



Chromosome instability and aneuploidy in the mammalian brain

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Abstract This review investigates the role of aneuploidy and chromosome instability (CIN) in the aging brain. Aneuploidy refers to an abnormal chromosomal count, deviating from the normal diploid set. It can manifest as either a deficiency or excess of chromosomes. CIN encompasses a broader range of chromosomal alterations, including aneuploidy as well as structural modifications in DNA. We provide an overview of the state-of-the-art methodologies utilized for studying aneuploidy and CIN in non-tumor somatic tissues devoid of clonally expanded populations of aneuploid cells. CIN and aneuploidy, well-established hallmarks of cancer cells, are also associated with the aging

process. In non-transformed cells, aneuploidy can contribute to functional impairment and developmental disorders. Despite the importance of understanding the prevalence and specific consequences of aneuploidy and CIN in the aging brain, these aspects remain incompletely understood, emphasizing the need for further scientific investigations.

This comprehensive review consolidates the present understanding, addresses discrepancies in the literature, and provides valuable insights for future research efforts.

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Introduction

Advances in biomedical science and genome analysis technologies have enabled the study of genome-wide DNA sequence content at the single-cell level in humans and model organisms, providing high-resolution information on the variability of genomes between individual cells. These technologies have significantly advanced the study of somatic mosaicism, particularly in disease-free tissues where clonally expanded cells are usually not present. It is now evident that virtually all adult humans are genetic mosaics of postzygotic mutations (Jacobs et al. 2012; Costantino et al. 2021; Breuss et al. 2022).

Genomic instability refers to the propensity of genetic material within a cell or organism to undergo changes, frequently leading to mutations, chromosomal aberrations, and other forms of genetic variation (Andriani et al. 2016a; Schumacher et al. 2021; Tubbs and Nussenzweig 2017; Holland and Cleveland 2009; Zasadil et al. 2013). This instability can arise from various factors, such as errors in DNA replication, exposure to environmental mutagens, or defects in DNA repair mechanisms. Genomic instability is a hallmark of most cancer cells and is thought to play a critical role in the progression and heterogeneity of tumors (Al-Rawi and Bakhoun 2022; Nowell 1976; Lengauer et al. 1997; Negrini et al. 2010). Furthermore, genomic instability has been associated with aging (Niedernhofer et al. 2018; Vijg et al. 2017; Hoeijmakers 2009), as the accumulation of genetic changes over time can contribute to cellular senescence and functional decline in various tissues and organs (Busuttil et al. 2004; Rodier et al. 2011; Lopez-Otin et al. 2013; Vijg and Montagna 2017). This can increase the likelihood of age-related diseases, such as cancer and neurodegeneration (Andriani et al. 2016a; Iourov et al. 2021; Lodato and Walsh 2019; van Gent et al. 2001).

Genomic instability can manifest as modifications in sequence, structure, or number of chromosomes, as well as epigenetic changes to DNA methylation or histone patterns. This can lead to modifications in

gene expression programs, which can have functional implications for cellular processes and contribute to the development of diseases. Maintaining genome integrity is essential for cell fitness and the proper physiological functioning of the organism. The significance of genome maintenance is evidenced by the presence of numerous redundant, evolutionarily conserved pathways that ensure genome integrity is retained. These pathways act as caretakers of DNA replication fidelity and ensure the precise segregation of the genome content into daughter cells. Despite the activities of these numerous molecular mechanisms, errors still occur, and mutations are inevitable. Indeed, in contrast to physicochemical DNA damage, mutations cannot be repaired and are permanent. Mutations in the DNA sequence can be inherited by daughter cells and adversely affect cell fitness (Campisi and d'Adda 2007; Vijg 2021; Zhang and Vijg 2018; Marteijn et al. 2014; Laconi et al. 2020).

This review specifically focuses on chromosome instability (CIN), which represents a form of genomic instability that can result in numerous chromosomal alterations, including deletions, insertions, duplications, translocations, inversions, aneuploidy (changes in chromosome number), or polyploidy (changes in the number of the entire set of chromosomes). CIN is a well-established hallmark of tumors, and it plays a critical role in promoting the accumulation of genetic alterations that fuel the progression of tumors (Lengauer et al. 1998). CIN contributes to the genomic heterogeneity of tumors (Bakhoun and Landau 2017; Bakker et al. 2016; Vendramin et al. 2021; McGranahan and Swanton 2017) and enables the selection of clones with advantageous phenotypes (Ben-David et al. 2019), such as increased proliferative potential, resistance to therapy (Swanton et al. 2009; Zaki et al. 2014), and immune evasion (Bakhoun and Compton 2012; Bakhoun and Cantley 2018). Additionally, CIN has been shown to contribute to the development of more aggressive tumor subtypes and the acquisition of metastatic potential, which are critical determinants of clinical outcomes.

While in the context of cancer cells, CIN is considered an important factor, in non-transformed cells, it can lead to the loss of cellular function and contribute to developmental disorders (Hwang et al. 2019; Zhang et al. 2016; Tang and Amon 2013; Krivega et al. 2022). This is because aneuploidy can disrupt the balance of gene dosage (Stingele et al. 2012; Liu et al. 2017; Schukken and Sheltzer 2022), impair cellular pathways (Zhu et al. 2018;

Cheng et al. 2022), and interfere with chromosome segregation during cell division (Compton 2011; Nicholson et al. 2015), leading to genomic instability and detrimental cell fates (Baker and Montagna 2022; Santaguida et al. 2017; Wang et al. 2021; Crasta et al. 2012; Andriani et al. 2016b). Thus, while aneuploidy can be a selectable benefit to cancer cells, it can be detrimental to normal cellular function and contribute to disease in non-transformed cells. The phenomenon of aneuploidy being both beneficial to cancer cells and detrimental to normal cellular function is known as the aneuploidy paradox (Sheltzer and Amon 2011). Mounting evidence suggests that aneuploidy, a consequence of CIN, is not only a hallmark of cancer but can also manifest in non-transformed cells, resulting in impaired cellular function, decreased viability, and heightened vulnerability to disease (Andriani et al. 2016b; Macedo et al. 2018; Barroso-Vilares and Logarinho 2019; He et al. 2018).

The brain is a highly specialized and complex organ that plays a critical role in maintaining normal physiological functions, and its dysregulation is associated with a range of diseases. Therefore, investigating molecular changes that occur during aging in the brain and how they contribute to either healthy aging or disease is crucial in understanding the underlying mechanisms of neurodegenerative diseases such as Alzheimer's disease, Parkinson's disease, and Huntington's disease. In the context of brain aging, there is evidence for and against the presence of CIN in neurons and glial cells. There are several possible explanations for the discrepancies in the literature regarding the frequency of somatic aneuploidy in the aging brain (Andriani et al. 2016a; Shepherd et al. 2018; Rosenkrantz and Carbone 2017; Faggioli et al. 2011). One factor is the sensitivity of the methodologies used to measure somatic aneuploidy. Unlike in tumor cells where aneuploidies are clonal, in somatic disease-free tissues, aneuploidies are expected to be uniquely present in single cells, at most at sub-clonal levels. Another factor is the variation of aneuploidy levels among different age groups, brain areas, and/or different cell types, which may explain some of the discrepancies reported in the literature.

Because of the challenges in measuring CIN in complex organs, much remains unknown about the prevalence and consequences of CIN in the aging brain. This review aims to summarize the current understanding

of aneuploidy, CIN, genome structural variation (SV), and large copy number alterations (CNA) in the mammalian brain, with a particular focus on their associations with aging and the factors that may contribute to the wide range of reported aneuploidy frequencies across studies. Firstly, we will describe the state-of-the-art methodologies used to measure aneuploidy and CIN in somatic tissues. Subsequently, we will provide an overview of the studies reporting the presence of aneuploidy or CIN in the brain, as well as studies that found no evidence of these somatic alterations and discuss the potential reasons for the discrepancies in findings across studies.

Methodologies to measure genomic instability and technical challenges

Mutations, including aneuploidy, cannot be detected in bulk tissues unless they are clonal. While methods are available to measure aneuploidy in single cells, technical challenges remain. Detection of sub-clonal structural variation in single cells poses an even greater challenge. Moreover, the ability to simultaneously analyze multiple types of genomic instability in a single cell has been limited until recently, with emerging technologies allowing for such analyses (Maslov et al. 2022; Yu et al. 2023; Vandereyken et al. 2023). The challenges of analyzing different mutation types in the same cell, particularly in the context of aneuploidy, have been a limitation of many of the methodologies used thus far. In addition, CIN can be defined as an increased rate of chromosome gains and losses that manifests as cell-to-cell karyotypic heterogeneity within a tissue and in general can be measured by tracking chromosome numbers within a single cell and its progeny over time and by quantitatively assessing cell-to-cell heterogeneity within a given population (Lepage et al. 2019).

There are three main molecular cytogenetic methodologies that have been utilized for the quantification of aneuploidy in brain tissues and individual cells: Spectral Karyotyping (SKY), Fluorescence in situ Hybridization (FISH), and Single-Cell Low-Coverage Whole-Genome Sequencing (scL-WGS). It is worth noting that some studies applied FACS-based methods or other approaches, and they will be also discussed in this section.

Spectral Karyotyping (SKY)

SKY is a molecular cytogenetic technique that uses a set of chromosome-specific fluorescent probes that bind to and label each chromosome with a unique color (Schröck et al. 1996; Dorritie et al. 2004; Montagna et al. 2003) (Fig. 1A). The labeled chromosomes are then visualized under a specialized fluorescent microscope equipped with a filter cube that contains a series of interference filters, dichroic mirrors, and a beam splitter, allowing for the simultaneous capture of multiple fluorochromes. As a result, SKY allows visualization of all the chromosomes from a single cell, where each chromosome is labeled with a unique combination of different fluorochromes that can be distinguished by their emission spectra. This technique allows for the detection of both numerical and structural chromosomal abnormalities, and it is particularly useful in identifying complex rearrangements such as translocations, especially those involving multiple chromosomes that would be difficult to detect using other cytogenetic methods.

SKY offers numerous advantages over other cytogenetic methods, primarily due to its ability to provide comprehensive analysis of chromosomal abnormalities

throughout the entire genome at the level of a single cell in only one experiment. However, a limitation of this technique is that it relies on metaphase chromosome spreads, which requires the use of actively proliferating cells, restricting its applicability to studying only proliferating cells and in relatively small numbers of cells, due to the complexity and time required for analysis. Metaphase chromosome-based analyses can be very accurate for establishing whole chromosome gain or loss or large copy number alterations, as it has been the standard of care for pre-natal diagnosis until recently, when array-based methods have largely replaced them. However, outside CLIA-certified standard operating procedure (SOP), accuracy needs to be maintained to ensure that chromosome metaphase spreads preparations follow well validated protocols. This is crucial to limit chromosome loss during the process of metaphase spreading or the occurrence of overlapping metaphases, as these errors can result in false positives.

In addition, SKY is technically challenging and requires specialized expertise and equipment to perform and interpret the results. Another limitation is that SKY is unable to detect small-scale chromosomal abnormalities, such as insertions and deletions smaller than 10 Mb, and it cannot detect inversions.

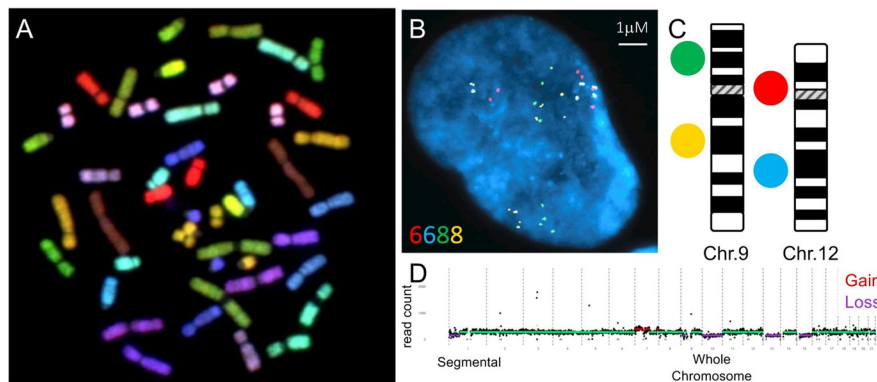


Fig. 1 Molecular cytogenetic techniques commonly used to quantify aneuploidy and large copy number variation. **A** Spectral karyotyping (SKY) image of metaphase chromosomes illustrating human chromosomes labeled with unique fluorophores or combinations. This allows the identification of all human chromosomes in a single image based on the emission spectra assigned to each autosome or sex chromosome. **B, C** Representative interphase nucleus analyzed using interphase FISH (iFISH) to quantify copy number changes to infer chromosome-specific aneuploidies. Copy number alterations are determined by enumerating signals at locus-specific probes

of interest. A 4-color iFISH approach is employed, utilizing 2-locus-specific probes mapping to a single chromosome, which enable the measurement of aneuploidy events (**C**). **D** Representative example of copy number estimates across the entire genome quantified by scL-WGS. After normalizing mappability, GC content, and amplification bias, the results are presented as a copy number variation plot. Each black dot represents a genomic bin, while green horizontal lines indicate regions with 2 copies. Purple regions represent chromosome loss, and red regions represent chromosome gain. The plots were generated using Ginko (Garvin et al. 2015)

Finally, SKY is relatively expensive compared to other cytogenetic techniques.

Multiplex-FISH (M-FISH) (Geigl et al. 2006) has also been applied to measure aneuploidy in the brain. It is similar to SKY but mainly differs in the imaging methodology. SKY can detect all fluorophores in one single imaging, but M-FISH requires serial imaging acquiring one fluorophore at the time followed by merging single channels, which can increase background. M-FISH can however still allow for the detection of chromosomal abnormalities in a single experiment.

Fluorescent in situ hybridization (FISH)

FISH is one of the most commonly used methodologies to detect chromosome abnormalities in clinical settings. It allows for the detection of copy number alterations at regions of interest by identifying those sites with fluorescently labeled probes. FISH can facilitate the analysis of entire chromosome aneuploidies by utilizing probes labeled with two different fluorophores that are mapped to sub-centromeric and sub-telomeric regions of a specific chromosome (Faggioli et al. 2014; Andriani et al. 2019). However, complex chromosomal alterations outside the locus-specific probes may not be detected using this approach.

FISH provides several advantages over other methods for detecting chromosomal abnormalities, including its ability to measure aneuploidy at specific regions of interest in both proliferating and non-proliferating cells (interphase FISH, or iFISH), as well as in tissue sections (Fig. 1B). It enables the analysis of individual cells, allowing the detection of aneuploidy and copy number alterations at the single-cell level. Moreover, FISH offers the advantage of analyzing a large number of cells, more than 1000 cells per sample, which allows for a more representative sample analysis, particularly in cases where clonal expansion is not anticipated. Since iFISH is a single-cell based methodology, it enables the definition of CIN in terms of cell-to-cell variation, particularly within the regions marked by the locus specific probes, an information largely lost in bulk analyses. This makes FISH a valuable tool for studying somatic aneuploidy in healthy tissues. The technique also enables the combined analysis of aneuploidy and large copy number alterations with morphometric analysis of nuclear size and morphology in single cells, as well as the combined analysis of DNA FISH with immunofluorescence

staining for the expression of proteins of interest. This approach is particularly advantageous when locus-specific probes are generated in-house (Faggioli et al. 2014), as the cost per cell analyzed is greatly reduced, making this technology highly affordable. In addition, the main instrumentation required for this technique includes hybridization ovens and access to fluorescence microscopy, which are widely available at most institutions.

Historically, FISH has been limited to the analysis of a handful of probes, typically four. Recent advances in FISH techniques, including multicolor interphase FISH (mi-FISH), have overcome the limitation of analyzing only a few chromosomes simultaneously. mi-FISH enables the analysis of up to three sets of four probes, allowing for the simultaneous detection of multiple aneuploidies within a single cell (Oltmann et al. 2018). However, it is worth noting that aneuploidy analysis for the full chromosome complement in a single cell is still challenging.

The accuracy of using FISH to detect aneuploidy levels in the brain has been questioned due to the potential detection of false positives because of high background, localized lack of hybridization signals, variation in hybridization efficiency, and probe clustering, which can lead to both false positive and false negative results. In addition, inferring aneuploidy based on the count of a subset of chromosome has the potential to amplify the rate of false positive counts. This issue is particularly prevalent when applying FISH to tissue section analysis using a single probe per chromosome. However, it should be noted that using two probes, labeled with different fluorophores for each chromosome tested, remedies this drawback by greatly reducing the number of false positives (Faggioli et al. 2011, 2014; Andriani et al. 2019).

Single-cell low-coverage whole-genome sequencing (scL-WGS)

scL-WGS for detecting aneuploidy is a technique that uses next-generation sequencing to analyze the DNA content of individual cells (Bakker et al. 2015; Nawy 2014). In this approach, single cells are isolated and subjected to whole-genome amplification (WGA), a process that produces multiple copies of the entire genome from a single cell. The amplified DNA is then sequenced using low-coverage sequencing, which provides a relatively shallow read depth of the genome but

reduces costs, allowing for a relatively high number of cells to be analyzed. The sequencing data is then analyzed to detect local variation in sequencing read depth of individual cells, such as the loss or gain of entire chromosomes or chromosome segments, which are indicative of aneuploidy (Fig. 1C). There are numerous established protocols that comprehensively describe the methodologies of WGS, including limitations of certain WGS protocols and sequencing library construction, as well as dedicated analytical tools designed to facilitate the mapping of reads and the identification of aneuploidies (Bakker et al. 2016; Quispe-Tintaya et al. 2016; Pique et al. 2019; Garvin et al. 2015; Baslan et al. 2012; Zhang et al. 2017; Deleye et al. 2017). Here, we will limit our discussion to the application of this method to the analysis of somatic aneuploidy in brain.

In comparison to other molecular cytogenetic techniques, low-coverage single-cell sequencing has the advantage of being able to analyze multiple chromosomes or chromosome segments simultaneously, whereas FISH typically only analyzes a limited number of regions of interest. Thus, low-coverage single-cell sequencing can detect copy number variations (CNVs) and other genomic aberrations that may be missed by traditional molecular cytogenetic methods. However, scL-WGS has its own limitations and can yield both false positive and false negative results (Rousseau et al. 2019), particularly for the detection of aneuploidy in a polyploid context (Andriani et al. 2019). False positive and negative results can occur as an outcome of uneven sequencing coverage or allelic dropout due to amplification bias. In addition, PCR amplification cycles need to be carefully controlled, as amplification that occurs outside the log phase can lower the sensitivity of detecting aneuploid chromosomes across the entire genome content in single cells. These types of artifacts make it difficult to identify genomic variants, however, single-cell variant callers are implementing strategies to try and overcome some of these artifacts leading to false positive and negative results (Valecha and Posada 2022).

Other methodologies

Some other molecular techniques to measure aneuploidy or DNA content have been applied more sporadically to the analysis of aneuploidy in the mammalian brain. Slide-based cytometry (SBC) (Mosch et al. 2006) can combine the quantification of DNA content with immunolabeling and chromogenic in situ hybridization.

Fluorescence-activated cell sorting (FACS) is a high-throughput method that separates cells based on their physical and/or chemical properties, such as size, granularity, and fluorescence intensity. The cells are stained with a fluorescent dye that binds to DNA, allowing for the measurement of DNA content in individual cells. While FACS is high throughput, it lacks the sensitivity to detect aneuploidy but is rather more suited to quantify ploidy changes.

Flow cytometry can also be utilized to understand the relative DNA content and composition of chromosomes and their relative frequencies in mitotic cells. A technique for examining the complete set of human chromosomes using flow cytometry involves the staining of chromosomal DNA with two specific dyes such as Hoechst 33342 and chromomycin A3 (CA3), which have distinct interactions with DNA. Hoechst 33342 binds preferentially to adenine and thymine bases, while chromomycin A3 attaches to DNA regions rich in guanine and cytosine bases. Based on their different binding preferences, it is possible to create a detailed map of the chromosomes by generating bivariate flow karyotypes plot of chromosomes (Gray et al. 1979; Trask et al. 1990).

Single-nucleotide polymorphism (SNP) arrays can be used to measure aneuploidy by comparing the ratio of alleles in the sample to a reference genome after hybridization of a DNA sample of interest to microarrays containing probes that detect specific SNPs across the genome. By comparing the ratio of the SNP alleles in the sample to the reference genome, it is possible to determine the number of copies of each chromosome in the sample. Arrays find application in a technique known as array painting. This procedure combines two vital elements: the isolation of derivative chromosomes (those affected by translocations) using a MoFlo sorter and the execution of high-resolution microarray analysis. This distinctive combination of techniques enables to accurately determine the exact genomic sites where translocation breakpoints occurred. Essentially, array painting harmonizes the strategic isolation of translocated chromosomes with state-of-the-art microarray technology, facilitating an enhanced mapping of genetic alterations (Gribble et al. 2009).

These techniques are based on the analysis of bulk DNA and their sensitivity to quantify aneuploidy is generally around 5%. Even though the detectable

levels are still variable among different chromosome microarrays platforms (Ballif et al. 2006; Carey et al. 2014), these methods cannot measure stochastic non-clonal aneuploidy changes.

Considerations for accurate analysis of aneuploidy and chromosomal instability in somatic genome variation

Although scL-WGS and FISH are the most commonly applied techniques for measuring somatic aneuploidy in the mammalian brain, they have distinct advantages and limitations for detecting aneuploidy, and none should be considered superior over the other. Instead, a combinatorial approach that incorporates multiple techniques may provide the most accurate analysis of somatic aneuploidy.

For example, while scL-WGS can provide high-resolution information on copy number changes across the entire genome, it may have limited sensitivity to detect aneuploidy in a polyploid background because the ratio of genome mapping to the gained or lost chromosome is lower when the entire genome is duplicated. It may also have low sensitivity in detecting copy number changes in chromosomal regions that lack mapped reads due to the low-coverage nature of scL-WGS. Specialized analytical tools to overcome these limitations are being developed, which should provide enhanced methodologies to increase sensitivity and specificity (Sun et al. 2023). On the other hand, FISH can specifically target and visualize a particular chromosome of interest in a very large number of cells, allowing for more sensitive detection of aneuploidy in a polyploid background, yet limited to the regions of interest.

The developing mammalian brain

Data obtained from mice and rats suggest the presence of aneuploid cells in the developing brain with frequencies as high as 30% of analyzed cells per tissue (Table 1). These levels are considerably higher than that of the adult (4-month) brain which is reported around 1% (Table 1 and Table 2).

Rehen (Rehen et al. 2001) reported the occurrence of chromosomal aneuploidy in developing and adult neurons. In this study, an embryonic mouse brain (E11–15) was dissected, and intact cortical

hemispheres were treated with colcemid to induce metaphase arrest. The resulting cells were dissociated and fixed for SKY which revealed approximately 33% aneuploid cells when examining all chromosomes. Subsequently, the same technical approach was applied for the analysis of the chromosome content of cells in the postnatal (P5–P10) subventricular zone (SVZ) of mice, an area that harbors neuronal and progenitor stem cells (Rehen et al. 2005). The results showed that 33% of mitotic SVZ cells had lost or gained chromosomes *in vivo*, confirming that dividing neuronal stem and progenitor cells show aneuploidy. If each chromosome has an equal probability of being gained or lost, it can be extrapolated that the rate of aneuploidy for a single chromosome is approximately 1.65%. These results support the theory that neuronal precursors undergo chromosomal segregation defects, resulting in the generation of aneuploid neurons, ultimately leading to genetic mosaicism (Kaushal et al. 2003; Yang et al. 2003). Using chromosome paint probes for iFISH specific for both the X and Y chromosome, Rehen and colleagues (Rehen et al. 2005) demonstrated that among adult neurons, 1.16% carried numerical alterations for either of these chromosomes.

Kaushal and collaborators (Kaushal et al. 2003) conducted a study in mice that were hemizygous for the enhanced green fluorescent protein (eGFP) inserted at a single locus on Mus Musculus Chromosome 15 (MMU 15) and ubiquitously expressed under the control of the chicken β -actin promoter. The researchers utilized the level of GFP fluorescence and the number of MMU 15 copies to identify aneuploid cells and isolate them from their normal counterparts. A loss of one copy of MMU 15 resulted in loss of GFP expression, similar to loss of heterozygosity (LOH). Based on metaphase spreads, an average chromosome loss rate of 5.13% was observed in SVZ cells, while interphase cells exhibited an average loss rate of 4.96%. These estimates are concordant with previous data (Rehen et al. 2001).

By profiling gene expression of GFP⁺ and GFP⁻ cells, they were able to identify 22 differentially expressed genes between the two populations (diploid vs. hypo-aneuploid). While the study did not further investigate the identified genes, the 22 genes mapped to various regions of the mouse genome, suggesting a potentially widespread genome-wide deregulation. Notably, Annexin A1, a gene with potential

Table 1 Summary of aneuploidy and CIN results in brain of mice and rats

Author	Year	Species	Technique	Probes	Cases (n)	Age	Tissue	Cell type	Number of nuclei	Detection metric	Area result	Chromosome	Chr affected %	Average chr %	% per tissue	Notes
Rehen	2001	Mo (BALB/c)	SKY-FISH	Chr X/Y	unk	E11–14-adult	Cortex	Mitotic neuroblasts and post mitotic bulk	220 (SKY) 300 (FISH)	Aneuploidy	Embryonic	Y	1–5.9	unk	33	
Kaushal	2003	Mo (GFP transgenic)	SKY-FISH	Chr X/Y	65	P5–P10	SVZ and OB	NPCs (SKY) bulk (FISH)	65 (SKY) unk (FISH)	Aneuploidy	SVZ	X/Y	5	unk	33	
Yang	2003	Mo (BALB/c)	SKY	n/a	unk	E11–14	Cortex	Mitotic NPC	220	Aneuploidy	OB	X/Y	6	unk		
Kingsbury	2005	Mo (BALB/c)	FISH and paint	Chr X/Y	10	10 wks	Whole brain	Neurons	1000	Hyperdiploidy	NPC	all	unk	unk	33.2	
Westra	2008	Mo (BALB/c)	FISH and M-FISH	Chr 16/X	unk	P0, P7 and adult	Cerebellum	Mitotic NPCs, neurons, and non-neurons	> 18,000	Aneuploidy		X	1.1	1	20	P0 (15.3)
Faggioli	2012	Mo (C57B6)	FISH-dual	Chr 1/7/14/15/6/18/19/Y	21	4–28 mo.	Cortex and cerebellum	NeuN and NeuN-neg	> 10,000	Aneuploidy	Cerebellum	16	1.6	1		P7 (20.8)
Knouse	2014	Mo (Nestin-GFP mice, C57BL/6)	sc-WGS	n/a	unk	Embryonic and adult	Cerebral Cortex	Bulk, neuron/non (NeuN)	43 nuclei and 19 nuclei	Aneuploidy	Embryonic	15	2.3	unk	1	
Andriani	2016c	Mo (Exc1-/- Δ7 and Bub1bH/H)	FISH-dual	Chr 1/7/18	18	E13.5–6 mo	Cerebral cortex and cerebellum	Bulk	1000–1500	Aneuploidy	Adult	na	0	0		
Peterson	2012	Mo (C57B6)	SKY and FISH	Chr 8/16	unk	E19	Cerebral Cortex	Mitotic NPS and post mitotic bulk	100 (SKY) 2500–3500 (FISH)	Aneuploidy	E19	16	2.1	unk		24–29
Ning	2015	rat	sc-WGS	n/a	2	E18	Hippocampus	NeuN	19	CNV		8	1.6	unk	unk	large scale CNV detected

Table 2 Summary of aneuploidy and CIN results in brain of human subjects

Author	Year	Species	Technique	Probes	Cases (n)	Age	Tissue	Cell type	Number of nuclei	Detection metric	Chromosome	Chr affected %	Average ploidy chr %	% per tissue	Notes
Yang	2001	Hu	FISH	Chr 11/18/21	Control 4 AD 7	69–87	Hippocampus	Bulk	400	Polyploidy	n/a	none	0 % Poly-ploidy	0; poly-ploid	
Yurov	2001	Hu	FISH	Chr 1/7/8/13/16/18/21/22/X/Y	Control 2 Schizophrenia 6	28 and 76	Prefrontal cortex	Neurons	1800	Trisomy	n/a	none	0 % Tri-somy	0; trisomy	
Rehen	2005	Hu	FISH-dual	Chr 21	6	2–96	Hippocampus, frontal, occipital cortex	NeuN and NeuN-neg	500–1500	Aneuploidy and T21	21	3.2–5.2% ane-uploidy, 2.4–3.8% tetras-omy	4% Ane-uploidy	unk	
Pack	2005	Hu	FISH and LOH	Chr 1/3/6/7/8/9/11	8	40–75	Hippocampus, cerebral cortex, cerebellum	Bulk	unk	Aneuploidy	unk	unk	unk	38–47	
Yurov	2007	Hu	Q-FISH	Chr 19/15/16/17/18/X/Y	12	8–11 gest wks	Telencephalic region	Bulk	5000	Aneuploidy	1, 9, 15, 16, 17, 18, X, Y	1.32, 0.89, 1.17, 1.31, 0.93, 1.25, 2.33, 0.78	1.25–1.45% Ane-uploidy	30–35	
Westra	2008	Hu	FISH	Chr 6/21	6	45–76	Cerebellum	NeuN and NeuN-neg	3000	Aneuploidy	6, 21	1.2, 1.8	1% Ane-uploidy	unk	
Thomas Fenech	2008	Hu	Q-FISH	Chr 17/21	9	60–98	Hippocampus	NeuN and NeuN-neg	1000	Aneuploidy	17, 21	18, 11.8	unk	unk	
Iourov	2009	Hu	Q-FISH	Chr 1/7/11/13/14/17/18/21/X/Y	7	8–47	Cerebral cortex	NeuN and NeuN-neg	7000	Aneuploidy	13, 18, 21, X, Y	0.5, 0.6, 0.4, 0.4, 0.1	0.4–0.9 Ane-uploidy	10–22	
Mosch	2007	Hu	SBC	n/a	13	71.7 +/- 10.3	Entorhinal cortex	Neurons	80,000–120,000	DCV	n/a	n/a	n/a	10; hyper-diploid	
Westra	2010	Hu	FACS	n/a	24	35–95	Frontal cortex and Cerebellum	NeuN	10,000	DCV	n/a	n/a	n/a	4; Cortical neurons	
Arendt	2010	Hu	SBC	n/a	14	71.7 +/- 10.3	Entorhinal cortex	Neurons	80,000–120,000	DCV	n/a	n/a	n/a	10; hyper-diploid	
Fischer	2012	Hu	SBC	n/a	18	31–88	Frontal, Temporal, Parietal, Entorhinal, Occipital cortex	Neurons	500,000	DCV	n/a	n/a	n/a	11.5; hyper-diploid	

Table 2 (continued)

Author	Year	Species	Technique	Probes	Cases (n)	Age	Tissue	Cell type	Number of nuclei	Detection metric	Chromosome affected %	Average chr %	% per tissue	Notes
Pamphlett	2011	Hu	SNP array	n/a	28	Adult	Frontal cortex	Bulk	Bulk DNA	CNV and ane-uploidy	unk	unk	410 CNV observed	
Gole	2013	Hu	sc-WGS	n/a	1	unk	Brain	NeuN	2	CNV and ane-uploidy	unk	unk	CNV detected	
McCormell	2013	Hu	sc-WGS	n/a	3	20–26	Frontal cortex	NeuN	36 (110 total)	CNV and ane-uploidy	unk	unk	2.7: ane-uploidy 13–41: large CNVs	
Knouse	2014	Hu	sc-WGS	n/a	4	48–70	Frontal lobe	NeuN	22 (89 total)	CNV and ane-uploidy	18, 22	1.12, 1.12	unk	2.2
Cai	2014	Hu	sc-WGS	n/a	3	Adult	Cortex	NeuN	32 (97 total)	CNV and ane-uploidy	unk	unk	0: ane-uploid 68: large-scale CNV (≥ 1)	
Van den Bos	2016	Hu	sc-WGS	n/a	6	69–95	Frontal cortex	NeuN and NeuN-neg	98 (598 total)	CNV and ane-uploidy	4, 6, 13, 16, 18, 21, 22	0.2, 0.2, 0.34, 0.2, 0.2	0–0.34 % Ane-uploidy	0.7
Chromister	2019	Hu	sc-WGS	n/a	5	0.36–95	Prefrontal cortex	NeuN and NeuN-neg	474	CNV	unk	unk	unk	11.9
Sun	2023	Hu	WGS	n/a	1	49	Dorsolateral prefrontal cortex	NeuN	2125	CNV	unk	unk	226 CNV neurons deletion ≥ 1	Deletions ranged in size from 1Mb to entire chromosomes
Kim	2014	Hu	WGS	n/a	Controls 2 Schizophrenia 3	Controls (52–59) schizophrenia (52–60)	Prefrontal cortex	Bulk	unk	CNV	unk	unk	unk	106 somatic deletions

implications in stroke and neurodegenerative conditions, was among the identified genes.

Kaushal and colleagues assessed the functional consequences of the loss of one copy of MMU 15 by evaluating the proliferation and survival index of aneuploid cells in culture. They stained neuronal cells with markers for proliferation and cell death, demonstrating that aneuploid cells remain viable and competent to divide under these experimental conditions. Additionally, they provided evidence that aneuploidy persists in subventricular zone (SVZ)-born cells that migrate to the olfactory bulb (OB), supporting the notion that low levels of aneuploidy may result in functional cells with distinct biological properties.

It has been postulated that aneuploidy during mammalian brain development may play a crucial role in generating genetic diversity that contributes to the functional complexity of the central nervous system. To test this hypothesis, Kingsbury and colleagues devised an experimental approach to trace hyperdiploid neurons *in vivo* and demonstrated that aneuploid cells are functionally integrated into the neuronal circuitry and actively participate in brain functions (Kaushal et al. 2003). These studies suggest that hyperdiploid neurons carrying numerical alterations for both X and Y chromosomes constitute around 0.2% of neurons in the brain, and that they are functionally active and integrated into the neuronal network. Furthermore, aneuploidy has been observed in various areas of the brain. Evidence for aneuploid cells throughout the mammalian neuroaxis has also been demonstrated by studies that found 15.3% and 20.8% of cerebellar cells aneuploid at postnatal day P0 and P7 when all chromosomes were analyzed (Westra et al. 2008). Chromosome segregation defects contribute to the generation of aneuploid cells, as evidenced by immunofluorescent staining for histone H3 and vimentin of cerebellar neuronal stem cells (NPCs). Immunostaining using anti-pericentrin identified a subset of cells with supernumerary centrosomes (up to 3 per cell), suggesting the presence of cells that have the potential to undergo multipolar mitosis. Accordingly, neuronal and non-neuronal cells in the adult cerebellum were found to harbor aneuploid cells, as shown in the NeuN+ and NeuN- enriched populations by FISH analysis using probes for murine chromosomes X and 16, which revealed a frequency of aneuploidy of 2.7%.

A recent investigation utilized sc-WGS to explore copy number variations (CNVs) in rat neurons at embryonic day 18 (E18) and identified large CNVs (Ning et al. 2015). One notable advantage of this study was its comparative approach involving various whole-genome amplification methods (GenomePlex WGA4, MDA, and MALBAC). Collectively, these methods provide substantial evidence for the presence of significant copy number alterations in the mammalian brain. Nonetheless, the frequency of CNVs events could not be precisely determined due to the technical constraints of the study. To note, in a prior investigation employing sc-WGS to examine aneuploidy in neural progenitor cells of mouse embryos, the analysis of 36 cells revealed the absence of aneuploidy (Knouse et al. 2014). Although technical challenges may contribute to discrepancies in reported findings, it is important to recognize the potential influence of biological variations within the tested cell types. These differences could elucidate why certain studies identify aneuploidy in the brain while others fail to detect it. An emerging perspective underscores the divergence in genome maintenance programs between germline and progenitor cells compared to somatic differentiated cells. Notably, progenitor cells exhibit a more adept genome maintenance program, a concept supported by recent research (Vermezovic et al. 2012; Bujarrabal-Dueso et al. 2023).

Although certain discrepancies remain, numerous studies converge to suggest that aneuploidy is a common occurrence in the development of the murine nervous system. This supports the hypothesis that aneuploidy may contribute at various levels to the genetic variability necessary for the functional and structural mosaicism that characterizes the brain. Furthermore, the percentage of aneuploid cells across all chromosomes in the cerebellum (around 20%) is lower than that of the cerebral cortex or olfactory bulb (around 33%), suggesting that there may be inherent differences in the rate of mosaic aneuploidy between brain regions during development. The fate of aneuploid cells could be directed toward programmed cell death, as demonstrated by the reduction of aneuploidy in the adult brain when compared to development, as it normally occurs in neuroproliferative zones. Alternatively, aneuploid cells could be committed to producing genetic and functional diversity, as demonstrated by the low level of aneuploid cells that remain in the adult brain.

A study (Andriani et al. 2016c) has been conducted to measure the frequency of aneuploidy by FISH in three autosomes in the cerebral cortex and cerebellum of adult and developing brains of two mutant mouse models: *Bub1b^{H/H}* mice, which have a faulty mitotic checkpoint (Baker et al. 2004), and *Ercc1^{-Δ7}* mice (Niedernhofer et al. 2006), defective in nucleotide excision repair and inter-strand cross-link repair. During embryonic development (E13.5), it was found that *Bub1b^{H/H}* mice, but not *Ercc1^{-Δ7}* mice, had a significantly higher frequency of aneuploid nuclei relative to wild-type controls in the cerebral cortex, reaching a frequency as high as 40.3% for each chromosome tested. However, aneuploid cells in these mutant mice were likely eliminated early in development through apoptosis and/or immune-mediated clearance or other surveillance mechanisms (Ji et al. 2021), which would explain the low levels of aneuploidy during adulthood in the cerebral cortex of *Bub1b^{H/H}* mice. It is noteworthy that a more recent sc-WGS analysis of 21 nuclei isolated from the same *Bub1b^{H/H}* adult mouse model detected a frequency of 31% aneuploidy (Knouse et al. 2014). However, the specific brain region analyzed, the age of the mouse, and the cell types analyzed were not specified, making direct comparison of findings difficult.

Taken together, these findings suggest that aneuploidy may be tolerated in the brain of mice, even at extremely high levels (30–50%), albeit at the cost of fitness. This underscores a remarkable degree of plasticity in a highly complex organ such as the brain and raises important questions about the potential role of aneuploidy in brain function and disease.

Genomic instability in the adult, aging brain

Although limited studies have been conducted on the developing human brain, some studies have investigated aneuploidy in the adult human brain. These reports have generally shown significantly lower levels of aneuploidy in the adult human brain compared to the developing brain, which is consistent with observations in the adult mouse brain. A general observation from these studies is that across all the specific loci and chromosomes examined, the frequency of aneuploidy per chromosome in the healthy human brain has shown considerable variability.

Rehen et al. (Rehen et al. 2005) and Thomas and Fenech (Thomas and Fenech 2008) conducted separate studies analyzing hippocampal cells from older patients (> 80 years old). Rehen's study assessed aneuploidy for HSA 21 alone, while Thomas and Fenech's study evaluated aneuploidy for both HSA 21 and HSA 17. The results showed that aneuploidy for HSA 21 was 5.2%, while aneuploidy for HSA 21 and HSA 17 was 29.8% in both studies combined. Additionally, Thomas and colleagues found that hippocampal cells from older patients had an aneuploidy frequency of 18% for HSA 17 and 11.8% for HSA 21, indicating a wide range of aneuploidy between two chromosomes or an elevated biological variability between individuals. Furthermore, the two studies on HSA 21 revealed a significant discrepancy in the frequency of aneuploidy, with Rehen's study reporting 5.2%, a frequency much lower than the 11.8% reported by Thomas and collaborators.

Iourov and colleagues (Iourov et al. 2009) analyzed the cerebral cortex of seven healthy individuals with an age range of 24.6 to 12.9 years using a cocktail of 5 probes (HSA 13, 18, 21, X, Y). The study demonstrated that the average level of aneuploidy was comparable for each chromosome tested, with a frequency of approximately 0.5% for each chromosome, except for the Y chromosome, which showed a lower frequency of 0.1%. The study conducted by the Westra group (Westra et al. 2008) analyzed patients of a comparable age range and observed aneuploidy for HSA 6 (1.2% for NeuN+ and NeuN– combined) and HSA 21 (1.8% for NeuN+ and NeuN– combined), resulting in an overall aneuploidy frequency of about 3% for both autosomes combined. However, this frequency differs significantly from the results reported by the Iourov group, indicating that there is considerable variation in the frequency of aneuploidy between different chromosomes and between different studies.

Standard molecular cytogenetic techniques are laborious and time-consuming. To address this issue, studies have been conducted to increase the throughput of aneuploidy detection using cytometry-based methods (Arendt et al. 2010; Mosch et al. 2007) where up to 100,000 nuclei can be analyzed. These results suggest that about 10% of neurons in the healthy human brain are hyperdiploid, but these

studies lack genomic resolution to precisely define the specific chromosomes and regions that are altered.

Recent studies utilizing sc-WGS technologies have questioned the results from studies based on cytogenetics. Knouse and colleagues (Knouse et al. 2014) isolated neuronal progenitor cells and adult neurons from mice and analyzed them using NGS and CNV calling. Their results revealed minimal levels of aneuploidy, at approximately 1%, in both the embryonic and adult brain. Subsequent studies conducted by the same research team suggest low level of aneuploidy in the adult brain (approximately 0.8% for entire-chromosome copy number variations (Knouse et al. 2016)). This observation is supported by studies involving the AD brain, wherein a slightly elevated occurrence of CNV events was detected in AD cells in comparison to cells from control subjects (4.1% vs. 1.4%, or 0.9% vs. 0.7%, utilizing distinct filtering methods) (Turan et al. 2022). It is noteworthy, however, that these differences did not reach statistical significance.

It should be noted that the number of cells analyzed in many sc-WGS experiments is limited, with as few as nine cells being examined. Van den Bos et al. (Bos et al. 2016) conducted a sc-WGS study to investigate somatic aneuploidy in approximately 1500 brain cells, including a subset of cells from healthy brain tissue. Consistent with other sc-WGS studies, the authors found that only approximately 0.7% (4 out of 589) of cells were determined to be aneuploid. Recent reports that analyzed over 2000 neurons from a single individual (Sun et al. 2023) reported a percentage of aneuploidy in the adult neurons to be less than 3%.

McConnell and group analyzed postmortem frontal cortex neurons for CNAs using single-cell approaches and noted that somatic CNAs are common feature of neuronal genomes averaging 13 to 24% in neurons (McConnell et al. 2013). Other studies are consistent and report low aneuploidy levels in adult neurons (Chronister et al. 2019; Cai et al. 2015).

Through these studies utilizing sc-WGS technology, it has emerged that somatic sub chromosomal CNV may be prevalent within the brain. McConnell and colleagues have reported that approximately 41% of neurons analyzed contain at least one large-scale CNA (McConnell et al. 2013), while Cai and colleagues (Cai et al. 2015) have reported that approximately 68% of neurons analyzed contain at least one

large-scale CNA. The size range of these CNAs has not been consistently defined across these studies, but efforts and new analytical tools are being devoted to overcoming this limitation.

With the cost of sequencing continuing to decline and the availability of more throughput, it is expected that in the near future, sc-WGS may become applicable to the analysis of large numbers of cells in multiple individuals, allowing for a better understanding of the extent and consequences of somatic aneuploidy in the human brain.

Future prospects

The form of genomic instability primarily discussed in this review pertains to aneuploidy and large copy number alterations. Genomic instability encompasses a multitude of aberrations that have been observed in the healthy brain, even during its developmental stages. However, elucidating the underlying etiology and resolving the reported inconsistencies in frequencies of aneuploidy and large copy number alterations pose a formidable challenge. The application of FISH techniques tends to overestimate the levels of these genomic aberrations, whereas sc-WGS may underestimate them.

Nonetheless, despite the ongoing debate surrounding the frequency of such genomic changes, substantial evidence indicates that aneuploidy and large copy number alterations significantly impair cellular fitness in healthy cells. This suggests their detrimental effects on brain cell function, which may potentially manifest through cell non-autonomous effects, even when their occurrence across the tissue is infrequent.

It is important to recognize and consider various biological variables that extend beyond technical challenges when studying aneuploidy in tissues. These variables include brain region and cell type, age, disease state, environmental factors, underlying genetic causes, and inter-individual variability. These factors are more closely linked to true biological or physiological functions, and can be challenging to control experimentally, especially in the analysis of human brain tissue.

Most of the research investigating genomic instability in the human brain has primarily focused on post-mitotic neurons. However, non-neuronal cells, which exhibit mitotic activity, retain the capacity to accumulate mutations and transmit them to their progeny.

Given the extraordinary complexity of the brain, much remains to be elucidated regarding genomic instability within this context. Several pivotal questions persist unanswered. For instance, is there a finite threshold for the number of genomic alterations that neurons and non-neuronal cells can tolerate before compromising their health? Furthermore, do these thresholds remain consistent across different cell types or brain regions? How do diverse forms of genomic instability, including aneuploidy, large copy number alterations, copy number variations (CNVs), single-nucleotide variants (SNVs), insertions and deletions (indels), and retro-transpositions, impact neuronal and non-neuronal cells? Do they exhibit similar patterns of genomic instability? What mechanisms underlie these genetic changes?

Additionally, it is crucial to investigate whether aneuploidy and other forms of genomic instability increase in the human brain with age, as observed in mice, and explore their association with age-related diseases. Can one type of genomic alteration confer benefits in certain cell types while proving detrimental in others (e.g., SNVs promoting genomic heterogeneity within the neuronal network but causing cell death in non-neuronal cells)? Addressing these critical questions will advance our understanding of genomic instability in the brain and its implications for neurological function and disease.

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Declarations

Ethics approval This work constitutes a comprehensive review of published literature, and no studies involving human or vertebrate animal subjects were conducted. Moreover, no personal data is described or disclosed in this review article.

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