

# **Six constructions of asymptotically optimal codebooks via the character sums**

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# **Abstract**

In this paper, using additive characters of finite field, we find a codebook which is equivalent to the measurement matrix in Mohades et al. (IEEE Signal Process Lett 21(7):839–843, 2014). The advantage of our construction is that it can be generalized naturally to construct the other five classes of codebooks using additive and multiplicative characters of finite field. We determine the maximum cross-correlation amplitude of these codebooks by the properties of characters and character sums. We prove that all the codebooks we constructed are asymptotically optimal with respect to the Welch bound. The parameters of these codebooks are new.

**Keywords** Codebook · Asymptotic optimality · Welch bound · Gauss sum · Jacobi sum

**Mathematics Subject Classification** 94A05 · 11T24

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### **1 Introduction**

An  $(N, K)$  codebook  $C = {\mathbf{c}_0, \mathbf{c}_1, ..., \mathbf{c}_{N-1}}$  is a set of *N* unit-norm complex vectors  $\mathbf{c}_i \in \mathbb{C}^K$ over an alphabet *A*, where  $i = 0, 1, \ldots, N - 1$ . The size of *A* is called the alphabet size of *C*. As a performance measure of a codebook in practical applications, the maximum crosscorrelation magnitude of an  $(N, K)$  codebook  $\mathcal C$  is defined by

$$
I_{max}(\mathcal{C}) = \max_{0 \le i \ne j \le N-1} |\mathbf{c}_i \mathbf{c}_j^H|,
$$

where  $\mathbf{c}^H_j$  denotes the conjugate transpose of the complex vector  $\mathbf{c}_j$ . To evaluate an  $(N, K)$ codebook *C*, it is important to find the minimum achievable  $I_{max}(\mathcal{C})$  or its lower bound. The Welch bound [\[27](#page-19-0)] provides a well-known lower bound on  $I_{max}(\mathcal{C})$ ,

$$
I_{max}(\mathcal{C}) \geq I_W = \sqrt{\frac{N-K}{(N-1)K}}.
$$

The equality holds if and only if for all pairs of  $(i, j)$  with  $i \neq j$ 

$$
|\mathbf{c}_i\mathbf{c}_j^H| = \sqrt{\frac{N-K}{(N-1)K}}.
$$

A codebook *C* achieving the Welch bound equality is called a maximum-Welch-boundequality (MWBE) codebook [\[24](#page-18-0)] or an equiangular tight frame [\[14](#page-18-1)]. MWBE codebooks are employed in various applications including code-division multiple-access(CDMA) communication systems [\[20\]](#page-18-2), communications [\[24](#page-18-0)], combinatorial designs [\[3](#page-18-3)[,4](#page-18-4)[,29\]](#page-19-1), packing [\[2\]](#page-18-5), compressed sensing [\[1\]](#page-18-6), coding theory [\[5\]](#page-18-7) and quantum computing [\[23\]](#page-18-8). To our knowledge, only the following MWBE codebooks are presented as follows:

- $(N, N)$  orthogonal MWBE codebooks for any  $N > 1$  [\[24](#page-18-0)[,29\]](#page-19-1);
- (*N*, *N* − 1) MWBE codebooks for *N* > 1 based on discrete Fourier transformation matrices [\[24](#page-18-0)[,29](#page-19-1)] or *m*-sequences [\[24\]](#page-18-0);
- (*N*, *K*) MWBE codebooks from conference matrices [\[2](#page-18-5)[,25](#page-18-9)], where  $N = 2K = 2^{d+1}$ for a positive integer *d* or  $N = 2K = p<sup>d</sup> + 1$  for an odd prime *p* and a positive integer *d*;
- (*N*, *K*) MWBE codebooks based on  $(N, K, \lambda)$  difference sets in cyclic groups [\[29\]](#page-19-1) and abelian groups [\[3](#page-18-3)[,4\]](#page-18-4);
- $(N, K)$  MWBE codebooks from  $(2, k, v)$ -Steiner systems [\[7\]](#page-18-10);
- (*N*, *K*) MWBE codebooks depended on graph theory and finite geometries [\[6](#page-18-11)[,8](#page-18-12)[,9](#page-18-13)[,22\]](#page-18-14).

The construction of an MWBE codebook is known to be very hard in general, and the known classes of MWBE codebooks only exist for very restrictive *N* and *K*. Many researches have been done instead to construct asymptotically optimal codebooks, i.e., codebook *C* whose  $I_{max}$  (*C*) asymptotically achieves the Welch bound. In [\[24](#page-18-0)], Sarwate gave some asymptotically optimal codebooks from codes and signal sets. As an extension of the optimal codebooks based on difference sets, various types of asymptotically optimal codebooks based on almost difference sets, relative difference sets and cyclotomic classes were proposed, see [\[3](#page-18-3)[,13](#page-18-15)[,31](#page-19-2)[–33](#page-19-3)]. Asymptotically optimal codebooks constructed from binary row selection sequences were presented in  $[12,30]$  $[12,30]$ . In  $[10,11,17-19]$  $[10,11,17-19]$  $[10,11,17-19]$  $[10,11,17-19]$ , some asymptotically optimal codebooks were constructed via Jacobi sums and hyper Eisenstein sum.

In [\[21](#page-18-21)], the authors combined a Reed–Solomon generator matrix with itself by the tensor product and employed this generated matrix to construct a complex measurement matrix.

They proved that this matrix is asymptotically optimal according to the Welch bound. In this paper, we find a codebook which is equivalent to the measurement matrix in [\[21](#page-18-21)]. The codebook is actually the first construction in Sect. [3,](#page-5-0) using additive characters of finite field. The advantage of our construction is that it can be generalized naturally to construct the other five classes of codebooks using additive and multiplicative characters of finite field. We determine the maximum cross-correlation amplitude of these codebooks by the properties of characters and character sums. All of these codebooks we constructed are asymptotically optimal according to the Welch bound. As a comparison, in Table [1,](#page-3-0) we list the parameters of some known classes of asymptotically optimal codebooks and those of the new ones.

This paper is organized as follows. In Sect. [2,](#page-2-0) we recall some notations and basic results which will be needed in our discussion. In Sect. [3,](#page-5-0) we present our six constructions of asymptotically optimal codebooks. In Sect. [4,](#page-16-0) we derive another family of codebooks, which are also asymptotically optimal. In Sect. [5,](#page-18-22) we conclude this paper.

# <span id="page-2-0"></span>**2 Preliminaries**

In this section, we introduce some basic results on characters and character sums over finite fields, which will play important roles in the constructions of codebooks.

In this paper, we set *q* be a power of a prime *p*, and  $\mathbb{F}_q$  be a finite field with *q* elements. For a set *E*, #*E* denotes the cardinality of *E*.

#### **2.1 Characters over finite fields**

Let  $\mathbb{F}_q$  be a finite field. In this subsection, we recall the definitions of the additive and multiplicative characters of  $\mathbb{F}_q$ .

For each  $a \in \mathbb{F}_q$ , an additive character of  $\mathbb{F}_q$  is defined by the function  $\chi_a(x) = \zeta_p^{\text{Tr}_{q/p}(ax)}$ , where  $\zeta_p$  is a primitive *p*-th root of complex unity and  $\text{Tr}_{q/p}(\cdot)$  is the trace function from  $\mathbb{F}_q$  to  $\mathbb{F}_p$ . By the definition,  $\chi_a(x) = \chi_1(ax)$ . When  $a = 0$ , we call  $\chi_0$  the trivial additive character of  $\mathbb{F}_q$ . When  $a = 1$ , we call  $\chi_1$  the canonical additive character of  $\mathbb{F}_q$ . Let  $\widehat{\mathbb{F}_q}$  be the set of all additive characters of  $\mathbb{F}_q$ . The orthogonal relation of additive characters (see  $[16]$ ) is given by

$$
\sum_{x \in \mathbb{F}_q} \chi_a(x) = \begin{cases} q, \text{ if } a = 0, \\ 0, \text{ otherwise.} \end{cases}
$$

As in [\[16](#page-18-23)], the multiplicative characters of  $\mathbb{F}_q$  is defined as follows. For  $j = 0, 1, ..., q-2$ , the functions  $\varphi_j$  defined by

$$
\varphi_j(\alpha^i) = \zeta_{q-1}^{ij},
$$

are all the multiplicative characters of  $\mathbb{F}_q$ , where  $\alpha$  is a primitive element of  $\mathbb{F}_q^*$ , and  $0 \le i \le n$ *q* − 2. If *j* = 0, we have  $\varphi_0(x) = 1$  for any  $x \in \mathbb{F}_q^*$ ,  $\varphi_0$  is called the trivial multiplicative character of  $\mathbb{F}_q$ . Let  $\widehat{\mathbb{F}_q^*}$  be the set of all the multiplicative characters of  $\mathbb{F}_q^*$ .

Let  $\varphi$  be a multiplicative character of  $\mathbb{F}_q$ . The orthogonal relation of multiplicative characters (see  $[16]$ ) is given by

$$
\sum_{x \in \mathbb{F}_q^*} \varphi(x) = \begin{cases} q - 1, & \text{if } \varphi = \varphi_0, \\ 0, & \text{otherwise.} \end{cases}
$$

<span id="page-3-0"></span>**Table 1** The parameters of codebooks asymptotically meeting the Welch bound

| Parameters $(N, K)$   | <i>Imax</i>                                    | References         |
|---|--|--------------------|
| $(p^n, K = \frac{p-1}{2p}(p^n + p^{n/2}) + 1)$<br>with odd $p$  | $\frac{(p+1)p^{n/2}}{2nK}$                     | $[12]$             |
| $(q^2, \frac{(q-1)^2}{2}), q = p^s$ with odd p  | $\frac{q+1}{(q-1)^2}$                          | $\lceil 31 \rceil$ |
| $(q(q+4), \frac{(q+3)(q+1)}{2})$ , q is a prime<br>power  | $\frac{1}{q+1}$                                | $\lceil 15 \rceil$ |
| $(q, \frac{q-1}{2})$ , q is a prime power   | $\frac{\sqrt{q}+1}{q-1}$                       | $\lceil 15 \rceil$ |
| $(p^n-1, \frac{p^n-1}{2})$ with odd p   | $\frac{\sqrt{p^n+1}}{p^n-1}$                   | $\left[30\right]$  |
| $(q^{l} + q^{l-1} - 1, q^{l-1})$ for any $l > 2$  | $\frac{1}{\sqrt{d^{l-1}}}$                     | $\lceil 33 \rceil$ |
| $((q-1)^k + q^{k-1}, q^{k-1})$ , for any<br>$k > 2$ and $q \ge 4$   | $\frac{\sqrt{q^{k+1}}}{(q-1)^k+(-1)^{k+1}}$    | $\lceil 11 \rceil$ |
| $((q - 1)^k + K, K)$ , for any $k > 2$ ,<br>where $K = \frac{(q-1)^k + (-1)^{k+1}}{q}$                    | $\sqrt{q^{k-1}}$                               | [11]               |
| $((qs - 1)n + K, K)$ , for any $s > 1$<br>and $n > 1$ , where<br>$K = \frac{(q^s - 1)^n + (-1)^{n+1}}{q}$ | $\frac{\sqrt{q^{sn+1}}}{(a^s-1)^n+(-1)^{n+1}}$ | $[17]$             |
| $((qs - 1)n + qsn-1, qsn-1)$ , for any<br>$s > 1$ and $n > 1$   | $\frac{\sqrt{q^{sn+1}}}{(a^s-1)^n+(-1)^{n+1}}$ | $\lceil 17 \rceil$ |
| $(q-1, \frac{q(r-1)}{2r}), r = p^t, q = r^s,$<br>with odd p and p $\nmid s$                               | $\frac{\sqrt{r}}{\sqrt{q}(\sqrt{r}-1)}$        | [28]               |
| $(q^2, \frac{q(q+1)(r-1)}{2r}), r = p^t, q = r^s,$<br>with odd p  | $\frac{(r+1)q}{2rK}$                           | [28]               |
| $(q^3, q^2)$ and $(q^3 + q^2, q^2)$ , q is a<br>prime power   | $\frac{1}{q}$                                  | This paper         |
| $((q-1)q^2, (q-1)q)$ and<br>$(q^2 - 1, (q - 1)q)$ , q is a prime<br>power                                 | $\frac{1}{q-1}$                                | This paper         |
| $((q - 1)q^2, (q - 1)^2)$ and<br>$(q^3 - 2q + 1, (q - 1)^2)$ , q is a<br>prime power                      | $\frac{q}{(q-1)^2}$                            | This paper         |
| $((q-1)^2q, (q-1)^2)$ and<br>$(q^3-q^2-q+1, (q-1)^2)$ , q is a<br>prime power                             | $\frac{q}{(q-1)^2}$                            | This paper         |
| $((q-1)^2q, (q-1)(q-2))$ and<br>$(q^3-q^2-2q+2, (q-1)(q-2)),$<br>$q$ is a prime power                     | $\frac{q}{(q-1)(q-2)}$                         | This paper         |
| $((q-1)^3, (q-2)^2)$ and<br>$(q^3-2q^2-q+3, (q-2)^2)$ , q is<br>a prime power                             | $\frac{q}{(q-2)^2}$                            | This paper         |

### **2.2 Character sums over finite fields**

### **2.2.1 Gauss sum**

Let  $\varphi$  be a multiplicative character of  $\mathbb{F}_q$  and  $\chi$  an additive character of  $\mathbb{F}_q$ . Then the Gauss sum over  $\mathbb{F}_q$  is given by

$$
G(\varphi, \chi) = \sum_{x \in \mathbb{F}_q^*} \varphi(x) \chi(x).
$$

<span id="page-4-0"></span>For simplicity, we write  $G(\varphi, \chi_1)$  over  $\mathbb{F}_q$  simply as  $g(\varphi)$ . It is easy to see the absolute value of  $G(\varphi, \chi)$  is at most  $q-1$ , but is much smaller in general. The following lemma shows all the cases.

**Lemma 2.1** [\[16](#page-18-23), Theorem 5.11] *Let* ϕ *be a multiplicative character and* χ *an additive character of*  $\mathbb{F}_q$ *. Then the Gauss sum*  $G(\varphi, \chi)$  *over*  $\mathbb{F}_q$  *satisfies* 

$$
G(\varphi, \chi) = \begin{cases} q - 1, & \text{if } \varphi = \varphi_0, \chi = \chi_0, \\ -1, & \text{if } \varphi = \varphi_0, \chi \neq \chi_0, \\ 0, & \text{if } \varphi \neq \varphi_0, \chi = \chi_0. \end{cases}
$$

*For*  $\varphi \neq \varphi_0$  *and*  $\chi \neq \chi_0$ *, we have*  $|G(\varphi, \chi)| = \sqrt{q}$ *.* 

<span id="page-4-1"></span>**Lemma 2.2** [\[16](#page-18-23)] *Gauss sums for the finite field*  $\mathbb{F}_q$  *satisfy the following property:* 

 $G(\varphi, \chi_{ab}) = \overline{\varphi}(a)G(\varphi, \chi_b)$  *for*  $a \in \mathbb{F}_q^*$ *,*  $b \in \mathbb{F}_q$ *, where*  $\overline{\varphi}$  *denotes the complex conjugate of* ϕ*.*

#### **2.2.2 Jacobi sum**

The definition of a multiplicative character  $\varphi$  can be extended as follows.

$$
\varphi(0) = \begin{cases} 1, \text{ if } \varphi = \varphi_0, \\ 0, \text{ if } \varphi \neq \varphi_0. \end{cases}
$$

Let  $\varphi_1$  and  $\varphi_2$  be multiplicative characters of  $\mathbb{F}_q$ . The sum

$$
J(\varphi_1, \varphi_2) = \sum_{c_1+c_2=1, c_1, c_2 \in \mathbb{F}_q} \varphi_1(c_1) \varphi_2(c_2)
$$

is called a Jacobi sum in  $\mathbb{F}_q$ .

<span id="page-4-2"></span>The values of Jacobi sums are given as follows.

**Lemma 2.3** [\[16](#page-18-23), Theorem 5.19, Theorem 5.20] *For the values of Jacobi sums, we have the following results.*

- (1) *If*  $\varphi_1$  *and*  $\varphi_2$  *are trivial, then*  $J(\varphi_1, \varphi_2) = q$ .
- (2) If one of the  $\varphi_1$  and  $\varphi_2$  is trivial, the other is nontrivial,  $J(\varphi_1, \varphi_2) = 0$ .
- (3) If  $\varphi_1$  and  $\varphi_2$  are both nontrivial and  $\varphi_1\varphi_2$  is nontrivial, then  $|J(\varphi_1, \varphi_2)| = \sqrt{q}$ .
- (4) *If*  $\varphi_1$  *and*  $\varphi_2$  *are both nontrivial and*  $\varphi_1 \varphi_2$  *is trivial, then*  $|J(\varphi_1, \varphi_2)| = 1$ *.*

#### **2.3 A general construction of codebooks**

Let *D* be a set and  $K = #D$ . Let *E* be a set of some functions which satisfy

 $f: D \to S$ , where S is the unit circle on the complex plane.

A general construction of codebooks is stated as follows in the complex plane,

$$
\mathcal{C}(D; E) = \left\{ \mathbf{c}_f := \frac{1}{\sqrt{K}} (f(x))_{x \in D}, f \in E \right\}.
$$

# <span id="page-5-0"></span>**3 Six constructions of codebooks**

In [\[21\]](#page-18-21), the authors combined a Reed–Solomon generator matrix with itself by the tensor product and employed this generated matrix to construct a complex measurement matrix. They proved that this matrix is asymptotically optimal according to the Welch bound. In this paper, we find a codebook which is equivalent to the measurement matrix in [\[21](#page-18-21)]. The codebook is actually the first construction in Sect. [3,](#page-5-0) which has been obtained in [\[26\]](#page-19-6) recently. The advantage of the first construction is that it can be generalized naturally to construct the other five classes of asymptotically optimal codebooks using additive and multiplicative characters of finite field.

### **3.1 The first construction of codebooks**

Let

$$
D_1 := \{ (x, y, z) \in \mathbb{F}_q \times \mathbb{F}_q \times \mathbb{F}_q : z = xy \}.
$$

Then  $#D_1 = q^2$ .

The codebook  $C_1$  is constructed as

$$
\mathcal{C}_1 := \left\{ \frac{1}{q} (\chi_a(x) \chi_b(y) \chi_c(z))_{(x,y,z) \in D_1} : a, b, c \in \mathbb{F}_q \right\}.
$$

We can derive the following Theorem.

**Theorem 3.1** ([\[26,](#page-19-6) Theorem 1])  $C_1$  *is a codebook with*  $N_1 = q^3$ ,  $K_1 = q^2$  *and*  $I_{max}(C_1) = \frac{1}{q}$ .

**Theorem 3.2** ([\[26,](#page-19-6) Remark 1]) Let  $I_{W1}$  be the Welch bound equality, for the given  $N_1$ ,  $K_1$  in *the current section. We have*

$$
\lim_{q \to \infty} \frac{I_{max}(\mathcal{C}_1)}{I_{W1}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

When codebooks employed in practical applications, only those with only a few correlation values are interesting. The distribution of correlation magnitudes of  $C_1$  is given as follows.

**Corollary 3.3** ([\[26,](#page-19-6) Theorem 1])

$$
|\mathbf{cc}'^H| = \begin{cases} 1, & q^3 \text{ times,} \\ \frac{1}{q}, & q^6 - q^5 \text{ times,} \\ 0, & q^5 - q^3 \text{ times.} \end{cases}
$$

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### **3.2 The second construction of codebooks**

Let

$$
D_2 := \{ (x, y, z) \in \mathbb{F}_q^* \times \mathbb{F}_q \times \mathbb{F}_q : z = xy \}.
$$

Then  $#D_2 = (q - 1)q$ .

The codebook  $C_2$  is constructed as

$$
\mathcal{C}_2:=\left\{\frac{1}{\sqrt{(q-1)q}}(\varphi(x)\chi_b(y)\chi_c(z))_{(x,y,z)\in D_2}:\varphi\in\widehat{\mathbb{F}_q^*}, b,c\in\mathbb{F}_q\right\}.
$$

<span id="page-6-0"></span>We can derive the following Theorem.

**Theorem 3.4** *C*<sub>2</sub> *is a codebook with*  $N_2 = (q - 1)q^2$ ,  $K_2 = (q - 1)q$  *and*  $I_{max}(C_2) = \frac{1}{q-1}$ *.* 

*Proof* By the definition of  $C_2$ , it contains  $(q - 1)q^2$  codewords of length  $(q - 1)q$ . Then it is easy to see  $N_2 = (q^2 - 1)q$  and  $K_2 = (q - 1)q$ . Let **c** and **c**<sup>*'*</sup> be any different codewords in  $C_2$ , **c** =  $\frac{1}{\sqrt{R}}$  $\frac{1}{K_2}(\varphi_j(x)\chi_{b_1}(y)\chi_{c_1}(z))_{(x,y,z)\in D_2}$  and  $\mathbf{c}'=\frac{1}{\sqrt{N}}$  $\frac{1}{K_2}(\varphi_k(x)\chi_{b_2}(y)\chi_{c_2}(z))_{(x,y,z)\in D_2},$ where  $\varphi_j$ ,  $\varphi_k \in \mathbb{F}_q^*$ ,  $b_1, b_2, c_1, c_2 \in \mathbb{F}_q$ . Then the correlation of **c** and **c**' is as follows.

$$
K_{2}\mathbf{cc'}^{H}
$$
\n
$$
= \sum_{(x,y,z)\in D_{2}} \varphi_{j}(x)\chi_{b_{1}}(y)\chi_{c_{1}}(z)\overline{\varphi_{k}(x)}\chi_{b_{2}}(y)\chi_{c_{2}}(z)
$$
\n
$$
= \sum_{x\in \mathbb{F}_{q}^{*}, y\in \mathbb{F}_{q}} \varphi_{j}\overline{\varphi_{k}}(x)\chi((b_{1}-b_{2})y + (c_{1}-c_{2})xy)
$$
\n
$$
= \sum_{x\in \mathbb{F}_{q}^{*}, y\in \mathbb{F}_{q}} \varphi(x)\chi(b_{1}+c_{2}) \quad \text{(where } \varphi = \varphi_{j}\overline{\varphi_{k}}, b = b_{1} - b_{2} \text{ and } c = c_{1} - c_{2})
$$
\n
$$
= \sum_{x\in \mathbb{F}_{q}^{*}} \varphi(x)\sum_{y\in \mathbb{F}_{q}} \chi((b+c_{2})y)
$$
\n
$$
= \sum_{x\in \mathbb{F}_{q}^{*}, b+c_{2} = 0} \varphi(x)q.
$$

The last equation holds by the orthogonal relation of additive characters.

When  $b \neq 0$  and  $c \neq 0$ , we have

$$
K_2 \mathbf{cc'}^H = q\varphi \left(-\frac{b}{c}\right).
$$

When  $b \neq 0$ ,  $c = 0$ , or  $b = 0$ ,  $c \neq 0$ , it is easy to see  $\mathbf{cc}^{\prime H} = 0$ . When  $b = 0$  and  $c = 0$ , since  $\mathbf{c} \neq \mathbf{c}'$ ,  $\varphi$  is nontrivial. We have

$$
K_2 \mathbf{cc}'^H = \sum_{x \in \mathbb{F}_q^*} \varphi(x) q = 0,
$$

by the orthogonal relation of multiplicative characters.

Therefore, we have

$$
I_{max}(\mathcal{C}_2)=max\{|\mathbf{cc'}^H|:\mathbf{c},\mathbf{c'}\in\mathcal{C},\text{ and } \mathbf{c}\neq\mathbf{c'}\}=\frac{q}{K_2}=\frac{1}{q-1}.
$$

$$
\Box
$$

| q              | $N_2$          | $K_2$   | $I_{max}(\mathcal{C}_2)$ | $I_{W2}$       | $I_{max}(\mathcal{C}_2)$<br>$I_{W2}$ |
|----------------|----------------|---------|--------------------------|----------------|--------------------------------------|
| 3              | 18             | 6       | 0.5000                   | 0.3430         | 1.4577                               |
| 5              | 100            | 20      | 0.2500                   | 0.2010         | 1.2437                               |
| 13             | 2028           | 156     | 0.0833                   | 0.0769         | 1.0831                               |
| 49             | 115248         | 2352    | 0.0208                   | 0.0204         | 1.0208                               |
| $5^3$          | 1937500        | 15500   | 0.0081                   | 0.0080         | 1.0081                               |
| 5 <sup>4</sup> | 243750000      | 390000  | 0.0016                   | 0.0016         | 1.0016                               |
| 7 <sup>4</sup> | $1.3836e + 10$ | 5762400 | $4.1667e - 04$           | $4.1649e - 04$ | 1.0004                               |

<span id="page-7-0"></span>**Table 2** Parameters of the  $(N_2, K_2)$  codebook of Section III

Using Theorem [3.4,](#page-6-0) we can derive the ratio of  $I_{max}(\mathcal{C}_2)$  of the proposed codebooks to that of the MWBE codebooks and show the asymptotic optimality of the proposed codebooks as in the following theorem.

<span id="page-7-1"></span>**Theorem 3.5** Let  $I_{W2}$  be the Welch bound equality, for the given  $N_2$ ,  $K_2$  in the current section. *We have*

$$
\lim_{q \to \infty} \frac{I_{max}(\mathcal{C}_2)}{I_{W2}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

*Proof* Note that  $N_2 = (q - 1)q^2$  and  $K_2 = (q - 1)q$ . Then the corresponding Welch bound is

$$
I_{W2} = \sqrt{\frac{N_2 - K_2}{(N_2 - 1)K_2}} = \sqrt{\frac{(q - 1)q^2 - (q - 1)q}{((q - 1)q^2 - 1)(q - 1)q}} = \sqrt{\frac{q - 1}{q^3 - q^2 - 1}},
$$

we have

$$
\frac{I_{max}(\mathcal{C}_2)}{I_{W2}} = \sqrt{\frac{q^3 - q^2 - 1}{(q - 1)^3}}.
$$

It is obvious that  $\lim_{q \to +\infty} \frac{I_{max}(C_2)}{I_{W_2}} = 1$ . The codebook  $C_2$  asymptotically meets the Welch bound. This completes the proof.  $\Box$ 

In Table [2,](#page-7-0) we provide some explicit values of the parameters of the codebooks we proposed for some given *q*, and corresponding numerical data of the Welch bound for comparison. The numerical results show that the codebooks  $C_2$  asymptotically meet the Welch bound.

The distribution of correlation magnitudes of  $C_2$  is given as follows.

#### **Corollary 3.6**

$$
|\mathbf{cc'}^H| = \begin{cases} 1, & (q-1)q^2 \text{ times,} \\ \frac{1}{q-1}, & q-1)^4 q^2 \text{ times,} \\ 0, & q-1)q^3(2q-3) \text{ times.} \end{cases}
$$

*Example 1* Let  $q = p = 3$ . Then

$$
D_2 = \{(1, 0, 0), (1, 1, 1), (1, 2, 2), (2, 0, 0), (2, 1, 2), (2, 2, 1)\}
$$

and  $K_2 = \#D_2 = 6$ . Let  $\zeta = \zeta_3$ . Thus, the set  $C_2$  consists of the following 18 codewords of length 6:

$$
\mathbf{c}_{0} = \frac{1}{\sqrt{6}}(1, 1, 1, 1, 1, 1), \quad \mathbf{c}_{1} = \frac{1}{\sqrt{6}}(1, \zeta, \zeta^{2}, 1, \zeta^{2}, \zeta), \quad \mathbf{c}_{2} = \frac{1}{\sqrt{6}}(1, \zeta^{2}, \zeta, 1, \zeta, \zeta^{2}),
$$
\n
$$
\mathbf{c}_{3} = \frac{1}{\sqrt{6}}(1, \zeta, \zeta^{2}, 1, \zeta, \zeta^{2}), \quad \mathbf{c}_{4} = \frac{1}{\sqrt{6}}(1, \zeta^{2}, \zeta, 1, 1, 1), \quad \mathbf{c}_{5} = \frac{1}{\sqrt{6}}(1, 1, 1, 1, \zeta^{2}, \zeta),
$$
\n
$$
\mathbf{c}_{6} = \frac{1}{\sqrt{6}}(1, \zeta^{2}, \zeta, 1, \zeta^{2}, \zeta), \quad \mathbf{c}_{7} = \frac{1}{\sqrt{6}}(1, 1, 1, 1, \zeta, \zeta^{2}), \quad \mathbf{c}_{8} = \frac{1}{\sqrt{6}}(1, \zeta, \zeta^{2}, 1, 1, 1),
$$
\n
$$
\mathbf{c}_{9} = \frac{1}{\sqrt{6}}(1, 1, 1, -1, -1, -1), \quad \mathbf{c}_{10} = \frac{1}{\sqrt{6}}(1, \zeta, \zeta^{2}, -1, -\zeta^{2}, -\zeta),
$$
\n
$$
\mathbf{c}_{11} = \frac{1}{\sqrt{6}}(1, \zeta^{2}, \zeta, -1, -\zeta, -\zeta^{2}), \quad \mathbf{c}_{13} = \frac{1}{\sqrt{6}}(1, \zeta^{2}, \zeta, -1, -1, -1),
$$
\n
$$
\mathbf{c}_{14} = \frac{1}{\sqrt{6}}(1, 1, 1, -1, -\zeta^{2}, -\zeta), \quad \mathbf{c}_{15} = \frac{1}{\sqrt{6}}(1, 1, 1, -1, -\zeta, -\zeta^{2}),
$$
\n
$$
\mathbf{c}_{17} = \frac{1}{\sqrt{6}}(1, \zeta, \zeta^{2}, -1, -1, -1).
$$

It is easy to verify that this codebook is a (18, 6) codebook with  $I_{max} = \frac{1}{2}$ . This is consistent with the conclusion of Theorem [3.5.](#page-7-1)

### **3.3 The third construction of codebooks**

Let

$$
D_3 := \{ (x, y, z) \in \mathbb{F}_q^* \times \mathbb{F}_q^* \times \mathbb{F}_q^* : z = xy \}.
$$

Then  $#D_3 = (q-1)^2$ .

The codebook  $C_3$  is constructed as

$$
\mathcal{C}_3 := \left\{ \frac{1}{q-1} (\chi_a(x)\chi_b(y)\varphi(z))_{(x,y,z)\in D_3} : a, b \in \mathbb{F}_q, \varphi \in \widehat{\mathbb{F}_q^*} \right\}.
$$

<span id="page-8-0"></span>We can derive the following Theorem.

**Theorem 3.7** *C*<sub>3</sub> *is a codebook with* 
$$
N_3 = q^2(q-1)
$$
,  $K_3 = (q-1)^2$  *and*  $I_{max}(C_3) = \frac{q}{(q-1)^2}$ .

*Proof* By the definition of  $C_3$ , it contains  $q^2(q-1)$  codewords of length  $(q-1)^2$ . Then it is easy to see  $N_3 = q^2(q-1)$  and  $K_3 = (q-1)^2$ . Let **c** and **c**<sup>*'*</sup> be any different codewords in  $C_3$ ,  $\mathbf{c} = \frac{1}{q-1} (\chi_{a_1}(x) \chi_{b_1}(y) \varphi_j(z))_{(x,y,z) \in D_3}$  and  $\mathbf{c}' = \frac{1}{q-1} (\chi_{a_2}(x) \chi_{b_2}(y) \varphi_k(z))_{(x,y,z) \in D_3}$ where  $a_1, a_2, b_1, b_2 \in \mathbb{F}_q$ ,  $\varphi_j, \varphi_k \in \widehat{\mathbb{F}_q^*}$ . Then the correlation of **c** and **c**' is as follows.

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 $\Box$ 

 $K_3$ **cc**<sup> $/H$ </sup>

$$
= \sum_{(x,y,z)\in D_3} \chi_{a_1}(x)\chi_{b_1}(y)\varphi_j(z)\overline{\chi_{a_2}(x)\chi_{b_2}(y)\varphi_k(z)}
$$
  
\n
$$
= \sum_{x,y\in \mathbb{F}_q^*} \chi((a_1 - a_2)x + (b_1 - b_2)y)\varphi_j\overline{\varphi_k}(xy)
$$
  
\n
$$
= \sum_{x,y\in \mathbb{F}_q^*} \chi(ax + by)\varphi(xy) \text{ (where } a = a_1 - a_2, b = b_1 - b_2, \text{ and } \varphi = \varphi_j\overline{\varphi_k})
$$
  
\n
$$
= \sum_{x\in \mathbb{F}_q^*} \chi_a(x)\varphi(x) \sum_{y\in \mathbb{F}_q^*} \chi_b(y)\varphi(y)
$$
  
\n
$$
= G(\varphi, \chi_a)G(\varphi, \chi_b).
$$

When  $\varphi$  is trivial, since  $\mathbf{c} \neq \mathbf{c}'$ , we get  $a \neq 0$  or  $b \neq 0$ . By Lemma [2.1,](#page-4-0) we have

$$
K_3 \mathbf{cc'}^H = G(\varphi, \chi_a) G(\varphi, \chi_b) = \begin{cases} -(q-1), & \text{if } a = 0, b \neq 0 \text{ or } b = 0, a \neq 0, \\ (-1)(-1), & \text{if } a, b \in \mathbb{F}_q^*, \end{cases}
$$

When  $\varphi$  is nontrivial, by Lemmas [2.1](#page-4-0) and [2.2,](#page-4-1) we have

$$
K_3\mathbf{cc'}^H = G(\varphi, \chi_a)G(\varphi, \chi_b) = \begin{cases} 0, & \text{if } a = 0 \text{ or } b = 0, \\ \overline{\varphi}(ab)g^2(\varphi), & \text{if } a, b \in \mathbb{F}_q^*.\end{cases}
$$

Therefore, we have

$$
I_{max}(\mathcal{C}_3) = max\{|\mathbf{cc'}^H| : \mathbf{c}, \mathbf{c'} \in \mathcal{C}, and \mathbf{c} \neq \mathbf{c'}\} = \frac{q}{K_3} = \frac{q}{(q-1)^2}.
$$

Using Theorem [3.7,](#page-8-0) we can derive the ratio of  $I_{max}(\mathcal{C}_3)$  of the proposed codebooks to that of the MWBE codebooks and show the asymptotic optimality of the proposed codebooks as in the following theorem.

**Theorem 3.8** Let  $I_{W3}$  be the Welch bound equality, for the given  $N_3$ ,  $K_3$  in the current section. *We have*

$$
\lim_{q \to \infty} \frac{I_{max}(\mathcal{C}_3)}{I_{W3}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

*Proof* Note that  $N_3 = (q - 1)q^2$  and  $K_3 = (q - 1)^2$ . Then the corresponding Welch bound is

$$
I_{W3} = \sqrt{\frac{N_3 - K_3}{(N_3 - 1)K_3}} = \sqrt{\frac{(q - 1)q^2 - (q - 1)^2}{((q - 1)q^2 - 1)(q - 1)^2}} = \sqrt{\frac{q^2 - q + 1}{(q^3 - q^2 - 1)(q - 1)}},
$$

we have

$$
\frac{I_{max}(C_3)}{I_{W3}} = \frac{q}{q-1}\sqrt{\frac{q^3-q^2-1}{(q^2-q+1)(q-1)}}.
$$

It is obvious that  $\lim_{q \to +\infty} \frac{I_{max}(\mathcal{C}_3)}{I_{W3}} = 1$ . The codebook  $\mathcal{C}_3$  asymptotically meets the Welch bound. This completes the proof.  $\square$ 

| q              | $N_3$          | $K_3$   | $I_{max}(\mathcal{C}_3)$ | $I_{W3}$       | $I_{max}(\mathcal{C}_3)$<br>$I_{W3}$ |  |
|----------------|----------------|---------|--------------------------|----------------|--------------------------------------|--|
| 3              | 18             | 4       | 0.7500                   | 0.4537         | 1.6529                               |  |
| 5              | 100            | 16      | 0.3125                   | 0.2303         | 1.3570                               |  |
| 13             | 2028           | 144     | 0.0903                   | 0.0803         | 1.1237                               |  |
| 49             | 115248         | 2304    | 0.0213                   | 0.0206         | 1.0312                               |  |
| $5^3$          | 1937500        | 15376   | 0.0081                   | 0.0080         | 1.0121                               |  |
| 5 <sup>4</sup> | 243750000      | 389376  | 0.0016                   | 0.0016         | 1.0024                               |  |
| 7 <sup>4</sup> | $1.3830e + 10$ | 5760000 | $4.1684e - 04$           | $4.1658e - 04$ | 1.0006                               |  |

<span id="page-10-0"></span>**Table 3** Parameters of the (*N*3, *K*3) codebook of Section III

In Table [3,](#page-10-0) we provide some explicit values of the parameters of the codebooks we proposed for some given *q*, and corresponding numerical data of the Welch bound for comparison. The numerical results show that the codebooks  $C_3$  asymptotically meet the Welch bound.

The distribution of correlation magnitudes of  $C_3$  is given as follows.

#### **Corollary 3.9**

$$
|\mathbf{cc'}^H| = \begin{cases} 1, & (q-1)q^2 \text{ times,} \\ \frac{q-1}{K_3}, & 2(q-1)^2q^2 \text{ times,} \\ \frac{1}{K_3}, & q-1 \text{ and } q^2 \text{ times,} \\ 0, & (q-2)(q-1)q^2(2q-1) \text{ times,} \\ \frac{q}{K_3}, & (q-2)(q-1)^3q^2 \text{ times,} \end{cases}
$$

#### **3.4 The fourth construction of codebooks**

Let

$$
D_4 := \{ (x, y, z) \in \mathbb{F}_q^* \times \mathbb{F}_q^* \times \mathbb{F}_q^* : z = xy \}.
$$

Then  $#D_4 = (q-1)^2$ .

The codebook  $C_4$  is constructed as

$$
\mathcal{C}_4:=\left\{\frac{1}{q-1}(\varphi_i(x)\varphi_j(y)\chi_c(z))_{(x,y,z)\in D_4}:\varphi_i,\varphi_j\in\widehat{\mathbb{F}_q^*},\ c\in\mathbb{F}_q\right\}.
$$

<span id="page-10-1"></span>We can derive the following Theorem.

**Theorem 3.10** *C*<sub>4</sub> *is a codebook with* 
$$
N_4 = (q-1)^2 q
$$
,  $K_4 = (q-1)^2$  *and*  $I_{max}(C_4) = \frac{q}{(q-1)^2}$ .

*Proof* By the definition of  $C_4$ , it contains  $(q - 1)^2 q$  codewords of length  $(q - 1)^2$ . Then it is easy to see  $N_4 = (q - 1)^2 q$  and  $K_4 = (q - 1)^2$ . Let **c** and **c**<sup>*'*</sup> be any different codewords in  $C_4$ ,  $\mathbf{c} = \frac{1}{q-1} (\varphi_s(x) \varphi_t(y) \chi_{c_1}(z))_{(x,y,z) \in D_4}$  and  $\mathbf{c}' = \frac{1}{q-1} (\varphi'_s(x) \varphi'_t(y) \chi_{c_2}(z))_{(x,y,z) \in D_4}$ , where  $\varphi_s$ ,  $\varphi_t$ ,  $\varphi'_s$ ,  $\varphi'_t \in \widehat{\mathbb{F}_q^*}$ ,  $c_1$ ,  $c_2 \in \mathbb{F}_q$ . Then the correlation of **c** and **c**' is as follows.

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$$
K_{4} \mathbf{cc'}^{H}
$$
\n
$$
= \sum_{(x,y,z)\in D_{4}} \varphi_{s}(x)\varphi_{t}(y)\chi_{c_{1}}(z)\overline{\varphi'_{s}(x)\varphi'_{t}(y)}\chi_{c_{2}}(z)
$$
\n
$$
= \sum_{(x,y,z)\in D_{4}} \varphi_{s}\overline{\varphi'_{s}(x)}\varphi_{t}\overline{\varphi'_{t}(y)}\chi((c_{1}-c_{2})z)
$$
\n
$$
= \sum_{x,y\in \mathbb{F}_{q}^{*}} \varphi(x)\varphi'(y)\chi(cxy) \text{ (where } \varphi = \varphi_{s}\overline{\varphi'_{s}}, \varphi' = \varphi_{t}\overline{\varphi'_{t}}, \text{ and } c = c_{1} - c_{2})
$$
\n
$$
= \sum_{x\in \mathbb{F}_{q}^{*}} \varphi(x)\chi_{c}(x) \sum_{y\in \mathbb{F}_{q}^{*}} \varphi'(y)\chi_{c}(y)
$$
\n
$$
= G(\varphi, \chi_{c})G(\varphi', \chi_{c}).
$$

When  $c = 0$ , since  $\mathbf{c} \neq \mathbf{c}'$ , at least one of  $\varphi$  and  $\varphi'$  is nontrivial. By Lemma [2.1,](#page-4-0) we have

$$
K_4\mathbf{cc'}^H = G(\varphi, \chi_c)G(\varphi', \chi_c) = 0.
$$

When  $c \neq 0$ , by Lemmas [2.1](#page-4-0) and [2.2,](#page-4-1) we have

$$
K_4 \mathbf{cc'}^H = G(\varphi, \chi_c) G(\varphi', \chi_c) = \begin{cases} (-1)(-1), & \text{both } \varphi \text{ and } \varphi' \text{ are trivial,} \\ (-1)\overline{\varphi'(c)}g(\varphi'), & \varphi \text{ is trivial, and } \varphi' \text{ is nontrivial,} \\ \frac{(-1)\overline{\varphi(c)}g(\varphi), & \varphi \text{ is nontrivial, and } \varphi' \text{ is trivial,} \\ \overline{\varphi \varphi'(c)}g(\varphi)g(\varphi'), \text{ both } \varphi \text{ and } \varphi' \text{ are nontrivial.} \end{cases}
$$

Therefore, we have

$$
I_{max}(\mathcal{C}_4)=max\{|\mathbf{cc'}^H|:\mathbf{c},\mathbf{c'}\in\mathcal{C}_4,\text{and }\mathbf{c}\neq\mathbf{c'}\}=\frac{q}{K_4}=\frac{q}{(q-1)^2}.
$$

Using Theorem [3.10,](#page-10-1) we can derive the ratio of  $I_{max}(\mathcal{C}_4)$  of the proposed codebooks to that of the MWBE codebooks and show the asymptotic optimality of the proposed codebooks as in the following theorem.

**Theorem 3.11** *Let IW*<sup>4</sup> *be the Welch bound equality, for the given N*4*, K*<sup>4</sup> *in the current section. We have*

$$
\lim_{q \to \infty} \frac{I_{max}(C_4)}{I_{W4}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

*Proof* Note that  $N_4 = (q-1)^2 q$  and  $K_4 = (q-1)^2$ . Then the corresponding Welch bound is

$$
I_{W4} = \sqrt{\frac{N_4 - K_4}{(N_4 - 1)K_4}} = \sqrt{\frac{(q-1)^2q - (q-1)^2}{((q-1)^2q - 1)(q-1)^2}} = \sqrt{\frac{q-1}{(q-1)^2q - 1}},
$$

we have

$$
\frac{I_{max}(C_4)}{I_{W4}} = \frac{q}{q-1}\sqrt{\frac{(q-1)^2q-1}{(q-1)^3}}.
$$

It is obvious that  $\lim_{q \to +\infty} \frac{I_{max}(C_4)}{I_{WA}} = 1$ . The codebook  $C_4$  asymptotically meets the Welch bound. This completes the proof.  $\Box$ 

| q              | $N_4$          | $K_4$   | $I_{max}(\mathcal{C}_4)$ | $I_{W4}$       | $I_{max}(\mathcal{C}_4)$<br>$I_{W4}$ |
|----------------|----------------|---------|--------------------------|----------------|--------------------------------------|
| 3              | 12             | 4       | 0.7500                   | 0.4264         | 1.7589                               |
| 5              | 80             | 16      | 0.3125                   | 0.2250         | 1.3888                               |
| 13             | 1872           | 144     | 0.0903                   | 0.0801         | 1.1273                               |
| 49             | 112896         | 2304    | 0.0213                   | 0.0206         | 1.0314                               |
| $5^3$          | 1922000        | 15376   | 0.0081                   | 0.0080         | 1.0121                               |
| 5 <sup>4</sup> | 243360000      | 389376  | 0.0016                   | 0.0016         | 1.0024                               |
| 7 <sup>4</sup> | $1.3830e + 10$ | 5760000 | $4.1684e - 04$           | $4.1658e - 04$ | 1.0006                               |

<span id="page-12-0"></span>**Table 4** Parameters of the (*N*4, *K*4) codebook of Section III

In Table [4,](#page-12-0) we provide some explicit values of the parameters of the codebooks we proposed for some given *q*, and corresponding numerical data of the Welch bound for comparison. The numerical results show that the codebooks  $C_4$  asymptotically meet the Welch bound.

The distribution of correlation magnitudes of  $C_4$  is given as follows.

#### **Corollary 3.12**

$$
|\mathbf{cc'}^H| = \begin{cases} 1, & (q-1)^2 q \text{ times}, \\ 0, & (q-2)(q-1)^2 q^2 \text{ times}, \\ \frac{1}{K_4}, & (q-1)^3 q \text{ times}, \\ \frac{\sqrt{q}}{K_4}, & 2(q-2)(q-1)^3 q \text{ times}, \\ \frac{q}{K_4}, & (q-2)^2(q-1)^3 q \text{ times}. \end{cases}
$$

#### **3.5 The fifth construction of codebooks**

Let

$$
D_5 := \{ (x, y, z) \in \mathbb{F}_q^* \times \mathbb{F}_q^* \times \mathbb{F}_q^* : z = x(1 - y) \}.
$$

Then  $#D_5 = (q-1)(q-2)$ .

The codebook  $C_5$  is constructed as

$$
\mathcal{C}_5:=\left\{\frac{1}{\sqrt{(q-1)(q-2)}}(\chi_a(x)\varphi_i(y)\varphi_j(z))_{(x,y,z)\in D_5}:\varphi_i,\varphi_j\in\widehat{\mathbb{F}_q^*},\ a\in\mathbb{F}_q\right\}.
$$

<span id="page-12-1"></span>We can derive the following Theorem.

**Theorem 3.13** *C<sub>5</sub> is a codebook with*  $N_5 = (q-1)^2q$ ,  $K_5 = (q-1)(q-2)$  *and*  $I_{max}(C_5) =$  $\frac{q}{(q-1)(q-2)}$ .

*Proof* By the definition of  $C_5$ , it contains  $(q - 1)^2 q$  codewords of length  $(q - 1)(q - 2)$ . Then it is easy to see  $N_5 = (q - 1)^2 q$  and  $K_5 = (q - 1)(q - 2)$ . Let **c** and **c**<sup>'</sup> be any different codewords in  $C_5$ , **c** =  $\frac{1}{\sqrt{q-1}}$  $\frac{1}{(q-1)(q-2)} (\chi_{a_1}(x)\varphi_s(y)\varphi_t(z))_{(x,y,z)\in D_5}$  and **c**<sup>'</sup> =  $\frac{1}{\sqrt{a-1}}$  $\frac{1}{\overline{(q-1)(q-2)}}(\chi_{a_2}(x)\varphi'_s(y)\varphi'_t(z))_{(x,y,z)\in D_5}$ , where  $\varphi_s, \varphi_t, \varphi'_s, \varphi'_t \in \widehat{\mathbb{F}_q^*}, a_1, a_2 \in \mathbb{F}_q$ . Then the correlation of **c** and **c**<sup> $\prime$ </sup> is as follows.

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 $K_5$ **cc**<sup> $/H$ </sup>  $=$   $\sum$ (*x*,*y*,*z*)∈*D*<sup>5</sup>  $\chi_{a_1}(x)\varphi_s(y)\varphi_t(z)\chi_{a_2}(x)\varphi'_s(y)\varphi'_t(z)$  $= \sum$ (*x*,*y*,*z*)∈*D*<sup>5</sup>  $\chi((a_1 - a_2)x)\varphi_s\varphi'_s(y)\varphi_t\varphi'_t(z)$ 

$$
= \sum_{x,y \in \mathbb{F}_q^*, y \neq 1} \chi(ax)\varphi(y)\varphi'(x(1-y)) \text{ (where } a = a_1 - a_2, \varphi = \varphi_s \overline{\varphi_s'}, \text{ and } \varphi' = \varphi_t \overline{\varphi_t'})
$$
  
= 
$$
\sum \chi(ax)\varphi'(x) \sum \varphi(y)\varphi'(1-y)
$$

$$
x \in \mathbb{F}_q^*
$$
  
=  $G(\varphi', \chi_a)(J(\varphi, \varphi') - \varphi(0)\varphi'(1) - \varphi(1)\varphi'(0)).$ 

When  $a = 0$ , since  $\mathbf{c} \neq \mathbf{c}'$ , at least one of  $\varphi$  and  $\varphi'$  is nontrivial, by Lemmas [2.1](#page-4-0) and [2.3,](#page-4-2) we have

$$
K_5 \mathbf{cc'}^H = \begin{cases} (-1)(q-1), & \varphi' \text{ is trivial, and } \varphi \text{ is nontrivial,} \\ 0, & \varphi' \text{ are nontrivial.} \end{cases}
$$

When  $a \neq 0$ , by Lemmas [2.1](#page-4-0) and [2.3,](#page-4-2) we have

$$
K_5 \mathbf{cc'}^H = \begin{cases} (-1)(q-2), & \text{both } \varphi' \text{ and } \varphi \text{ are trivial,} \\ (-1)(-1), & \varphi' \text{ is trivial, and } \varphi \text{ is nontrivial,} \\ \overline{\varphi'}(a)g(\varphi')(-1), & \varphi' \text{ is nontrivial, and } \varphi \text{ is trivial,} \\ \overline{\varphi'}(a)g(\varphi')J(\varphi, \varphi'), \text{ both } \varphi' \text{ and } \varphi \text{ are nontrivial.} \end{cases}
$$

Therefore, we have

$$
I_{max}(\mathcal{C}_5) = max\{|\mathbf{cc'}^H| : \mathbf{c}, \mathbf{c'} \in \mathcal{C}, and \mathbf{c} \neq \mathbf{c'}\} = \frac{q}{K_5} = \frac{q}{(q-1)(q-2)},
$$

the maximal value obtained when all of  $\varphi$ ,  $\varphi'$  and  $\varphi\varphi'$  are nontrivial.

Using Theorem [3.13,](#page-12-1) we can derive the ratio of  $I_{max}(\mathcal{C}_5)$  of the proposed codebooks to that of the MWBE codebooks and show the asymptotic optimality of the proposed codebooks as in the following theorem.

**Theorem 3.14** *Let IW*<sup>5</sup> *be the Welch bound equality, for the given N*5*, K*<sup>5</sup> *in the current section. We have*

$$
\lim_{q \to \infty} \frac{I_{max}(\mathcal{C}_5)}{I_{W5}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

*Proof* Note that  $N_5 = (q-1)^2 q$  and  $K_5 = (q-1)(q-2)$ . Then the corresponding Welch bound is

$$
I_{W5} = \sqrt{\frac{N_5 - K_5}{(N_5 - 1)K_5}} = \sqrt{\frac{(q-1)^2q - (q-1)(q-2)}{((q-1)^2q - 1)(q-1)(q-2)}} = \sqrt{\frac{q^2 - 2q + 2}{(q(q-1)^2 - 1)(q-2)}},
$$

we have

$$
\frac{I_{max}(C_5)}{I_{WS}} = \frac{q}{q-1}\sqrt{\frac{q(q-1)^2-1}{(q^2-2q+2)(q-2)}}.
$$

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$$
\sqcup
$$

| q              | $N_5$          | $K_5$          | $I_{max}(\mathcal{C}_5)$ | $I_{W5}$       | $I_{max}(\mathcal{C}_5)$<br>$I_{W5}$ |
|----------------|----------------|----------------|--------------------------|----------------|--------------------------------------|
| 3              | 12             | $\overline{c}$ | 1.5000                   | 0.6742         | 2.2249                               |
| 5              | 80             | 12             | 0.4167                   | 0.2678         | 1.5557                               |
| 13             | 1872           | 132            | 0.0985                   | 0.0839         | 1.1733                               |
| 49             | 112896         | 2256           | 0.0217                   | 0.0208         | 1.0421                               |
| $5^3$          | 1922000        | 15252          | 0.0082                   | 0.0081         | 1.0162                               |
| 5 <sup>4</sup> | 243360000      | 388752         | 0.0016                   | 0.0016         | 1.0032                               |
| 7 <sup>4</sup> | $1.3830e + 10$ | 5757600        | $4.1701e - 04$           | $4.1667e - 04$ | 1.0008                               |

<span id="page-14-0"></span>**Table 5** Parameters of the  $(N_5, K_5)$  codebook of Section III

It is obvious that  $\lim_{q \to +\infty} \frac{I_{max}(C_5)}{I_{WS}} = 1$ . The codebook  $C_5$  asymptotically meets the Welch bound. This completes the proof.  $\Box$ 

In Table [5,](#page-14-0) we provide some explicit values of the parameters of the codebooks we proposed for some given *q*, and corresponding numerical data of the Welch bound for comparison. The numerical results show that the codebooks  $C_5$  asymptotically meet the Welch bound.

The distribution of correlation magnitudes of  $C_5$  is given as follows.

**Corollary 3.15**

$$
|\mathbf{cc'}^H| = \begin{cases} 1, & (q-1)^2 q \text{ times}, \\ \frac{q-1}{K_5}, & (q-2)(q-1)^2 q \text{ times}, \\ 0, & (q-2)(q-1)^3 q \text{ times}, \\ \frac{q-2}{K_5}, & (q-1)^3 q \text{ times}, \\ \frac{1}{K_5}, & (q-2)(q-1)^3 q \text{ times}, \\ \frac{\sqrt{q}}{K_5}, & 2(q-2)(q-1)^3 q \text{ times}, \\ \frac{q}{K_5}, & (q-3)(q-2)(q-1)^3 q \text{ times}. \end{cases}
$$

#### **3.6 The sixth construction of codebooks**

Let

$$
D_6 := \{ (x, y, z) \in \mathbb{F}_q^* \times \mathbb{F}_q^* \times \mathbb{F}_q^* : z = (1 - x)(1 - y) \}.
$$

Then  $#D_6 = (q-2)^2$ .

The codebook  $C_6$  is constructed as

$$
\mathcal{C}_6:=\left\{\frac{1}{q-2}(\varphi_i(x)\varphi_j(y)\varphi_k(z))_{(x,y,z)\in D_6}:\varphi_i,\varphi_j,\varphi_k\in\widehat{\mathbb{F}_q^*}\right\}.
$$

<span id="page-14-1"></span>We can derive the following Theorem.

**Theorem 3.16** *C*<sub>6</sub> *is a codebook with*  $N_6 = (q-1)^3$ ,  $K_6 = (q-2)^2$  *and*  $I_{max}(\mathcal{C}_6) = \frac{q}{(q-2)^2}$ .

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*Proof* By the definition of  $C_6$ , it contains  $(q - 1)^3$  codewords of length  $(q - 2)^2$ . Then it is easy to see  $N_6 = (q - 1)^3$  and  $K_6 = (q - 2)^2$ . Let **c** and **c**' be any different codewords in  $\mathcal{C}_6$ ,  $\mathbf{c} = \frac{1}{q-2} (\varphi_s(x)\varphi_u(y)\varphi_v(z))_{(x,y,z)\in D_6}$  and  $\mathbf{c}' = \frac{1}{q-2} (\varphi_s'(x)\varphi_u'(y)\varphi_v'(z))_{(x,y,z)\in D_6}$ , where  $\varphi_s$ ,  $\varphi_u$ ,  $\varphi_v'$ ,  $\varphi'_u$ ,  $\varphi'_v \in \widehat{\mathbb{F}_q^*}$ . Then the correlation of **c** and **c**' is as follows.

$$
Kcc'^H
$$
  
\n
$$
= \sum_{(x,y,z)\in D_6} \varphi_s(x)\varphi_u(y)\varphi_v(z)\overline{\varphi_s'(x)\varphi_u'(y)\varphi_v'(z)}
$$
  
\n
$$
= \sum_{(x,y,z)\in D_6} \varphi_s\overline{\varphi_s'}(x)\varphi_u\overline{\varphi_u'}(y)\varphi_v\overline{\varphi_v'}(z)
$$
  
\n
$$
= \sum_{x,y\in \mathbb{F}_q^*, x\neq 1, y\neq 1} \varphi_i(x)\varphi_j(y)\varphi_k((1-x)(1-y))
$$
  
\n
$$
= \sum_{x\in \mathbb{F}_q^*, x\neq 1} \varphi_i(x)\varphi_k(1-x)\sum_{y\in \mathbb{F}_q^*, y\neq 1} \varphi_j(y)\varphi_k(1-y)
$$
  
\n
$$
= \sum_{x\in \mathbb{F}_q^*, x\neq 1} \varphi_i(x)\varphi_k(1-x)\sum_{y\in \mathbb{F}_q^*, y\neq 1} \varphi_j(y)\varphi_k(1-y)
$$
  
\n
$$
= (J(\varphi_i, \varphi_k) - \varphi_i(0)\varphi_k(1) - \varphi_i(1)\varphi_k(0))(J(\varphi_j, \varphi_k) - \varphi_j(0)\varphi_k(1) - \varphi_j(1)\varphi_k(0)).
$$

When  $\varphi_k$  is trivial, since  $\mathbf{c} \neq \mathbf{c}'$ , at least one of  $\varphi_i$  and  $\varphi_j$  is nontrivial. By Lemma [2.3,](#page-4-2) we have

$$
K_6 \mathbf{cc'}^H = \begin{cases} (-1)(q-2), & \text{if } \mathbf{c} \text{ is trivial, and } \varphi_j \text{ is nontrivial,} \\ (-1)(q-2), & \text{if } \mathbf{c} \text{ is nontrivial, and } \varphi_j \text{ is trivial,} \\ (-1)(-1), & \text{both } \varphi_i \text{ and } \varphi_j \text{ are nontrivial.} \end{cases}
$$

When  $\varphi_k$  is nontrivial, by Lemma [2.3,](#page-4-2) we have

$$
K_6 \mathbf{c} \mathbf{c'}^H = \begin{cases} (-1)(-1), & \text{both } \varphi_i \text{ and } \varphi_j \text{ are trivial,} \\ (-1)J(\varphi_j, \varphi_k), & \varphi_i \text{ is trivial, and } \varphi_j \text{ is nontrivial,} \\ (-1)J(\varphi_i, \varphi_k), & \varphi_i \text{ is nontrivial, and } \varphi_j \text{ is trivial,} \\ J(\varphi_i, \varphi_k)J(\varphi_j, \varphi_k), & \text{both } \varphi_i \text{ and } \varphi_j \text{ are nontrivial.} \end{cases}
$$

Therefore, we have

$$
I_{max}(\mathcal{C}_6) = max\{|\mathbf{cc'}^H| : \mathbf{c}, \mathbf{c'} \in \mathcal{C}, and \mathbf{c} \neq \mathbf{c'}\} = \frac{q}{K_6} = \frac{q}{(q-2)^2},
$$

the maximal value obtained when all of  $\varphi_i$ ,  $\varphi_i$ ,  $\varphi_k$ ,  $\varphi_i \varphi_k$  and  $\varphi_j \varphi_k$  are nontrivial.

Using Theorem [3.16,](#page-14-1) we can derive the ratio of  $I_{max}(\mathcal{C}_6)$  of the proposed codebooks to that of the MWBE codebooks and show the asymptotic optimality of the proposed codebooks as in the following theorem.

**Theorem 3.17** *Let I<sub>W6</sub> be the Welch bound equality, for the given*  $N_6$ *,*  $K_6$  *in the current section. We have*

$$
\lim_{q \to \infty} \frac{I_{max}(\mathcal{C}_6)}{I_{W6}} = 1,
$$

*then the codebooks we proposed are asymptotically optimal.*

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| q              | $N_6$          | $K_6$   | $I_{max}(\mathcal{C}_6)$ | $I_{W6}$       | $I_{max}(\mathcal{C}_6)$<br>$I_{W6}$ |
|----------------|----------------|---------|--------------------------|----------------|--------------------------------------|
| 3              | 8              |         | 3                        |                | 3                                    |
| 5              | 64             | 9       | 0.5556                   | 0.3115         | 1.7838                               |
| 13             | 1728           | 121     | 0.1074                   | 0.0877         | 1.2251                               |
| 49             | 110592         | 2209    | 0.0222                   | 0.0211         | 1.0531                               |
| $5^3$          | 1906624        | 15129   | 0.0083                   | 0.0081         | 1.0203                               |
| 5 <sup>4</sup> | 242970624      | 388129  | 0.0016                   | 0.0016         | 1.0040                               |
| 7 <sup>4</sup> | $1.3824e + 10$ | 5755201 | $4.1719e - 04$           | $4.1675e - 04$ | 1.0010                               |

<span id="page-16-1"></span>**Table 6** Parameters of the  $(N_6, K_6)$  codebook of Section III

*Proof* Note that  $N_6 = (q-1)^3$  and  $K_6 = (q-2)^2$ . Then the corresponding Welch bound is

$$
I_{W6} = \sqrt{\frac{N_6 - K_6}{(N_6 - 1)K_6}} = \sqrt{\frac{(q-1)^3 - (q-2)^2}{((q-1)^3 - 1)(q-2)^2}} = \frac{1}{q-2}\sqrt{\frac{q^3 - 4q^2 + 7q - 5}{q^3 - 3q^2 + 3q - 2}},
$$

we have

$$
\frac{I_{max}(C_6)}{I_{W6}} = \frac{q}{q-2}\sqrt{\frac{q^3-3q^2+3q-2}{q^3-4q^2+7q-5}}.
$$

It is obvious that  $\lim_{q\to+\infty}\frac{I_{max}(C_6)}{I_{W6}}=1$ . The codebook *C* asymptotically meets the Welch bound. This completes the proof.  $\overline{N}$ <sup>3</sup>

In Table [6,](#page-16-1) we provide some explicit values of the parameters of the codebooks we proposed for some given *q*, and corresponding numerical data of the Welch bound for comparison. The numerical results show that the codebooks  $C_6$  asymptotically meet the Welch bound.

The distribution of correlation magnitudes of  $C_6$  is given as follows.

### **Corollary 3.18**

$$
|\mathbf{cc'}^H| = \begin{cases} 1, & (q-1)^3 \text{ times}, \\ \frac{q-2}{K_6}, & 2(q-2)(q-1)^3 \text{ times}, \\ \frac{1}{K_6}, & (q-2)(q-1)^3(q+2) \text{ times}, \\ \frac{\sqrt{q}}{K_6}, & 4(q-3)(q-2)(q-1)^3 \text{ times}, \\ \frac{q}{K_6}, & (q-3)^2(q-2)(q-1)^3 \text{ times}. \end{cases}
$$

### <span id="page-16-0"></span>**4 Another family of codebooks**

Based on the six constructions of codebooks in Sect. [3,](#page-5-0) more new codebooks can be derived, which are also asymptotically optimal.

Let  $\mathcal{E}_n$  denote the set formed by the standard basis of the n-dimensional Hilbert space:

$$
(1, 0, 0, ..., 0, 0),
$$
  

$$
(0, 1, 0, ..., 0, 0),
$$
  

$$
......
$$
  

$$
(0, 0, 0, ..., 0, 1).
$$

Combining with the preceding six constructions, we get the following result.

**Theorem 4.1** *Let*  $C'_i = C_i \cup E_{K_i}$ . *Then the codebooks*  $C'_i$  *are all asymptotically optimal,*  $i = 1, 2, 3, 4, 5, 6$ , and the parameters of the new codebooks are as follows:  $N'_i = N_i + K_i$ ,  $K'_i = K_i$  and  $I_{max}(C'_i) = I_{max}(C_i)$ . Specifically,

- (1)  $N'_1 = N_1 + K_1 = q^3 + q^2$ ,  $K'_1 = K_1 = q^2$  and  $I_{max}(C'_1) = I_{max}(C_1) = \frac{1}{q}$ ;
- (2)  $N'_2 = N_2 + K_2 = (q^2 1), K'_2 = K_2 = (q 1)q$  and  $I_{max}(C'_2) = I_{max}(C_2) = \frac{1}{q-1}$ ;
- (3)  $N'_3 = N_3 + K_3 = q^3 2q + 1$ ,  $K'_3 = K_3 = (q-1)^2$  and  $I_{max}(C'_3) = I_{max}(C_3) = \frac{q}{(q-1)^2}$ ;
- (4)  $N'_4 = N_4 + K_4 = q^3 q^2 q + 1$ ,  $K'_4 = K_4 = (q 1)^2$  and  $I_{max}(C'_4) = I_{max}(C_4) = \frac{q}{q}$ .  $\frac{q}{(q-1)^2}$ ;
- (5)  $N'_5 = N_5 + K_5 = q^3 q^2 2q + 2$ ,  $K'_5 = K_5 = (q 1)(q 2)$  and  $I_{max}(C'_5) =$  $I_{max}$  ( $C_5$ ) =  $\frac{q}{(q-1)(q-2)}$ ;
- (6)  $N'_{5q} = N_6 + K_6 = q^3 2q^2 q + 3$ ,  $K'_6 = K_6 = (q 2)^2$  and  $I_{max}(\mathcal{C}'_5) = I_{max}(\mathcal{C}_5) =$  $\frac{q}{(q-2)^2}$ .

**Proof** We only prove the case when  $i = 2$ , the other cases can be proved as a similar way. It is easy to see  $N'_2 = N_2 + K_2 = (q^2 - 1)q$  and  $K'_2 = K_2 = (q - 1)q$ . Let **c** and **c**' be any different codewords in  $C_2'$ , the correlation of **c** and **c**' can be discussed in the following cases.

Case 1: If **c**,  $\mathbf{c}' \in C_2$ , by Theorem [3.4,](#page-6-0) we have

$$
max\{|\mathbf{cc'}^H|: \mathbf{c}, \mathbf{c'} \in C_2, and \mathbf{c} \neq \mathbf{c'}\} = \frac{1}{q-1}.
$$

Case 2: If  $\mathbf{c} \in C_2$  and  $\mathbf{c}' \in \mathcal{E}_{(q-1)q}$  (or  $\mathbf{c}' \in C_2$  and  $\mathbf{c} \in \mathcal{E}_{(q-1)q}$ ), it is easy to see

$$
|\mathbf{cc}'^H| = \frac{1}{\sqrt{(q-1)q}}.
$$

Case 3: If **c** and  $\mathbf{c}' \in \mathcal{E}_{(q-1)q}$ , it is obvious that  $\mathbf{c}\mathbf{c}'^H = 0$ . From the above three cases, we have

$$
I_{max}(\mathcal{C}'_2) = \frac{1}{q-1}.
$$

Note that  $N'_2 = (q^2 - 1)q$  and  $K'_2 = (q - 1)q$ , then the corresponding Welch bound is

$$
I_W = \sqrt{\frac{N_2' - K_2'}{(N_2' - 1)K_2'}} = \sqrt{\frac{q}{q^3 - q - 1}},
$$

we have

$$
\frac{I_{max}(C_2')}{I_W} = \sqrt{\frac{q^3 - q - 1}{(q - 1)^2 q}}.
$$

It is obvious that  $\lim_{q \to +\infty} \frac{I_{max}(C_2')}{I_W} = 1$ . The codebook  $C_2'$  asymptotically meets the Welch bound. This completes the proof.  $\Box$ 

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# <span id="page-18-22"></span>**5 Concluding remarks**

In this paper, we presented six constructions of codebooks asymptotically achieve the Welch bounds with additive characters, multiplicative characters and character sums of finite fields. Actually, the first construction in our paper is equivalent to the measurement matrix in [\[21\]](#page-18-21). The advantage of our construction is that it can be generalized naturally to the other five constructions of codebooks which are also asymptotically optimal.

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