



Ustekinumab Does Not Increase Risk of Adverse Events: A Meta-Analysis of Randomized Controlled Trials

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Abstract

Goals and Background Ustekinumab (UST) is a monoclonal antibody inhibitor of IL-12/IL-23 approved for the treatment of Crohn's disease (CD) and ulcerative colitis (UC). We conducted a meta-analysis to compare rates of adverse events (AEs) in randomized controlled trials (RCTs) of UST for all indications.

Study A systematic search was performed of MEDLINE, Embase, and PubMed databases through November 2019. Study inclusion included RCTs comparing UST to placebo or other biologics in patients aged 18 years or older with a diagnosis of an autoimmune condition.

Results Thirty RCTs with 16,068 patients were included in our analysis. Nine thousand six hundred and twenty-six subjects were included in the UST vs placebo analysis. There was no significant difference in serious or mild/moderate AEs between UST and placebo with an OR of 0.83 (95% CI 0.66, 1.05) and 1.08 (95% CI 0.99, 1.18), respectively, over a median follow-up time of 16 weeks. In a sub-analysis of CD and UC trials, no difference in serious or mild/moderate AEs in UST versus placebo was seen.

Conclusions UST was not associated with an increase in short-term risk of AEs.

Keywords Inflammatory bowel disease · Crohn's disease · Ulcerative colitis · Ustekinumab

Introduction

Interleukin (IL)-12 and IL-23 are cytokines that play a role in the maturation and proliferation of T-helper (TH) 1 and TH17 cells and thereby influence cell-mediated immunity and inflammation. Ustekinumab (UST), a monoclonal antibody that inhibits the p40 subunit of IL-12 and IL-23, has demonstrated efficacy in a variety of immunologic disorders including psoriasis, psoriatic arthritis, ankylosing spondylitis, and inflammatory bowel disease (IBD), which

encompasses Crohn's disease (CD) and ulcerative colitis (UC) [1–25].

The pro-inflammatory cytokines IL-12 and IL-23 have several other important biologic roles, including driving the body's response to viral and bacterial infection and shaping the balance between anti-tumor and pro-tumor immunity. Mutations in IL-12 and IL-23 have been reported to play a role in mycobacterial disease and non-typhi Salmonella infections [26, 27]. In a murine model, blockade of IL-12 enabled tumor progression, whereas in vitro IL-12 stimulation of T cells showed greater effect in controlling tumors [28–30]. Thus, it is important to monitor the safety of anti-IL-12 and anti-IL-23 as the number of disease indications for UST increases.

The IL-12 and IL-23 pathway is a promising therapeutic target. To date, there are no major trends in adverse effects (AEs) documented from multiple individual clinical trials of UST, although it is acknowledged that many adverse effects are rare. The primary aim of this study is to conduct a meta-analysis to compare rates of adverse events in randomized controlled trials (RCTs) of UST compared to placebo for

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the treatment of any autoimmune condition. As secondary analyses, we will compare the safety of UST in IBD (UC and CD), high- versus low-doses of UST in IBD, and UST versus other biologics in any autoimmune condition.

Methods

Study Selection

This study was conducted according to the preferred reporting items for systematic review and meta-analysis (PRISMA) guidelines (Supplementary Table 7). A systematic electronic literature search was performed through November 2019 from MEDLINE, Embase, and PubMed databases using the keywords and MeSH terms for “ustekinumab” and “randomized control trials”. The PubMed search strategy was as follows: (((ustekinumab) OR (“ustekinumab”[MeSH Terms] OR “ustekinumab”[All Fields]))) AND (((randomized controlled trial) OR (“randomized controlled trial”[Publication Type] OR “randomized controlled trials as topic”[MeSH Terms] OR “randomized controlled trial”[All Fields] OR “randomized controlled trial”[All Fields])) OR randomized study) OR (“random allocation”[MeSH Terms] OR (“random”[All Fields] AND “allocation”[All Fields]) OR “random allocation”[All Fields] OR “randomized”[All Fields]) AND study[All Fields])). A review of the selected titles and abstracts and a full review of potentially relevant studies was independently performed by all reviewers (JK, VR, and SH). Manuscripts that met inclusion criteria were evaluated by both reviewers and any discrepancies were resolved by discussion and consensus with senior authors (SC and VP).

Inclusion/Exclusion Criteria

We included all RCTs comparing UST to placebo and UST to other biologic agents in patients aged 18 years or older with a diagnosis of any autoimmune condition. Studies included in the analysis required documentation of mild/moderate and severe AEs of UST compared to placebo, or UST compared to another biologic (golimumab, brodalumab, etanercept, ixekizumab, risankizumab, and secukinumab). Studies including crossover between placebo or other biologic to UST or vice versa without specifically delineating AEs during these separate study periods were excluded.

Data Extraction

Data were extracted by three authors (JK, VR, and SH) independently with cross-comparison. Any disagreements were resolved by discussion with senior authors (SC and

VP). The following information was extracted: author and trial names, country of origin, type of study, study design, primary diagnoses of subjects, number of subjects, primary outcome, inclusion age of the study participants, percent female, duration of time during which AEs were documented, number of patients treated with each regimen (UST versus placebo versus other biologic), drug dosing and intervals, number of serious AEs, number of mild/moderate AEs, and common AEs listed within the included trials (including infections, cough, headache, upper respiratory infection, nausea, nasopharyngitis, injection site/infusion reaction, cardiovascular event, malignancy, and death). The designation of mild/moderate or serious AEs was defined by the individual studies. The senior authors were contacted for more information when the published data were unclear.

Assessment of Outcomes

The primary outcome was the risk of adverse events based on calculating a weighted pooled odds ratio (OR) of serious and mild/moderate AEs in patients treated with UST compared to placebo. Secondary outcomes included ORs of mild/moderate and serious AEs in UST compared to other biologics. Additional analyses were performed for ORs of mild/moderate and serious AEs comparing low-dose to high-dose UST in IBD trials. Dosing varied among the IBD trials. The CERTIFI trial used doses of 1 mg/kg, 3 mg/kg, and 6 mg/kg; the Sandborn 2008 trial used doses of 90 mg or 4.5 mg/kg; and the UNITI and UNIFI trials used doses of 130 mg or 6 mg/kg [11–13, 18]. Based on these trials, we dichotomized trials into low-dose (90 mg, 130 mg, or less than 4.5 mg/kg) and high-dose (4.5 mg/kg or higher).

Statistical Analysis

For all included studies, we calculated the rate of serious AEs expressed as a pooled event rate and 95% confidence interval (95% CI). The primary and secondary outcomes are expressed as ORs to estimate risks of adverse event rates among UST-treated and comparator groups. Mantel-Haenszel fixed effects analysis was performed for all of our outcomes. Heterogeneity was assessed by the I^2 statistic. All the analyses were performed using Review Manager (RevMan) version 5.3 (Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014). Publication bias was assessed using Comprehensive Meta-Analysis version 3.0 (Biostat, Englewood, NJ). Risk-of-bias assessment of trials was done using the Cochrane Collaboration risk of bias tool (Supplementary Table 6) [31].

Results

Characteristics of Included Studies

We identified 813 citations that matched our initial search criteria. These citations were reviewed, and ultimately 30 studies met criteria for inclusion in our analysis (Fig. 1). A total of 16,068 subjects were included in our analysis. The UST versus placebo analysis included 9626 subjects, of whom 6163 were in the UST group. The total number of subjects in the UST versus other biologics comparison was 7418, of whom 976 were patients also included in the UST versus placebo comparison. The IBD analysis included 2960 subjects, of whom 2000 were included in the low- versus high-dose analysis.

All included trials were RCTs. Twelve trials involved subjects with psoriasis, four with psoriatic arthritis, four

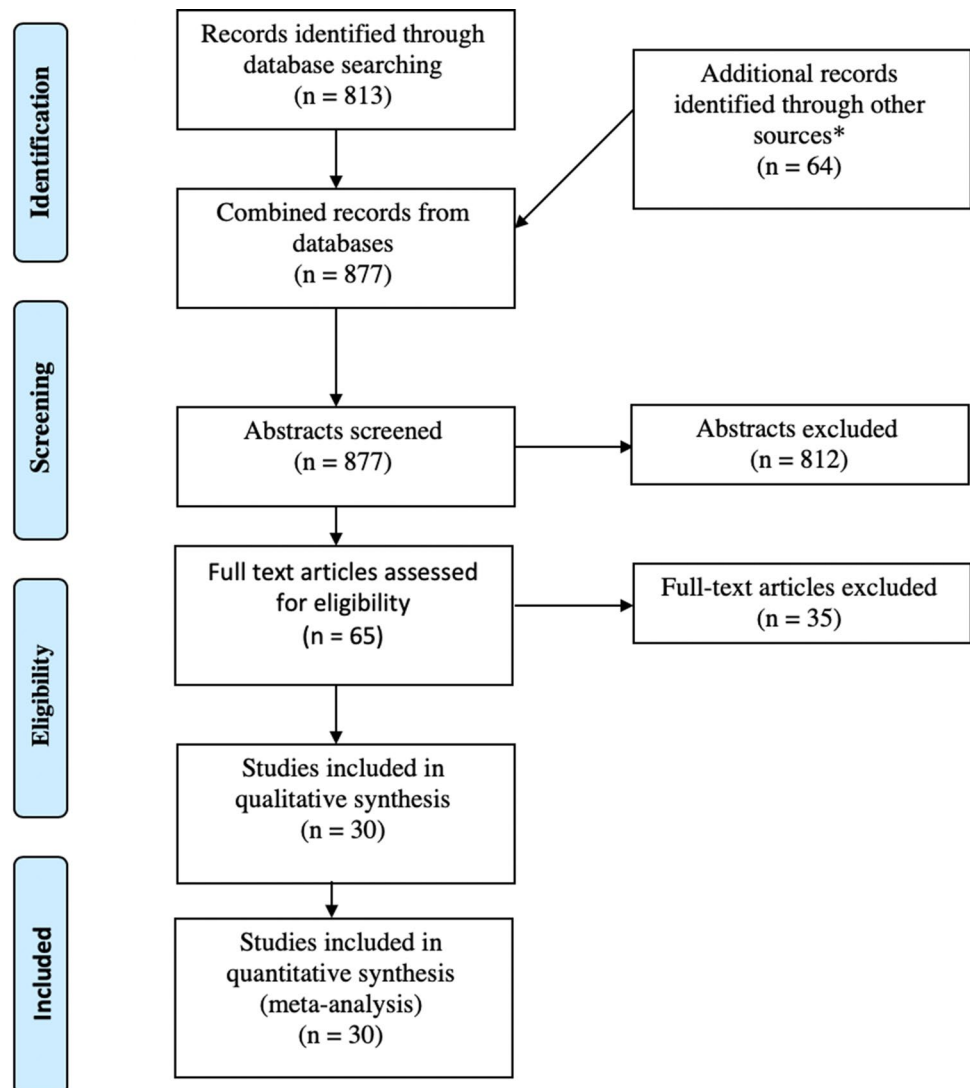
with CD, three with axial spondyloarthritis, two with atopic dermatitis, and one each with UC, systemic lupus erythematosus, rheumatoid arthritis, sarcoidosis, and multiple sclerosis (Supplementary Table 5). The duration of follow-up ranged from 8 to 56 weeks. Sixteen weeks was the median follow-up period. The median age within trials ranged from 34 to 51 years of age. The range of female participants was 15–91% and the majority of studies had less than 50% female participants.

Primary Outcomes

Serious Adverse Events (SAE)

Definition of AE severity was identified in 53% (16/30) of the studies. Ten of these definitions were based on International Conference on Harmonisation (ICH) and European Union (EU) Guidelines for Pharmacovigilance for Medicinal

Fig. 1 Study selection diagram.
*Other sources included PubMed searches and discussion with primary study authors



Products for Human Use, two were based on the Medical Dictionary for Regulatory Activities (MedDRA) and three were obtained directly by contacting authors. An additional 37% (11/30) of studies listed each individual SAE occurrence (e.g., myocardial infarction, gastrointestinal hemorrhage, pancreatitis, etc.) but did not state the definition of severity. 10% (3/30) of studies did not describe SAE definition or occurrences; the authors of these studies were contacted without response. There was no significant difference in the incidence of serious AEs between UST and placebo, with an OR of 0.83 (95% CI 0.66, 1.05, $I^2=0\%$, $p=0.13$) (Table 1).

Mild/Moderate Adverse Events

There was no significant increase in overall incidence of mild/moderate AE when comparing UST to placebo, with an OR of 1.08 (95% CI 0.99, 1.18, $I^2=0\%$, $p=0.07$) (Table 2). When individual adverse events were compared, UST was associated with a small, but statistically significant, increase in rates of infections and infusion/injection site reactions (Table 3).

Secondary Outcomes

UST Versus Placebo, in Patients with IBD

A sub-analysis of CD and UC trials was performed to compare rates of AEs in UST versus placebo in patients with IBD. Five studies were included with a total of 2960 patients [11–13]. We found no increased risk in incidence of serious AEs, with an OR of 0.77 (95% CI 0.55, 1.06, $I^2=0\%$, $p=0.11$) (Table 4). Similarly, there was no increased risk of mild/moderate AEs in UST versus placebo, with an OR of 1.01 (95% CI 0.86, 1.18, $I^2=0\%$, $p=0.95$) (Table 5).

UST Versus Other Biologics

UST was compared with brodalumab in two studies, secukinumab in two studies, guselkumab in two studies, risankizumab in two studies, golimumab in one study, etanercept in one study, and ixekizumab in one study. A total of 7418 subjects were included in this analysis across 11 RCTs [1–6]. The incidence of serious AEs between UST and other biologics was not significantly different, with an OR of 0.88 (95% CI 0.65, 1.18, $I^2=0\%$, $p=0.38$) (Supplementary Table 1). There was also no increased incidence of mild/moderate AEs, with an OR of 1.07 (95% CI 0.96, 1.19, $I^2=35\%$, $p=0.25$) (Supplementary Table 2).

Table 1 Serious adverse events, UST versus Placebo

Study or subgroup	Ustekinumab		Placebo		Weight	Odds ratio M-H, fixed, 95% CI	Odds ratio M-H, Fixed, 95% CI
	Events	Total	Events	Total			
Deodhar 2019 Study 1	3	230	2	116	1.8%	0.75 [0.12, 4.57]	
Deodhar 2019 Study 2	7	211	1	104	0.9%	3.53 [0.43, 29.11]	
Deodhar 2019 Study 3	3	240	2	116	1.8%	0.72 [0.12, 4.38]	
Feagan 2016 UNITI-1	30	494	15	247	12.7%	1.00 [0.53, 1.90]	
Feagan 2016 UNITI-2	16	418	12	210	10.4%	0.66 [0.30, 1.41]	
Gelfand 2019	0	22	0	21		Not estimable	
Gordon 2018 UltimMa 1	8	100	3	102	1.8%	2.87 [0.74, 11.15]	
Gordon 2018 UltimMa 2	3	99	1	98	0.7%	3.03 [0.31, 29.66]	
Gottlieb 2009	0	76	3	70	2.4%	0.13 [0.01, 2.48]	
Igarashi 2012	3	126	2	32	2.1%	0.37 [0.06, 2.29]	
Judson 2014	10	60	9	58	5.2%	1.09 [0.41, 2.91]	
Khattari 2017	0	16	0	16		Not estimable	
Lebwol 2015 AMAGINE-2	4	300	8	309	5.3%	0.51 [0.15, 1.71]	
Lebwol 2015 AMAGINE-3	2	313	3	313	2.0%	0.66 [0.11, 4.00]	
Leonardi 2008	6	511	2	255	1.8%	1.50 [0.30, 7.50]	
McInnes 2013	7	409	4	206	3.5%	0.88 [0.25, 3.04]	
Papp 2008	13	820	8	410	7.1%	0.81 [0.33, 1.97]	
Ritchlin 2014	1	208	5	104	4.5%	0.10 [0.01, 0.83]	
Saeki 2017	0	52	0	27		Not estimable	
Sandborn 2008	2	52	3	52	1.9%	0.65 [0.10, 4.08]	
Sandborn 2012	23	394	11	132	10.5%	0.68 [0.32, 1.44]	
Sands 2019	33	642	22	319	18.8%	0.73 [0.42, 1.28]	
Segal 2008	6	200	1	49	1.1%	1.48 [0.17, 12.62]	
Smolen 2017	4	110	1	55	0.9%	2.04 [0.22, 18.68]	
Van Vollenhoven 2018	5	60	4	42	2.9%	0.86 [0.22, 3.43]	
Total (95% CI)		6163		3463	100.0%	0.83 [0.66, 1.05]	
Total events	189		122				
Heterogeneity: Chi ² = 16.09, df = 21 (P = 0.76); I ² = 0%							
Test for overall effect: Z = 1.52 (P = 0.13)							

Table 2 Mild/moderate adverse events, UST versus Placebo

Study or subgroup	Ustekinumab		Placebo		Weight	Odds ratio	
	Events	Total	Events	Total		M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Deodhar 2019 Study 1	89	230	48	116	3.9%	0.89	[0.57, 1.41]
Deodhar 2019 Study 2	79	211	46	104	3.9%	0.75	[0.47, 1.22]
Deodhar 2019 Study 3	112	240	50	116	3.6%	1.16	[0.74, 1.81]
Feagan 2016 UNITI-1	293	494	144	247	7.8%	1.04	[0.76, 1.42]
Feagan 2016 UNITI-2	205	418	101	210	6.9%	1.04	[0.75, 1.45]
Gelfand 2019	7	22	5	21	0.3%	1.49	[0.39, 5.74]
Gordon 2018 UltiMMa 1	42	100	49	102	2.8%	0.78	[0.45, 1.37]
Gordon 2018 UltiMMa 2	50	99	44	98	2.2%	1.25	[0.72, 2.19]
Gottlieb 2009	46	76	41	70	1.7%	1.08	[0.56, 2.10]
Igarashi 2012	76	126	19	32	1.2%	1.04	[0.47, 2.29]
Judson 2014	56	60	54	58	0.4%	1.04	[0.25, 4.36]
Khattri 2017	6	16	11	16	0.7%	0.27	[0.06, 1.18]
Lebwol 2015 AMAGINE-2	173	300	157	309	6.6%	1.32	[0.96, 1.82]
Lebwol 2015 AMAGINE-3	166	313	149	313	7.0%	1.24	[0.91, 1.70]
Leonardi 2008	272	511	121	255	7.6%	1.26	[0.93, 1.70]
McInnes 2013	164	409	82	206	6.5%	1.01	[0.72, 1.42]
Papp 2008	401	820	196	410	13.4%	1.04	[0.82, 1.32]
Ritchlin 2014	127	208	52	104	2.7%	1.57	[0.98, 2.52]
Saeki 2017	34	52	20	27	0.9%	0.66	[0.24, 1.86]
Sandborn 2008	35	52	38	52	1.2%	0.76	[0.33, 1.76]
Sandborn 2012	234	394	83	132	5.1%	0.86	[0.58, 1.30]
Sands 2019	272	642	131	319	10.1%	1.06	[0.80, 1.39]
Segal 2008	164	200	37	49	1.1%	1.48	[0.70, 3.11]
Smolen 2017	42	110	20	55	1.7%	1.08	[0.55, 2.11]
Van Vollenhoven 2018	42	60	24	42	0.8%	1.75	[0.77, 3.99]
Total (95% CI)		6163		3463	100.0%	1.08	[0.99, 1.18]
Total events	3187		1722				
Heterogeneity: Chi ² = 18.80, df = 24 (P = 0.76); I ² = 0%							
Test for overall effect: Z = 1.78 (P = 0.07)							

Table 3 Individual adverse events in all trials, UST versus Placebo

Adverse events	UST	Placebo	p value
Infections	1210 (19.7%)	588 (17.1%)	<0.01
Nasopharyngitis	318 (5.2%)	162 (4.7%)	0.31
Cough	21 (2.3%)	25 (4.8%)	0.01
Upper respiratory tract infection	150 (3.2%)	201 (7.1%)	<0.001
Nausea	113 (4.8%)	58 (5.0%)	0.80
Headache	302 (6.1%)	141 (5.1%)	0.06
Infusion/Injection site reaction	149 (3.9%)	44 (2.0%)	<0.001
Malignancy	3 (0.1%)	5 (0.2%)	0.16
Death	5 (0.1%)	1 (0.1%)	0.43
CV	7 (0.2%)	4 (0.2%)	1.00

Low- Versus High-Dose UST in IBD Trials

An analysis was performed comparing high- versus low-dose UST in IBD trials. A total of 2000 subjects were included [11, 12]. Dosing in IBD trials ranged from 1–6 mg/kg or 90 to 130 mg. The rates of both serious and mild/moderate AEs between low- and high-doses were similar, with OR of 0.89 (95% CI 0.59, 1.35, I²=0, p=0.57) and 0.90 (95% CI 0.75, 1.08, I²=50%, p=0.26), respectively (Supplementary Tables 3 and 4).

Table 4 Serious adverse events, UST versus Placebo in IBD trials

Study or subgroup	Ustekinumab		Placebo		Weight	Odds ratio	
	Events	Total	Events	Total		M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Feagan 2016 UNITI-1	30	494	15	247	23.4%	1.00	[0.53, 1.90]
Feagan 2016 UNITI-2	16	418	12	210	19.1%	0.66	[0.30, 1.41]
Sandborn 2008	2	52	3	52	3.6%	0.65	[0.10, 4.08]
Sandborn 2012	23	394	11	132	19.3%	0.68	[0.32, 1.44]
Sands 2019	33	642	22	319	34.7%	0.73	[0.42, 1.28]
Total (95% CI)		2000		960	100.0%	0.77	[0.55, 1.06]
Total events	104		63				
Heterogeneity: Chi ² = 0.97, df = 4 (P = 0.91); I ² = 0%							
Test for overall effect: Z = 1.60 (P = 0.11)							

Table 5 Mild/moderate adverse events, UST versus Placebo in IBD trials

Study or subgroup	Ustekinumab		Placebo		Weight	Odds ratio M-H, Fixed, 95% CI	Odds ratio M-H, Fixed, 95% CI	
	Events	Total	Events	Total				
Feagan 2016 UNITI-1	293	494	144	247	25.2%	1.04 [0.76, 1.42]		
Feagan 2016 UNITI-2	205	418	101	210	22.1%	1.04 [0.75, 1.45]		
Sandborn 2008	35	52	38	52	4.0%	0.76 [0.33, 1.76]		
Sandborn 2012	234	394	83	132	16.3%	0.86 [0.58, 1.30]		
Sands 2019	272	642	131	319	32.5%	1.06 [0.80, 1.39]		
Total (95% CI)		2000		960	100.0%	1.01 [0.86, 1.18]		
Total events	1039		497					
Heterogeneity: Chi ² = 1.18, df = 4 (P = 0.88); I ² = 0%								
Test for overall effect: Z = 0.07 (P = 0.95)								

Table 6 Summary of odds ratios for adverse events in all outcomes

Comparison	N	# of studies included in assessment	Follow-up range	Odds ratio	95% CI	I ² %	p value	Authors' assessment of quality ^c
Serious AE ^a , UST versus placebo	9626	25	8–37	0.83	0.65–1.05	0	0.13	High quality of evidence
Mild/moderate AE, UST versus placebo	9626	25	8–37	1.08	0.99–1.18	0	0.07	High quality of evidence
Serious AE in IBD trials	2960	5	8	0.77	0.55–1.06	0	0.11	High quality of evidence
Mild/moderate AE in IBD Trials	2960	5	8	1.01	0.86–1.18	0	0.95	High quality of evidence
Serious AE in UST versus other biologic therapy	7418	11	12–56	0.88	0.65–1.18	0	0.38	High quality of evidence
Mild/moderate AE in UST versus other biologic therapy	7418	11	12–56	1.07	0.96–1.19	35	0.25	High quality of evidence
Serious AE in low-dose versus high-dose UST in IBD trials ^b	2000	5	8	0.89	0.59–1.35	0	0.57	High quality of evidence
Mild/moderate AE in low-dose versus high-dose UST in IBD trials ^b	2000	5	8	0.90	0.75–1.08	50	0.26	High quality of evidence

^aSAE definitions reported in results section

^bLow-dose UST was defined as 90 mg, 130 mg or <4.5 mg/kg, high-dose UST was defined as ≥4.5 mg/kg

^cAll studies included in the analysis are high quality based on review of Cochrane Handbook [18]

Assessment of Heterogeneity

In the majority of studies included, there was low heterogeneity. Outcomes and heterogeneity are summarized in Table 6.

Publication Bias Publication bias was assessed for primary outcomes. Funnel plots were examined visually and revealed no obvious asymmetry to suggest bias. Egger’s regression tests were not significant, with 2-tailed *p* value of 0.1 for serious AEs and 0.32 for mild/moderate AEs (Supplementary Figure 1).

Discussion

This meta-analysis is an evaluation of documented AEs associated with UST use among a spectrum of autoimmune diseases, with a total of 30 RCTs comprising 16,068 subjects. We found that there was no increased risk of serious AEs or mild/moderate AEs with UST when compared with either placebo or other biologics. Additionally, there was no difference in AEs when comparing low-dose versus high-dose UST therapy in patients with IBD. It is noted that UST was associated with increased rates of infection

and infusion/injection site reactions. However, these differences were small and similar to reported AEs associated with other biologics. Prior reviews have evaluated available trials and demonstrated similar favorable safety profiles [32].

Five studies included in our analysis were conducted in patients with CD or UC. There were no differences in rates of serious or mild/moderate AEs for UST versus placebo or rates of AEs for low- versus high-dose UST. Of note, three of these studies (UNITI-1, UNITI-2 and UNIFI) defined severity using ICH and EU guidelines and two (Sandborn 2008 and CERTIFI) listed each severe AE individually [11–13, 18].

The results of this meta-analysis indicate that UST has a low risk of both serious and mild/moderate adverse events during induction and short-term maintenance in RCTs, adding further support to the overall favorable safety profile of UST in IBD. These findings are supported by a recent meta-analysis of observational real-world studies of UST in CD patients which reported low pooled incidence rates for AEs and infections [33]. However, safety data from long-term extension studies are needed to further characterize the long-term safety profile of UST in IBD.

Our meta-analysis is limited by variability across trials in UST doses and dosing intervals, which differed by disease state. Another limitation is the varied length of follow-up for reporting of adverse events, with several studies reporting a study duration of 12 weeks or less prior to crossover. Longer follow-up durations in IBD patients specifically are needed to evaluate for AEs.

To our knowledge, this is the first meta-analysis to evaluate the aggregate risk of AEs in UST use across multiple autoimmune diseases. Though AEs studied in other autoimmune diseases may not be directly applicable to the IBD population, the available trial data does help provide supplementary data for patients or providers about potential risks. Given the increasing use of UST in CD and UC, this study offers further insight into the safety of UST as it becomes more integrated into the IBD armamentarium.

Conclusion

This is the first meta-analysis of adverse events associated with UST use in RCTs across multiple autoimmune disease states. There was no increased risk of AEs with the use of UST compared to placebo in any of the disease states studied. There was no difference in risk of AEs with UST in IBD, which was maintained when comparing low- versus high-dose UST. As the use of UST in the treatment of IBD becomes more common, these findings will be beneficial to practitioners when assessing the risks and benefits of treatment with UST. Further trials with long-term follow-up

studies are needed to assess late-onset AEs and the durability of these results.

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Compliance with Ethical Standards

Conflict of interest David Hudesman was the consultant for Pfizer, Takeda, Janssen Biotech, Abbvie, and Salix. Research support was from Pfizer. Shannon Chang was the consultant for Takeda, Pfizer, and Oshi Health. Lisa Malter was the consultant for Takeda, Pfizer, Abbvie, Prometheus, Janssen, Merck, UCB, and Gilead. The remaining authors have nothing to disclose.

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