ORIGINAL RESEARCH



# Composite up-conversion luminescent films containing a nanocellulose and SrF<sub>2</sub>:Ho particles

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Received: 19 July 2018/Accepted: 10 December 2018/Published online: 8 January 2019 © Springer Nature B.V. 2019

**Abstract** The synthesis of up-conversion luminescent composite films based on a nanocellulose matrix containing  $Sr_{1-x}Ho_xF_{2+x}$  particles was proposed. The combination of sulfuric acid hydrolysis and ultrasonication allowed us to synthesize a series of stable nanocellulose dispersions from various raw materials (powdered sulphate bleached wood pulp, Blue Ribbon filter paper, and microcrystalline cellulose Avicel). The size distribution of cellulose nanoparticles in the aqueous dispersions was

**Electronic supplementary material** The online version of this article (https://doi.org/10.1007/s10570-018-2194-4) contains supplementary material, which is available to authorized users.

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A. D. Yapryntsev · V. K. Ivanov Kurnakov Institute of General and Inorganic Chemistry, Leninskii Prospect 31, Moscow, Russia 119991 determined. Cellulose nanocrystals (CNC) and/or cellulose nanofibrils (CNF) dispersions were used to fabricate thin films by solution casting followed by solvent evaporation under ambient conditions. The size and shape of cellulose nanoparticles, surface morphology, crystallinity index of nanocellulose, polymerization degree, and optical properties were studied. By mixing aqueous dispersions of CNC with up-conversion  $Sr_{1-x}Ho_xF_{2+x}$  particles, homogeneous suspensions were obtained. Finally, a solution casting technique was used to prepare CNC/Sr<sub>1-x</sub>Ho<sub>x</sub>F<sub>2+x</sub> and CNC/CNF/Sr<sub>1-x</sub>Ho<sub>x</sub>F<sub>2+x</sub> nanocomposite films. CNC/CNF dispersions were utilized for the production of flexible, durable, transparent composite films. The synthesized nanocomposites demonstrated intense red luminescence upon Ho<sup>3+</sup> excitation by 1912 nm laser radiation. The obtained up-conversion luminescent composite films can be considered as a promising material for photonics, in particular for near-IR laser radiation visualization, luminescent labeling and luminescent sensorics.

## **Graphical abstract**



 $\label{eq:cellulose} \begin{array}{ll} \mbox{Keywords} & Cellulose nanocrystals \cdot Cellulose nanofibrils \cdot Nanocomposites \cdot SrF_2: Ho^{3+} \cdot Up- conversion luminescent films \end{array}$ 

## Introduction

Luminescent nanocomposites are becoming increasingly important for sensing (Zor 2018; Magyar et al. 2014; Sedov et al. 2017; Zor et al. 2018; Vicente et al. 2018) and biosensing platforms (Golmohammadi et al. 2017; Morales-Narváez et al. 2015; Dong and Roman 2007; Lin and Dufresne 2014). The use of cellulose as a matrix material has led to significantly decreased costs for sensing systems, making them easy-to-use, cheap, fast and disposable. Cellulose-based nanocomposites are used for medical diagnostics, monitoring of environmental pollution and food analysis (Li et al. 2017; Golmohammadi et al. 2017). For example, they are capable of analyzing microliter-sized liquid samples (Morales-Narváez et al. 2015; Zor 2018; Zor et al. 2018) and to measure extremely low analyte



concentrations. Various substances and materials were used as luminescent labels for nanocellulose functionalization, namely Ag and Au nanoparticles (Zor et al. 2018; Querejeta-Fernandez et al. 2015; Querejeta-Fernández et al. 2014), quantum dots (Junka et al. 2014; Xue et al. 2015; Hassan et al. 2014; Zor et al. 2018), NaYF<sub>4</sub>:Yb:Er particles with various coatings (Morales-Narváez et al. 2015; Zhao et al. 2014; Nguyen et al. 2017; Jiang et al. 2016), different organic molecules (Abbasi-Moayed et al. 2018; Dong and Roman 2007; Xue et al. 2018; Zhang et al. 2017; Lin and Dufresne 2014) and metal oxides (Nedielko et al. 2018; Shopsowitz et al. 2012; Chu et al. 2014, 2015; Zhang et al. 2018).

One of the most widely studied porous matrices for nanocomposites fabrication is nanocellulose, which possesses such advantageous properties as renewability, low toxicity, biocompatibility, biodegradability, good mechanical characteristics, pseudoplasticity, low density, good optical properties and surface reactivity (Abitbol et al. 2016). The primary building blocks of the supramolecular cellulose structure are long and thin nanosized filaments (fibrils) of 3–10 nm diameter (Klemm et al. 2005). Nanocellulose fibrils contain highly ordered crystalline and amorphous regions, the size of crystalline regions depending on the origin of cellulose (Siro and Plackett 2010; Habibi et al. 2010). This unique cellulose structure allows separation of cellulose nanofibrils (CNF) or cellulose nanocrystals (CNC) by mechanical or chemical treatment.

The preparation and properties of nanocellulose have been considered in numerous review papers (Klemm et al. 2011; Habibi 2014; Jonoobil et al. 2015; Moon et al. 2016; Mondal 2017). CNF are typically prepared from aqueous dispersions of the original pulp by mechanical treatment, e.g. by using high-pressure homogenizers or high-intensity ultrasonicators (Eyholzer et al. 2010). Chemical or enzymatic pretreatment of cellulosic fibers is carried out to improve their dispersability (Chen et al. 2011). This type of pretreatment allows production of CNF having a diameter of about 4-10 nm (Naderi 2017). The hydrolysis process can be initiated by various reagents including hydrochloric acid (Araki et al. 2000), nitric acid (Liu et al. 2010; Cao et al. 2015), hydrochloric acid and TEMPO (Montanar et al. 2005), phosphoric acid (Espinosa et al. 2013), sulfuric acid (Martins et al. 2011; Elazzouzi-Hafraoui et al. 2008; Kim et al. 2016; Al-Dulaimi and Wanrosli 2017), tungstophosphoric acid (Hamid et al. 2016), acidic deep eutectic solvents (Sirviö et al. 2016). The hydrolysis conditions (duration, reagent concentration, temperature, cellulose source) affect the yield, morphology, diameter to length ratio, polydispersity, crystallinity index and surface charge of individual CNC (Neto et al. 2016; Beck-Candanedo et al. 2005). Controlled hydrolysis by sulfuric acid, unlike other acids, allows the sulfate groups to be grafted onto the surface of cellulose crystallites. The stability of dispersion is mainly based upon the electrostatic repulsion between negatively charged particles (Abitbol et al. 2013; Sun et al. 2016; Grishkewich et al. 2017; Niu et al. 2017).

One of the main features of cellulose is the presence of three free hydroxyls (OH<sup>-</sup>) groups per each monomer unit which result in the highly reactive surface. As a result of hydrogen bond formation, cellulose chains are assembled into highly ordered structures (Abitbol et al. 2016; Nishiyama et al. 2002; Salas et al. 2014; Giese et al. 2015). In aqueous suspensions, cellulose nanocrystals behave as lyotropic liquid crystals with chiral nematic phase forming above the critical concentration. This structuring can be preserved in a continuous film and cause an intense rainbow coloring (Parker et al. 2017). The ability of CNC suspensions to self-assembly to form spiral structures as well as the conservation of chiral ordering in CNC films after solvent removal determines the enormous potential for CNC in new optical material development (Ličen et al. 2016; Mu and Gray 2014; Haywood and Davis 2017; Bumbudsanpharoke et al. 2018).

CNC thin films are one-dimensional photonic crystals (Wilts et al. 2017; Giese et al. 2015). Advanced photonic materials are commonly prepared using templates ordered by a molecular self-assembly. Subsequent removal of the template allows one to obtain ordered structures with tunable spectral properties. Using CNC with a chiral nematic ordering as the templates affords fabrication of various optical devices, such as filters, sensors and optoelectronic devices (Giese et al. 2015). Selective removal of CNC template permits the formation of mesoporous carbon with a large specific surface area, large pore volume and a chiral nematic structure (Shopsowitz et al. 2011). Mesoporous silicon with metal nanoparticles embedded in the pores (Kelly et al. 2012), mesoporous TiO<sub>2</sub> (Shopsowitz et al. 2012),  $ZrO_2$  and  $ZrO_2/Eu^{3+}$ chiral nematic films (Chu et al. 2014, 2015), ZnS and CdS quantum dots (Hassan et al. 2014) were also synthesized by this approach.

Optical transparency and good mechanical properties of CNF films make them promising for photonics (Lavoine et al. 2012; Qing et al. 2015; Shanmugam et al. 2018; Miao et al. 2015). Similar to CNC films, CNF films can incorporate quantum dots, organic molecules and metal nanoparticles with the formation of hybrid materials (Querejeta-Fernández et al. 2014, 2015; Junka et al. 2014; Xue et al. 2015; Zhao et al. 2014; Miao et al. 2015; Xue et al. 2018, Zor et al. 2018; Zhang et al. 2017, 2018). CNF nano-paper has a strictly hierarchical structure, containing entangled nanofibrils, which are sub-structured in crystalline and amorphous domains. The influence of various interrelated parameters such as the crystallinity index, diameter to length ratio, the degree of polymerization, the colloidal stability and film formation, integration with various counterions and polymers for fibrils properties was discussed by Benítez and Walther (2017). Ji et al. (2017) for obtained transparent and flexible CNF films with high dielectric constant by Ag nanofibers incorporation. Wu et al. (2014) prepared

high-strength transparent films containing CNF and synthetic saponite nanoparticles. A facile method for highly transparent luminescent nano-paper preparation containing Eu, Sm, Tb lanthanide complexes and TEMPO-oxidized CNF was developed. Based on simple procedures, highly transparent CNF nanopaper was produced containing lanthanide complexes with high thermal stability and excellent luminescent properties (Miao et al. 2015). Chu et al. (2015) obtained chiral nematic luminescent films by incorporating YVO<sub>4</sub>:Eu<sup>3+</sup> nanoparticles into CNC. Starting from nanocellulose, lightweight, elastic, thin and relatively cheap materials with sufficient mechanical strength can be produced for organic light-emitting diodes, flexible displays, photodetectors, organic solar cells and sensors (Abitbol et al. 2016; Grishkewich et al. 2017). Recently, CNC has been used as a matrix for chiral up-conversion luminescent film preparation containing NaYF<sub>4</sub>:Yb, Er hexagonal nanorods. This can be judged as a new route for the development of environmentally friendly photonic bioplastics (Nguyen et al. 2017).

The up-conversion phenomenon allows one to transfer low-energy radiation pumping from the near infrared region to the visible spectral range. Generally, highly efficient luminophores are substances with low crystal lattice phonon energies, for example, metal fluorides. Non-stoichiometric inorganic fluoride phases containing rare-earth elements have attracted great scientific interest (Fedorov et al. 2011; Haase and Schäfer 2011). These up-conversion luminophores are actively studied in the form of crystalline powders (Auzel 2004; Yagoub et al. 2014, 2015), glass ceramics (Fedorov et al. 2015), and optical ceramics (Rozhnova et al. 2016). Special attention has been given to the NaYF4:Yb:Er hexagonal phase, which exhibits intense up-conversion luminescence with good quantum yields (Gu et al. 2013; Zeng et al. 2005). The highest quantum yield (10.5% at 35 W/ cm<sup>2</sup> pumping) of up-conversion luminescence has been registered for NaYF<sub>4</sub>:21.4%Yb<sup>3+</sup>, 2.2%  $\mathrm{Er}^{3+}$ hexagonal phase with a micron particle size (Kaiser et al. 2017). Much less attention has been paid to upconversion materials based on calcium fluoride and strontium fluoride doped rare-earth elements. The mechanism of up-conversion luminescence in CaF2:-Ho<sup>3+</sup> single crystals and laser ceramics was recently reported (Lyapin et al. 2015). A method for near-IR laser radiation visualization using Ca<sub>1-x</sub>Ho<sub>x</sub>F<sub>2+x</sub> powders was also recently proposed (Lyapin et al. 2017). SrF<sub>2</sub> is a more suitable matrix for optical applications than CaF<sub>2</sub> due to even lower phonon energies and suppression of non-radiative relaxation (Ritter et al. 2016). High-efficiency up-conversion phosphors based on SrF<sub>2</sub>:Yb:Er:Tm (Rozhnova et al. 2014; Pak et al. 2017), SrF<sub>2</sub>:Yb:Er (Rozhnova et al. 2016; Kuznetsov et al. 2018) and SrF<sub>2</sub>:Er:Ho (Lyapin et al. 2017) were proposed recently. Nanocellulose matrix-based composite materials with SrF<sub>2</sub>:Ho upconversion particles are promising luminescent materials for infrared radiation imaging in medicine, lighting, and laser spectroscopy. Thus, it is important to develop simple and affordable synthetic methods for the luminescent composite nanocellulose-containing materials having uniformly distributed components and tunable properties. Thus, the aim of this paper is the synthesis and investigation of nanocrystalline or nano-fibrillated cellulose-based polymerinorganic composite films containing SrF2:Ho upconversion particles.

### **Experimental section**

## Materials

Microcrystalline cellulose (Avicel PC-105 from FMC BioPolymer Corporation, Philadelphia, USA), powdered bleached woodkraft cellulose (PCC-0.25 (PC) from Polycell, Vladimir, Russia), filter paper "Blue Ribbon" (FP), 98% sulfuric acid, double distilled water, regenerated cellulose dialysis tubing with 12–14 kDa molecular weight cut-off from Orange Scientific (Graignette Business Park, Braine-l'Alleud, Belgium) and  $Sr_{1-x}Ho_xF_{2+x}$  (x = 0.08 and 0.10) upconversion powders prepared by recently proposed technique (Fedorov et al. 2017) were used as starting materials. The characteristics of the Avicel, PC and FP cellulose samples are given in Table 1.

Preparation of cellulose nanocrystals (protocol #1)

Initial PC and FP cellulose samples were mixed with double distilled water (cellulose: $H_2O$  ratio = 1:10 g/g) in a lab glass. FP sample was preliminarily shredded to small pieces (about 2 × 2 mm). The prepared suspension was placed in an ice bath. Concentrated sulfuric acid (cellulose: $H_2SO_4$  ratio = 1:25 g/g) was

Initial cellulose sample	Particle size (SEM) (µm)		Cellulose structure	IC (%)	DP	Decomposition temperature
	Width	Length				$[T_{onset}/T_{endset}/(^{\circ}C)]$
Avicel	3–10	10–40	Ιβ	78.5	197	291/543
PC	25-50	230-500	Ιβ	69.2	930	300/491
FP	25-50	200-1500	Ιβ	68.7	1112	300/486

Table 1 The properties of initial cellulose samples (see also Figs. S1, S2, S3 in Supplementary Information for details)

IC crystallinity index, DP degree of polymerization

added dropwise to the cellulose suspensions under mechanical stirring until acid concentration reached approximately 65 wt%. Then, the resulting suspension was placed in a water bath at 47 °C for 60 min under 350 rpm stirring. Hydrolysis was terminated by addition of 10-fold excess of cold double distilled water. The hydrolyzed suspension was washed with double distilled water and repeatedly centrifuged (Eppendorf 5804 centrifuge, 8000 rpm, 10 min). The supernatant was separated from the precipitate and then a new portion of water was added. After 4-5 centrifugation cycles, washing procedure was terminated at pH = 4. The resulting cellulose gel was dispersed in double distilled water and then placed into a dialysis bag and dialyzed for 7 days. The diluted suspension was further dialyzed to remove residual acid and other low molecular weight materials until a pH of 5.5 was reached. After dialysis, the dispersion was sonicated for 15 min (UZG13-01/22 sonicator, 110 W. VNIITVCH) in an ice bath. The yield of CNC was about 30%.

Aq. 65% sulfuric acid was added to the Avicel powder with subsequent stirring at 47 °C for 30 min (Avicel:H<sub>2</sub>SO<sub>4</sub> ratio = 1:25 g/g). The next steps were the same as described above. The yield of CNC was  $\sim 30\%$  in this case.

The resulting CNC from various cellulose samples are hereafter designated as  $CNC_{PC}$ ,  $CNC_{FP}$ ,  $CNC_{AV}$ .

#### Preparation of cellulose nanofibrils (protocol #2)

CNF samples were obtained by combining preliminary chemical treatment of initial cellulose samples with long-term ultrasonication. 50% sulfuric acid was added to Avicel or PC sample under stirring (Avicel:H<sub>2</sub>SO<sub>4</sub> ratio = 1:12 g/g, PC:H<sub>2</sub>SO<sub>4</sub> ratio =

1:13 g/g) at 45 °C for 60 min. FP was preliminarily shredded into small pieces ( $\sim 2 \times 2$  mm). FP sample was then mixed with double distilled water (FP:H<sub>2</sub>O ratio = 1:10 g/g). Concentrated sulfuric acid (FP:H<sub>2-</sub>  $SO_4$  ratio = 1:13 g/g) was added dropwise under stirring to the slurry placed in an ice bath. The resulting suspension was placed in a water bath at 45 °C for 60 min (350 rpm). The hydrolysis was terminated by adding a large amount of cold double distilled water (10 times the volume of the suspension). The suspension was then washed with double distilled water and repeatedly centrifuged (10 min at 8000 rpm, an Eppendorf 5804 centrifuge). The supernatant was separated from the precipitate and then a new portion of water was added. After 4-5 centrifugation cycles, washing was terminated at pH 4. The aqueous suspension was then sonicated in an ice bath 30 min (UZG13-01/22 sonicator, 110 W, for VNIITVCH). Suspension was dispersed in double distilled water and further dialyzed for 7 days. After dialysis, the suspension was sonicated according to the above-mentioned procedure. The yield of CNF was about 50%.

The resulting cellulose nanofibrils samples are hereafter designated as  $CNF_{PC}$ ,  $CNF_{FP}$ ,  $NC_{AV}$ .

## Preparation of nanocellulose films

The preparation of nanocellulose films is based on solution casting on a plastic substrate followed by solvent evaporation under ambient conditions. Briefly, a pre-determined amount of the CNC or CNF colloidal suspension with concentration less than 3 wt% was poured into polystyrene Petri dishes followed by drying under ambient conditions for 3–4 days. After evaporation of the excess of solvent, formed CNC or CNF films were carefully separated from the plastic substrate as a self-standing structure. The film thickness was  $\sim 20-25 \ \mu m$ .

Preparation of nanocomposites films

 $Sr_{1-x}Ho_xF_{2+x}$  powders (x = 0.08 and 0.10) annealed at 750 °C were carefully ground in an agate mortar. Then the powders were dispersed in CNC or CNF colloidal solutions by sonication on an ice bath for 15 min. The resulting slurries were stirred for 30 min at room temperature by a magnetic stirrer. The colloidal suspensions were poured into polystyrene Petri dishes and dried under ambient conditions for 3–4 days. The air-dried composite films were further dried at 85 °C for 40 min to remove residual moisture, so the latter would not affect morphology, physical and luminescent characteristics. The film thickness was ~ 25–30  $\mu$ m. The nanocellulose content in the dried films varied from 45 to 90 wt%, while the Ho content in the dried films ranged from 1.00 to 6.71 wt%. The composite films were designated as CNC:xHo. CNF:xHo. CNC/CNF:xHo, where x = Ho wt%.

Characterization methods and equipments

X-ray diffraction analysis of the samples was carried out on a Bruker D8 Advance diffractometer (Bruker AXS GmbH, Karlsruhe, Germany) using CuK $\alpha$ -radiation in the range 8°–60° 2 $\theta$ . Samples were of the I $\beta$ cellulose structural type. The diffraction peak at 22.7 2 $\theta$  was used for crystallinity index (IC, %) calculation by Segal's method according to Eq. (1):

$$IC = \frac{I_{200} - I_{AM}}{I_{200}} \times 100, \tag{1}$$

here  $I_{200}$  is the intensity of the <200> reflex at 22.70 2 $\theta$ ,  $I_{AM}$  is the intensity at the minimum between the <200> and <110> peaks at 18.00 2 $\theta$ .

X-ray diffraction data were collected in reflection geometry. In this geometry, the incident radiation is most intensively scattered by crystallites whose reflecting planes are parallel to the axis of the fibrils. The latter reason allows determination of their thickness. Coherent scattering domains sizes (D[200], nm) were calculated by the Scherer Eq. (2):

$$D[200] = \frac{k\lambda}{\beta[200]\cos\theta},\tag{2}$$

here D[200] is coherent scattering domains size, k = 0.94 is Scherer constant for cellulose,  $\lambda$  is X-ray wavelength (0.155418 nm),  $\beta$ [200] is the total width of the diffraction peak at half-height,  $\theta$ —Bragg angle (Nam et al. 2016; Lee et al. 2017).

The nanoparticle size distribution in aqueous dispersions was determined by dynamic light scattering (DLS) using a Photocor Complex DLS spectrometer equipped with He–Ne laser. The CNC and CNF dispersions were preliminarily diluted up to 0.1 wt% concentration and then ultrasonicated for 5 min in an ice bath. The average degree of polymerization (DP) was measured by the viscosity method using diluted solutions of dry cellulose particles in Cadoxen (cadmium ethylenediamine) (Ioelovich 2012). The polymerization degree was determined as the average of two independed measurements. The relative error was 4% at p = 0.95.

Film thickness was measured using a micrometer (MKTS-25 0.001, Kalibron, Russia) at seven randomly selected locations on each film, and mean value was reported for each replication.

The microstructure of the powders and films was analyzed by scanning electron microscopy (SEM) using a NVision 40 microscope (Carl Zeiss NTS GmbH, Oberkochen, Germany) with simultaneous energy-dispersive spectroscopy (EDX) (X-Max detector, Oxford Instruments, Abingdon, UK).

Fourier transform infrared spectroscopy (FTIR) was performed on a INFRALUM FT-08 spectrometer from 400 to 4000 cm<sup>-1</sup>. The transmission spectra were recorded using a Cary 5000 spectrophotometer in the 250–3000 nm range.

Differential scanning calorimetry (DSC) was performed on a Netzsch DSC 404 F1 Pegasus. Thermal gravimetric analysis (TG) was performed from 25 to 800 °C with a 5 °C/min heating rate in air using a Netzsch TG 209 F1 Libra calorimeter.

The up-conversion luminescence spectra were recorded with a Horiba FHR 1000 spectrophotometer. A continuous solid-state LiYF<sub>4</sub>:Tm laser was used as the excitation source for Ho<sup>3+</sup> ions at 1912 nm. The beam diameter of incident laser radiation was 300  $\mu$ m.

#### **Results and discussion**

CNC and CNF investigation

SEM images of CNC<sub>AV</sub> and CNC<sub>PC</sub> samples indicated the presence of randomly located rod-shaped particles



Fig. 1 SEM images of dispersions of CNC on a carbon substrate (concentration 0.1 wt%): a  $CNC_{AV}$ , b  $CNC_{PC}$ , c  $CNC_{FP}$ ; the insets present EDX data

having widths of 10-20 nm and lengths of 100-200 nm (Fig. 1a, b), as well as aggregates of these particles. There is no noticeable difference between these two samples. For CNC<sub>FP</sub> sample, the particle size is larger, with widths of 10-25 nm and lengths of 140-250 nm (Fig. 1c).

The insets show the quantitative results of EDX analysis of different CNC samples (Fig. 1a-c). The presence of sulfur is due to the esterification of cellulose hydroxyl groups by sulfate ions. The presence of sulfate groups ensures stable aqueous dispersion formation. Our data are in a good agreement with previously reported results (Beck-Candanedo et al., 2005; Abitbol et al., 2013). Thus the optimized onestage acid hydrolysis procedure results in the formation of CNC samples having similar morphology and particles sizes. The duration of Avicel hydrolysis is half that of PC and PP hydrolysis. Particle sizes determined by SEM are in good agreement with DLS results (Fig. 2a-c). Hydrodynamic particle radii for all types of CNC follow bimodal particle distributions. Two groups of particles can be distinguished: CNC<sub>AV</sub> and  $CNC_{PC}$ —8 ± 1 nm and 120 ± 37 nm,  $CNC_{FP}$ —  $9 \pm 1$  nm and  $190 \pm 52$  nm.

As a result of the hydrolysis, the particle sizes in the CNC<sub>AV</sub> aqueous dispersion are reduced by approximately two orders of magnitude in comparison with the source material. The  $CNC_{PC}$  and  $CNC_{FP}$  particle sizes are approximately three orders of magnitude smaller than the particle sizes in original PC and FP samples. The sample obtained from Avicel according to procedure 2 comprises different particles (Fig. 3a): rod-shaped nanoparticles (25-50 nm in thickness and 140 nm in length), longitudinally connected head-tail nanoparticles up to 430 nm in length and a small number of cellulose microparticles (140-240 nm thickness, 570–1400 nm length). This sample is not nanofibrilized cellulose, it was marked as NCAV. The initial Avicel sample is characterized by a high IC (78.5%), which is typical for a high crystalline phase content. Avicel hydrolysis using a lower sulfuric acid concentration (50%) with simultaneous ultrasonic treatment was accompanied by the destruction of amorphous and crystalline part of cellulose fibrils. This is confirmed by a decrease in the crystallinity index of NC<sub>AV</sub>, as determined by further studies.

SEM images of PC and FP samples obtained by technique 2 (Fig. 3b, c) show CNF particles with anisotropic shape (20–32 nm width, from 200 to



Fig. 2 Particle size distributions in dilute aqueous nanocellulose dispersions (concentration 0.1 wt%) determined by DLS: **a** CNC<sub>AV</sub>, **b** CNC<sub>PC</sub>, **c** CNC<sub>FP</sub>, **d** CNF<sub>PC</sub>

2000 nm length). These particles have a large aspect ratio (20-60), which confirms the nano-fibrillated cellulose preparing. Entangled CNF fibrils form a porous network. Hydrolysis of the original cellulose with 50% sulfuric acid was unable to to produce nanocrystals. When using 50% sulfur acid with simultaneous ultrasonic treatment, long fibrils some amorphous regions were formed. A similar effect was reported previously (Al-Dulaimi and Wanrosli 2017). DLS data together with SEM/EDX data reveal polydisperse particle size distributions for CNF<sub>PC</sub> and  $CNF_{FP}$  samples with maxima at 22–32, 90–200 and 500-2000 nm (Fig. 2d). According to EDX analysis, the sulfur content in CNF samples (0.05–0.07 wt%) is lower than that in CNC samples. This can be explained by a smaller excess of H<sub>2</sub>SO<sub>4</sub>, a lower acid concentration, and prolonged ultrasonication. Although the dispersions were cooled in an ice bath during sonication, temperature rise, especially localized, can not be completely ruled out. This can cause hydrolysis of the sulfate groups on CNF surface and reduce the sulfur content and the surface charge of the particles (Beck-Candanedo et al. 2005).

The resulting CNC aqueous dispersions of 1.60–2.39 wt% concentration are stable colloidal solutions of nanocrystalline cellulose, showing no coagulation for three months or more (Fig. S4a). The

stability of CNC dispersions is supposedly caused by the presence of negatively charged sulfate groups on the CNC surface increasing the surface charge on the CNC particles. This results in CNC dispersion stabilization due to electrostatic repulsion between sulfate groups (Sun et al. 2016; Al-Dulaimi and Wanrosli 2017).

The 0.94–1.67% CNF<sub>PC</sub> and CNF<sub>FP</sub> dispersions are milky in color and stable for 3 weeks (Fig. S4b). After 3 weeks, the dispersion began to separate into two layers. The 2.21 wt% NC<sub>AV</sub> aqueous dispersion is stable for 1 week, then particle aggregation and precipitation occurs.

The conclusion about the stability limit of the isotropic phase as 3 wt% (2 vol%) for 100 nm long and 7 nm wide sulfated CNC (Oguzlu et al. 2017) did not contradict to our results for the CNC aqueous dispersions stability.

In our study, the morphology, particle size, aspect ratio of the particles, degree of polymerization, and crystallinity index of nanocellulose depend mainly upon the preparation technique in turn. The cellulose source used in our work had a negligible effect on the nanocellulose properties. Our analysis indicates that the tightening of the hydrolysis conditions such as raising the acid concentration increasing the stoichometry of the acid and increasing the hydrolysis



Fig. 3 SEM images of diluted dispersions (concentration 0.1 wt%) dried on a carbon substrate:  $\mathbf{a} \ NC_{AV}$ ,  $\mathbf{b} \ CNF_{PC}$ ,  $\mathbf{c} \ CNF_{FP}$ ; the insets present the EDX data

temperature lead to the rupture of the polymer chains in amorphous cellulose regions. This results in a decrease in the polymerization degree and a increase crystallinity index of samples. Investigation of CNC and CNF films

Changes in the supramolecular structure of cellulose films at different hydrolysis stages were traced by XRD. For all nanocellulose samples, the I $\beta$  monoclinic structure was retained (Fig. 4).

Two broad peaks typical for nanocellulose can easily be observed, one with a blurred maximum at 15.0–16.5 2 $\theta$ , and the second with maximum at 22.5 2 $\theta$  (Fig. 4a–f). In addition to these peaks, there are also several peaks related to Sr<sub>1–x</sub>Ho<sub>x</sub>F<sub>2+x</sub> solid solution (Fig. 4g–h). XRD patterns of initial Avicel, PC and FP cellulose samples show reflexes at 14.9, 16.5, 22.4 and 34.40 2 $\theta$  (Fig. S2). These lines with indices ( $\overline{110}$ ), (110), (200) and (004), are characteristic for I $\beta$ cellulose (Feng et al. 2015).

Crystallinity indices, calculated according to the Eq. 1, are presented in Table 2.

CNC samples show a significant raise for the crystallinity indices as compared to the original cellulose (Table 1) as a result of the optimized acid hydrolysis treatment. Crystallinity indices increased from 78.5%, 69.2%, 68.7% to 86.5%, 86.0%, 84.6% for CNC<sub>AV</sub>, CNC<sub>PC</sub>, and CNC<sub>FP</sub>, respectively. The increase in the crystallinity indices for CNF<sub>PC</sub> and CNF<sub>FP</sub> occurred with the partial destruction of an amorphous part of cellulose due to an insufficient quantity acid at pre-chemical treatment stage before sonication. A slight decrease in the crystallinity index from 78.5% (Avicel) to 76.7% (NC<sub>AV</sub>) was noted. All



Fig. 4 XRD data: (a)  $CNC_{AV}$ , (b)  $CNC_{PC}$ , (c)  $CNC_{FP}$ , (d)  $NC_{AV}$ , (e)  $CNF_{PC}$ , (f)  $CNF_{FP}$ , (g)  $CNC_{FP}$ :1.1 wt% Ho, (h)  $CNC_{PC}$ :2.2 wt% Ho

Sample	Particle size (SEM) (nm)		Cellulose structure	IC (%)	L (nm)	DP	Decomposition temperature [T <sub>onset</sub> /T <sub>endset</sub> (°C)]
	width	Lengui					
CNC <sub>AV</sub>	10-20	100-200	Ιβ	86.5	4.0	76	155/515
CNC <sub>PC</sub>	10-20	100-200	Ιβ	86.0	3.9	106	155/514
CNC <sub>FP</sub>	10-25	140-250	Ιβ	84.6	3.9	118	157/514
NC <sub>AV</sub>	25-50	140-430	Ιβ	76.7	3.7	149	184/586
CNF <sub>PC</sub>	22-32	200-2000	Ιβ	75.7	3.5	140	196/591
CNF <sub>FP</sub>	22-32	200-2000	Ιβ	69.2	3.3	154	186/556

Table 2 The characteristics of nanocellulose samples prepared using different sources

of the  $NC_{\rm AV}$  films were cracked and crumbled prior to drying.

The average cross-sectional dimensions of CNC elementary crystallites, calculated using the Scherrer equation is 3.9-4.0 nm, which is consistent with earlier reports (Elazzouzi-Hafraoui et al. 2008; Beck-Candanedo et al. 2005; Lee et al. 2017). The cross-section dimensions of the crystallites are 3.5 nm for CNF<sub>PC</sub> and 3.3 nm for CNF<sub>FP</sub>.

The polymerization degree was significantly reduced upon hydrolysis, especially for FP (by 9.4-fold), PC (by 8.8-fold) and AV (by 2.6-fold). CNC has a 1.3-fold lower polymerization degree than CNF. The DP = 76 was the lowest value for  $CNC_{AV}$ . The length of the elementary link of the cellulose macromolecule was 5.2Å (Ioelovich, 2016). The length of the  $CNC_{AV}$  macromolecule was 40 nm. According to SEM data, the  $CNC_{AV}$  particle lengths (Fig. 1a) was 100–200 nm. Here, the  $CNC_{AV}$  particles consisted of 3–5 cellulose macromolecules.

The different shape and length of CNC and CNF particles affect their behavior during film formation. SEM images of the CNC and CNF films are shown in Fig. 5. CNC tends to form ordered structures (Fig. 5a, c, d). This phenomenon can be associated with CNC self-assembly during drying (Pan et al. 2010; Park et al. 2014). SEM images of CNC<sub>AV</sub> and CNC<sub>FP</sub> films (Fig. 5a, d) demonstrated spontaneous orientation of the rod-like cellulose nanoparticles. Spontaneous orientation of CNC<sub>PC</sub> rod-like particles is clearly visible in Fig. 5c. In turn, entangled CNF nanofibrils formed a porous network (Fig. 5e). Aggregated rod-like nanocrystals are located on the surface of CNC/CNF films (Fig. 5f).

Self-assembly upon gentle drying of CNC<sub>AV</sub> and  $CNC_{PC}$  dispersions led to the formation of transparent iridescent and brittle films (Fig. 6a, b). Chiral nematic or cholesteric structures in the anisotropic phase consisted of superposed planes formed by elongated CNC rod-like particles in one direction. For both CNC dispersions and films, only a left-handed chiral structure was demonstrated (Gray 2016; Gray and Mu 2015; Liu et al. 2014; Tardy et al. 2017; Dumanli et al. 2014). CNC<sub>AV</sub> film cross section (Fig. 5b) proves the left-handed chiral nematic structure of this samples (Parker et al. 2017; Frka-Petesic et al. 2017). The gradual removal of the aqueous phase upon evaporation lead to a mutual arrangement of cellulose nanocrystals by minimizing the existing electrostatic interactions. In this manner, homogeneous concentrated suspensions are self-organized into liquid crystal structures. In 1998, the first demonstration was reported for the chiral nematic structure in nanocrystalline cellulose films upon evaporation of the solvent in the EISA process (evaporation-induced self-assembly) (Revol et al. 1998). Obviously, the iridescent color of CNCAV (2.10 wt% dispersion) and CNC<sub>PC</sub> (2.39 wt% dispersion) films is associated with chiral nematic structure formation at dispersion concentrations higher than 1.60 wt% CNC<sub>FP</sub>. The CNC<sub>FP</sub> film is transparent, colorless and less brittle than  $CNC_{AV}$  and  $CNC_{PC}$  iridescent films (Fig. 6c). The concentration of CNC<sub>FP</sub> dispersion is not sufficient for chiral nematic structure formation upon water evaporation during the film drying.

The presence of entangled nanofibers makes it much easier to form a porous network (Fig. 5e). A flexible and wrinkled film with a milky shade is formed when using 0.94 wt% CNF<sub>PC</sub> dispersion



Fig. 5 SEM images of cellulose films: a CNC<sub>AV</sub>, b SEM cross sections of CNC<sub>AV</sub>, c CNC<sub>PC</sub>, d CNC<sub>FP</sub>, e CNF<sub>PC</sub>, f CNC<sub>PC</sub>/CNC<sub>FP</sub> (1:1) samples

(Fig. 6d). When the dispersion concentration was increased to 2.07 wt%, a nearly non-transparent film was formed (Fig. 6e). Thus, various mechanisms for

CNC and CNF films formation affect their optical and mechanical properties.

Mixed CNC/CNF films were obtained to improve their flexibility. Before film preparation, aqueous



Fig. 6 Appearance of cellulose:  $a CNC_{AV}$ ,  $b CNC_{PC}$ ,  $c CNC_{FP}$ ,  $d CNF_{PC}$  (0.94 wt%),  $e CNF_{FP}$  (2.07 wt%), f mixed film  $CNC_{PC}$ : $CNC_{FP} = 1:1$ 

CNC/CNF dispersions were sonicated in an ice bath for 15 min with 3-min intervals for every 5 min. The best combination of mechanical characteristics (strength and flexibility), optical and thermal properties was obtained for the 1:1 CNC:CNF film. SEM data for CNC:CNF film are shown in Fig. 5f. The microstructure of the mixed CNC:CNF film is nearly the same as for CNF films. Obviously, CNC is evenly distributed within the CNF matrix. This is due to the high compatibility between CNF and CNC having the same chemical structure. The CNC homogeneous dispersion inside the CNF matrix improves the optical transparency in comparison with pure CNF films, as well as the heat resistance and mechanical properties of pure CNC films. The mixed films are transparent, colorless, and flexible without cracks (Fig. 6f).

The transparency and color of the films were analyzed by the transmission UV–Vis spectroscopy (Fig. 7).



Fig. 7 Transmission spectra of cellulose films: (a)  $CNC_{AV}$ , (b)  $CNC_{PC}$ , (c)  $CNC_{FP}$ , (d)  $CNF_{PC}$ , (e)  $CNC_{PC}/CNC_{FP} = 1/1$ , (f)  $CNC_{FP}$ :1.1 wt% Ho, (g)  $CNC_{PC}$ :5.4 wt% Ho, (h)  $CNC_{PC}/CNF_{FP}$ :5.6 wt% Ho

Transmission at 650 nm wavelength for CNC films is as high as 83% (Fig. 7a–c). The transmission spectra of  $CNC_{AV}$  and  $CNC_{PC}$  films contain a weak absorption band in the 700–770 nm range, which is associated with the film's iridescent color. The transmission of CNF films is lower than that of CNC films (about 45% at 650 nm), depending on the filler content (Fig. 7d). The transmission of mixed CNF/CNC films (62% at 650 nm) is higher than that of CNF films and slightly lower compared to CNC films (Fig. 7e).

Transparency of the films is an important factor for practical applications. The combination of physical and chemical properties of CNC and CNF make them attractive for smart photonic devices, sensors (Dai et al. 2017), color filters (Bumbudsanpharoke et al. 2018), iridescent mesoporous cellulose membranes with adjustable colors, and biosensors (Dumanli et al. 2014).

The thermal degradation of the Avicel, PC, FP cellulose sample and CNC and CNF samples derived from these precursors proceeds in different ways. The initial and final decomposition temperatures ( $T_{onset}$  and  $T_{endset}$ ) are presented in Tables 1 and 2, the DSC and TG curves are shown in Figs. S3 and 8.  $T_{onset}$  is reduced by almost 100 °C, and by 140 °C for CNF as compared to the original cellulose.

The decrease of the thermal stability of CNC and CNF was probably associated with an increase in the heat exchange rate due to the surface area increase, smaller particle sizes and the presence of sulfate



Fig. 8 DSC and TG curves: a TG and b DSC for CNCPC, CNFPC, CNFPC (1:1) and CNCPC:2.9 wt%:Ho films

groups. Sulfate groups act as a catalyst during the thermal decomposition of cellulose (Al-Dulaimi and Wanrosli 2017). Thermal behavior for CNF films prepared from different cellulose sources are depicted in Fig. 8a, b. CNC films also show a similar behavior upon heating while their decomposition proceeds at lower temperatures comparing to CNF films (Fig. 8a, b). The decrease in the CNC films' thermal destruction temperature due to the influence of sulfate groups has been thoroughly studied recently (Sun et al. 2018). We believe that the lower temperatures (T<sub>onset</sub>) for thermal decomposition (155-157 °C) of CNC films are due to the higher content of sulfate groups that is evidenced by EDX data. The difference in morphology for CNF and CNC films could also be affected by the thermal destruction process. The CNC surface area is larger than that of CNF due to the smaller nanoparticle size. The DSC and TG curves show that the thermal destruction of CNF films in the air begins at 186-196 °C with an endothermal effect at 215 °C (Fig. 8b). Destruction of CNC films begins at a lower temperature (157 °C) with an endothermal effect at 205 °C (Fig. 8b). The endothermal effect corresponds to the first stage of the cellulose decomposition process, which is catalyzed by sulfate groups. The DSC curves also have two exothermal effects at 338 °C and 526 °C for CNF, and 314 °C and 514 °C for CNC. The first exothermal effect indicates the beginning of the cellulose oxidation. The second exothermal effect corresponds to CO<sub>2</sub> and H<sub>2</sub>O formation, which ends at 591 °C and 514 °C for CNF and CNC, respectively. The TG curves are of a multi-stage type. The first stage is the removal of physically bound water ( $\sim 80-110$  °C) with a weight loss of 2.3-2.8% and 3.3-3.6% for CNC and CNF films, respectively. The difference between TG-curves for CNC and CNF films in the presence of the additional stage at 210 °C and a substantial weight loss (37%) in the 110–210 °C region for CNC. The weight loss for CNC is significantly higher (7%) than for CNF in the same temperature range. This fact confirms the abovementioned opinion about influence of the sulfate groups content on the nanocellulose thermal decomposition. The total weight loss for all the samples is 100%. Mixed 1:1 CNF/CNC films demonstrate increased thermal stability as compared to CNC: their thermal degradation starts at 170 °C and ends at 550 °C.

The IR spectra of CNC<sub>PC</sub>, CNF<sub>PC</sub> and CNC<sub>PC</sub>: 5.4 wt% Ho films contained absorption bands at 2900 cm<sup>-1</sup> (stretching modes of CH- and CH<sub>2</sub>groups), 1650  $\text{cm}^{-1}$  (stretching modes of C=O bond), 1430 cm<sup>-1</sup> (symmetric deformation vibrations of CH<sub>2</sub> group),  $1000-1200 \text{ cm}^{-1}$  (stretching modes of C–O–C and C-O bonds in the pyranose rings) (Fig. 9). The CNC IR spectrum contained an additional weak band at  $1231 \text{ cm}^{-1}$  (S=O), which confirmed the sulfate group introduction into cellulose structure during hydrolysis (Al-Dulaimi and Wanrosli 2017). CNC and CNF samples were characterized by a broad  $3225 - 3505 \text{ cm}^{-1}$ at absorption band and  $3275-3455 \text{ cm}^{-1}$  regions. These bands corresponded to the stretching modes of OH-groups. For the CNC composite film with 5.4 wt% Ho, the band at



Fig. 9 IR spectra of CNC, CNF and CNC:5.4 wt%Ho composite films

3350–3450 cm<sup>-1</sup> became narrow and slightly shifted to higher wavenumbers in comparison to pure CNC. This can be explained by hydrogen bond formation between  $OH^-$  groups in CNC and  $F^-$  ions in  $Sr_{0.92}Ho_{0.08}F_{2.08}$  particles.

Spectral and luminescent properties of  $Sr_{1-x}Ho_xF_{2+x}$  powders

 $Sr_{1-x}Ho_xF_{2+x}$  (x = 0.08 and 0.10) powders emit predominantly in red visible range at 1912 nm laser pumping of  ${}^{5}I_{7}$  Ho<sup>3+</sup> level. The up-conversion luminescence spectra of  $Sr_{0.92}Ho_{0.08}F_{2.08}$  and  $Sr_{0.90}Ho_{0.10}$ - $F_{2.10}$  powders are shown in Fig. 10.



Fig. 10 Up-conversion luminescence spectra of  $Sr_{0.92}Ho_{0.08}$   $F_{2.08}$  and  $Sr_{0.90}Ho_{0.10}F_{2.10}$  powders

The intensity of  $Sr_{1-x}Ho_xF_{2+x}$  (x = 0.08–0.10) upconversion luminescence was measured for the samples calcined at 750 °C (Fedorov et al. 2017). The luminescence intensity for a concentration of 10 mol% of holmium is similar to 8 mol%.  $Sr_{0.92}$ -Ho<sub>0.08</sub>F<sub>2.08</sub> and  $Sr_{0.90}Ho_{0.10}F_{2.10}$  powders can be used as visualizers of two-micron laser radiation. Thus, powders were used as an up-conversion filler in the preparation of composite films made from nanocellulose.

Composite films based on CNC and CNF with SrF<sub>2</sub>:Ho up-conversion powders

CNC dispersion and  $Sr_{0.92}Ho_{0.08}F_{2.08}$  or  $Sr_{0.90}Ho_{0.10}$ - $F_{2,10}$  powders were mixed with subsequent ultrasonic treatment. This results in a good distribution of the powders in the CNC matrix (Fig. S4c, d). Transparent and stable CNC dispersions were obtained with 0.40-1.92 wt% up-conversion powders. X-ray diffraction patterns of composite films containing CNC<sub>FP</sub>/10.9 wt% Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2.08</sub> (CNC<sub>FP</sub>:1.1 wt% CNC<sub>PC</sub>/21,9 wt% Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2.08</sub> Ho) or (CNC<sub>PC</sub>:2.2 wt% Ho) are shown in Fig. 4g, h. In these patterns, characteristic diffraction peaks for cellulose I $\beta$  and Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2.08</sub> crystalline fluorite phase can easily be seen. A slight difference in the unit cell parameters between the Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2,08</sub> in the composite film and Sr<sub>0,92</sub>Ho<sub>0,08</sub>F<sub>2,08</sub> powder calcined at 600 °C (Fedorov et al. 2017) was due to the higher annealing temperature of the powder and the possible difference between the real and nominal composition. According to EDX data, the compositions Sr:Ho = 91.38:8.62 (at%) for the CNC<sub>FP</sub> film:1.1 wt% Ho and Sr:Ho = 91.83:8.17 (at%) for the  $CNC_{PC}$  film: 2.2 wt% Ho were determined. The cellulose crystalline structure did not change after dispersing since the positions of all the reflexes are preserved. However, a slight decrease in the CNC crystallinity index can be noted.

CNC-based composites are homogeneous solid films without cracks with a slightly yellowish color and an average thickness of 25–30  $\mu$ m. The composite CNC<sub>AV</sub> film containing 22.3 wt% Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2.08</sub> (2.2 wt% Ho) and the composite CNC<sub>PC</sub> film containing 54.5 wt% Sr<sub>0.92</sub>Ho<sub>0.08</sub>F<sub>2.08</sub> (5.4 wt% Ho) are shown in Fig. 11a, b, respectively. The iridescent color of CNC composite films disappeared since they lost their chiral nematic structure. The color of the



Fig. 11 Composite films appearance: a  $CNC_{AV}/2.2$  wt%:Ho, b  $CNC_{PC}/5.4$  wt% Ho, c, d  $CNC_{PC}/CNC_{FP}/5.6$  wt% Ho

composite films depends on the up-conversion powder content. Composite CNF-based films were prepared by the solution casting technique with subsequent solvent evaporation under ambient conditions. These films cracked and were damaged with drying or handling; thus, the solution casting technique is not suitable for CNF composite film preparation. For endurable and non-wrinkled CNF film preparation, a vacuum filtration method was used followed by drying in a low-pressure oven (Benítez and Walther 2017; Sun et al. 2018). We have prepared flexible, durable and translucent composite films by solution casting technique using 1:1 CNC/CNF dispersions. CNC/ CNF:5.6 wt% Ho (45.9 wt% Sr<sub>0.90</sub>Ho<sub>0.10</sub>F<sub>2.10</sub>) composite film is shown in Fig. 11c, d. These photos confirmed the formation of strong, flexible, translucent films without wrinkles.

In order to ensure a uniform distribution of the upconversion particles in the nanocellulose film, the  $Sr_{0.92}Ho_{0.08}F_{2.08}$  or  $Sr_{0.90}Ho_{0.10}F_{2.10}$  powders were dispersed in the colloidal CNC suspension by sonication in an ice bath. Then, the CNF dispersion was added and the ultrasonic treatment was continued in an ice bath for another 15 min. SEM images of the soprepared composite films in topographic contrast (in the secondary electrons mode) (Fig. 12a, e) and in Z-contrast (in the backscattered electrons mode) are shown in Fig. 12b, f. It should be noted that the surface morphology of a composite film differs significantly from a pure CNC film having a smooth homogeneous structure (Fig. 5a, c, d). The CNC<sub>PC</sub>:5.4 wt% Ho film has a homogeneous structure with up-conversion particles uniformly distributed over the entire surface and volume. This was confirmed by the Z-contrast of the surface and cross section of the film, (Fig. 12b, d). The particle size of  $Sr_{1-x}Ho_xF_{2+x}$  ranged from 50 to 150 nm. EDX analysis data confirmed that Sr/Ho atomic ratio in the composites is very close to that measured in  $Sr_{0.92}Ho_{0.08}F_{2.08}$  powders (Fig. S5). Thus, the use of CNC or mixed 1:1 CNC/CNF dispersions

The TG and DSC curves demonstrated that the destruction of the  $CNC_{PC}$ :2.9 wt% Ho (29,3 wt%  $Sr_{0.92}Ho_{0.08}F_{2.08}$ ) composite film begins in air at 150 °C (Fig. 8a, b). The exothermic effect at 350 °C corresponded to the oxidation of the organic part of the composite. The exothermal effect at 450 °C corresponded to CO<sub>2</sub> and H<sub>2</sub>O formation; the thermal decomposition ended at 538 °C. According to TG data, the residue upon heating up to 800 °C (29.3 wt%) corresponded to the up-conversion powder content in the composite film. CNC/CNF/SrF<sub>2</sub>:Ho composite films are even more thermostable, their decomposition began at 170 °C and ended at 550 °C.

made it possible to obtain a composite films with a uniform distribution of up-conversion particles.

One of the most important aspects of nanocellulose composite preparation is the nature of the interactions between its inorganic and polymer components. In the nanocellulose molecule, each monomer unit contains three hydroxyl groups, which can form hydrogen bonds. Inside the CNC, hydroxyl groups participate in the formation of a hydrogen bond network between nanocellulose molecules. We assume that an important role in polymer-inorganic interaction between CNC and SrF<sub>2</sub>:Ho particles is a result of hydrogen bond formation at the interphase regions between fluorine ions and primary hydroxyl groups in CNC. This assumption was confirmed by FT-IR spectra (Fig. 9). In the FT-IR spectra of  $CNC_{PC}/SrF_2$ nanocomposite films, the shape of the band at  $3350-3450 \text{ cm}^{-1}$  (stretching vibrations of hydroxyl groups involved in the hydrogen bond formation) became narrow, the maximum of the band shifts slightly compared to pure CNC<sub>PC</sub>. This proved a strong interaction between hydroxyl groups in CNC and fluorine ions in  $Sr_{1-x}Ho_xF_{2+x}$  particles with



Fig. 12 SEM images of  $CNC_{PC}/5.4$  wt% Ho film in topographic contrast (a, c, e), and in Z-contrast (b, d, f), cross section of the film (c, d)

interfacial hydrogen bonds formation. Schematic presentation of the interfacial hydrogen bonds between  $OH^-$ —groups of nanocellulose and  $F^-$ —

ions of  $Sr_{1-x}Ho_xF_{2+x}$  particles was presented in Fig. S6.

The optical transparency of a composite film with up-conversion particles was decreased in comparison



Fig. 13 Up-conversion luminescence spectra of up-conversion cellulose-based films

with CNC films and depends on the  $Sr_{0.92}Ho_{0.08}F_{2.08}$ or  $Sr_{0.90}Ho_{0.10}F_{2.10}$  concentration in the film. For the CNC<sub>FP</sub> film with 10.9 wt%  $Sr_{0.92}Ho_{0.08}F_{2.08}$  (1.1 wt% Ho), the optical transmission is 44% at 650 nm (Fig. 7f); for 54.5 wt% of  $Sr_{0.92}Ho_{0.08}F_{2.08}$  (5.4 wt% Ho) in the CNC<sub>PC</sub> film the optical transmission is 22%; for 45.9 wt% of  $Sr_{0.90}Ho_{0.10}F_{2.10}$  (5.6 wt% Ho) in the CNC<sub>PC</sub>/CNF<sub>FP</sub> film at 650 nm were registered for Fig. 7g, h, respectively. The optical transparency of falls abruptly upon increasing the up-conversion powder content more than 55 wt%. At that level, the films become almost opaque with the loss of their mechanical strength and flexibility.

The luminescence spectra of CNC and 1:1 CNC/ CNF composite films with the same amount of holmium and similar luminescence intensity are shown in Fig. 13.

For a composite material processing, it is very important to determine the optimal component stoichiometry. The surface morphology, up-conversion particles distribution, thermal, optical, and luminescent properties of composite films prove that flexible, durable, translucent, up-conversion cellulose-based films can be produced. Mixed 1:1 CNC/CNF dispersions with  $Sr_{0.92}Ho_{0.08}F_{2.08}$  or  $Sr_{0.90}Ho_{0.10}F_{2.10}$  with the content less than 55 wt% are suitable for excellent quality films preparation.

## Conclusions

In the present paper, polymer-inorganic films based on nanocrystalline and nanofibrillated cellulose matrices with SrF<sub>2</sub>:Ho up-conversion filler powders were prepared for the first time. The influence of nanocellulose properties on the up-conversion films characteristics was determined. The X-ray diffraction data showed that the crystalline structure of nanocellulose and up-conversion particles did not change upon mixing since the positions of all reflections were preserved. The presence of polymer-inorganic interactions between SrF<sub>2</sub>:Ho particles and cellulose has been confirmed by FT-IR spectroscopy. Hydrogen bond formation played a most important role in composite film stability. Based on SEM/EDX data, the optimal conditions for uniform distribution of SrF<sub>2</sub>:Ho up-conversion particles in nanocellulose dispersions and films were established. The preparation of homogeneous up-conversion composite films without cracking or film delamination was developed. A 1:1 mixed dispersion of CNC/CNF was shown to be suitable for flexible, durable, translucent, up-conversion composite film production. The spectral and luminescent characteristics of the composite films were investigated. The up-conversion luminescence of CNC/CNF/SrF<sub>2</sub>:Ho composite films has been studied in the visible spectral range at  ${}^{5}I_{7}$  level of Ho<sup>3+</sup> ions pumping. High quality translucent films for photonics applications contain 50-60 wt%, 4-6 wt% Ho in  $Sr_{0.92}Ho_{0.08}F_{2.08}$  or  $Sr_{0.90}Ho_{0.10}F_{2.10}$  powders. These films can be used for visualization of near-IR laser radiation, as a luminescent labeling for anticounterfeiting, as a luminescent detector, or for other applications.

Acknowledgments We thank Polycell LLC for providing powdered cellulose PCC-0.25 sample and Biokhim LLC for providing Avicel PC105 microcrystalline cellulose sample. The support from Russian Foundation for Basic Research (Grant 16-29-11784-ofi-m) is greatly acknowledged. Authors express their sincere gratitude to Richard L. Simoneaux, and Elena V. Chernova for their most kind assistance in the preparation of the present manuscript and Vladimir N. Kryazhev for polymerization degree analysis.

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