ORIGINAL ARTICLE



Endoscopic Resection for Undifferentiated-Type Early Gastric Cancer: Immediate Endoscopic Outcomes and Long-Term Survivals

Ji Yong Ahn¹ · Hee Jung Park¹ · Young Soo Park² · Jeong Hoon Lee¹ · Kwi-Sook Choi¹ · Kee Wook Jeong¹ · Do Hoon Kim¹ · Kee Don Choi¹ · Ho June Song¹ · Gin Hyug Lee¹ · Hwoon-Yong Jung¹

Received: 11 August 2015/Accepted: 30 November 2015/Published online: 29 December 2015 © Springer Science+Business Media New York 2015

Abstract

Background Endoscopic resection (ER) is considered carefully as a curative treatment option for selected cases of undifferentiated-type early gastric cancer (UEGC). This study investigated immediate endoscopic and long-term survival outcomes of patients with UEGC treated with ER. *Methods* A review of a database of 2483 EGC consecutively enrolled patients who underwent ER between January 2004 and December 2010 identified 101 patients with UEGC who met the expanded indications. Outcomes were investigated in these patients.

Results The rates of R0 en bloc and curative resection were 86 and 70 %, respectively. Of 30 tumors non-curatively resected, 17 were larger than 20 mm in diameter, 12 had positive resection margins, and 13 had submucosal or lymphovascular invasion on resection pathology. ER-related complications occurred in 12 patients (12 %), with all complications treated endoscopically without surgery. The median ER procedure time was 26 min [interquartile range (IQR) 20–39 min]. Only tumor location in the lower part of the stomach was significantly associated with curative ER (P = 0.038). Tumor recurrence was observed in seven

Ji Yong Ahn and Hee Jung Park have contributed equally to this work as co-first authors.

Ho June Song hjsong@amc.seoul.kr

¹ Division of Gastroenterology, Department of Internal Medicine, University of Ulsan College of Medicine, Asan Medical Center, 88, Olympic-ro 43-gil, Songpa-gu, Seoul 138-736, Korea

² Department of Pathology, University of Ulsan College of Medicine, Asan Medical Center, Seoul, Korea patients at a median 17 months (IQR 12–47 months) after ER. During a median follow-up of 60 months (IQR 48–80 months), the 5-year overall mortality rates were 5 % in the curative and 4 % in the non-curative resection groups (P = 0.927). There were no gastric cancer-related deaths.

Conclusions ER shows acceptable immediate endoscopic and long-term survival outcomes in selected patients with UEGC.

Keywords Endoscopic resection · Undifferentiated carcinoma · Stomach · Treatment outcome

Introduction

Early gastric cancer (EGC) is defined as gastric cancer confined to the mucosa or submucosa, irrespective of regional lymph node metastasis [1]. Endoscopic resection (ER) is a primary curative treatment option for selected patients with EGC [2–4]. Currently, indications for ER include differentiated-type EGC confined to the mucosa with a diameter of <20 mm [5, 6].

Surgical gastrectomy is considered the gold-standard treatment for patients with undifferentiated-type EGC (UEGC) because the likelihood of lymph node metastasis is higher in patients with UEGC than in patients with differentiated-type EGC [7, 8]. However, previous surgical studies showed that the presence of lymph node metastasis was negligible in patients with UEGC, provided the lesion was intramucosal, smaller than 20 mm in diameter, ulcernegative, and without lymphovascular invasion on final resection pathology (expanded indications) [9–11]. ER can be considered in these patients because of its minimal

invasiveness, organ-saving results, and provision of good quality of life.

Although many studies have assessed clinical outcomes of ER in patients with differentiated-type EGC [12–15], less is known about the effects of ER in patients with UEGC. This study assessed the immediate endoscopic outcomes and long-term survival of patients with UEGC who underwent ER.

Methods

Patients

The review of a consecutively collected database of 2483 patients who underwent ER for EGC between January 2004 and December 2010 identified a total of 204 patients as having UEGC. Of these, 103 patients were excluded: 79 with tumors >20 mm in diameter, 6 with ulcerative tumors, 3 with synchronous gastric cancer, 9 who had undergone endoscopic treatment for EGC previously, and 6 who had undergone previous gastrectomy. Thus, 101 patients with UEGC who met the expanded indications endoscopically were included in this study. Tumors were evaluated prior to ER procedures by chromoendoscopy using indigo carmine, narrow band imaging, and/or circumferential mapping biopsies. Endoscopic ultrasonography was performed as needed to assess submucosal invasion of tumors. Each patient underwent contrast-enhanced computed tomography before ER to evaluate regional lymph node or distant metastases.

All patients were informed carefully about the risks and benefits of treatment with ER as well as laparoscopic or open surgical gastrectomy. Surgical gastrectomy is the standard treatment, which includes curative nodal dissection, whereas ER has the advantages of organ saving and minimal invasiveness. Written informed consent was provided by all patients for ER treatment, and this analysis was approved by the Institutional Review Board of Asan Medical Center.

Endoscopic Procedure

ER was performed using a single-channel endoscope (GIF-H260; Olympus Optical, Tokyo, Japan). Patients were sedated with intravenous midazolam (0.05 mg/kg) and pethidine (50 mg), and their cardiorespiratory functions were monitored closely during the procedure. After circumferential marking of a lesion, the submucosal layer was injected with normal saline containing epinephrine (0.01 mg/ml) mixed with indigo carmine, and then the lifted mucosa was incised circumferentially. For depressed lesions or tumors larger than 10 mm in diameter, endoscopic submucosal dissection was performed using a needle knife (MTW Endoskopie, Wesel, Germany), insulated-tip knife (IT knife; MTW Endoskopie), or IT knife 2 (Olympus Optical). For elevated lesions or tumors <10 mm in diameter, endoscopic mucosal resection was performed with a snare (SD-12U-1 or SD-9U-1; Olympus Optical) after circumferential incision. The UES-30 system (Olympus Medical System) or VIO 300D (ErbeElektromedizin, Tübingen, Germany) was used as the electrosurgical unit. All visible or bleeding vessels on the artificial ulcer were coagulated using hemostatic forceps (FD-410LR; Olympus Optical). A second-look endoscopy was performed 2 days after ER, and patients were discharged if no complications had occurred. All patients were administered a proton pump inhibitor for 4 weeks.

Histopathological Examination

The resected specimen was stretched, pinned to a polystyrene plate, and totally immersed in 10 % neutral buffered formalin. After fixation, the specimen was grossly examined to identify the lesion and closest resection margin. After applying black ink to all lateral and deep resection margins, the entire specimen was sectioned into 2-mm-thick slices parallel to an imaginary line drawn from the edge of the tumor to the closest resection margin. Each sliced tissue specimen was embedded in paraffin, and 5- μ m sections were cut from each paraffin block and stained with hematoxylin and eosin. All samples were independently reviewed by two experienced gastrointestinal pathologists, with any discrepancies resolved by consensus.

Pathological Definitions

R0 en bloc resection was defined as the complete removal of the entire tumor both macroscopically and microscopically without any positive resection margins. Curative resection for UEGC was based on R0 resection and was defined as the resection of intramucosal tumors without ulceration, <20 mm in diameter, and complete absence of submucosal and lymphovascular invasion on microscopic examination [9]. Macroscopic types of EGC were classified according to the Japanese Gastric Cancer Association [6], and their degree of differentiation was classified as recommended by the World Health Organization [16].

Follow-Up Schedule

Local recurrence, defined as recurrent tumor at the resection site, was assessed by regular endoscopy 3, 6, and 12 months after ER. Metachronous recurrence was defined as tumors that developed at sites other than the primary resection site during follow-up endoscopy 1 year after ER. Patients underwent abdominal computed tomography every 6 months for the first 2 years and annually thereafter to detect regional and distant recurrences.

Statistical Analysis

Baseline variables are presented as number (percentage) and median [interquartile range (IQR)]. Continuous variables were compared using Student's *t* tests, and categorical variables were compared using chi-square or Fisher's exact tests. Univariate and multivariate analyses were performed to identify factors predicting curative resection. Patient survival was calculated using the Kaplan-Meier method and compared using the log-rank test. All *P* values were two sided, and a *P* value <0.05 was considered significant. All statistical analyses were performed using SPSS version 19 (SPSS Inc., Chicago, IL).

Results

Clinical and Endoscopic Features

The median age of the study patients was 57 years (IQR 46-64 years). Of the 101 UEGCs, 54 were poorly differentiated carcinomas, 26 were signet ring cell carcinomas, and 21 were undifferentiated carcinomas mixed with poorly differentiated and signet ring cells. On gross morphology, 69 tumors were depressed and 49 were located in the lower third of the stomach. The median tumor size on endoscopy was 15 mm (IQR 15-20 mm). Most of the tumors (91 %) were resected by endoscopic submucosal dissection. Nine patients with severe underlying diseases received ER treatment; these included four with cardiovascular diseases, two with cerebral vascular accidents, one with chronic renal failure, one with liver cirrhosis, and one with chronic obstructive pulmonary disease. The clinical and endoscopic features of the patients with UEGC are shown in Table 1.

Immediate Endoscopic Outcomes

ER pathology showed that 100 tumors (99 %) were resected en bloc, and 87 (86 %) were R0 en bloc resected. ER resulted in the curative resection of 71 tumors (70 %). Of the 30 tumors non-curatively resected, 17 were larger than 20 mm in diameter and 12 had positive resection margins. In addition, submucosal or lymphovascular invasion was observed in 13 tumors.

ER-related complications occurred in 12 patients (12 %), including post-procedural bleeding in 11 and per-

Table 1 Clinical and endoscopic features of patients with undifferentiated-type early gastric cancer (n = 101)

Age, year, median (IQR)	57 (46–64)
Gender, male:female	55:46
Tumor location (%)	
Upper third	6 (5.9)
Middle third	46 (45.5)
Lower third	49 (48.5)
Macroscopic type (%)	
Elevated	9 (8.9)
Flat	23 (22.8)
Depressed	69 (68.3)
Tumor size, mm, median (IQR)	15 (15–20)
Histology (%)	
Poorly differentiated	54 (53.5)
Signet ring cell carcinoma	26 (25.7)
Mixed ^a	21 (20.8)
Resection method (%)	
ESD	92 (91.1)
EMR	9 (8.9)

IQR interquartile range, *ESD* endoscopic submucosal dissection, *EMR* endoscopic mucosal resection

^a Mixed, undifferentiated carcinomas mixed with poorly differentiated and signet ring cells

Table 2 Immediate endoscopic outcomes in patients with undifferentiated-type early gastric cancer (n = 101)

En bloc resection (%)	100 (99.0)
R0 en bloc resection (%)	87 (86.1)
Curative resection (%)	71 (70.3)
Non-curative resection (%)	30 (29.7)
Tumor size, >20 mm	17 (16.8)
Positive resection margins	12 (11.9)
Lateral margin	9 (8.9)
Vertical margin	3 (3.0)
Submucosal invasion	9 (8.9)
Lymphovascular invasion	4 (4.0)
Complications	12 (11.9)
Delayed bleeding	11 (10.9)
Perforation	1 (1.0)
Procedure time, min, median (IQR)	26 (20-39)

IQR interquartile range

foration in 1. All complications were treated endoscopically without surgery. The median ER procedure time was 26 min (IQR 20–39 min). Table 2 summarizes the immediate ER outcomes in patients with UEGC.

Clinicopathological Factors Associated with Curative Resection of UEGC

Analysis of endoscopic and pathological factors associated with curative resection (Table 3) showed that only the tumor location was significantly associated with curative ER (P = 0.038). Other factors including macroscopic type, endoscopic tumor size, histology, and resection method were not related to curative resection. On univariate and multivariate analyses, however, the tumor location was not a significant predictor for curative resection.

Tumor Recurrence and Long-Term Survival

Figure 1 shows treatment flow and outcomes of ER patients with UEGC. Of the 71 patients who underwent curative resection, 65 were followed up without surgery and 6 underwent subsequent gastrectomy. During a median follow-up of 59 months (IQR 47–76 months), 3 of the 65 patients followed up without surgery experienced tumor recurrence. One patient experienced local recurrence 93 months after ER, but could not undergo gastrectomy because of severe underlying chronic obstructive pulmonary disease. He died of severe pulmonary dysfunction

8 months after local recurrence. Of the two patients who experienced metachronous recurrence, one was diagnosed 17 months after ER and treated with gastrectomy. Surgical pathology showed complete tumor resection without evidence of regional node metastasis. The second patient was diagnosed with differentiated-type EGC 13 months after ER and the lesion was treated curatively with ER. Surgical pathology of the six patients who underwent subsequent gastrectomy revealed that none had regional node metastasis.

Of the 30 non-curatively resected patients, 16 achieved R0 en bloc resection. Of these, three patients underwent subsequent gastrectomy, with their surgical specimens showing no remnant or metastatic tumors. Of the other 13 patients followed up without surgery, 4 experienced tumor recurrences at a median 60 months (IQR 49–87 months) after ER, including 1 with local and 3 with metachronous recurrence. The local recurrence was observed 47 months after ER, and the patient underwent curative gastrectomy. Surgical pathology revealed regional lymph node metastasis in 4 of 26 nodes. The three patients with metachronous recurrences presented with differentiated-type EGC and were treated endoscopically. Of these, two were curatively resected and one had a positive lateral margin

Table 3 Clinicopathological factors for curative resection of undifferentiated early gastric cancer

Variables	Curative resection, n = 71 (%)	Non-curative resection $n = 30$ (%)	P value
	n = 71 (n)	resection, $n = 50$ (n)	
Tumor location			0.038
Upper third	3 (4.2)	3 (10.0)	
Middle third	29 (40.8)	17 (56.7)	
Lower third	39 (54.9)	10 (33.3)	
Macroscopic type			0.201
Elevated	4 (5.6)	5 (16.7)	
Flat	17 (23.9)	6 (20.0)	
Depressed	50 (70.4)	19 (63.3)	
Tumor size, endoscopic			0.274
≤10 mm	8 (11.3)	1 (3.3)	
11–20 mm	63 (88.7)	29 (96.7)	
Histology			0.340
Poorly differentiated	37 (52.1)	17 (56.7)	
Signet ring cell carcinoma	21 (29.6)	5 (16.7)	
Mixed ^a	13 (18.3)	8 (26.7)	
Resection method			>0.999
ESD	65 (91.5)	27 (90.0)	
EMR	6 (8.5)	3 (10.0)	
Resection type			>0.999
En bloc	70 (98.6)	30 (100.0)	
Piecemeal	1 (1.4)	0 (0)	

ESD endoscopic submucosal dissection, EMR endoscopic mucosal resection

^a Mixed, undifferentiated carcinomas mixed with poorly differentiated and signet ring cells



Fig. 1 Treatment flow and outcomes of patients with undifferentiated-type early gastric cancer

that was ablated by argon plasma coagulation. Of the remaining 14 patients with non-curative resection, 12 underwent subsequent gastrectomy.

During a median follow-up of 60 months (IQR 48–80 months), 7 of the 101 patients (7 %) died (Table 4).

Table 4 Long-term outcomes of endoscopic resection for undifferentiated-type early gastric cancer (n = 101)

	Number (%)
Follow-up duration, month, median (IQR)	60 (48-80)
Recurrence (%)	7/87 ^a (8.0)
Local	2 (2.3)
Metachronous	5 (5.7)
Regional lymph node or distant metastasis (%)	0 (0)
Recurrence period, month, median (IQR)	17 (12–47)
All-cause death (%)	7 (6.9)
Gastric cancer-related death (%)	0 (0)

IQR interquartile range

 $^{\rm a}$ Of the 87 undifferentiated-type early gastric cancers with R0 en bloc resection

However, none of these deaths was related with gastric cancer. The causes of death included severe infection in one patient, hepatocellular carcinoma in two, chronic obstructive pulmonary disease in one, acute complication of interventional angioplasty in one, cerebral hemorrhage in one, and drowning in one. The 5-year overall mortality rate of all patients was 5 % (5 % in patients curatively resected and 4 % in patients non-curatively resected; P = 0.927; Fig. 2).

Discussion

This study showed that ER achieved good immediate endoscopic and long-term survival outcomes in patients with UEGC who met the expanded indications. Of the 101 UEGC lesions, 71 (70 %) were curatively resected. ERrelated complications occurred in 12 patients (12 %), all of whom were treated endoscopically without surgery. During a median follow-up of 60 months, the 5-year overall mortality rate was 5 %, and there were no gastric cancerrelated deaths.



Fig. 2 Kaplan-Meier curve for analysis of overall mortality in the patients with undifferentiated-type early gastric cancer who underwent endoscopic resection. Five-year mortality rates were 4.8 % in all patients, 5.3 % in curatively resected patients and 3.7 % in non-curatively resected patients (P = 0.927)

Lymph node metastasis is the most important prognostic factor for the cure of EGC using ER. The incidence of lymph node metastasis of EGC was reported to range from 3 to 20 %, and the risk of nodal metastasis was associated with tumor size, depth of tumor invasion, histological type, and the presence of lymphovascular invasion [9, 11, 17]. Previous surgical studies have attempted to define groups of patients without lymph node metastasis. A Japanese study reported that 1230 patients with intramucosal differentiated-type EGC with a diameter of <30 mm had no nodal metastases [9]. The overall risk of nodal metastases in patients with intramucosal UEGC was 4.2 %. However, nodal metastases were completely absent in the 141 patients with intramucosal UEGC, provided the lesions were <20 mm in diameter, without ulceration, and without histological evidence of lymphovascular invasion. A Korean surgical study reported that the risk of lymph node metastasis was 1.9 % (3/156) in patients with intramucosal UEGC <20 mm in diameter [11].

Several studies also investigated factors predictive of lymph node metastasis in patients with intramucosal UEGC [17–20]. They showed that tumor size >20 mm and the presence of lymphovascular invasion were significantly associated with the risk of lymph node metastasis. The incidence of lymph node metastasis ranged from 0.5 to 5.8 % in patients who had neither of these two risk factors,

suggesting that ER could be considered for the cure of intramucosal UEGC in highly selected patients.

Immediate endoscopic outcomes of ER for the treatment of UEGC have been reported [21–26]. R0 resection was achieved in 79.3–90.7 % of cases. The rates of ER-related bleeding and perforation ranged from 1.4 to 13.8 % and 1.0 to 4.1 %, respectively, with most of these complications being treated endoscopically. In addition, the median procedure time was approximately 60 min. In our study, the R0 en bloc resection rate was 86 %, and manageable ERrelated complications occurred in 12 % of cases. These data show that ER is technically feasible for UEGC lesions that meet the expanded indications.

Reportedly, curative resection rates of UEGC range from 63.9 to 82.5 %, which are relatively low compared with those of differentiated-type EGC [22, 24]. The main reasons for the comparatively low rate of non-curative resection of UEGC are positive resection margins and submucosal or lymphovascular tumor invasion on final resection pathology. UEGCs tend to show diffuse-type infiltration without exposure on the mucosal surface and progress laterally, replacing the glandular proliferative zone [27, 28]. Thus, pretreatment assessment of tumors is less accurate in patients with UEGC even when chromoendoscopy, narrow band imaging, or endoscopic ultrasonography is employed [29, 30]. Of the 101 patients in our study, 30 (30 %) underwent non-curative resection, including 17 with tumors >20 mm, 12 with positive resection margins, and 13 with submucosal or lymphovascular invasion. Analysis of endoscopic and pathological factors associated with curative resection showed that only the gastric location of tumors was a significant factor; curative resection was observed in 39/49 (80 %) tumors located in the lower third, 29/46 (63 %) in the middle third, and 3/6 (50 %) in the upper third of the stomach. The low rate of curative resection in the upper third of the stomach may be explained by the oblique view and procedural difficulty of resecting tumors located in this region. It may also be because minimally invasive ER of tumors in this region is preferred instead of total gastrectomy.

Several studies have reported long-term survival outcomes of ER treatment for UEGCs that meet the expanded indications [23–26]. Five-year overall mortality rates in curatively resected patients ranged from 3.9 to 7.0 %, with no patient dying of gastric cancer [23, 24]. In our study, the 5-year overall mortality rate was 5 % during a median follow-up of 60 months. In patients curatively resected, the 5-year mortality rate was 5 %, with no gastric cancer-related deaths. During long-term follow-up, however, two patients experienced local recurrence, one 93 months after curative ER and the other 47 months after non-curative ER. In addition, the metachronous recurrence rate was 6 %, suggesting that patients should be closely monitored for local and metachronous recurrences even after curative ER.

This study had several limitations inherent to its observational design and performance at a single tertiary center. In addition, endoscopic estimation of tumor size and invasion depth are relatively subjective and may depend on the experience of the endoscopist, which may influence immediate endoscopic outcomes. Because some patients did not undergo endoscopy or computed tomography because they were medically unfit for these procedures, recurrence rates and the effect of gastric cancer on long-term survival may have been underestimated.

In conclusion, ER showed acceptable short-term endoscopic and long-term survival outcomes for the treatment of UEGC. ER could be considered in selected patients with UEGCs that are intramucosal, <20 mm in diameter, and without lymphovascular invasion on resection pathology.

Compliance with ethical standards

Conflict of interest The authors declare no conflict of interest.

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