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# **Multi-phase-field approach to fracture demonstrating the role of solid-solid interface energy on crack propagation**

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**Abstract** A multi-phase-field approach for crack propagation considering the contribution of the interface energy is presented. The interface energy is either the grain boundary energy or the energy between a pair of solid phases and is directly incorporated into to the Ginzburg–Landau equation for fracture. The finite difference method is utilized to solve the crack phasefield evolution equation and fast Fourier method is used to solve the mechanical equilibrium equation in three dimensions for a polycrystalline material. The importance of the interface (grain boundary) energy is analyzed numerically for various model problems. The results show how the interface energy variations change the crack trajectory between the intergranular and transgranular fracture.

**Keywords** Multi-phase-field approach · Interfacial fracture · Polycrystalline material · Intergranular fracture · Transgranular fracture

**Mathematics Subject Classification** MSC code1 · MSC code2 · more

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### **1 Introduction**

Interface energy plays an important role in materials science and many engineering applications, such as adhesion (Yin etal. [2022](#page-12-0)), void dynamics (Ghaedi and Javanbakht [2021\)](#page-11-0), wetting (Jafarzadeh et al. [2019](#page-11-1)), phase transformations (Levitas and Javanbakht [2011](#page-12-1)), and fracture (Jafarzadeh et al. [2019\)](#page-11-1). The interface energy can be controlled for designing materials with specific properties and improving the performance of devices that rely on interfaces, such as electronic devices, solar cells, and batteries. Understanding the mechanisms and characteristics of interfacial fracture is crucial for designing materials and structures with improved resistance to failure, as well as for developing strategies to tailor interfacial properties for specific applications.

The phase-field method provides a powerful computational tool to study a range of complex phenomena, such as plasticity (Levitas and Javanbakht [2012](#page-12-2)), twinning (Clayton and Knapp [2011](#page-11-2), Amirian et al. [2022a,](#page-11-3) Amirian et al. [2022b](#page-11-4)), martensitic phase transformations (Levitas and Preston [2002](#page-12-3), Rahbar et al. [2022,](#page-12-4) Shchyglo et al. [2019](#page-12-5)), damage (Fantoni et al. [2020](#page-11-5)), fatigue (Mesgarnejad et al. [2019](#page-12-6); Grossman-Ponemon et al. [2022\)](#page-11-6), and fracture (Msekh et al. [2016;](#page-12-7) Amiri et al. [2016;](#page-11-7) Karma et al. [2001,](#page-12-8) Hofacker and Miehe [2012,](#page-11-8) Clayton and Knap [2014](#page-11-9), Farrahi et al. [2020,](#page-11-10) Jafarzadeh and Mansoori [2020\)](#page-11-11). Within the multiphase-field approach for fracture, the crack surfaces and the solid-solid interfaces each are represented by

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the continuous fields named "order parameter" as a diffuse boundary with finite thickness, rather than a sharp and discontinuous surface/interface. The order parameter in phase-field models have different interpretations. In the context of fracture, cracks represent narrow regions with a strong variation of the associated order parameter. Then the phase-field approach is used to capture crack nucleation, propagation, branching, and coalescence in a wide range of materials and structures more efficiently than using sharp interface methods. The problem of interface energy and interface stresses has been addressed in different phenomena using the phase-field approach (Ghaedi and Javanbakht [2021](#page-11-0), Jafarzadeh et al. [2019,](#page-11-1) Levitas and Javanbakht [2011\)](#page-12-1). Recently, the surface stresses were incorporated into fracture both at small (Levitas et al. [2018](#page-12-9), Jafarzadeh et al. [2020](#page-11-12)) and large strains (Jafarzadeh et al. [2022\)](#page-11-13). However, the number of publications considering the effect of interface energy within the phasefield approach to fracture is scarce.

Spatschek et al. [2007](#page-12-10) presented a continuum theory for elastically induced phase transitions to describe fracture by using double-well potential. They also generalized their model to multi-phase simulations. Note that a comprehensive comparison between single-well potentials and double-well potentials is presented in Levitas et al. [2018](#page-12-9). A phase-field model for fracture in ferroelectric polycrystals was presented by Abdollahi and Arias [2012.](#page-11-14) They interpolated the critical energy release rate in a polycrystal between a maximum value for the inside of the grains and its minimum indicating the ratio of the critical fracture energy of the grain boundary to that of the grain interior. By changing this ratio, they studied the transition between the intergranular and transgranular modes of crack growth. They used single-well potential for crack in the spirit of Francfort and Marigo [\(1998\)](#page-11-15). Oshima et al. [\(2014](#page-12-11)) constructed a multi-phase-field crack model allowing to simulate crack propagation in Polycrystalline materials. They used the multi-phase-field model proposed by Steinbach and Pezzolla [1999](#page-12-12) and utilized the doublewell potential. Hossain et al. [2014](#page-11-16) proposed a definition for the effective toughness of a heterogeneous media that is a material property, independent of the details of the boundary condition. They also proposed a numerical approach to compute the effective toughness. Phasefield modeling of crack propagation in multi-phase systems based on Griffith's theory was presented by Schneider et al. [2016](#page-12-13). They combined the singleobstacle potential for fracture with a multi-obstacle potential for multiple grains adopted from Nestler et al. [2005.](#page-12-14) The comparison between single-obstacle potential and single-well potential for fracture is made in Schneider et al.  $(2016)$  and more general and more comprehensive in Jafarzadeh et al. [2022](#page-11-13). They concluded that reaching the grain boundary region, the crack tip velocity rises because the interfacial energy degrades additionally Schneider et al. [2016.](#page-12-13) The same conclusion has been made when crack reached the austenitemartensite interface (Jafarzadeh et al. [2019\)](#page-11-1). Hansen Dörr et al. [2019](#page-11-17) proposed a phase-field approach for failure of the interface between two possibly dissimilar materials where the fracture toughness of an adhesive interface is smaller than that in the bulk of the material. While all previous models use a single-crack order parameter, a phase-field model with multiple crack order parameters was introduced by Schöller et al. [2022.](#page-12-15) Each of the crack order parameters only tracks the damage in the corresponding phase. This results in multiple evolution equations, each of which has a constant crack surface energy. They showed the applicability of the model to a 3D system. However, all other studies mentioned above had been conducted in 2D.

Here we propose a new approach based on considering the interface energy dependent on the fracture parameter. In the most general case, one can assume that the interface energy vanishes when crack goes through the interface. Jafarzadeh et al. [2019](#page-11-1) used this approach to study coupled fracture and martensitic behaviors.We take the multi-solid-phase potential from Steinbach [2009](#page-12-16) and crack potential from Jafarzadeh et al. [2022.](#page-11-13) The current crack potential has the advantages of both single-obstacle potential and single-well potential.

In the following, vectors and tensors are designated with boldface symbols. Contractions of tensors  $A =$  $A_{ij}$  and  $\mathbf{B} = B_{ij}$  over one and two indices are  $\mathbf{A} \cdot \mathbf{B} =$  ${A_{ij}B_{jk}}$  and  $A : B = A_{ij}B_{jk}$ , respectively.  $\nabla$  stands for the gradient operator.

# **2 Phase-field approach to fracture in one-phase material**

For the crack order parameter, we introduce  $\phi_c$  (subscript *c* stands for crack) and include it later as an internal variable in the free energy functional.  $\phi_c$  characterizes bond weakening in a solid and continuously describes the sample into the following three regions:

intact solid ( $\phi_c = 0$ ), completely broken bonds ( $\phi_c =$ 1), and a finite width in which the material is partially broken  $(0 < \phi_c < 1)$ . In all these regions the continuity in displacement field is ensured as a feature of phase-field approach. The evolution of the damage order parameter occurs mainly in the crack tip zone. Thus, the damage growth is characterized by the evolution of the crack phase-field determined by the Ginzburg-Landau equation.

The free energy density formulation follows the well-established phase-field approach to fracture (Levitas et al. [2018,](#page-12-9) Jafarzadeh et al. [2022](#page-11-13)):

$$
\psi = I(\phi_c)\Psi^e(\boldsymbol{\varepsilon}) + Af(\phi_c) + \frac{\beta}{2} |\nabla \phi_c|^2, \tag{1}
$$

where the first term is the elastic free energy as a function of the strain tensor *ε*. The second and the third terms produce fracture energy which are the cohesion and gradient contributions, respectively.  $\Psi^e$  is the elastic energy of damage-free strained material.  $I(\phi_c) = (1 - \phi_c)^2$  is the monotonously decreasing degradation function from 1 at intact phase ( $\phi_c = 0$ ) to 0 at the broken phase ( $\phi_c = 1$ ), which is well-accepted in the literature (Francfort and Marigo [1998,](#page-11-15) Levitas et al. [2018,](#page-12-9) Jafarzadeh et al. [2022\)](#page-11-13).  $f(\phi_c)$  is the interpolation function which is adopted from Jafarzadeh et al. [2022](#page-11-13) in the form of  $f(\phi_c) = \frac{k\phi_c^2 + \phi_c}{k+1}$ . However we choose  $k = 1$  as

<span id="page-2-3"></span>
$$
f(\phi_c) = \frac{\phi_c^2 + \phi_c}{2},\tag{2}
$$

which satisfies the mandatory conditions  $f(0) = 0$ at  $\phi_c = 0$  and  $f(1) = 1$  at  $\phi_c = 0$ . This potential allows for finite region of the damaged zone in contrast to the single-well potential  $f(\phi_c) = \phi_c^2$  as a special case of  $f(\phi_c) = \frac{k\phi_c^2 + \phi_c}{k+1}$  in the limit of infinite *k*. This will be shown in Eq. [9](#page-3-0) below. In addition, it produces more physical equilibrium stress–strain curve than single-obstacle potential  $f(\phi_c) = \phi_c$  as a special case of  $f(\phi_c) = \frac{k\phi_c^2 + \phi_c}{k+1}$  where  $k = 0$ . The complete analysis can be found in Jafarzadeh et al. [2022](#page-11-13). *A* and  $\beta \geq 0$  are the maximum cohesion energy for the completely-damaged state and the gradient coefficient, respectively. Both will be determined below.

The Ginzburg–Landau evolution equation which describes crack nucleation and propagation reads

<span id="page-2-0"></span>
$$
\dot{\phi_c} = -L \delta \psi / \delta \phi_c = -L \left( \frac{\partial \psi}{\partial \phi_c} - \nabla \cdot \left( \frac{\partial \psi}{\partial \nabla \phi_c} \right) \right)
$$

$$
= -L \left( \frac{\partial \psi}{\partial \phi_c} - \beta \nabla^2 \phi_c \right), \tag{3}
$$

where *L* is the kinetic coefficient and we used the functional derivative δψ/δφ*c*.

The calculation of the elastic energy from unloaded state to completely damaged state gives us the maximum cohesion energy, *A* ( Levitas et al. [2018\)](#page-12-9):

$$
\int_0^{\epsilon_c} \sigma \, : d\mathbf{\varepsilon} = A,\tag{4}
$$

where  $\sigma = \frac{\partial \psi}{\partial \varepsilon}$  is the stress tensor and  $\varepsilon_c$  is the strain at  $\phi_c = 1$ . For uniaxial tension, stress work is equal to the area under the stress–strain curve (Levitas et al. [2018\)](#page-12-9).

The elastic stress work within the volume  $S(2d)$  corresponding to two diffuse crack volumes, where *d* is defined as surface width length scale, is equal to the crack resistance energy *G* which is defined per unit area. Thus,  $A(2Sd) = GS$  and we obtain

<span id="page-2-2"></span>
$$
A = \frac{G}{2d}.\tag{5}
$$

The fracture energy resulting from creation of two surfaces is the non-mechanical energy excess with respect to the intact phase. On the other hand, the stationary solution of Ginzburg-Landau equation Eq. [3](#page-2-0) results in  $\psi^c = \psi^{\nabla}$ , which means that the excess of the local energy is equal to the gradient energy at equilibrium (Levitas et al. [2018](#page-12-9)). Then we can evaluate the fracture energy in 1D as follows

<span id="page-2-1"></span>
$$
G = \int_{-\infty}^{\infty} (Af + \psi^{\nabla}) d\zeta = 2A \int_{-\infty}^{\infty} f d\zeta
$$
  
=  $4A \int_{-\infty}^{0} f d\zeta = 4A \int_{0}^{1} f \frac{d\zeta}{d\phi} d\phi$   
=  $2\sqrt{2A\beta} \int_{0}^{1} \sqrt{f} d\phi = 2\sqrt{2A\beta} Y,$  (6)

where  $\zeta$  is in the direction of normal to the diffuse planes and  $Y := \int_0^1 \sqrt{f} d\phi$  is a number less than unity that can be evaluated, at least numerically, for any interpolation function  $f(\phi_c)$ . It follows from Eq. [6,](#page-2-1) allowing for Eq. [5](#page-2-2) that:

$$
\beta = \frac{G^2}{8AY^2} = \frac{Gd}{4Y^2},\tag{7}
$$

where for the current potential, Eq. [2,](#page-2-3) gives  $\beta$  = 0.708*Gd*.

Note that at nano scale the elastic stress work within the volume *Sd* should be equal to the created surface energy. Thus,  $ASd = 2\gamma S$ , where  $\gamma$  is the surface energy per unit area. In addition,  $\int_{-\infty}^{\infty} (Af +$  $\psi^{\nabla}$ ) $d\xi = 2\gamma$  explains the difference between the nanoscale model (Levitas et al. [2018\)](#page-12-9) where the fracture energy is described purely by surface energy  $(A = \frac{2\gamma}{d})$ and  $\beta = \frac{\gamma d}{4Y_1^2}$ , and macroscale models where  $(A = \frac{G}{2d})$ and  $\beta = \frac{Gd}{4Y^2}$ ).

Finally, we obtain the free energy as:

<span id="page-3-1"></span>
$$
\psi = (1 - \phi_c)^2 \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}
$$
  
+ 
$$
\frac{G}{2d} \left( \frac{\phi_c^2 + \phi_c}{2} + 0.708d^2 | \nabla \phi_c |^2 \right), \qquad (8)
$$

where quadratic elastic energy is used and  $C$  is elastic moduli tensor of the intact state. Here, the elastic energy does not prevent fracture under compressive loads which is avoided in the following analysis. A new phase-field approach to fracture which treats the compressive loads as well is currently under development. The equivalence of the local energy and the gradient energy at free surfaces (the second and the third terms in Eq. [8\)](#page-3-1) is analytically solved in 1D which gives the stationary distribution of  $\phi_c = \phi_c(\zeta)$  in the form of

$$
\begin{cases} \frac{\sqrt{\phi_c} + \sqrt{\phi_c + 1}}{1 + \sqrt{2}} = e^{-0.42 \frac{|\zeta|}{d}} & \text{if } \zeta < \zeta_t; \\ 0 & \text{if } \zeta > \zeta_t, \end{cases} \tag{9}
$$

where  $\phi_c = 1$  corresponds to the separation plane at  $\zeta = 0$  and  $\zeta_t = 1.65d$  is the transition plane from the damaged state to the intact state. More detailed derivation of Eq. [9](#page-3-0) can be found in Jafarzadeh et al[.2022](#page-11-13).

# <span id="page-3-3"></span>**3 Multi-phase-field approach for for solid-solid phase transformation**

Multi-phase-field approach is used to deal with an arbitrary number of different phases or grains of the same phase, but distinct by their orientation. In this section, we do not consider solid-solid phase transformation, thus the evolution equations for  $\phi_{\alpha}$  is not used. Therefore, all we need from the multi-phase-field model is the interface energy contribution and interface profile which are taken from Steinbach's model (Steinbach [2009\)](#page-12-16). Figure [1](#page-4-0) shows the sharp and diffuse description of the interfaces and a triple junction in a three-phase system.

A set of order parameters  $\phi_{\alpha}$  is employed to describe different phases/grains in a multi-phase/ polycrystalline material. The  $\alpha = 1, \ldots, N$  subscripts stand for *N* number of solid phases. This set of the order parameters is used to distinguish each grain/phase from others either by its orientation or phase designation or both.  $\phi_{\alpha}$  continuously changes in the range from 0 to 1.  $\phi_{\alpha} = 1$  in the pure phase  $\alpha$ ,  $0 < \phi_{\alpha} < 1$  at the interface between phase  $\alpha$  and other phase(s), and  $\phi_{\alpha} = 0$ elsewhere.

The interface free energy per unit volume is given by

$$
\psi^{int} = \sum_{\alpha=1}^{N-1} \sum_{\beta=\alpha+1}^{N} \frac{4\sigma_{\alpha\beta}}{\eta_{\alpha\beta}} \left[ \phi_{\alpha}\phi_{\beta} - \frac{\eta_{\alpha\beta}^2}{\pi^2} \nabla \phi_{\alpha} \nabla \phi_{\beta} \right],
$$
\n(10)

$$
\sum_{\alpha=1}^{N} \phi_{\alpha} = 1, \tag{11}
$$

*N*

where  $\sigma_{\alpha\beta}$  and  $\eta_{\alpha\beta}$  are the interface energy and interface width corresponding to each pair of different phases.

<span id="page-3-2"></span><span id="page-3-0"></span>In a 1D case the interface energy for dual phasefield interface  $\psi_{1D}^{int} (N = 2, \phi) := \phi_2 = 1 - \phi_1$ ,  $\nabla \phi_2 =$  $-\nabla \phi_1$ ,  $\eta := \eta_{12}$ , and  $\sigma := \sigma_{12}$ ) reduces to

$$
\psi_{1D}^{int} = 4\sigma \left[ \frac{1}{\eta} \phi (1 - \phi) + \frac{\eta}{\pi^2} \left( \frac{\partial \phi}{\partial \xi} \right)^2 \right],\tag{12}
$$

where  $\xi$  is in the direction of normal to the diffuse binary interface planes (Steinbach [2009\)](#page-12-16). The equivalence of the local energy and the gradient energy (the first and the second terms in Eq. [12\)](#page-3-2) at stationary interfaces gives the stationary distribution of  $\phi = \phi(\xi)$  in the form of (Steinbach [2009\)](#page-12-16)

<span id="page-3-4"></span>
$$
\phi(\xi) = \frac{1}{2} - \frac{1}{2} \sin\left(\frac{\pi}{\eta}\xi\right),\tag{13}
$$

where  $\phi(\xi) = 0$  and 1 correspond to the two different solid phases (grains) at  $\xi = \frac{\overline{\eta}}{2}$  and  $-\frac{\eta}{2}$ , respectively.

<span id="page-4-0"></span>

# **4 Phase-field approach to fracture in multi-phase material**

#### 4.1 *Order parameters*

The coupled description of fracture phase-field with description of the solid  $\leftrightarrow$  solid phase transformation by means of the sum convention upon all phase-field  $\phi_c + \sum_{\alpha=1}^{N} \phi_\alpha = 1$  is presented in Schneider et al. [2016](#page-12-13). This leads to Allen-Cahn equations for each single phase in the multi-phase system, including broken phase, with different mobilities. In their model, in the variation of the functional with respect to the crack phase-field  $\phi_c$ , the interface energy of any arbitrary pair of solid phases  $\sigma_{\alpha\beta}$  is not listed explicitly. However, the evolution equation for the order parameters is coupled with the dual interactions between all phases. Therefore, the interface energy is taken into account for the evolution of the crack phase-field, even if the mobility of the solid-solid interfaces vanishes.

The main feature of the current model is to decouple the description of solid  $\leftrightarrow$  solid phase transformation from the description of fracture. The kinetic and thermodynamic coupling of fracture with solid  $\leftrightarrow$ solid transformation is introduced in terms of energy description, as will be shown below. The fracture order parameter  $\phi_c$  is equal to 0 in solid and 1 in broken phase. Solid phases are described by *N* order parameters  $\phi_{\alpha}$ such that  $\phi_{\alpha} = 1$  in phase  $\alpha$  and 0 in all other phases as discussed in the Sect. [3.](#page-3-3) For the solid  $\leftrightarrow$  solid phase transformations the sum constraint is imposed (Steinbach [2009\)](#page-12-16)

<span id="page-4-1"></span>
$$
\sum_{\alpha=1}^{N} \phi_{\alpha} = 1. \tag{14}
$$

In Schneider et al. [2016](#page-12-13) the fracture order parameter  $\phi_c$  is presented geometrically along with all solid order parameters  $\phi_{\alpha}$  and is included in the summation rule  $\phi_c + \sum_{\alpha=1}^{N} \phi_{\alpha} = 1$  as shown in Fig. [2a](#page-5-0). In contrast, in our approach  $\phi_c$  is described independent of  $\phi_{\alpha}$  as shown in Fig. [2b](#page-5-0) and does not belong to the solid order parameter plane. Figure [2b](#page-5-0) shows the geometrical description of Eq. [14](#page-4-1) which is a plane in the order parameter space passing through all the solid phase order parameters. The  $\phi_c$ -line (shown as a vector in Fig. [2b](#page-5-0)) starts from the solid plane where  $\phi_c = 0$  and  $\sum_{\alpha=1}^{N} \phi_{\alpha} = 1$ , and ends at the origin which is the broken phase with  $\phi_c = 1$  and still  $\sum_{\alpha=1}^{N} \phi_{\alpha} = 1$ . In this way we treat fracture as bond breaking rather than solid to crack phase transition or solid to gas phase transformation (Farrahi et al. [2020](#page-11-10)). Thus, diffuse crack surface is still a solid material with partially broken state. This allows us to capture surface induced phenomena (Jafarzadeh et al. [2019\)](#page-11-1).

### 4.2 *Energy description*

We define the interface energy as the excess of the energy at the interface with respect to bulk, if the interface and bulk are in the same level of damage. The "if" is because of the surface energy contribution in the case of damage, as the damaged interface has higher energy than the intact interface. Based on this definition, we assume that in the equilibrium state, the excess of the energy in the interface decreases with increase in damage. Under such assumption  $\sigma_{\alpha\beta}$  is replaced with  $(1 - \phi_c)^2 \sigma_{\alpha\beta}$  as shown in Fig. [3.](#page-5-1) In the intact solid where  $\phi_c = 0$  the interface energy is fully established and it degrades until the full damage happens where  $\phi_c = 1$  and the interface energy becomes zero. With such assumptions, the effect of the interface energy on driving force of the crack propagation is already taken into account which is especially important when a crack propagates through the interface. Here we assume that

<span id="page-5-0"></span>**Fig. 2** Schematics of the order parameter space and the transformation paths. **a** Model used in Schneider et al. [2016,](#page-12-13) where fracture and all the transformation paths lie within the same plane. The figure is shown for two solid phases  $(N = 2)$  and one broken phase at the top corner. **b** Current model for which fracture path is decoupled from the transformation paths between solid phases. The figure is shown for three solid phases  $(N = 3)$ and one broken phase at the origin





<span id="page-5-1"></span>**Fig. 3** Schematic of the interface energy for equilibrium interface. The area under each curve is equal to the degraded interface energy,  $(1 - \phi_c)^2 \sigma_{\alpha\beta}$ 

the interface width does not depend on damage parameter i.e., it does not depend on how strong the atomic bonds are.

Taking into account the above assumptions, the general free energy description for fracture and solid  $\leftrightarrow$ solid phase transformation reads

<span id="page-5-2"></span>
$$
\psi = (1 - \phi_c)^2 \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}
$$
  
+ 
$$
\frac{G}{2d} \left( \frac{\phi_c^2 + \phi_c}{2} + 0.708d^2 | \nabla \phi_c |^2 \right)
$$
  
+ 
$$
(1 - \phi_c)^2 \sum_{\alpha=1}^{N-1} \sum_{\beta=\alpha+1}^{N} \chi_c
$$
  

$$
\times \left[ \phi_\alpha \phi_\beta - \frac{\eta_{\alpha\beta}^2}{\pi^2} \nabla \phi_\alpha \nabla \phi_\beta \right],
$$
 (15)

$$
\sum_{\alpha=1} \phi_{\alpha} = 1,\tag{16}
$$

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where all material properties are phase-dependent and interpolated for any intermediate state as follows

$$
C = \sum_{\alpha=1}^{N} h^{\alpha} C^{\alpha},\qquad(17)
$$

$$
G = \sum_{\alpha=1}^{N} h^{\alpha} G^{\alpha},\tag{18}
$$

$$
d = \sum_{\alpha=1}^{N} h^{\alpha} d^{\alpha}.
$$
 (19)

The interpolation function for the parameters has the following general form

$$
h^{\alpha} = \frac{g(\phi_{\alpha})}{\sum_{\beta=1}^{N} g(\phi_{\beta})},\tag{20}
$$

which satisfies

$$
\sum_{\beta=1}^{N} h^{\alpha} = 1,\tag{21}
$$

and

$$
\frac{\partial h^{\alpha}}{\partial \phi_{\alpha}} = \frac{\dot{g}(\phi_{\alpha})}{\sum_{\beta=1}^{N} g(\phi_{\beta})}.
$$
\n(22)

 $g(0) = 0$  and  $g(1) = 1$  need to be satisfied as well. Thus,  $g(\phi_{\alpha})$  can be chosen as

$$
g(\phi_{\alpha}) = \phi_{\alpha}, \phi_{\alpha}^{2}, \phi_{\alpha}^{2}(3 - 2\alpha), \dots
$$
 (23)

In fact,  $g(\phi_{\alpha}) = \phi_{\alpha}$  gives the relative fraction of the solid phase  $\alpha$  and Eq. [15](#page-5-2) reduces to Eq. [8](#page-3-1) in the bulk (where without loss of generality  $\phi_{\alpha} = 1$ ). In the Ginzburg-landau equation for fracture, the interface energy of the solid phases  $\sigma_{\alpha\beta}$  is explicitly included because it is degraded during fracture as shown in Eq. [15.](#page-5-2) This is in agreement with the model in Schneider et al. [2016](#page-12-13) but treated differently. If the elastic moduli are constant and homogeneous, then the stress field is not affected by the grain boundaries, however by reaching the grain boundary region, the crack tip velocity rises because the interface energy  $\sigma_{\alpha\beta}$  degrades additionally and consequently contributes to the driving force for crack growth. In a similar way this is shown for the case with martensitic phase transformation in Jafarzadeh et al. [2019](#page-11-1).

One the other hand, the effect of different material parameters of different solid phases, such as fracture energy, on the deriving force for solid-solid phase transformation is taken into account. For example, it was shown that the difference between surface energies of martensite and austenite leads to wetting of the crack surface by martensite (Jafarzadeh et al. [2019](#page-11-1)).

Finally, we end up with only one evolution equation for fracture, Eq.  $3$ , with the following free energy formulation

<span id="page-6-0"></span>
$$
\psi = (1 - \phi_c)^2 \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}
$$
  
+ 
$$
\frac{G}{2d} \left( \frac{\phi_c^2 + \phi_c}{2} + 0.708d^2 | \nabla \phi_c |^2 \right)
$$
  
+ 
$$
(1 - \phi_c)^2 \sum_{\alpha=1}^{N-1} \sum_{\beta=\alpha+1}^{N} \frac{8\sigma_{\alpha\beta}}{\eta_{\alpha\beta}} \phi_{\alpha} \phi_{\beta};
$$
 (24)

where, for simplicity, the equivalence of the local potential term and gradient term is taken into account (Steinbach [2009,](#page-12-16) Farrahi et al. [2020](#page-11-10)). If the fracture is considered in polycrystalline material with equal grain boundary width  $\eta$  and grain boundary energy  $\sigma$ between any neighbor grains the Eq. [24](#page-6-0) reduces to

$$
\psi = (1 - \phi_c)^2 \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}
$$
  
+ 
$$
\frac{G}{2d} \left( \frac{\phi_c^2 + \phi_c}{2} + 0.708d^2 | \nabla \phi_c |^2 \right)
$$
  
+ 
$$
(1 - \phi_c)^2 \frac{8\sigma}{\eta} \phi (1 - \phi),
$$
 (25)

where the last term is 0 inside of the grains and has symmetric variation within the grain boundary. Similar procedure can be followed if one wants to use doublewell potential for the interface energy which leads to

$$
\psi = (1 - \phi_c)^2 \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}
$$
  
+ 
$$
\frac{G}{2d} \left( \frac{\phi_c^2 + \phi_c}{2} + 0.708d^2 | \nabla \phi_c |^2 \right)
$$
  
+ 
$$
(1 - \phi_c)^2 \frac{64\sigma}{\eta} \phi^2 (1 - \phi^2).
$$
 (26)

### **5 Results and discussions**

#### 5.1 Computational framework

The complete set of equations is implemented in Open-Phase [32] and solved to obtain the evolution of the crack and mechanical fields. The mechanical equilibrium problem is solved using the Khachatryan's elasticity model (Khachaturyan [1983\)](#page-12-17) and the Fourier solver algorithm similar to Hu and Chen [2001](#page-11-18). The periodic boundary conditions imposed by the Fourier space mechanical equilibrium solution require periodic simulation geometries. Therefore, periodic simulation geometries have been used in all simulations in this study. A 3.7 GHz (10 core) CPU was used to conduct the simulations. The 2D and 3D simulations lasted about 1 h and 1 day, respectively.

We highlight again that in the following examples the description of an actual grain growth is avoided and concentration is on the crack propagation. This is a well justified assumption because fracture and grain growth do not necessarily have the same order of kinetics. The coupled equations are: Ginzburg-Landau equation for crack in Eq. [3](#page-2-0) along with the free energy in Eq. [15,](#page-5-2) kinematics  $\boldsymbol{\varepsilon} = \frac{1}{2} (\nabla \boldsymbol{u} + \nabla \boldsymbol{u}^T)$ , constitutive law  $\boldsymbol{\sigma} = \boldsymbol{C} : \boldsymbol{\varepsilon}$ , and equilibrium equations  $\nabla \cdot \sigma = 0$ . *u* is displacement vector and superscript *T* stands for transpose operator. The grain structure is introduced by Voronoi tessellation in a periodic  $100nm \times 100nm$  unit cell. The elastic moduli for the linear elastic material are taken as  $C_{11} = C_{22} = C_{33} = 169GPa, C_{12} = C_{13} =$  $C_{23} = 138*GPa*$  and  $C_{44} = C_{55} = C_{66} = 15.5*GPa*$ . The kinetic coefficient is taken a very small value as  $L = 0.05(GPa·s)^{-1}$  (Unless otherwise stated.) so that the crack growth is quasi-static. The strain of  $\varepsilon = 0.023$ is applied at the lateral edges perpendicular to the initial crack line (in 2D examples) or crack surface (in 3D examples). To account for the interface energy cross effect with the surface energy we vary interface energy in different examples but keep the surface energy constant at 1*N*/*m*. Interface width and crack surface width



are  $\eta = 3nm$  and  $d = 6nm$ . Equation [9](#page-3-0) is used to introduce diffuse form of the initial crack surfaces at the middle of the simulation cells. Equation [13](#page-3-4) is used to introduce a fully established interface in the initial state of a planar interface. However, for triple and higher junctions there is no analytical solution available. Then, the diffuse interface is established numerically by allowing the system reaching its equilibrium configuration by phase-field evolution.

<span id="page-7-0"></span>

#### 5.2 2D example

In the first example we consider a straight crack line with a length of  $10nm$  (see Fig. [4a](#page-7-0) and f for the colour legend). Figure [4b](#page-7-0)–d shows the crack shape at the end of the load for different values of the interface energy. In Fig. [4b](#page-7-0) the interface energy has negligible effect on the crack path. In this regime crack goes through the grains leading to transgranular fracture. In Fig. [4c](#page-7-0), crack goes both through the grain and along the grain boundaries. In this regime, both transgranular and intergranular fracture are energetically comparable. However, in Fig. [4d](#page-7-0), crack is mostly going through the grain boundaries rather than through the grains interior, which indicates purely intergranular fracture. These three cases show how the interface energy can affect the crack propagation direction and crack configuration. The higher interface energy material has the more deviation of the crack path from a straight line to the direction of the oblique grain boundary occurs. The effect of crack kinetics is shown in Fig. [4e](#page-7-0) where the damage zone is larger due to increased crack mobility compared to the example shown in Fig. [4c](#page-7-0).

#### 5.3 3D example

In order to emphasize the crack in 3D visualization, in this section the crack is shown by a red colour contour where  $\phi_c$  < 0.9 and the grain boundaries are shown in gray with reduced opacity. Figure [5](#page-8-0) shows the grain structure with 15 different colours identifying 15 individual grains.

### *5.3.1 Centre-cracked plate*

In this example a straight through-thickness crack surface with a length of 10*nm* (see Fig. [6a](#page-9-0)) is considered. Figures [6b](#page-9-0)–d show the crack shape at the end of the load for different values of the interface energy. In Fig. [4b](#page-7-0) where the interface energy is small, the crack is controlled by mechanical stresses and goes straight through the grains interior. In Fig. [4c](#page-7-0),d crack trajectory is controlled both by elastic energy and interface energy, where higher interface energy leads to more broken grain boundaries. The fracture regime changes from transgranular fracture for low grain boundary energy (interface energy) to intergranular fracture for high grain boundary energy. The effect of crack kinetics



<span id="page-8-0"></span>**Fig. 5** Grain structure for fracture simulations

is illustrated by comparing Fig. [4c](#page-7-0) and Fig. [4e](#page-7-0). Similar to the 2D case the higher crack mobility leads to larger damage zone.

### *5.3.2 Penny-shaped crack*

In this example a fully embedded circular crack with the radius of 10*nm* is introduced as shown in Fig. [7a](#page-10-0). Figures [7b](#page-10-0)–d show the crack shape at the end of the load for different values of the interface energy. Figure [7b](#page-10-0) shows that the mechanical load is not sufficient for the crack to evolve even though there is a little interfacial driving force  $\sigma = 0.1N/m$  for fracture. Figure [7c](#page-10-0) shows the importance of interface energy in fracture: the crack does not evolve with  $\sigma = 0.1 N/m$  but evolves with  $\sigma = 1N/m$  under the same load. Figure [7d](#page-10-0) shows that the larger interface energy leads to larger damaged area. The effect of kinetic coefficient is shown in Fig. [7e](#page-10-0) similar to the previous examples.

# **6 Conclusion**

A multi-phase-field approach for fracture is presented. The model is applicable but not limited to the polycrystalline materials where there are solid-solid interfaces between the grains or phases.

The model has the following novel features:

<span id="page-9-0"></span>**Fig. 6** Fracture phase-field for different value of grain boundary  $\sigma$  energy and kinetic coefficient *L*



- (1) The interface energy is included from the very beginning into the model description. The free energy includes one additional term for the interface energy which degrades in the crack region.
- (2) The contribution of the interface energy is explicitly taken into Ginzburg-Landau equation for fracture.

Thus, the elastic energy release rate is not the only driving force for fracture but the relaxation of interface energy during damage evolution also drives the crack. The results can also be interpreted in terms of the classical fracture mechanics,  $G^e > \Delta G$ , where  $G^e$  is the elastic energy release. There are

<span id="page-10-0"></span>



two options for the change in surface energy of the crack,  $\Delta G$ : for crack propagating through the bulk  $\Delta G = G$  and for crack propagating through the interfaces  $\Delta G = G - \sigma$ . This means that in the current model the elastic energy threshold for fracture is lower if the interface energy relaxes during damage.

(3) The finite difference method is utilized to solve the complete system of the phase-field and mechanics equations in 2D and 3D for a polycrystalline material. The importance of the interface (grain boundary) energy is analyzed numerically for various model problems. The results show how the intergranular fracture is promoted by the high interface energy, while for low interface energy, crack is mostly controlled by the elastic energy release.

Note that other continuum-based approaches like peridynamics have shown promising results in fracture problems (Mehrmashhadi et al. [2020](#page-12-18)). Zhang et al[.2018](#page-12-19) used peridynamics approach to study a computational polycrystalline structure with the same average grain size as the samples used in experiments. Their peridynamic results helped explain the reasons behind the observed failure front supershear propagation speed, and the subsequent transition to sub-Rayleigh propagating localized cracks. They found that the presence of microstructure can be "protective" against dynamic fracture because material interfaces and anisotropy can slightly disperse waves that cause damage

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#### **Declarations**

**Conflict of interest** The authors declare no competing interests.

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