

A secure key agreement protocol for dynamic group

Muhammad Bilal¹ · Shin-Gak Kang¹

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Abstract To accomplish secure group communication, it is essential to share a unique cryptographic key among group members. The underlying challenges to group key agreement are scalability, efficiency, and security. In a dynamic group environment, the rekeying process is more frequent; therefore, it is more crucial to design an efficient group key agreement protocol. Moreover, with the emergence of various group-based services, it is becoming common for several multicast groups to coexist in the same network. These multicast groups may have several shared users; a join or leave request by a single user can trigger regeneration of multiple group keys. Under the given circumstances the rekeying process becomes a challenging task. In this work, we propose a novel methodology for group key agreement which exploits the state vectors of group members. The state vector is a set of randomly generated nonce instances which determine the logical link between group members and which empowers the group member to generate multiple cryptographic keys independently. Using local knowledge of a secret nonce, each member can generate and share a large number of secure keys, indicating that SGRS inherently provides a considerable amount of secure subgroup multicast communication using subgroup multicasting keys derived from local state vectors. The resulting protocol is secure and efficient in terms of both communication and computation.

Keywords Group key agreement · Resource sharing · Multicast security · Dynamic system · Key distribution · Confidentiality

Muhammad Bilal mbilal@etri.re.kr

1 Introduction

the recent past with the advent of fast networking technologies, there has been a profound increase in the speed of the Internet and the degree of connectivity. In addition, with the emergence of new Internet applications such as video conferencing, online joint workspaces, group chat, multi-user games and online social networking applications, numerous possibilities for group communications have been created. Group participants share common interests and share the responsibility of secure group communication. In group communication, agreement regarding a secure group key is one of the most important and challenging tasks. Specifically, to maintain a secure group key in a dynamic environment becomes more difficult as the reestablishment of the group key should be rapid and lightweight with regard to complexity. Secure rekeying becomes an even more challenging task in resource-limited networks such as wireless sensor networks (WSNs) [1] and body-area networks (WBAN) [2], as most conventional cryptographic mechanisms and security protocols are not suitable for resource-limited WSNs or WBANs. For example, very efficient public key algorithms, such as ECC [3], need a fraction of a second to execute encryption/decryption procedures, while a symmetric key algorithm such as RC5 [3] needs only a fraction of a millisecond to perform encryption and decryption procedures [4,5]. For computational efficiency, secure group communication it is essential, with the group key following a symmetric key algorithm.

For secure group communications, the two basic goals are authentication and confidentiality. Precisely, authentication guarantees that the communicating entity is an authorized entity, which is alive and participating in a protocol run according to a defined role. Further, the protocol run follows the correct pre-defined sequence of a protocol run, and

¹ Electronics and Telecommunications Research Institute (ETRI Campus), University of Science and Technology Korea, Daejeon 305-700, Republic of Korea

confidentiality guarantees that the transmitted messages are recognizable and/or decrypted only by the intended entities.

In this paper, we present a unique secure group key agreement protocol suite known as secure group key-agreement (SGRS with random nonce sharing). SGRS is a decentralized and distributed protocol; it is decentralized because for scalable instances of SGRS, the groups are cascaded into larger groups (Sect. 4.5), and it is distributed because all of the nodes in each group contribute to computing the keying material. The SGRS protocol suite consists of four underlying protocols: the Join, Leave, Merge and Partition protocols. The common framework for these protocols is based on a unique and novel group structure, where each group member generates a secret nonce which should be known to all except one. The set of locally known nonce instances represents the state vector of a group member. In a group of N members, all members have a state vector of size |N| - 1.

Considering that the members are arranged in a logical circular linked list where a pointer to the next member indicates the secrecy of the current member's nonce to the next member, e.g., $N_{i-1} \rightarrow N_i$ means that the secret nonce n_i does not belong to state vector S_{i-1} ; i.e., $n_i \notin S_{i-1}$. Considering that the members are arranged in a logical circular linked list where a pointer to the next member indicates that the nonce of next node is unknown to the current node. For instance, $N_{i-1} \rightarrow N_i$ means that the secret nonce generated by member N_{i-1} is unknown to member N_i ; i.e., $n_{i-1} \notin S_i$ where S_i is the state vector of member N_i , representing set of all secret nonce known to the ith member, and n_{i-1} is the nonce generated by member N_{i-1}

This novel approach of creating logical relationships among group members is the key factor in our protocol. Using local knowledge of the secret nonce, each member can generate and share a large number of secure keys, indicating that SGRS inherently provides a considerable amount of secure subgroup communication using subgroup multicasting keys derived from local state vectors. We assume that an independent authentication protocol authenticates the group member. Consequently, we focus on the confidentiality aspect of secure group communication. If a member or group of members want to join a secure communication group, they should initially be authenticated by a separate authentication protocol.

The remainder of this paper is organized as follows. In Sect. 2, we give a brief system overview and discuss the characteristics of the group and keying system. In Sect. 3, the proposed scheme is described in detail. Section 4 presents the results of a performance analysis of SGRS against several well-known schemes. Finally, we provide concluding remarks in Sect. 5.

2 Related work

The group communication over IP was first presented in 1986 by Deering [6]. However, IP multicast itself does not have any mechanism to prevent group communication by non-group members. Afterward, with the emergence of new network technologies, group communication faced several new security and efficiency issues. Group communication can be secure if all group members share a common secret key, with the key generated and distributed through a secure procedure. Group communication is considered to be efficient if it has low computational and communication complexity. Various protocols have been proposed for securely and efficiently establishing a secret cryptographic key among group members [7-10]. The protocols developed thus far can be categorized into three broad categories, as follows: (1) Centralized key distribution and management systems, (2) decentralized key distribution and management systems, and (3) distributed key distribution and management systems [2-5]. In the centralized approach [11, 12], the overall key management complexity is low from the standpoint of a group participant; however, the centralized key management entity is associated with heavy computational and communicational complexity. The centralized approach is vulnerable to DoS/DDoS attacks and inherits the potential of a single-point failure. Moreover, tackling the issue of scalability in the centralized approach is a challenging task. In the decentralized approach [13, 14], the computational and communication complexity levels are distributed among the subgroup managers. The single-point failure is confined to the subgroup region at the expense of higher complexity of group re-keying after a join, leave, merge or partition event. In the distributed approach [15], single-point failures do not occur, but ensuring secure re-keying is a challenging task. The SGRS is a decentralized and distributed protocol, decentralized because for scalable SGRS, the groups are cascaded into larger groups (Sect. 4.5), and distributed because all nodes in each group contribute to computing the keying material.

In earlier work [11], the author proposed a scheme to reduce the communication complexity of the rekeying process in a dynamic group. In the proposed scheme, the head node transmits a random magic number to all group members along with a time stamp value. The member nodes undertake a left shift of the magic number and calculate the new key by an XOR operation on the old key and the left-shifted magic number. The proposed scheme requires a powerful group head node which must send n number of encrypted messages for a group of size n, leading to the scalability problem. See et al. [12] proposed a group key management protocol for the cluster-based topology which establishes the group key using certificate-less asymmetric cryptography.

However, this scheme is computationally expensive due to its use of asymmetric cryptography.

In work by Mehdizadeh et al. [14], the group is divided into small subgroups. The rekeying process is only confined to the locality of the event (Join and leave) in a subgroup. In the proposed scheme the network is divided into two levels: the multicast level and unicast level. The re-keying process is confined to multicast level. However, it causes an issue of data transmission within the group. The data need to be translated on each edge node of the subgroup.

In another study [16], the authors presented a group key agreement protocol suite based on blending binary key trees with the Diffie–Hellman key exchange. The usage of a hierarchal logical key significantly reduces the number of keys held by each group member. The key of each member is constructed from its child members; all participating members calculate intermediate blending keys independently and finally compute the group key.

Other work [15] presents an asymmetric group key agreement protocol, in which the general construction of the protocol is based on the well-known Chinese remainder theorem in conjunction with the NTRU cryptosystem. The overall protocol provides an efficient solution.

In another study [17], an asymmetric group key agreement protocol based on a proxy re-encryption technique was proposed. The keys are arranged in a tree format, where the members represent the key pairs and the edges represent the proxy re-encryption keys. Each participating member holds the proxy re-encryption keys from the root to the leaf member. In two of the aforementioned studies [16, 17], to construct a logical tree of keys, the parent members are computed from child members; consequently, members must be synchronized, otherwise any delay can cause an interruption in a protocol run. Additionally, during the setup time, both protocols depend upon a leader; in other words, a single-point failure may still arise in the system.

In other work [18], the author presented another protocol based on the Chinese remainder theorem. This protocol constructs a tree in which each member holds two values: a key and a modulus.

Most existing group key management protocols are designed to establish a single secure group. However, with the emergence of various group-based services, it is becoming common for several multicast groups to coexist in the same network. These groups may have several common users. In some cases [13,19], the authors discussed the issue of the coexistence of multiple multicasting groups in a single network. This feature is similar to SGRS secure multicasting subgroup communication, which is also utilized in our scheme. However, one of these schemes [19] is computationally expensive because all of the keys are generated using asymmetric cryptography, which makes the overall process of key generation computationally expensive. In another scheme [13], the computational and communication cost complexity is highly dependent upon the number of multicasting groups and the number of users participating in each group. On the other hand, the establishment of the group key in SGRS is independent of the number of multicasting groups or the number of users involved in each group, as SGRS inherently empowers the group members to create secure multiple multicasting groups.

3 System overview

In SGRS, the participating group members share the secret nonce and create a logical circular linked list. Using local knowledge of the shared nonce, each member can generate and share a large number of secure keys. We assume that before joining the group the requesting member/group is authenticated by an independent authentication entity using independent authentication protocol, largely discussed in literature [20–23], and further assume that the secrete nonce of sponsor member is known to the authentication entity. In the subsequent section, we explain the characteristics of the group and the keying system in detail.

3.1 Notations

- $-N_i = i$ th member
- $-n_i =$ Secret nonce of *i*th member
- S_i = State Vector: Set of all secret nonce known to the *ith* member.
- $-X_s$ = Set of secret nonce created and shared among all members of a dynamic group
- $-X_N$ = Set of member ids of all members in dynamic group, corresponding ids of X_s
- $-Y_s = A$ subset of X_s
- Y_N = A subset of X_N , which are corresponding ids of Y_s
- K_Y = A key derived from elements of Y_s
- $K_P =$ Public key
- $K_G = \text{Group key}$
- $E_A(B) =$ Encryption of B using key K_A , where K_A is any valid K_Y , K_P or K_G
- || Symbol for concatenation of terms
- \models Symbol to explicate a portion of the term
- $-S \vdash m$ Indicate that S can generate m

3.2 Characteristics of group

Each group member generates a secret nonce which should be known to all except one. The local knowledge of the secret nonce represents the state vector of a group member. In a group of N members, all members have a state vector of size |N| - 1, and each member possess a $S_i = X_s - n_{i-1}$ secret nonce. Initially, each member independently gener-



Fig. 1 A group of N members arranged in a logical circular linked list

ates exactly one nonce and the state vector get updated (new nonce added or excluded) for each join, leave, merge and partition events by following the respective protocols described in subsequent Sect. 6.

For example, in Fig. 1, N_2 knows all nonce instances expect n_1 and N_3 knows all except n_2 , and so on. It is important to note that these members are arranged in a logical circular linked list, where a pointer to the next member indicates the secrecy of the current member's nonce to the next member. This novel approach of creating logical links among group members is the key factor in our protocol. Using local knowledge of a shared nonce, each member can generate and share a large number of secure keys.

3.3 Keying system

In the SGRS scheme, each member independently generates multiple cryptographic keys K_Y based upon the locally known secret set S_i . A multicasting key K_Y is generated using the function $f(Y) = Hash(XOR(Y_s), K_G)$. To ensure the secrecy of set Y_s , set Y is used as an index value to retrieve the valid secret nonce Y_s from the state set S_i of members. Only those members can generate the key K_Y , holding the corresponding secret nonce Y_s of the enlisted member IDs in set Y; i.e., K_Y is a valid key for all members N_i if $Y_s \subseteq S_i$ and K_Y is a private key of members N_i if $Y_s = S_i$. This infers that the group size of $|X_N| = N$ has the following number of possible multicasting keys.

$$W = \begin{cases} \frac{N!}{(N-1)!} + \frac{N!}{(N-2)!2!} + \dots + \frac{N!}{(N-(N-2))!(N-2)!}N \text{ is odd} \\ \frac{N!}{(N-1)!} + \frac{N!}{(N-2)!2!} + \dots + \frac{N!}{(N-(N-1))!(N-1)!}N \text{ is even} \end{cases}$$

However, each member can participate and/or start Znumber of secure sub group communication, where $Z \subseteq W$.

$$Z = \begin{cases} \frac{(N-1)!}{(N-2)!} + \frac{N-1!}{(N-3)!2!} + \cdots + \frac{(N-1)!}{(N-(N-1)!)!(N-1)!} + 1N \text{ is odd} \\ \frac{(N-1)!}{(N-2)!} + \frac{N-1!}{(N-3)!2!} + \cdots + \frac{(N-1)!}{(N-(N-1))!(N-1)!}N \text{ is even} \end{cases}$$

For instance, assuming that N = 7, we have a total of 119 possible keys in the system, which infers that our scheme inherits the ability to create W = 119 number of secure subgroups, where each member can participate in 63 subgroups.

The group key K_G is generated by the hash function $K_G = Hash(n_i, X)$, where n_i is the secret nonce of sponsor member N_i or N_i is the immediate previous member in the

logical linked list if N_{i+1} leaves the group and X is selected based on the key update event. In join event X is an existing group key, in leave and partition event X is a random nonce generated and shared by the sponsor member, and in merge event it is the group key of requesting group. For computational efficiency, secure group communication it is essential, with the group key following a symmetric key algorithm. For example, very efficient public key algorithms, such as ECC [3], need a fraction of a second to execute encryption/decryption procedures, while a symmetric key algorithm such as RC5 [3] needs only a fraction of a millisecond to perform encryption and decryption procedures [4,5]. In SGRS all the cryptographic keys generated, as in the above discussion, are symmetric keys and have a size of 256 bits (32 bytes); hence, in the subsequent section of protocols any symmetric encryption supporting the 256-bit key can be used, e.g., RC5 [3]; Rijndael [24], Twofish [25], MARS [26], and Blowfish [27] symmetric encryption algorithms support the 256-bit encryption key.

3.4 A reference framework for SGRS

The application scenario for SGRS is not limited to the group of communicating nodes. As shown in Fig. 2, a group of nodes/users $\{N_1, N_2, ..., N_N\}$ is arranged in a logically connected group, as explained above. All group nodes can access the common resources using the group key K_G and a subset of the group can securely access resources private to the subset of nodes. Resources can be a subscription to a service, shared data, and/or a secure multicast group, for instance. Note that

As an example shown in Fig. 2, $R_i \forall i = 1, 2..k$, can be a service, shared memory, shared data or a multicast communication channel private to members N_1 , N_3 , and N_5 . The private key can be calculated by the subgroup members



Fig. 2 The logically connected group members share the common resources using the group key. The subsets of group members also create multiple secure subgroups.



Fig. 3 An example when one of the group member moves from one authentication as service point to another authentication as service point. Note that whole network is divided into multiple clusters and each cluster provides a complete set of resources.

independently without an exchange of a single message, as follows:

1. $Y_s = S_1 \cap S_3 \cap S_5$ 2. $K_Y = f(N_1, N_3, N_5, N_7, \dots, N_{N-1}) = Hash(XOR (Y_s), K_G)$

Note that the function f takes the list of node IDs as an input argument and translates it into vector Y_S by selecting the corresponding secret nonce from the local state vector S_i . In the subsequent section, we demonstrate that to maintain the characteristics of a group, we share the node IDs (Y) and let the member node translate the vector Y into Y_s . This ensures that only eligible nodes with the required information (Y_s) can generate the secret key.

At this stage as shown in Fig. 3, we consider that the network is divided into clusters/cells. Each cluster provides a complete set of resources. If a user who is subscribed to multiple subgroup resources moves from one cluster to another, it will invoke a single leave and single join protocol. Once a user becomes part of a logical group, it can generate all of the subgroup keys to access the subscribed resources. As shown in Fig. 3, N_3 in cluster 1 is subscribed to R_1 , R_2 , and R_k , which indicates that N_3 is part of one major group as well as three subgroups. When it leaves cluster 1 and joins cluster 2 as N_4 , cluster 1 runs leave protocol while cluster 2 runs join protocol. The rekeying of three subgroups in both clusters requires a single message exchange, and all subgroup keys can be generated by the members independently using function f.

4 Proposed scheme

The SGRS protocol suite consists of four underlying protocols: the join, leave, merge and partition protocols. All of these protocols share a joint framework with the following prominent features:

- Each group member contributes to maintaining its state vector to preserve the characteristics of a group as defined in Sect. 2.
- Upon the addition or removal of members, all members update the previous keying material based upon the updates shared by the sponsor node.
- Each member can participate and/or start Z instances of secure subgroup communication, where Z ⊆ W.

Upon each membership event, all members independently update the state vector and compute all possible keys on demand. SGRS protocols are highly distributed; all participating members equally participate in a protocol run except for the sponsor member, which performs a few additional operations.

4.1 Join protocol

The join protocol is initiated when a potential member sends a valid join message to a sponsor member. The authentication server generates a 'joining tag' for new member N_j , as shown below.

$$E_G(S_j)||E_Y(sig(n_j))| \models Y_N = N_i$$

A valid joining tag consists of two parts. The first part $E_G(S_i)$ is destined for new member N_i only, whereas the second half $E_Y(sig(n_i)) \models Y = N_i$ is used by new member N_i to initiate the join protocol. Due to the encryption with unknown keys, the contents of the tag are hidden from the requesting member N_i . The first half is encrypted with the updated group key $K_G = Hash(K_G^{current}, n_i)$, where n_i is the secret nonce of the current sponsor member of the group, which is the new member, who intends to join. This part of the tag consists of the vector state for member N_i , as the state is encrypted with the updated group key K_G , and the requesting node N_i does not have knowledge of the contents until and unless it initiates and participates in the joining protocol. During the join protocol run, the sponsor member verifies the join request using the second half of the tag. Note that the second half of the joining tag is encrypted by multicasting key K_Y , which is derived from the secret nonce of the group sponsor node. This key is also unknown to the joining member. Once N_i is verified, the sponsor node then shares the updated group key K_G such that the joining member retrieves the state vector and becomes part of logical circular linked list of the group. After receiving a valid join tag, N_i multicasts the join request $E_Y(n_i) \models Y = N_i$), and the protocol proceeds as follows:

1.
$$N_j \rightarrow (X_N - N_{i+1}) : E_Y(sig(n_j)) \models Y_N = N_i$$

2. $\forall (X_N - N_{i+1}) \vdash K_G^{new} = Hash(K_G^{current}, n_i)$
3. $N_i \rightarrow N_j : E_Y(K_G^{new}) \models Y_N = N_j$
4. $N_{i-1} \rightarrow N_{i+1} : E_G^{current}(n_i)$
5. $N_j \vdash K_G^{new} = Hash(K_G^{current}, n_i)$

(1) In first step N_i multicasts the join message encrypted with multicasting key K_Y derived from N_i 's secret nonce, which infers that all group members excluding N_{i+1} receiven *i*. All members confirm the authenticity of the sender by verifying the signatures of the authentication server and sender. (2) All group members, excluding N_i and N_{i+1} , generate a new group key and update their state vectors by adding the secret of the new member N_i . (3) The sponsor node N_i shares the new group key with the joining member N_j . At this point, the newly joining member N_i can decrypt the first half of the join ticket to acquire its state vector S_i . (4) In parallel with message 3, the member N_{i-1} shares the nonce of the sponsor node with member N_{i+1} . This sharing breaks the logical link between the sponsor member and N_{i+1} and established a new logical connection between N_i and N_{i+1} . (5) Finally, after receiving n_i , the member N_{i+1} also generates a group key.

We consider a group of three members, as shown in Fig. 4, where N_3 is the sponsor member and N_4 sends a valid join





Fig. 4 Update of state vectors in a simple scenario when a new member joined the group

request $E_Y(sig(n_4)) \models Y = N_3$, which infers that only N_2 and N_3 can decrypt the message using $K_Y = f(\{N_3\})$. For the given example the join protocol proceeds as follows: (1) N_2 and N_3 verify the request and add n_4 to the state vector. (2) N_2 and N_3 generate a new group key $K_G^{new} =$ $Hash(K_G^{current}, n_3)$ and update their state vectors by adding the secret of the new member N_4 . (3) The sponsor node N_3 shares the new group key with joining node N_4 . (4) In parallel with message 3, the member N_2 shares the nonce of the sponsor node with member N_1 . This sharing breaks the logical link between N_3 and N_1 and establishes a new logical link between N_4 and N_1 . (5) Finally, after receiving n_3 , member N_1 also generates group key $K_G = Hash(K_G^{current}, n_3)$.

4.2 Leave protocol

The leave protocol is initiated when a valid member becomes invalid, for instance, when the group subscription time expires or if a valid member unsubscribes from the group membership. In either case, the sponsor member of the group is responsible for initiating the leave protocol. Here, N_j is the sponsor of the group, and N_i is the departing member. Upon the occurrence of a leave event, the sponsor N_i initiates the leave protocol, which proceeds as follows:

- 1. $N_j \rightarrow X'_N : E_Y(n_{random}) || E_G^{old}(Y_N) \models Y_N = N_{i-1}$
- 2. $\forall (X'_N N_{i+1}) \vdash (n_{i-1}, K_G) = (Hash(n_{i-1}^{old}, n_i), Hash (Hash(n_j^{old}, n_{random}), n_{random}))$ 3. $N_j \rightarrow N_{j-1} : E_Y(K_G) || E_G^{old}(Y_N) \models Y_N = N_{i-1}^{old}$

(1) When N_i leaves the group, the sponsor member N_j updates its secret nonce $n_j = Hash(n_j^{old}, n_{random})$ and generates a new group key $K_G = Hash(n_j, n_{random})$. The sponsor member also updates its state vector by updating the secret nonce $n_{i-1} = Hash(n_{i-1}, n_i)$ of member N_{i-1} but keeps the old value as well until the second step is completed. The sponsoring member skips this step if the sponsor is next to the leaving member N_i in the logical linked list, i.e., if j = i + 1. After generating the new group key and



Fig. 5 Update of state vectors in a simple scenario when a group member leave the group

updating the state vector, the sponsor member multicasts the partition message in conjunction with n_{random} . The multicasting key K_Y is derived from set $Y = N_{i-1}$, which infers that only remaining members X'_N will receive this message. Note that even if the sponsor node updated n_{i-1} , it will still use the old value of n_{i-1} for the multicast message, until the second step completed. The departing node N_i cannot decrypt this message as $n_{i-1} \notin S_i$, hence departing node N_i cannot generate the new group key; it ensures the forward secrecy. (2) After receiving the arguments for generating a new group key, all members excluding N_{i+1} generate a new group key and update the state vectors by updating nonce n_{i-1} by hashing its old value with n_i . This step ensures that departing member cannot generate the group key, as nrandom is unknown to the departing member. Moreover, it ensures that member N_{i+1} should not generate n_{i-1} , as n_i is unknown to N_{i+1} . Hence, after the second step, $n_{i-1} \notin S_{i+1}$, meaning that a new logical link between N_{i-1} and N_{i+1} is created. 3) Finally, the sponsor member shares the group key with N_{i+1} .

Let us consider a group of four members, as shown in Fig. 5, where N_2 is the sponsor member, and N_4 is the departing member. For the given example the leave protocol proceeds as follows: (1) When N_4 leaves the group, the sponsor node N_2 updates its secret nonce $n'_2 = Hash(n_2, n_{random})$, generates a new group key $K_G = Hash(n'_2, n_{random})$, and multicast the partition message in conjunction with n_{random} . The multicasting key $K_Y = f(\{N_3\})$ is derived from set $Y_s =$ $\{N_3\}$, which means N_4 cannot receive the message. The sponsor member also updates its state vector by updating the secret nonce $n'_3 = Hash(n_3, n_4)$. (2) After receiving the arguments for generating new group key, N_1 updates the state vector by updating the secret nonce $n'_2 = Hash(n^{old}_{2'}, n_{random})$ and generates new group key $K_G = Hash(n'_2, n_{random})$. Besides, N_3 updates the state vector by updating the secret nonce $n_3 = Hash(n_3, n_4)$. At this stage, N_4 (departing node) cannot generate K_G and n_3 as n_{random} is unknown to the N_4 . (3)Finally, N_2 shares the updated group key using the key derived from old n_3 . The old n_3 is unknown to N_4 , hence the group key is only delivered to N_1 and N_3 . The member N_1 updates its state vector by deleting old n_3 .



Fig. 6 An example of merging 6 small groups into a single larger group. The merge completed in $\lceil (\log_2 6) \rceil = 3$ rounds

4.3 Merge protocol

To merge knumber of small groups into one large group, the merge protocol runs concurrently in $\lceil (\log_2 k \rceil \text{ rounds})$. For each run of the protocol, we obtain a merged group of two subgroups. For example, as shown in Fig. 6, six small groups $G_1, G_2, G_3, G_4, G_5, G_6$ are merged into one large group G_{16} in $\lceil (\log_2 6 \rceil = 3 \text{ rounds.})$

The merge protocol is initiated when a sponsor member sends a valid join message to a sponsor member of another group. Here, N_i^a is the current sponsor of the group*a* and N_i^b is the sponsor of group b. After receiving a valid join ticket $(E_Y(K_G^b)|sig(X_s^b))||n_{i-1}^a \models Y = X_N^a - N_{i+1}^a$, the members of group b know the secret of the immediate previous member in the logical linked list of N_i^a . Upon sending the join request, the protocol proceeds as follows:

- 1. $N_i^b \to N_i^a : E_Y(K_G^b || sig(X_s^b)) \models Y = X_N^a N_{i+1}^a$ 2. $N_i^a \to (X_N^a N_{i+1}^a): E_G^a (Update)$ 3. $N_i^a \to (X_N^b N_1^b): E_Y^b (S_i^a) || E_G^b (Y) \models Y^b = N_2^b$ 4. $N_i^a \to N_1^b: E_Y(n_m^b, (S_i^a n_i^a)) || E_G^b (Y^b) \models Y^b = N_2$ 5. $N_i^a \to (X_N^a N_{i+1}^a): E_G^a (E_Y(X_s^b) || K_G^b) || Y^a) \models Y^a = N_2^b$
- 6. $N_{i-1}^a \to N_{i+1}^a : E_G^a(E_Y(S_{i-1}^a, (X_s^b n_m^b))||K_G^b)||Y^a) \models Y^a = N_{i+1}^a$

(1) The sponsor of group b sends the join message, encrypted with key K_Y , as derived from $X_N^a - N_{i+1}^a$, inferring that only the sponsor members of group a receive the Join message. Sponsor member N_i^a confirms the authenticity of the sender by verifying the signatures. (2) The sponsor of group a multicasts the updated message, meaning that all group members excluding N_{i+1} update the value of $n_i^a = Hash(n_i^a, K_G^a)$. N_{i+1} cannot update because $n_i^a \notin S_{i+1}$. The updated value of n_i^a ensures backward secrecy. (3) N_i^a shares his state vector with all members of group b, except the tail member N_1^b .



Fig. 7 Update of state vectors in a simple scenario when two groups merged and share a common group key

To ensure this, N_i^a multicasts the message using K_Y , which is derived from the secret of head member N_m^b i.e., n_m^b . (4) N_i^a shares a set n_m^b , $(S_i^a - n_i^a)$ of secret values with N_1^b and straight away, member N_1^b breaks the logical link with N_m^b and establishes a new logical link with member N_i^a . Note that messages 2 and 3 are sent by the sponsor member of group *a*, but they are encrypted with keys derived from group *b*. The rationale behind this choice is to prevent the disclosure of S_i^a from other members of group a. (5) At this stage, the sponsor member shares the vector X_S^b and K_G^b with all members of group *a*, excluding N_{i+1}^a . The message is encrypted with K_G^a and further encrypted with K_Y derived from N_i^a ; this infers that the message is prevented from being accessed by N_{i+1}^a and all members of group b. (6) N_{i-1}^a shares a set n_i^a , $(X_s^b - n_m^b)$ of secret values with N_{i+1}^a , and straight away member N_{i+1}^b breaks the logical link with N_i^b and establishes a new logical link with member N_m^b , with group b added between N_i^a and N_{i+1}^a . All members of groups band ashared the common group key K_G^b and updated the state vectors with new secret values while maintaining the logical links.

Let's consider two groups a and b each with three member nodes, as shown in Fig. 7, where N_3^b sponsor member of groups b sends a valid merge request to N_2^a , the sponsor member of group a. For the given example the merge protocol proceeds as follows:. (1) N_2^a verifies the request, and updates his secret nonce $n_2^{a'} = Hash(n_2^a, K_G^a)$ and state vector S_2^a . (2) N_2^a informs all group members, excluding N_3^a to updated state vectors by updating $n_2^{a'} = Hash(n_2^a, K_G^a)$. (3) N_2^a shares his state vector with the members of group b, except tail member N_1^b , to guarantee this, N_2^a multicast the message using K_Y which is derived from the secret of head member N_3^b i-e, n_3^b . (4) N_2^a shares a set n_3^b , $(S_2^a - n_2^a)$ of secret values with N_1^b ; this ensures that the member N_1^b broke the logical link with N_3^b and established a new logical link with member N_2^a . (5) Now sponsor member N_2^a shares the vector X_S^b and K_G^b with all members of the group *a* excluding N_3^a . The message is encrypted with K_a^a and further encrypted with K_Y derived from n_2^a ; this infers the message is prevented from being accessed by N_3^a . (6) N_1^a shares a set n_2^a , $(X_s^b - n_3^b)$ of secret values with N_3^a , which means the member N_3^a broke the logical link with N_2^a and established a new logical link with member N_3^b , in other words, group *b* is added between N_2^a and N_3^a .

4.4 Partition protocol

Assume that there is a group of X_N members where X_M members leave the group simultaneously and are left with X'_N members. Occasionally, a fault in the network disconnects a large number of nodes simultaneous. Upon the occurrence of a partition event, the current sponsor of group N_i will initiate the partition protocol, which proceeds as follows:

- 1. $N_i \rightarrow X'_N$: $E_Y(n_{random}) || E_G^{old}(Y_N) \models Y = \bigcap_j S_j, \forall N_j \in X'_N$
- 2. $\forall (X'_N N_{i-1}) \vdash S_j = G(S_j)_G^K = Hash(n_i, n_{random})$ 3. $N_i \rightarrow N_{i-1} : E_Y(K_G) || E_G^{old}(Y) \models Y_N = \bigcap_j S_j,$ $\forall N_j \in X'_N$

(1) Upon the occurrence of a partition event, the sponsor member N_i updates its secret nonce $n_i = Hash(n_i^{old})$, n_{random}) and generates a new group key $K_G = Hash(n_j, k_j)$ n_{random}). The sponsor member multicast the partition message in conjunction with n_{random} . The multicasting key K_Y is derived from the set $Y_N = \bigcap_i S_j, \forall N_j \in X'_N$, which infers that only remaining members X'_N will receive this message. This also infers that all members $n_i \in X'_N$ remove all members $n_k \in X_M$ from the local secret list. (2) After having received the arguments for generating a new group key, all members excluding N_{i-1} generate the new group key and update the state vectors by running function G. Function Gupdates the state vector of all remaining members by discarding all of the secrets which cannot be generated and those which belong to X_M . For instance, if $N_{i+1}, \ldots, N_i \in X_M$, then function G updates $n_i = Hash(n_i, n_j)$, where n_j is the secret of the head member among contiguous departing members in the logical linked list. In the worst case, when leaving members are noncontiguous, function G will perform $|X_N - X_M|$ number of hash operations to update each member's state vector. In best case, when all leaving members are contiguous, function G must perform only one hash operation to update each member's state vector. (3) Sponsor member N_i sends the group key to N_{i-1} explicitly, as node N_{i-1} does not know the value of n_i .

We consider a group of six members $\{N_1, N_2, N_3, N_4, N_5\}$ = X_6 , as shown in Fig. 8, where N_3 is the sponsor member and $\{N_1, N_2, N_5\}$ leave the group simultaneously. For the given example the partition protocol proceeds as fol-



Fig. 8 Update of state vectors in a simple scenario when a group is partitioned

lows: (1) The sponsor member N_3 generates a new group key $K_G = Hash(n_3, n_{random})$ and multicast the partition message, including the new group key and a list of members $X_M = \{N_1, N_2, N_5\}$, to N_4 and N_6 using encryption key K_Y derived from $Y_S = n_1, n_4, n_6$. (2) After receiving the partition message, all remaining members update the state vector, where $n'_3 = Hash(n_3, n_5) n'_4 = Hash(n_4, n_5)$ and $n'_6 = Hash(n_2, n_6)$ and generate the group key $K_G =$ $Hash(n_3, n_{random})$.

4.5 Scalable SGRS larger group

For each join and leave message, we are required to perform a hash operations proportional to the group size. SGRS may encounter a scalability problem when the group size reaches millions of users. For instance, in a group of one million members, each member must maintain a state vector of 999,999 nonce instances. In terms of memory consumption, this will require approximately 40MB, which is not an issue for modern end-user devices, but for each leave and join event, the protocol requires the performance of nearly one million hash operations. With such a large group size, the probability of the occurrence of a leave or join event also increases.

The problem of scalability can be solved by dividing the large group into smaller cascaded groups arranged in multiple layers, as shown in Fig. 9. Let us consider N number of members divided into k number of groups with each group (G_i) having its group key

 $(K_G^i \forall i = 1, 2, 3..k)$ shared and generated, as discussed in relation to the SGRS protocol suite. All of these groups can generate a supergroup (SG_i) by considering each group (G_i) as a logical member of the supergroup and considering the corresponding group key K_G^i as their secret nonce. With this type of arrangement, all of the groups and group members share a common group key generated at the supergroup level. The supergroup (SG_i) can further be cascaded to generate an ultra-supergroup (USG_i) , and so on.

The cascaded group limits the required number of nonce updates for the new group key to the lowest group level. For



Fig. 9 A cascaded group example, k number of groups generate one super-group and 1 number of super-groups generate one large ultra-super-group. The group key generated at ultra-super-group level is shared among all super-group, groups, and group members.

example, consider two levels which are cascading, where groups join to create a supergroup (SG). If a leave or join event occurs in group $G_i \in SG$, the SGRS will run locally and will produce the new group key K_G^i , which will further serve as an updated nonce of group member G_i at the supergroup level. At the supergroup level, all of the group members then generate the new group key, as follows:

1. $SGRS(G_i) \vdash K_G^i(Newlocal group key)$ 2. $\forall (G_j \in SG - G_{i-1}) \vdash K_{SG} = Hash(K_{SG}, K_G^i)$ 3. $AnyG_j \rightarrow G_{i-1} : E_Y(K_G) \models Y_N = G_{i+1}$

(1) An SGRS event occurs, leading to production of new local group key K_G^i . (2) At the supergroup level, all group members, excluding G_{i-1} , generate new a supergroup key and share it with local members. (3)Any other group members G_j can send the updated group key to G_{i+1} .

For a cascaded group solution for the scalable SGRS scheme, we make one important assumption. At the group level, the sponsor member is considered to be a permanent and trusted member who does not share upper-level keys, except for the final supergroup key, with local members.

5 Performance analysis

5.1 Security analysis

There are four major security apprehensions regarding group communication: group key secrecy, backward secrecy, forward secrecy and key independence [3,6]. SGRS addresses all of these security concerns.

- 1. Group key secrecy: In SGRS, it is computationally infeasible to generate a group key unless the intruder knows the secret state vector of the group members.
- 2. Backward secrecy: To ensure backward secrecy, when a member or group of members joins the group, they are prevented from regenerating the previous group keys. As the group keys are generated using shared secrets, at the time when a member or group of members joins the group, the secret nonce of the sponsor member has been updated using a one-way hash function. Thus, upon the occurrence of a join event, the requisite material to regenerate the previous group key is destroyed. In the Join and leave protocol, this step ensures this property.
- 3. Forward secrecy: When a member or group of members leaves the group, they are precluded from knowing/generating future group keys. In both algorithm 2 and algorithm 4, step 2 ensures this property. As the group keys are generated using shared secrets, at the time when a member or group of members leaves the group, we update the secret state vectors of all group members using a one-way hash function. Note that upon a leave event, it is not necessary to update the entire state vector, and the multicasting keys are generated by including a new group key as an argument which ensures that multicasting keys cannot be used by leaving members.
- 4. Key Independence: In SGRS, group key generation is independent of previously generated group keys. Any knowledge of previously known group keys cannot help discover any other group key.

5.2 Efficiency analysis

This section analyzes the efficiency of SGRS in terms of computational and message complexity against earlier works [13–17]. We consider that encryption should protect all types of keying materials exchanged among group members. Further, we assume that encryption and decryption have identical computational costs, represented by E; for instance, the exchange of an encrypted unicast message increases the computational cost by 2E, whereas an encrypted broadcast message increases the computational cost. Here, K denotes the size of the multicasting group. Additionally, we presume that the computational price of an asymmetric key pair and an asymmetric proxy re-encryption key are identical.

To present the computational analysis of the results of earlier work [13,14], we make a few extra assumptions. In Mehdizadeh et al. [14], the group is divided into two network levels: the multicast level and the unicast level. We assume

that the network of size N is divided into K subgroups, each of size $|G_i| = N/k$. Hence, in Mehdizadeh et al. [14], we have $2^{k+1} - 1$ nodes at the multicast level and N number of nodes at the unicast level. In the performance analysis section, the author overlooked the rekeying cost induced at the multicast level. At the multicast level, the keys are arranged in a logical key hierarchy (LKH) and the key tree is a binary balanced tree. Upon each key update event, the multicast server must send at least one multicast message to all leave $2^{k+1} - 1$ nodes. In Zhong et al. [13] the authors discussed the issue of the coexistence of multiple multicasting groups in a single network. However, in that work [13], the computational and communication cost complexity is highly dependent on the number of multicasting groups and the number of users participating in each group. If there are K number of multicasting services, in upper case, we have $2^k - 1$ key encryption keys (KEKs) while in lower case, if all users are subscribed to one multicast service, there will simply be a single KEK. For our analysis, we consider the lower case scenario.

Table 1 presents a computational cost comparison of SGRS and the approaches by Kim et al. [16], Lv et al. [15], Chen [17], Mehdizadeh et al. [14] and Zhong et al. [13]. All of the proposed schemes require at least N number of encryption/decryption operations because there is at least one broadcast message in all types of protocol runs. However, in two works [16] and [17] the significant contribution of the computational cost is made by the exponential operations, requiring O(logN) modular exponential operations. In two other approaches [14,15], multiplication operations are the major contributors, and both require $O(N^2)$ multiplication operations. Zhong et al. [13] is very efficient, but that scheme requires the re-encryption and translation of each message by the subgroup leader, whereas in SGRS, the significant contribution to the computational cost stems from the oneway hash function, requiring O(N) hash operations for the join and leave protocols, while it is limited to the number of groups and the size of the group in the merge and partition protocols. In SGRS, the computational workload is well distributed among the group members. For instance, in the join protocol, each member performs one hash operation, while in the leave protocol, each member performs two hash operations. SGRS is persistent, and during the protocol run, the availability of the correct group key is independent of the failure of one or a set of members.

Table 1 also presents the communication complexity and a cost comparison of SGRS and the approaches by Kim et al. [16], Lv et al. [15], Chen [17] Mehdizadeh et al. [14] and Zhong et al. [13]. The communication complexity determines the number of messages exchanged, whereas communication cost determines the total amount of data exchanged during the protocol run. In terms of the communication complexity and considering the number of join and leave protocols, the approaches by Zhong et al. and by Chen [13,17] are the

Schemes	Protocol	Comp.Complexity	Comm.Complexity	Comm.Cost in bytes
Kim et al. [16]	Join	$(3log_2N + 9)Ex + (4 + 2N)E$	2BC	NCK + NCK(2N - 1)
	Leave	$(3log_2N + 9)Ex + (2 + 2N)E$	1BC	$NCK log_2 N$
	Merge	$(3log_2N + 9)Ex + (2k(1 + N) + 1 + N)E$	(1+2k)BC	$\frac{CK}{k}(kN-N)(2N-k) + N(2n-1)CK$
	Partition	$(3log_2N+9)Ex + miN(2k, N/2)E$	min(2k, N/2)BC	k N CK log_{2}N\$
X. Lv et al. [15]	Join	$(2N^2 + N)Mu + (5 + N)E$	2UC + 1BC	(2N+1)Int + NCK
	Leave	$(2N^2 + N)Mu + (1 + N)E$	1BC	NInt
	Merge	$(2N^3 + N2 + N)Mu + (5 + N)E$	kBC + 2kUC	$(N^2 - \frac{N^2}{K})(CK - Int)$
	Partition	$(2 G_i 2 + G_i)Mu + (5 + N)E$	kBC	$(N^2 - \frac{N^2}{K})Int$
Chen [17]	Join	$(4log_2N)Ex + (5 + 2Nlog_2N)E$	(2log 2N)MC + 2BC	$CK(2\log_2 N + 2) + NCK(\log_2 N + 1)$
	Leave	$(2log_2N)Ex + 2h(2 + 2Nlog_2N)E$	(2log 2N)MC	$CK2\log_2 N + NCK(\log_2 N + 1)$
Mehdizadeh et al. [14]	Join	$(6N^2 + 3N)Mu + (8 + 4N)E$	3UC + 2MC	$2int + CK(K + N/K + 1 + \log_2 K)$
	Leave	$(6N^2 + 3N)Mu + (6 + 4N)E$	4UC + 2MC	$4int + CK(K + N/K - 3 + \log_2 K)$
Zhong et al. [13]	Join	$(8 + N/K + 2^{0}K + 1) + \log_2 K)E + 2Ex$	1UC + 3BC	5NCK + 1Int
	Leave	$(9 + N/K + 2^{0}K + 1) + \log_2 K)E + Ex$	3BC	5NCK
SGRS	Join	(N-1)H + (N+2)E	2UC + 1BC	NInt + CK
	Leave	2NH + 2NE	2UC + 1BC	(N-1)Int + CK
	Merge	(2K - 1)[(7 + N/K) + (N/K - 1)H]	(3K - 3)UC + (3K - 3)BC	4KCK + (3 + N/K)N/KInt
	Partition	(N+2K)E + (K+N-2)H	KBC + KUC	NInt + KCK
Please note the following for	· Table 1			

Table 1 A computation and communication cost comparison of group key protocols

message, k number of groups, CK 32 bytes (represents size of cryptographic KeyHash and signature), Int 4 bytes (represents size of nonce, Node Ids and Integers)



Fig. 10 The total amount of data exchanged for different number of Join requests with group size of 100 members



Fig. 11 The total amount of data exchanged for different number of Leave requests with group size of 100 members

most expensive. Considering join and leave protocol, SGRS and the approaches by Lv et al. and Kim et al. [15] and [16] have very low and almost similar levels of communication complexity. For the merge protocol, SGRS is expensive compared to two earlier studies [15] and [16], while in the case of a partition protocol, the approach by Kim et al. [16] is the most expensive protocol.

However, the communication cost concerning the total data exchange gives a different conclusion. For simplicity of determining the communication, during merge and partition protocols, we consider that the merging or partitioning



Fig. 12 The total amount of data exchanged for different numbers of merge events assuming that the size of each group is 15, combined to create a larger group 100–50 in size



Fig. 13 The total amount of data exchanged for different number of partition events assuming size of each partitioned group is 15

groups are of identical sizes. Further, we consider the best case condition for Kim et al. [16]; for instance, we assume that a blinded keys tree is always a perfect binary tree with at most nodes. In a merge protocol after tree sharing, we consider that the protocol require one BC to share the group key, while in the partition protocol, we consider in each round sponsor node must broadcast one blinded key. To calculate the total amount of data exchanged, we assume that the integers, nonce, and the member IDs are 4 bytes in size. The cryptographic keys, hash, and signatures size are considered to be 32 bytes. The communication cost results are shown in Figs. 10, 11, 12 and 13.

Figure 10 shows the comparison results of the total amount of data exchanged for the join protocol assuming a group of 100 members receiving 5–25 joining requests. It is quite clear that SGRS outperform all of the other schemes. Figure 11 depicts the comparison results of the total amount of data exchanged for a leave protocol considering a group of 100 members receiving 5 to 25 leave requests. It is quite clear that SGRS and the scheme by X. Lv et al. [15] outperform the remaining schemes. SGRS is slightly expensive compared to that by X. Lv et al. [15], but the performance gap is too small. Figures 12 and 13 depict the comparison results considering the total amount of data exchanged for the merge and partition protocols, respectively. In the merge protocol, we consider that 15 identically sized groups are merged to create one single large group, while we assume that one single large group is partitioned into 15 small identically sized groups; the small group size varies from 7 to 34. It is quite clear that SGRS outperform both Kim et al. [16] and X. Lv et al. [15].

6 Conclusion

In this paper, we have proposed a novel group key agreement scheme for the dynamic group, SGRS. Our scheme is distributed yet does not require synchronization among group members to share and update the keys. However, in the case of cascaded membership events, group members should perform all necessary update operations, especially updating the local group key, before moving to the next membership event. Additionally, our solution inherently provides a considerable amount of secure subgroup multicast communication using subgroup multicasting keys derived from state vectors. Moreover, SGRS establishes a symmetric group key, which ensures that group communication is computationally efficient.

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Muhammad Bilal has received his B.S. degree in computer systems engineering from University of Engineering and Technology, Peshawar, Pakistan and M.S. in computer engineering from Chosun University, Gwangju, Rep. of Korea. Currently, he is Ph.D. student at University of Science and Technology, Korea at Electronics and Telecommunication Research Institute Campus, Daejeon, Rep. of Korea. He has served as a reviewer of various

international journals including IEEE Access, IEEE Communications Letters, Journal of Network and Computer Applications, Personal and Ubiquitous Computing and International Journal of Communication Systems. He has also served as a program committee member on many international conferences. His primary research interests are Design and Analysis of Network Protocols, Network Architecture, and Future Internet.



Shin-Gak Kang received his B.S. and M.S. degree in electronics engineering from Chungnam National University, Rep. of Korea, in 1984 and 1987, respectively and his Ph.D. degree in information communication engineering from Chungnam National University, Rep of Korea in 1998. Since 1984, he is working with Electronics and Telecommunications Research Institute, Daejeon, Rep. of Korea, where he is a principal researcher of infrastructure stan-

dard research section. From 200 to 2008 he served as an editor with ETRI Journal. From 2008 he is a professor at the Department of Information and Communication Network Technology, University of Science and Technology, Korea. He is actively participating in various international standard bodies as a Vice-chairman of ITU-T SG11, Convenor of JTC 1/SC 6/WG 7, etc. His research interests include multimedia communications and Applications, ICT converged services, contents networking, and Future Network.