

# Effects of Brief Interventions on Internalizing Symptoms and Substance Use in Youth: A Systematic Review

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#### **Abstract**

Internalizing problems (e.g., depression, anxiety) and substance use are common among young people and often co-occur. However, youths face myriad barriers to access needed treatment, and existing evidence-based interventions tend to focus on internalizing problems or substance use, rather than both simultaneously. Brief interventions that target both problems may, therefore, be an efficient and accessible resource for alleviating youth difficulties; however, this possibility has been insufficiently evaluated. This systematic review evaluated the intervention characteristics and quality of six studies spanning 2015 to 2019 that examined intervention effects on internalizing and substance use outcomes. Based on independent calculations and author reports (respectively), 3-4 interventions significantly reduced youth internalizing symptoms; 3-5 reduced youth substance use; and 2–3 reduced symptoms in both domains. All six interventions identified substance use as a primary target. Four interventions were administered by interventionists to youths in inpatient, outpatient, primary care, or school settings. The remaining two studies delivered content through voicemail messages or an online design. Interventions ranged from ~ 15 to 240 min. Results highlight the sparsity and heterogeneity of youth-focused brief interventions that have evaluated program effects on both internalizing problems and substance use outcomes, suggesting a clear need for integrated supports that are also designed for accessibility. Future investigations of brief youth-focused interventions should assess program effects on both internalizing and substance use outcomes; examine mechanisms driving the varied efficacy of identified interventions; and create, refine, and test interventions with potential to address co-occurring internalizing problems and substance use in young people.

Keywords Brief interventions · Substance use · Youth · Depression · Internalizing · Systematic review · Anxiety

#### Introduction

Substance use and internalizing problems (i.e., depression and anxiety) often begin in adolescence, with peaks occurring in early-middle adolescence for internalizing problems and in young adulthood for substance use (Kessler et al.,

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2005a). These problems are each independently associated with myriad negative outcomes, and several outcomes (e.g., quality of life, academic and role functioning, and suicide risk) appear worse for youth with symptoms in both domains (Lewinsohn et al., 1998; Lubman et al., 2007). Youth bear the largest burden of mental illness yet face the lowest treatment access rates across the age ranges (McGorry & Mei, 2020; Schleider et al., 2020a). Even among those who do access treatment, youths complete an average of only 3.9 intervention sessions before ending care due to myriad financial and logistic barriers (Harpaz-Rotem et al., 2004). Brief psychosocial interventions (no more than 240 min of intervention time; Schleider et al., 2020c) are, therefore, likely more palatable for youths relative to traditional longer-term interventions (Schleider et al., 2020a). Furthermore, these brief interventions have shown utility in reducing youth internalizing problems (Schleider & Weisz, 2017) and rates of substance use (Tait & Hulse, 2003). However, even



among brief interventions for youth, the majority tend to target either internalizing problems or substance use *independently* (Back et al., 2009; Ouimette & Brown, 2003). Accordingly, the literature for substance use interventions and internalizing interventions has long been largely disparate, despite the high degree of comorbidity (estimated at 9–48%, depending on the sample) among these problems in youths (O'Neil et al., 2011). Therefore, the current systematic review addresses the lack of research integrating these domains by characterizing the state of current brief interventions for substance use *and* internalizing problems (e.g., design, prevalence, efficacy) and identifying remaining gaps in the literature and possibilities for future research in this area.

# **Internalizing Problems and Substance Use in Youths**

Per lifetime estimates, nearly a third of adolescents, meet criteria for anxiety disorders prior to age 18, and around 14% meet criteria for a mood disorder (Merikangas et al., 2010). Rates of youth substance use range from 10 to 43% across youth age ranges, with alcohol, nicotine, and cannabis use being the most common (Swendsen et al., 2012). Internalizing problems are both more frequent and impairing in youths relative to adults (Center for Behavioral Health Statistics & Quality, 2018; Kessler et al., 2005b; Merikangas et al., 2010), and youth face a higher risk for developing substance abuse and dependence than do adults (SAMHSA, 2015; Wittchen et al., 2008). Youth-internalizing problems and substance use are also highly intertwined: Internalizing problems such as depression and anxiety increase risk for substance use in adolescence (Herz et al., 2018), and youth substance use is in turn associated with more severe internalizing problems (Brownlie et al., 2019). Within community samples presenting with principal substance use difficulties, comorbidity estimates of internalizing problems range between 11.1 and 47.9% (O'Neil et al., 2011). Within community samples presenting with internalizing problems, comorbidity of substance use is estimated to range between 10 and 14% for principal depression (Lansford et al., 2008; Rohde et al., 1991), between 9 and 11.9% for principal anxiety (Lansford et al., 2008; Lewinsohn et al., 1997), and at 21% for co-occurring depression and anxiety (Lansford et al., 2008). Current evidence for the directionality of the temporal associations between depression, anxiety, and substance use remains inconclusive (Garey et al., 2020), with some suggesting a bidirectional relationship between internalizing problems and substance use (Esmaeelzadeh et al., 2018; Marmorstein, 2009), some indicating that internalizing problems generally appear to precede substance use (O'Neil et al., 2011; Wolitzky-Taylor et al., 2012), and some indicating that substance use appears to precede internalizing problems (Fergusson et al., 2011). Additionally, some evidence suggests that depression in particular is associated with subsequent substance use, whereas the link between anxiety and later substance use is weaker (Hussong et al., 2017; Schleider et al., 2019).

# **Comorbidity and Treatment Access**

Despite the increased burden imposed by living with multiple co-occurring problems, affected youths are at greater risk of encountering barriers to mental health treatment and supports (e.g., provider availability, discrimination, knowledge about treatment options) compared to the general population (Wisdom et al., 2010; Wu et al., 2011). In 2019, only 1.3% of youths aged 12-17 with co-occurring substance use and mental health problems received treatment for both sets of problems (SAMHSA, 2020). A common obstacle for those living with comorbid problems is a lack of available transdiagnostic interventions, which are interventions that target multiple health concerns simultaneously. Despite the increased efficacy offered by integrated interventions that target both substance use and mental health (van den Bosch & Verheul, 2007), substance use and mental health difficulties are typically treated independently and sequentially (National Institute of Mental Health, 2021; SAMHSA, 2020). With currently low rates of treatment access, youths remain in need of accessible, easily completable interventions that can address both internalizing difficulties and substance use problems effectively and efficiently.

#### The Potential of Brief Interventions

Even among youth who access mental health services, premature dropout rates are high (Harpaz-Rotem et al., 2004). As a result, there is a prominent need for feasible, potent interventions that support youth transdiagnostic (i.e., crossproblem) health. Brief interventions, which frequently demand less in terms of time and financial commitment, are one such potential resource for youths seeking support. Brief interventions have shown efficacy in addressing youth difficulties such as depression, anxiety, and substance use (Schleider & Weisz, 2017; Tait & Hulse, 2003). Per randomized trials, post-intervention effect sizes for brief and single-session interventions targeting youth problems are estimated at 0.19-0.56 for anxiety (Schleider & Weisz, 2017; Stoll et al., 2020), 0.21 for depression (Schleider & Weisz, 2017), 0.24 for internalizing problems (Schmit et al., 2016), and 0.08–0.13 for general substance use (Schleider & Weisz, 2017; Tait & Hulse, 2003). Brief interventions have shown utility for various substance use outcomes (e.g., alcohol use, Tanner-Smith & Lipsey, 2015; tobacco use, Stein et al., 2006; driving under the influence, Mason et al., 2016) as well as other internalizing-related outcomes (e.g., hopelessness, agency, self-hate; Schleider et al., 2020b). To



our knowledge, no study has yet evaluated the effect sizes of brief interventions for both internalizing problems and substance use in tandem, despite calls for more work in this area (Bukstein & Horner, 2010; O'Neil et al., 2011). Indeed, available youth interventions tend to focus on either internalizing problems or substance use, with few resources designed to specifically address comorbidity among these problems (Bukstein & Horner, 2010). As a result, the benefits offered by brief intervention to youths with co-occurring internalizing difficulties and substance use may be offset by a need for multiple separate interventions. For maximum efficiency, brief interventions would ideally address multiple youth health concerns at once, limiting the need for further problem-specific interventions. Although brief, integrated interventions do not address all access barriers (e.g., homelessness, lack of caregiver support, stigma), they do appear to have potential to minimize barriers related to time, cost, and availability of services. However, despite commonly cooccurring internalizing problems and substance use problems in youth, the current research on brief interventions that target both youth substance use and internalizing problems is sparse, and the research that does exist has yet to be systematically integrated.

#### **The Current Review**

We conducted a systematic review of literature on brief interventions for youth substance use and internalizing problems. We first conducted broad database and manual searches to be maximally inclusive in identifying potential interventions for youths that were published within the last 15 years (2005–2020). Next, we systematically screened these articles to identify those that (1) contained an intervention that was brief in nature, (2) evaluated at least one internalizing problem and at least one substance use intervention outcome, and (3) included a comparison condition by which to compare intervention outcomes. With these results, we aimed to identify a series of brief interventions that demonstrate potential empirical utility for addressing comorbid youth substance use and internalizing problems. We additionally characterize these interventions in terms of their structure, implementation, and outcome-specific efficacy, as well as offer recommendations for future research on brief-integrated interventions.

### Method

#### Search Strategy

Our search strategy and analytic plan for this systematic review were preregistered on Prospero (https://www.crd.york.ac.uk/prospero/display\_record.php?ID=CRD4202021

5520). We conducted searches in six bibliographic databases (PsychINFO, PubMed, MEDLINE, Eric, ProQuest-Dissertations, PsyArXiv) and through a manual review of relevant literature (e.g., Steele et al., 2020) to identify peerreviewed studies describing the effects of brief interventions on youth substance use and internalizing outcomes (publication date range: January 1, 2005 through October 31, 2020). Search terms included combinations of the following: college student(s), young adult(s), teen(s), adolescent(s), or youth; along with mental health, psychopathology, mental wellness, mental illness, mental disorder, internalizing, depression, or anxiety; along with substance use, substance abuse, substance(s), drug(s), alcohol, marijuana, cannabis, tobacco, cocaine, opioids, heroin, e-cigarettes, vaping, or hookah; along with intervention, prevention, treatment, program, randomized, RCT, workshop, field trial, training, quasi-experimental, or open trial (to be maximally inclusive of the ways in which "interventions" might be described).

#### Inclusion and Exclusion Criteria

Criteria for study inclusion were as follows: (1) English-language articles; (2) mean youth age between 13 and 21 years, inclusive; (3) article evaluates a brief psychosocial intervention (less than or equal to 240 min of intervention time total, per definitions used in prior reviews on brief psychosocial interventions, e.g., Schleider et al., 2020c) using a randomized-controlled, single-arm, or quasi-experimental design; (4) article includes a comparison condition (e.g., treatment as usual, usual care, active comparator); (5) article includes at least one treatment outcome evaluating mental health (including at least anxiety symptoms, depressive symptoms, or both, e.g., internalizing problems); (6) article includes at least one treatment outcome evaluating substance use; and (7) article was published between the years of 2005 and 2020 (Fig. 1).

Initial study selection (i.e., abstract-based article screening) was conducted by the first and second authors (RM & DP). Abstracts were screened for possible inclusion if they (1) were written in English, (2) were published between the years 2005 and 2020, and (3) evaluated the effects of at least one psychosocial intervention. Inter-rater agreement regarding study inclusion based on abstract review was 98%, and disagreements were resolved through discussion. Full texts were then screened to identify articles that (1) evaluated at least one "brief" psychosocial intervention (i.e., not exceeding 240 min total), (2) included at least one comparison condition, (3) assessed at least one mental health outcome (i.e., depression and/or anxiety) post-intervention, and (4) assessed at least one substance use outcome postintervention. Inter-rater agreement on article inclusion across 230 full-text reviews was 95.2%, and disagreements were resolved through discussion between the first, second,



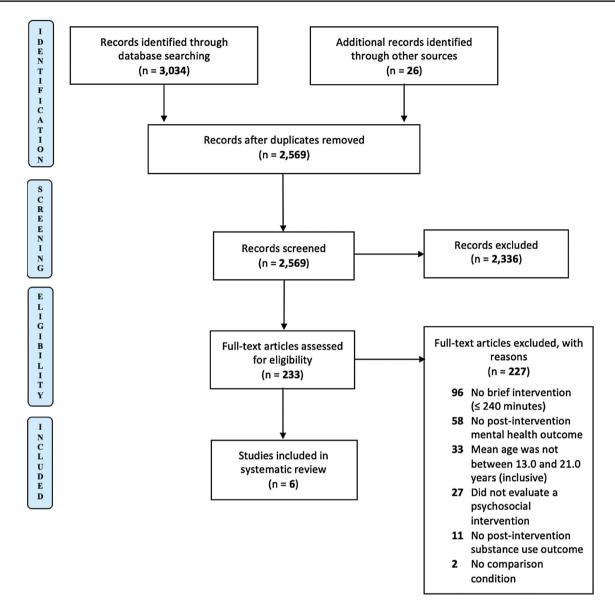
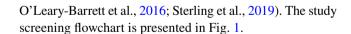


Fig. 1 PRISMA flow diagram

and last authors (RM, DP, & JS). A small number of articles did not contain sufficient information to determine whether inclusion criteria were met; the first author (RM) contacted corresponding authors to request the missing information. All but one author provided the information requested regarding inclusion criteria. The final number of studies included in the review was six (Andersson et al., 2017; Brown et al., 2015; Deady et al., 2016; Murphy et al., 2019;



# **Data Extraction, Coding, and Processing**

Six studies were coded for study, sample, and intervention characteristics. Given the small number of studies eligible for inclusion, we conducted a descriptive systematic review as opposed to a quantitative meta-analysis, which would have posed substantial interpretation challenges. Table 1 presents characteristics for all six included studies. All studies were doubly coded by the first and second authors (RM & DP). Disagreements were resolved by discussion between the first, second, and fourth authors (RM, DP, and



One study team did not respond to email requests for more information, and therefore, we ultimately did not include this study in the review, though it may have met inclusion criteria (Arnaud et al., 2017).

Int. Description	Brief alcohol intervention + sub-stance free activity (BAI+SFAS) Brief alcohol intervention + relaxation training (BAI+RT)	CBT/MI- based online modularized intervention	Personality targeted inter- vention	Intervention for mental health symptoms and substance use	Phone-delivered brief personal- ized feedback
Num- ber of outcomes assessed	2 Sub- stance Use, 2 Mental Health	2 Sub- stance Use, 1 Mental Health	4 Sub- stance Use, 2 Mental Health	1 Sub- stance Use, 1 Mental Health	3 Sub- stance Use, 2 Mental Health
Reg. sta- tus	yes	yes	yes	Ou	yes
Length of int. (number of days)	unknown	28–70 (designed for four week use; available for ten)	unknown	1 (designed) no	84 (designed)
Length of int. (number of ses-sions)	m m	4	7	-	42
Length of int. (minutes)	110-	240	180	< 15 or 60	60.5
Int. pro- vider	Trainee clinic cians (graduate surdents) dents)	Self- adminis- tered	School staff	Primary care physicians and behavioral health providers	Self- adminis- tered
Delivery format	Individual therapy (phone- based follow- up)	Self- admin- istered digital/ online therapy	Group	Individual therapy	Digital/ online therapy
Study follow-up periods	1 month; 6 months; 12 months; 16 months	5 weeks; 3 months; 6 months	6 months; 12 months; 18 months; 24 months	1 year; 3 years	2×weekly over 3 months
Int. set- ting	Outpatient Clinic	Online	School	Primary Care	Tele- phone
Control	TAU	Active Com- para- tor	TAU	TAU	TAU
Sample type	Community	Commu- nity	Commu- nity	Community	Outpa- tient
Clinical problems assessed	Anxiety, depression, sub- stance use	Depression, substance use	Anxiety, depression, sub- stance use	Anxiety, depression, substance Use	Anxiety, depression, sion, sub-
Primary target	Sub- stance use	Depression & substance use	Sub- stance use	Mental health & sub- stance use	Sub- stance use
% Male assigned sex	39%	40.4%	54%	%191%	64%
% Racial or ethnic minority	14.80%	unknown	57.40%	74.74%	unknown
Mean age (years)	18.77	21.74	13.7	15.83	17.9
Control sample size (N)	138	4	516	616	36
Int. sam- ple size (N)	255	09	694	1255	37
Study Authors & Year	Murphy et al (2019)	Deady et al., (2016)	O'Leary- Barrett et al., (2016)	Sterling et al., (2019)	Anders-son et al., (2017)



ription	onal ewing	t on	Author- reported	Yes		Yes	
Int. Description	Motivational interviewing	Any positive, significant effect on substance use					
Num- ber of outcomes assessed	3 Substance Use, 2 Mental Health	Any positive, significant effective substance use	Independent calculations	Yes		Yes	
Reg. sta- tus	OI .	signifi- mental	Author- reported	Yes		Yes	
Length of int. (number of days)	7 (avg. com- pleted)	Any positive, signifi- cant effect on mental health	Independ- ent calcu- lations	Yes		°Z	
Length of int. (number of ses-sions)	7		.,, -,,	<b>≯</b>		Z	
Length of int. (min- utes)	06	Significant author-reported	main effect?	Yes§	Yes§	Yes§	Yes§
Int. pro- vider	Doctoral- level psychol- ogists, MA- level cli- nicians, post- doctoral students	Independently calculated effect sizes for substance use outcomes	Second Follow-up	-0.225	-0.2068	-0.2923*	-0.3431*
Delivery format	Individual therapy ns	Independently effect sizes for use outcomes	First Follow-up	-0.3633*	- 0.5245*	- 0.622*	- 0.5959*
Study follow-up periods	t 1 month; 6 month; 12 months	e use	measures F	(1) Daily – Drinking Question- naire	(2) Young – Adult Alcohol Consequences	₽0 <b>-</b>	(2) Young – Adult Alcohol Conse-
Int. setting	Inpatient clinic		5		(2) A A O B		(S) A A D
Control	TAU		reported main effect?	Yes§	No. ∞o	Yes§	So N
Sample type	Inpatient	Independently calculated effect sizes for mental health outcomes	Second follow-up	-0.2374*	-0.3089*	-0.2374	-0.1464
Clinical problems assessed	Anxiety, depression, sion, sub- stance use	Independently calcular effect sizes for mental health outcomes	dn-γ				
Primary target	Sub- stance use	Inde; effec healt	First	-0.302*	- 0.1	-0.0246	0.0311
% Male assigned sex	35.1%	Mental health outcome	measures	(1) DASS (anxiety)	(2) DASS (depression)	(1) DASS (anxiety)	(2) DASS (depression)
% Racial or ethnic minority	11.60%	Com- parison condition	ror calcu- lations	TAU		TAU	
Mean age (years)	15.8	no L		iol stance ity	FAS)	orlon axa- ing	)E
Constrol sample size (N)	72	Intervention		Brief alcohol interven- tion+substance free activity	(BAI+SFAS)	Brief alcohol interven- tion+relaxa- tion training	(BAI +RT)
Int. sample ple size (N)	79			m a		B ii ti	·
Study Authors & Year	Brown et al., (2015)	Study Authors & Year		Murphy et al., (2019)			



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Study Authors & Year	Intervention	Com- parison condition	Mental health outcome	Independently calcula effect sizes for mental health outcomes	Independently calculated effect sizes for mental health outcomes	Sig- nificant author-	Sub- stance use outcome	Independently effect sizes for use outcomes	Independently calculated effect sizes for substance use outcomes	Significant author- reported	Any positive, signifi- cant effect on mental health	, signifi- n mental	Any positive, significant effect on substance use	ect on
		ror calcu- lations	measures	First follow-up	Second follow-up	reported main effect?	measures	First Follow-up	Second Follow-up	main effect?	Independ- ent calcu- lations	Author- reported	Independent calculations	Author- reported
Deady et al., (2016)	CBT/MI- based online modularized intervention	Active Com- parator	(1) Patient Health Question- naire-9	-0.4571*	-0.1861	Yes†	(1) TOT- AL (drinks per week)	-0.9883*	0.0794	$\mathrm{Yes}^\dagger$	Yes	Yes	Yes	Yes
			(depression)				(2) TOT-AL (drinking days per week)	-0.7613*	-0.2395	${ m Yes}^\dagger$				
O'Leary- Barrett et al.,	Personality targeted inter- vention	TAU	(1) Brief Symptom Inventory	Cannot calculate	Cannot calculate	Yes	(1) Binge Drinking Onset	Cannot calculate	Cannot calculate	Yes	Unknown (unable to calculate	Yes	Unknown (unable to calculate	Yes
(2016)			(anxiety)				(2) Problem Drinking Onset	Cannot calculate	Cannot calculate	No	with only regression coefficients)		with only regression coeffi- cients)	
			(2) Brief Symptom Inventory (depres-	Cannot calculate	Cannot calculate	Yes	(3) Binge Drinking Fre- quency	Cannot calculate	Cannot calculate	No O				
			sion)				(4) Rutgers Alcohol Problem Index (fre- quency)	Cannot calculate	Cannot	°Z				
Sterling et al., (2019)	Intervention for mental health symptoms and substance use	TAU	(1) Mental Health Diagno- ses	- 0.1869	-0.0942	<sup>™</sup> oN	(1) Substance Use Diagno-	-0.0587	-0.2908*	Yes †¶	N <sub>o</sub>	°Z	Yes	Yes
							30							



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/e, effect on ise	nt Author- s reported	No			Yes		
Any positive, significant effect on substance use	Independent calculations	No			Unknown (unable to calculate with only regression coefficients)		
Any positive, significant effect on mental health	Author- reported	Yes			No		
Any positi cant effect health	Independent calculations	Yes			N <sub>O</sub>		
Significant author- reported	main effect?	$ m No^{\$}_{o}$	No∞	$ m No^{8}$	Yes	Yes†	No O
Independently calculated effect sizes for substance use outcomes	Second Follow-up				Cannot	Cannot	Cannot calculate
Independently effect sizes for use outcomes	First Follow-up	-0.0562	-0.2201	-0.0187	Cannot calculate	Cannot	Cannot calculate
Sub- stance use outcome	measures	(1) AHSS (alcohol use)	(2) AHSS (drug use)	(3) Total Sub- stance Use	(1) TLFB (time to first substance use after treatment)	(2) TLFB (# of days of substance use per month)	(3) Adolescent Problem
	reported main effect?	Yes <sup>§</sup>	No§		No	No	
Independently calculated effect sizes for mental health outcomes	Second follow-up				-0.0274	0.044	
Independently calcular effect sizes for mental health outcomes	First follow-up	0.5712*	0.3746		-0.131	96000	
Mental health outcome	measures	(1) SCL- 8D (anxi- ety)	(2) SCL- 8D (depres- sion)		(1) Youth Self Report (anxiety)	(2) Youth Self Report (depression)	
Com- parison condition	for calculations	TAU			TAU		
Intervention		Phone-delivered brief personal- ized feedback			Motivational interviewing		
Study Authors & Year		Anders- son et al.,	(2017)		Brown et al., (2015)		



Table 1 (continued)			
Study Authors & Year	Intervention description	Authors' results summary	Summary of independent calculations
Murphy et al., (2019)	Brief alcohol intervention + substance free activity (BMI + SFAS) Brief alcohol intervention + relaxation training (BMI + RT)	The BMI+SFAS condition was associated with lower anxiety levels, lower substance use, and less substance use consequences. The BMI+RT condition was associated with lower anxiety levels, lower substance use, and less substance use consequences. Neither condition was associated with reduced depression individually	The BMI+SFAS condition was associated with lower anxiety levels at first and last follow-up, lower depression levels at last follow-up only, lower substance use at first follow-up only, and less substance use consequences at first follow-up only. The BMI+RT condition was associated with lower levels of substance use and substance use consequences at both first and last follow-up; no significant differences were found for depression or anxiety
Deady et al., (2016)	CBT/MI-based online modularized intervention	The intervention was associated with lower substance use, depression, and anxiety levels at first follow-up, but these differences lost significance by the last follow-up	The intervention was associated with lower substance use, depression, and anxiety levels at first follow-up, but these differences lost significance by the last follow-up
O'Leary-Barrett et al., (2016)	O'Leary-Barrett et al., (2016) Personality targeted intervention	The intervention was associated with a significant main effect on binge drinking onset, depression, and anxiety, but not on the remainder of the substance use outcomes. Significant indirect effects were found for binge drinking frequency, problem drinking onset, and problem drinking frequency	Authors did not respond to requests for data needed to calculate effect sizes
Sterling et al., (2019)	Intervention for mental health symptoms and substance use	The intervention was associated with lower substance use diagnoses relative to the control group at last follow-up, but not at first follow-up (where there was trend-level significance). No significant, positive effect of the intervention on mental health diagnoses was found overall, but rates of depression diagnoses differed between groups at 3-year follow-up	The intervention was associated with lower substance use diagnoses relative to the control group at last follow-up, but not at first follow-up (where there was trend-level significance). No significant, positive effect of the intervention on mental health diagnoses was found overall. Authors did not provide diagnosis-level data
Andersson et al., (2017)	Phone-delivered brief personalized feedback	The intervention was associated with a significant reduction in anxiety relative to the control group. No significant, positive effect of the intervention on depression or substance use was found	The intervention was associated with a significant reduction in anxiety relative to the control group. No significant, positive effect of the intervention on depression or substance use was found
Brown et al., (2015)	Motivational interviewing	The intervention was associated with longer latency to first substance use and fewer days of substance use per month, though the significance of the latter outcome attenuated across follow-ups. The intervention was not associated with a significant difference in alcohol use consequences, depression levels, or anxiety levels	No significant, positive effect of the intervention on mental health was found. Authors did not provide data needed to calculate effect sizes for substance use outcomes

Calculations were performed on group means and standard deviations unless otherwise indicated Int intervention, TAU treatment as usual

 $<sup>\</sup>ensuremath{\mathbb{T}}$  Calculations performed on group frequencies



<sup>\*</sup>Significant effect sizes (i.e., do not include zero in the confidence interval)

Lack of consistent significance across follow-ups

<sup>&</sup>lt;sup>3</sup>Calculations performed on score by time interactions

JS). Agreement on all coded variables was acceptable (for applicable variables, kappas = 0.82–1.00). The coding manual used for this data extraction phase is available at https://osf.io/btqdm/.

Authors RM and DP coded each study's publishing year, publication status, registration status, and sample type; participant demographics, including average age and age range, percentage of youths with male assigned sex, and percentages of participant racial and ethnic identities; and intervention characteristics, including the target(s) of the intervention(s), sample size, follow-up length, attrition rates, number and duration of sessions designed and attended, hours of provider training required, treatment format, treatment provider, treatment setting, and control condition. We additionally coded the number of internalizing and substance use outcomes assessed, the outcome measures used, and the type of measure (e.g., substance use frequency; depression symptom severity) for each relevant outcome. For each of these measures, we calculated the effect size at first followup and last follow-up (if more than one follow-up period occurred), and we coded which formulas were used to calculate these effect sizes. We then wrote a narrative description of the relevant study outcomes.

Lastly, to assess for bias across the included studies, we coded methodologic quality variables recommended by the Cochrane Consumers and Communication Review Group (Ryan et al., 2013) when possible. These variables included assessment of (1) random assignment, (2) masking of experimental condition allocation to study participants and investigators, (3) masking of experimental condition allocation to data collection personnel, (4) missingness and attrition, and (5) complete outcome data reporting (as determined by registration information).

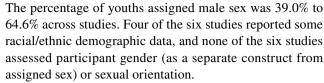
#### Results

## **Study Selection and Inclusion**

Of the 2,569 examined abstracts (2543 from database searches and 26 from manual searches), 233 full-text articles were retrieved for further consideration. Of these, 227 were excluded; reasons for exclusion are illustrated in Fig. 1. The six remaining studies were coded in full by both the first and second authors independently (RM & DP), resulting in a total of six included studies.

## **Characteristics of Included Studies**

The six youth intervention studies collectively included 3,802 youths, ranging from N=73 to N=1871 across studies (Table 1). The average participant age—unweighted by study sample size—was 17.3 years (range 13.7–21.7).



All six interventions that were evaluated in the included studies identified youth substance use as a primary target; two interventions additionally reported depression or general mental health as another primary target. Three studies examined the effects of individual (one-to-one) youth-directed therapies: one three-session alcohol use intervention, supplemented by either a substance free activity or relaxation training, was delivered by trainee clinicians in outpatient clinics (Murphy et al., 2019); one two-session motivational interviewing intervention targeting substance use was designed for delivery by doctoral-level psychologists, MA-level clinicians, and post-doctoral fellows in inpatient settings (Brown et al., 2015); and one single-session brief intervention for mental health and substance use was delivered by primary care doctors and embedded behavioral health providers in primary care settings (Sterling et al., 2019). One study evaluated the effects of a two-session group therapy targeted to personality risk for substance use that was delivered by school staff in a high/middle school setting (O'Leary-Barrett et al., 2016); another study tested the effects of a three-month automated phone messaging system that was designed to provide personalized substance use feedback (Andersson et al., 2017); and the sixth study tested a self-administered, online, four-session CBT/MIbased intervention for youths (Deady et al., 2016). Five of the six studies used "treatment as usual" as a comparison group (e.g., services received by all participants, while only the experimental groups received additional services; e.g., no standardized services or care across the control group), and the remaining study used an active comparator as a comparison group (i.e., attention-control condition). Four studies included community samples of youth; one included youths receiving outpatient behavioral health treatment; and one included youths receiving inpatient behavioral health treatment. Among the provider-delivered interventions, intervention-specific training ranged from one hour to over three days. All studies included in this review were brief (no more than 240 min total), but the interventions varied in their number and length of sessions. Interventions ranged from ~ 15 to 240 min, within 1 to 4 sessions, in periods ranging from 1 day to 12 weeks (Table 1). Follow-up periods ranged from 12 weeks to 3 years across the six studies. The mean number of youth internalizing outcomes assessed per



<sup>&</sup>lt;sup>2</sup> Except the text-based intervention, which was administered via 24 text messages.

intervention study was 1.67 (range 1–2); for substance use outcomes, the mean was 2.5 (range 1–4).

Four of the six studies were registered in public, national registries prior to trial initiation. Risk of bias across the studies was variable. In three studies, the randomization process was adequately explained; the others offered insufficient information to determine whether randomization was successful. In five studies, participants or providers were aware of participant allocation (or allocation concealment to participants/providers was not mentioned). In four studies, the individuals involved in data collection at follow-up were not aware of participant allocation; this information was unreported in other studies. Three studies showed no reporting bias when compared to public registrations, two studies did not have an available registration for comparison, and the remaining study showed some inconsistencies with regards to the substance use outcomes described in the trial registration. Five studies mentioned missing outcome data and/or attrition, while one study did not mention missingness rates for outcome variables. Attrition throughout the interventions was minimal; in four studies, the intervention completion rate (of those who began an intervention) was 100% or nearly 100%; in the remaining two studies, the rate was not mentioned or not possible to calculate. Percent lost to follow up ranged from 18.4 to 22.5%, when calculable.

# Which Interventions were Associated with Improvement in Internalizing Outcomes?

Four of six included studies reported improvement in at least one internalizing outcome in the intervention group, relative to the control (Andersson et al., 2017; Deady et al., 2016; Murphy et al., 2019; O'Leary-Barrett et al., 2016), and the effects of three of these studies were supported by independent effect size calculations by this review's first and second authors (Andersson et al., 2017; Deady et al., 2016; Murphy et al., 2019). Based on these effect sizes, only two out of three intervention conditions were associated with reductions in anxiety that were significant as an overall change score (Andersson et al., 2017) or were consistent across both follow-ups (the substance free activity condition in Murphy et al., 2019); the relaxation training condition tested by Murphy and colleagues, on the other hand, did not result in significantly different anxiety scores compared to the control condition at either time point (2019). Moreover, none of the assessed intervention conditions were associated

with significant reductions in depression outcomes that were maintained across the first and last follow-up periods of each study. For some interventions, there was no significant difference between the intervention and control conditions in change in depression scores over time (Andersson et al., 2017) or at either follow-up periods (the relaxation training condition in Murphy et al., 2019). In other studies, inconsistent effects were due to a delayed decrease in depression symptoms in the control group, which resulted in a loss of significance at last follow-up (Deady et al., 2016), or a delayed increase in depression symptoms in the control group, which resulted in a gain of significance at last follow-up (Murphy et al., 2019).

Among the three interventions associated with significant internalizing effects per independent calculations, only one identified internalizing problems as a primary target (Deady et al., 2016), whereas the others primarily targeted substance use. All three were tested in samples that skewed older (mean ages 17.9–21.7) than those in the remainder of studies (mean ages 13.7–15.8); however, few other characteristics—including sample type, percent of youths assigned male sex, percent of youths in differing racial/ethnic groups, comparison condition, treatment setting, provider characteristics, and intervention length—were shared across the three studies.

Based on author-reported calculations, O'Leary-Barrett et al. (2016) also reported significant improvement in youth depression and anxiety symptoms following a group therapy intervention in a school sample; however, we were not able to independently reproduce effect sizes based on the information reported in the manuscript (specifically, we were unable to locate standard deviations for outcome measures by intervention group assignment, and the authors were not able to provide data upon request). The intervention tested in this trial differs from other identified interventions that reduced internalizing problems in youth in terms of intervention length, intervention format and delivery, and sample demographic characteristics. The remaining interventions (Brown et al., 2015; Sterling et al., 2019) were not associated with improvement in any internalizing outcomes, which included depression symptom levels, anxiety symptom levels, and presence of a diagnosable depression or anxiety disorder.

# Which Interventions were Associated with Improvement in Substance Use Outcomes?

Five of six included studies reported improvement in at least one substance use outcome in the intervention group, relative to the control (Brown et al., 2015; Deady et al., 2016; Murphy et al., 2019; O'Leary-Barrett et al., 2016; Sterling et al., 2019), and the effects of three of these studies were supported by independent effect size calculations (Deady



<sup>&</sup>lt;sup>3</sup> Per authors' report, four intervention conditions were associated with significant decreases in anxiety symptoms across follow-ups (Andersson et al., 2017; both active conditions in Murphy et al., (2019), and O'Leary-Barrett et al. (2016), and one intervention condition was associated with consistent decreases in depression symptoms across follow-ups (O'Leary-Barrett et al., 2016).

et al., 2016; Murphy et al., 2019; Sterling et al., 2019). Based on these effect sizes, only one of the assessed intervention conditions was associated with significant reductions in substance use that were consistent across both the first and last follow-up periods of each study (Murphy et al., 2019).

Based on independent calculations, significant substance use outcomes found at any time point included daily drinking and alcohol problem severity, which were maintained across follow-ups in the relaxation training condition only (Murphy et al., 2019); substance use diagnoses, which were significantly different between the intervention and control groups at 3-year follow-up but not 1-year follow-up, indicating a potential delayed intervention effect (Sterling et al., 2019); and drinks per week and drinking days per week, which decreased in the intervention group at first follow-up but later increased between follow-ups such that group differences were no longer significant (Deady et al., 2016). All three interventions associated with significant improvements in substance use per independent calculations were tested within a community sample with similar assigned sex ratios. However, few other characteristics—including intervention target; mean sample age; portion of racial and ethnic minority youth; comparison condition; intervention setting, delivery, and length; and provider characteristics-were shared across these three studies.

Based on author-reported calculations, two additional studies reported significant effects for substance use outcomes that were not able to be independently tested in the current review—specifically, outcome standard deviations were not publicly available or accessible upon request (Brown et al., 2015; O'Leary-Barrett et al., 2016). Investigators in these studies reported significant improvements in latency to first use and in substance use frequency, the latter of which was no longer significant at last follow-up (Brown et al., 2015), as well as binge drinking onset (O'Leary-Barrett et al., 2016). The intervention tested in this trial differs from other identified interventions that reduced substance use in youth in terms of intervention target; sample type; intervention format, delivery, and provider, and sample demographic characteristics. The remaining intervention (Andersson et al., 2017), was not associated with improvement in any of the substance use outcomes, which included alcohol use, drug use, and total substance use.

<sup>&</sup>lt;sup>4</sup> Per authors' report, three intervention conditions were associated with significant decreases in substance use across follow-ups or as overall change scores (Brown et al., 2015; one active condition in Murphy et al., 2019; O'Leary-Barrett et al., 2016).



# Which Interventions were Associated with Improvement in Both Internalizing and Substance Use Outcomes?

Three studies reported improvement in at least one substance use outcome and at least one internalizing outcome in the intervention group relative to the control (Deady et al., 2016; Murphy et al., 2019; O'Leary-Barrett et al., 2016), and the effects from two of these studies were supported by independent effect size calculations (Deady et al., 2016; Murphy et al., 2019). These two studies both include multi-session. brief interventions that were tested in community samples of youths who skewed older compared to samples in the other included studies. Other characteristics (e.g., the primary target(s); the type of comparison condition; the treatment setting, format, length, and provider) differed across these two studies. Although significant effects were found in both, they were inconsistently maintained across follow-ups. We were unable to independently calculate effect sizes to test the significant findings reported by O'Leary-Barrett et al. (2016) across both internalizing and substance use outcomes due to a lack of available data. The intervention tested in this trial differs from other identified interventions that reduced both internalizing problems and substance use in youth in intervention, sample, and setting characteristics. The remaining three studies did not exhibit significant effects for both substance use and internalizing outcomes in either authorreported or independently calculated effect sizes (Andersson et al., 2017; Brown et al., 2015; Sterling et al., 2019).<sup>5</sup>

# Interventions that Did Not Produce Significant Internalizing or Substance Use Effects

Although null intervention effects were relatively frequent across the six included studies, no study reported a complete absence of significant program effects. The intervention tested by Andersson et al. (2017) was the only one of the six that had no author-reported significant substance use effects; it was also the only one to be delivered over the telephone and the only one to be delivered in an outpatient sample. This sample included a group of adolescents receiving individualized psychosocial therapy for substance use along with pharmacological treatment for withdrawal symptoms (Andersson et al., 2017). The intervention tested by Brown et al. (2015), which had no significant author-reported internalizing effects, was the only one to be delivered in

<sup>&</sup>lt;sup>5</sup> In our preregistration, we had additionally planned to use established rating criteria to characterize the state of the evidence for each included intervention. However, because each included intervention was only assessed in a single study, we ultimately decided not to pursue this step.

an inpatient sample. This sample included adolescents who were diagnosed with a substance use disorder plus a second comorbid psychiatric disorder and who were receiving inpatient treatment in two private hospitals (Brown et al., 2015). However, the other included intervention that similarly showed no author-reported significant internalizing effects was tested in a community sample (Sterling et al., 2019), as were the remaining three studies. This same intervention by Sterling et al. (2019) was the only one to be delivered in primary care, was the shortest of all the interventions, and had the highest portion of racial and ethnic minority youths (74.7%). Otherwise, these three interventions (with insignificant findings in at least one domain; Andersson et al., 2017; Brown et al., 2015; Sterling et al., 2019) did not differ substantially from the other included interventions (with significant findings in both domains; Deady et al., 2016; Murphy et al., 2019; O'Leary-Barrett et al., 2016).

#### Discussion

Through our systematic review, we identified only six existing randomized clinical trials that assessed the effects of brief psychosocial interventions on both internalizing problems and substance use outcomes in youth. The associated interventions appeared to be more consistently efficacious for substance use outcomes as compared to internalizing outcomes—potentially because most studies that qualified for inclusion tested interventions designed to target substance use, specifically, whereas internalizing problems were typically included as secondary but exploratory outcomes of interest. All six studies described a mix of positive and null intervention effects across different outcomes and time points, with many treatment-related benefits attenuating across follow-ups. With only six studies identified for inclusion, the literature in this area is sparse, and very few trials of brief interventions targeting both substance use and internalizing problems have been conducted with youth. Consequently, despite common comorbid internalizing symptoms and substance use among youth, few well-tested interventions have been developed, which are simultaneously brief and efficacious for both types of problems—and none have shown utility in samples of youth with clinically-significant internalizing or substance use problems. Furthermore, the lack of intervention condition concealment across five of the included studies and the lack of publicly available complete data from two included studies limit interpretations of efficacy for the interventions that have been identified.

Although significant findings were inconsistent across studies and follow-up time points, the identified interventions did show some promising effects. For example, the intervention tested by Deady et al. (2016) was associated with significant internalizing and substance use effects,

though all effects attenuated across follow-ups. Notably, these positive and significant effects arose from a comparison against an active comparator (attention-control condition). The interventions tested by Murphy et al. (2019) also appear promising, with some positive and significant findings for substance use reductions in both conditions (author reported and independently calculated) and some positive, significant findings for internalizing outcomes in both conditions (by author report only). O'Leary-Barrett et al. (2016) also report significant effects for both types of outcomes, though we were not able to independently test these effects. The interventions tested in these three studies differed substantially in their design, implementation, and evaluation, making cross-study comparisons difficult. Although none of the remaining three interventions significantly improved outcomes in both internalizing and substance use domains, they each showed at least one positive, significant effect in at least one domain of interest. Accordingly, although the efficacy of the included interventions differs widely and replications in diverse samples remain needed, some of the brief interventions identified in this review showed some practical promise. Furthermore, among those that emerged as promising for at least one youth outcome, several were designed for automated or remote delivery (e.g., phone-based voicemail delivery system; Web-based portal) or were implemented within very brief time periods (e.g., 1–2 sessions). Given the inherent scalability of interventions with these design features, additional trials are warranted to ascertain their utility as rapidly-disseminable, low-intensity, and low-cost supports.

Despite some promising effects in selected trials, the cross-study heterogeneity found in intervention design and delivery, measurement approaches, and sample characteristics limits our ability to interpret and integrate findings across studies in this review. No brief psychosocial intervention was tested in more than one included study, and identified interventions consistently differed in their design, setting, length, and provider type. As a result of these methodological differences, inferences about the active ingredients of the interventions remain challenging. Further complicating cross-study interpretation, outcome measures varied across every article included in this systematic review; i.e., no two identified articles used the same internalizing or substance use measures. Although many measures assessed conceptually similar constructs (most commonly: anxiety symptom severity, depression symptom severity, and substance use frequency), the operationalization of these constructs varied across measures and studies. As a result, comparisons of effect sizes across studies may be complicated by extraneous variance related to the specific method of measurement. Moreover, some studies measured other constructs (e.g., categorical depression and anxiety diagnoses, problem drinking severity, binge drinking) either in conjunction with or



instead of the most commonly used constructs, further complicating interpretation of effects across studies. Similarly, sample demographic characteristics varied substantially across studies. The percentage of racial and ethnic minority youth ranged from 11.60 to 74.74% across studies, while the percentage of youths assigned male sex ranged from 35.1 to 64%. These broad ranges, in combination with a lack of reporting on gender and sexual orientation, complicate inferences regarding differential efficacy of brief interventions for youths with differing demographic characteristics. Accordingly, the heterogeneity across included studies is too great to yield any generalizable findings. It is clear that additional research—including new tests of promising interventions identified in this review in multiple settings, contexts, and samples—is necessary to draw overall conclusions about which brief interventions are most useful for reducing comorbid internalizing problems and substance use in youth.

### **Future Directions**

Notably, neither of the interventions tested in treatmentseeking samples (i.e., inpatient or outpatient services) were associated with significant effects across both internalizing and substance use outcomes. In other words, we were not able to identify a single brief intervention that was associated with significant improvement in both internalizing and substance use problems for treatmentseeking youths, or in youths with clinically-significant mental health or substance use problems. However, many potential studies were excluded during screening due to a lack of either internalizing or substance use outcomes. Accordingly, a higher number of brief interventions than those identified may in fact be efficacious for both outcomes, but it is not possible to evaluate this possibility without the availability of outcome data in both domains. This possibility, combined with the notion that interventions may be working through common mechanisms, leads us to recommend that future investigations of brief interventions assess both substance use and internalizing outcomes, regardless of the intended target(s) of the intervention itself. For example, there is a much larger literature on brief interventions specifically targeting substance use in youth compared to those that address both substance use and internalizing outcomes (e.g., Steele et al., 2020; Tait & Hulse, 2003; Tanner-Smith et al., 2015; these substance use intervention-focused reviews have identified between 11 and 24 clinical trials each). However, examinations into the effect of these substance use interventions on comorbid internalizing problems is rare. There is currently mixed evidence as to whether brief youth-focused interventions targeting a specific substance are associated with improvements in other non-targeted substances (Tanner-Smith et al., 2015); as a result, it cannot be assumed that interventions with specific targets will generally yield positive effects on other comorbid problems. Future comparisons of cross-problem effects associated with targeted interventions and transdiagnostic interventions may help clarify whether either approach is more efficacious for youth with comorbid difficulties.

Furthermore, we recommend further investigation into potential intervention mechanisms, such that interventions may be more precisely designed to efficiently address youth difficulties (whether that involves conceptual narrowing, broadening, or other alteration). We similarly recommend that researchers consider developing, refining, and testing transdiagnostic interventions that are brief in nature and designed to address both youth internalizing and substance use concerns. Brief psychosocial interventions, which appear to be efficacious (Castellanos & Conrod, 2006; Hilt & Pollak, 2012; Schleider et al., 2020a) and acceptable for young people with diverse mental health needs (Schleider et al., 2020a), can serve as potent, accessible supports for young people. Additionally, several specific standard-length interventions that were designed to integrate substance use and internalizing problems appear to be efficacious for adults (Roberts et al., 2015; Sugarman et al., 2017). As such, it stands to reason that youths may also benefit from brief interventions designed to target problems in both domains. However, the potential of such interventions remains—as documented in this review—drastically underexamined.

Because broad variability in outcome measures limits clear interpretations of findings across studies, future investigations may benefit from establishing a consensus regarding "gold-standard" outcome measurement batteries in trials of interventions targeting anxiety, depression, and substance use. For example, there is currently an international consensus on youth-focused anxiety outcomes for use in randomized intervention trials (Creswell et al., 2021). This consensus recommends the use of multidimensional anxiety assessments that include an overall assessment of anxiety as well as assessment of specific facets of anxiety. These researchers additionally recommend including ratings of severity and of functional interference. However, to our knowledge, a similar consensus has not yet been established for youth-focused depression outcomes to use within intervention trials. While there is similarly no consensus on gold-standard measure selection for youth substance use outcomes, many evidence-based tools exist that assess substance use severity and frequency; SBIRT (screening, brief intervention, and referral to treatment) is a common tool for such pre- to post-intervention assessments (O'Brien et al., 2013). However, further investigation and tailoring is likely required to ensure the utility of standardized substance use assessments for diverse groups (Johnson & Bowman, 2003). Identification of appropriate and effective outcome measures, and convergence in their use across intervention



trials, would allow for researchers to draw conclusions related to intervention effectiveness within and across specific trials.

We also recommend more attention be paid to the role of identity in intervention response. As noted, no included studies assessed gender identity or sexual orientation. Similarly, reporting of various racial and ethnic identities was variable in included studies, with some investigations assessing identities that others did not include (e.g., a broad failure to report prevalence of Indigenous identity). Also notable is the lack of consideration given to intersectionality (e.g., the intersection of racial and gender identities) within the included studies. Because psychopathology presentation and prevalence vary across demographic groups, particularly as related to minority stress (Meyer, 2003), overall sample results cannot be assumed to generalize to specific groups. We, therefore, recommend the collection and analysis of data related to the role of minoritized identity in brief intervention response. Because brief interventions may mitigate some access barriers related to time, cost, and/or availability, they could be particularly advantageous to folks in underserved communities—but data available to date have been insufficient to test this prospect directly. Future investigations related to minoritized identity can help address such questions of differential intervention effectiveness across demographic groups.

Similarly, we recommend further study on other contextual factors related to differential intervention effectiveness. Although brief interventions have potential to be highly scalable—particularly for those that are self-directed (i.e., do not require a therapist to deliver)—questions remain around individual-level differential efficacy. In addition to demographic characteristics (such as gender and sexual identities, racial and ethnic identities, and socioeconomic status), characteristics of psychopathology (such as problem type, severity, and comorbidity) and personal characteristics (such as motivation, readiness for change, and personality) could also potentially alter one's response to particular interventions. Notably, the small number of studies included in this review, and the wide variation in the assessment of these characteristics across them, limits the ability to draw conclusions about "for whom" brief interventions are most appropriate. Researchers are currently investigating this question of "for whom" such brief interventions likely provide a sufficient dosage, an investigation which will require aggregating data across many different trials (Mullarkey & Schleider, 2021). Results of such investigations have the potential to improve treatment targeting and delivery.

# **Conclusion**

The current review adds to the limited literature on brief substance use and mental health interventions in several important ways. We have first identified a set of six brief interventions that may alleviate internalizing problems or substance use difficulties in youths, as well as a set of two to three interventions that may positively impact both sets of symptoms. Successful interventions were highly heterogeneous in both their design and their implementation. Interventions ranged from online, self-administered formats to individual therapy; from motivational interviewing to relaxation training; and from targeting depression to personality risk profiles, among other differences—perhaps suggesting that these interventions are working through common mechanisms. Overall, this review suggests that while brief interventions can be efficacious for both substance use and internalizing outcomes in youths, more work is needed to determine what intervention characteristics account for positive findings and which, if any, hinder further improvement. Notably, only six studies met all inclusion criteria for the current review, highlighting the paucity of youth intervention research that examines both substance use and internalizing outcomes. Because internalizing problems and substance use issues are highly common among youth and frequently co-occur, youth are likely to benefit from accessible interventions that address both types of outcomes; however, the results of this review suggest that these types of interventions are both infrequently researched and inconsistently efficacious.

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### **Declarations**

Conflict of interest The authors declare that they have no conflict of interest

**Ethical approval** As we do not collect any data for this systematic review, Institutional Review Board approval is not required.

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