

# Improved Prognosis of Cirrhosis Patients with Esophageal Varices and Thrombocytopenia Treated by Endoscopic Variceal Ligation Plus Partial Splenic Embolization

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The aim of this study was to assess the efficacy of the combination of endoscopic variceal ligation (EVL) and partial splenic embolization (PSE) compared with EVL alone in cirrhosis patients with thrombocytopenia. In a prospective study, 84 cirrhosis patients with esophageal varices and thrombocytopenia (platelet count  $<50,000/\text{mm}^3$ ) underwent EVL plus PSE ( $N = 42$ ) or EVL alone ( $N = 42$ ). Primary end points assessed during the follow-up period included the recurrence of varices, progression to variceal bleeding, and death. Comparison between combined treatment and variceal ligation alone by multivariate analysis showed a hazard ratio of 0.44 for the recurrence of varices ( $P = 0.02$ ), 0.19 for progression to variceal bleeding ( $P = 0.01$ ), and 0.31 for death ( $P = 0.04$ ). These results suggest that the combination of EVL plus PSE can prevent the recurrence of varices, progression to variceal bleeding, and death in cirrhosis patients with esophageal varices and thrombocytopenia.

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**KEY WORDS:** liver cirrhosis; portal hypertension; esophageal varices; thrombocytopenia; endoscopic variceal ligation; partial splenic embolization.

Esophageal varices are a common complication of liver cirrhosis. Bleeding from varices often occurs in the first year after their diagnosis (1, 2) and the mortality rate due to the first hemorrhage is about 30% to 50% (3–5). The 1-year risk of rebleeding after an index variceal bleed is 70%, and the mortality rate is 50% for each subsequent episode (6). However, bleeding or rebleeding can be prevented in many patients either by medical treatment with a nonselective  $\beta$ -blocker or by endoscopic variceal ligation (EVL).

Nonselective  $\beta$ -blocker therapy is the most widely studied form of prophylaxis and has been shown to reduce the risk of bleeding but not the mortality rate. EVL is more effective than propranolol for the primary prevention of variceal bleeding (7, 8). However, varices often recur quite rapidly after treatment by EVL (9, 10), so various combination therapies, such as EVL plus pharmacologic treatment (11), EVL plus sclerotherapy (12, 13), and EVL plus radiologic intervention (14, 15), have been tried to improve the outcome. With regard to the risk factors for variceal bleeding, large esophageal varices have a significantly higher risk of bleeding than small varices (16–20), while thrombocytopenia and splenomegaly are independent predictors of bleeding from large varices (21–26). Unfortunately, the best method for management of esophageal varices in patients with hypersplenism,

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TABLE 1. CLINICAL CHARACTERISTICS OF THE PATIENTS

	<i>EVL + PSE group</i> (n = 42)	<i>EVL group</i> (n = 42)	<i>P value</i>
Age (years) (mean $\pm$ SD)	60.2 $\pm$ 10.4	62.3 $\pm$ 9.6	0.25
Gender (male/female)	30/12	28/14	0.64
Etiology of cirrhosis			0.16
Hepatitis B virus	9	4	
Hepatitis C virus	25	33	
Alcoholic liver damage	8	5	
Child-Pugh score			0.38
Class A	12	11	
Class B	21	19	
Class C	9	12	
Presence of HCC	16 (38%)	12 (29%)	0.35
Variceal size (F1/F2/F3)	0/30/12	0/22/20	0.20
Variceal bleeding on admission	14 (33%)	17 (40%)	0.50
EVL sessions (mean $\pm$ SD)	2.7 $\pm$ 1.5	3.1 $\pm$ 1.9	0.21
Bands consumed (mean $\pm$ SD)	15.8 $\pm$ 5.3	18.2 $\pm$ 7.1	0.11
Platelet count ( $\times 10^3/\text{mm}^3$ ) (mean $\pm$ SD)	41 $\pm$ 7	43 $\pm$ 5	0.43
Follow-up period (years) (mean $\pm$ SD)	4.8 $\pm$ 2.2	4.2 $\pm$ 2.1	0.26

*Note.* EVL, endoscopic variceal ligation; PSE, partial splenic embolization; HCC hepatocellular carcinoma.

especially those with thrombocytopenia, is still unclear (27). In patients with hypersplenism, partial splenic embolization (PSE) was introduced by Spigos *et al.* (28) for the treatment of leukopenia and thrombocytopenia. We have also found that cirrhosis patients with hypersplenism and thrombocytopenia showed long-term improvement of their hematological profile and liver function and promoted long-term survival after PSE (29). Therefore, prevention and treatment of ruptured esophageal varices is expected to improve the prognosis of liver cirrhosis patients who have esophageal varices associated with splenomegaly and thrombocytopenia and cannot be treated by liver transplantation.

In the present study, we prospectively evaluated the usefulness of EVL combined with PSE versus EVL alone for the treatment of cirrhosis patients with esophageal varices and severe thrombocytopenia.

## PATIENTS AND METHODS

**Patients.** From July 1995 to April 2004, 84 cirrhosis patients who were not potential candidates for orthotopic liver transplantation and had large untreated varices (F2/F3: 52/32 patients), hypersplenism, and thrombocytopenia (platelet count  $<50,000/\text{mm}^3$ ) were admitted to our hospital. Among them, 42 patients underwent EVL plus PSE and complete endoscopic eradication of their varices was achieved (EVL+PSE group). The other 42 patients were treated with EVL alone (EVL group). Patients qualifying for this prospective study were randomly assigned to receive either EVL plus PSE or EVL alone according to a computer-generated randomization sequence. The two groups were well matched with respect to clinical characteristics such as the mean age, gender, etiology (including hepatitis B virus, hepatitis C virus, and alcoholism), Child-Pugh class, presence

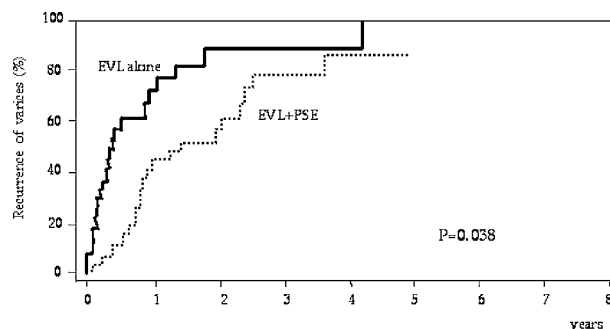
of hepatocellular carcinoma (HCC), variceal size, performance of emergency EVL for variceal bleeding on admission, number of EVL sessions, number of bands used for eradication of varices, mean platelet count, and follow-up period (Table 1). This study was approved by the ethics committee for clinical investigations of our medical school and conformed to the Helsinki Declaration. Each patient was informed of the aims of the study and the nature of the protocol and gave written consent to participation.

**Endoscopic Variceal Ligation and Partial Splenic Embolization.** EVL was performed using a standard endoscope (XQ-200; Olympus Optical Co., Ltd., Tokyo) with a pneumoactivated single ligator (Sumitomo Bakelite Co., Ltd., Tokyo) and an overtube. Varices were sequentially ligated, starting from the most distal lesion in the esophagus, and EVL was repeated at weekly intervals until complete eradication of varices was achieved.

PSE was performed using the Seldinger method and small amounts of embolic material were injected until there was a 60% to 80% reduction in splenic blood flow. We evaluated the hemodynamics of the left gastric vein based on the results of splenic arteriography performed before and after PSE. The arteriograms were reviewed independently by two investigators.

In patients with large varices, prophylactic EVL was performed even if the varices were not bleeding. PSE was done 1 week before EVL and vasoactive drugs or nonselective  $\beta$ -blockers such as propranolol were not given. In patients with active variceal bleeding, emergency EVL was performed first to achieve hemostasis, and PSE was done 1 week later.

**Follow-up.** After treatment, follow-up endoscopy was performed twice at 3-month intervals and then was done every 6 months if there was no recurrence. If bleeding recurred, emergency endoscopy was performed to identify the source. If esophageal varices were determined to be responsible, EVL was performed to arrest the bleeding. The maximum, minimum, and mean follow-up period was 8.3, 0.5, and 4.8 years, respectively, in the EVL plus PSE group, versus 7.5, 0.3, and 4.2 years in the EVL group. The end points of the study were recurrence of



**Fig 1.** The cumulative recurrence rate of varices was significantly lower in the EVL + PSE group than in the EVL group.

esophageal varices, bleeding from the varices, death, and loss to follow-up.

**Definitions.** Eradication of varices was defined as nonvisualization of any varices or the presence of only fibrosed varices resistant to ligation. Recurrence of varices was defined as the detection of new varices after eradication had previously been achieved. Variceal bleeding was defined on the basis of the following findings: (1) active variceal bleeding, (2) clot overlying a varix that resists removal by washing, (3) a white plug overlying a varix, or (4) esophageal varices and fresh blood in the stomach without any other potential sources of bleeding. The final decisions regarding eradication, recurrence, and bleeding were made on the basis of agreement between two experienced endoscopists.

**Statistical Analysis.** Statistical analysis was carried out using the SPSS software package (SPSS, Inc., Chicago, IL). The chi-square test was employed to assess the homogeneity of groups. Cumulative incidences were plotted by the Kaplan-Meier method, and the significance of differences was examined by the log-rank test. Identification of factors that were predictive of the recurrence of varices, bleeding from varices, and mortality was performed with a Cox proportional hazards model. Univariate

analysis was performed first and then multivariate analysis was done using the significant factors identified by the univariate analysis. A  $P$  value of  $<0.05$  was considered to indicate statistical significance.

## RESULTS

**Recurrence of Varices.** During follow-up, 28 patients (67%) from the EVL + PSE group and 37 patients (88%) from the EVL group developed new varices that required prophylactic retreatment. The EVL + PSE group showed a significantly lower incidence of new varices ( $P = 0.038$ ) (Figure 1). The results of the univariate and multivariate analyses are reported in Table 2. Multivariate analysis identified only one independent factor that influenced the recurrence of varices, which was treatment with EVL plus PSE ( $P = 0.02$ ; hazard ratio = 0.44; 95% CI, 0.22–0.89).

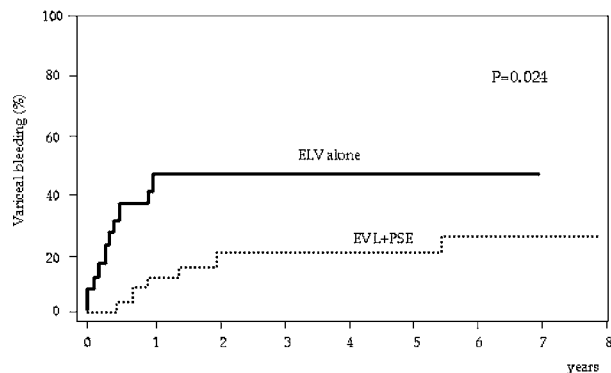
**Variceal Bleeding.** Variceal bleeding occurred in 7 patients (17%) from the EVL + PSE group and 14 patients (34%) from the EVL group, and it was significantly less common in the former group ( $P = 0.024$ ) (Figure 2). Comparison of EVL + PSE with EVL alone by multivariate analysis showed that the hazard ratio for variceal bleeding was 0.19 (95% CI, 0.05–0.69;  $P = 0.01$ ) (Table 3).

**Survival.** Twenty-one patients (50%) from the EVL + PSE group and 29 patients (69%) from the EVL group died during the observation period. The survival rate was significantly higher after EVL + PSE for patients in any Child's class ( $P = 0.042$ ) (Figure 3). Only 2 of 21 patients (10%) from the EVL + PSE group died of variceal bleeding, versus 10 of 29 patients (34%) from the EVL group. Therefore, the incidence of death related to variceal bleeding was significantly lower after EVL + PSE than

TABLE 2. FACTORS PREDICTING VARICEAL RECURRENCE

Variable	Univariate analysis (P value)	Multivariate analysis (P value)	Hazard ratio (95% CI)
Age	0.71	—	—
Gender	0.76	—	—
Etiology of cirrhosis	0.77	—	—
Presence of HCC	0.88	—	—
Child-Pugh score	0.04	0.24	—
Laboratory data			
White blood count	0.34	—	—
Red blood count	0.003	0.13	—
Total bilirubin	0.01	0.14	—
ALT	0.26	—	—
Albumin	0.16	—	—
Prothrombin time	0.62	—	—
Ammonia	0.11	—	—
Treatment			
Prophylactic EVL	0.0003	0.08	—
Emergency EVL	0.0009	0.73	—
EVL+PSE	0.003	0.02	0.44 (0.22–0.89)

Note. CI, confidence interval; HCC, hepatocellular carcinoma; ALT, alanine aminotransferase; EVL, endoscopic variceal ligation; PSE, partial splenic embolization.



**Fig 2.** The cumulative variceal bleeding rate was significantly lower in the EVL + PSE group than in the EVL group.

after EVL alone ( $P = 0.041$ ). By multivariate analysis, only the presence of HCC at diagnosis and treatment by EVL + PSE were independent predictors of mortality, with the hazard ratios being 6.03 (95%CI, 1.88–19.33;  $P = 0.004$ ) and 0.31 (95%CI, 0.12–0.79;  $P = 0.04$ ), respectively (Table 4).

**Effect of Partial Splenic Embolization.** The mean  $\pm$  SD splenic embolization rate was 68%  $\pm$  12%, which was assessed after 1–2 weeks on the basis of enhanced CT findings. In the patients undergoing PSE, the platelet count, serum albumin level, and prothrombin activity all showed a significant increase after treatment and remained significantly elevated for the next 5 years. In addition, the Child-Pugh score showed significant improvement at 1, 2, and 5 years after PSE (Table 5).

During angiography at the time of PSE, the left gastric vein was visualized distal to the liver in 31 patients (74%).

In all of these patients, the left gastric vein was opacified more slowly after PSE and its diameter was smaller than before the procedure.

**Adverse Effects.** EVL caused a few complications, such as deep ulcers and stricture of the esophagus. Regarding the adverse effects of PSE, transient fever, left-sided chest pain, and anorexia were observed in all of the patients, but there were no serious complications.

## DISCUSSION

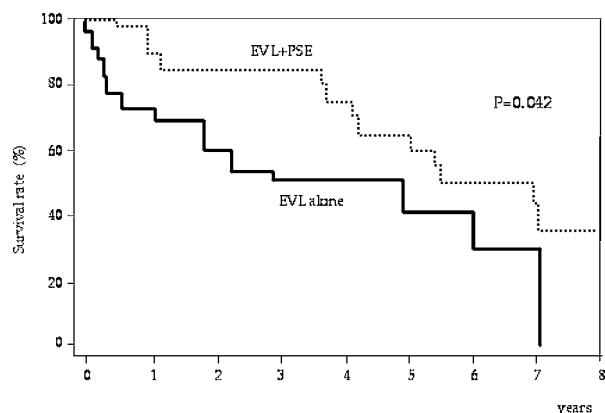
Endoscopic procedures such as EVL and sclerotherapy have been widely used to control acute variceal bleeding and to prevent further bleeding episodes. Recent studies and a meta-analysis have shown that EVL is superior to sclerotherapy in terms of bleeding, survival, and stricture formation, as well as the number of treatment sessions required to achieve the obliteration of varices (30, 31). EVL is also more effective than propranolol for the primary prevention of variceal bleeding (7, 8). However, esophageal varices often recur soon after the performance of EVL (9, 10), so the use of this method in isolation seems to have limitations.

In patients with large esophageal varices, the platelet count is one of the important predictors of variceal bleeding (21–26), but the optimum management of varices in patients with thrombocytopenia is still not well understood (27). Since the report by Spigos *et al.* (28), PSE has been used to treat cirrhosis patients with hypersplenism and EVL has been combined with PSE to prolong the duration of variceal eradication (14, 15). We prospectively evaluated the impact of treatment with EVL plus PSE on the

TABLE 3. FACTORS PREDICTING VARICEAL BLEEDING

Variable	Univariate analysis (P value)	Multivariate analysis (P value)	Hazard ratio (95% CI)
Age	0.81	—	—
Gender	0.46	—	—
Etiology	0.52	—	—
Presence of HCC	0.90	—	—
Child-Pugh score	0.05	0.29	—
Laboratory data			
White blood count	0.22	—	—
Red blood count	0.0002	0.09	—
Total bilirubin	0.009	0.26	—
ALT	0.47	—	—
Albumin	0.39	—	—
Prothrombin time	0.09	—	—
Ammonia	0.44	—	—
Treatment			
Prophylactic EVL	0.004	0.21	—
Emergency EVL	0.03	0.68	—
EVL+PSE	0.003	0.01	0.19 (0.05–0.69)

Note. CI, confidence interval; HCC, hepatocellular carcinoma; ALT, alanine aminotransferase; EVL, endoscopic variceal ligation; PSE, partial splenic embolization.



**Fig 3.** The cumulative survival rate was significantly higher in the EVL + PSE group than in the EVL group.

long-term prognosis of cirrhosis patients with esophageal varices and severe thrombocytopenia. We found that multivariate analysis demonstrated the usefulness of EVL plus PSE for reducing the recurrence of esophageal varices and variceal bleeding in patients with cirrhosis, hypersplenism, and thrombocytopenia. Moreover, this combined therapy significantly decreased the risk of death from variceal bleeding.

It has been reported that cirrhosis patients treated with PSE show long-term improvement of their hematological abnormalities and also of their hepatic functional reserve after PSE (29). Although the mechanism by which PSE improves liver function is still unclear, it has been suggested that immunological factors and hemodynamic changes are involved (32–35). Regarding the former mechanism, an experimental study has shown that the

spleen suppresses liver regeneration following partial hepatectomy, suggesting the production of suppressive factors by the spleen (36). In addition, a clinical study has suggested that the spleen regulates inflammation and fibrosis in the liver (33). Thus, PSE may decrease the levels of splenic inhibitory factors such as cytokines, which have an adverse influence on hepatic regeneration. Regarding hemodynamic changes after PSE, Hirai *et al.* (37) suggested that a decrease in portal blood flow and a relative increase in mesenteric blood flow may decrease liver congestion after PSE, and may also increase the supply of nutrients and cytokines derived from the alimentary tract. In our previous study, mesenteric hepatopetal blood flow showed a relative increase following PSE and the liver volume was increased at 2 years after PSE, so hepatic regeneration is probably involved in the improvement of liver function (29).

In the present study, the left gastric vein was visualized in 31 of 42 patients when angiography was performed at the time of PSE. After PSE, blood flow through the left gastric vein (which is distal to the liver) decreased in these patients, suggesting a reduction in the blood supply to the esophageal varices. Accordingly, PSE seems to be a useful adjunctive therapy for esophageal varices, and the above-mentioned effects may have made an important contribution to decreasing variceal recurrence or bleeding and increasing survival among our patients receiving combined therapy.

We found that the combination of EVL plus PSE did not cause any serious complications, and its relative safety emphasizes the usefulness of this method for treating patients with esophageal varices and thrombocytopenia.

TABLE 4. FACTORS PREDICTING DEATH

Variable	Univariate analysis (P value)	Multivariate analysis (P value)	Hazard ratio (95% CI)
Age	0.97	—	—
Gender	0.96	—	—
Etiology	0.42	—	—
Presence of HCC	0.001	0.004	6.03 (1.88–19.33)
Child-Pugh score	0.02	0.56	—
Laboratory data			
White blood count	0.99	—	—
Red blood count	0.02	0.33	—
Total bilirubin	0.69	—	—
ALT	0.58	—	—
Albumin	0.02	0.65	—
Prothrombin time	0.28	—	—
Ammonia	0.17	—	—
Treatment			
Prophylactic EVL	0.24	—	—
Emergency EVL	0.83	—	—
EVL+PSE	0.03	0.04	0.31 (0.12–0.79)

Note. CI, confidence interval; HCC, hepatocellular carcinoma; ALT, alanine aminotransferase; EVL, endoscopic variceal ligation; PSE, partial splenic embolization.

TABLE 5. CHANGES IN THE PLATELET COUNT AND LIVER FUNCTION PARAMETERS AFTER PARTIAL SPLENIC EMBOLIZATION

	Before	1 year	3 years	5 years after PSE
Platelet count ( $\times 10^3/\text{mm}^3$ )	41 $\pm$ 7	110 $\pm$ 43 $\ddagger$	108 $\pm$ 53 $\ddagger$	98 $\pm$ 45 $\ddagger$
Albumin (g/dL)	3.1 $\pm$ 0.4	3.4 $\pm$ 0.5 $\ddagger$	3.4 $\pm$ 0.6 $\ddagger$	3.5 $\pm$ 0.5 $\ddagger$
Prothrombin activity (%)	52 $\pm$ 12	68 $\pm$ 16 $\ddagger$	73 $\pm$ 13 $\ddagger$	67 $\pm$ 13 $\ddagger$
(INR)	1.6 $\pm$ 0.4	1.3 $\pm$ 0.3 $\ddagger$	1.2 $\pm$ 0.2 $\ddagger$	1.3 $\pm$ 0.2 $\ddagger$
Child-Pugh score	7.8 $\pm$ 1.8	7.1 $\pm$ 1.8 $\ddagger$	6.7 $\pm$ 1.7 $\ddagger$	6.9 $\pm$ 1.3 $\ddagger$

*Note.* PSE, partial splenic embolization; INR, international normalized ratio.

$\ddagger P < 0.01$  vs. before.  $\ddagger P < 0.001$  vs. before.

In conclusion, EVL plus PSE may prevent the development of new varices, progression of variceal bleeding, and death of cirrhosis patients with esophageal varices and thrombocytopenia who cannot undergo liver transplantation. However, further studies are required to develop more useful therapies because the mortality rate of such patients remains high.

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