



# Fast Cycling NO<sub>x</sub> Storage and Reduction: Identification of an Adsorbed Intermediate Pathway

Allen Wei-Lun Ting<sup>1</sup> · Michael P. Harold<sup>1</sup> · Vemuri Balakotaiah<sup>1</sup>

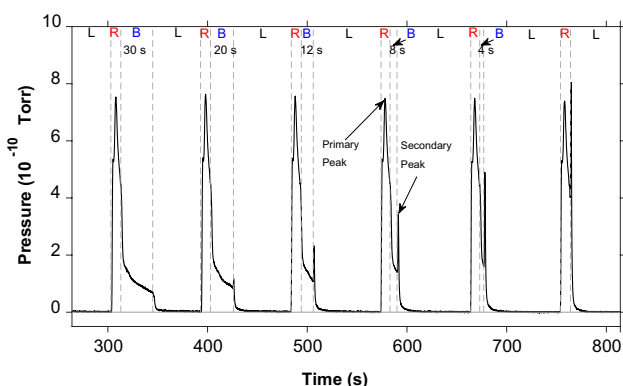
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## Abstract

An experimental study describes fast cycling NO<sub>x</sub> storage and reduction on a Pt/Rh/BaO/CeO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> monolith catalyst for emission control of lean burn vehicles. Comparison of the temporal dependence of the effluent composition when using H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> as reductants enables an assessment of the mechanism. Involvement of a surface N-containing oxygenate pathway is indicated by the appearance of peaks of N<sub>2</sub>O, N<sub>2</sub>, and CO<sub>2</sub> during the rich to lean switch. Adsorbed intermediate reactivity measurements provide further evidence.

## Graphical Abstract

m/e = 28 signal indicating thermal decomposition and oxidation of HC-intermediates under lean/rich/blank cycle of different durations.



**Keywords** Propylene · NO<sub>x</sub> reduction · NO<sub>x</sub> storage · Platinum · Ceria

## 1 Introduction

As a result of more stringent regulations for NMOG + NO<sub>x</sub> (non-methane organic gases and nitrogen oxides, respectively) emissions, research on advancing NO<sub>x</sub> reduction efficiency in mobile exhaust has been active. Lean combustion engines such as those fueled by diesel have higher fuel efficiency than stoichiometric gasoline engines. However, the reduction of NO<sub>x</sub> in the lean exhaust is a challenge. NO<sub>x</sub> storage and reduction (NSR) is an innovative method that does not require the use of ammonia-generating urea as far as the selective catalytic reduction (SCR) method.

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✉ Michael P. Harold  
mharold@uh.edu

<sup>1</sup> Department of Chemical & Biomolecular Engineering,  
University of Houston, Houston, TX 77204, USA

NSR is operated by cycling between two exhaust feeds to the lean NO<sub>x</sub> trap (LNT) catalyst, wherein NO<sub>x</sub> is stored during the lean phase of the cycle and reduced during the rich phase. One way to achieve the lean-rich switching is through direct fuel injection into the exhaust. During the lean feed, NO<sub>x</sub> is stored as nitrates and nitrites on BaO/BaCO<sub>3</sub> via NO oxidation to NO<sub>2</sub>. Upon the switch to a rich feed, the stored NO<sub>x</sub> species are reduced to the preferred product N<sub>2</sub>, and to undesired byproducts N<sub>2</sub>O and NH<sub>3</sub>. Due to the inherent kinetic, thermodynamic and mass-transport limitations along with exothermic heat effects, NSR has a narrow temperature range of high NO<sub>x</sub> conversion (~275 to 375 °C) [1, 2].

In order to keep pace with forthcoming emission rules, improvements in NSR technology have been proposed and investigated. The combination of coupled LNT and SCR with sequential or dual-layer configurations involves in-situ NO<sub>x</sub> reduction to NH<sub>3</sub> with its subsequent storage and reaction with unconverted NO<sub>x</sub> [3]. More recently, passive NO<sub>x</sub> adsorption (PNA) using Pd-exchanged zeolites has emerged as a method for trapping NO<sub>x</sub> at lower temperature encountered during the warmup phase or periods of low engine load [4]. The trapped NO<sub>x</sub> is released as the exhaust heats up, resulting in NO<sub>x</sub> release and reduction in a downstream SCR unit. A third technology involves fast cycling NSR, proposed by Toyota researchers, who coined the technology “Di-Air” (diesel NO<sub>x</sub> aftertreatment by adsorbed intermediate reductants) [5]. Through injection of hydrocarbons (such as the fuel) at a frequency as high as 2.5 Hz, NO<sub>x</sub> conversion can be enhanced, especially at temperatures exceeding 400 °C. An adsorbed HC-intermediate pathway is suggested to be responsible for the enhancement. Surface intermediates formed by reaction between NO<sub>x</sub> and HC and having sufficient thermal stability enable NO<sub>x</sub> reduction through their oxidation or decomposition during the lean phase of the cycle [6, 7]. Another path is NO decomposition over oxygen defects of ceria catalyst at temperature as high as 560 °C, a new perspective of the Di-Air system [8]. The current study examines mechanistic aspects of fast cycling NSR, motivated by the impressive performance of Di-Air.

The impact of fast cycling on NSR performance has been examined recently using the model reductant H<sub>2</sub> [9]. That study showed that a better utilization of stored NO<sub>x</sub> is enabled under fast cycling and results in significant NO<sub>x</sub> conversion enhancement over a wide temperature range (200–500 °C). A key to that study was the use of H<sub>2</sub> (without CO<sub>2</sub> in the feed) as it eliminated complications incurred through the use of HC or even CO. Based on earlier studies of conventional NSR, it is well known that the reductant identity affects LNT performance. Under slower lean-rich cycling H<sub>2</sub> is the most effective reductant, followed by CO and then HCs. In contrast, under fast lean-rich cycling, certain HCs emerge as the most effective reductant [10] with

olefins typically more effective than alkanes. In another study, propylene showed a NO<sub>x</sub> conversion enhancement comparable to H<sub>2</sub> during fast cycling [11, 12], while propane was much less effective [13]. These findings suggested that NO<sub>x</sub> conversion enhancement obtained during fast cycling may result from a surface intermediate mechanism in addition to the aforementioned stored NO<sub>x</sub> utilization mechanism. Fisher and coworkers reported that the optimized cycle time is ~1 s using ethylene, which represents a balance between better NO<sub>x</sub> storage efficiency and consumption of reductants due to mixing [14, 15]. Finally, our recent work suggests that the hydrocarbon intermediate mechanism may not be as important as the effect of better utilization of NO<sub>x</sub> storage sites in enhancing NO<sub>x</sub> conversion under fast cycling [16]. Despite its relative significance, it is important to demonstrate its existence and further estimate its contribution to NO<sub>x</sub> reduction.

The reaction between NO<sub>x</sub> and model hydrocarbons such as propylene has been studied since 1970s using a variety of catalysts. Findings from these studies provide insight about potential new pathways other than the conventional one during fast cycling NSR. Burch et al. [17] reviewed the formation of organo-nitrogen species through the reaction between acetate species or other adsorbed oxidized hydrocarbon species with surface nitrates. The reduced species of nitrogen are readily formed through organo-nitrogen species, and form N<sub>2</sub> through N atom coupling. Other studies report similar reactions [18–21]. Based on these and other studies, the formation of the HC-intermediates from reaction between C<sub>3</sub>H<sub>6</sub> and NO and their reactivity to reduce NO are possible. Surface intermediates with isocyanate, nitrile and other functional groups are detectable with DRIFTS, but their reactivity with NO<sub>x</sub> under net lean conditions, which determines its ability to enhance NO<sub>x</sub> conversion, is still unknown.

Earlier studies of NSR have suggested NO<sub>x</sub> reduction pathways different than the conventional storage and reduction pathway. Double peaks of N<sub>2</sub>O, N<sub>2</sub> and CO<sub>2</sub> have been detected as the evidence of the surface intermediates. Breen et al. [22] reported double peaks of N<sub>2</sub> and N<sub>2</sub>O when using H<sub>2</sub> and/or CO as the reductants at 250 and 350 °C in the presence of 10% H<sub>2</sub>O and CO<sub>2</sub>. The primary peak emerging right after the switch from lean to rich purportedly results from the reduction of stored NO<sub>x</sub>. The secondary N<sub>2</sub> peak occurs on the switch from rich to lean is proposed to result from the oxidation of a surface isocyanate while the one of N<sub>2</sub>O is mainly from the reaction between stored NH<sub>3</sub> and stored NO<sub>x</sub>. Chansai et al. [23] studied the effect of CO<sub>2</sub> on the secondary N<sub>2</sub> peak when using H<sub>2</sub> as the reductant at 350 °C, showing that secondary N<sub>2</sub> peak requires the presence of CO<sub>2</sub> when using H<sub>2</sub>, while no gas feed NH<sub>3</sub> is detected. Isocyanate species were detected using in-situ DRIFTS analysis during the rich period; this further relates

the second N<sub>2</sub> peak to the CO forming from the reverse water gas-shift (WGS) reaction. Dasari et al. [24] observed the secondary peak of N<sub>2</sub>O and CO<sub>2</sub>, proposing a possible isocyanate involved NO<sub>x</sub> reduction mechanism, and the hydrolysis of isocyanate forming NH<sub>3</sub> in the presence of H<sub>2</sub>O. Bartova et al. [25] detected the second peaks of N<sub>2</sub>, N<sub>2</sub>O and CO<sub>2</sub> at 150 and 200 °C using H<sub>2</sub> and CO as the reductants. The secondary peak of N<sub>2</sub>O may result from incomplete regeneration, like the reduction of stored NO<sub>x</sub> by isocyanate and NO dissociation at partially-oxidized precious metal (Pt, Pd, and Rh). Mracek et al. [26] used C<sub>3</sub>H<sub>6</sub> as the reductant without the presence of CO<sub>2</sub> at 200 to 300 °C, showing that C<sub>3</sub>H<sub>6</sub> generates a secondary N<sub>2</sub>O peak at higher temperature.

The current study integrates these results and expands to a wider temperature range. The objective is to study the reaction pathway involving surface HC-intermediates and NO<sub>x</sub> under fast cycling conditions. This is accomplished by comparing the NO<sub>x</sub> reduction performance between using different reductants, H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub>, the former which only follows the conventional mechanism in the absence of CO<sub>2</sub>, and the latter which may involve either or both pathways depending on the feed conditions. Experiments focused on the existence of the double peak feature at a low (240 °C), intermediate (330 or 370 °C), and high (484 °C) temperatures, as well as the reactivity of surface intermediates with O<sub>2</sub> and NO provide mechanistic insight. Based on the findings, a plausible reaction pathway involving HC-intermediates is proposed and its significance discussed.

## 2 Experimental Setup

### 2.1 Catalysts

A model LNT catalyst (provided by BASF, Iselin, NJ) consisting of 90 g/ft<sup>3</sup> precious group metal (PGM) with Pt/Rh mass ratio of 8:1, 15 wt% barium oxide and 34 wt% cerium oxide, and balance  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> was used in this study. The monolith catalyst was cut from a larger cylindrical core having a cell density of 400 CPSI (cells per square inch) and had a 4.6 g/in<sup>3</sup> washcoat loading. The monolith catalyst comprised ~55 channels and had a diameter (d) of 0.42 inch (1.07 cm) and a length (L) of 1 inch (2.54 cm). In order to simulate ~160,000 km aging, the catalyst sample was aged at 700 °C in air for 33 h before any performance evaluation, following the protocol reported by Toyota researchers [5].

### 2.2 Reactor System

The flow reactor setup used in this study is described elsewhere [27]. A solenoid-actuated four-way valve (Valco Inc., Micro-electric two position valve) enabled the HC pulsing via switching between lean and rich feeds. A syringe pump

(Teleyne Isco model 100DX) and vaporization system delivered water to the feed gas. The effluent gas concentrations including NO, NO<sub>2</sub>, N<sub>2</sub>O, NH<sub>3</sub>, and H<sub>2</sub>O were monitored by a FTIR spectrometer (Thermo Scientific, 6700 Nicolet) which has a 200 ml gas cell. A quadrupole mass spectrometer (QMS) monitored the m/e = 28 signal. Temperatures were measured at selected points in the reactor system with strategically-placed K-type, stainless steel sheathed thermocouples (Omega Engineering).

Insight about the reaction pathways can be obtained by monitoring the N<sub>2</sub> generation throughout the entire cycle. This was accomplished with the QMS in combination with the FTIR. The QMS-measured m/e = 28 signal was used as a semi-quantitative indicator of overlapping species N<sub>2</sub>, N<sub>2</sub>O, CO, and CO<sub>2</sub> (secondary peak), while FTIR was used to independently measure the N<sub>2</sub>O, CO, and CO<sub>2</sub> concentration. Differences in the transient response of the QMS and FTIR due to downstream mixing effects in the latter prevented a precise comparison; we elaborate on this point below, as is the estimation of the contributions of N<sub>2</sub>, N<sub>2</sub>O, CO, and CO<sub>2</sub> to the m/e = 28 signal. The QMS background signal was determined during the flow of Ar only in most experiments. When the feed contained CO<sub>2</sub> the background corresponded to a Ar/CO<sub>2</sub> mixture with the CO<sub>2</sub> concentration equal (5% CO<sub>2</sub> = 25 × 10<sup>-10</sup> Torr). The timing of the QMS and FTIR were synchronized by matching the QMS m/e = 28 signal and FTIR N<sub>2</sub>O signal during the switch from lean to rich period. The QMS was sensitive to pressure changes during this switch and resulted in a minor peak (<0.4 × 10<sup>-10</sup> Torr) right after the switch.

Differences in the transient response of the QMS and FTIR complicated the assessment of species contributions to the m/e = 28 peak. Our estimates of the response time for the QMS and FTIR are < 1 and ~4 s, respectively [9]. Thus, even a calibrated QMS may be misleading. For this reason, we report only the QMS-measured partial pressure of the m/e = 28 signal. Then through the aforementioned time synchronization of the QMS and FTIR measurements we can assess the contribution of N<sub>2</sub>O, CO and CO<sub>2</sub> to the m/e = 28 signal. This is critical for evaluating the generation of N<sub>2</sub> during the early lean period in particular. As described below, this approach is particularly revealing for experiments in which the FTIR-measured N<sub>2</sub>O, CO and CO<sub>2</sub> concentrations are low over the time period of interest.

Several feed mixtures were used and are detailed in Table 1. In Set I, the rich feed comprised two types; the “aerobic rich feed contained” 9% H<sub>2</sub>/1% C<sub>3</sub>H<sub>6</sub>, and 2.5% O<sub>2</sub> while the “anaerobic rich feed” contained 4% H<sub>2</sub>/0.45% C<sub>3</sub>H<sub>6</sub>, and 0% O<sub>2</sub>. The reductant concentrations for the latter were selected based on complete consumption of 2.5% O<sub>2</sub> in the former experiments; in so doing this gave equal levels of “excess” reductant as quantified by the rich (R) feed stoichiometric number, S<sub>N,R</sub>, given by.

$$S_{N,R} = \frac{2[\text{O}_2] + [\text{NO}]}{[\text{CO}] + [\text{H}_2] + 9[\text{C}_3\text{H}_6] - 2[\text{O}_{2,R}]}, \quad (1)$$

where for example,  $[\text{O}_{2,R}]$  is the  $\text{O}_2$  concentration in the rich feed. The choice of the two feeds is described in more detail in the Sect. 3. The lean feed also contained 5%  $\text{O}_2$ , 500 ppm NO, 0/3.5%  $\text{H}_2\text{O}$  and 5%  $\text{CO}_2$  while both rich feeds contained the same concentrations of NO,  $\text{H}_2\text{O}$ , and  $\text{CO}_2$ . The Set II feed was the same as Set I except for 1000 ppm NO, 0%  $\text{H}_2\text{O}$  and 0%  $\text{CO}_2$ .

Two different timing protocols were used to study the injection frequency impact: 60 s lean/10 s rich (60/10) and 6 s lean/1 s (6/1) rich. Prior to each set of experiments, the catalyst was pretreated at 400 °C for 15 min to 30 s lean/5 s rich and 6 s lean/1 s rich conditions, respectively. For each experimental set, a cyclic steady state was reached typically within 30 min.

Since the aim of this study is to evaluate the existence and reactivity of surface HC-intermediates, the focus was on the early lean period during which HC-intermediates had been just formed and then exposed to an oxidizing atmosphere. The data during the rest of the cycle, shown for completeness, provide insight about the comparative behavior of the different reductants.

### 3 Results

#### 3.1 Double Peaks with Anaerobic Rich Feed Without $\text{CO}_2$

Figure 1 compares the QMS-measured  $m/e = 28$  signal, along with the FTIR-measured CO,  $\text{CO}_2$ , and  $\text{N}_2\text{O}$  effluent concentrations, with  $\text{H}_2$  (blue) and  $\text{C}_3\text{H}_6$  (red) as sole reductants for a slow-cycling (60/10) feed at temperatures of 240, 330, and 484 °C. The rich feed is anaerobic and without  $\text{H}_2\text{O}$  or  $\text{CO}_2$ , i.e. feed Set 2 in Table 1.

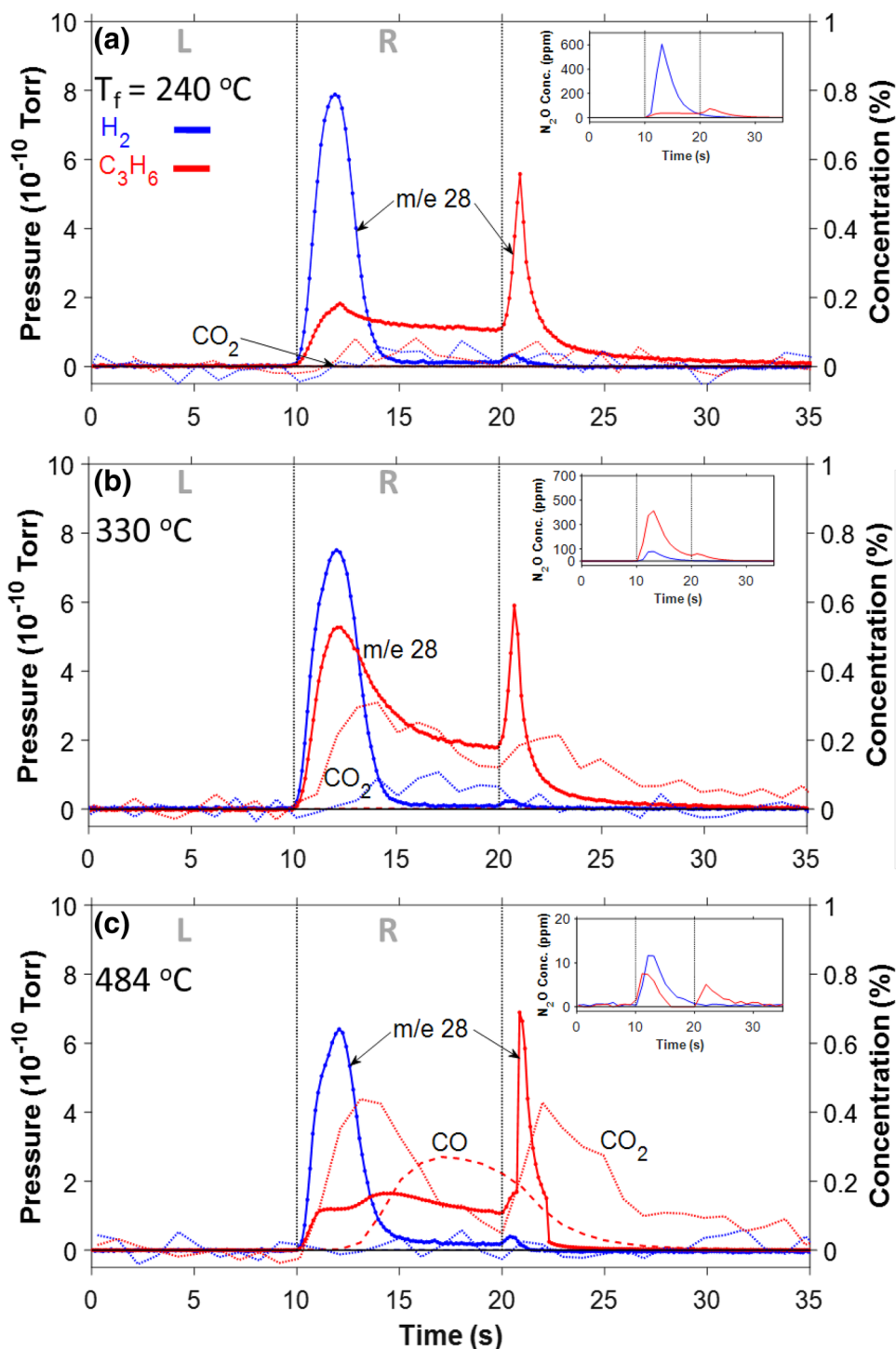
When using  $\text{H}_2$  as reductant, the  $m/e = 28$  signal appears during the first 5 s of the rich feed. There is also a small peak occurring just after the switch from rich to lean feeds. The corresponding FTIR CO and  $\text{CO}_2$  concentrations are negligible (as expected) during the entire cycle (not shown), implying that  $\text{N}_2$  is the sole  $m/e = 28$  species. Following the conventional NSR mechanism, the  $\text{N}_2$  forms from the reduction of stored NOx [28]. With increasing feed temperature, the intensity of the primary peak decreases. This is attributed to a decreasing NOx storage capacity and increasing  $\text{NH}_3$  selectivity with temperature [9]. The second, minor peak results from the pressure change during the switch as mentioned previously.

In contrast, when using  $\text{C}_3\text{H}_6$  as the reductant, two  $m/e = 28$  peaks are detected for each of the feed temperatures. At 240 and 330 °C, the primary peak spans the entire rich period with a maximum occurring within the first 5 s, while the second peak appears after the feed switch from rich to lean. At 484 °C, two shallower peaks occur during the rich period. The lean feed  $m/e = 28$  peak is likely a result of species generated from the oxidation of adsorbed intermediates. These unidentified species accumulate during their generation from reaction between  $\text{C}_3\text{H}_6$  and stored

**Table 1** Experimental conditions and catalyst properties

| Experimental conditions  | Set 1<br>Aerobic  | Set 1<br>Anaerobic   | Set 2  |
|--------------------------|---|--|--|
| GHSV (1/h)               | 74,000  | 74,000   | 74,000   |
| Cycle time (s)           | 60/10, 6/1  | 60/10, 6/1   | 60/10, 30/5, 6/1   |
| Lean feed                | 5% $\text{O}_2$   |  |  |
| Rich feed                | 2.5% $\text{O}_2$<br>9% $\text{H}_2$ /<br>1% $\text{C}_3\text{H}_6$ | 0% $\text{O}_2$<br>4% $\text{H}_2$ /<br>0.45% $\text{C}_3\text{H}_6$ | 0% $\text{O}_2$<br>4% $\text{H}_2$ /<br>0.45% $\text{C}_3\text{H}_6$ |
| Both feeds               | 500 ppm NO<br>5% $\text{CO}_2$<br>0/3.5% $\text{H}_2\text{O}$       |  | 1000 ppm NO<br>0% $\text{CO}_2$<br>0% $\text{H}_2\text{O}$           |
| Catalyst properties      |   |  |  |
| L (cm)                   | 2.54  |  |  |
| PGM (g/ft <sup>3</sup> ) | 90  |  |  |
| BaO (wt%)                | 15  |  |  |
| CeO <sub>2</sub> (wt%)   | 34  |  |  |

**Fig. 1** Comparison of *m/e* 28 signal (solid line with circle marker) from mass spectrometer, CO (dashed line) and CO<sub>2</sub> (dotted line) and N<sub>2</sub>O (corner subplot) effluent concentration from FTIR between using H<sub>2</sub> (blue) and C<sub>3</sub>H<sub>6</sub> (red) as reductants at 240 (a), 330 (b), and 484 (c) °C feed temperatures under slow cycling (60/10) with anaerobic rich feed. Feed condition is as set 2 in Table 1. [*m/e*=28 signal for C<sub>3</sub>H<sub>6</sub> is divided by 5 at 484 °C]



NO<sub>x</sub> during the rich feed [7]. Two peaks of CO<sub>2</sub> are noted at 330 °C and 484 °C, one during the rich feed and the other during the lean feed. These are likely a result of the oxidation of the C<sub>3</sub>H<sub>6</sub> during the rich feed and HC-intermediates during the lean feed. Reduction of stored and fed NO by C<sub>3</sub>H<sub>6</sub> occurs during the early rich period, while oxidation of HC-intermediates by O<sub>2</sub> occurs during the early lean period. Finally, a CO peak occurs only at 484 °C, likely from the

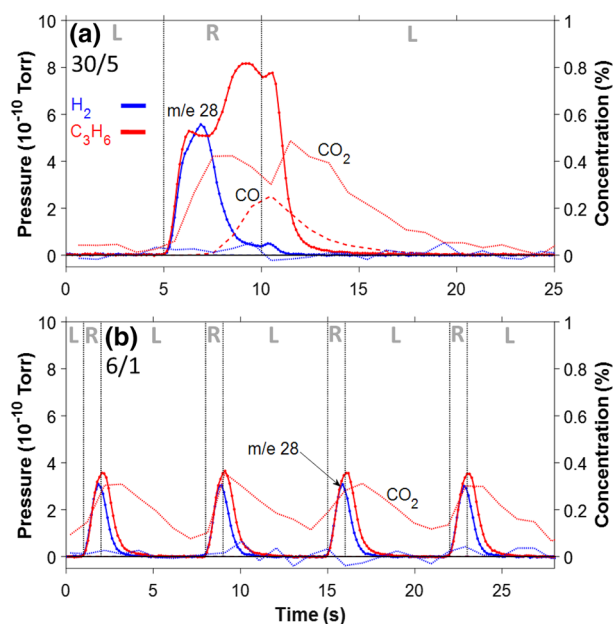
partial oxidation of C<sub>3</sub>H<sub>6</sub> by ceria oxide. We expand more on the latter point below [29].

The inset plots in Fig. 1 compare N<sub>2</sub>O generated with the two reductants. When using H<sub>2</sub>, only one peak of N<sub>2</sub>O is observed during the rich feed for all three feed temperatures. The magnitude of N<sub>2</sub>O decreases from 600 to 80 and to 12 ppm as the feed temperature increases from 240 to 330 and to 484 °C. In contrast, for C<sub>3</sub>H<sub>6</sub>, two peaks of N<sub>2</sub>O are



detected at all feed temperatures. The first N<sub>2</sub>O peak spans the entire rich feed and is sustained at ~40 ppm at 240 °C and a more intense peak of ~400 ppm is obtained at 330 °C, while at 484 °C the N<sub>2</sub>O peak is ~7 ppm. A second N<sub>2</sub>O peak is detected for each feed temperature, with the peak at ~70 ppm at 240 and 330 °C, and at ~5 ppm at 484 °C. This N<sub>2</sub>O secondary peak is likely generated from reactions between adsorbed intermediates and residual stored NO<sub>x</sub> [22].

Figure 2 compares the QMS *m/e* = 28 signal, and the FTIR CO and CO<sub>2</sub> effluent concentrations when using H<sub>2</sub> (blue) and C<sub>3</sub>H<sub>6</sub> (red) as the reductant. In these experiments, the feed temperature is 484 °C and the cycle time is 30/5 (a) and 6/1 (b); the 60/10 data are shown in Fig. 1c. Only one prominent *m/e* = 28 peak is detected when using H<sub>2</sub> for each of the cycle times. Complex, multi-peak features are seen with C<sub>3</sub>H<sub>6</sub>. For example, for the 30/5 cycle, two peaks are clearly evident during the rich period and a third peak is observed during the lean feed. The lean feed peak was also encountered for the slower 60/10 cycle, and appears coincidentally with a CO<sub>2</sub> peak. The fast cycling 6/1 data show a single *m/e* = 28 peak that appears during the rich period and extends into lean period. These trends are discussed later in terms of the underlying reaction pathways.



**Fig. 2** Comparison of *m/e* 28 signal from mass spectrometer, CO and CO<sub>2</sub> effluent concentration from FTIR between using H<sub>2</sub> (blue) and C<sub>3</sub>H<sub>6</sub> (red) as reductants at 484 °C feed temperature under cycle times of 30/5 (a) and 6/1 (b) with anaerobic rich feed. Feed condition is as Set 2 in Table 1

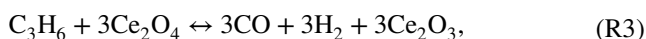
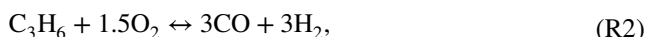
### 3.2 Double Peak with Anaerobic Rich Feed with CO<sub>2</sub>

Similar experiments were carried out with 5% CO<sub>2</sub> included in the lean and anaerobic rich feeds. The presence of the feed CO<sub>2</sub> results in CO formation; this complicates the data analysis as discussed below. Figure 3 compares the *m/e* = 28 (N<sub>2</sub>, CO, CO<sub>2</sub>) along with the FTIR CO and N<sub>2</sub>O effluent concentrations for H<sub>2</sub> (blue) and C<sub>3</sub>H<sub>6</sub> (red) at 240, 370, and 483 °C during slow cycling (60/10) with an anaerobic rich feed. Both reductants show double peaks for the *m/e* = 28 signal but at different feed temperatures. For H<sub>2</sub>, the second peak is prominent at 240 °C, while for C<sub>3</sub>H<sub>6</sub> the second peak occurs at 370 and 483 °C feed temperatures. For both reductants, the rich feed peak is followed by a plateau spanning the remainder of the rich period that extends for a few seconds into the lean period.

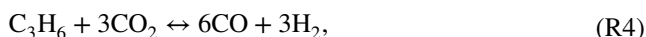
These data are more difficult to decipher than the CO<sub>2</sub>-free data of Fig. 1 since CO is detected for all temperatures (for H<sub>2</sub>) and at 483 °C (for C<sub>3</sub>H<sub>6</sub>). Its origin in the H<sub>2</sub> reductant experiments is via the reverse WGS reaction:



while for the C<sub>3</sub>H<sub>6</sub> reductant experiments CO is likely formed via propylene partial oxidation with O<sub>2</sub> or oxidation by ceria-supplied stored oxygen:



Dry reforming of propylene may also contribute:

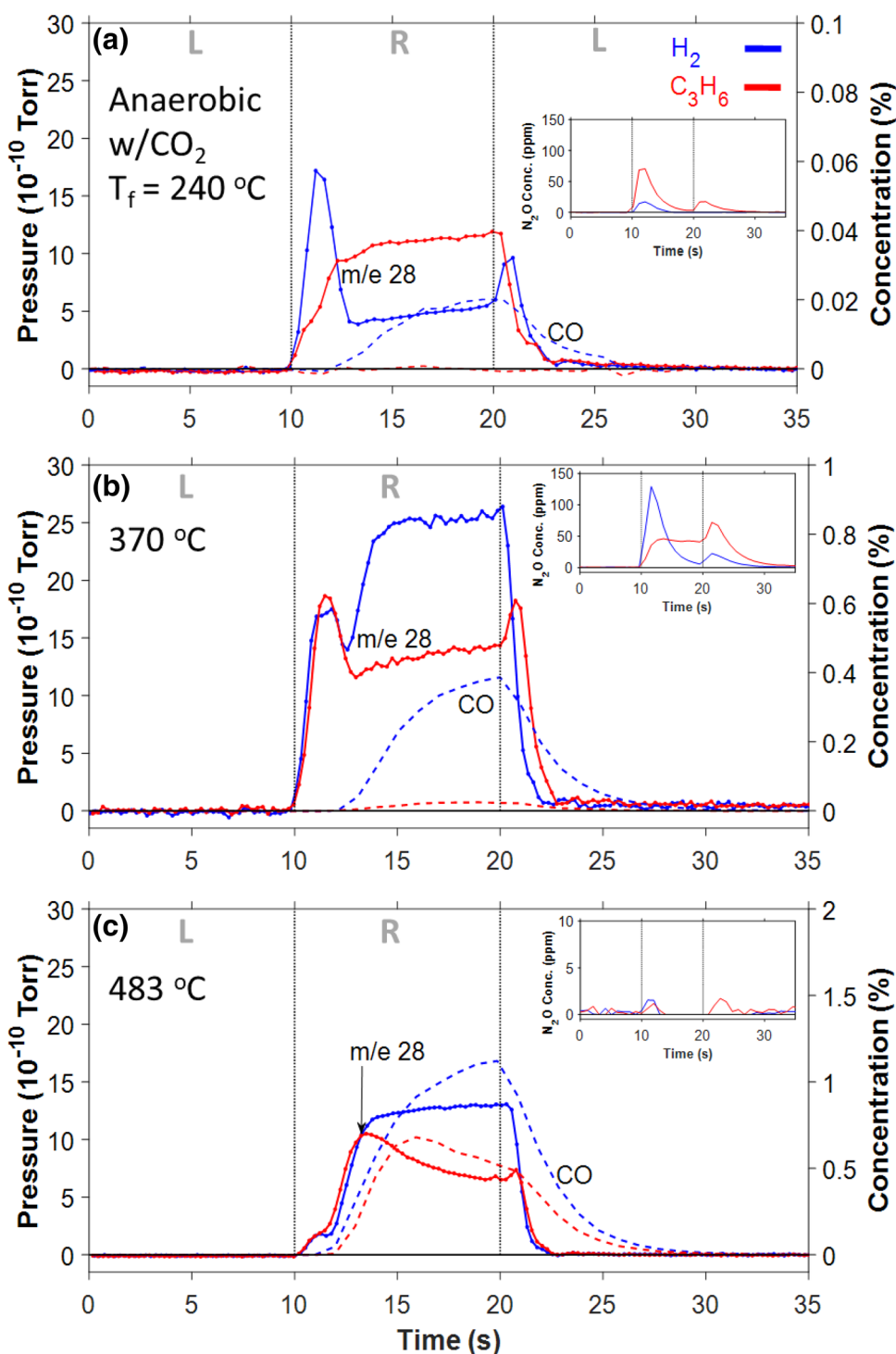


The inset plots in Fig. 3 compare the N<sub>2</sub>O effluent data for each of the experiments. For C<sub>3</sub>H<sub>6</sub>, secondary N<sub>2</sub>O peaks of 75 and 20 ppm are detected at temperatures of 240 and 370 °C, respectively; however, double peaks of N<sub>2</sub>O are only observed at 240 °C for the case of H<sub>2</sub>. A negligible amount of N<sub>2</sub>O is detected at 483 °C.

### 3.3 Reactivity of HC-Intermediates

A key mechanistic issue regards the existence and reactivity of hydrocarbon surface intermediates when using a hydrocarbon reductant. In order to probe this issue, a series of experiments were carried out to provide evidence, albeit indirect, for reactive surface HC species. Our approach was to subject the catalyst to the conventional slower lean-rich cycle (60/10 timing). Upon establishing a cyclic steady state, at the end of the final rich pulse the catalyst was exposed to an inert atmosphere (Ar only) of varying duration. The effluent was analyzed with the QMS and FTIR throughout the experiment. In discussion which follows, notation is used to depict the feed sequence of varying duration as 10/*t<sub>r</sub>*/(80 - *t<sub>r</sub>*) corresponding to Rich/Inert/Learn, where *t<sub>r</sub>* represents the

**Fig. 3** Comparison of m/e 28 (solid line with circle marker) from mass spectrometer, CO (dashed line) and N<sub>2</sub>O effluent concentration from FTIR between using H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> as reductants at 240 (a), 370 (b), and 484 (c) °C feed temperatures under slow cycling (60/10) with anaerobic rich feed in the presence of 5% CO<sub>2</sub>. Feed condition is as Set 1 in Table 1



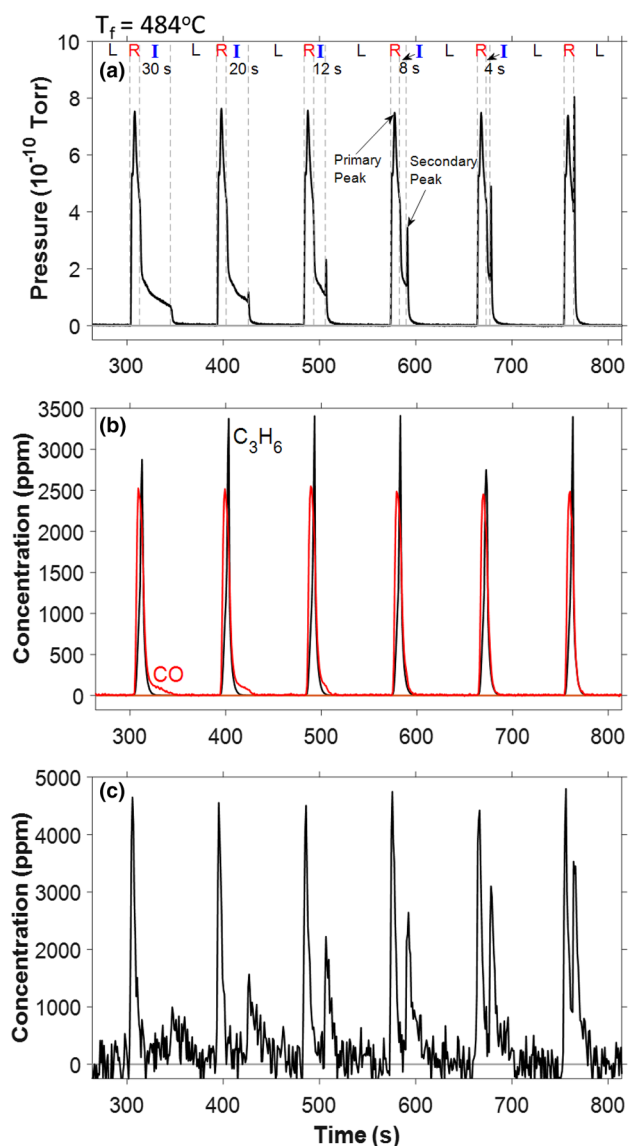
duration of the Inert feed; e.g. 10/20/60 corresponds to a 60/10 lean-rich cycle that has reached cyclic steady state that is followed by a 20-s Inert feed. In the set of experiments to be described the 484 °C rich feed is anaerobic and devoid of CO<sub>2</sub>. N<sub>2</sub>O is negligible (<5 ppm) at this high temperature.

Figure 4 shows the QMS-measured m/e = 28 signal (a), and the FTIR-measured CO, C<sub>3</sub>H<sub>6</sub> (b) and CO<sub>2</sub> (c)

concentrations during this series of experiments in which the Inert feed duration was varied from 0 to 30 s. The 10/0/80 data (last peak to the right in Fig. 4a) shows the expected result (Fig. 4a); i.e., a dual m/e = 28 peak, generation of CO with breakthrough of unreacted C<sub>3</sub>H<sub>6</sub> (Fig. 4b), and a dual CO<sub>2</sub> peak (Fig. 4c). As the duration of the Inert feed was increased from 0 to 30 s (right to left in Fig. 4a), the second

peak decreased in intensity while a decaying tail emerged between the first and second peaks. Finally, for the longest duration Inert experiment of 30 s, only a single peak and decay feature was measured.

These data clearly show a persistent but decreasing magnitude of the  $m/e = 28$  signal upon the introduction of the lean feed. Interpretation of this trend depends on a reliable assessment of the relative contributions to the  $m/e = 28$  peak by  $N_2$ ,  $CO_2$ ,  $CO$ , and  $N_2O$ . This is accomplished through examination of the FTIR-measured concentrations of the latter three species. We consider each one-by-one.



**Fig. 4** The  $m/e = 28$  signal from mass spectrometer (a),  $CO$  and  $C_3H_6$  (b), and  $CO_2$  (c) effluent concentration from FTIR at  $484^\circ C$  feed temperature under cycle times of 10/30~0/50~80 s (Rich, Inert, Lean,) with lean and rich feed described in set 2 in Table 1

Regarding  $CO$ , Fig. 4b shows that  $CO$  was detected during the short rich period, with maximum concentration of  $\sim 2500$  ppm. There is also a short-lived tail that coincides with the  $m/e = 28$  tail. However, upon the switch from the Inert to lean feed there is no detectable second peak. This rules out a contribution of  $CO$  to the second  $m/e = 28$  peak.

Regarding  $N_2O$ , its contribution to the second peak is also negligible. For  $N_2O$  the relative intensity of the  $m/e = 28$  to the parent  $m/e = 44$  peak is  $\sim 15\%$  [30]. Fig. S1 provides the  $N_2O$  mass spectrum. More importantly, with  $C_3H_6$  as the reductant, the maximum  $N_2O$  concentration measured with the FTIR was  $\sim 5$  ppm at  $484^\circ C$  (Fig. 1a). At these temperatures  $N_2O$  formation is known to be negligible due to the N–O bond scission [31]. This amount is small and negligible.

Unlike  $CO$  and  $N_2O$ , the third non- $N_2$  component,  $CO_2$ , cannot be ruled out as contributing to the second  $m/e = 28$  peak. The extent of its contribution relies on comparison of the intensity of the  $m/e = 28$  peak along with the FTIR-measured concentration. As shown in Fig. S2 in Supplementary Material, the  $CO_2$  concentration increases linearly with the decreasing Inert feed duration, while the  $m/e = 28$  signal increases non-linearly. With the  $CO^+$  peak of  $CO_2$  linearly dependent on the  $CO_2$  concentration, this implies that the only remaining  $m/e = 28$  component is  $N_2^+$ . Thus, the surface species contains both N and C, and likely H and O, and during exposure to  $O_2$  generates a mixture of  $N_2$  and  $CO_2$ . Furthermore, Fig. S2 shows that there is less  $N_2$  contribution to the secondary peak in the longer duration Inert feed experiments. This suggests that the decaying  $m/e = 28$  tail observed during the Inert feed also contains  $N_2$  in order to satisfy the N balance. The implications of these findings to the underlying reaction pathways will be addressed in the Sect. 4.

Figure 5 shows another set of experiments in which the catalyst was first exposed to Ar, then  $O_2$  (5% in Ar) or  $NO$  (1000 ppm in Ar), followed by a conventional 60/10 lean/rich cycle. The sequence was run at least three times to establish repeatable results. The notation 11/10  $O_2$ /59/10 corresponds to an 11 s Inert, 10 s  $O_2$ /Ar, then a 59/10 lean rich cycle. Experiments with reductants  $H_2$  (blue) and  $C_3H_6$  (red) are compared. The rich feeds are anaerobic and devoid of  $CO_2$ . Experiments in Fig. 5a, d correspond to 20/0/60/10 s, Fig. 5b, e correspond to 11/10  $O_2$ /59/10, while Fig. 5c, f correspond to 11/10  $NO$ /59/10. Figure 5a–c show the effluent QMS  $m/e = 28$  signal, and (d–f) show the  $CO$  and  $CO_2$  FTIR concentrations when using  $C_3H_6$ .

With  $H_2$  as the reductant only one  $m/e = 28$  peak (blue) is seen at the beginning of the rich period for the inert Ar and Ar +  $O_2$  exposure experiments (blue curves in Fig. 5a, b). During the Ar +  $NO$  exposure experiment a second peak with a plateau is evident (Fig. 5c). In contrast, multiple peaks are seen with  $C_3H_6$  for each of the three experiments. As seen in



**Fig. 5** Comparison of  $m/e$  28 signal **a–c** from mass spectrometer between using H<sub>2</sub> (red) and C<sub>3</sub>H<sub>6</sub> (blue) as the reductant, and CO (red), and CO<sub>2</sub> (blue) effluent concentration from FTIR for C<sub>3</sub>H<sub>6</sub> only **d–f** at 525 °C feed temperature under cycle times of 20/0/60/10 s (Inert, 5% O<sub>2</sub> or 1000 ppm NO only, Lean, and Rich) for **a** and **d**, 11/10 O<sub>2</sub>/59/10 for experiments **b** and **e**, and 11/10 NO/59/10 for experiments **c** and **f** with lean and rich feed described in set 2 in Table 1

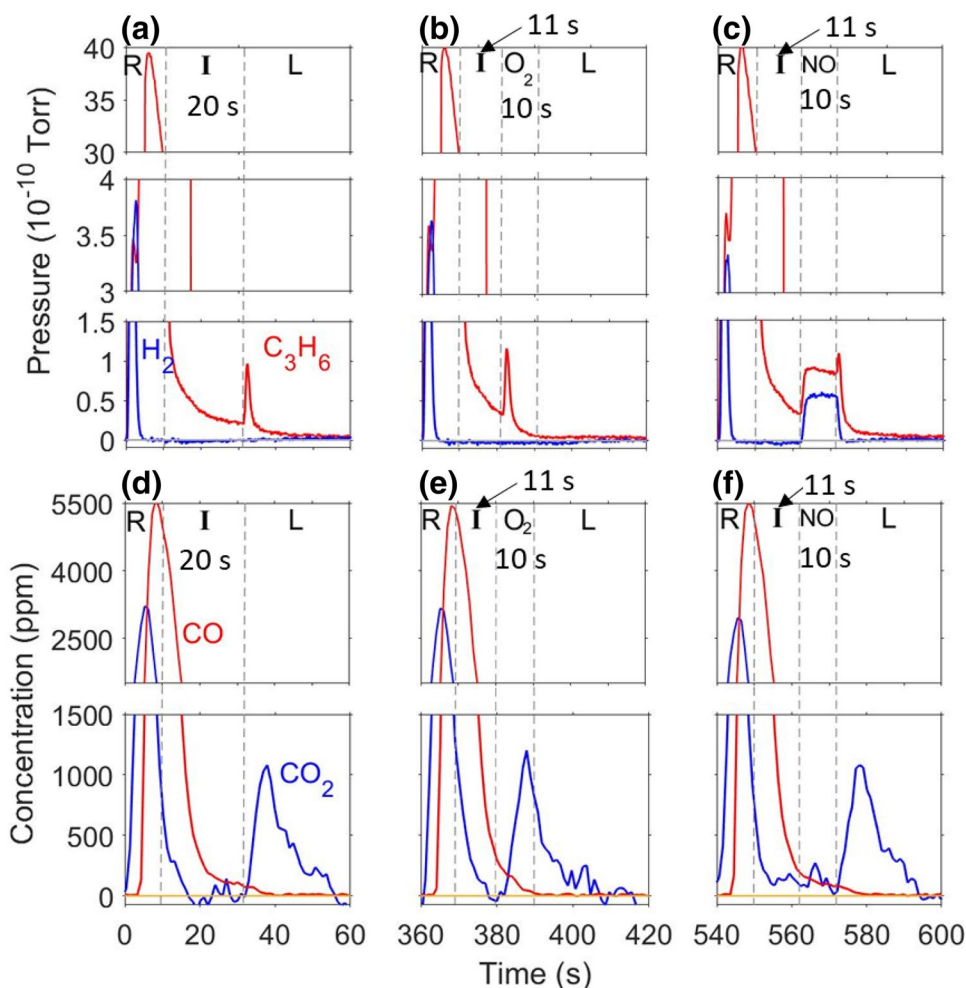


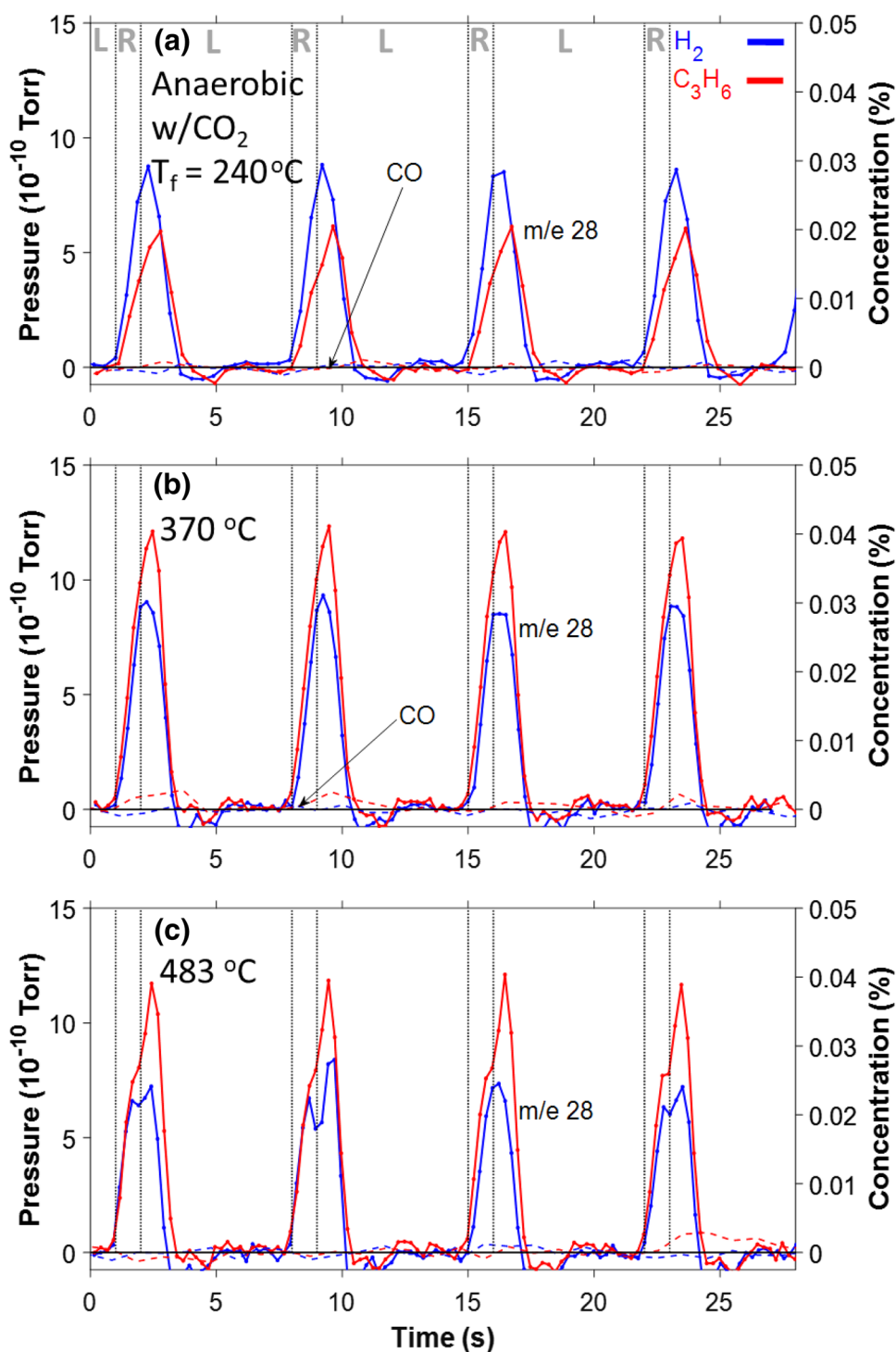
Fig. 5a, a decaying signal exists during the Inert feed period, and an abrupt peak appears right after the switch from rich to lean period (as is reported in reference to Fig. 4a). Figure 5b shows that when the Inert feed is replaced with a 10 s O<sub>2</sub>/Ar feed this gives a shorter decay period with the peak evident at the onset of the O<sub>2</sub>/Ar feed. As seen in Fig. 5c, when a 10 s NO/Ar feed replaces the O<sub>2</sub>/Ar feed, the decaying signal is still 10 s long, but a nearly constant  $m/e = 28$  signal exists when only NO is fed. The peak occurs at the beginning of the lean period as in Fig. 5a. Examination of Fig. 5d, e show a correspondence of CO<sub>2</sub> production (peaks) with the  $m/e = 28$  peaks reported in Fig. (a, b), respectively. This is consistent with earlier data (Fig. 4). Similar trends are seen in comparing Fig. 5c, f for the Ar/NO experiment. The lack of CO<sub>2</sub> evolution during the NO exposure is negligible, in stark contrast to the O<sub>2</sub> exposure in Fig. 5b, e. We expand on this point in Sect. 4.

### 3.4 Analysis of $m/e = 28$ Under Fast Cycling

As discussed earlier, the enhancement in NO<sub>x</sub> conversion with fast injection of C<sub>3</sub>H<sub>6</sub> is a result of more effective stored NO<sub>x</sub> utilization contribution by oxidation a surface N-containing oxygenate. In addition, a large temperature rise may be encountered during HC injection into a feed containing O<sub>2</sub> [9]. It is therefore of interest to follow the evolution of the  $m/e = 28$  signal using an aerobic rich feed during fast cycling. It is noted that N<sub>2</sub>O is negligible under fast cycling at 370 and 483 °C (not shown here).

Figure 6 compares the  $m/e = 28$  signal and CO concentration for experiments with reductants H<sub>2</sub> (blue) and C<sub>3</sub>H<sub>6</sub> (red) at feed temperatures of 240 (6.a), 370 (6.b), and 483 °C (6.c) during fast cycling (6/1), and with an anaerobic rich feed containing 5% CO<sub>2</sub>. For all feed temperatures, a negligible amount of CO was detected by the FTIR and therefore the  $m/e = 28$  peak is attributed to N<sub>2</sub>. The  $m/e = 28$  signal appears during the reductant injection and extends into the lean period for both reductants for each of the feed temperatures. At 240 °C, the smaller

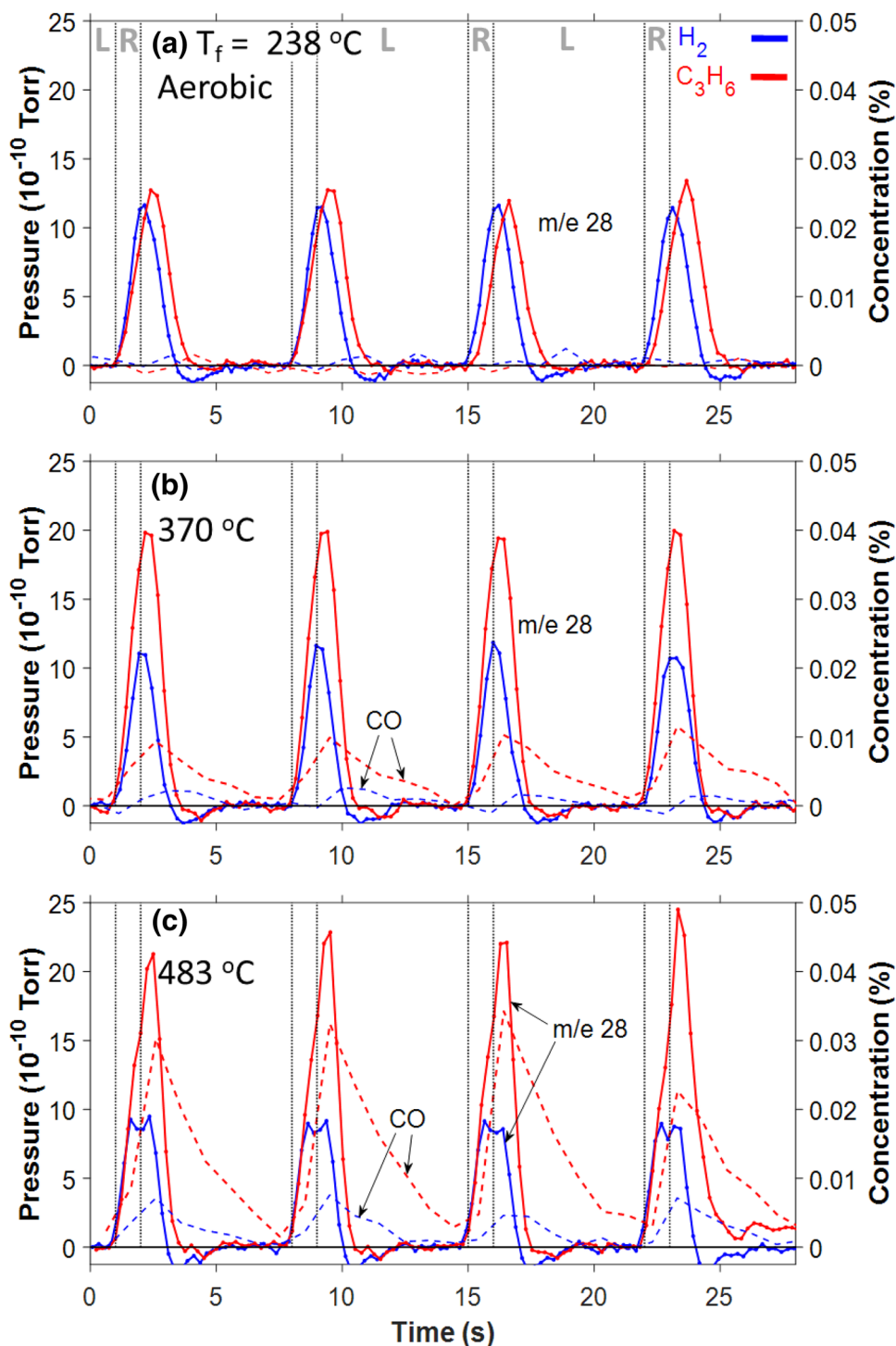
**Fig. 6** Comparison of  $m/e$  28 signal from mass spectrometer, CO effluent concentration from FTIR between using  $H_2$  and  $C_3H_6$  as reductants at 238 (a), 370 (b), 483 °C (c) feed temperatures under cycle times of 6/1 with anaerobic rich feed and in presence of 5%  $CO_2$ . Rich period is enclosed within two vertical bars. Feed condition is as aerobic set 1 in Table 1



$m/e = 28$  peak obtained for  $C_3H_6$  is attributed to its lower reactivity which lessens the extent of  $NO_x$  reduction. In contrast, at the higher temperatures of 370 and 483 °C, reduction by  $C_3H_6$  gives a more intense  $m/e = 28$  signal than by  $H_2$ . There is some indication of a split peak at 483 °C with  $H_2$ .

Figure 7 shows analogous data for the aerobic rich feed. For  $H_2$ , the  $m/e = 28$  peak is similar to that obtained under anaerobic conditions (Fig. 6), although the peak is ~20–30% higher. This is explained in part by the exotherm from  $H_2$  oxidation which increases the catalyst temperature by 60–100 °C [9]. CO formation also increases due to the partial oxidation of  $C_3H_6$  although

**Fig. 7** Comparison of m/e 28 signal from mass spectrometer, CO effluent concentration from FTIR between using H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> as reductants at 238 (a), 370 (b), 483 (c) °C feed temperatures under cycle times of 6/1 with aerobic rich feed and in presence of 5% CO<sub>2</sub>. Rich period is enclosed within two vertical bars. Feed condition is as aerobic set 1 in Table 1



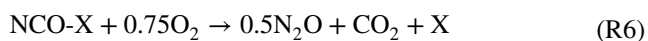
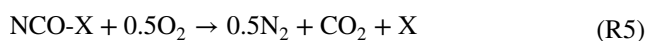
the CO concentration does not exceed 350 ppm. Similarly, the m/e = 28 peak obtained with C<sub>3</sub>H<sub>6</sub> is more intense than that obtained with the anaerobic feed. The exotherm increases the temperature by 20 and 90 °C at 238 and 370 °C feed temperatures, respectively. This temperature rise helps to mitigate the inhibition effect, generating more N<sub>2</sub> through a faster regeneration rate. Overall,

C<sub>3</sub>H<sub>6</sub> is the more effective reductant for the aerobic feed as indicated by the more intense m/e = 28 peak.

## 4 Discussion

Detailed features of the reacting and product species concentrations during lean-rich switching provide evidence for competing NO<sub>x</sub> reduction pathway(s). The conventional NSR performance features and mechanism is by now well established in the literature and is briefly summarized here. With H<sub>2</sub> as the reductant and in the absence of CO<sub>2</sub>, generation of N<sub>2</sub> and N<sub>2</sub>O occur during the first part of the rich feed, followed by NH<sub>3</sub> during the latter part [32]. The emergence of NH<sub>3</sub> after N<sub>2</sub> is a result of the depletion of stored NO<sub>x</sub> through reaction with H<sub>2</sub> upstream and NH<sub>3</sub> downstream. No N<sub>2</sub> is detected during the lean feed of the cycle. Moreover, NO<sub>x</sub> stores as nitrites [Ba(NO<sub>2</sub>)<sub>2</sub>] and nitrates [Ba(NO<sub>3</sub>)<sub>2</sub>] through reaction with BaO, Ba(OH)<sub>2</sub>.

The introduction of CO<sub>2</sub> to the feed leads to the formation of Ba(CO<sub>3</sub>)<sub>2</sub> which is more stable than Ba(OH)<sub>2</sub> and BaO [17, 24]. This results in a lower NO<sub>x</sub> storage capacity for a given temperature [33]. In addition, a second peak of N<sub>2</sub> may appear during the lean part of the cycle. This is a result of participation of surface isocyanates [24]. With CO<sub>2</sub> in the feed, CO is formed via the reverse WGS reaction (R1). CO itself participates in NO<sub>x</sub> reduction through Pt-catalyzed reaction of adsorbed CO and NO, leading to the formation of N<sub>2</sub> and N<sub>2</sub>O. Another route involves the formation of surface isocyanates NCO-X [6, 23–26], which may accumulate on both the Pt and adjacent BaO and CeO<sub>2</sub> surfaces. As a result, oxidation of the isocyanate may occur during the lean feed; potential surface reactions include the following:



where X denotes a binding site. Several studies have reported the second N<sub>2</sub> and/or N<sub>2</sub>O peak during the lean feed of the cycle when CO is present. Expanding on this point, double peaks of N<sub>2</sub>, N<sub>2</sub>O and CO<sub>2</sub> are evidence of an alternative NO<sub>x</sub> reduction pathway involving HC intermediates, isocyanates, etc. The double peaks are generally thought to occur during the switch from rich to lean involving reactions like (R5) and (R6).

Most researchers to date have reported double peaks at temperatures below 350 °C, with anaerobic rich feeds, and for conventional NSR cycle times of 1 min and rich injection times of several seconds or longer. In the current study, double peaks were observed over a more diverse set of conditions, including not only anaerobic rich feeds at low to intermediate temperature, but also aerobic rich feeds at high temperature. For example, prominent double peaks of N<sub>2</sub>, CO<sub>2</sub>, and N<sub>2</sub>O were encountered at 484 °C using an anaerobic feed and the 70 s (60/10) cycle (Fig. 1c). The double peak feature is sustained as the cycle is shortened from 70 to 35 s (Fig. 2a). And while only a single peak is

evident during the 7-s cycle (Fig. 2b), N<sub>2</sub> production persists into the first part of the lean feed and infer the persistence of the reaction pathway. These and other data suggest that involved intermediates are sufficiently stable under these conditions to participate in the NO<sub>x</sub> reduction. Adsorbed NCO is certainly not stable. Alternatively, intermediates such as organo-isocyanates (R-NCO) and nitriles (R-CN) which have been observed during high temperature DRIFTS. While the actual identity of these species is not known, the mass spectrometer and FTIR measurements confirm that the species contain C, N, and possibly H and O atoms, so that their reaction with oxygen leads to the products CO<sub>2</sub>, N<sub>2</sub>, and N<sub>2</sub>O.

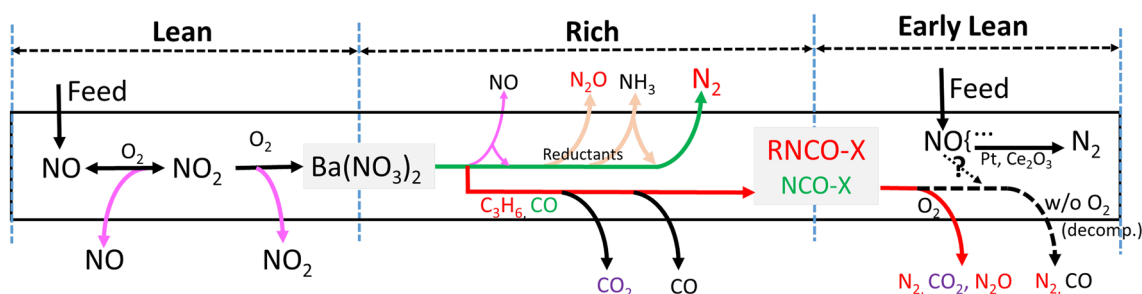
Prior to the current study, a double peak of N<sub>2</sub> has not been reported for shorter cycle times. Figures 1c and 2 compare the m/e = 28, CO and CO<sub>2</sub> for different cycle time at 484 °C with an anaerobic rich feed. Only one peak was detected when using H<sub>2</sub>. In contrast, when using C<sub>3</sub>H<sub>6</sub> during both 60/10 and 30/5 cycling, double peaks for m/e = 28 and CO<sub>2</sub> occurred during the lean feed. While only one peak was observed during the faster 6/1 switching experiment, the data show evidence for N<sub>2</sub> formation during the lean period. Detection of one extended peak, instead of two peaks, may be a result of upstream mixing.

The double peak behavior encountered with an aerobic rich feed containing CO<sub>2</sub>, and over a wide range of temperatures (Fig. 3) points to the existence of two NO<sub>x</sub> reduction paths. The double peak of m/e = 28 detected at 240 °C when using H<sub>2</sub> in the presence of CO<sub>2</sub> is likely via the aforementioned isocyanate (NCO-X) pathway as no such lean feature is evident in the absence of feed CO<sub>2</sub> (Fig. 1). This result is similar to that reported elsewhere [22, 25]. However, that the m/e = 28 and N<sub>2</sub>O double peaks decrease in intensity at 370 and 483 °C for H<sub>2</sub> but not for C<sub>3</sub>H<sub>6</sub> suggests that the involved intermediate for the latter has a higher thermal stability than an NCO-type species.

Previous researchers have studied the possible reaction pathways of the isocyanate/HC intermediates [24–26]. The isocyanate species reacts with oxygen and produces CO<sub>2</sub> and N<sub>2</sub> with high selectivity [34], while the formation of N<sub>2</sub>O may originate from the reaction between isocyanate species and residual stored NO<sub>x</sub>. The HC-intermediate mechanism advanced by Bisaiji et al. [35] suggests that surface intermediates react with NO in the lean period, forming N<sub>2</sub>, resulting in a NO<sub>x</sub> reduction enhancement for temperatures exceeding 400 °C.

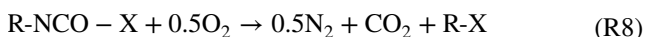
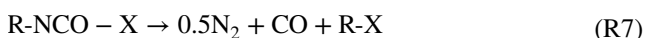
The adsorbed species reactivity experiments reported (Figs. 4, 5) suggest that surface intermediates have sufficient thermal stability to participate in NO<sub>x</sub> reduction at elevated temperature. The data show that the decomposition of surface intermediates may occur, forming N<sub>2</sub> and CO. As the Inert exposure is shortened, a second N<sub>2</sub> peak appears along with a CO<sub>2</sub> peak during the switch from rich





**Fig. 8** Proposed general mechanism for NSR technology with H<sub>2</sub>, CO, or C<sub>3</sub>H<sub>6</sub> as reductants

to lean conditions. This observation suggests the following representative reactions for decomposition and oxidation:

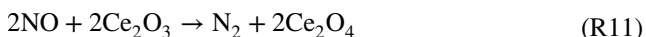


where R is an alkyl group and X is a binding site. The data comparing H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> (Fig. 5) clearly show peaks that involve hydrocarbon that are not present when using with H<sub>2</sub> as the reductant. Exposure to Ar only (Fig. 5a, d) shows the product of surface intermediate decomposition. The immediate emergence of the *m/e* = 28 peak (but no CO) during exposure of the adsorbed intermediate to O<sub>2</sub> (Fig. 5b, e) confirms the selective formation of N<sub>2</sub> and also suggests that NO is not required for N<sub>2</sub> formation. In contrast, the exposure to NO (Fig. 5c, f) leads to the N<sub>2</sub> plateau feature, while the secondary peak occurs right after the switch from NO-only to lean feed. The plateau of N<sub>2</sub> is also detected when using H<sub>2</sub>, which suggests that the cause of the plateau is not likely from surface intermediates. Alternatively, N<sub>2</sub> may be formed through direct NO decomposition on reduced Pt sites [36] or reduced Ce sites [8]. Indeed, in Fig. 5f, CO<sub>2</sub> only corresponds to peaks at the beginning of rich and lean period, and reaction of isocyanate to NO must produce CO or CO<sub>2</sub>, which are not detected during the 10 s NO feed.

In summary, these findings, suggest negligible reaction between the surface intermediates and NO:



while the formation of N<sub>2</sub> with NO-only feed is from the Pt or Ce catalyzed NO decomposition:



Surface hydrocarbon intermediates may thermally decompose to form N<sub>2</sub> and CO, but not CO<sub>2</sub> (R7), may be oxidized by O<sub>2</sub> to form N<sub>2</sub> (R8), without requiring NO (R9), and additional N<sub>2</sub> may be formed via NO decomposition (R10, R11). Combining existing traditional NSR technology and HC-intermediates NO<sub>x</sub> reduction pathway, the

above-mentioned reactions are shown in Fig. 8, this work proposed a general mechanism for NSR technology with H<sub>2</sub>, CO, or C<sub>3</sub>H<sub>6</sub> as the reductants.

## 5 Conclusions

An experimental study of fast cycling NO<sub>x</sub> storage and reduction with H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> for emission control of lean burn gasoline and diesel vehicles was conducted to provide evidence for a HC-intermediate NO<sub>x</sub> reduction pathway. In order to differentiate the effect of HC-intermediate NO<sub>x</sub> reduction pathway on the NO<sub>x</sub> conversion from the effect of fast cycling, the experimental results using H<sub>2</sub> and C<sub>3</sub>H<sub>6</sub> with the same lean/rich stoichiometry and under aerobic and anaerobic rich feed conditions were compared.

Using a combination of mass and FTIR spectrometry, double peaks of N<sub>2</sub>, N<sub>2</sub>O, and CO<sub>2</sub> has been treated as the evidence of formation of HC-intermediates [5, 7, 35]. The Di-Air mechanism proposed by Bisajji et al. suggests that reduction of NO by adsorbed HC-intermediates (possibly R-NCO) in the early lean period, producing N<sub>2</sub> [7]. This work provides evidence for HC-intermediates in the presence of O<sub>2</sub>, NO, and its thermal decomposition at different temperatures, over a range of different cycle times from ca. 1 min to ca. 5 s in duration with and without CO<sub>2</sub>, and with aerobic/anaerobic conditions. Double peaks of N<sub>2</sub> and CO<sub>2</sub> detected in experiments under anaerobic rich feed conditions with cycle times of 60/10 and 30/5, without feed of CO<sub>2</sub> and H<sub>2</sub>O, and when using C<sub>3</sub>H<sub>6</sub>, but not H<sub>2</sub>. With H<sub>2</sub> as the reductant in the presence of CO<sub>2</sub> a double peak occurs at 240 °C, while with C<sub>3</sub>H<sub>6</sub> at temperature as high as 484 °C suggests a surface HC species that is more stable than conventional isocyanate, formed from CO through reverse WGS reaction when using H<sub>2</sub>. Lifetime experiments reveal that the thermal decomposition of R-NCO produces CO and N<sub>2</sub>, while second peak upon switching to lean period indicates the oxidation of R-NCO by O<sub>2</sub> but not NO. Instead, NO decomposition is detected on reduced catalyst (Pt and Ce) sites under anaerobic conditions.



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