



# On the equivalence, stabilisers, and feet of Buekenhout-Tits unitals

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## Abstract

This paper addresses a number of problems concerning Buekenhout-Tits unitals in  $\text{PG}(2, q^2)$ , where  $q = 2^{2e+1}$  and  $e \geq 1$ . We show that all Buekenhout-Tits unitals are equivalent under  $\text{PGL}(3, q^2)$  [addressing an open problem in Barwick and Ebert (Unitals in Projective Planes. Springer Monographs in Mathematics. Springer, New York, 2008)], explicitly describe their stabiliser in  $\text{P}\Gamma\text{L}(3, q^2)$  [expanding Ebert's work in Ebert (J Algebraic Comb 6(2):133–140, 1997)], and show that lines meet the feet of points not on  $\ell_\infty$  in at most four points. Finally, we show that feet of points not on  $\ell_\infty$  are not always a  $\{0, 1, 2, 4\}$ -set, in contrast to what happens for Buekenhout-Metz unitals Abarzúa et al (Adv Geom 18(2):229–236, 2018).

**Keywords** Unital · Tits ovoid · Buekenhout-Tits unital · feet

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## 1 Introduction

### 1.1 Background

Let  $\text{PG}(2, q^2)$  denote the Desarguesian projective plane over the finite field with  $q^2$  elements,  $\mathbb{F}_{q^2}$ , where  $q$  is a prime power. A *unital*  $U$  in  $\text{PG}(2, q^2)$  is a set of  $q^3 + 1$  points such that every line of  $\text{PG}(2, q^2)$  meets  $U$  in 1 or  $q + 1$  points. Lines meeting  $U$  in 1 point are *tangent lines*

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to  $U$ , and lines meeting  $U$  in  $q + 1$  points are *secant lines* of  $U$ . The *classical* or *Hermitian* unital, usually denoted by  $\mathcal{H}(2, q^2)$ , arises by taking the absolute points of a non-degenerate Hermitian polarity. Each point  $P$  not lying on a unital  $U$ , lies on  $q + 1$  tangent lines to  $U$ ; the  $q + 1$  points of  $U$  whose tangent lines contain  $P$  are called the *feet* of  $P$ , and are denoted by  $\tau_P(U)$ .

It is well-known that  $\text{PG}(2, q^2)$  can be modelled by a Desarguesian line spread of  $\text{PG}(3, q)$  embedded in  $\text{PG}(4, q)$  via the *André/Bruck-Bose (ABB)* construction. A wide class of unitals in  $\text{PG}(2, q^2)$ , called *Buekenhout unitals*, arise as follows from the ABB construction; starting in  $\text{PG}(4, q)$  fixing a hyperplane  $\Sigma$ , and a Desarguesian spread of  $\Sigma$ , we take any ovoidal cone  $\mathcal{C}$  such that  $\mathcal{C} \cap \Sigma$  is a spread line of  $\Sigma$ . Then in  $\text{PG}(2, q^2)$ ,  $\mathcal{C}$  gives rise to a unital  $U$ . If the base of  $\mathcal{C}$  is an elliptic quadric, the unital is called a *Buekenhout-Metz unital*. The family of Buekenhout-Metz unitals contains the Hermitian unitals, but there are many non-equivalent Buekenhout-Metz unitals (see [3, 8]). If  $q = 2^{2e+1}$ ,  $e \geq 1$ , and the base of  $\mathcal{C}$  is a Tits ovoid, the unital is called a *Buekenhout-Tits unital*. For more information on unitals and their constructions, see [4].

Unitals may be characterised based on the combinatorial properties of the feet of certain points. It is easy to see that for the classical unital  $\mathcal{H}(2, q^2)$ , the feet of a point not on the unital are always collinear. Thus [13] showed the converse, namely, that a unital  $U$  is classical if and only if for all points, not on  $U$ , the feet are collinear. This was improved by Aguglia and Ebert [2] who showed that a unital  $U$  is classical if and only if there exist two tangent lines  $\ell_1, \ell_2$  such that for all points  $P \in (\ell_1 \cup \ell_2) \setminus U$  the feet of  $P$  are collinear. It is known (see e.g. [4]) that if  $U$  is a non-classical Buekenhout-Metz unital, the feet of a point  $P \notin U$  are collinear if and only if they lie on a distinguished tangent line  $\ell_\infty$  to  $U$ . Furthermore, it is shown in [1] that if  $U$  is Buekenhout-Metz unital, a line meets the feet of a point  $P \notin \ell_\infty$  in either 0, 1, 2, or 4 points. Ebert [9] showed for a Buekenhout-Tits unital, the feet of  $P \notin U$  are collinear if and only if  $P \in \ell_\infty$ . It is then natural to ask how a line may meet the feet of a point  $P \notin \ell_\infty$  for Buekenhout-Tits unitals. We will answer this question in Theorem 3.

Many characterisations of unitals make use of their stabilisers in  $\text{PGL}$ , resp.  $\text{P}\Gamma\text{L}$ . In [7] it is shown that a unital is classical if its stabiliser contains a cyclic group of order  $q^2 - q + 1$ . Several other characterisations of unitals by their stabiliser group are listed in [4]. In [9], Ebert determined the stabiliser of a Buekenhout-Tits unital in  $\text{PGL}(3, q^2)$  (see Result 1). We will extend this work in this paper.

## 1.2 Summary of this paper

In this paper we present three main results:

1. We show that all Buekenhout-Tits unitals are equivalent under  $\text{P}\Gamma\text{L}(3, q^2)$  (see Theorem 1). This addresses an open problem in [4], and is alluded to in [10] (see Remark 1).
2. A description of the full stabiliser group of a Buekenhout-Tits unital in  $\text{P}\Gamma\text{L}(3, q^2)$  (see Theorem 2). Ebert [9] only provides a description of stabiliser of the Buekenhout-Tits unital in  $\text{PGL}$  (Result 1). The stabiliser of the classical unital in  $\text{P}\Gamma\text{L}(3, q^2)$  is  $\text{P}\Gamma\text{U}(3, q^2)$ , and the stabiliser of the Buekenhout-Metz unital in  $\text{P}\Gamma\text{L}(3, q^2)$  is described in [8] for  $q$  even and [3] for  $q$  odd.
3. If  $U$  is a Buekenhout-Tits unital, then a line  $\ell$  meets the feet of a point  $P \notin (\ell_\infty \cup U)$  in at most 4 points. Moreover, there exists a point  $P$  and line  $\ell$  such that the feet of  $P$  meet  $\ell$  in exactly three points (see Theorem 3). This highlights a difference between Buekenhout-Metz unitals and Buekenhout-Tits unitals. It also solves an open problem posed by Aguglia and Ebert [2] and later listed in [4, Chapter 8].

### 1.3 Coordinates for a Buekenhout-Tits unital

In [9], Ebert derives coordinates for a Buekenhout-Tits unital  $\mathcal{U}_{BT}$  in  $\text{PG}(2, q^2)$ ,  $q = 2^{2e+1}$ . Pick  $\epsilon \in \mathbb{F}_{q^2}$  such that  $\epsilon^q = \epsilon + 1$ , and  $\epsilon^2 = \epsilon + \delta$  for some  $1 \neq \delta \in \mathbb{F}_q$  with absolute trace equal to one. Then the following set of points in  $\text{PG}(2, q^2)$  is a Buekenhout-Tits unital,

$$\mathcal{U}_{BT} = \{(0, 0, 1)\} \cup \{P_{r,s,t} = (1, s + t\epsilon, r + (s^{\sigma+2} + t^\sigma + st)\epsilon) \mid r, s, t \in \mathbb{F}_q\}, \quad (1)$$

where  $\sigma = 2^{e+1}$  has the property that  $\sigma^2$  induces the automorphism  $x \mapsto x^2$  of  $\mathbb{F}_q$ . In addition, it can be verified that  $\sigma + 1, \sigma + 2, \sigma - 1$ , and  $\sigma - 2$  all induce permutations of  $\mathbb{F}_q$  with inverses induced by  $\sigma - 1, 1 - \sigma/2, \sigma + 1$  and  $-(\sigma/2 + 1)$  respectively.

The following theorem describes the group of projectivities (that is, elements of  $\text{PGL}(3, q^2)$ ) stabilising  $\mathcal{U}_{BT}$ .

**Result 1** [9, Theorem 4 and Corollary] Let  $G = \text{PGL}(3, q^2)_{\mathcal{U}_{BT}}$ ,  $q = 2^{2e+1}$ , be the group of projectivities stabilising the Buekenhout-Tits unital  $\mathcal{U}_{BT}$ . Then  $G$  is an abelian group of order  $q^2$ , consisting of the projectivities induced by the matrices

$$M_{u,v} = \left\{ \left[ \begin{array}{ccc} 1 & u\epsilon & v + u^\sigma\epsilon \\ 0 & 1 & u + u\epsilon \\ 0 & 0 & 1 \end{array} \right] \mid u, v \in \mathbb{F}_q \right\}, \quad (2)$$

where  $\sigma = 2^{e+1}$  and matrices act on the homogeneous coordinates of points by multiplication from the right. The group  $G$  has  $q^2 - q$  orbits of length  $q^2$  on points in  $\text{PG}(2, q^2) \setminus (\mathcal{U}_{BT} \cup \ell_\infty)$ , where  $\ell_\infty : x = 0$ .

## 2 On the projective equivalence of Buekenhout-Tits unitals

In this section, we show that all Buekenhout-Tits unitals are equivalent under  $\text{PGL}(3, q^2)$  to the unital  $\mathcal{U}_{BT}$  given in Eq. (1).

**Remark 1** The authors of [10] give this result without proof and state it can be derived by the same techniques employed by Ebert in [9]. Ebert however, lists the equivalence of Buekenhout-Tits unitals as an open problem in [4] which appeared about ten years after his original paper [9].

It is easy to see that the Buekenhout-Tits unital  $\mathcal{U}_{BT}$  is tangent to the line  $\ell_\infty : x = 0$  at the point  $P_\infty = (0, 0, 1)$ . From the ABB construction it follows that  $P_\infty$  has the following property with respect to  $\mathcal{U}_{BT}$ .

**Property 1** Given any unital  $U$ , a point  $P \in U$  is said to have Property 1 if all secant lines through  $P$  meet  $U$  in Baer sublines.

It is shown in [5] that if two different points of  $U$  have Property 1, then  $U$  is classical. Hence, the point  $P_\infty$  is the unique point of  $\mathcal{U}_{BT}$  admitting this property. We will count all Buekenhout-Tits unitals tangent to  $\ell_\infty$  at a point  $P_\infty$  having Property 1.

**Lemma 1** There are  $q^4(q^2 - 1)^2$  unitals equivalent under  $\text{PGL}(3, q^2)$  to  $\mathcal{U}_{BT}$  in  $\text{PG}(2, q^2)$  with tangent line  $\ell_\infty : x = 0$  and containing the point  $P_\infty = (0, 0, 1)$  having Property 1.

**Proof** Let  $U$  be a unital tangent to  $\ell_\infty$ , and containing the point  $P_\infty$  with Property 1, that is equivalent under  $\text{PGL}(3, q^2)$   $\mathcal{U}_{BT}$  to  $\text{PG}(2, q^2)$ . Then, the point  $P_\infty$  is the unique point in

$U$  with Property 1. Thus, any projectivity mapping  $\mathcal{U}_{BT}$  to  $U$  is contained in the group  $H$  of projectivities fixing  $P_\infty$ , and fixing  $\ell_\infty$  line-wise. The elements of  $H$  are induced by all matrices of the following form,

$$\begin{bmatrix} 1 & x_{12} & x_{13} \\ 0 & x_{22} & x_{23} \\ 0 & 0 & x_{33} \end{bmatrix},$$

where  $x_{22}x_{33} \neq 0$  and matrices act on homogeneous coordinates by multiplication on the right. It follows that  $|H| = (q^2 - 1)^2q^6$ . Furthermore, from the description of  $G = \text{PGL}(3, q^2)_{\mathcal{U}_{BT}}$  in Result 1, we know that the stabiliser  $H_{\mathcal{U}_{BT}}$  in  $H$  of  $\mathcal{U}_{BT}$  coincides with  $G$ . Hence, the stabiliser  $H_{\mathcal{U}_{BT}}$  has order  $q^2$ . By the orbit-stabiliser theorem, we find that there are  $(q^2 - 1)^2q^4$  unitals in the orbit of  $\mathcal{U}_{BT}$  under  $H$ .  $\square$

Consider  $\text{PG}(2, q^2)$  modelled by the ABB construction with fixed hyperplane  $\Sigma_\infty$ . Let  $p_\infty$  be the spread line corresponding to  $P_\infty$ . Then any Buekenhout-Tits unital  $U$  tangent to  $\ell_\infty$  at  $P_\infty$  with Property 1 corresponds uniquely to an ovoidal cone  $\mathcal{C}$  meeting  $\Sigma_\infty$  at  $p_\infty$ .

**Lemma 2** *There are  $q^4(q^2 - 1)^2$  ovoidal cones  $\mathcal{C}$  in  $\text{PG}(4, q)$  with base a Tits ovoid, such that  $\mathcal{C}$  meets  $\Sigma_\infty$  in the spread element  $p_\infty$ .*

**Proof** Let  $V$  be a point on the line  $p_\infty$ , and  $\Sigma \neq \Sigma_\infty$  a hyperplane not containing  $V$ . Then,  $\Sigma$  meets  $\Sigma_\infty$  in a plane containing a point  $R \in p_\infty \setminus \{V\}$ . Any ovoidal cone  $\mathcal{C}$  with vertex  $V$  and base a Tits ovoid, such that  $\mathcal{C}$  meets  $\Sigma_\infty$  precisely in  $p_\infty$ , meets  $\Sigma$  in a Tits ovoid tangent to  $\Sigma \cap \Sigma_\infty$  at the point  $R$ . We will count all cones of this form, for all  $V \in p_\infty$ .

Consider the pairs of planes  $\Pi$  and Tits ovoids  $\mathcal{O}$ ,  $(\Pi, \mathcal{O})$ , where  $\Pi, \mathcal{O} \subset \Sigma$  and  $\Pi$  is tangent to  $\mathcal{O}$ . On the one hand, there are  $|\text{PGL}(4, q)|/|\mathcal{O}_{\text{PGL}(4, q)}| = (q + 1)^2q^4(q - 1)^2(q^2 + q + 1)$  Tits ovoids in  $\text{PG}(3, q)$ , and each has  $q^2 + 1$  tangent planes. On the other hand,  $\text{PGL}(4, q)$  is transitive on hyperplanes of  $\text{PG}(3, q)$ , so each plane is tangent to the same number of Tits ovoids. It thus follows, that there are

$$\frac{(q + 1)^2q^4(q - 1)^2(q^2 + q + 1)(q^2 + 1)}{q^3 + q^2 + q + 1} = (q - 1)^2q^4(q + 1)(q^2 + q + 1)$$

Tits ovoids tangent to  $\Sigma \cap \Sigma_\infty$  contained in  $\Sigma$ .

Furthermore, since  $\text{PGL}(4, q)_{\Sigma \cap \Sigma_\infty}$  is transitive on points of  $\Sigma \cap \Sigma_\infty$ , each point of  $\Sigma \cap \Sigma_\infty$  is contained in the same number of Tits ovoids  $\mathcal{O}$ , so it follows that the number of Tits ovoids tangent to  $\Sigma \cap \Sigma_\infty$  at  $R = p_\infty \cap \Sigma$  is  $(q - 1)^2q^4(q + 1)$ . Hence, there is an equal number of ovoidal cones with base a Tits ovoid, vertex  $V$ , and meeting  $\Sigma_\infty$  at  $p_\infty$ . As the choice of  $V$  was arbitrary, and there are  $q + 1$  points on  $p_\infty$ , there are  $(q^2 - 1)^2q^4$  ovoidal cones with base a Tits ovoid, and meeting  $\Sigma_\infty$  at  $p_\infty$ .  $\square$

**Theorem 1** *All Buekenhout-Tits unitals in  $\text{PG}(2, q^2)$  are equivalent under  $\text{PGL}(3, q^2)$ .*

**Proof** From Lemmas 1 and 2, we see that the number of ovoidal cones with base a Tits ovoid, tangent to  $\Sigma_\infty$  at  $p_\infty$  is equal to the number of Buekenhout-Tits unitals that are equivalent under  $\text{PGL}(3, q^2)$  to  $\mathcal{U}_{BT}$  and tangent to  $\ell_\infty$  at  $P_\infty$  with Property 1. The result follows.  $\square$

**Corollary 1** *Let  $U$  be a Buekenhout-Tits unital, then the projectivity group stabilising  $U$  is isomorphic to the group  $G$  in Result 1.*

Since we have shown that all Buekenhout-Tits unitals are equivalent under  $\text{PGL}(3, q^2)$ , we may use  $\mathcal{U}_{BT}$  to verify statements about general Buekenhout-Tits unitals.

### 3 On the stabiliser of the Buekenhout-Tits unital

We now describe the stabiliser of the Buekenhout-Tits unital  $\mathcal{U}_{BT}$  in  $\text{P}\Gamma\text{L}(3, q^2)$ .

**Lemma 3** *Let  $M_{u,v}, M_{s,t}$  be matrices inducing collineations of  $G$  as defined in Result 1, then  $M_{u,v}M_{s,t} = M_{u+s,t+v+su\delta}$ .*

**Proof** Using Eq. (2), we find

$$M_{u,v}M_{s,t} = \begin{bmatrix} 1 & (s+u)\epsilon & (t+v+su\delta) + (s+u)^\sigma \\ 0 & 1 & (u+s) + (u+s)\epsilon \\ 0 & 0 & 1 \end{bmatrix}.$$

Thus, we have  $M_{u,v}M_{s,t} = M_{u+s,t+v+su\delta}$ . □

**Corollary 2** *The order of any collineation of  $G$  induced by a matrix  $M_{u,v}$  as defined in Result 1 is four if and only if  $u \neq 0$ , and two if and only if  $u = 0$  and  $v \neq 0$ .*

**Proof** Firstly note that  $M_{0,0} = I$ . Direct calculation shows that  $M_{u,v}^2 = M_{0,u^2\delta}$ ,  $M_{u,v}^3 = M_{u,v+u^2\delta}$  and  $M_{u,v}^4 = M_{0,0}$ . □

**Corollary 3** *The stabiliser group  $G$  as defined in Result 1 is isomorphic to  $(C_4)^{2e+1}$ .*

**Proof** Recall from Result 1 that  $|G| = q^2 = 2^{4e+2}$ . From Corollary 2, we have that  $G \cong (C_4)^k(C_2)^l$  for some integers  $k, l$  such that  $2^{2k+l} = |G| = 2^{4e+2}$ , and hence,

$$l = 2(2e + 1 - k).$$

Furthermore, we see that the number of elements of order four in  $G$  is  $q^2 - q$  as they correspond to all matrices  $M_{u,v}$  with  $u, v \in \mathbb{F}_q$  and  $u \neq 0$ . The number of elements of order four in a group isomorphic to  $(C_4)^k(C_2)^l$  is  $(4^k - 2^k)2^l$ . Thus,

$$(4^k - 2^k)2^l = 4^{2e+1} - 2^{2e+1}. \tag{3}$$

Using Eq. (3), we find that  $k = 2e + 1$ , and therefore  $G \cong (C_4)^{2e+1}$ . □

**Theorem 2** *Let  $q = 2^{2e+1}$ , then the stabiliser of  $\mathcal{U}_{BT}$  in  $\text{P}\Gamma\text{L}(3, q^2)$  is the order  $q^2(4e + 2)$  group  $GK$ , where  $G = \text{P}\Gamma\text{L}(3, q^2)_{\mathcal{U}_{BT}}$  as described in Result 1, and  $K$  is a cyclic subgroup of order  $16e + 8$  generated by*

$$\psi : \mathbf{x} \mapsto \mathbf{x}^2 \begin{bmatrix} 1 & 1 & \epsilon \\ 0 & \delta^{\sigma/2}(1 + \epsilon) & \delta^{\sigma/2}(1 + \epsilon) \\ 0 & 0 & \delta^{\sigma+1} \end{bmatrix}.$$

(Here,  $\mathbf{x}$  denotes the row vector containing the three homogeneous coordinates of a point, and  $\mathbf{x}^2$  denotes its elementwise power.)

**Proof** From Lemma 2, the number of Buekenhout-Tits unitals tangent to  $\ell_\infty : x = 0$  at a point  $P_\infty = (0, 0, 1)$  with Property 1 is  $q^4(q^2 - 1)^2$ . By the arguments of Lemma 1, all of these unitals are equivalent under  $\text{P}\Gamma\text{L}(3, q^2)$  to  $\mathcal{U}_{BT}$  under the stabiliser groups  $\text{P}\Gamma\text{L}(3, q^2)_{\{\ell_\infty, P_\infty\}}$  and  $\text{P}\Gamma\text{L}(3, q^2)_{\{\ell_\infty, P_\infty\}}$  fixing  $P_\infty$  and stabilising  $\ell_\infty$ . Any collineation stabilising  $\mathcal{U}_{BT}$  must stabilise  $P_\infty$  and  $\ell_\infty$ , so  $\text{P}\Gamma\text{L}(3, q^2)_{\mathcal{U}_{BT}} < \text{P}\Gamma\text{L}(3, q^2)_{\{\ell_\infty, P_\infty\}}$ . Therefore, the orbit of  $\mathcal{U}_{BT}$  under  $\text{P}\Gamma\text{L}(3, q^2)_{\{\ell_\infty, P_\infty\}}$  has size  $q^4(q^2 - 1)^2$ , that is

$$|\text{P}\Gamma\text{L}(3, q^2)_{\mathcal{U}_{BT}}| = \frac{|\text{P}\Gamma\text{L}(3, q^2)_{\{\ell_\infty, P_\infty\}}|}{q^4(q^2 - 1)^2}.$$

We can now see that  $\text{P}\Gamma\text{L}(3, q^2)_{\mathcal{U}_{BT}}$  must have order  $q^2(4e + 2)$ .

Direct calculation shows that  $\psi$  stabilises  $\mathcal{U}_{BT}$ . Because  $\mathbf{x}^{2^{4e+2}} = \mathbf{x}^{q^2} = \mathbf{x}$ , the collineation  $\psi^{4e+2}$  is a linear map stabilising  $\mathcal{U}_{BT}$ , and so  $\psi^{4e+2} \in G$ . Therefore, we deduce that  $|\psi| = (4e + 2)|\psi^{4e+2}|$ . From Corollary 2, it follows that  $|\psi^{4e+2}| \in \{1, 2, 4\}$ , with  $|\psi^{4e+2}| = 4$  if and only if  $\psi^{4e+2}$  is induced by  $M_{u,v}$  for some  $u \neq 0$ . Hence,  $|\psi^{4e+2}| = 4$  if and only if  $\psi^{4e+2}(0, 1, 0) \neq (0, 1, 0)$  as  $(0, 1, 0)M_{u,v} = (0, 1, u + u\epsilon)$ . Consider the point  $(0, 1, z)$  for some arbitrary  $z \in \mathbb{F}_q$ . Direct calculation shows that  $\psi(0, 1, z) = (0, 1, 1 + \mu z^2)$ , where  $\mu = \frac{\delta^{\sigma+1}}{\delta^{\sigma/2}(1+\epsilon)} = \delta^{\sigma/2}\epsilon$ . Thus,

$$\psi^k(0, 1, z) = \left(0, 1, \sum_{i=0}^k \mu^{2^i-1} + zg(z)\right)$$

for some polynomial  $g(z)$  depending on  $k$ . If  $z = 0$  and  $k = 4e + 2$  we thus find

$$\begin{aligned} \psi^{4e+2}(0, 1, 0) &= \left(0, 1, \sum_{i=0}^{4e+2} \mu^{2^i-1}\right) \\ &= \left(0, 1, \frac{\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\mu)}{\mu}\right). \end{aligned}$$

Recall that  $\epsilon^q = \epsilon + 1$ , so  $\text{Tr}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(\epsilon) = 1$ . Therefore, we have  $\text{Tr}_{\mathbb{F}_{q^2}/\mathbb{F}_2}(\delta^{\sigma/2}\epsilon) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\text{Tr}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(\delta^{\sigma/2}\epsilon)) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta^{\sigma/2}\text{Tr}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(\epsilon)) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta^{\sigma/2}) = 1$ . Hence, we see  $\psi((0, 1, 0)) \neq (0, 1, 0)$ , so  $|\psi^{4e+2}| = 4$  and  $|\psi| = 16e + 8$ . Let  $K = \langle \psi \rangle$ , because  $|K \cap G| = 4$ , it follows that  $|GK| = q^2(4e + 2)$  and thus  $GK = \text{P}\Gamma\text{L}(3, q^2)_{\mathcal{U}_{BT}}$ .  $\square$

### 4 On the feet of the Buekenhout-Tits unital

Recall that the feet  $\tau_P(U)$  of a point  $P$  not on a unital  $U$  is the set of all points on tangent lines to  $U$  through  $P$ . The feet of the Buekenhout-Tits unital  $\mathcal{U}_{BT}$  (as coordinatised in 1) for points  $P \notin \mathcal{U}_{BT}$  are first described by Ebert in [9]. He shows that the feet of a point  $P = (1, y_1 + y_2\epsilon, z_1 + z_2\epsilon)$  is the following set of points:

$$\begin{aligned} \tau_P(\mathcal{U}_{BT}) &= \{(1, s + t\epsilon, s^2 + t^2\delta + st + y_1s + y_1t + y_2\delta t + z_1 + (s^{\sigma+2} + t^\sigma + st)\epsilon) \\ &|s, t \in \mathbb{F}_q, s^{\sigma+2} + t^\sigma + st = y_2s + y_1t + z_2\}. \end{aligned} \tag{4}$$

If the line  $\ell$  has Equation  $\alpha x + y = 0$ , where  $\alpha \in \mathbb{F}_{q^2}$ , Ebert shows that  $|\ell \cap \tau_P(\mathcal{U}_{BT})| \leq 1$ . Otherwise,  $\ell$  has equation  $(a_1 + a_2\epsilon)x + (b_1 + b_2\epsilon)y + z = 0$  and Ebert shows that  $\ell$  meets  $\tau_P(\mathcal{U}_{BT})$  in the points  $P_{r,s,t} \in \mathcal{U}_{BT}$ , where  $r = s^2 + t^2\delta + st + y_1s + y_1t + y_2\delta t + z_1$  and  $s, t$  satisfy

$$s^2 + \delta t^2 + st + (y_1 + b_1)s + (y_1 + y_2\delta + b_2\delta)t + z_1 + a_1 = 0, \tag{5}$$

$$s^{\sigma+2} + t^\sigma + st = b_2s + (b_1 + b_2)t + a_2, \tag{6}$$

$$y_2s + y_1t + z_2 = b_2s + (b_1 + b_2)t + a_2. \tag{7}$$

We will show that for all choices of points  $P \notin \ell_\infty$  and lines  $\ell$ ,  $|\tau_P(\mathcal{U}_{BT}) \cap \ell| \leq 4$ .

Recall that the group  $G$  as described in Result 1 has  $q^2 - q$  orbits of  $\text{PG}(2, q^2) \setminus (\mathcal{U}_{BT} \cup \ell_\infty)$  of size  $q^2$ . Here we give a set of  $q^2 - q$  representatives for these orbits.

**Lemma 4** *Let  $G$  be the group of projectivities stabilising  $\mathcal{U}_{BT}$  as described in Result 1. Then, the set of  $q^2 - q$  points  $\{P_{a,b} = (1, a, b\epsilon) \mid a, b \in \mathbb{F}_q, b \neq a^{\sigma+2}\}$  are points from  $q^2 - q$  distinct point orbits of size  $q^2$  under  $G$ .*

**Proof** Suppose there exists a collineation of  $G$  induced by a matrix  $M_{u,v}$  such that  $P_{a,b}M_{u,v} = P_{c,d}$ . Then,

$$(1, a, b\epsilon) \begin{bmatrix} 1 & u\epsilon & v + u^\sigma\epsilon \\ 0 & 1 & u + u\epsilon \\ 0 & 0 & 1 \end{bmatrix} = (1, c, d\epsilon).$$

However, it is clear that  $P_{a,b}M_{u,v} = (1, a + u\epsilon, v + u^\sigma\epsilon + a(u + u\epsilon) + b\epsilon)$ , so  $a + u\epsilon = c$ . Therefore,  $a = c$  and  $u = 0$ . If  $u = 0$ , then  $v + b\epsilon = d\epsilon$ , and we have  $b = d$ . Hence,  $P_{a,b} = P_{c,d}$  and the lemma follows.  $\square$

There are  $q^4 - q^3 = q^2(q^2 - q)$  points of  $\text{PG}(2, q^2)$  not on  $\ell_\infty$  or  $\mathcal{U}_{BT}$ . By Lemma 4, each of these points lies in the orbit of a point of the form  $(1, a, b\epsilon)$ . Therefore, in order to study the feet of a point  $P$ , we may assume that the point  $P = (1, y_1, z_2\epsilon)$ .

The following lemma shows that the feet of a point  $P = (1, y_1, z_2\epsilon)$ , with  $y_1^{\sigma+2} \neq z_2$  meets almost all lines in at most 2 points.

**Lemma 5** *Let  $\ell : \alpha x + \beta y + z = 0$  be a line in  $\text{PG}(2, q^2)$ , where  $\alpha = a_1 + a_2\epsilon, \beta = b_1 + b_2\epsilon$  and  $a_1, a_2, b_1, b_2 \in \mathbb{F}_q$ . Let  $P = (1, y_1, z_2\epsilon)$ , with  $y_1, z_2 \in \mathbb{F}_q$  such that  $z_2 \neq y_1^{\sigma+2}$ . Unless  $b_2 = 0, y_1 = b_1$  and  $a_2 = z_2$ , we have  $|\tau_P(\mathcal{U}_{BT}) \cap \ell| \leq 2$ .*

**Proof** From the description given in Eq. (4), we see that the points  $P_{r,s,t} \in \tau_P(\mathcal{U}_{BT})$  satisfy

$$s^{\sigma+2} + t^\sigma + st = y_1t + z_2, \tag{8}$$

and this equation has  $q + 1$  solutions. Substituting Eqs. (8) into (5) and combining Eqs. (6) and (7), it follows that the points  $P_{r,s,t} \in \tau_P(\mathcal{U}_{BT}) \cap \ell$  have  $s, t$  satisfying

$$s^{\sigma+2} + t^\sigma + st + y_1t + z_2 = 0.$$

$$s^2 + \delta t^2 + st + (y_1 + b_1)s + (y_1 + b_2\delta)t + a_1 = 0 \tag{9}$$

$$b_2s + (y_1 + b_1 + b_2)t + a_2 + z_2 = 0 \tag{10}$$

We will now count the solutions to this system, by considering the geometry of these equations in the solution space  $\text{AG}(2, q)$  with coordinates  $(s, t)$ . Recall that the points  $(1, s, t, s^{\sigma+2} + t^\sigma + st)$ , where  $s, t \in \mathbb{F}_q$  are the  $q^2$  affine points of a Tits ovoid in  $\text{PG}(3, q)$  [14]. Because  $\tau_P(\mathcal{U}_{BT})$  has  $q + 1$  points, the Eq. 8 must have  $q + 1$  solutions  $(s, t)$  in the solution space. Hence the  $q + 1$  points  $(s, t)$  in  $\text{AG}(2, q)$  satisfying 8 are a translation oval.

Unless  $b_2 = 0$  and  $y_1 = b_1$ , Eq. (10) represents a line in the solution space  $\text{AG}(2, q)$ . A line meets the oval defined by Eq. 8 in at most two points, so we have at most two solutions to the system. If  $b_2 = 0, y_1 = b_1$ , and  $a_2 \neq z_2$ , then Eq. (10) has no solutions.  $\square$

**Remark 2** Lemma 5 is a refinement of [4, Theorem 4.33], where Barwick and Ebert rework Ebert’s earlier proof in [9] that the feet of a point  $P \notin (\ell_\infty \cup \mathcal{U}_{BT})$  are not collinear. This reworked proof asserts that the feet cannot be collinear because the line given by Eq. (10) and the conic from Eq. (9) cannot have  $q + 1$  common solutions. However, we can see that this logic is not complete, and leaves an interesting case to examine when Eq. (10) vanishes. Ebert’s original proof in [9] does not contain this error, instead arguing that Eqs. (9) and 8 cannot have  $q + 1$  common solutions.

It follows from Lemma 5 that the feet of a point  $P \notin (\ell_\infty \cup \mathcal{U}_{BT})$  is a set of  $q + 1$  points such that every line meets  $\tau_P(\mathcal{U}_{BT})$  in at most two points except for a set of  $q$  concurrent lines.

To investigate the latter case, assume that  $b_2 = 0, y_1 = b_1$  and  $a_2 = z_2$ . In this case, Eq. (10) vanishes. The system describing  $\ell \cap \tau_P(\mathcal{U}_{BT})$  is thus

$$s^2 + \delta t^2 + st = y_1 t + a_1 \tag{11}$$

$$s^{\sigma+2} + t^\sigma + st = y_1 t + z_2. \tag{12}$$

The lines that produce these cases are the lines with dual coordinates  $[a_1 + z_2\epsilon, y_1, 1]$ . These lines are concurrent at the point  $(0, 1, y_1)$  which lies on  $\ell_\infty$ . We will show in Corollary 4 that these latter lines meet  $\tau_P(\mathcal{U}_{BT})$  in at most four points.

Recall that an affine section of a Tits ovoid in  $\text{PG}(3, q)$  contains  $q + 1$  points equivalent under  $\text{PGL}(3, q^2)$  to the translation oval [14]

$$\mathcal{D}_\sigma = \{(1, t, t^\sigma) \mid t \in \mathbb{F}_q\} \cup \{(0, 0, 1)\}.$$

For a reference on translation ovals, see [11, pp. 182–186]. We require the following lemma, which adapts arguments found in [6, Lemma 2.1].

**Lemma 6** *Let  $\mathcal{O}$  be a translation oval in  $\text{PG}(2, q)$  projectively equivalent to  $\mathcal{D}_\sigma$ , and let  $\mathcal{C}$  be a non-degenerate conic. If the nucleus of  $\mathcal{O}$  is also the nucleus of  $\mathcal{C}$ , then  $|\mathcal{O} \cap \mathcal{C}| \leq 4$ .*

**Proof** Without loss of generality we may take  $\mathcal{O} = \mathcal{D}_\sigma$ , so that the nucleus of  $\mathcal{O}$  is  $N = (0, 1, 0)$ . If  $N$  is also the nucleus of  $\mathcal{C}$ , then  $\mathcal{C}$  is a conic of the following form,

$$a_1x^2 + a_2y^2 + a_3z^2 + xz = 0,$$

for some  $a_1, a_2, a_3 \in \mathbb{F}_q$  with  $a_2 \neq 0$ . Suppose that  $(0, 0, 1) \notin \mathcal{C}$ . Then  $a_3 \neq 0$ , and the point  $(1, t, t^\sigma) \in \mathcal{C}$  if and only if  $t$  satisfies

$$a_1 + a_2t^2 + a_3t^{2\sigma} + t^\sigma = 0, \tag{13}$$

hence,

$$0 = (a_1 + a_2t^2 + a_3t^{2\sigma} + t^\sigma)^{\sigma/2} = a_1^{\sigma/2} + a_2^{\sigma/2}t^\sigma + a_3^{\sigma/2}t^2 + t.$$

Therefore,

$$t^\sigma = \left(\frac{a_3}{a_2}\right)^{2e} t^2 + \frac{1}{a_2^e} t + \left(\frac{a_1}{a_2}\right)^{2e}. \tag{14}$$

and substituting Eqs. (14) into (13), we find that Eq. (13) has at most four solutions. If instead  $(0, 0, 1) \in \mathcal{C}$ , then  $a_3 = 0$  and arguing as above we find that Eq. (13) has at most two solutions, so  $|\mathcal{O} \cap \mathcal{C}| \leq 3$ . □

**Corollary 4** *The feet of a point  $P \notin (\ell_\infty \cup \mathcal{U}_{BT})$  meet a line  $\ell$  in at most four points.*

**Proof** From Lemma 5, we know we can restrict ourselves to the case  $b_2 = 0, y_1 = b_1, a_2 = z_2$  which means we are looking at the points  $P_{r,s,t} \in \tau_P(\mathcal{U}_{BT}) \cap \ell$  have  $s, t$  satisfying

$$s^2 + \delta t^2 + st = y_1 t + a_1 \tag{15}$$

$$s^{\sigma+2} + t^\sigma + st = y_1 t + z_2, \tag{16}$$



where Eq. (15) represents a conic  $\mathcal{C}$ , and Eq. (16) represents an oval  $\mathcal{O}$  in  $\text{AG}(2, q)$ . If the conic is degenerate, the oval and conic have at most four points in common. So we may assume that the conic is non-degenerate. The nucleus of  $\mathcal{C}$  is  $N = (y_1, 0, 1)$ . We now show that  $N$  is the nucleus of the oval  $\mathcal{O}$ . The line  $t = 0$  goes through  $N$  and meets the oval  $\mathcal{O}$  when  $s^{\sigma+2} = z_2$ , which has one solution as  $\sigma + 2$  is a permutation of  $\mathbb{F}_q$ . The line  $s + y_1 = 0$  through  $N$  meets the oval  $\mathcal{O}$  when  $t^\sigma = y^{\sigma+2} + z_2$  which has one solution for  $t$ . Therefore,  $N$  is the nucleus, as it is the intersection of two tangent lines to the oval. It now follows from Lemma 6 that Eqs. (15) and (16) have at most four common solutions.  $\square$

We now show the existence of a point  $P \notin (\mathcal{U}_{BT} \cup \ell_\infty)$  and a line  $\ell$  such that  $|\ell \cap \tau_P(\mathcal{U}_{BT})| = 3$ , and demonstrate our bound is sharp.

**Lemma 7** Consider the Equation  $s^{\sigma+2} + t^\sigma + st = y_1t + z_2$ , whose solutions  $(s, t)$  are a translation oval of  $\text{AG}(2, q)$ . If  $y_1 = 0$ , then the points of the oval given by Eq. (16) are

$$\left\{ P_u = \left( \frac{z_2^{1-\sigma/2}u^\sigma}{1+u+u^\sigma}, \frac{z_2^{\sigma/2}(1+u^\sigma)}{1+u+u^\sigma} \right) \mid u \in \mathbb{F}_q \right\} \cup \left\{ (z_2^{1-\sigma/2}, z_2^{\sigma/2}) \right\}.$$

**Proof** If  $y_1 = 0$ , then Eq. (16) reduces to

$$s^{\sigma+2} + t^\sigma + st + z_2 = 0. \tag{17}$$

Using the properties of  $\sigma$  described in Sect. 1.3, one can show the point  $(z_2^{1-\sigma/2}, z_2^{\sigma/2})$  satisfies Eq. (17). Furthermore, the points  $\overline{P}_u = (z_2^{1-\sigma/2}u^\sigma, z_2^{\sigma/2}(1+u^\sigma), 1+u+u^\sigma)$ , where  $u \in \mathbb{F}_q$ , are projective points satisfying the following homogeneous equation

$$x^{\sigma+2} + y^\sigma z^2 + xyz^\sigma + z_2 z^{\sigma+2} = 0.$$

Because  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(u+u^\sigma) = 0$ , and  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(1) = 1$  when  $q = 2^{2e+1}$ , we have  $u^\sigma + u + 1 \neq 0$  for all  $u \in \mathbb{F}_q$ . Thus, normalising so  $z = 1$ , the points  $\overline{P}_u$  have the form  $(s, t, 1)$  where  $s$  and  $t$  satisfy Eq. (17).  $\square$

**Corollary 5** Let  $y_1 = 0$  and consider the points  $P_u$  as described in Lemma 7. A point  $P_u$  lies on the conic given by Eq. (15), if and only if  $u$  is a root of the following polynomial

$$a_1^{\sigma/2}u^\sigma + (z_2^{\sigma-1} + \delta\sigma/2 z_2 + z_2^{\sigma/2} + a_1^{\sigma/2})u^2 + z_2^{\sigma/2}u + \delta\sigma/2 z_2 + a_1^{\sigma/2}. \tag{18}$$

**Proof** By directly substituting  $P_u$  into Eq. (15) we have

$$(z_2^{2-\sigma} + \delta z_2^\sigma + z_2 + a_1)u^{2\sigma} + z_2 u^\sigma + a_1 u^2 + (\delta z_2^\sigma + a_1) = 0. \tag{19}$$

Raising both sides of Eq. (19) to the power of  $\sigma/2$  yields our result.  $\square$

**Theorem 3** Let  $U$  be a Buekenhout-Tits unital in  $\text{PG}(2, q^2)$ . The feet of a point  $P \notin (\ell_\infty \cup U)$  meet a line  $\ell$  in at most four points. Moreover, there exists a line  $\ell$  and point  $P$  such that  $|\ell \cap \tau_P(U)| = k$  for each  $k \in \{0, 1, 2, 3, 4\}$ .

**Proof** By Theorem 1 we may assume that  $U = \mathcal{U}_{BT}$ . The first part of the proof comes from Corollary 4. Let  $P = (1, y_1, z_2\epsilon)$ . All lines through  $P$  meet  $\tau_P(U)$  in at most one point by definition, so it is clear that there exists lines  $\ell$  such that  $|\ell \cap \tau_P(U)|$  is zero or one. Because the points of  $\tau_P(U)$  are not collinear, there exists a pair of points  $Q, R \in \tau_P(U)$  such that the line  $QR$  does not contain  $(0, 1, y_1)$ . Because  $QR$  does not contain  $(0, 1, y_1)$  it cannot have dual coordinates of the form  $[a_1 + z_2\epsilon, y_1, 1]$  for any  $a_1 \in \mathbb{F}_q$ , and so Lemma 5 applies to  $QR$ . Hence, the line  $QR$  meets  $\tau_P(U)$  in precisely two points.

Now consider a line  $\ell$  with Equation  $(\delta + \epsilon)x + z = 0$  and let  $P$  be the point  $(1, 0, \epsilon)$  (that is,  $a_1 = \delta, a_2 = 1, b_1 = b_2 = y_1 = 0, z_2 = 1$ ). The number of points of  $\ell \cap \tau_P(U)$  is the same as the number of solutions to Eqs. (11) and (12). By Lemma 7 the points  $P_u$  satisfying Eq. (12) lie on the conic determined by Eq. (11) when

$$\delta^{\sigma/2}u^\sigma + u = u(\delta^{\sigma/2}u^{\sigma-1} + 1) = 0. \tag{20}$$

Equation (20) has exactly two solutions as  $\sigma - 1$  is a permutation of  $\mathbb{F}_q$ :  $u = 0$  and the unique solution to  $u^{\sigma-1} = \frac{1}{\delta^{\sigma/2}}$ . It can also be shown that  $(z_2^{1-\sigma/2}, z_2^{\sigma/2}) = (1, 1)$  satisfies both equations. Hence, the intersection of the feet of the point  $(1, 0, \epsilon)$  and  $\ell$  has exactly three points.

Finally, consider the point  $P(1, 0, \frac{1}{\delta^\sigma}\epsilon)$  and the line  $\ell$  with dual coordinates  $[\frac{1}{\delta} + \frac{1}{\delta^2}\epsilon, 0, 1]$ . By Corollary 5, the number of feet of  $P$  on the line  $\ell$  is the number of roots of the polynomial (18), where  $a_1 = \frac{1}{\delta}$  and  $z_2 = \frac{1}{\delta^\sigma}$ . Substituting  $a_1 = \frac{1}{\delta}$  and  $z_2 = \frac{1}{\delta^\sigma}$  yields

$$\frac{1}{\delta^{\sigma/2}}u^\sigma + \left(\frac{1}{\delta^{2-\sigma}} + \frac{1}{\delta}\right)u^2 + \frac{1}{\delta}u = 0. \tag{21}$$

Since Eq. (21) describes the roots of a  $\mathbb{F}_2$ -linearised polynomial, and there are at most 4 roots, we have that the polynomial (18) has 1, 2, or 4 roots. We will show that, under the condition  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta) = 1$ , it has four roots. Multiplying Eq. (21) by  $\delta$  yields  $\delta^{1-\sigma/2}u^\sigma + (\delta^{\sigma-1} + 1)u^2 + u = 0$  and now substituting  $a = \delta^{\sigma-1} + 1$  gives

$$(a^{\sigma/2} + 1)u^\sigma + au^2 + u = 0. \tag{22}$$

We find that  $u = 0$  and  $u = \frac{1}{a^{1+\sigma/2}}$  are solutions to Eq. (22). Now consider

$$u^\sigma + au^2 + 1 = 0. \tag{23}$$

Any solution to Eq. (23) also satisfies  $(u^\sigma + au^2 + 1)^{\sigma/2} + u^\sigma + au^2 + 1 = 0$  which is precisely Eq. (22). Multiply Eq. (23) with  $a^{\sigma+1}$ , then we find  $(a^{\sigma/2+1}u)^\sigma + (a^{\sigma/2+1}u)^2 + a^{\sigma+1} = 0$ , and letting  $z = (a^{\sigma/2+1}u)^2$ ,

$$z^{\sigma/2} + z + a^{\sigma+1} = 0, \tag{24}$$

which is known (see [12]) to have solutions if and only if  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(a^{\sigma+1}) = 0$ . As  $z = 0$  and  $z = 1$  are not solutions of Eq. (24), no solutions of Eq. (24) correspond to the solutions  $u = 0$  or  $u = \frac{1}{a^{1+\sigma/2}}$  of Eq. (21). Furthermore, recall that Eq. (21) has 1, 2 or 4 solutions and that we have assumed that  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta) = 1$ . Since  $\delta^{\sigma-1} = a + 1$ , it follows that  $\delta = (a+1)^{\sigma+1}$  and  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(a^{\sigma+1} + a^\sigma + a + 1) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(a^{\sigma+1}) + \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(1) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(a^{\sigma+1}) + 1$ . Hence, the conditions  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\delta) = 1$  and  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(a^{\sigma+1}) = 0$  are equivalent, and we find exactly four solutions to Eq. (21).  $\square$

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