



Antimicrobial finish of cotton fabrics treated by sophorolipids combined with 1,2,3,4-butanetetracarboxylic acid

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Received: 8 May 2019 / Accepted: 9 December 2019 / Published online: 3 January 2020
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Abstract Sophorolipids (SLs) are surface active glycolipids produced by nonpathogenic yeasts, and a combination of SLs with 1,2,3,4-butanetetracarboxylic acid (BTCA) was used as a new durable antimicrobial treatment method for cotton fabrics. The factors influencing the antibacterial property of the fabrics in the finishing process, such as the amount of SLs, treatment temperature, curing time, amount of BTCA, and sodium hypophosphite (SHP) were investigated. An optimized procedure for treating fabrics was two dips and two nips with a wet pickup of 90% in an aqueous solution containing 20 g/L of SHP, 40 g/L of

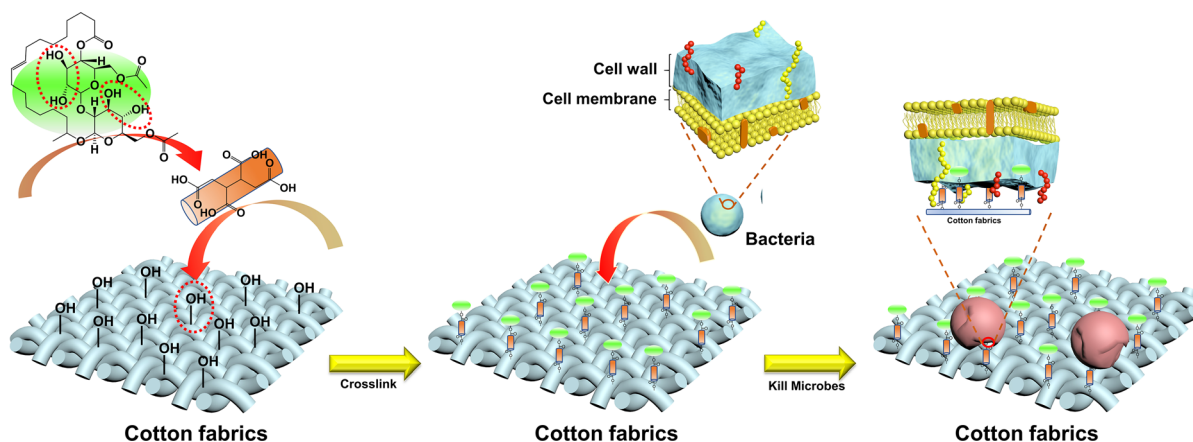
SLs and 90 g/L of BTCA, then drying at 100°C for 2 min and curing at 120°C for 2 min. As a result, 99% of the *S. aureus* in a concentration of 3.0×10^4 CFU/mL were killed by the treated fabrics in 1 h contact according to ASTM Standards E2149-10, and the functions are durable against washing. The mechanism of BTCA crosslinking lactonic sophorolipid onto cellulose was investigated by using computational chemistry and experimental methods. As a non-irritant surfactant, sophorolipids proved to be a potential natural antimicrobial agent for textiles or medical products.

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Graphic abstract



Keywords Sophorolipids · Eco-friendly · 1,2,3,4-Butanetetra-carboxylic acid · Antimicrobial agent · Cotton fabrics

Introduction

Nowadays, pathogenic microbes can survive on textile materials for days and months, which has become a source of transmission of diseases around the world due to wide applications of textiles in medical and institutional settings (Bockmühl et al. 2019; Metcalf and Lessler 2017; Olival et al. 2017; Angen et al. 2017). Therefore, antibacterial property, as a necessary and imperative function, should be incorporated onto textiles to reduce the transmission of disease effectively. Although various synthetic and natural biocides, such as triclosan, peptides, chitosan, silver nanoparticles, halamine, and quaternary ammonium salts, have been explored for producing antimicrobial textiles, potential toxicity, sensitization, and environmental safety concerns have put them under severe regulatory control (Ma et al. 2019; Si et al. 2018; Cheng et al. 2014; Kang et al. 2016; Smiechowicz et al. 2018). Furthermore, with the concern on environmental safety, natural antibacterial agents have been favored. Sophorolipids (SLs), a group of promising natural surface active glycolipids, also called biosurfactants, can be produced by fermentation of nonpathogenic yeasts and applied as antimicrobial agents in cosmetics, plant protection, bioremediation, medical and food industry (Sekhon et al. 2012;

Vaughn et al. 2014; Vrushali et al. 2014; Lourith and Kanlayavattanakul 2009; Borsanyioya et al. 2016; Paulino et al. 2016). However, there is little research on applications of SLs in the textile materials.

SLs are extracellular products produced by several yeast species mainly *Candida bombicola* (Spencer et al. 1970; Gorin et al. 1961; Gorin and Spencer 1968), consisting of a sophorose sugar head and a long chain hydrophobic fatty acid tail (Konishi et al. 2008; Van Bogaert et al. 2007). When the carboxyl group of the fatty acid moiety is esterified with the hydroxyl group at C4 in one glucose ring, a lactonic sophorose forms. An acidic sophorose structure forms when the carboxyl group is free. Both lactonic and acidic sophorose structures in SLs are shown in Fig. 1, which are biologically produced as a mixture in a ratio. However, the lactonic form possesses better antibacterial property than the acidic form (De Oliveira et al. 2015). Although the antimicrobial property of SLs is prominent, it is difficult to endow SLs with a durable effect on the fabrics.

In this article, the biosurfactant SLs, as a new antibacterial agent, were applied to treat the cotton fabrics combined with 1,2,3,4-butanetetra-carboxylic acid (BTCA) in a simple finishing process. The effect of treatment conditions on the antibacterial property and anti-crease property of the treated fabrics were investigated. Computational chemistry, attenuated total reflection-Fourier transform infrared (ATR-FTIR), scanning electron microscope (SEM) and liquid chromatography-mass spectrometry (LC-MS)

A = CFU per milliliter for the flask containing the treated substrate after the specified contact time, and B = CFU per milliliter for the flask containing the untreated substrate after the specified contact time.

Attenuated total reflection-Fourier transform infrared (ATR-FTIR)

ATR-FTIR spectra were obtained by using an attenuated total reflectance (ATR) accessory of PerkinElmer spectrometer. The treated fabrics were directly measured by the instrument in the range of 4000–400 cm^{-1} and at a resolution of 4 cm^{-1} .

LC-MS analysis

One gram of lactonic sophorolipid (LSL) was dispersed in 10 mL distilled water containing 0.05 g sodium silicate, then the liquid was stirred in a water bath at the temperature of 49 °C for 45 min after adjusting the pH value to 10 with hydrochloric acid to stimulate the situation of washing process. The liquid chromatography mass spectrometry (LC-MS) analysis of the solution was performed by a Shimadzu LC-MS-2020 (APCI/MS) instrument.

Scanning electron microscopy (SEM)

The surface morphology of the modified fabrics was observed by using a HITACHI/TM-1000 (Japan). Before testing, the samples were coated with gold.

Laundry test method

Laundry tests of the fabrics were conducted by using a Washtec-P A2 washing machine (Roaches International Ltd.) following the American Association of Textile Chemists and Colorists (AATCC) test method 61-2A, an accelerated procedure. One machine washing is equivalent to 5 household washings.

Wrinkle recovery angle (WRA)

WRAs of the treated fabrics were measured according to AATCC method 66-2010 with a wrinkle recovery tester. Before testing, the fabrics were stored in a conditioning room for 24 h to equilibrate the moisture of fabrics.

Tensile strength testing

The tensile strengths of unmodified and modified cotton fabrics were assessed following a universal material testing machine (H10K-S, Tinius Olsen) according to ASTM D5035 method

Computational modeling

All calculations were performed using a Gaussian 09 package (Frisch et al. 2009). The geometries of sophorolipids and glucopyranose rings were optimized at DFT-B3LYP/6-31G (d,p) level of theory with no solvation model. Based on these optimized results, a Multiwfn program was used to calculate the Hirshfeld charges of sophorolipids and glucopyranose rings (Cao et al. 2015; Hirshfeld 1977; Lu and Chen 2012).

Whiteness measurement

CIE whiteness index (WI) of the fabrics was measured on a Datacolor SF650 spectrophotometer (Datacolor, USA) according to ISO 105-J02: 1997 standard. Each sample was measured four times at various positions to give an average value. The average value of the three pieces of cotton fabrics was recorded.

Add-on of agents

After the cotton fabrics were treated with SLs, BTCA and SHP, the weight changes of fabric could be calculated by the following formula:

$$W\% = (W_2 - W_1)/W_1 \times 100 \quad (2)$$

W_1 (g) is the weight of original fabrics and the W_2 (g) is the weight of modified fabrics.

Results and discussion

Optimization of treatment

Amount of antibacterial agent

The antibacterial property of the treated fabric is determined by the amount of SLs incorporated, and the results are shown in Fig. 2. With the increase of the antibacterial agent concentration, the antibacterial

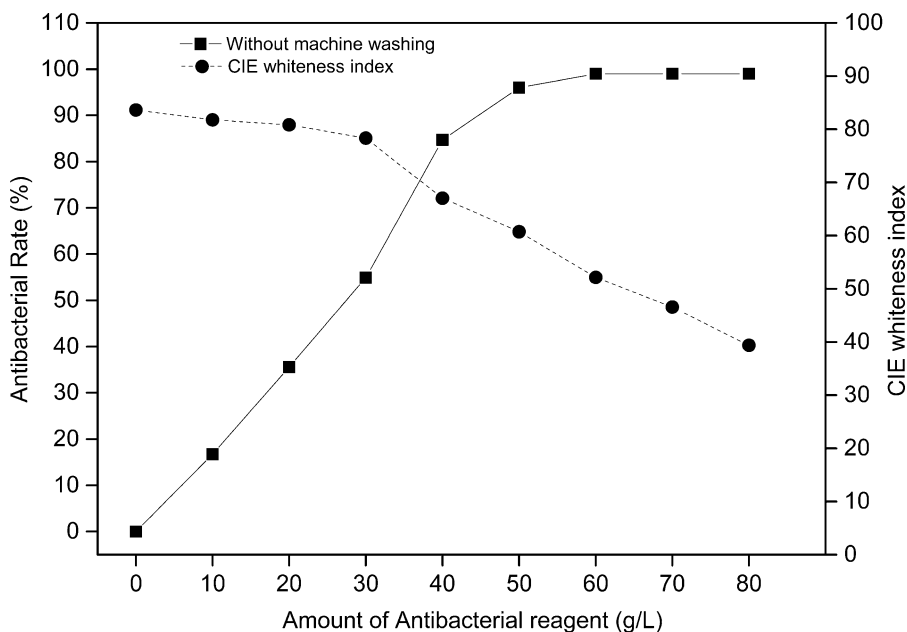


Fig. 2 Influence of the amount of antibacterial agent on antimicrobial property (against *S. aureus*) and whiteness of fabrics

property of the fabrics against *S. aureus* was improved dramatically. And the fabrics treated with 60 g/L of SLs demonstrated a complete kill to the 3.0×10^4 CFU/mL of the bacteria. However, the excessive amount of the antibacterial agent made the treated fabric yellow and sticky, and the CIE whiteness index of them was decreased significantly (~ 30 in CIE white index) compared with the untreated fabrics. Therefore, 40 g/L of SLs is considered as a suitable amount to treat the fabrics, balancing high antibacterial property with proper appearance of the treated fabrics.

Conditions of treatment

Because of the low chemical reactivity between SLs and cotton fabrics, most of SLs could be removed after one-time machine washing from the treated fabrics. To improve the antibacterial effect and durability of the agent, a polycarboxylic acid crosslinker, 1,2,3,4-butanetetracarboxylic acid (BTCA), together with sodium hypophosphite (SHP) as a catalyst, was combined with 40 g/L of SLs to treat the cotton fabrics. BTCA could serve as a crosslinker between cotton cellulose and sophorolipids and provide potential covalent connections. The reaction between BTCA and cellulose requires high temperature.

Therefore, the influence of the treatment temperature on the antibacterial property of the modified fabrics was investigated, and the results are shown in Fig. 3a.

The fabrics treated with the mixture of SLs, BTCA, and SHP demonstrated better antimicrobial functions than that of treated with only SLs. When the curing temperature reached 120°C, the antibacterial function of the unwashed fabric showed over 90% kill against *S. aureus*. However, further raising curing temperature was not beneficial either for keeping the tensile strength of the fabrics or for dramatically improving the durability of antimicrobial functions of the fabrics treated with SLs, BTCA, and SHP. Therefore, the curing temperature of 120°C was selected for the rest treatments.

When investigating the influence of curing time on the antimicrobial performance of the treated fabrics, the curing temperature was fixed at 120°C and other conditions were unchanged. As shown in Fig. 3b, prolonging curing time did not affect the antibacterial property and tensile strength of the treated fabrics significantly. No matter whether the fabrics were washed or not, the antibacterial rates of the fabrics were almost same with the results shown in Fig. 3a. Increasing curing time slightly decreased the tensile strength of the warp direction of the treated fabrics, with the tensile strength retention maintained above

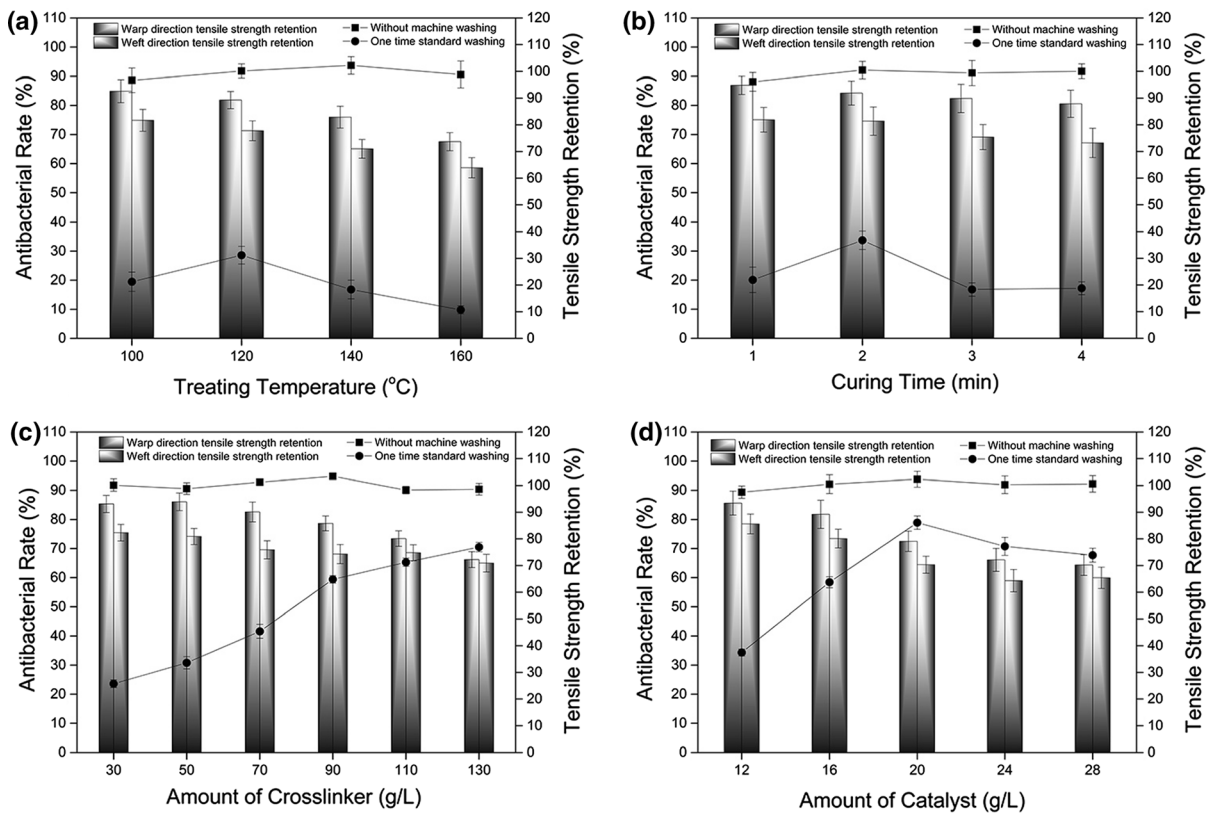


Fig. 3 Influence of treating conditions on the antimicrobial property against *S. aureus* and tensile strength of treated fabrics

90%. Therefore, 2 min was chosen as the curing time for the rest treatments.

The dosage of SHP and the crosslinker in the finishing process are two important factors that could influence crosslinking of SLs onto the cellulose and consequently affect the antibacterial property and durability of the treated fabrics. Here the treatment conditions were fixed at 120°C for 2 min with varying amounts of crosslinker in the finishing baths.

Comparing the results shown in Fig. 3c with that in Fig. 3a, b, the antimicrobial property of treated fabrics was not enhanced evidently after introducing more BTCA in the finishing bath, while the washed fabrics exhibited over 60% of antimicrobial rates when the concentrations of BTCA was above 90 g/L. However, the tensile strength losses of the treated fabrics continuously increased as BTCA concentration was raised. More SLs could be immobilized on the surface of fabrics by increasing the amount of BTCA, shown in Fig. 3c. However, it seems that BTCA prefers to react with cellulose rather than SLs, indicated by the fact that the increased losses of tensile strengths of the

treated fabrics mainly occurred in high concentrations of BTCA in the finishing baths. Thus, 90 g/L of BTCA was determined to serve for the following treatments.

The amount of SHP catalyst could affect the catalytic effect of esterification of BTCA with cellulose and SLs, also the antibacterial properties of the washed fabrics significantly, as shown in Fig. 3d. It is correlated with the amount of BTCA in the system, mostly in a molar ratio of SHP/BTCA = 0.25 – 0.5 (Yang and Bakshi 1996; Yang and Andrews 1991). The antimicrobial performance of the washed fabrics was improved sharply and then declined. According to the known reaction mechanism of the formation of anhydride of BTCA and esterification of the anhydride with cellulose, it seems that metal ions could only be involved in the step of the anhydride formation instead of the esterification (Ji et al. 2016a). The alkali metal ions assist the anhydride formation of BTCA, while the acid anions provided functions on the esterification step of the anhydride by assisting removal of the protons in the intermediate (Ji et al. 2016a; Ji et al. 2015). Then the resulted metal carboxylates could

influence the H-bond interactions between carboxylic acid groups within or between BTCA molecules and possibly improve the formation of the anhydride (Ji et al. 2016a, 2015). Therefore, a higher possibility of the reaction between BTCA and SLs was achieved at the ratio of SHP/BTCA = 0.49. Excessive SHP might accelerate the reaction between cellulose and BTCA instead of SLs and BTCA, which will reduce the grafted SLs on the treated fabrics. As a result, the washed fabrics demonstrated a tendency of declining antimicrobial functions.

It is obvious that the acid crosslinker could affect the antibacterial durability of the treated cotton fabrics. And an optimal condition of finishing process was determined as two dips and two nips fabrics with a wet pickup of 90% in an aqueous solution containing 20 g/L of SHP, 40 g/L of SLs and 90 g/L of BTCA. And then the fabric was dried at 100°C for 2 min and cured at 120°C for 2 min. At the same time, the weight gain of modified fabrics finished by this method is about 17%.

Antibacterial property and WRA of treated fabrics

The antibacterial properties of the fabrics treated with BTCA, SHP, and SLs by the optimal processing conditions are shown in Table 1. The fabrics treated with BTCA only also exhibited antimicrobial properties. Because residual carboxylic acid groups of BTCA on the surface of fabrics could disturb reproduction of

microbes, resulting very limited antimicrobial effect. The SLs modified fabrics could kill 99% *S. aureus* by contact. However, the functions of the treated fabrics showed a low kill against *E. coli*. Similar results were observed on other materials and referred as the difference of the cellular membranes of both bacteria (De Oliveira et al. 2015; Baek et al. 2003). Also, the antibacterial property of SLs sometimes depends on the cell wall structure of microbes, such as gram positive and negative bacteria.

Table 1 also shows the wrinkle recovery angles (WRA) of the modified cotton fabrics, which could be a result of BTCA directly reacting with cellulose only. The WRA of SLs and BTCA treated fabrics is lower than the cotton fabric modified with BTCA only, an indication of BTCA molecules reacting with both cellulose and SLs during the finishing process. In other words, some of the carboxyl groups consumed by SLs could reduce crosslinking reaction of BTCA with cellulose.

However, the antimicrobial property of the finished fabrics against laundering decreased dramatically. One reason might be that the ester bond in lactonic sophorolipids was hydrolyzed in alkaline washing conditions. The pH value of the standard detergent solution is about 10, making the hydrolysis of ester connections favored during the laundering process. Therefore, a liquid chromatography mass spectrometry (LC–MS) analysis was applied to investigate the

Table 1 The antibacterial property and WRA of treated fabrics

Samples	Antibacterial rate (%) and WRA					
	Alkaline detergent			Neutral detergent		
	<i>S. aureus</i> ^a	<i>E. coli</i> ^b	WRA	<i>S. aureus</i> ^a	<i>E. coli</i> ^b	WRA
C*	0	0	113 ± 1.7	0	0	113 ± 1.7
C* + B*	15	14	176 ± 2.7	14	13	176 ± 2.7
C* + B* + S*	99	83	163 ± 2.1	99	87	163 ± 2.1
C* + B* + S* + SW one time	85	74	156 ± 2.4	91	74	159 ± 3.1
C* + B* + S* + SW two times	78	59	143 ± 3.1	84	65	151 ± 2.4
C* + B* + S* + SW three times	46	26	131 ± 4.2	78	57	148 ± 2.0
C* + B* + S* + SW four times	21	7	129 ± 3.0	69	47	142 ± 3.2

C* Cotton, B* BTCA, S* SLs, SW Standard washing

^aInoculum was 3.2×10^4 CFU/mL

^bInoculum was 3.1×10^4 CFU/mL

hydrolysis property of sophorolipids, and the result is shown in Fig. 4

In Fig. 4, a mass to charge ratio (m/z) at 687 (M-1) in the mass spectrum (A) revealed a molecular mass of pure LSL at 688 (Daverey and Pakshirajan 2010) before washing. The mass spectrum (B) revealed that the LSL was hydrolyzed at the pH value of 10. Because the major peak (m/z 621 M-1) fits with the mass of the hydrolyzed LSL molecule shown in Fig. 4b, it indicates that not only the ester bond but also the two acetyl groups in the LSL structure were hydrolyzed. At the same time, the ions m/z 459 and m/z 297 may be assigned to the fragments showed in Fig. 4b (Dengle-Pulate et al. 2014; Shah et al. 2007; Davila et al. 1993, 1997; Chen et al. 2014; Wadekar et al. 2012). The ionization method in the mass

spectrometer is pressure chemical ionization (APCI), which is considered as a “soft ionization” source inducing preferentially the formation of the de-protonated or protonated molecule without fragmentation (Souverain et al. 2004). Therefore, less fragmentation of the bio-molecules was observed in the MS spectrum of hydrolyzed LSL.

Hydrolysis of the ester bonds connecting between BTCA, cotton fabrics and SLs are also highly vulnerable to be hydrolyzed in alkaline laundering conditions. With increasing washing times, both the antibacterial property and the WRA of the treated fabrics decrease, as shown in Table 1, indicating that hydrolysis of ester bonds from the fabrics is a main cause of low washing durability.

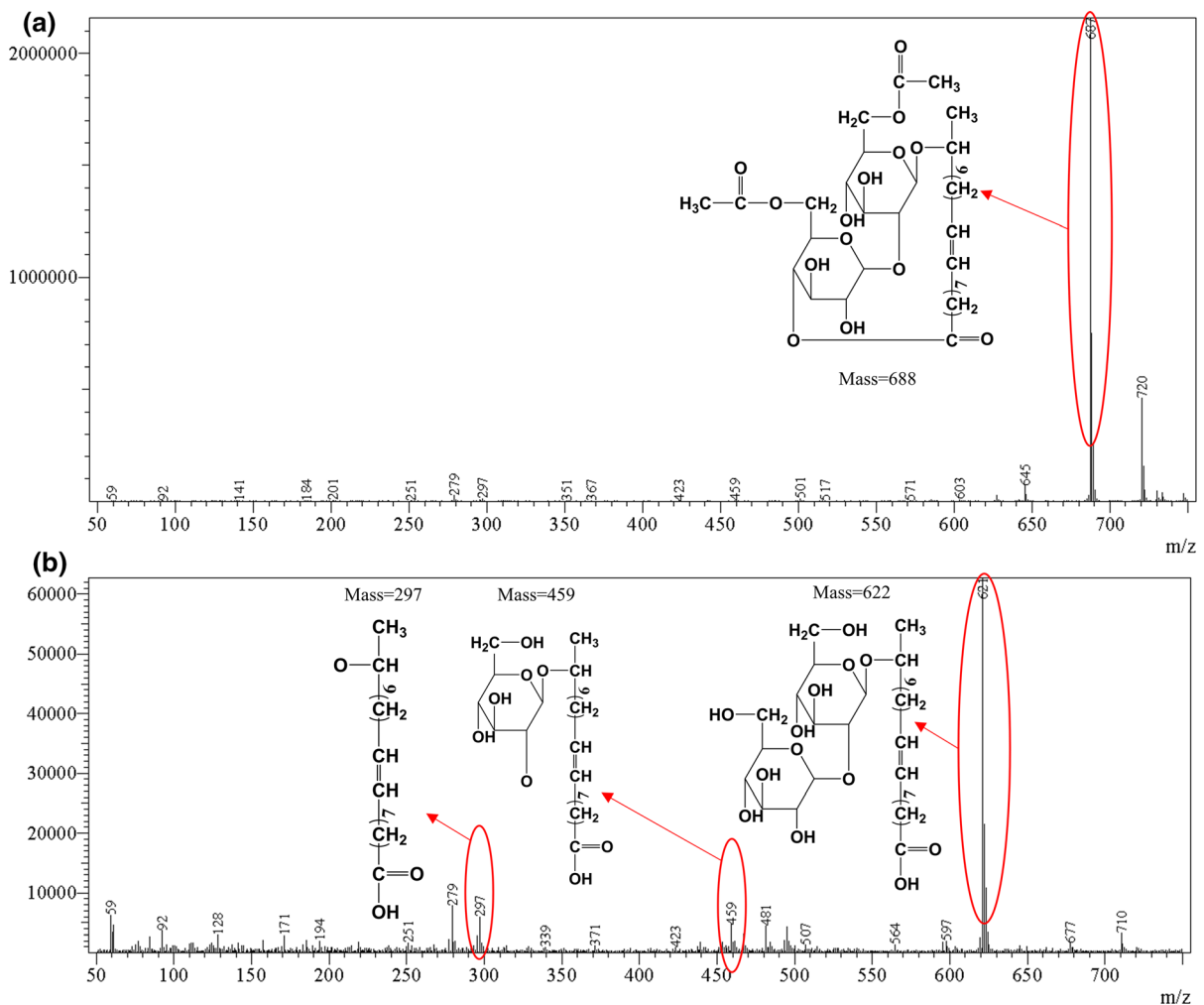


Fig. 4 MS spectrum

Replacing the alkaline detergent with a neutral detergent in the laundering process could improve the washing durability of the modified fabrics, according to the above analysis (Table 1). The reduction of antibacterial rate and WRAs declined significantly, due to reduced hydrolysis of ester bond connections. However, hydrolysis reaction was only reduced but not completely prevented.

Several structural factors of SLs may also attribute to the low durability of the resulted antimicrobial functions, including steric hindrance and intermolecular interactions. Among the SLs molecules, the configurations of SLs are not regular and stable enough. When one SLs molecule is crosslinked with BTCA, the reactive site of another molecule with BTCA might be blocked. Another reason is that when one or two carboxyl groups of BTCA react with

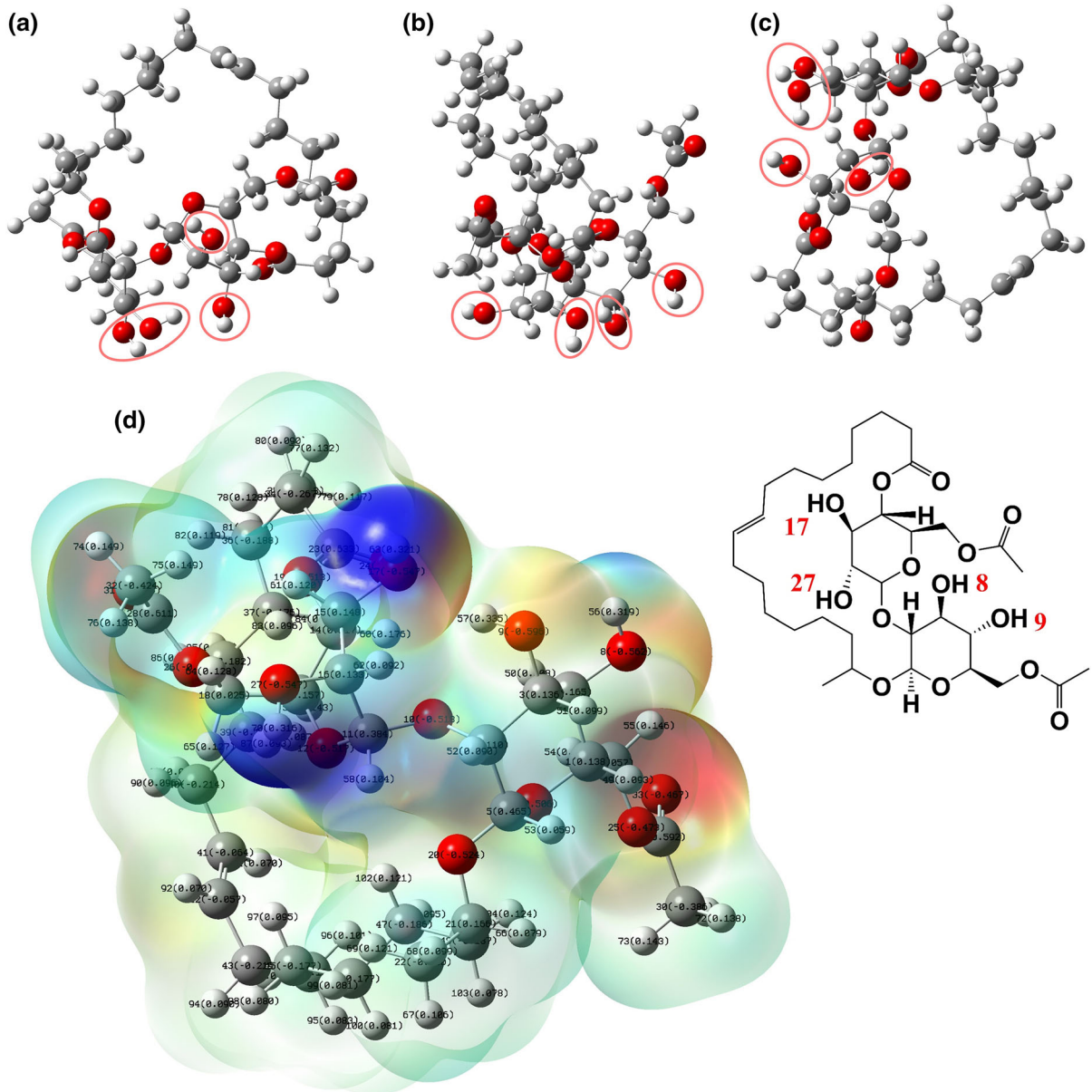


Fig. 5 Configurations of lactonic sophorolipid (LSL) (A–D)

hydroxyl groups of SLs, the chemical activity of rest carboxyl groups in BTCA might be impaired.

Potential mechanism of crosslinking among SLs, BTCA, and cellulose

Gaussian calculations

There are two types of chemical structures in the agent SLs, the lactonic form and the acidic form. Generally, the lactonic form was the main antibacterial ingredient. Then we tried to estimate the chemical reactivity

Table 2 Hirshfeld charges of atoms in lactonic sophorolipid

Atom	Hirshfeld charge of atoms
8-O	− 0.232638
9-O	− 0.244554
17-O	− 0.188017
27-O	− 0.219558

of hydroxyl in LSL by a computational modeling program, and the results are shown in Fig. 5 and Table 2.

Generally speaking, the reaction between BTCA and cellulose should undergo two steps, formation of anhydride and esterification of anhydride with cellulose (Gu and Yang 1998; Yang 1991; Yang and Wang 1998). The glucopyranose rings in the structure of SLs are similar to the structure of cellulose. Therefore, BTCA could react with both SLs and cellulose to form the crosslinked structure. The configurations of LSL in lowest energy are shown in Fig. 5. The LSL could keep its loop structure, and the long alkyl chain is tangled a bit, as shown in Fig. 5a–c from different view angle. All hydroxyl groups on the glucopyranose rings in the LSL (circled in red in Fig. 5a–c) are in the periphery positions of LSL molecules, which improves relatively higher reaction probability because of low steric hindrance. Moreover, the electrostatic potential maps and the numbers of every atoms in the LSL molecule are generated from

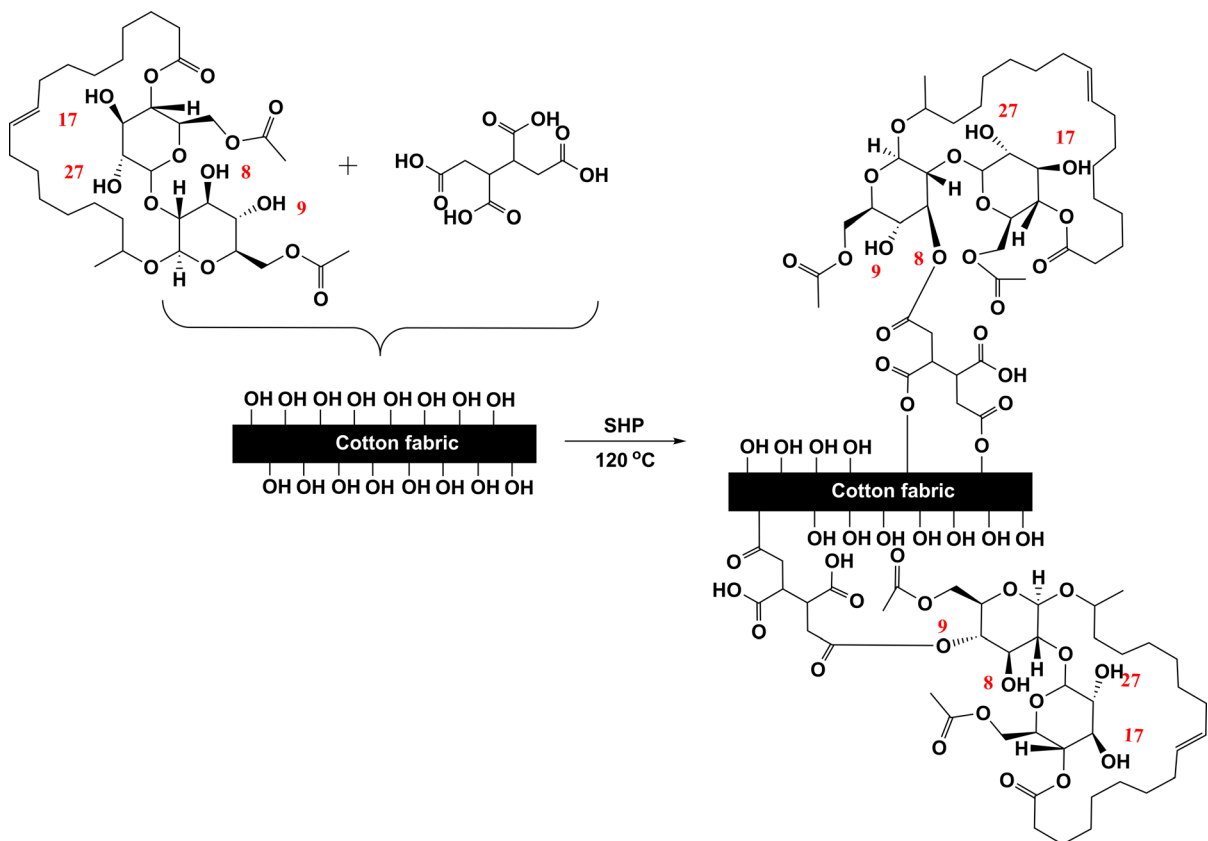


Fig. 6 A possible chemical reaction process

GaussView in Fig. 5d. The color changes from blue to red mean the electrostatic potential of atoms changes from positive to negative. In LSL molecule, the electrostatic potentials of 8-O (-0.562) and 9-O (-0.596) atoms (yellow or red) are more negatively charged than 17-O (-0.547) and 27-O (-0.547) in hydroxyl groups, which illustrated the stronger electron-donating ability and higher nucleophilic reaction possibility towards carbonyl groups in BTCA anhydride.

At the same time, the Hirshfeld charges of O atoms in these four hydroxyl groups were calculated by Gaussian 09 W and are showed in Table 2. The 9-O with the Hirshfeld charge of -0.244554 could be the

most likely one to react with BTCA. As we know, the hydroxyl group on C6 in the glucopyranose ring has higher chemical activity compared to other hydroxyls on C2 and C3 positions (Klemm et al. 2005; Luo et al. 2015; Kamide 2005). As well, the Hirshfeld charge of C6 in the glucopyranose rings of cellulose is -0.246754 , also competitive in reacting with BTCA anhydride. A possible chemical reaction is shown in Fig. 6.

ATR-FTIR and morphology analysis

To further determine whether SLs were fixed to the cotton fabrics by BTCA, the fabrics treated with the

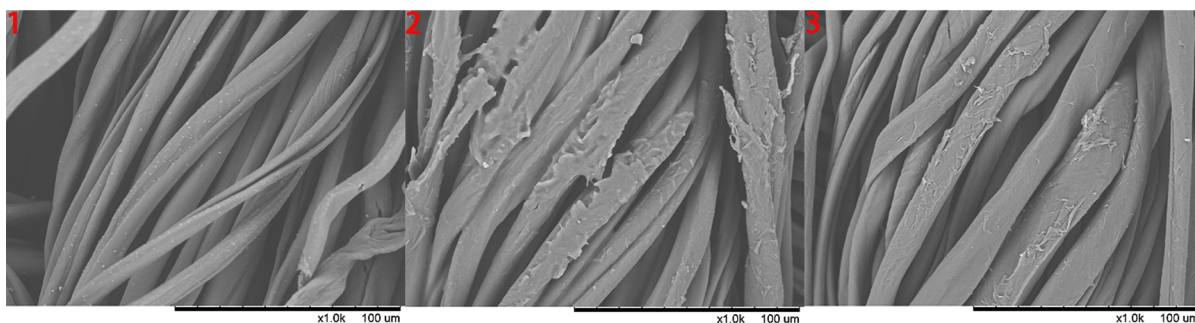
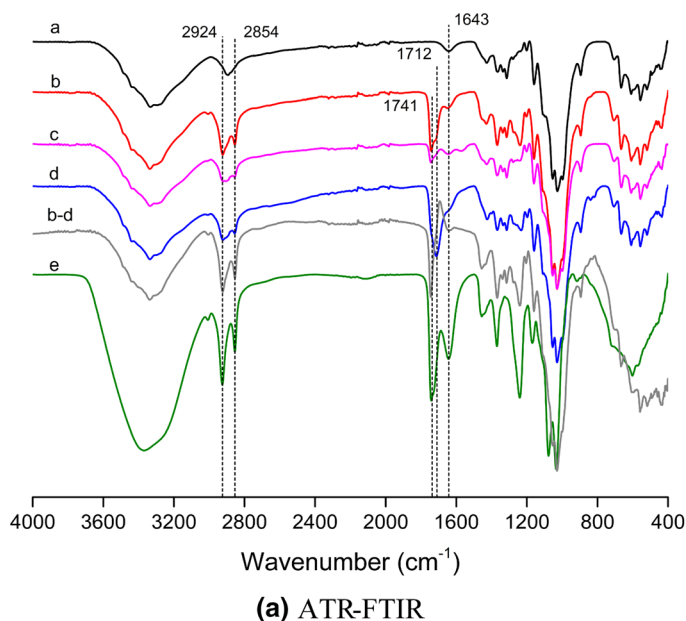


Fig. 7 **A** ATR-FTIR spectra: (a) cotton fabric, (b) antibacterial fabric, (c) one time machine washed fabric, (d) BTCA modified fabric, (b–d) difference spectrum, subtracting (d) from (b),

(e) SLs; **B** SEM images of antibacterial fabrics: (1) control sample, (2) treated with BTCA and SLs, (3) after one-time standard washing using alkali detergent

optimum finishing process were analyzed by ATR-FTIR spectroscopy. As shown in Fig. 7A, the peaks at 2924 cm^{-1} and 2854 cm^{-1} are due to the asymmetrical stretching and symmetrical stretching of methylene groups (Daverey and Pakshirajan 2009, 2010; Wadekar et al. 2012; Hu and Ju 2001; Ji et al. 2016a). And the peak at 1741 cm^{-1} and 1712 cm^{-1} are attributed to the carbonyl group in ester and acid forms, respectively (Ji et al. 2016a, b, 2015). Therefore, the ester bonds generated among BTCA, cellulose, and SLs could be compared by comparing related peaks in FTIR spectra of (a), (b) and (d). These peaks also can be compared with the one of after one-time washing circle (c) and a difference spectrum of subtracting spectrum d from spectrum b (b–d). The results can confirm that the chemical reaction between the BTCA, fabrics, and SLs happened. In addition, the band at 1643 cm^{-1} was observed due to stretching of the unsaturated C=C bonds in the SLs molecule (e) (Daverey and Pakshirajan 2010). Although the band also can be found in pristine fabric (a), it might be caused by the water (Chung et al. 2004), the subtracted spectrum b–d eliminated the influence of water peak at 1643 cm^{-1} showed in spectrum (a).

Surface morphologic changes of the fabrics were observed, shown in Fig. 7B. The surfaces of the antibacterial fabrics seemed to be rougher, indicating that the agent grafted on the surface (B2). In contrast, the untreated cotton fabrics looked smooth and neat (B1), but with some dust particles on it. It appears that SLs were combined with fabrics depending on the crosslinking of BTCA. But after machine washing, parts of SLs were detached from the fabrics because of the hydrolyzation effects.

Conclusion

The durable antimicrobial treating processes and conditions for using sophorolipids and BTCA in treatments of cotton fabrics were investigated, and the influence of curing time, curing temperature, amounts of crosslinker and catalyst on the antibacterial property and tensile strength were discussed. An optimized treatment condition of cotton fabrics was found as two dips and two nips with a wet pickup of 90% in an aqueous solution containing 20 g/L of catalyst, 40 g/L of SLs and 90 g/L of BTCA, and the treated fabrics were dried at 100°C for 2 min, and

cured at 120°C for 2 min. The treated cotton fabrics showed better antimicrobial properties against gram-positive bacterium than gram-negative one, and crosslinked SLs on the fabrics with the use of BTCA showed improved washing durability. Hydrolysis of SLs in alkaline washing condition was a main cause of reduced washing durability. Replacing the alkaline detergent with a neutral detergent could improve the durability of antibacterial fabrics. The crosslinking reactions between BTCA with cotton cellulose and SLs were confirmed by using instrumental and supported by computational analyses.

Acknowledgments The authors will thank Dr. Shiyuan Hu and Dr. Tiantian Xie of EVONIK Greater China (EVONIK Industries AG) for providing the antibacterial agents.

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