## REVIEW PAPER



# **Comparative assessment of chemical and biochemical approaches for the activation of lignocellulosic materials and emerging opportunities for expansin‑related proteins**

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**Abstract** Cellulose activation is a necessary step in many industrial processes including production of cellulose derivatives, regenerated cellulose, biofuels and biochemicals. Expansins and expansin-related proteins have been shown to disrupt the fbrillar aggregation and loosen the structure of lignocellulosic materials but typically lack lytic activity. Therefore, they offer a new but rather unexploited possibility for biomass to obtain better accessibility and reactivity. From an applied perspective, expansin-related proteins have been investigated for their potential to promote enzymatic hydrolysis of cellulosic substrates for the purpose of producing biofuels. The aim of this review is to compare conventional and emerging technologies relevant to cellulose activation, and critically evaluate the potential of expansin-related proteins for this purpose. As part of this assessment, methods to evaluate the action of expansin-related proteins on cellulosic substrates are summarized, and reported

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impacts are discussed in relation to source of the cellulosic substrate and treatment conditions. An outlook on prospective applications of expansin-related proteins is presented.

**Keywords** Expansins · Pre-treatment · Lignocellulose · Activation · Enzymes · Fibers

# **Introduction**

Many pre-treatment methods have been developed for cellulosic materials (Meng and Ragauskas [2014;](#page-20-0) Aftab et al. [2019](#page-17-0)). These include chemical, physical, physicochemical, biochemical methods and com-binations thereof (Fig. [1\)](#page-1-0). The pre-treatments are necessary to increase uniformity of the material and modify the material properties to be more suitable for further processing towards the end applications. Activation treatments are aimed at increasing the accessibility and reactivity of the hydroxyl groups of cellulose prior to chemical modifcation, and thereby obtain reproducible end products while improving cellulose reactivity for pulp dissolution and minimizing reactant demand (Heinze et al. [2018](#page-19-0)). Activation requires careful optimization of process parameters because reactivity depends on the raw material origin (softwood and hardwood), its process history (kraft and sulfte) and the bleaching method used (Strunk et al. [2011](#page-21-0); Palme et al. [2016](#page-20-1); Ferreira et al. [2020](#page-18-0)). In addition, raw material properties such as degree of



polymerization, crystallinity, dimensions of the crystallites and fbril aggregates as well as pore volume distribution are crucial (Duan et al. [2015;](#page-18-1) Heinze et al. [2018\)](#page-19-0).

The activation treatment has different effects on the structure of lignocellulosic biomass (Meng and Ragauskas [2014](#page-20-0); Aftab et al. [2019\)](#page-17-0). In general, increase in surface area improves the reactivity, and many activation methods are based on increasing the porosity (internal surface) or decreasing the polymer size (external surface). An alternative technique to activate cellulosic materials is to disrupt the intermolecular interaction (strong hydrogen bonding) among fbers, which leads to less ordered fber structures. Some treatment techniques are also capable of altering the crystalline structure of cellulose. Traditional activation methods strongly rely on chemicals, using acidic and/or alkaline treatments that are typically corrosive and may liberate degradation side products. With chemical pre-treatment, concentration of the swelling agent, reaction time, temperature and mixing all greatly affect the success of activation (Heinze et al. [2018](#page-19-0)). On the other hand, mechanical treatments typically have a high energy consumption. Since pre-treatments can be expensive, new improved methods are constantly being researched. Alternative biochemical methods are gaining interest because of their mild processing conditions under aqueous media and atmospheric pressure (Henriksson et al. [2005;](#page-19-1) Kumar [2021\)](#page-19-2). However, enzyme costs and lack of suitable recovery methods are still a challenge for pre-treatment of lignocellulosic materials in biorefneries (Merino and Cherry [2007](#page-20-2); Jørgensen and Pinelo [2017\)](#page-19-3).

Given the potential of expansins to disrupt the fbrillar structure of cellulosic materials without apparent lytic activity (Cosgrove [2000\)](#page-18-2), the activation of lignocellulosic materials using expansins and expansin-related proteins presents a new possibility for material development. Expansins were

<span id="page-1-0"></span>**Fig. 1** Overview of the pretreatment methods available for lignocellulosic biomass

reported over 30 years ago and shown to trigger plant cell wall expansion (Cosgrove [1989\)](#page-18-3) through disrupting noncovalent bonds at loadbearing junctions between cellulose microfbrils or cellulose microfbrils and matrix polysaccharides (McQueen-Mason and Cosgrove [1994;](#page-20-3) Cosgrove [2000,](#page-18-2) [2015\)](#page-18-4). Expansin-related proteins have since been discovered in many bacteria and fungi (Georgelis et al. [2015](#page-18-5); Cosgrove [2017](#page-18-6)).

Herein, we review conventional and emerging technologies for cellulose activation. As part of this review, key fndings concerning the efects of expansin-related proteins on cellulosic materials is discussed. In particular, the conditions used for the cellulose processing and activation with expansinrelated proteins are reviewed and compared with the conventionally used pre-treatment methods. The binding of expansin-related proteins to diferent lignocellulosic materials and characterization methods used to evaluate their activity on these materials are summarized. Finally, prospective opportunities to exploit expansin-related proteins for cellulose activation are briefy discussed.

# **Currently used chemical and mechanical treatments for cellulose activation**

#### Alkali-treatments

The most common method for cellulose activation is treatment with aqueous alkali (Heinze et al. [2018;](#page-19-0) Fechter et al. [2020\)](#page-18-7). Alkali pre-treatment is used for industrial scale preparation of cellulose ethers and regenerated cellulose (Fechter et al. [2020](#page-18-7)). Sodium hydroxide is used for this purpose since it is easily available, low-cost, and relatively efficient. In the preparation of textile fbers, for example, the pulp is pre-treated in a mercerization step using 17.5% NaOH at 45–55 °C for few hours (Fechter et al. [2020\)](#page-18-7). The cellulose becomes highly swollen and goes through major morphological changes (Fig. [2\)](#page-2-0) as the fbers shrink in length and their width increases leading to more circular structures (Ott et al. [1954](#page-20-4); Heinze et al. [2018;](#page-19-0) You et al. [2021\)](#page-21-1). In addition, the high alkali dissolves the residual hemicelluloses. A challenge with high alkali concentrations is that it may also induce uncontrolled oxidative degradation (Heinze et al [2018](#page-19-0)). Therefore, mercerization is carried out using inert gas atmosphere or using reducing agents



<span id="page-2-0"></span>**Fig. 2** Cellulosic fbers go through morphological changes during alkaline mercerization step. The schematic transformation of the morphological changes of cellulose fbers (e.g., starting bean shaped), frstly due to swelling followed by longitudinal fbers shrinkage leads frst to elliptic and then to circular structures. Purple (0.8), blue (1.0) and orange (1.3) color

guidelines are shown to highlight the magnitude of dimensional changes of fber. During the transformation cellulose I (parallel arrangement) changes to cellulose II (antiparallel arrangement) modifed from Ott et al. [1954](#page-20-4) and Okano and Sarko [1985](#page-20-5)

such as  $NabH_4$  (Heinze et al. [2018\)](#page-19-0). The use of inert gas reaction vessels as well as the highly concentrated and corrosive alkali solutions are the main drawbacks of this activation treatment.

The activation treatment is mainly controlled by the concentration of alkali and temperature (Fechter et al. [2020\)](#page-18-7). Diluted alkali solutions cause widening of the existing micropores, whereas increasing the alkali concentration up to 4–8% causes splitting of the fbrillar aggregates, thus further increasing the available surface area (Heinze et al. [2018](#page-19-0)). Concentrated alkali solutions containing e.g. 12–14% NaOH lead to increase in swelling and partial transformation of cellulose I (parallel arrangement) to cellulose II (antiparallel arrangement), even at room temperature (Okano and Sarko [1985](#page-20-5); Budtova and Navard [2016\)](#page-18-8).

## Acidic treatments

Acidic pre-treatments cause hydrolysis of the hemicelluloses and reduction in the DP of the cellulosic material thus increasing the available surface area and improving the homogeneity and purity of the raw material (Palme et al. [2016](#page-20-1)). In addition, the acids may also cause swelling of cellulose which is slightly higher than in water (Ogiwara and Arai [1968](#page-20-6); Heinze et al. [2018\)](#page-19-0). Industrially, acidic treatments are used for the production of microcrystalline cellulose (Van-hatalo and Dahl [2014](#page-21-2); Trache et al. [2020\)](#page-21-3) and as a pre-treatment step for the production biofuels, either prior to enzymatic saccharifcation or for direct liberation of glucose from biomass (Balan et al. [2013](#page-18-9))*.* Most often, the acidic pre-treatments use mineral acids such as sulfuric acid, hydrochloric acid and nitric acid (Zhou et al. [2021\)](#page-21-4). The pre-treatments can be carried out using concentrated acid or dilute acid. However, concentrated acid pre-treatments are less attractive because of issues related to equipment corrosion, acid recovery and formation of side products (Hu and Ragauskas [2012](#page-19-4); Zhou et al. [2021](#page-21-4)). Dilute acid treatments require elevated temperature to proceed in reasonable timescales. Temperatures between 120 and 220 °C have been applied, at higher temperature for a short retention time or at lower temperature for longer retention time (30–90 min). The amount of acid has usually been 0.5–2% (Zhou et al. [2021\)](#page-21-4).

It is characteristic to acidic treatments that the initial hydrolysis rate is rapid but as the reaction proceeds it slows down until it reaches the levelling-of degree of polymerization (LODP), after which hydrolysis rate is considerably slower or does not proceed. The LODP also depends on the raw materials properties and it has been observed that never-dried pulps have higher LODP than once-dried pulps for example (Palme et al. [2016\)](#page-20-1). An explanation for this might be that the hornifcation which is induced by drying leads to coalescence of cellulose fbrils, which increases the stress in the cellulose. This stress may enhance acid hydrolysis reactivity (Palme et al. [2016](#page-20-1)). Xylan content is also known to afect the LODP which may also partly be ascribed to the lower degree of hornifcation found in xylan-rich pulps (Han et al. [2012;](#page-19-5) Palme et al. [2016](#page-20-1)).

#### Steam explosion

Steam explosion is a combination of thermal, chemical, and mechanical treatment. The biomass is heated with hot steam at temperatures of 160–260 °C and under elevated pressure of 1–5 MPa for a short period of time after which the pressure is suddenly released to atmospheric level (Wang et al. [2015a;](#page-21-5) Duque et al. [2016](#page-18-10)). This causes stress and shear forces, and together with the steam, leads to hydrolysis of the biomass. The parameters that affect the extent of activation are raw material particle size, temperature, moisture and residence time (Wang et al. [2015a;](#page-21-5) Duque et al. [2016](#page-18-10)). Usually, the residence time has been only from seconds to a few minutes. After the treatment the slurry can be fltered to separate the solids from the pre-hydrolysate. Steam explosion can be applied using water as the only catalyst and swelling agent; however, quite often the steam-explosion method is acid-catalyzed or alkali-catalyzed. The aim of these modifcations is to improve the hemicellulose solubilization (acid-catalyzed) or remove lignin and hemicellulose and enhance the cellulose swelling properties (alkali-catalyzed). Currently, steam explosion is used as pre-treatment prior to enzymatic hydrolysis and fermentation in a demonstration plant for the production of bioethanol in Finland (Bioenergy [2023](#page-18-11)). In addition, steam explosion treatment has been studied semi-industrially as an alternative for chemical mechanical pulping (CMP) and chemical thermo mechanical pulping (CTMP) because explosion treated wood chips requires less refning energy and can generate pulps with higher paper strength properties than the ones prepared with conventional CMP and CTMP methods (Tomasec and Kokta [1991](#page-21-6); Kokta and Ahmed [1993](#page-19-6)). Steam explosion has also been studied for the extraction of hemicelluloses and as pre-treatment for production of dissolving pulp (Kihlman et al. [2011](#page-19-7); Jedvert et al. [2012;](#page-19-8) Martin-Sampedro et al. [2014;](#page-20-7) Martino et al. [2017\)](#page-20-8).

## Mechanical treatments

Mechanical treatments are traditionally used as a pre-treatment method given the simplicity of the machinery and relatively short treatment times. However, the main drawback is high energy consumption. Mechanical treatments are used in the production of high-quality papers, in semi-industrial textile fber production and in the production of nano/microfbrillated celluloses (Missoum et al. [2013](#page-20-9); Salmela et al. [2016;](#page-20-10) Bajpai [2018b;](#page-18-12) Vehviläinen et al. [2020](#page-21-7)). Several diferent devices have been developed for the grinding and refning, including conical and disk refners, extruders, ball/rod mills, high shear mixers, homogenizers and fuidizers (Lumiainen [1998](#page-20-11); Missoum et al. [2013](#page-20-9)). Mechanical treatments may induce fber cutting and reduction of DP (Fig. [3](#page-4-0)a), partial removal of the fber wall (external fbrillation), and formation of fnes and internal fbrillation (weakening of the internal structure of the fber cell wall) (Lumiainen [1998\)](#page-20-11). Changes in the crystallinity, redistribution of hemicelluloses or leaching of colloidal material are also possible (Tian et al. [2014;](#page-21-8) Huang et al. [2019](#page-19-9)). In

addition, changes in fber structure such as curling (or straightening), or creation of kinks are common. In principle, mechanical treatments can be implemented using wet or dried material and depending on the type of treatment, the impact on the lignocellulosic material is diferent. In the presence of water, pH afects the refning process (Lumiainen [1998\)](#page-20-11) where high pH makes fbers slippery, which hinders the contacts with the griding units. By contrast, too low pH reduces the swelling of the fbers and hinders opening of the fber structure. Figure [3](#page-4-0)b shows the refining mechanism for commonly used rotor stator system. The energy is mainly transferred to the fbers during edge-tosurface and edge-to-edge contact phase (Lumiainen [1998\)](#page-20-11). Surface to surface contact cause fattening of the fibers.

#### **Biochemical treatments for cellulose activation**

#### Enzymatic treatments

Biochemical treatments using hydrolyzing enzymes have been shown to improve the reactivity of pulps (Henriksson et al. [2005;](#page-19-1) Ibarra et al. [2010](#page-19-10); Gehmayr et al. [2011\)](#page-18-13). Endoglucanases and xylanases have been used solely, in cocktails, and in combination with mechanical pre-treatments (Grönqvist et al. [2014;](#page-19-11) Miao et al. [2015](#page-20-12); Kumar [2021\)](#page-19-2). Endoglucanases cause hydrolysis of glycosidic bonds which reduces



<span id="page-4-0"></span>**Fig. 3 a** Changes in fber structure during mechanical treatment/ refining. **b** Process of refining: fibers are first collected to the mechanical refner and during the frst contacts with the refner the water squeezes out from the fber bundle. Fiber refning occurs in edge-to-surface, edge-to-edge, and surfaceto-surface contact phases. Modifed from Lumiainen [\(1998](#page-20-11))

DP and viscosity and opens the fber structure, leading to increased swelling and porosity (Miao et al. [2015\)](#page-20-12). Xylanases are used to remove hemicelluloses and obtain higher cellulose purity. Compared to acidic pre-treatments, the enzymatic treatments have been observed to generate more porous fiber structures (Grönqvist et al. [2014\)](#page-19-11). Traditionally, the enzymatic treatments have been carried out at relatively low substrate consistency, but recent studies have indicated that high substrate consistency can increase the productive adsorption of enzymes and is also benefcial from economical point of view (Wang et al. [2015b;](#page-21-9) Pere et al. [2020\)](#page-20-13). The impact of hydrolytic enzymes depends on the enzyme family; for example, certain glycoside hydrolase family 45 (GH45) enzymes reportedly act solely on cellulose, causing reduction in DP and leading to a substrate with a high micropore volume (Rahikainen et al. [2019](#page-20-14)). By contrast, certain GH5 and GH7 hydrolases act on both cellulose and hemicelluloses (Rahikainen et al. [2019](#page-20-14)). In addition, the presence or absence of carbohydrate binding molecules (CBM) has been investigated (Ibarra et al. [2010;](#page-19-10) Várnai et al. [2013](#page-21-10); Rahikainen et al. [2019](#page-20-14)). In general, CBMs appear to improve enzyme action at low substrate consistency but at high consistency their presence becomes negligible or even detrimental (Rahikainen et al. [2019](#page-20-14)).

# Expansin-related proteins for lignocellulose processing and activation

Our current understanding relating to the biological role of expansins and expansin-related proteins, as well as structure–function correlations identifed for this protein family, is expertly reviewed elsewhere (McQueen-Mason and Cosgrove [1995](#page-20-15); Cosgrove

[1999,](#page-18-14) [2000](#page-18-2), [2015,](#page-18-4) [2017\)](#page-18-6). Following a concise summary of their classifcation, the current review focuses on reported impacts of expansin-related proteins on cellulosic materials and functional attributes that align with prospective applications in lignocellulose processing.

## Classifcation of expansin-related proteins

Expansins and expansin-like proteins are classifed into five distinct subgroups, of which four are found in plants (EXPA, EXPB, EXLA and EXLB) and one (EXLX) is found in other eukaryotes (e.g., fungi, oomycetes) and bacteria (Kende et al. [2004](#page-19-12); Quiroz-Castañeda et al. [2011;](#page-20-16) Lohoff et al. [2021](#page-20-17)). In plants, there are two major families: α-expansins  $(EXPA)$ which have been linked to acid-induced cell wall loosening, and β-expansins (EXPB) which are identifed as subset of grass pollen allergens and facilitate pollen tube invasion (Cosgrove [2015](#page-18-4)). Plants also have two smaller families, expansin-like A (EXLA) and expansin-like B (EXLB); however, their biological functions are not fully understood (Cosgrove [2015](#page-18-4)). Microbial expansin-like (EXLX) proteins are often identifed in plant pathogens or saprotrophs growing on plant litter and have been implicated in plant colonization (reviewed elsewhere (Cosgrove [2017\)](#page-18-6)).

Plant expansins and microbial expansin-like proteins (Fig. [4\)](#page-5-0) comprise an N-terminal "D1" domain structurally homologous to family GH45 glycoside hydrolases and a C-terminal domain "D2" that has been assigned to a Type-A CBM family 63 (Boras-ton et al. [2004](#page-18-15); Kerff et al. [2008](#page-19-13); Cosgrove [2015](#page-18-4)). Microbial expansin-related proteins that lack the C-terminal CBM include loosenins and ceratoplatanins (Quiroz-Castañeda et al. [2011;](#page-20-16) Baccelli [2015;](#page-18-16)



<span id="page-5-0"></span>**Fig. 4** A simplifed structure of diferent expansin-related proteins

Monschein et al. [2023\)](#page-20-18), sometimes also referred as EXPN, whereas those that include domains besides D1 and D2 include swollenins. For example, SWO1 is one of the most extensively studied fungal swollenins and includes an N-terminal fbronectin III and CBM1 domain (Saloheimo et al. [2002](#page-20-19)). Moreover, certain bacterial expansin-related proteins comprise a glycoside hydrolase domain (e.g., GH5) (Georgelis et al. [2014\)](#page-18-17). As such, the molecular weight of expansin-related proteins ranges from approximately 12–75 kDa (Cosgrove [2001](#page-18-18); Saloheimo et al. [2002](#page-20-19); Jäger et al. [2011](#page-18-19); Georgelis et al. 2011; Kim et al. [2013a](#page-19-15)).

Functional characterization of expansin-related proteins on cellulosic materials

Measuring the activity of expansins and expansinrelated proteins is rather difficult because they do not cause covalent modifcations on substrates that could be easily detected. In addition, as mainly non-lytic proteins, they do not release readily detectable products. The exceptions to date are certain swollenins, where SWO1 displays very low levels of hydrolytic activity towards β-glucan and certain cello-oligosaccharides (Andberg et al. [2015;](#page-18-20) Eibinger et al. [2016](#page-18-21)), AfSwo1 from *Aspergillus fumigatus* displays low hydrolytic activity towards carboxymethyl cellulose (CMC) (Chen et al. [2010](#page-18-22)), and SWO2 from *T. pseudokoningii* displays low hydrolytic activity towards CMC and regenerated amorphous cellulose (Zhou et al. [2011](#page-21-11)). A challenge is also faced in fnding a characterization method that could simultaneously detect the protein-induced changes from microfbril scale (nm) up to fber scale (mm) (Gourlay et al. [2012;](#page-18-23) Eibinger et al. [2016\)](#page-18-21).

Cosgrove and his team established complementary methods to measure the impact of plant expansins and expansin-related proteins on plant cell walls as well as flter paper (Cosgrove [2017\)](#page-18-6). Methods developed to study expansin action on cellulosic materials were previously summarized in (Gourlay et al. [2012\)](#page-18-23) and an updated summary is provided in Table [1.](#page-7-0)

#### Binding to lignocellulose components

Binding of microbial expansin-related proteins to cellulose have mainly included flter paper, microcrystalline cellulose (e.g., Avicel), phosphoric acid swollen cellulose, cotton fber, and bacterial microcrystalline cellulose. Typical temperatures and pH values for the binding studies range from  $4^{\circ}$ C to 25  $^{\circ}$ C and pH 5.0–pH 7.5, respectively (Table [2\)](#page-8-0). The main factors afecting the binding of proteins are ionic and hydrophobic interactions (Cosgrove et al. [2017](#page-18-24)).

Adsorption isotherms have been collected for some expansin-related proteins and diferent lignocellulosic substrates (Table [2\)](#page-8-0). The diferent binding conditions make it difficult to compare binding capacities of proteins reported in diferent publications; however, in nearly all cases where lignocellulosic substrates besides cellulose were included in the same binding study, binding by the expansin-related protein to crystalline cellulose (Avicel) was comparatively low. It has been speculated that the high crystallinity of Avicel hinders the adsorption as compared to hemicelluloses which are generally more amorphous (Bunterngsook et al. [2015\)](#page-18-25). Chemical treatments such as phosphoric acid swelling has been shown to increase the binding of bacterial expansins on cellulosic substrates (Kim et al. [2013b;](#page-19-16) Bunterngsook et al. [2015](#page-18-25)). Other binding studies have included xylan from birch wood (Yennawar et al. [2006](#page-21-12); Lee et al. [2010](#page-19-17); Tovar-Herrera et al. [2015;](#page-21-13) Eibinger et al. [2016](#page-18-21)) oat spelt, larch wood (Lee et al. [2013;](#page-19-18) Kim et al. [2013b\)](#page-19-16) and arabinoxylan (Georgelis et al. [2011\)](#page-18-19), as well as pectin (Olarte-Lozano et al. [2014](#page-20-20)). The pH dependency of the protein binding to xylans and pectin points to the electrostatic nature of that interaction. The net charge of the proteins depends on its isoelectric point (pI): at pH values below pI the net charge is positive whereas above it is negative. For example, Olarte-Lozano et al. [\(2014](#page-20-20)) compared the binding behavior of BsEXLX1 and PcExl, which have isoelectric points of 9.0 and of 4.8, respectively. Whereas acidic and near neutral conditions promoted BsEXLX1 binding to pectin and glucuronoxylan (anionic substrates), binding by PcExl1 to these substrates was hindered.

The presence of diferent additives, such as surfactants has also been studied. Polyoxyethylene sorbitol had no efect on the binding of *Bs*EXLX1 on Avicel (Kim et al. [2013b](#page-19-16)). Duan et al. ([2018\)](#page-18-26) showed that the BsEXLX1 adsorption onto cellulose flm was dependent on surfactant concentration; at low concentrations (0.02 mM Polyoxyethylene sorbitol or polyethylene glycol) adsorption was enhanced whereas at higher surfactant concentrations (0.4 mM) the adsorption was hindered. Other additives, such as

Technique	Principle	References
Biomechanical assay	Measures wet tensile strength (breaking) force) of solid substrates (e.g., filter paper) after incubation with an expansin- related protein	(Saloheimo et al. 2002; Cosgrove et al. 2017)
Crystallinity	Measures impacts of expansin related proteins on cellulose crystallinity	(Jäger et al. 2011; Zhou et al. 2011; Haque et al. $2015$ ; Eibinger et al. $2016$ )
Hydrogen bonding intensity	Measures changes in cellulose intra- and inter-chain H-bonds	(Haque et al. 2015; Duan et al. 2018)
Carbohydrate binding module adsorption	Uses CBMs with preferential binding towards crystalline versus amorphous cellulose to identify regions in cellulose fiber affected by expansion treatment. Quantifies the adsorbed amount which depends on the available surface area and accessibility	(Gourlay et al. 2012, 2015)
Brunauer-Emmett-Teller (BET) surface area analysis	Measures the change in surface area by nitrogen adsorption	(Duan et al. 2018)
Microscopy (light, scanning electron, atomic force), laser diffraction	Estimates fiber disaggregation or morpho- logical changes	(Saloheimo et al. 2002; Kim et al. 2009; Jäger et al. 2011; Quiroz-Castañeda et al. 2011; Haque et al. 2015; Eibinger et al. 2016)
Synergy with enzymes releasing soluble sugars	Measures the enzymatic release of reduc- ing sugars in the presence of expansin- related proteins	reviewed in (Liu et al. 2015)
Rheometry	Measures the visco-elastic behavior of cellulosic materials after treatment with expansin-related proteins	(Monschein et al. 2023)

<span id="page-7-0"></span>**Table 1** Summary of approaches used to study the activity of expansin-related proteins on cellulosic materials

1 M urea and 0.5% sodium dodecyl sulfate had either no or small impacts on the adsorption of LeEXP2 (Liu et al. [2014](#page-20-21)). Consistent with non-ionic interactions driving the binding to cellulose, addition of up to 1 M NaCl increased BsEXLX1 binding to Avicel (Kim et al. [2013b](#page-19-16)). Although no metal binding sites that could stabilize the D2 domain were predicted for BsEXLX1 (Yennawar et al. [2006](#page-21-12)), binding to Avicel was enhanced in the presence of up to 5 mM  $\text{Zn}^{2+}$ (Kim et al. [2013b\)](#page-19-16).

# Impact on cellulose fber arrangement

Impacts of expansin-related proteins on cellulose fber morphology were frst seen by light microscopy where mercerized cotton fber appeared to form swollen areas after sonication and treatment with yeast culture supernatant containing SWO1 (Saloheimo et al. [2002\)](#page-20-19). Similarly, treatment of mercerized cotton fber with the loosenin LOOS1 from *Bjerkandera adusta* induced bubble formation after sonicating the fber, where bubble formation could be correlated to LOOS1 loading (Quiroz-Castañeda et al. [2011](#page-20-16)). On the other hand, when visualized by scanning electron microscopy (SEM), SWO1 did not appear to induce swelling of flter paper (Jäger et al. [2011\)](#page-19-14) and instead increased the surface smoothness of mercerized cotton fber (Gourlay et al. [2012](#page-18-23)). Moreover, atomic force microscopy revealed no change in surface roughness of either amorphous or crystalline cellulose preparations after treatment with SWO1 (Eibinger et al. [2016](#page-18-21)). Light microscopy images of Avicel treated with the AfSWO swollenin from *Aspergillus fumigatus* revealed reduced cellulose aggregation and thus smaller cellulose particle size, without the concomitant increase in reducing end formation (Chen et al. [2010\)](#page-18-22). Similarly, the recombinant SWO1 reportedly reduced the particle size of flter paper cellulose by weakening the fber network (Jäger et al. [2011](#page-19-14)), which was also observed by SEM of cellulose flter paper treated with BsEXLX1 (Kim et al. [2009](#page-19-19)). Nevertheless, it is evident that the impact of swollenins

<span id="page-8-0"></span>



on the fber structure vary considerably depending on the type and composition of the fbers and the conditions under which the swollenins are applied.

Impacts of expansin-related proteins on cellulose fber crystallinity vary. The recombinantly produced SWO1 reportedly reduced the crystallinity of multiple cellulose preparations (e.g., flter paper, Avicel, alpha cellulose) by 10–20% as measured by x-ray difraction (XRD) (Jäger et al. [2011\)](#page-19-14). Similarly, BsEXLX1 reduced the crystallinity index (CrI) of Avicel by approximately 20%, which was correlated to a 6% increase in surface area as measured by nitrogen adsorption (Duan et al. [2018\)](#page-18-26). A change in crystallinity was not observed, however, using wide-angle x-ray scattering (WAXS) to compare Avicel samples before and after treatment with SWO1 (Eibinger et al. [2016\)](#page-18-21) or SWO2 from *Trichoderma pseudokoningii S38* (Zhou et al. [2011\)](#page-21-11). The methodological dependency and variation of cellulose crystallinity index values (Park et al.  $2010$ ) and the complex nature of cellulose composition means that the available data should be compared as qualitative relative measurements rather than absolute values (Lee et al. [2016](#page-19-22)).

In an effort to overcome some of the challenges in measuring cellulose crystallinity that could contribute to the varying results, and to be able to quantify the possible changes in accessibility, Gourlay et al. [\(2012](#page-18-23)) developed a method whereby the adsorption of carbohydrate binding molecules (CBM) that preferentially bind amorphous cellulose (i.e., from family CBM44) and crystalline cellulose (i.e., from family CBM2) was tested after treating mercerized cotton with SWO1. These analyses showed increased CBM44 and CBM2a binding to mercerized cotton after SWO1 treatment, with greater impacts on CBM2a binding that increased by nearly 50% (Gourlay et al. [2012\)](#page-18-23). FTIR and NMR have also been used to study the impacts of expansin-related proteins on fber rearrangement and Cms and BsEXLX1 proteins have been observed to reduce hydrogen bonding of cotton and Avicel respectively (Haque et al. [2015](#page-19-20); Duan et al. [2018\)](#page-18-26).

Impact on the mechanical properties of cellulosic materials

Early reports of plant expansins demonstrate their potential to weaken cellulosic flter paper by disrupting the hydrogen bonding between cellulose fbers (McQueen-Mason and Cosgrove [1994\)](#page-20-3). The potential of microbial expansin-related proteins to weaken flter paper networks has since been shown for both fungal and bacterial proteins. For example, Saloheimo et al. [\(2002](#page-20-19)) observed that treating flter paper with SWO1 decreased its loading capacity by 15–20%, whereas others (Kim et al. [2009](#page-19-19)) observed a 29% reduction in the tensile strength of flter paper strips after treatment with BsEXLX1. Filter paper weakening activity has also been observed for the bacterial expansin-like proteins HcEXLX2 (Lee et al. [2010](#page-19-17)) and PcExl1 (Olarte-Lozano et al. [2014](#page-20-20)) as well as fungal loosenins from *Phanerochaete carnosa* (PcaLOOLs) (Monschein et al. [2023](#page-20-18)), which comprise only the D1 domain of expansin-related proteins. Notably, fusion of a D2 domain to the PcaLOOLs enhanced protein binding to cellulosic substrates but did not alter the tensile properties measured by flter paper weakening (Monschein et al. [2023\)](#page-20-18). When comparing to chemical treatments, urea solution has led to 40–50% reduction in mechanical properties of flter papers (Kim et al. [2009](#page-19-19); Saloheimo et al. [2002](#page-20-19)). Besides flter paper weakening, rheometry was performed to quantify the impact of PcaLOOLs on the strength of cellulose nanofber networks (Monschein et al. [2023\)](#page-20-18). Those studies revealed a protein dose dependent decrease in the yield strain of CNF, which was also dependent on the PcaLOOL applied and pH of the CNF treatment. Together, flter paper weakening measurements and rheometry offer complementary approaches to quantify the impact of expansin-related proteins on diferent cellulose preparations.

Impact on the biochemical processing of cellulosic materials

The potential of expansin-related proteins to boost the enzymatic saccharifcation of lignocellulose substrates provides an in-direct measure of expansin impacts on accessibility to cellulose fber networks. Enhancement of cellulase and hemicellulase activity by expansin-related protein has been reviewed by Kim et al.  $(2014)$  $(2014)$  and Liu et al.  $(2015)$  $(2015)$ . The measured impacts of expansin-related proteins on enzyme performance depends on several factors, including the substrate used, reaction time, expansin dose, the enzyme dose as well as the enzyme system used (Baker et al. [2000\)](#page-18-27). For example, BsEXLX1 and HcEXLX2 have been observed to enhance the production of soluble sugars from cellulose flter paper at low cellulase loadings (e.g., 0.06 FPU/ g cellulose), but at higher cellulase loadings the measured synergy is greatly reduced (Kim et al. [2009](#page-19-19); Lee et al. [2010](#page-19-17)). It has been discussed that the reason for this is likely that expansin-like proteins and cellulases are competing for the same binding site. In some cases, like SWO2 and ScExlx1, pre-treatment of the cellulose substrate with the expansin-related protein, followed by treatment with cellulase, was needed to boost cellulase performance (Tovar-Herrera et al. [2015](#page-21-13); Zhou et al. [2011\)](#page-21-11). A challenge is that at times a synergistic effect is also observed with proteins that are generally considered "inert" such as BSA (Lee et al. [2010;](#page-19-17) Olarte-Lozano et al. [2014](#page-20-20); Bunterngsook et al. [2015](#page-18-25)). This has been attributed to reduced unspecifc binding of hydrolyzing enzymes to the substrate when BSA is present. When summarizing impacts of substrate on the potential of expansin-related proteins to boost cellulase performance, Liu et al. [\(2015](#page-20-22)) note the advantages of substrates with comparatively high hemicellulose and low lignin contents.

# **Impact of operational conditions on the performance of expansin‑related proteins**

The mild processing conditions of protein treatments (pH 5–7), compared to conventional thermo-chemical treatments, may bring savings as regards to energy consumption. Moreover, the near neutral pH values will presumably cause less corrosion to equipment than chemical aided swelling treatments. Short treatment times to achieve benefcial substrate properties, however, are necessary for efficient and continuous processes.

Plant expansins are expected to favor slightly acidic conditions because their function is linked to the acid-induced growth of plant cell walls (Cosgrove [1989\)](#page-18-3), where plant cell wall extension is generally highest at  $pH < 4$  and gradually diminishes when approaching pH 7 (Cosgrove [1999](#page-18-14)). The pH optimum for plant expansins does vary, however, as exemplifed by Zea m 1 (EXPB1), which displays a pH optimum between pH 5 and 6 (Li et al. [2003](#page-20-24)). So far, most reports of microbial expansin-related proteins measure highest binding to cellulosic substrates within the acidic pH range and perform activity measurements between pH 4.5 and 6.0. Notably, in the case of microbial expansin-related proteins, the choice of pH is often time determined by the pH optimum of cellulolytic enzymes used in synergism assays.

As regards to temperature stability, the wall extension activity of Zea m1 (EXPB1) was the greatest at 30  $\degree$ C and significantly dropped at 50  $\degree$ C; the activity was lost at 60  $^{\circ}$ C (Li et al. [2003](#page-20-24)). By contrast, the plant expansin LeEXP2 retained 100% activity after 48 h at 50 °C, as measured by its ability to boost cellulase performance (Liu et al. [2014](#page-20-21)). Studies of microbial expansin-related proteins to date report similar temperature stability profles. For example, LOOS1 retained activity up to 8 h at 40  $^{\circ}$ C (Quiroz-Castañeda et al. [2011\)](#page-20-16), and *Bs*EXLX1 and *Tr*SWO recombinantly produced in *Pichia pastoris* retained more than 70% activity after 12 h at 70 °C, and  $\sim$  80% activity after 24 h at 50  $^{\circ}$ C (Wang et al. [2014\)](#page-21-14). On the other hand, when recombinantly produced in *Escherichia coli*, BsEXLX1 denatures after 30 min at temperatures over 50 °C, pointing to contributing factors from the production host, such as glycosylation, on the thermostability of BsEXLX1(Kim et al. [2013b](#page-19-16)).

Already after 15–60 min, several expansin-related proteins can weaken the mechanical strength of flter papers and other cellulose networks (Kim et al. [2009;](#page-19-19) Lee et al. [2010;](#page-19-17) Monschein et al. [2023](#page-20-18); Olarte-Lozano et al. [2014;](#page-20-20) Saloheimo et al. [2002\)](#page-20-19). Compared to studies that measure the strength of cellulose networks, impacts of expansin-related proteins on the enzymatic saccharifcation are often observed after several hours of incubation; however, as discussed above, such studies strongly depend on both the substrate and enzyme composition.

Besides operation temperature, pH and treatment time, substrate consistency and protein loading required to achieve desired substrate properties is critical to application cost. In general, the amount of expansin-related protein used per amount of cellulose substrate is lower when aiming to boost cellulolytic activity as compared to disrupting cellulose fber networks as observed by microscopy and rheometry (Table [3\)](#page-11-0). Moreover, tested substrate consistencies are typically less than 5% w/v (Table [3\)](#page-11-0). It is anticipated that protein loading can be decreased, and substrate consistency increased, with improved mixing and by integrating the expansin treatment with mechanical treatment of cellulosic substrates.

## **Prospective applications of expansin‑related proteins in cellulosic materials**

So far, applications of expansin-related proteins have largely focused on their potential to enhance the enzymatic saccharifcation of cellulosic substrates for the production of fuels and commodity chemicals. As summarized above (Table  $3$ ) and reviewed in (Liu et al. [2015](#page-20-22)), the potential of expansin-related proteins to boost the enzymatic deconstruction of cellulose depends on the enzyme system, enzyme loading, and substrate composition, pointing to a need for tailormade applications of expansins used for this purpose. By comparison, the potential application of expansinrelated proteins in the preparation of value-added cellulosic pulps has been underexplored. Given their non-lytic mode of action, expansin-related proteins ofer particular advantages for materials applications. An outlook of future application areas is summarized below.

## Biofuels

Enzymatic saccharifcation of lignocellulosic materials into monosaccharides and fermentation into bioethanol is hindered by their low accessibility and heterogeneous composition (Arantes and Saddler  $2010$ ). It is still a challenge to obtain sufficient conversion degrees of lignocellulosic biomass into



<span id="page-11-0"></span>**Table 3** Conditions used for the treatment of cellulosic substrates with expansin-related proteins



**Table 3** (continued)

Table 3 (continued)



Table 3 (continued)



nd not defined, Ttemperature. ttime *nd* not defned, *T*temperature. *t*time

monosaccharides and with a reasonable timescale. For industrially and economically reasonable process, the cellulose conversion should reach 80–90% (Senthilkumar and Gunasekaran [2005\)](#page-21-17). Enzymatic hydrolysis requires mixtures of diferent enzymes in large quantities which reduces the cost-efectiveness of the process (Arantes and Saddler [2010\)](#page-18-28). It has been estimated that 40- to 100-fold more enzyme(s) is required to produce an equivalent amount of ethanol from lignocellulose than from starch (Merino and Cherry [2007\)](#page-20-2). Several pilot and semi-industrial processes based on enzymatic saccharifcation have been launched for the production of bioethanol in EU and in US (Balan et al. [2013](#page-18-9); Bušić et al. [2018](#page-18-29); Bioenergy [2023\)](#page-18-11) but many of them have faced challenges related to the cost-efectiveness and runnability issues (Østby et al. [2020\)](#page-20-26). One possibility suggested to overcome this issue is an addition of small amount proteins that enhance the activity of enzymes, thus reducing the total enzyme loading and cost. The capability of expansins and expansin-related proteins to enhance enzymatic hydrolysis has been intensively studied and a synergistic efect has been observed with both cellulases and hemicellulases (Lee et al. [2013](#page-19-18)). The hydrolytic activity of enzymes has often increased 1.2–fvefold (Jäger et al. [2011;](#page-19-14) Lin et al. [2013](#page-20-25); Wang et al. [2014\)](#page-21-14) with simultaneous use of expansins and hydrolytic enzymes. However, industrial scale utilization of expansin-related proteins is not existing due to lack of production and expression of expansins in various hosts at high expression levels and in fnding a cost-efective purifcation and separation systems

(Kim et al.  $2014$ ). The mixing ratio of the protein and enzymes still need optimization in achieving low loadings of synergistic protein with high synergistic activity and it is still not clear whether the treatment should be carried out sequentially or simultaneously. Furthermore, the performance and robustness of the expansins, especially related to thermostability should be clarifed (Kim et al. [2014\)](#page-19-23).

## **Textiles**

The textile industry offers multiple possibilities for the utilization of enzymes and non-hydrolyzing proteins. Hydrolyzing enzymes, such as amylases, peroxidases, catalases and cellulases are used in different process stages including desizing, scouring, bleaching, dyeing, and fnishing treatments of fabrics (Mojsov [2011](#page-20-27); Kumar et al. [2021](#page-19-26)), Fig. [5.](#page-15-0) The aim of the scouring treatment is to remove the impurities such as fats, waxes, pectins and proteins from raw cotton (Mojsov [2011;](#page-20-27) Colombi et al. [2021](#page-18-30)). These substances are hydrophobic and cause negative impact on wettability and textile dyeing. Traditionally, the impurities have been removed by using hot aqueous sodium hydroxide, but nowadays also biochemical treatments using cocktails of pectinases and cellulases are used (Mojsov [2011;](#page-20-27) Colombi et al. [2021\)](#page-18-30). It is conceivable that expansins and expansinrelated proteins could enhance the removal of the impurities by swelling and opening the cotton structure and reducing the quantity of hydrolytic enzymes required for the scouring. On the other hand, sizing



<span id="page-15-0"></span>**Fig. 5** Informative process scheme for textile manufacturing, from fber production to retail, and potential and existing applications of enzymes/proteins

agents, such as starches and carboxymethyl celluloses are used to increase the strength and reduce the hairiness of the yarn before weaving. However, after the yarn has been weaved, the sizing agents are removed to improve the wettability and uniformity of the material. Desizing processes involve chemical or enzymatic treatments, which could be accelerated using expansins and expansin-related proteins. Likewise, bio-polishing treatments that improve the visual attractiveness of fabrics use cellulases to hydrolyze the microfbrils that protrude from the surface and to produce a smoother and glossy fabric surface. Denim washing or "bio-stonewashing" uses cellulases to loosen the indigo dye on the denim to give a special look, which could be assisted using expansin-related proteins. Alternatively, expansins could beneft fber dyeing by facilitating the penetration of the dye chemicals to the matrix, thus reducing their consumption. Beyond enhancing enzymes already used in textile manufacturing, an attractive opportunity is to develop expansin-related proteins for the fber production part (Fig. [5\)](#page-15-0), for instance by enhancing the swelling and dissolution of cellulosic fber prior to spinning. In this case, preferred expansin-related proteins would assist in controlling the degree of polymerization of cellulose fber, increase cellulose purity (e.g., by helping to remove hemicelluloses) or reduce the consumption of dissolving chemicals.

## Chemical modifcation

Chemical modifcation of cellulosic materials is one of the main tools in broadening its utilization into new applications (Ge et al. [2022\)](#page-18-31). Especially cellulose esters are nowadays having a renaissance because alternatives for fossil-based plastics are of great interest (Endres [2019](#page-18-32); Romão et al. [2022](#page-20-28)). Cellulose esters have high toughness, hardness, transparency, and clarity, and they can be produced with good color range. During the chemical modifcation, however, the rigid structure and insufficient accessibility of cellulose can lead to varying degrees of substitution and unpredictable properties (Kostag et al. [2019](#page-19-27)). At the same time, cellulose esters have poor moisture barrier properties and limited dimensional stability; moreover, many cellulose esters decompose below their softening temperature and therefore plasticizers are needed to bring the processing temperature below the polymer decomposition temperature (Molenveld

and Slaghek [2022\)](#page-20-29). To address these challenges, a possible role of expansin-related proteins would be to increase cellulose fber accessibility and facilitate the penetration of the necessary chemicals and plasticizers thus improving product reproducibility and increase production yield.

#### Nanocellulose preparation

Nanocelluloses i.e., nanofbrillated celluloses are prepared by mechanically delaminating fbers into smaller dimensions using microfuidizers, homogenizers and grinders (Lavoine et al. [2012](#page-19-28); Missoum et al. [2013\)](#page-20-9). However, the compact structure of lignocellulose hinders its fbrillation into nanocellulose which leads to huge energy consumption during the mechanical fbrillation step. Many diferent pre-treatment methods have been developed to overcome this issue. Fibrillation is facilitated by increasing the charge of the pulp and introducing repulsive efects that lead to increased swelling (Lavoine et al. [2012;](#page-19-28) Missoum et al. [2013](#page-20-9)). The addition of charged groups is achieved through chemical modifcation such as carboxymethylation or quaternization, which requires organic solvent (e.g. ethanol or isopropanol) and oxidizing chemicals some of which can be harmful (e.g., sodium metaperiodate or sodium chlorite) or else expensive (e.g., TEMPO-radical) (Lavoine et al. [2012](#page-19-28); Missoum et al. [2013](#page-20-9)). Enzymatic pre-treatments have also been used in combination with mechanical treatments (Henriksson et al. [2007;](#page-19-29) Pääkkö et al. [2007](#page-20-30); Tong et al. [2022\)](#page-21-18) to reduce energy consumption and are often seen as more environmentally friendly. Expansin-related proteins could possibly be used as pre-treatment or in combination with enzymatic hydrolysis to disrupt fber aggregation and loosen the fber structure, thus enhancing the fbrillation.

Delignifcation and bleaching of pulps for paper and packaging

Bleaching is one of the most important steps in the pulp and paper industry (Bajpai [2018a](#page-18-33)). Its aim is to remove residual lignin and resins to increase the brightness and improve cleanliness. Typically, the bleaching is carried out with aid of oxidizing agents, such as chlorine dioxide, oxygen, hydrogen peroxide or ozone in total chlorine free or elemental chlorine free processes. The challenge is that bleaching processes use hazardous chemicals, produces problem-atic effluents and are expensive (Bajpai et al. [2006](#page-18-34)). This has led to intensive research in fnding more environmentally friendly methods for bleaching. Enzyme-aided bleaching using xylanases has been observed to enhance the bleaching process by reducing the consumption of chemicals by 20–25% (Viikari et al. [1994;](#page-21-19) Bajpai et al. [2006\)](#page-18-34), however it cannot be used to fully replace the chemical bleaching because xylanases do not hydrolyze the lignin itself but the xylan network where part of the lignin-based compounds are trapped (Bajpai et al. [2006\)](#page-18-34). Xylanase treatments have been developed as pre-bleaching or bleach boosting processes. Lignin-oxidizing enzymes, such lignin peroxidases, manganese peroxidases and oxidoreductases such as laccases have been intensively studied as alternative biochemical methods to replace hazardous bleaching chemicals (Kareem [2020](#page-19-30)). Lignin oxidizing enzymes have an advantage of minimizing damage to cellulose fbers (e.g., reduced viscosity, strength properties, yield); accordingly, a worthwhile study would be to explore the potential of expansin-related proteins to boost the performance of lignin oxidizing enzymes.

#### **Conclusions**

Plant expansins and microbial expansin-related proteins display promising characteristics relevant to the activation of cellulosic materials. These proteins have been shown to weaken cellulose fiber networks, creating a loosening efect that is similar to chemical urea treatment for example. Certain expansin-related proteins also boost cellulose hydrolyzing enzymes. Whereas the impact of expansin-related proteins on enzyme action has largely been studied for the purpose of biofuel production, less explored opportunities include enhancing enzyme systems used in the production of textiles and nanocelluloses. Some application areas may beneft from the non-lytic action of expansin-related proteins and their function in aqueous media at mild temperature and pH conditions, and under atmospheric pressure. Other application areas which require the control of molecular weight distribution and degree of polymerization may benefit from the synergistic effect of expansin-related proteins in combination with other pre-treatment methods or chemicals. The current challenges are to transform the current lab scale understanding of expansin-related proteins into real application scale, and demonstrate a clear, technology-based, feasible application potential. Overcoming existing challenges and identifying the best suited expansin-related proteins for cellulose materials manufacturing should motivate future scale-up and generate industrial pull towards demonstration efforts.

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