}$  if its mean curvature vector field  $H$  satisfies

$$H + x^\perp = 0, \quad (1.1)$$

where  $x^\perp$  is the orthogonal projection of the position vector  $x$  to the normal space  $T^\perp M^n$  of  $x$ .

It is well known that the self-shrinker plays an important role in the study of the mean curvature flow. Not only self-shrinkers correspond to self-shrinking solutions to the mean curvature flow, but also they describe all possible Type I blow ups at a given singularity of the

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flow. Up to now, there have been a plenty of research papers on self-shrinkers among which are many that provide various results of classification or rigidity theorems. In particular, there are also interesting results about the Lagrangian self-shrinkers in the complex Euclidean  $n$ -space  $\mathbb{C}^n$ . For example, in [1], Anciaux gives new examples of self-shrinking and self-expanding Lagrangian solutions to the mean curvature flow. In [3], the authors classify all Hamiltonian stationary Lagrangian surfaces in the complex plane  $\mathbb{C}^2$ , which are self-similar solutions of the mean curvature flow and, in [4], several rigidity results for Lagrangian mean curvature flow are obtained. As we know, a canonical example of the compact Lagrangian self-shrinker in  $\mathbb{C}^2$  is the Clifford torus  $\mathbb{S}^1(1) \times \mathbb{S}^1(1)$ .

Recently in [13], Li and Wang prove a rigidity theorem which improves a previous theorem by Castro and Lerma [4].

**Theorem 1.1** (cf. [4, 13]). *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a compact oriented Lagrangian self-shrinker with  $h$  its second fundamental form. If  $|h|^2 \leq 2$ , then  $|h|^2 = 2$  and  $x(M^2)$  is the Clifford torus  $\mathbb{S}^1(1) \times \mathbb{S}^1(1)$ , up to a holomorphic isometry on  $\mathbb{C}^2$ .*

*Remark 1.1* Castro and Lerma also proved Theorem 1.1 in [4] under the additional condition that the Gauss curvature  $K$  of  $M^2$  is either non-negative or non-positive.

To make an extension of hypersurface self-shrinkers, Cheng and Wei recently introduce in [7] the definition of  $\lambda$ -hypersurface of weighted volume-preserving mean curvature flow in Euclidean space, and classify complete  $\lambda$ -hypersurfaces with polynomial area growth and  $H - \lambda \geq 0$ , which are generalizations of the results due to Huisken [12] and Colding-Minicozzi [9]. According to [7], a hypersurface  $x : M^n \rightarrow \mathbb{R}^{n+1}$  is called a  $\lambda$ -hypersurface if its mean curvature  $H_0$  satisfies

$$H_0 + \langle x, N \rangle = \lambda \tag{1.2}$$

for some constant  $\lambda$ , where  $N$  is the unit normal vector of  $x$ . Some rigidity or classification results for  $\lambda$ -hypersurfaces are obtained, for example, in [6, 8, 11]; for the rigidity theorems for space-like  $\lambda$ -hypersurfaces see [15].

As a natural generalization of both self-shrinkers and  $\lambda$ -hypersurfaces, we introduce the concept of  $\xi$ -submanifolds. Precisely, an immersed submanifold  $x : M^n \rightarrow \mathbb{R}^{n+p}$  is called a  $\xi$ -submanifold if there is a parallel normal vector field  $\xi$  such that the mean curvature vector field  $H$  satisfies

$$H + x^\perp = \xi. \tag{1.3}$$

Obviously, the Clifford tori  $\mathbb{S}^1(a) \times \mathbb{S}^1(b)$  with positive numbers  $a$  and  $b$  are examples of Lagrangian  $\xi$ -submanifold in  $\mathbb{C}^2$ . Similar examples in higher dimensions can be listed as those in [5] for self-shrinkers. In this paper, we focus on the rigidity of compact Lagrangian  $\xi$ -submanifolds in  $\mathbb{C}^2$ , and our main theorem is as follows:

**Theorem 1.2** *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a compact oriented Lagrangian  $\xi$ -submanifold with the second fundamental form  $h$  and mean curvature vector  $H$ . Assume that*

$$|h|^2 + |H - \xi|^2 \leq |\xi|^2 + 4.$$

*Then  $|h|^2 + |H - \xi|^2 \equiv |\xi|^2 + 4$  and  $x(M^2) = T^2$  is a topological torus.*

*Furthermore, if  $\langle H, \xi \rangle$  is constant and one of the following four conditions holds:*

$$(1) |h|^2 \geq 2, \quad (2) |H|^2 \geq 2, \quad (3) |h|^2 \geq \langle H, H - \xi \rangle, \quad (4) \langle H, \xi \rangle \geq 0, \tag{1.4}$$

then, up to a holomorphic isometry on  $\mathbb{C}^2$ ,  $x(M^2) = \mathbb{S}^1(a) \times \mathbb{S}^1(b)$  is a standard torus, where  $a$  and  $b$  are positive numbers satisfying  $a^2 + b^2 \geq 2a^2b^2$ .

**Corollary 1.3** *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a compact oriented Lagrangian self-shrinker. If*

$$|h|^2 + |H|^2 \leq 4,$$

*then  $|h|^2 + |H|^2 \equiv 4$  and  $x(M^2) = \mathbb{S}^1(1) \times \mathbb{S}^1(1)$  up to a holomorphic isometry on  $\mathbb{C}^2$ .*

Clearly, Corollary 1.3 can be viewed as a different new version of Theorem 1.1.

*Remark 1.2* We believe that the last condition (1.4) in Theorem 1.2 can be removed. On the other hand, the condition that  $\langle H, \xi \rangle$  is constant may also be removed. In fact, as suggested by the referee, we can use (3.11) and the compactness of  $M^2$  to show that  $|x|^2$  is constant when either  $\langle H, H - \xi \rangle \leq 2$  or  $\langle H, H - \xi \rangle \geq 2$ . Then by the argument at the end of the paper, we can simplify Theorem 1.2 as follows:

**Theorem 1.4** *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a compact oriented Lagrangian  $\xi$ -submanifold with the second fundamental form  $h$  and mean curvature vector  $H$ . Assume that*

$$|h|^2 + |H - \xi|^2 \leq |\xi|^2 + 4.$$

*Then  $|h|^2 + |H - \xi|^2 \equiv |\xi|^2 + 4$  and  $x(M^2) = T^2$  is a topological torus.*

*Furthermore, if either  $\langle H, H - \xi \rangle \leq 2$  or  $\langle H, H - \xi \rangle \geq 2$ , then, up to a holomorphic isometry on  $\mathbb{C}^2$ ,  $x(M^2) = \mathbb{S}^1(a) \times \mathbb{S}^1(b)$  is a standard torus for some  $a, b > 0$ .*

*Remark 1.3* Cheng and Wei have introduced in [7] a weighted area functional  $\mathcal{A}$  and derived a related variation formula. Besides the relation between  $\lambda$ -hypersurfaces and the weighted volume preserving mean curvature flow, they also prove that  $\lambda$ -hypersurfaces are the critical points of the weighted area functional. Based on this, we believe that similar conclusions will be valid for the  $\xi$ -submanifolds defined above. Furthermore, We reasonably believe that, if self-shrinkers and  $\lambda$ -hypersurfaces take the places of minimal submanifolds and constant mean curvature hypersurfaces, respectively, then  $\xi$ -submanifolds must take the place of submanifolds of parallel mean curvature vector.

## 2 Lagrangian submanifolds in $\mathbb{C}^n$ and their Maslov class

Let  $\mathbb{C}^n$  be the complex Euclidean  $n$ -space with the canonical complex structure  $J$ . Through out this paper,  $x : M^n \rightarrow \mathbb{C}^n$  always denotes an  $n$ -dimensional Lagrangian submanifold, and  $\nabla, D, \nabla^\perp$  denote, respectively, the Levi-Civita connections on  $M^n, \mathbb{C}^n$ , and the normal connection on the normal bundle  $T^\perp M^n$ . The formulas of Gauss and Weingarten are given by

$$D_X Y = \nabla_X Y + h(X, Y), \quad D_X \eta = -A_\eta X + \nabla_X^\perp \eta,$$

where  $X, Y$  are tangent vector fields on  $M^n$  and  $\eta$  is a normal vector field of  $x$ . The Lagrangian condition implies that

$$\nabla_X^\perp JY = J\nabla_X Y, \quad A_{JX} Y = -Jh(X, Y) = A_{JY} X,$$

where  $h$  and  $A$  are the second fundamental form and the shape operator of  $x$ , respectively. In particular,  $\langle h(X, Y), JZ \rangle$  is totally symmetric as a 3-form, namely

$$\langle h(X, Y), JZ \rangle = \langle h(X, Z), JY \rangle = \langle h(Y, Z), JX \rangle. \tag{2.1}$$

From now on, we agree with the following convention on the ranges of indices:

$$1 \leq i, j, \dots \leq n, \quad n + 1 \leq \alpha, \beta, \dots \leq 2n, \quad 1 \leq A, B, \dots \leq 2n, \quad i^* = n + i.$$

For a Lagrangian submanifold  $x : M^n \rightarrow \mathbb{C}^n$ , there are orthonormal frame fields of the form  $\{e_i, e_{i^*}\}$  for  $\mathbb{C}^n$  along  $x$ , where  $e_i \in TM^n$  and  $e_{i^*} = J e_i$ . Such a frame is called an *adapted Lagrangian frame field* in the literature. The dual frame field is always denoted by  $\{\theta_i, \theta_{i^*}\}$ , where  $\theta_{i^*} = -J\theta_i$ . Write

$$h = \sum h_{ij}^{k^*} \theta_i \theta_j e_{k^*}, \quad \text{where } h_{ij}^{k^*} = \langle h(e_i, e_j), e_{k^*} \rangle,$$

or equivalently,

$$h(e_i, e_j) = \sum_k h_{ij}^{k^*} e_{k^*}, \quad \text{for all } e_i, e_j.$$

Then (2.1) is equivalent to

$$h_{ij}^{k^*} = h_{kj}^{i^*} = h_{ik}^{j^*}, \quad 1 \leq i, j, k \leq n. \tag{2.2}$$

If  $\theta_{ij}$  and  $\theta_{i^*j^*}$  denote the connection forms of  $\nabla$  and  $\nabla^\perp$ , respectively, then the components  $h_{ij,l}^{k^*}, h_{ij,lp}^{k^*}$  of the covariant derivatives of  $h$  are given respectively by

$$\sum_l h_{ij,l}^{k^*} \theta_l = dh_{ij}^{k^*} + \sum_l h_{lj}^{k^*} \theta_{li} + \sum_l h_{il}^{k^*} \theta_{lj} + \sum_m h_{ij}^{m^*} \theta_{m^*k^*}; \tag{2.3}$$

$$\sum_p h_{ij,lp}^{k^*} \theta_p = dh_{ij,l}^{k^*} + \sum_p h_{pj,l}^{k^*} \theta_{pi} + \sum_p h_{ip,l}^{k^*} \theta_{pj} + \sum_p h_{ij,p}^{k^*} \theta_{pl} + \sum_p h_{ij,l}^{p^*} \theta_{p^*k^*}. \tag{2.4}$$

Moreover, the equations of motion are as follows:

$$dx = \sum_i \theta_i e_i, \quad de_i = \sum_j \theta_{ij} e_j + \sum_{k,j} h_{ij}^{k^*} \theta_j e_{k^*}, \tag{2.5}$$

$$de_{k^*} = -\sum_{i,j} h_{ij}^{k^*} \theta_j e_i + \sum_l \theta_{k^*l} e_{l^*}. \tag{2.6}$$

Let  $R_{ijkl}$  and  $R_{i^*j^*kl}$  denote the components of curvature operators of  $\nabla$  and  $\nabla^\perp$ , respectively. Then the equations of Gauss, Codazzi and Ricci are as follows:

$$R_{mijk} = \sum_l (h_{mk}^{l^*} h_{ij}^{l^*} - h_{mj}^{l^*} h_{ik}^{l^*}), \quad 1 \leq m, i, j, k \leq n, \tag{2.7}$$

$$h_{ij,l}^{k^*} = h_{il,j}^{k^*}, \quad 1 \leq i, j, k, l \leq n, \tag{2.8}$$

$$R_{i^*j^*kl} = \sum_m (h_{ml}^{i^*} h_{mk}^{j^*} - h_{mk}^{i^*} h_{ml}^{j^*}), \quad 1 \leq i, j, k, l \leq n. \tag{2.9}$$

The scalar curvature of  $\nabla$  is

$$R = |H|^2 - |h|^2 \quad \text{with } |H|^2 = \sum_k \left( \sum_i h_{ii}^{k^*} \right)^2, \quad |h|^2 = \sum_{i,j,k} (h_{ij}^{k^*})^2, \tag{2.10}$$

where the mean curvature vector field  $H$  is defined by

$$H = \sum_k H^{k^*} e_{k^*} = \sum_{i,k} h_{ii}^{k^*} e_{k^*}.$$

Combining (2.2) and (2.8), we know that  $h_{ij,l}^{k*}$  is totally symmetric, namely

$$h_{ij,l}^{k*} = h_{jl,k}^{i*} = h_{lk,i}^{j*} = h_{ki,j}^{l*}, \quad 1 \leq i, j, k, l \leq n, \tag{2.11}$$

and the Ricci identities are as follows:

$$h_{ij,lp}^{k*} - h_{ij,pl}^{k*} = \sum_m h_{mj}^{k*} R_{imlp} + \sum_m h_{im}^{k*} R_{jmpl} + \sum_m h_{ij}^{m*} R_{k^*m^*lp}. \tag{2.12}$$

Note that, with respect to the adapted Lagrangian frame  $\{e_i, e_i^*\}$ , the connection forms  $\theta_{i^*j^*} = \theta_{ij}$ . It follows that

$$R_{m^*i^*jk} = R_{mijk}, \quad \forall m, i, j, k. \tag{2.13}$$

Furthermore, the first and second derivatives  $H_{,i}^{k*}, H_{,ij}^{k*}$  of the mean curvature vector field  $H$  are given as

$$H_{,i}^{k*} = \sum_j h_{jj,i}^{k*}, \quad H_{,ij}^{k*} = \sum_l h_{ll,ij}^{k*}. \tag{2.14}$$

For any smooth function  $f$  on  $M^n$ , the covariant derivatives  $f_{,i}, f_{,ij}$  of  $f$ , the Laplacian of  $f$  are respectively defined as follows:

$$df = \sum_i f_{,i} \theta_i, \quad \sum_j f_{,ij} \theta_j = df_{,i} - \sum_j f_{,j} \theta_{ij}, \quad \Delta f = \sum_i f_{,ii}. \tag{2.15}$$

Finally, we also need to introduce the Lagrangian angles, Maslov form and Maslov class of a Lagrangian submanifold in  $\mathbb{C}^n$  which we shall make use of later.

Let  $(z^1, \dots, z^n)$  be the standard complex coordinates on  $\mathbb{C}^n$ . Then  $\Omega = dz^1 \wedge \dots \wedge dz^n$  is a globally defined holomorphic volume form which is clearly parallel. For a Lagrangian submanifold  $x : M^n \rightarrow \mathbb{C}^n$ , the Lagrangian angle of  $x$  is by definition a multi-valued function  $\beta : M^n \rightarrow \mathbb{R}/2\pi\mathbb{Z}$  given by

$$\Omega_M := x^* \Omega = e^{\sqrt{-1}\beta} dV_M.$$

As one knows, although the Lagrangian angle  $\beta$  can not be determined globally in general, its gradient  $\nabla\beta$  is clearly a well-defined vector field on  $M^n$ , or the same,  $\alpha := d\beta$  is a globally defined 1-form which is called the Maslov form of  $x$ . Clearly,  $\alpha$  is closed and thus represents a cohomology class  $[\alpha] \in H^1(M^n)$  called the Maslov class.

In [16], the author proved an important formula by which the mean curvature and the Lagrangian angle of a Lagrangian submanifold are linked to each other; A. Arsie has extended this result in [2] to Lagrangian submanifolds in a general Calabi-Yau manifold.

**Theorem 2.1** ([16]) *Let  $x : M^n \rightarrow \mathbb{C}^n$  be a Lagrangian submanifold and  $J$  be the canonical complex structure of  $\mathbb{C}^n$ . Then the mean curvature vector  $H$  and the Lagrangian angle  $\beta$  meet the following formula:*

$$x_*(\nabla\beta) = -JH. \tag{2.16}$$

**Corollary 2.2** ([4, 17]) *Let  $x : M^n \rightarrow \mathbb{C}^n$  be a compact and oriented Lagrangian self-shrinkers. Then the Maslov class  $[\alpha]$  can not be trivial. In particular, there does not exist any Lagrangian self-shrinker in  $\mathbb{C}^n$  with the topology of a sphere.*

*Remark 2.1* For our use in this paper, it is necessary to show that Corollary 2.2 is still true if we replace the self-shrinker by a  $\xi$ -submanifold. Precisely, we need

**Proposition 2.3** *Let  $x : M^n \rightarrow \mathbb{C}^n$  be a Lagrangian  $\xi$ -submanifold. If  $M$  is compact and orientable, then  $[\alpha] \neq 0$ ; Consequently, there does not exist any Lagrangian  $\xi$ -submanifold in  $\mathbb{C}^n$  with the topology of a sphere.*

*Proof* By the definition of a  $\xi$ -submanifold, we have  $x = x^\top + \xi - H$ . By Gauss and Weingarten formulas it follows that, for any  $v \in TM^n$ ,

$$\begin{aligned} A_H v &= -D_v H + \nabla_v^\perp H = -D_v(\xi - x^\top) + \nabla_v^\perp H \\ &= D_v x^\top - D_v \xi + \nabla_v^\perp H = D_v x - D_v x^\top - D_v \xi + \nabla_v^\perp H \\ &= v - \nabla_v x^\top + A_\xi(v) - h(v, x^\top) + \nabla_v^\perp H, \end{aligned}$$

where  $A_H$  and  $A_\xi$  are Weingarten transformations with respect to  $H$  and  $\xi$ , respectively. Thus

$$A_H v = v - \nabla_v x^\top + A_\xi(v), \quad \nabla_v^\perp H = h(v, x^\top).$$

So that

$$\begin{aligned} \operatorname{div} JH &= \sum_i \langle \nabla_{e_i} JH, e_i \rangle = \sum_i \langle J \nabla_{e_i} JH, J e_i \rangle = \sum_i \langle -\nabla_{e_i}^\perp H, J e_i \rangle \\ &= \sum_i \langle -h(e_i, x^\top), J e_i \rangle = \sum_i \langle -h(e_i, e_i), J x^\top \rangle \\ &= \sum_i \langle Jh(e_i, e_i), x^\top \rangle = \langle JH, x^\top \rangle, \end{aligned} \tag{2.17}$$

where  $\operatorname{div}$  is the divergence operator. By (2.16) and (2.17) we obtain

$$\Delta \beta = \langle \nabla \beta, x^\top \rangle = \frac{1}{2} \langle \nabla \beta, \nabla |x|^2 \rangle. \tag{2.18}$$

If  $[\alpha] = 0$ , then there exists a globally defined Lagrangian angle  $\beta$  such that  $\alpha = -d\beta$ , implying (2.18) holds globally on  $M^n$ . Then the compactness assumption and the maximum principle for a second linear elliptic partial equation (see [10], for example) assure that  $\beta$  must be constant. Hence  $H = x_*(J\nabla\beta) \equiv 0$ , contradicting to the fact that there are no compact minimal submanifolds in Euclidean space. This contradiction proves that  $[\alpha] \neq 0$ .

Since the first homology of a sphere  $S^n$  vanishes for  $n > 1$ , there can not be any Lagrangian  $\xi$ -submanifolds with the topology of a sphere.  $\square$

### 3 Proof of the main theorem

Let  $x : M^n \rightarrow \mathbb{C}^n$  be a Lagrangian  $\xi$ -submanifold without boundary. Then, with respect to an orthonormal frame field  $\{e_i\}$ , the defining equation (1.3) is equivalent to

$$H^{k*} = -\langle x, e_{k*} \rangle + \xi^{k*}, \quad 1 \leq k \leq n. \tag{3.1}$$

where  $\xi = \sum \xi^{k*} e_{k*}$  is a given parallel normal vector field. From now on, we always assume that  $n = 2$  if no other specification is given.

We start with a well-known operator  $\mathcal{L}$  acting on smooth functions defined by

$$\mathcal{L} = \Delta - \langle x, \nabla \cdot \rangle = e^{\frac{|x|^2}{2}} \operatorname{div} (e^{-\frac{|x|^2}{2}} \nabla \cdot), \tag{3.2}$$

which was first introduced by Colding and Minicozzi [9] to the study of self-shrinkers. Since then, the operator  $\mathcal{L}$  has been one of the most effect tools adapted by many authors. In particular, the following is a fundamental lemma related to  $\mathcal{L}$ :

**Lemma 3.1** ([14]) *Let  $x : M^n \rightarrow \mathbb{R}^{n+p}$  be a complete immersed submanifold. If  $u$  and  $v$  are  $C^2$ -smooth functions with*

$$\int_M (|u \nabla v| + |\nabla u| |\nabla v| + |u \mathcal{L}v|) e^{-\frac{|x|^2}{2}} dV_M < \infty,$$

then it holds that

$$\int_M u \mathcal{L}v e^{-\frac{|x|^2}{2}} dV_M = - \int_M \langle \nabla u, \nabla v \rangle e^{-\frac{|x|^2}{2}} dV_M.$$

Now, to make the whole argument more readable, we divide our proof into the following lemmas and propositions:

**Lemma 3.2** (cf. [13]) *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a Lagrangian  $\xi$ -submanifold. Then*

$$H_{,i}^{k*} = \sum_j h_{ij}^{k*} \langle x, e_j \rangle, \quad 1 \leq i, k \leq 2, \tag{3.3}$$

$$H_{,ij}^{k*} = \sum_m h_{im,j}^{k*} \langle x, e_m \rangle + h_{ij}^{k*} - \sum_{m,p} (H - \xi)^{p*} h_{im}^{k*} h_{mj}^{p*}, \quad 1 \leq i, j, k \leq 2. \tag{3.4}$$

**Lemma 3.3** *It holds that*

$$\begin{aligned} \frac{1}{2} \mathcal{L}(|h|^2 + |H - \xi|^2) &= |\nabla h|^2 + |\nabla^\perp H|^2 + |h|^2 \\ &\quad - \frac{1}{2} (|h|^2 - |H|^2) (3|h|^2 - 2|H|^2 + \langle H, H - \xi \rangle) \\ &\quad + \langle H, H - \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*} \\ &\quad - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} H^{k*} (H - \xi)^{l*}. \end{aligned} \tag{3.5}$$

*Proof* By a direct computation using Lemma 3.2 we find (cf. [13])

$$\begin{aligned} \frac{1}{2} \mathcal{L}|h|^2 &= |\nabla h|^2 + |h|^2 - \frac{3}{2} |h|^4 + \frac{5}{2} |H|^2 |h|^2 - |H|^4 \\ &\quad + \frac{1}{2} \langle H, H - \xi \rangle (|H|^2 - |h|^2) - \sum_{i,j,k,l} H^{k*} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{l*}; \end{aligned} \tag{3.6}$$

$$\begin{aligned} \frac{1}{2} \mathcal{L}|H - \xi|^2 &= \frac{1}{2} \Delta (|H - \xi|^2) - \frac{1}{2} \langle x, \nabla |H - \xi|^2 \rangle \\ &= \sum_{i,k} (H - \xi)^{k*} H_{,ii}^{k*} + |\nabla^\perp H|^2 - \sum_{i,k} (H - \xi)^{k*} H_{,i}^{k*} \langle x, e_i \rangle \\ &= \langle H - \xi, H \rangle + |\nabla^\perp H|^2 - \sum_{i,j,k,l} (H - \xi)^{k*} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{l*}. \end{aligned} \tag{3.7}$$

By taking the sum we obtain (3.5). □

**Lemma 3.4** *It holds that*

$$\begin{aligned} \frac{1}{2}\Delta(|x^\top|^2) &= \sum_{i,j,k} h_{ij}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle (\xi - H)^{k*} - \sum_{i,j,k,l} h_{il}^{k*} h_{lj}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle \\ &\quad + 2 - 2\langle H, H - \xi \rangle + \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*}. \end{aligned} \tag{3.8}$$

*Proof* We find

$$\begin{aligned} \frac{1}{2}\Delta(|x^\top|^2) &= \frac{1}{2} \sum_{i,j} \langle x, e_j \rangle_{,ii}^2 = \sum_{i,j} (\langle x, e_j \rangle \langle x, e_j \rangle)_{,i} \\ &= \sum_{i,j} (\langle x, e_j \rangle \langle x_i, e_j \rangle)_{,i} + \sum_{i,j,k} (\langle x, e_j \rangle \langle x, h_{ji}^{k*} e_{k*} \rangle)_{,i} \\ &= 2 + 2 \sum_{i,k} h_{ii}^{k*} \langle x, e_{k*} \rangle + \sum_{j,k} H_{,j}^{k*} \langle x, e_j \rangle \langle x, e_{k*} \rangle \\ &\quad + \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \langle x, e_{l*} \rangle \langle x, e_{k*} \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{il}^{k*} \langle x, e_j \rangle \langle x, e_l \rangle \\ &= 2 - 2\langle H, H - \xi \rangle + \sum_{i,j,k} h_{ij}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle (\xi - H)^{k*} \\ &\quad + \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{l*} (H - \xi)^{k*} - \sum_{i,j,k,l} h_{il}^{k*} h_{lj}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle, \end{aligned}$$

and the lemma is proved. □

**Lemma 3.5** *It holds that*

$$\Delta(\langle H, \xi \rangle) = \sum_{i,j,k} h_{ij}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle \xi^{k*} + \langle H, \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*}, \tag{3.9}$$

$$\mathcal{L}(\langle H, \xi \rangle) = \langle H, \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*}. \tag{3.10}$$

*Proof* By (3.3) and (3.4),

$$\begin{aligned} \Delta(\langle H, \xi \rangle) &= \sum_{i,k} (H^{k*} \xi^{k*})_{,ii} = \sum_{i,k} H_{,ii}^{k*} \xi^{k*} \\ &= \sum_{i,k,l,m} (h_{im,i}^{k*} \langle x, e_m \rangle + h_{ii}^{k*} - (H - \xi)^{l*} h_{im}^{k*} h_{mi}^{l*}) \xi^{k*} \\ &= \sum_{i,k} H_{,i}^{k*} \langle x, e_i \rangle \xi^{k*} + \langle H, \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*} \\ &= \sum_{i,j,k} h_{ij}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle \xi^{k*} + \langle H, \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*}; \\ \langle x, \nabla \langle H, \xi \rangle \rangle &= \sum_i \langle H, \xi \rangle_{,i} \langle x, e_i \rangle = \sum_{i,j,k} h_{ij}^{k*} \langle x, e_i \rangle \langle x, e_j \rangle \xi^{k*}. \end{aligned}$$

Thus, by adding them up, we get (3.10). □



**Lemma 3.6** (cf. [5,9]; also [13]) *It holds that*

$$\frac{1}{2}\Delta(|x|^2) = 2 - \langle H, H - \xi \rangle, \tag{3.11}$$

$$\frac{1}{2}\mathcal{L}(|x|^2) = |\xi|^2 + 2 - (|x|^2 + \langle H, \xi \rangle). \tag{3.12}$$

*Proof* From (3.1), we find

$$\frac{1}{2}\Delta(|x|^2) = 2 + \langle x, \Delta x \rangle = 2 + \sum_k H^{k*} \langle x, e_{k*} \rangle = 2 - \langle H, H - \xi \rangle,$$

$$\begin{aligned} \frac{1}{2}\mathcal{L}(|x|^2) &= \frac{1}{2}\Delta(|x|^2) - \frac{1}{2}\langle x, \nabla|x|^2 \rangle = 2 - |H|^2 + \langle H, \xi \rangle - |x^\top|^2 \\ &= 2 + |\xi|^2 - (|x|^2 + \langle H, \xi \rangle). \end{aligned}$$

□

**Proposition 3.7** *Let  $M^2$  be oriented and compact. If*

$$|h|^2 + |H - \xi|^2 \leq |\xi|^2 + 4,$$

*then*

$$|h|^2 + |H - \xi|^2 \equiv |\xi|^2 + 4 \tag{3.13}$$

*and  $x(M^2)$  is a topological torus.*

*Proof* By Lemma 3.6,

$$\begin{aligned} \int_M |H - \xi|^2 dV_M &= \int_M (|\xi|^2 + 2(|H|^2 - \langle H, \xi \rangle) - |H|^2) dV_M \\ &= \int_M (|\xi|^2 + 4 - |H|^2) dV_M. \end{aligned} \tag{3.14}$$

Let  $K$  be the Gauss curvature of  $M^2$ . Then the Gauss equation gives that

$$2K = |H|^2 - |h|^2.$$

Denote by  $\text{gen}(M^2)$  the genus of  $M^2$ . Then from the Gauss-Bonnet theorem and (3.14) it follows that

$$\begin{aligned} 8\pi(1 - \text{gen}(M^2)) &= 2 \int_M K dV_M = \int_M (|H|^2 - |h|^2) dV_M \\ &= \int_M (|\xi|^2 + 4 - (|h|^2 + |H - \xi|^2)) dV_M \geq 0, \end{aligned} \tag{3.15}$$

implying that  $\text{gen}(M^2) \leq 1$ . So  $M^2$  is topologically either a 2-sphere or a torus. But Proposition 2.3 excludes the first possibility. So  $\text{gen}(M^2) = 1$  and (3.13) is proved. □

**Lemma 3.8** *Let  $p_0 \in M^2$  be a point where  $|x|^2$  attains its minimum on  $M^2$ . If  $M^2$  is orientable, compact and*

$$|h|^2 + |H - \xi|^2 = \text{const},$$

*then*

$$\nabla^\perp H(p_0) = 0, \quad (\nabla h)(p_0) = 0. \tag{3.16}$$

*Proof* Since  $(|x|^2)_{,j} = 0, 1 \leq j \leq 2$  at  $p_0$ , it holds that  $\langle x, e_j \rangle(p_0) = 0, 1 \leq j \leq 2$ . So by (3.3) we have

$$H_{,i}^{k*} = 0, 1 \leq i, k \leq 2, \quad |H - \xi|_{,i}^2 = 2 \sum_k (H - \xi)^{k*} H_{,i}^{k*} = 0, \quad 1 \leq i \leq 2 \quad \text{at } p_0 \tag{3.17}$$

where the first set of equalities are exactly  $\nabla^\perp H(p_0) = 0$ , which give

$$h_{11,1}^{1*} + h_{22,1}^{1*} = 0, \quad h_{11,2}^{1*} + h_{22,2}^{1*} = 0, \quad h_{11,1}^{2*} + h_{22,1}^{2*} = 0, \quad h_{11,2}^{2*} + h_{22,2}^{2*} = 0. \tag{3.18}$$

On the other hand, from

$$|h|^2 + |H - \xi|^2 = \text{const}, \tag{3.19}$$

we obtain

$$|h|_{,k}^2 + |H - \xi|_{,k}^2 \equiv 0, \quad 1 \leq k \leq 2, \tag{3.20}$$

which with (3.17) implies that

$$(|h|^2)_{,k} = 0, \quad 1 \leq k \leq 2 \quad \text{at } p_0.$$

Since

$$|h|^2 = (h_{11}^{1*})^2 + 2(h_{12}^{1*})^2 + (h_{22}^{1*})^2 + (h_{11}^{2*})^2 + 2(h_{12}^{2*})^2 + (h_{22}^{2*})^2,$$

we find that

$$h_{11}^{1*}h_{11,1}^{1*} + 2h_{12}^{1*}h_{12,1}^{1*} + h_{22}^{1*}h_{22,1}^{1*} + h_{11}^{2*}h_{11,1}^{2*} + 2h_{12}^{2*}h_{12,1}^{2*} + h_{22}^{2*}h_{22,1}^{2*} = 0, \tag{3.21}$$

$$h_{11}^{1*}h_{11,2}^{1*} + 2h_{12}^{1*}h_{12,2}^{1*} + h_{22}^{1*}h_{22,2}^{1*} + h_{11}^{2*}h_{11,2}^{2*} + 2h_{12}^{2*}h_{12,2}^{2*} + h_{22}^{2*}h_{22,2}^{2*} = 0 \tag{3.22}$$

hold at  $p_0$ . From (2.11) and (3.18) we get

$$h_{22,1}^{1*} = -h_{11,1}^{1*}, \quad h_{22,2}^{1*} = -h_{11,2}^{1*}, \quad h_{22,2}^{2*} = h_{11,1}^{2*} \quad \text{at } p_0. \tag{3.23}$$

Since, by (2.2) and (2.11), both  $h_{ij}^{k*}$  and  $h_{ij,l}^{k*}$  are totally symmetric, we obtain by (3.23), (3.21) and (3.22) that

$$(h_{11}^{1*} - 3h_{22}^{1*})h_{11,1}^{1*} - (h_{22}^{2*} - 3h_{11}^{2*})h_{11,2}^{1*} = 0, \tag{3.24}$$

$$(h_{22}^{2*} - 3h_{11}^{2*})h_{11,1}^{1*} + (h_{11}^{1*} - 3h_{22}^{1*})h_{11,2}^{1*} = 0 \quad \text{at } p_0. \tag{3.25}$$

We claim that

$$(\nabla h)(p_0) = 0. \tag{3.26}$$

Otherwise, we should have  $(h_{11,1}^{1*})^2 + (h_{11,2}^{1*})^2 \neq 0$  at  $p_0$ . Then from (3.24) and (3.25) it follows that

$$(h_{11}^{1*} - 3h_{22}^{1*})^2 + (h_{22}^{2*} - 3h_{11}^{2*})^2 = 0 \quad \text{at } p_0.$$

Thus

$$|h|^2(p_0) = \frac{4}{3}((h_{11}^{1*})^2 + (h_{22}^{2*})^2), \quad |H|^2(p_0) = \frac{16}{9}((h_{11}^{1*})^2 + (h_{22}^{2*})^2). \tag{3.27}$$

Now by the definition of  $p_0$  and Lemma 3.6,

$$0 \leq \frac{1}{2} \Delta |x|^2(p_0) = 2 - \langle H, H - \xi \rangle(p_0).$$

It follows that

$$\begin{aligned} |h|^2 + |H - \xi|^2 &= (|h|^2 + |H - \xi|^2)(p_0) \\ &= \frac{3}{4} |H|^2(p_0) + 2 \langle H, H - \xi \rangle(p_0) - |H|^2(p_0) + |\xi|^2 \\ &= |\xi|^2 + 2 \langle H, H - \xi \rangle(p_0) - \frac{1}{4} |H|^2(p_0) \end{aligned} \tag{3.28}$$

$$\leq |\xi|^2 + 4. \tag{3.29}$$

Therefore, by Proposition 3.7,  $|h|^2 + |H - \xi|^2 = |\xi|^2 + 4$ . But it is easy to see that the equality in (3.29) holds if and only if  $|H|^2(p_0) = 0$  and  $\langle H, H - \xi \rangle(p_0) = 2$ , which is of course not possible! This contradiction proves the above claim and completes the proof of Lemma 3.8.  $\square$

*Remark 3.1* Our main observation here is that, if  $p_0 \in M^2$  is a minimum point of  $|x|^2$  then

$$x^\top(p_0) = \sum_i \langle x, e_i \rangle e_i(p_0) = 0,$$

implying

$$\nabla^\perp H(p_0) = \nabla^\perp (H - \xi)(p_0) = 0.$$

In particular,  $p_0$  is also a minimum point of  $|x^\top|^2$ .

**Proposition 3.9** *Let  $x : M^2 \rightarrow \mathbb{C}^2$  be a compact and oriented Lagrangian  $\xi$ -submanifold. Suppose that*

$$|h|^2 + |H - \xi|^2 = |\xi|^2 + 4$$

and  $\langle H, \xi \rangle$  is constant. If one of the followings holds,

$$(1) |h|^2 \geq 2, \quad (2) |H|^2 \geq 2, \quad (3) |h|^2 \geq \langle H, H - \xi \rangle, \quad (4) \langle H, \xi \rangle \geq 0, \tag{3.30}$$

then  $|x|^2$  is a constant.

*Proof* As above, let  $p_0$  be a minimum point of  $|x|^2$ . Then, by Lemma 3.3 and Lemma 3.8, it holds at  $p_0$  that

$$\begin{aligned} 0 &= \frac{1}{2} \mathcal{L}(|h|^2 + |H - \xi|^2) \\ &= |h|^2 - \frac{1}{2} (|h|^2 - |H|^2) (3|h|^2 - 2|H|^2 + \langle H, H - \xi \rangle) + \langle H, H - \xi \rangle \\ &\quad - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*} - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} H^{k*} (H - \xi)^{l*}. \end{aligned} \tag{3.31}$$

Furthermore, from Lemma 3.4 and Lemma 3.5 it follows that, at  $p_0$

$$0 \leq \frac{1}{2} \Delta (|x^\top|^2) = 2 - 2 \langle H, H - \xi \rangle + \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*}, \tag{3.32}$$

$$0 = \frac{1}{2} \mathcal{L}(\langle H, \xi \rangle) = \frac{1}{2} (\langle H, \xi \rangle - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*}), \tag{3.33}$$

implying

$$-\sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*} \leq 2 - 2\langle H, H - \xi \rangle, \tag{3.34}$$

and

$$\begin{aligned} &-\sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{l*} H^{k*} \\ &= -\sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} (H - \xi)^{k*} (H - \xi)^{l*} - \sum_{i,j,k,l} h_{ij}^{k*} h_{ij}^{l*} \xi^{k*} (H - \xi)^{l*} \\ &\leq 2 - 2\langle H, H - \xi \rangle - \langle H, \xi \rangle = 2 - \langle H, H - \xi \rangle - |H|^2. \end{aligned}$$

Consequently, we have at  $p_0$

$$\begin{aligned} 0 &= \frac{1}{2} \mathcal{L}(|h|^2 + |H - \xi|^2) \\ &\leq -\frac{1}{2} (|h|^2 - |H|^2) (3|h|^2 - 2|H|^2 + \langle H, H - \xi \rangle) \\ &\quad + |h|^2 - |H|^2 + 2(2 - \langle H, H - \xi \rangle). \end{aligned}$$

On the other hand, from

$$|h|^2 + |H - \xi|^2 = |\xi|^2 + 4,$$

we know that

$$|h|^2 - |H|^2 = 2(2 - \langle H, H - \xi \rangle) \geq 0 \quad \text{at } p_0. \tag{3.35}$$

Thus, if one of (3.30) holds, then at  $p_0$

$$\begin{aligned} 0 &= \frac{1}{2} \mathcal{L}(|h|^2 + |H - \xi|^2) \\ &\leq -\frac{1}{2} (|h|^2 - |H|^2) (2|h|^2 - |H|^2 + 4 - \langle H, H - \xi \rangle) + 2(|h|^2 - |H|^2) \\ &= -\frac{1}{2} (|h|^2 - |H|^2) (2|h|^2 - |H|^2 - \langle H, H - \xi \rangle) \\ &= -\frac{1}{2} (|h|^2 - |H|^2) (|h|^2 - |H|^2 + |h|^2 - \langle H, H - \xi \rangle) \\ &= -\frac{1}{2} (|h|^2 - |H|^2) (|h|^2 - |H|^2 + |h|^2 - 2 + 2 - \langle H, H - \xi \rangle) \\ &= -\frac{1}{2} (|h|^2 - |H|^2) (2(|h|^2 - |H|^2) + \langle H, \xi \rangle) \\ &= -\frac{1}{2} (|h|^2 - |H|^2) (2(|h|^2 - |H|^2) + |H|^2 - 2 + 2 - \langle H, H - \xi \rangle) \leq 0. \end{aligned}$$

Consequently

$$|h|^2 - |H|^2 = 2 - \langle H, H - \xi \rangle = 0 \quad \text{at } p_0. \tag{3.36}$$

It follows that

$$\begin{aligned}
 |x|^2 + \langle H, \xi \rangle &\geq |x|^2(p_0) + \langle H, \xi \rangle(p_0) \\
 &= |H - \xi|^2(p_0) + \langle H, \xi \rangle(p_0) \\
 &= \langle H, H - \xi \rangle(p_0) + |\xi|^2 \\
 &= |\xi|^2 + 2.
 \end{aligned}$$

This together with Lemma 3.1 (for  $u = 1, v = |x|^2$ ) and 3.6 gives that

$$0 = \int_M \frac{1}{2} \mathcal{L}(|x|^2) e^{-\frac{|x|^2}{2}} dV_M = \int_M (|\xi|^2 + 2 - (|x|^2 + \langle H, \xi \rangle)) e^{-\frac{|x|^2}{2}} dV_M \leq 0$$

implying that  $|x|^2 + \langle H, \xi \rangle = |\xi|^2 + 2$ . In particular,  $|x|^2 = \text{const}$ . □

**Proposition 3.10** *Let  $x : M^n \rightarrow N^n$  be a Lagrangian submanifold in a Kähler manifold  $N^n$ . If both  $M^n$  and  $N^n$  are flat, then around each point  $p \in M^n$ , there exists some orthonormal frame field  $\{e_i, e_i^*\}$  with  $e_i^* = J e_i$  ( $1 \leq i \leq n$ ), such that*

$$h_{ij}^{k*} := \langle h(e_i, e_j), e_k^* \rangle = \lambda_i^{k*} \delta_{ij}, \quad 1 \leq i, j, k \leq n.$$

*Proof* For  $p \in M^n$ , we pick an orthonormal tangent frame  $\{\bar{e}_i\}$  and an orthonormal normal frame  $\{\bar{e}_\alpha\}_{n+1 \leq \alpha \leq 2n}$ . Define

$$\bar{h}_{ij}^\alpha = \langle h(\bar{e}_i, \bar{e}_j), \bar{e}_\alpha \rangle.$$

Since  $M^n$  is flat,  $x$  is Lagrangian and  $N^n$  is Kähler,  $T^\perp M^n$  is also flat with respect to the normal connection. By the Ricci equation and the flatness of  $N^n$ ,

$$0 = \langle R^\perp(e_i, e_j)e_\alpha, e_\beta \rangle = \sum_k \left( h_{ik}^\beta h_{jk}^\alpha - h_{ik}^\alpha h_{jk}^\beta \right).$$

Hence we can choose another orthonormal tangent frame  $\{e_i\}$  such that

$$h_{ij}^\alpha := \langle h(e_i, e_j), e_\alpha \rangle = \mu_i^\alpha \delta_{ij}.$$

Write  $e_{k^*} = \sum_\alpha a_{k^*}^\alpha e_\alpha$ . Then

$$\begin{aligned}
 h_{ij}^{k*} &= \langle h(e_i, e_j), e_{k^*} \rangle = \langle h(e_i, e_j), \sum_\alpha a_{k^*}^\alpha e_\alpha \rangle = \sum_\alpha a_{k^*}^\alpha \langle h(e_i, e_j), e_\alpha \rangle \\
 &= \sum_\alpha a_{k^*}^\alpha h_{ij}^\alpha = \sum_\alpha a_{k^*}^\alpha \mu_i^\alpha \delta_{ij} = \lambda_i^{k*} \delta_{ij} \quad \text{with } \lambda_i^{k*} := \sum_\alpha a_{k^*}^\alpha \mu_i^\alpha.
 \end{aligned} \tag{3.37}$$

Thus Proposition 3.10 is proved. □

*Proof of Theorem* Since  $|x|^2 = \text{const}$ ,  $|H|^2 - |h|^2 \leq 0$  on  $M^2$  by (3.35). Then it follows from (3.15) that  $|H|^2 - |h|^2 \equiv 0$  which with the Gauss equation shows that  $M^2$  is flat. Therefore, due to Proposition 3.10, we can choose  $\{e_1, e_2\}$  such that

$$h_{12}^{1*} = h_{12}^{2*} = 0. \tag{3.38}$$

It follows that

$$h_{22}^{1*} = h_{11}^{2*} = 0. \tag{3.39}$$

On the other hand, since  $\nabla h \equiv 0$ , we have

$$0 = \sum h_{ijl}^{k*} \theta_l = dh_{ij}^{k*} - \sum h_{lj}^{k*} \theta_{il} - \sum h_{il}^{k*} \theta_{jl} + \sum h_{ij}^{p*} \theta_{p^*k^*}.$$

It follows that

- (1)  $i = j = 1, k = 2$ , we get  $h_{11}^{1*}\theta_{1*2*} = 0$ ,
- (2)  $i = j = 2, k = 1$ , we get  $h_{22}^{2*}\theta_{2*1*} = 0$ ,
- (3)  $i = j = k = 1, 0 = dh_{11}^{1*} + h_{11}^{1*}\theta_{1*1*} = dh_{11}^{1*}$ , we get  $h_{11}^{1*} = \text{const}$ ,
- (4)  $i = j = k = 2, 0 = dh_{22}^{2*} + h_{22}^{2*}\theta_{2*2*} = dh_{22}^{2*}$ , we get  $h_{22}^{2*} = \text{const}$ .

Since  $x$  can not be totally geodesic,  $(h_{11}^{1*})^2 + (h_{22}^{2*})^2 = |h|^2 \neq 0$  by (3.38) and (3.39). Without loss of generality we can assume that  $h_{11}^{1*} \neq 0$ . Thus, by (1), we have  $\theta_{1*2*} = 0$  which with  $\nabla J = 0$  shows that  $\theta_{12} = 0$ . Now let

$$\tilde{e}_1 = e_1 \cos \theta - e_2 \sin \theta, \quad \tilde{e}_2 = e_1 \sin \theta + e_2 \cos \theta$$

be another frame field such that

$$\tilde{h}_{ij}^{k*} := \langle h(\tilde{e}_i, \tilde{e}_j), \tilde{e}_{k*} \rangle = \tilde{\lambda}_i^{k*} \delta_{ij}.$$

Then a direct computation shows that

$$\sin \theta \cos \theta (h_{11}^{1*} \cos \theta + h_{22}^{2*} \sin \theta) = \sin \theta \cos \theta (h_{11}^{1*} \sin \theta - h_{22}^{2*} \cos \theta) = 0.$$

Since  $(h_{11}^{1*})^2 + (h_{22}^{2*})^2 \neq 0$ , we have  $\sin 2\theta = 0$ , that is  $\theta = 0$ , or  $\frac{\pi}{2}$  or  $\pi$ . Clearly, by choosing  $\theta = \frac{\pi}{2}$ , we can change the sign of  $h_{11}^{1*}h_{22}^{2*}$ ; while by choosing  $\theta = \pi$ , we can change the sign of both  $h_{11}^{1*}$  and  $h_{22}^{2*}$ . Thus we can always assume that  $h_{11}^{1*} > 0$  and  $h_{22}^{2*} \geq 0$ . It then follows that  $\{e_1, e_2\}$  can be uniquely determined and, in particular, is globally defined.

Now we claim that  $h_{22}^{2*} > 0$ . In fact, if  $h_{22}^{2*} = 0$ , then  $\theta_{22*} = 0$ . This with  $\theta_{12} = \theta_{21*} = 0$  shows that  $e_2$  is constant in  $\mathbb{C}^2$  along  $M$  which means that  $M$  contains a family of parallel straight lines, contradicting the assumption that  $M$  is compact.

Define

$$V_1 = \text{Span}_{\mathbb{R}}\{e_1, e_{1*}\} = \text{Span}_{\mathbb{C}}\{e_1\}, \quad V_2 = \text{Span}_{\mathbb{R}}\{e_2, e_{2*}\} = \text{Span}_{\mathbb{C}}\{e_2\}. \quad (3.40)$$

Since

$$\begin{aligned} de_1 &= \nabla e_1 + \sum h_{1j}^{k*}\theta_j e_{k*} = h_{11}^{1*}\theta_1 e_{1*} \in V_1, \\ de_{1*} &= Jde_1 = h_{11}^{1*}\theta_1 J e_{1*} = -h_{11}^{1*}\theta_1 e_1 \in V_1, \end{aligned}$$

we know that  $V_1$  is a 1-dimensional constant complex subspace of  $\mathbb{C}^2$ .

Similarly,  $V_2$  is also a 1-dimensional constant complex subspace of  $\mathbb{C}^2$ . Furthermore,  $V_1$  and  $V_2$  are clearly orthogonal. So, up to a holomorphic isometry on  $\mathbb{C}^2$ , we can assume that  $V_1 = \mathbb{C}^1, V_2 = \mathbb{C}^1$  so that  $\mathbb{C}^2 = V_1 \times V_2$ . Write

$$x = (x^1, x^2) \in V_1 \times V_2 \equiv \mathbb{C}^2.$$

Then

$$0 = e_i(|x|^2) = e_i(|x^1|^2) + e_i(|x^2|^2), \quad i = 1, 2,$$

which with the definitions (3.40) of  $V_1$  and  $V_2$  shows that

$$e_1(|x^1|^2) = e_2(|x^1|^2) = 0, \quad e_1(|x^2|^2) = e_2(|x^2|^2) = 0,$$

that is,

$$|x^1|^2 = \text{const}, \quad |x^2|^2 = \text{const}.$$

It is easily seen that both  $|x^1|^2$  and  $|x^2|^2$  are positive since  $x$  is non-degenerate. Thus we can write  $|x^1|^2 = a^2 > 0$ ,  $|x^2|^2 = b^2 > 0$ . It then follows that  $M^2 = \mathbb{S}^1(a) \times \mathbb{S}^1(b)$ .

Finally, by the assumption (1.4),  $|h|^2 \geq 2$ , it should holds that  $a^2 + b^2 \geq 2a^2b^2$ .  $\square$

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