

## On Unconditional Bases of Reproducing Kernels in Fock-Type Spaces

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ABSTRACT. The existence of unconditional bases of reproducing kernels in the Fock-type spaces  $\mathcal{F}_\varphi$  with radial weights  $\varphi$  is studied. It is shown that there exist functions  $\varphi(r)$  of arbitrarily slow growth for which  $\ln r = o(\varphi(r))$  as  $r \rightarrow \infty$  and there are no unconditional bases of reproducing kernels in the space  $\mathcal{F}_\varphi$ . Thus, a criterion for the existence of unconditional bases cannot be given only in terms of the growth of the weight function.

KEY WORDS: Hilbert spaces, entire functions, unconditional bases, Riesz bases, reproducing kernels.

### Introduction

Given a subharmonic function  $\varphi(\lambda)$  in the plane, we set

$$\mathcal{F}_\varphi = \left\{ f \in H(\mathbb{C}) : \|f\|^2 := \int_{\mathbb{C}} |f(\lambda)|^2 e^{-2\varphi(\lambda)} dm(\lambda) < \infty \right\},$$

where  $H(\mathbb{C})$  is the space of entire functions and  $dm$  is the planar Lebesgue measure. Then  $\mathcal{F}_\varphi$  is a Hilbert space in which the point functionals  $\delta_\lambda: f \rightarrow f(\lambda)$  are continuous for all  $\lambda \in \mathbb{C}$ . Since the Hilbert spaces are self-dual, it follows that each of these functionals is generated by an element  $K(z, \lambda) \in \mathcal{F}_\varphi$  in the sense that

$$f(\lambda) = \int_{\mathbb{C}} f(z) \overline{K(z, \lambda)} e^{-2\varphi(z)} dm(z) \quad \text{for any } f \in \mathcal{F}_\varphi \text{ and } \lambda \in \mathbb{C}.$$

The function  $K(z, \lambda)$  is called the *reproducing kernel* of the space  $\mathcal{F}_\varphi$  (see [1]); we have  $K(z, \lambda) \in \mathcal{F}_\varphi$  for each fixed  $\lambda$ . Obviously,

$$\|\delta_\lambda\|^2 = \|K(\cdot, \lambda)\|^2 = K(\lambda, \lambda) \quad \text{for any } \lambda \in \mathbb{C}.$$

A basis  $\{h_n\}_{n=1}^\infty$  in a Hilbert space is said to be unconditional [2] if there exists a number  $P > 1$  such that

$$\frac{1}{P} \sum_{n=1}^N |a_n|^2 \|h_n\|^2 \leq \left\| \sum_{n=1}^N a_n h_n \right\|^2 \leq P \sum_{n=1}^N |a_n|^2 \|h_n\|^2$$

for any finite set of numbers  $a_n \in \mathbb{C}$ ,  $n = 1, \dots, N$ .

In [3] the existence of unconditional bases consisting of values  $K(z, \lambda_n)$  of the reproducing kernel in a space  $\mathcal{F}_\varphi$  was studied (in what follows, instead of “basis consisting of values of the reproducing kernel” we say “basis of reproducing kernels”). This paper considers twice continuously differentiable radial weights  $\varphi(z) = \varphi(|z|)$  such that the function

$$\rho(z) = (\Delta\varphi(z))^{-1/2} = \left( \frac{\varphi'(r)}{r} + \varphi''(r) \right)^{-1/2}, \quad r = |z|,$$

satisfies the conditions

$$0 < \inf_{r>0} \rho(r), \quad \rho(r) = o(r), \quad r \rightarrow \infty,$$

$$\rho(r + \rho(r)) = (1 + o(1))\rho(r), \quad r \rightarrow \infty, \quad \rho(2r) \asymp \rho(r), \quad r > 0.$$

We prove that if  $\ln^2 r = o(\varphi(r))$  as  $r \rightarrow \infty$ , then the space  $L_2(\varphi)$  has no unconditional bases of reproducing kernels, and if  $\varphi(r) = \ln^\alpha(r+1)$  for  $1 < \alpha \leq 2$ , then such bases exist.

If it was shown in [4] that the space  $\mathcal{F}_\varphi$  has unconditional bases of reproducing kernels if  $(\varphi(e^t))''$  is a nonincreasing positive function and  $\varphi$  satisfies additional regularity assumptions.

In this paper we show that there exist arbitrarily slowly growing functions  $\varphi(r)$  for which  $\ln r = o(\varphi(r))$  as  $r \rightarrow \infty$  and the space  $\mathcal{F}_\varphi$  has no unconditional bases of reproducing kernels. Thus, a criterion for the existence of unconditional bases cannot be stated only in terms of the growth of the weight function.

## 1. Notation, Preliminaries, and Statements of Results

If two nonnegative functions  $f$  and  $g$  satisfy the condition

$$f(x) \leq Cg(x) \quad \text{for any } x \in X,$$

where  $C$  is a constant, then we write

$$f(x) \prec g(x), \quad x \in X.$$

The symbols  $\succ$  and  $\prec$  have obvious meaning.

**Definition 1.** Given a continuous function  $f$  on  $\overline{B}(z, r)$ , we set

$$\|f\|_r = \max_{w \in \overline{B}(z, r)} |f(w)|.$$

Let  $d(f, z, r)$  be the distance from  $f$  to the space of harmonic functions on  $B(z, r)$ , i.e.,

$$d(f, z, r) = \inf\{\|f - H\|_r : H \text{ is harmonic on } B(z, r)\}.$$

Given a continuous function  $u$  on  $\mathbb{C}$  and a positive number  $p$ , we set

$$\tau(u, z, p) = \sup\{r : d(u, z, r) \leq p\}.$$

Lemma 1.1 in [5] asserts that, in the case where  $u$  is a continuous subharmonic function, the quantity  $\tau = \tau(u, \lambda, p)$  is completely determined by the following condition: If  $H(z)$  is the least harmonic majorant of a function  $u$  on the disk  $B(\lambda, \tau)$ , then

$$\max_{z \in \overline{B}(\lambda, \tau)} (H(z) - u(z)) = 2p. \quad (1)$$

For example, it is easy to show that if  $u(z) = |z|^2$ , then

$$\tau(u, \lambda, p) = \sqrt{p} \quad \text{for any } \lambda \in \mathbb{C} \text{ and } p > 0.$$

Let us state Theorem 5 of [6] as applied to the weight spaces  $\mathcal{F}_\varphi$ .

**Theorem A.** *Let  $K_\varphi(z, \lambda)$  be the reproducing kernel of the space  $\mathcal{F}_\varphi$ , and let  $K(z) := K_\varphi(z, z)$ . Suppose that, for any sufficiently large  $p > 0$ , there exists a number  $\delta = \delta(p) > 0$  and a sequence of disks  $B(\zeta_j, R_j)$  (which depends on  $p$ ) such that the function*

$$\tau(z, p) = \tau(\ln K, z, p)$$

*satisfies the conditions*

- (i)  $\inf_{z \in B(\lambda, 2\tau(\lambda))} \tau(z) \geq \delta\tau(\lambda)$  for all  $\lambda \in B(\zeta_j, R_j)$ ;
- (ii)  $\max_{z \in \overline{B}(\zeta_j, R_j)} \tau(z) = o(R_j)$  as  $j \rightarrow \infty$ .

*Then the space  $\mathcal{F}_\varphi$  has no unconditional bases of reproducing kernels.*

**Remark.** In the statement of this theorem in [6] the existence of  $\delta$  was required for all  $p > 0$ , but the proof used the function  $\tau(z) = \tau(\ln K, z, \ln(5P))$ , where  $P$  is the constant in the definition of an unconditional basis. Therefore, the statement given above is valid, too.

In this paper we prove the following theorem.

**Theorem.** Given any positive function  $\eta(t)$ ,  $t > 0$ , increasing without bound, there exists a radial subharmonic function  $\varphi(z)$  such that

$$\varphi(r) = O(\eta(r) \ln r), \quad r \rightarrow \infty,$$

and the space  $\mathcal{F}_\varphi$  has no unconditional bases of reproducing kernels.

**Remark.** We can always find a positive function  $\gamma(t)$ ,  $t > 0$ , which increases without bound and satisfies the conditions  $\gamma \leq \eta$  and

$$\gamma'(t) \leq \frac{\gamma(t)}{t \ln t}, \quad t > 1.$$

We construct a function  $\varphi(r) = O(\gamma(r) \ln r)$ ,  $r \rightarrow \infty$ , which satisfies the condition in the theorem.

## 2. Proof of the Theorem

**1. Scheme of the construction of a radial subharmonic function  $\varphi(z)$ .** Take a differentiable positive function  $\mu(t)$ ,  $t > 0$ , increasing without bound and such that  $\mu(0) = \mu'(0) = 0$ . We set

$$u(z) = \int_0^{|z|} \frac{\mu(t)}{t} dt.$$

For  $r = |z| \neq 0$ , we have

$$\Delta u(z) = u''(r) + \frac{u'(r)}{r} = \frac{\mu'(r)}{r} > 0;$$

therefore,  $u(z)$  is a twice differentiable positive radial subharmonic function in the plane. Let

$$d\tilde{\mu}(z) = \frac{\Delta u(z) dm(z)}{2\pi} = \frac{\mu'(|z|) dm(z)}{2\pi|z|}$$

be the Riesz measure associated with  $u$ . Given a measure  $\nu$ , by  $\nu(t)$  we denote the  $\nu$ -measure of the disk  $B(0, t)$ . We have

$$\tilde{\mu}(t) = \mu(t), \quad t > 0.$$

Take a sequence  $T_n > 1$ ,  $n \in \mathbb{N}$ , increasing without bound and satisfying the condition  $2T_n < T_{n+1}$ ,  $n \in \mathbb{N}$ . We denote the restriction of the measure  $\tilde{\mu}$  to the annulus

$$S_n = \{z : T_n < |z| < 2T_n\}$$

by  $\mu_n$  and set

$$\nu = \sum_n \mu_n.$$

The function

$$\varphi(z) = \int_0^{|z|} \frac{\nu(t)}{t} dt$$

is positive, differentiable, and subharmonic in the plane. We impose certain conditions on the function  $\mu(t)$  and the sequence  $T_n$  in order that the function  $\varphi$  satisfy the assumptions of the theorem.

### 2. Bounding the function $\varphi$ from above.

**Proposition 1.** *If*

$$\gamma_0(t) = \sqrt{\frac{\gamma(t)}{2}}, \quad \mu(t) = \gamma_0(t) \ln^+ t, \quad t > 0, \quad T_n = \gamma_0^{-1}(n),$$

then

$$\varphi(r) \leq \gamma(r) \ln r, \quad r > 1.$$

**Proof.** By the definition of the measures  $\mu_n$ , we have

$$\mu_n(t) = \begin{cases} 0, & t < T_n, \\ \mu(t) - \mu(T_n), & T_n \leq t \leq 2T_n, \\ \mu(2T_n) - \mu(T_n), & 2T_n < t. \end{cases}$$

Accordingly, if

$$n(r) = \max\{n : T_n \leq r\}, \quad r > 0,$$

i.e.,  $T_{n(r)} \leq r < T_{n(r)+1}$ , then

$$\nu(r) = \sum_{n=1}^{n(r)-1} (\mu(2T_n) - \mu(T_n)) + (\mu(r) - \mu(T_{n(r)})), \quad T_{n(r)} \leq r < 2T_{n(r)}, \quad (2)$$

$$\nu(r) = \sum_{n=1}^{n(r)} (\mu(2T_n) - \mu(T_n)), \quad 2T_{n(r)} \leq r < T_{n(r)+1}. \quad (3)$$

Let

$$x_2 = \ln(2T_n), \quad x_1 = \ln T_n.$$

Then, using the assumptions on the function  $\gamma$ , we obtain

$$\mu(2T_n) - \mu(T_n) = \gamma_0(e^{x_2})x_2 - \gamma_0(e^{x_1})x_1 = (\gamma_0(e^x)x)'(x^*)(x_2 - x_1) \leq 2\gamma_0(e^{x^*})(x_2 - x_1),$$

where  $x^* \leq x_2$ . If  $n \leq n(r) - 1$ , then  $x^* \leq x_2 = \ln(2T_n) < \ln T_{n+1} \leq \ln T_{n(r)} \leq \ln r$ . If  $n = n(r)$  but  $2T_{n(r)} \leq r$ , then  $x^* \leq x_2 = \ln(2T_n) \leq \ln r$ . Thus,

$$\mu(2T_n) - \mu(T_n) \leq 2\gamma_0(r) \ln 2 < 2\gamma_0(r).$$

The difference

$$\mu(r) - \mu(T_{n(r)}) < 2\gamma_0(r)$$

with  $T_{n(r)} \leq r < 2T_{n(r)}$  is estimated in a similar way. These estimates and relations (2)–(3) imply

$$\nu(r) < 2n(r)\gamma_0(r), \quad r > 0.$$

Let  $\beta(t) = \gamma_0^{-1}(t)$ . Then  $\beta(n(r)) \leq r$  or  $n(r) \leq \gamma_0(r)$ , because  $T_{n(r)} \leq r$ . Thus,

$$\nu(r) < 2\gamma_0^2(r) = \gamma(r), \quad r > 0,$$

and

$$\varphi(r) = \int_1^r \frac{\nu(t) dt}{t} \leq \gamma(r) \ln r, \quad r > 1.$$

This completes the proof of Proposition 1. □

**Remark.** The assumption on the function  $\gamma$  ensures that  $2T_n < T_{n+1}$  for  $T_n = \beta(n)$ .

### 3. Estimate of the characteristic $\tau$ for the functions $u$ and $\varphi$ .

**Proposition 2.** Let  $\delta > 0$  be a sufficiently small number, and let

$$S_n(\delta) := \{z : (1 + \delta)T_n \leq |z| \leq (2 - \delta)T_n\}, \quad n \in \mathbb{N}.$$

For any  $p > 0$  and  $n$  larger some  $n(p, \delta)$ , we have

$$\sqrt{\frac{p}{2}} \frac{r}{\sqrt{\gamma_0(r)}} \leq \tau(\varphi, z, p) \leq 6\sqrt{p} \frac{r}{\sqrt{\gamma_0(r)}}, \quad z \in S_n(\delta).$$

**Proof.** Since the derivative of  $\gamma$  satisfies the condition in the remark to the main theorem, it follows that so does the derivative of  $\gamma_0$ . Therefore, for  $r > e$ , we have

$$\frac{\gamma_0(r)}{r} \leq \mu'(r) = \gamma_0'(r) \ln r + \frac{\gamma_0(r)}{r} \leq \frac{\gamma_0(r)}{r} + \frac{\gamma_0(r)}{r} \leq 2\frac{\gamma_0(r)}{r}, \quad r > e.$$

Thus, if  $r = |z|$ , then

$$\frac{\gamma_0(r)}{r^2} \leq \Delta u(z) = \frac{\mu'(r)}{r} \leq 2 \frac{\gamma_0(r)}{r^2}. \quad (4)$$

It also follows from the conditions on the function  $\gamma$  that if  $r > 4$ , then

$$\gamma_0(2r) - \gamma_0(r) = \int_r^{2r} \gamma_0'(t) dt \leq \gamma_0(2r) \int_r^{2r} \frac{d \ln t}{\ln t} = \gamma_0(2r) \ln \frac{\ln(2r)}{\ln r} \leq \frac{\gamma_0(2r)}{2},$$

whence

$$\gamma_0(2r) \leq 2\gamma_0(r).$$

Take any point  $z_0 \in \mathbb{C}$  and any numbers  $\rho, p > 0$ . The least harmonic majorant  $H(z)$  of the function  $v(z) = |z - z_0|^2$  on the disk  $B(z_0, \rho)$  equals identically  $\rho^2$ , and the measure associated with it equals  $(2/\pi) dm(z)$ . Therefore, if  $G(z, w)$  is the Green function of  $B(z_0, \rho)$ , then

$$\rho^2 = \max_{z \in B(z_0, \rho)} (H(z) - v(z)) = \frac{2}{\pi} \max_{z \in B(z_0, \rho)} \int_{B(z_0, \rho)} G(z, w) dm(w).$$

This implies

$$\max_{z \in B(z_0, \rho)} \int_{B(z_0, \rho)} G(z, w) dm(w) = \frac{\pi}{2} \rho^2. \quad (5)$$

Let  $h(z)$  be the least harmonic majorant of  $u$  on the disk  $B(z_0, \rho)$ , where  $\rho \leq r_0/2$  ( $r_0 = |z_0|$ ). Then the first inequality in (4) and relation (5) give

$$\begin{aligned} \max_{z \in B(z_0, \rho)} (h(z) - u(z)) &= \frac{1}{2\pi} \max_{z \in B(z_0, \rho)} \int_{B(z_0, \rho)} G(z, w) \Delta u(w) dm(w) \\ &\geq \frac{2\gamma_0(r_0/2)}{9\pi r_0^2} \max_{z \in B(z_0, \rho)} \int_{B(z_0, \rho)} G(z, w) dm(w) = \frac{\gamma_0(r_0/2)}{9r_0^2} \rho^2 \geq \frac{\gamma_0(r_0)\rho^2}{18r_0^2}. \end{aligned}$$

If  $r_0$  is such that  $\gamma_0(r_0) \geq 144p$  and  $\rho = 6r_0\sqrt{p/\gamma_0(r_0)}$ , then the last estimate implies

$$\max_{z \in B(z_0, \rho)} (h(z) - u(z)) \geq 2p.$$

By virtue of relation (1), this means that

$$\tau(u, z_0, p) \leq 6\sqrt{p} \frac{r_0}{\sqrt{\gamma_0(r_0)}}.$$

Similar estimates imply

$$\max_{z \in B(z_0, \rho)} (h(z) - u(z)) \leq \frac{4\gamma_0(r_0)}{r_0^2} \rho^2,$$

so that if  $r_0$  is such that  $\gamma_0(r_0) \leq p\sqrt{2}$  and  $\rho = (r_0/\sqrt{2})\sqrt{p/\gamma_0(r_0)}$ , then

$$\max_{z \in B(z_0, \rho)} (h(z) - u(z)) \leq 2p.$$

Thus,

$$\tau(u, z_0, p) \geq \sqrt{\frac{p}{2}} \frac{r_0}{\sqrt{\gamma_0(r_0)}}.$$

We have proved the following inequalities for any positive  $p$  and any  $r = |z|$  satisfying the condition  $r \geq \gamma_0^{-1}(144p)$ :

$$\sqrt{\frac{p}{2}} \frac{r}{\sqrt{\gamma_0(r)}} \leq \tau(u, z, p) \leq 6\sqrt{p} \frac{r}{\sqrt{\gamma_0(r)}}. \quad (6)$$

It follows from (6) that if  $n$  is so large that

$$\frac{6\sqrt{p}}{\sqrt{\gamma_0(T_n)}} < \frac{\delta}{2},$$

then the disk  $B(z, \tau(u, z, p))$  is contained in the annulus  $S_n = \{z : T_n < |z| < 2T_n\}$  for all  $z \in S_n(\delta)$ ; therefore, by virtue of (1), we have

$$\tau(u, z, p) = \tau(\varphi, z, p).$$

Now Proposition 2 follows from (6). □

#### 4. Estimate of the Bergman function $K(z)$ of the space $\mathcal{F}_\varphi$ .

**Proposition 3.** *The following relation holds:*

$$K(z) \asymp \frac{1}{\tau^2(\varphi, z, 1)} e^{2\varphi(z)}, \quad z \in S_n(\delta), \quad n > n(p, \delta),$$

or, in view of Proposition 2,

$$K(z) \asymp \frac{\gamma_0(r)}{r^2} e^{2\varphi(z)}, \quad z \in S_n(\delta), \quad n > n(p, \delta).$$

**Proof.** The Bergman function  $K(z) = K(z, z)$  equals the squared norm  $\|\delta_z\|^2$  of the point functional. The upper bound can be obtained simply by using properties of subharmonic functions. We set  $\tau(z) = \tau(\varphi, z, 1)$  and let  $h$  be the least harmonic majorant of  $\varphi$  on the disk  $B(z) := B(z, \tau(z))$ , so that

$$0 \leq h(w) - \varphi(w) \leq 1, \quad w \in B(z).$$

The subharmonicity of the function  $e^{-2h}|F|^2$  for  $F \in \mathcal{F}_\varphi$  implies

$$e^{-2h(z)}|F(z)|^2 \leq \frac{1}{\pi\tau^2(z)} \int_{B(z)} e^{-2h(w)}|F(w)|^2 dm(w).$$

It follows that

$$K(z) \leq \frac{e^2}{\pi\tau(z)^2} e^{2\varphi(z)}.$$

Proposition 2 gives the upper estimate

$$K(z) \leq \frac{2e^2\gamma_0(r)}{\pi r^2} e^{2\varphi(z)}, \quad r = |z|, \tag{7}$$

for  $z \in S_n(\delta)$  and  $n > n(p, \delta)$ .

Let us estimate the function  $K(z)$  from below. First, note that

$$K(z) = \sum_{n=0}^{\infty} \frac{|z|^{2n}}{a_n^2},$$

where

$$a_n^2 = \|z^n\|_{F \in \mathcal{F}_\varphi}^2 = 2\pi \int_0^\infty t^{2n+1} e^{-2\varphi(t)} dt,$$

or

$$a_n^2 = 2\pi \int_{-\infty}^{\infty} e^{2(n+1)x - 2\psi(x)} dx;$$

here  $\psi(x) = \varphi(e^x)$  is a convex function on  $\mathbb{R}$ . Let  $\tilde{\psi}$  denote the Young complement of  $\psi$ , and let

$$\tilde{\psi}(y) = \sup_{x \in \mathbb{R}} (xy - \psi(x)).$$

By  $x_n$  we denote the point at which the supremum is attained for  $y = n + 1$ , i.e.,

$$\psi'(x_n) = n + 1. \tag{8}$$

Performing straightforward calculations and taking into account (4), we see that if  $t = e^x \in \tilde{S}_m := (T_m; 2T_m)$  for some  $m$ , then

$$\psi''(x) = \nu'(t)t = \mu'(t)t \asymp \gamma_0(t). \tag{9}$$

We denote the interval  $\{t : |r - t| \leq \tau(z)\}$  by  $I(r)$ , where  $r = |z|$ , and take any positive  $\delta < 1/4$ . Let  $r = |z| \in \tilde{S}_k(2\delta) := [(1 + 2\delta)T_k; (2 - 2\delta)T_k]$ . Then  $I(r) \subset \tilde{S}_k(\delta)$ .

According to Theorem 2(a) in [7], for any  $p > 0$ , we have

$$a_n^2 = 2\pi \int_{-\infty}^{\infty} e^{2(n+1)x-2\psi(x)} dx \asymp \frac{1}{\rho_2(\tilde{\psi}, n+1, p)} e^{2\tilde{\psi}(n+1)}, \quad n \in \mathbb{N}.$$

Here  $\rho_2 = \rho_2(\tilde{\psi}, y, p)$  is defined by [7, formula (1)], i.e.,

$$\rho_2 = \sup \left\{ t > 0 : \int_{y-t}^{y+t} |\tilde{\psi}'(\tau) - \tilde{\psi}'(y)| d\tau \leq p \right\}.$$

Thus,

$$K(z) \asymp \frac{1}{r^2} \sum_{r_n \in I(r)} e^{2((n+1)\ln r - \tilde{\psi}(n+1))} \rho_2(\tilde{\psi}, n+1, p). \quad (10)$$

Let us prove that

$$\rho_2(\tilde{\psi}, n+1, 4) \asymp \sqrt{\gamma_0(r_n)}, \quad r_n = e^{x_n}, \quad (11)$$

for sufficiently large  $n$ . First, we show that if  $|t - (n+1)| \leq \sqrt{\gamma_0(r_n)}$ , then  $e^{\tilde{\psi}'(t)} \in \tilde{S}_k$ . Indeed, take  $t = \psi'(\ln T)$ , where  $T = T_k$  or  $T = 2T_k$ . We have

$$|t - (n+1)| = |\psi'(\ln T) - \psi'(\ln r_n)| = \psi''(t_1) |\ln(T/r_n)|,$$

where  $t_1$  is between  $\ln T$  and  $\ln r_n$ . Therefore,  $e^{t_1} \in \tilde{S}_k$  and

$$|t - (n+1)| \asymp \gamma_0(e^{t_1}) \asymp \gamma_0(r_n).$$

We set  $\rho = \sqrt{\gamma_0(r_n)}$ . If  $|t - (n+1)| \leq \rho$ , then it follows from the above considerations that  $e^{\tilde{\psi}'(t)} \in \tilde{S}_k$ ; hence

$$\begin{aligned} \int_{y-\rho}^{y+\rho} |\tilde{\psi}'(t) - \tilde{\psi}'(n+1)| dt &\leq \sup_{|t-(n+1)| \leq \rho} \tilde{2}\psi''(t)\rho^2 = 2\gamma_0(r_n) \sup_{|t-(n+1)| \leq \rho} \tilde{\psi}''(t) \\ &= 2\gamma_0(r_n) \sup_{|t-(n+1)| \leq \rho} \frac{1}{\psi''(\tilde{\psi}'(t))} \leq 2\gamma_0(r_n) \sup_{|t-(n+1)| \leq \rho} \frac{1}{\gamma_0(e^{\tilde{\psi}'(t)})} \\ &\leq \frac{2\gamma_0(2T_k)}{\gamma_0(T_k)} < \frac{2\gamma_0(T_{k+1})}{\gamma_0(T_k)} = \frac{2(k+1)}{k} \leq 4. \end{aligned}$$

This implies estimate (11).

From (10) and (11) we obtain

$$K(z) \asymp \frac{1}{r^2} \sum_{r_n \in I(r)} e^{2((n+1)\ln r - \tilde{\psi}(n+1))} \sqrt{\gamma_0(r_n)}. \quad (12)$$

Let  $y_r$  denote the point at which the supremum  $\sup_{y \in \mathbb{R}} (y \ln r - \tilde{\psi}(y))$  is attained. Then

$$\psi(\ln r) = y_r \ln r - \tilde{\psi}(y_r), \quad \tilde{\psi}'(y_r) = \ln r.$$

It follows from properties of Young complementary functions that if  $y(t)$  is the point at which  $\sup_{y \in \mathbb{R}} (yt - \tilde{\psi}(y)) = \psi(t)$  is attained, then  $\tilde{\psi}'(y(t)) = t$  and

$$ty - \tilde{\psi}(y) - \psi(t) = -\tilde{\psi}(y) + \tilde{\psi}(y(t)) + \tilde{\psi}'(y(t))(y - y(t)).$$

Considering the Taylor expansion of  $\tilde{\psi}(y)$  centered at  $y(t)$ , we obtain

$$ty - \tilde{\psi}(y) - \psi(t) = -\frac{\tilde{\psi}''(y_1)}{2}(y - y(t))^2,$$

where  $y_1$  is a point between  $y$  and  $y(t)$ . Assuming that  $r_n \in I(r)$  and  $t = \ln r$ , we set  $y = n+1$  and  $y(t) = y_r$  and use the known formulas

$$\psi'(\tilde{\psi}'(x)) \equiv x, \quad \psi''(\tilde{\psi}'(x))\tilde{\psi}''(x) \equiv 1.$$

The relations

$$n + 1 = \psi'(\ln r_n), \quad \ln r_n = \tilde{\psi}'(n + 1), \quad \tilde{\psi}'(y_r) = \ln r, \quad \psi'(\ln r) = y_r$$

imply

$$(n + 1) \ln r - \tilde{\psi}(n + 1) - \psi(\ln r) = -\frac{1}{2\psi''(\tilde{\psi}'(y_1))}(\psi'(\ln r_n) - \psi'(\ln r))^2.$$

Let  $r_1 = e^{\tilde{\psi}'(y_1)}$ . Since  $y_1$  is between  $n + 1$  and  $y_r$ , it follows that  $r_1$  is between the points  $e^{\tilde{\psi}'(n+1)} = r_n$  and  $e^{\tilde{\psi}'(y_r)} = r$ . In particular,  $r_1 \in \tilde{S}_k$ , whence  $\psi''(\ln r_1) \asymp \gamma_0(r_1) \leq \gamma_0(r)/2$ . Thus,

$$(n + 1) \ln r - \tilde{\psi}(n + 1) - \psi(\ln r) \geq -\frac{1}{\gamma_0(r)}(\psi'(\ln r_n) - \psi'(\ln r))^2. \quad (13)$$

By virtue of the mean value theorem, we have

$$|\psi'(\ln r_n) - \psi'(\ln r)| = \left| \frac{\psi''(\ln t)}{t} \right| |r_n - r|,$$

where  $t$  is a point between  $r_n$  and  $r$ . Therefore,

$$|\psi'(\ln r_n) - \psi'(\ln r)| \prec \left| \frac{\gamma_0(t)}{t} \right| \tau(z) \asymp \sqrt{\gamma_0(r)}. \quad (14)$$

Substituting this estimate into (13), we obtain

$$(n + 1) \ln r - \tilde{\psi}(n + 1) - \psi(\ln r) \succ -1.$$

We return to estimate (12). If  $N(r)$  is the number of positive integers  $n$  for which  $r_n \in I(r)$ , then

$$K(z) \succ \frac{N(r)\sqrt{\gamma_0(r)}}{r^2} e^{2\psi(\ln r)}.$$

If  $r_n \in I(r)$ , then (14) implies

$$|(n + 1) - y_r| = |\psi'(\ln r_n) - \psi'(\ln r)| \prec \sqrt{\gamma_0(r)},$$

whence

$$N(r) \asymp \sqrt{\gamma_0(r)}.$$

Thus,

$$K(z) \succ \frac{\gamma_0(r)}{r^2} e^{2\psi(r)}.$$

This completes the proof of Proposition 3. □

### 5. Estimate of the characteristic $\tau$ for the function $\ln K(z)$ .

We set

$$K_0(z) = \frac{\gamma_0(r)}{r^2} e^{2\psi(r)}, \quad r = |z|.$$

It is easy to derive from the condition on the function  $\gamma$  in the remark to the main theorem that

$$\lim_{r \rightarrow \infty} \ln \frac{\gamma_0(3r/2)}{\gamma_0(r/2)} = 0.$$

**Proposition 4.** *For sufficiently large  $n$ ,*

$$\sqrt{\frac{p}{8}} \frac{r}{\sqrt{\gamma_0(r)}} \leq \tau(\ln K_0, z, p) \leq 6\sqrt{p} \frac{r}{\sqrt{\gamma_0(r)}}, \quad z \in S_n(\delta), \quad r = |z|.$$

**Proof.** Take any  $p > 0$  and any positive integer  $N$  so large that

$$\ln \frac{\gamma_0(3r/2)}{\gamma_0(r/2)} < \frac{p}{2}, \quad \tau(z) := \tau(\varphi, z, p) < \frac{r}{2} \quad (15)$$



for all  $r \in \tilde{S}_n$  with  $n \geq N$ . We set  $\tau_0(z) := \tau(\ln K_0, z, p)$ . Take  $r \in \tilde{S}_n(\delta)$ ,  $n \geq N$ , and positive  $t = \min(\tau_0(z), r/2)$ . Let  $2h$  be the harmonic function on  $B(z, t)$  nearest to  $\ln K_0$  on this disk in the sense of the distance in Definition 1. Then

$$\begin{aligned} & \sup_{w \in B(z, t)} |2\varphi(w) + \ln \gamma_0(r) - 2 \ln |w| - 2h(w)| \\ & \leq \sup_{w \in B(z, t)} |2\varphi(w) + \ln \gamma_0(|w|) - 2 \ln |w| - 2h(w)| + \sup_{w \in B(z, t)} |\ln \gamma_0(r) - \ln \gamma_0(|w|)| \\ & \leq \sup_{w \in B(z, t)} |\ln K_0(w) - 2h(w)| + \ln \frac{\gamma_0(3r/2)}{\gamma_0(r/2)}. \end{aligned}$$

By the choice of  $N$  and  $t$ , we have

$$\sup_{w \in B(z, t)} |2\varphi(w) + \ln \gamma_0(r) - 2 \ln |w| - 2h(w)| < 2p;$$

hence the harmonic function  $h_1(w) = h(w) - \frac{1}{2} \ln \gamma_0(r) + \ln |w|$  on  $B(z, t)$  obeys the estimate

$$\sup_{w \in B(z, t)} |\varphi(w) - h_1(w)| < p.$$

This means that  $\tau(z) = \tau(\varphi, z, p) \geq t$ . If  $t = \tau_0(z)$ , then  $\tau(z) \geq \tau_0(z)$ . If  $t = r/2$ , then  $\tau(z) \geq r/2$ , which contradicts the choice of  $N$ . By virtue of Proposition 2, we have

$$\tau(\ln K_0, r, p) \leq 6\sqrt{p} \frac{r}{\sqrt{\gamma_0(r)}}.$$

To obtain a lower bound, we set  $t = \tau(\varphi, z, p/4)$  and use  $h$  to denote the harmonic function on  $B(z, t)$  nearest to  $\varphi$  on this disk in the sense of the distance in Definition 1. Then

$$\begin{aligned} & \sup_{w \in B(z, t)} |\ln K_0(w) - 2h(w) + 2 \ln |w| - \ln \gamma_0(r)| \\ & \leq \sup_{w \in B(z, t)} |2\varphi(w) - 2h(w)| + \sup_{w \in B(z, t)} |\ln \gamma_0(|w|) - \ln \gamma_0(r)| < p, \end{aligned}$$

because, according to (15),  $t = \tau(\varphi, z, p/4) \leq \tau(\varphi, z, p) < r/2$ . This means that, for the harmonic function  $h_1(w) = 2h(w) - 2 \ln |w| + \ln \gamma_0(r)$ , we have

$$\sup_{w \in B(z, t)} |\ln K_0(w) - h_1(w)| < p.$$

Therefore,

$$\tau(\ln K_0, z, p) \geq t = \tau\left(\varphi, z, \frac{p}{4}\right) \geq \sqrt{\frac{p}{8}} \frac{r}{\sqrt{\gamma_0(r)}}.$$

This proves Proposition 4. □

Proposition 3 implies

$$e^{-C} K_0(z) \leq K(z) \leq e^C K_0(z), \quad z \in \bigcup_n S_n(\delta),$$

for some  $C > 0$ . Using these inequalities, in the same way as above, we obtain

$$\begin{aligned} \tau(\ln K, z, p + C) & \geq \tau(\ln K_0, z, p), & z \in S_n(2\delta), \\ \tau(\ln K_0, z, p + C) & \geq \tau(\ln K, z, p), & z \in S_n(2\delta), \end{aligned}$$

for all  $p > 0$  and sufficiently large  $n$ . Therefore, if  $p \geq 2C$ , then

$$\tau(\ln K_0, z, p/2) \leq \tau(\ln K_0, z, p - C) \leq \tau(\ln K, z, p) \leq \tau(\ln K_0, z, 3p/2),$$

and Proposition 4 implies

$$\frac{\sqrt{p}}{4} \frac{r}{\sqrt{\gamma_0(r)}} \leq \tau(\ln K, z, p) \leq 6\sqrt{\frac{3p}{2}} \frac{r}{\sqrt{\gamma_0(r)}}, \quad z \in S_n(2\delta), \quad r = |z|,$$

for sufficiently large  $n$ . It remains to apply Theorem A for the disks  $B(\zeta_j, R_j)$ , where

$$|\zeta_j| = 3T_j/2, \quad R_j = (1/4 - \delta)T_j$$

for  $\delta < 1/4$ .

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