PERSPECTIVE

The Mechanism of Ethylene Epoxidation Catalysis

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Abstract Today ethylene oxide can be produced industrially with \sim 90 % selectivity through the epoxidation of ethylene over silver catalyst. The past decades there has been a substantial increase in the understanding of the molecular chemistry that leads to high selectivity catalysis. Especially the discovery of an oxometallacycle intermediate that produces ethylene epoxide in competition with acetaldehyde can be considered a major advancement. The state of the surface at reaction conditions causes different reaction paths to compete. At high oxygen coverage also a direct epoxidation channel opens. We will also review recent progress on the understanding of promotion and coverage dependent reactivity. The contributions in our understanding of this reaction from computational catalysis will be emphasized.

Keywords Epoxidation - Mainly organic chemicals and reactions - DFT - Theory - Catalysis - Alkenes

1 Introduction

Partial oxidation of ethylene (C_2H_4) to ethylene oxide $(C₂H₄O)$ catalyzed by silver is an important industrial

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M. O. Özbek e-mail: m.o.ozbek@tue.nl process with a large production capacity. Ethylene oxide (EO) serves as a very useful chemical intermediate from which further products such as plastics, polyester, and glycols can be derived. About 70 % of the world-wide produced EO is converted to ethylene glycol $[C_2H_4(OH)_2]$ (engine antifreeze). The remaining portion is also converted to other end products such as ethoxylates, plastics and higher glycols.

GIA, who announces the release of a comprehensive global report on the EO markets, reported that the global market for EO is projected to exceed 27 million tons by the year 2017 [\[1](#page-9-0)]. Thus, due to the global capacity of this process, even slight improvements in the selectivity would have large scale economical benefits.

The EO selectivity of the un-promoted metallic silver is around 50 $\%$ [[2,](#page-9-0) [3\]](#page-9-0). The industrial catalyst consists of silver particles supported on low surface alumina (α -Al₂O₃), with the addition of alkali compounds as promoters. During the process, chlorine is added in ppm amounts to the feed stream as a promoter in the form of chlorinated hydrocarbons, to enhance the EO selectivity. Today, the EO selectivity of the industrial catalyst lies in the range of 90 % [\[4](#page-9-0)], whereas this value was around 70 % in the 70's [\[5](#page-9-0)]. These improvements of the EO selectivity were achieved mainly by trial–error methods [[6\]](#page-9-0). In parallel, important progress on the identification of the mechanism and reaction intermediates was achieved $[3, 7]$ $[3, 7]$ $[3, 7]$ $[3, 7]$ $[3, 7]$. In the past decade many of these early concepts have been probed on the molecular level. Contributions from computational catalysis have provided a new view on mechanistic steps essential for high selectivity, which is the subject of this review.

There is no consensus on the optimum state of the catalyst surface at reaction conditions. The role of the promoters, as alkali and chlorine that have to be added to

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obtain high selectivity is also not yet well understood and will be discussed.

Chemical applications of the density functional theory (DFT) supplied a considerable input into our understanding of surface chemistry and catalytic reactions. It is possible and feasible to construct a surface model interacting with the molecules of interest, and reveal the active sites and transition states through simulations. The results of these simulations can further be used to obtain kinetic parameters and thermodynamic relations, such as stability (phase) diagrams. Such an understanding in molecular level fills in the gaps required for further development.

In the following sections we will present a summary of the previous studies, starting with the developments in the ethylene epoxidation mechanism, followed by the question 'why Ag is the unique catalyst?' for ethylene epoxidation. After summarizing the contributions for the promoters and promoter effects, we will conclude with the developments in the surface models, and active oxygen species.

2 Epoxidation Mechanism

Following its discovery [[8\]](#page-9-0), ethylene epoxidation mechanism was found to follow two parallel paths, both of which are catalyzed by silver [[9\]](#page-9-0). As demonstrated in Scheme 1, selective (k_1) and non-selective (k_2) parallel reactions take place on the Ag particle, whereas consecutive combustion of EO (k_3) was found to be sensitive to the acidity of the catalyst support $[10-12]$. EO formation is moderately exothermic (-105 kJ/mol) , whereas the total oxidations of both ethylene and EO $(-1,327 \text{ and } -1,223 \text{ kJ/mol})$, respectively) are strongly exothermic [[13\]](#page-9-0). Although thermodynamically favored path is the complete combustion, selective production of EO implies that the reaction is kinetically controlled [\[14](#page-9-0)].

Initially it was proposed that molecularly adsorbed oxygen $(O_{2,s})$ was responsible for selective reaction (k_1) [\[15](#page-9-0)]; whereas atomic surface oxygen (O_s) caused nonselective reaction (k_2) . The interesting consequence of this proposal was that the EO selectivity should be limited to a highest value of $6/7$ (87.5 %) [\[15](#page-9-0)], according to Eq. 1. This selectivity value was typically observed for promoted catalyst.

$$
7C_2H_4 + 6O_2 \rightarrow 6(C_2H_4)O + 2CO_2 + 2H_2O \qquad (1)
$$

Scheme 1 Initially proposed molecular mechanism for ethylene

epoxidation

Soon after this proposal, EO selectivities higher than 6/7 were reported [\[16](#page-9-0), [17\]](#page-9-0), indicating that a particular atomically adsorbed oxygen atom is responsible for the EO selectivity [\[18](#page-9-0)–[20\]](#page-9-0). Consecutive work on the nature of the oxygen species adsorbed on the silver surface [[14,](#page-9-0) [15,](#page-9-0) [18](#page-9-0), [21–49\]](#page-9-0) confirmed this [\[41](#page-9-0)]. An outcome of these studies was also that high O coverage is required for high selectivity [\[3](#page-9-0), [32,](#page-9-0) [41,](#page-9-0) [48](#page-9-0), [50](#page-9-0)].

Another major outcome of these researches was the identification of two different oxygen species on the surface [[3,](#page-9-0) [24](#page-9-0), [25](#page-9-0), [27](#page-9-0), [43](#page-9-0), [45,](#page-9-0) [49\]](#page-9-0). A weakly bound (electrophilic, $O^{\delta+}$) surface oxygen was found to enable selective epoxidation and a strongly bound (nucleophilic, $O^{-\delta-}$) surface oxygen to give combustion upon contact with ethylene. Selective ethylene epoxidation was proposed to follow an Eley–Rideal (E–R) mechanism whereas the nonselective combustion follows a Langmuir–Hinshelwood (L–H) mechanism.

According to the direct path proposed for EO (k_1) and C–H activation (k_2) [\[40](#page-9-0), [45](#page-9-0), [48](#page-9-0), [49](#page-9-0), [51](#page-9-0), [52](#page-9-0)], electron deficient oxygen $(O^{\delta+})$ attack opens C=C double bond of ethylene, forming the COC ring through O insertion. On the other hand electron rich oxygen $(O^{\delta-})$ attack to C–H bond removes the H atom from ethylene, creating a radical molecule that ends up in complete combustion. Although the suggested ethylene combustion path $(k₂)$ did not include a stable acetaldehyde (AA) intermediate, the EO isomerization to AA (k_3) was known [\[40](#page-9-0)].

In the 90's, a major advance was the discovery of an oxometallacycle (OMC) surface intermediate [[7,](#page-9-0) [53\]](#page-9-0) shown in Fig. [1,](#page-2-0) that decomposed into EO as well as AA (Scheme [2](#page-2-0)).

One important prospect of OMC mechanism is that, the activation energies towards AA formation are usually found to be lower than that for EO formation. A question arises how this can be reconciled with the high selectivity found for this reaction. Therefore we proposed that also a second reaction channel is present, the direct epoxidation path. In contrast to the OMC intermediated path the direct epoxidation path is only operational at high oxygen coverage. This will be discussed in Sect. [2.2.](#page-3-0)

2.1 The OMC Mechanism

In this section we will review studies that led to the identification of the OMC intermediate and we will discuss its reactivity. The OMC intermediate was identified on the silver surface through experimental studies by the group of Barteau [\[53–56](#page-9-0)]. Its formation is proposed to proceed on the catalyst surface through a well defined L–H mechanism with the reaction of O_s and $C_2H_{4(ads)}$ (Fig. [2\)](#page-2-0). Stable OMC intermediates on the Ag surfaces were obtained either by dosing the silver surfaces with 2 iodoethanol (2I-EthOH) [\[54–56](#page-9-0)], the case where only AA formation was observed,

Scheme 2 Triangular molecular mechanism for ethylene epoxidation on metallic surfaces, where competitive reactions progress through OMC intermediate

or by back adsorbing EO to the surface [\[53](#page-9-0)], the case where both AA and EO were observed. Although the formation of OMC intermediate on silver surface was observed at surface science conditions, the catalytic conditions [\[57](#page-9-0)] are very different.

Nonetheless, these experiments in combination with computational results allow for the conclusion that the OMC is the common precursor for both the parallel reaction channels that produce EO and AA on the un-promoted metallic catalyst. Thus, the product selectivity depends on the relative barriers of the product formations $(E_{EO}^a$ and E_{AA}^{a}) through the decomposition of the OMC intermediate. Experimentally reported barriers for the EO formation lie in the range of 54–88 kJ/mol [\[53](#page-9-0)]. The activation barriers $(E_{EO}^a$ and E_{AA}^a) reported for OMC mechanism on metallic surfaces [\[2](#page-9-0), [7](#page-9-0), [58–62\]](#page-9-0) are consistent with \sim 50 % EO selectivity.

Substitution of hydrogen with deuterium has a ratedecreasing effect on the non-selective path because of the H-transfer reaction, as explained in [\[63](#page-9-0)]. Formation of non-selective product, AA, through OMC mechanism is consistent with the increase in the EO selectivity when deuterated ethylene is used [\[21](#page-9-0)].

Being closely packed and the most stable surface, the metallic Ag(111) is taken as a model surface in experimental [\[7](#page-9-0), [54–56,](#page-9-0) [64\]](#page-9-0) and computational [\[2](#page-9-0), [53](#page-9-0), [58–63,](#page-9-0) [65,](#page-9-0) [66\]](#page-9-0) investigations of OMC. However, at high oxygen coverage surface chemistry may change. High oxygen coverage suppresses ethylene adsorption to Ag. It changes the OMC formation mechanism from Langmuir–Hinshel-wood (L–H) to Eley–Rideal (E–R) [[58,](#page-9-0) [62\]](#page-9-0).

The selectivity trends of the OMC intermediate can be related to the binding strength of the surface oxygen [[58,](#page-9-0) [67\]](#page-9-0). When different metals such as copper, silver and gold

Fig. 2 Geometries along OMC mechanism on (111) surface. (M: light gray, O: red or dark gray, C: black, H: cyan or gray) Figure adopted from [\[58](#page-9-0)]

are compared the activation barriers differ because of the different stabilities of precursor OMC intermediate, which is in direct relation with the surface oxygen stability [\[58](#page-9-0)].

The OMC mechanism was also computationally evaluated for the metallic surfaces of copper [[58,](#page-9-0) [60\]](#page-9-0) and gold [\[58](#page-9-0), [68–70\]](#page-9-0). On copper surfaces the high stability of the

precursor intermediates caused high activation barriers for the product formation, with increasing selectivity towards EO formation. On the other hand gold surfaces showed the smallest activation barriers for the product formations

Starting from 1970's [[31\]](#page-9-0), investigations of highly oxygenated Ag surfaces revealed the formation of complex structures on the catalyst surface, commonly referred as surface oxides. Although the majority of these studies focus on the structure and the properties of these surfaceoxides, there are a few computational studies testing the OMC mechanism at higher oxygen coverage. No significant changes in reactivity of the OMC intermediate was reported as long as a surface vacancy is available to stabilize the OMC complex

On the other hand, our studies showed that on the $Ag₂O(001)$ oxide surface without such vacancies surface formation of EO follows a direct route (that will be discussed in the next section), since ethylene cannot interact with a surface metal atom [[71–74](#page-10-0)].

However, when there is an oxygen vacancy on the oxide surface, the two $Ag^{\delta+}$ ions are exposed to the vacuum. These Ag ions hold larger $(+)$ charge compared to those of the metallic surface $[71]$ $[71]$ due to the subsurface oxygen species. Adsorption of ethylene on an O-vacant site on the oxide surface is more exothermic (-85 kJ/mol) compared to the metal cases.

Figure 3 shows the relative energies of the intermediates and transition states of ethylene reacting on the $Ag₂O(100)$ surface. OMC formation follows the ethylene adsorption, which is significantly more stable than the OMC on the metallic surface. On oxide surface, this extra stability is introduced by the stronger ethylene adsorption, unlike oxygen strength on the metallic surfaces. Here again, after its formation decomposition of the OMC produces EO as well as AA, with the lower barrier towards AA formation.

Also, the oxides of copper and gold with that of silver [\[72](#page-10-0)] were computationally compared in the epoxidation

Fig. 3 OMC path on an O vacancy of $Ag_2O(001)$ surface. Figure adopted from [[71](#page-10-0)]

reaction. On vacant oxide surfaces, once adsorbed, the ethylene molecule may interact with a neighboring O_s to form the OMC intermediate. Formation of OMC proceeds through small barriers on $Cu₂O$ and $Au₂O$; and without a barrier on Ag_2O .

Compared to the metallic surface, the activation barrier for AA formation through the OMC intermediate is significantly lower on the oxide surfaces.

On reconstructed oxide surfaces a constrained OMC like intermediate [[74\]](#page-10-0) can be formed. Ethylene interaction with the non-vacant Ag oxide surface may induce a local reconstruction causing the bridging oxygen atom to shift to a three-fold site. This configuration resembles oxygen adatom adsorption on the metallic (111) surfaces or surfaceoxide structures [\[75–77](#page-10-0)]. This OMC intermediate with a single Ag atom in its ring is much more selective towards EO. This agrees with the earlier observation [\[65](#page-9-0), [67](#page-9-0)], that only an OMC intermediate that contains two Ag atoms in its ring prefers production of AA.

2.2 Direct Epoxidation

Energy (kJ/mol)

 -100

200

 $C_2H_{4(g)}$

 $O_{(ads)}$

Formation of EO through an E-R type direct mechanism [\[40](#page-9-0), [45](#page-9-0), [48](#page-9-0), [49](#page-9-0), [51](#page-9-0), [52](#page-9-0)] by reaction of gas phase ethylene and surface oxygen was proposed in 80's. The reaction is of the Mars-van Krevelen type [\[78](#page-10-0)]. Recent computational studies have identified such a direct route for EO formation, which is enabled by the electrophilic oxygen on the model $Ag₂O(001)$ surface.

Bridging O_s on the oxide surface causes a totally different epoxidation path compared to the two-step OMC mechanism. As Fig. 4 shows, O_s interacts directly with the C=C double bond, resulting in the non-activated formation of EO [\[78](#page-10-0)]. This direct reaction path does not include an intermediate such as OMC. Gas phase ethylene reacts with O_s where $EO_(ads)$ forms directly releasing 174 kJ/mol energy. Subsequent desorption of $EO_{(ads)}$ requires 73 kJ/mol, which

 -117

 $\overline{\text{EO}}_{(g)}$

 -219

 $A\overline{A_{(g)}}$

 $EQ_{(g)}$

 $-1\overline{22}$

-225

 $EO_{(ads)}$

 $\overline{AA}_{(ads)}$

 $\mathsf{^{TS}_{EO}}$ $\mathsf{^{TS}_{AA}}$

 -104

 $\overline{19}$

OMC

 $V.S.
EO_{(ads}$

OMC path on Ag(111)

 $C_2H_{4(\text{ads})}$

 $O_{(ads)}$

Direct path on Ag₂O(001

gives the overall heat of the epoxidation reaction as -101 kJ/ mol.

From Fig. [4](#page-3-0) it can be seen that, the activation energies of the rate controlling steps in both reaction paths (i.e. OMC vs. direct) are similar and agree with experimentally reported values that are around 70 kJ/mol [[13,](#page-9-0) [79](#page-10-0)]. However, the corresponding reaction steps that go through a barrier are different (i.e. OMC activation versus EO desorption). It should also be noted that, on the oxygen covered oxide surface ethylene cannot directly interact with the silver surface ions, thus no OMC intermediate forms. This prevents C–H bond activation, therefore AA formation.

On the oxide surface, an additional prerequisite for high selectivity is the absence of O-vacancies, where the nonselective reaction takes places through OMC intermediate. Most likely this is the moderating role of Cl that is added to the catalyst as promoter. This will be discussed in the later sections.

These results agree with the previous experimental reports [[80\]](#page-10-0) where initial and selective production of EO is followed by AA production as the surface oxygens are consumed. The initial and highly selective production of EO proceeds in an E–R manner and removes the surface oxygens, which causes O-vacancy formation on the surface and enables the L–H path. Ethylene adsorption on the oxygen vacant surface sites opens the non-selective reaction path. Obviously to maintain high EO selectivity rapid silver re-oxidation should occur, while the O-vacancies are being blocked or suppressed.

Although there are different reports on the reaction order of the epoxidation reaction [\[7](#page-9-0), [13](#page-9-0)], some studies have shown that the kinetics of the epoxidation reaction has a low reaction order in ethylene [[81\]](#page-10-0), but a high reaction order in oxygen. The low reaction order of ethylene is consistent with the view that EO desorption is the rate limiting step. The reported increase in EO selectivity with the increasing oxygen coverage [\[36](#page-9-0)] is also consistent with the preference for EO formation on an oxidized Ag surface in this manner.

The mechanistic studies that used deuterated ethylene $(C_2D_4$ or $C_2H_2D_2$ revealed that EO can go through two different types of isomerization steps. In the first reaction step, surface adsorbed EO can isomerize to AA through an H-transfer reaction. The experimentally reported activation barrier for this reaction is 59 \pm 13 kJ/mol [[40\]](#page-9-0). Studies on the metallic surfaces have not reported a path for this isomerization yet. It is possible that the atomic oxygen on the metallic surfaces, which has a nucleophilic nature, is not strong enough to open the EO ring and activate H-transfer. However, the previously reported activation energy is close to the AA formation through OMC intermediate. Thus, it can be speculated that the adsorption of

Fig. 5 Two EO isomerizations on the studied oxide surfaces. Cis– trans isomerization (left) and isomerization to AA (right). Figure adopted from [\[72](#page-10-0)]

EO forms OMC intermediate on the surface, which then forms AA. Such a path also explains the EO increase when deuterated ethylene is used [[21\]](#page-9-0) due to the increased barrier of H/D-transfer.

On the other hand, in our studies on the oxide surfaces we observed that direct isomerization of EO to AA possible with a relatively large barrier. The right hand side of Fig. 5 shows a comparison of this reaction on different oxide surfaces. It can be concluded that as the binding energy of the surface oxygen increases, EO isomerization gets easier.

The second type of isomerization was observed when cis or trans labeled deuterated ethylene was used [\[29](#page-9-0), [82](#page-10-0)]. Those studies reported the formation of EO with approximately equal amount of *cis* and *trans* isomers, although a single type of ethylene isomer was used to begin with. Within the OMC mechanism a possible intermediate for this isomerization has been proposed [[7\]](#page-9-0). In our proposed direct path, the ring opening reaction of $EO_{(ads)}$ to form an ethylenoxy type intermediate, which would isomerise deuterated EO. The energies given in Fig. 5 show that EO desorption competes with EO ring opening, which can lead equal amounts of cis and trans isomers.

2.3 Ag as the Unique Ethylene Epoxidation Catalyst

Silver is the only catalyst with a feasible selectivity for the partial oxidation of ethylene to EO. Other common oxidation catalysts such as Pd, Pt or Ni cause complete combustion [[67\]](#page-9-0). Why other transition metals do not catalyze this reaction is still in debate. Most of the studies directly or indirectly attributed the uniqueness of silver to the special interaction of silver and oxygen. Thus the discussion can be focused on the nature of the active oxygen. There are studies in the literature evaluating two other transition metals, Au and Cu, as possible ethylene epoxidation catalysis [[58,](#page-9-0) [66](#page-9-0), [70](#page-9-0), [72](#page-10-0)]. Comparison of Au, Ag and Cu gives good insight in means of epoxidation mechanism. Although different surfaces of studied metals show small changes, the general trend for the metals is that Cu and Au surfaces have a lower selectivity than the Ag surfaces [\[72](#page-10-0)].

For the optimum selectivity the metal–oxygen interaction should be strong enough to dissociate O_2 molecule and supply the stable atomic oxygen species required for the epoxidation. On the other hand, not too strong to prevent desorption of the formed EO or to cause C–H activation and other side reactions. From this perspective, compared to other metals, the interaction of atomic oxygen and the silver surface lies in an optimum point, which results in the moderate activation barriers and binding of the species.

Silver oxidizes easily in room temperature but silver oxide is not stable at elevated temperatures under atmospheric pressures, due to the weaker Ag–O bonds. Although weaker oxygen binding lowers the thermal stability, it increases the epoxidation activity. This is a special and desired case as weakly bound oxygens on silver surface can activate the C=C double bond, rather than C–H bonds, as discussed in the previous chapters.

On the other hand, O_2 dissociation and stable surface atomic oxygen can easily be satisfied in the case of copper. However, strong bound O_s prefers to stay on the metallic copper surface, increasing the activation barriers and the desorption energies for the OMC mechanism, making Cu unfeasible for ethylene epoxidation. Furthermore, EO formation is endothermic with respect to pre-oxygenated copper surfaces [[58,](#page-9-0) [60\]](#page-9-0).

Unfortunately, available literature does not contain enough data for the evaluation of EO selectivity on the surface-oxide structures. However, in a previous study we compared the epoxidation reaction on the surfaces of Au₂O, Ag₂O and Cu₂O oxides [\[72](#page-10-0)]. The bridging oxygens on these oxides surfaces enable the direct path discussed in the previous section. The relative stabilities and the oxygen binding energies of these compounds decrease downwards the periodic table. The $Au₂O$ structure is not a stable oxide structure, but having the same crystal structure with $Ag₂O$ and $Cu₂O$, its reactivity has been used for comparison. For the three $M₂O(001)$ surfaces studied, a direct epoxidation channel has been found to exist with a low or no barrier. As Fig. 6 shows, O_s interacts directly with the C=C double bond, resulting in the non-activated formation of EO on the $Ag₂O(001)$ surface. This direct reaction path does not include a surface intermediate such as OMC. Os insertion into the C=C double bond forms $EO_{(ads)}$ in a single step reaction.

Among these three oxide surfaces, only $Ag_2O(001)$ surface enables direct path without a barrier. $Au₂O$ cannot regenerate surface oxygen and overall reaction on $Cu₂O$ is

Fig. 6 Direct ethylene epoxidation paths on Ag2O, CU2O, and Au2O oxide surfaces. Figure adopted from [\[72\]](#page-10-0)

endothermic. Furthermore, ring opening of EO and subsequent AA formation on $Cu₂O$ is more favorable than EO desorption, as shown in Fig. [5.](#page-4-0) The non-selective AA formation is found to compete with EO formation only on the $Cu₂O$ surface. This is essentially due to the relatively weak O–C bond interaction and the high desorption energy of EO.

On all the studied oxide surfaces, the OMC intermediate forms exothermically on O-vacant surface sites. The barrier for AA formation through the OMC intermediate was found to be lower than that of EO formation. The $Cu₂O(001)$ surface gave the most exothermic OMC formation and the highest consequent EO and AA barriers, which relates the oxygen binding to OMC selectivity. The $Ag_2O(001)$ and $Au_2O(001)$ surfaces show more moderate energy values along the OMC mechanism.

On the metallic surfaces of Au, Cu and Ag, the epoxidation mechanism progresses through the OMC mechanism. The stability of the OMC intermediate depends on the oxygen stability [[62,](#page-9-0) [65\]](#page-9-0), which is also effected from the coverage [\[7](#page-9-0)]. In this case, Au appears to be a better catalyst than Ag for ethylene epoxidation [\[58](#page-9-0), [70\]](#page-9-0), as the weakly bound O_s shows better selectivity for EO than that of AA. Weak binding of O_s lowers the activation barriers and the desorption energies. However, metallic or oxide Au is not a realistic catalyst as it fails to dissociate the O_2 molecule and to supply the stable surface O species. Apart from the economical feasibility, it can be speculated that if surface atomic oxygens can be supplied on gold surface, such a catalyst may give higher EO selectivities. It is also possible to find recent suggestions on option [\[83](#page-10-0)].

 $Au₂O$ is not a stable structure, and, $Cu₂O$ does not favor the EO formation. The intermediate value of $Ag₂O$'s stability and its oxygen bond strength placed between $Au₂O$ and Cu2O relate the uniqueness of the silver catalyst for ethylene epoxidation. The interaction of $Ag_2O(001)$

surface with molecular oxygen is strong enough to re-oxidize the Ag surface to the oxide, on the other hand weak enough to prevent the ring opening of EO as well as to prevent the activation of the CH bonds.

3 Promotion by Cl and Cesium

Today, industrial EO production operates with a EO selectivity of ~ 90 % [[4](#page-9-0)] with the addition of halogen (commonly Cl) into the process and alkali (commonly Cs) promoters to the catalyst. Fundamental studies on Cl and Cs promotion have been limited mainly to the metallic silver surfaces [\[20](#page-9-0), [24,](#page-9-0) [27](#page-9-0), [37,](#page-9-0) [42–44](#page-9-0), [84\]](#page-10-0). Both Cl and Cs are known to increase EO selectivity whereas reducing the overall yield. Thus, their effect on the active sites and/or oxygens is commonly pronounced. The general consensus is that the Cl promotes the active oxygen and the active sites (i.e. block the non-selective sites) and Cs is the structural promoter. Nonetheless, studies taking in account the surface effect of Cs promoter also exist.

3.1 Cl Promotion

Cl is known to be the selectivity promoter for ethylene epoxidation. Addition of ppm levels of chlorinated hydrocarbons to the process deposits Cl on the catalyst surface via the combustion of the hydrocarbon part. Cl accumulation, which poisons the catalyst, is prevented by the Cl removal through a stripping reaction with ethylene. Cl suppresses both of the primary reactions $(k_1 \text{ and } k_2 \text{ in})$ Scheme [1](#page-1-0)) and increases the EO selectivity with a loss in overall activity [\[27\]](#page-9-0).

The early mechanistic studies that considered O_2 to be the active species [\[15](#page-9-0)] proposed Cl promotion to operate through an ensemble effect. As $O_{2,s}$ was considered to be the active species for the selective path (k_1) and O_s for the non-selective path (k_2) , Cl was proposed to prevent the O_2 dissociation by occupying the neighboring vacant sites. Thus, epoxidation selectivity would be enhanced when molecular oxygen would uniquely give the epoxide upon reaction with ethylene. The non-selective channel would be inhibited [[15\]](#page-9-0) as Cl prevents the formation of the atomic surface oxygen.

Later, when atomic surface oxygen was identified as the active species $[14, 21-27, 41]$ $[14, 21-27, 41]$ $[14, 21-27, 41]$ $[14, 21-27, 41]$, Cl was proposed to block the vacant sites next to the active atomic oxygen species, preventing direct interaction of ethylene with the surface, thus suppressing the non-selective channel $(k₂)$ that followed a L–H mechanism [[18–20\]](#page-9-0). Site blocking effect of Cl promoter also found later support [[42,](#page-9-0) [47](#page-9-0), [49](#page-9-0), [74](#page-10-0)].

Further studies indicated that the effect of the Cl promoter was more complex. A high local surface oxygen concentration and subsurface oxygen (O_{ss}) was previously proposed to be necessary to achieve high EO selectivity [\[3](#page-9-0), [32](#page-9-0), [41,](#page-9-0) [43,](#page-9-0) [48](#page-9-0), [50\]](#page-9-0). Reports showed that at higher concentrations, Cl diffuses into the sub-surface layers of the catalyst surface [\[85](#page-10-0)] and increases the amount of the dissolved (sub-surface) oxygen [\[24](#page-9-0)], which has a positive effect on the EO selectivity. One of the mostly supported ideas is that the Cl promoter weakens the Ag–O interaction, which makes the oxygen easier to be transferred to the ethylene [\[3](#page-9-0), [41,](#page-9-0) [48,](#page-9-0) [86–91](#page-10-0)]. This electronic effect was also considered to accelerate the EO isomerization [\[44](#page-9-0)]. Unlike previous studies, which investigated the mechanical effect (i.e. site blocking) of the promoter $[15, 18-20]$ $[15, 18-20]$ $[15, 18-20]$, recent studies recent studies focus on the electronic effect of Cl neighboring the active O species.

The electronic effect of the Cl promoter within the OMC formalism was also considered. It was suggested that the presence of co-adsorbed halogens significantly decreases the energy barrier from the OMC complex to EO relative to that from the same OMC intermediate to the undesired AA product [\[91](#page-10-0)] by changing the electronic structure.

These ideas agree with the proposals on the weakly bound (electrophilic) and the strongly bound (nucleophilic) oxygens being responsible for the selective and non-selective and reactions, respectively [\[10](#page-9-0), [11,](#page-9-0) [32,](#page-9-0) [41,](#page-9-0) [48,](#page-9-0) [52,](#page-9-0) [92](#page-10-0)]. Within this concept, the larger number of electron acceptors (i.e. oxygens and Cl) on the surface and in the sub-surface layers would leave less charge for active oxygen, making them electron deficient and more reactive towards the epoxidation reaction.

In parallel with these proposals our previous studies on silver oxide surface concluded that Cl promotes EO selectivity by blocking the O-vacant sites, therefore preventing OMC formation, which is responsible for the nonselective reaction. In addition, Cl also competes with the surface oxygens for the electronic charge, reducing the charge on the surface oxygens and making them more electrophilic [\[73](#page-10-0)]. Furthermore, molecular adsorption energies of O_2 and C_2H_4 on the vacant site of Ag2O(100) surface are -20 and -85 kJ/mol respectively. The dissociative adsorption energy of O2 on the O-vacant surface depends on O_s coverage and varies between -32 and -62 kJ/mol [\[72](#page-10-0)]. However, the adsorption of a Cl atom on the same vacancy is exothermic by -185 kJ/mol [[73\]](#page-10-0). Selective adsorption of Cl into the vacant sites increases EO selectivity by suppressing the non-selective channels. This is different from the role of Cl proposed on a metallic surface [[91\]](#page-10-0) which suggested an electronic effect of Cl, that would change the selectivity of OMC decomposition.

Furthermore, our study [\[74](#page-10-0)] also revealed that Cl prevents the local reconstructions on the silver oxide surface and sustains the well ordered oxide structure due to the stronger bonding of the Ag–Cl. Therefore, electrophilic

oxygen species that are active for epoxidation does not change their nature by shifting to three-fold sites. Cl can act on the surface or in the sub-surface layers as previously proposed [\[24](#page-9-0), [27](#page-9-0), [37\]](#page-9-0). However subsurface diffusion of Cl destabilizes the surface oxygen atoms, creating more vacant sites for the non-selective reaction. However, surface adsorbed Cl, especially in combination with Cs, has a positive effect on the direct epoxidation mechanism.

3.2 Cs promotion

Cesium is the commonly used alkali metal promoter added during catalyst preparation. In practice Cs is proposed not to affect the primary reactions $(k_1$ $(k_1$ and k_2 in Scheme 1) but to inhibit the EO isomerization (k_3) [\[40](#page-9-0), [44,](#page-9-0) [93–95](#page-10-0)]. However, addition of Cs to the metallic catalyst is known to reduce the yield while increasing the selectivity [\[47](#page-9-0), [51,](#page-9-0) [96](#page-10-0)].

The promoter activity of Cs is not as well understood as Cl. However, it was shown that among other alkali elements, the least amount of Cs is required as the promoter amount required to achieve the optimum promotion is inversely proportional with the elements radius [[97\]](#page-10-0). Two different proposals on the Cs effect consider its activity on either on the support material or on the catalyst surface. The studies assigning Cs to act on the support material propose that it reduces the EO combustion by suppressing the acidic sites of the Al_2O_3 support [[93–95\]](#page-10-0). It is also in common view that Cs acts on the support [[98\]](#page-10-0) and gives better Ag dispersion [\[99](#page-10-0)].

On the other hand, studies investigating the Cs effect on the active catalyst surface produced several conclusions [\[24](#page-9-0), [43,](#page-9-0) [100](#page-10-0)]. Those studies that were conducted on single crystal surfaces showed that dosing the surface with Cs makes the metallic surface less active but more selective for epoxidation [\[96](#page-10-0)]. Cs and surface oxygen combine together to form CsO_x complexes that enhances the thermal stability of both Cs and O on Ag surface $[51, 74, 96]$ $[51, 74, 96]$ $[51, 74, 96]$ $[51, 74, 96]$ $[51, 74, 96]$ $[51, 74, 96]$, which agrees with the studies proposing that Cs acts as an electron donor to the surface [\[101](#page-10-0)] and makes the electrophilic oxygen adsorption stronger [\[99](#page-10-0)]. Cs was also proposed to maintain the high surface coverage of Cl [[47\]](#page-9-0). On the other hand, studies suggesting that the Cs reduces the combustion by stabilizing the electrophilic oxygen and reducing the nucleophilic oxygen concentration [[11\]](#page-9-0) did not find much support.

A recent study [[84\]](#page-10-0) proposed that, on the metallic silver catalyst Cs blocks the stepped surfaces, which were proposed to have lower EO selectivity than the flat $Ag(111)$ surface. On the other hand, a set of studies [\[59](#page-9-0), [61\]](#page-9-0) experimentally showed that Ag(100) and Ag(110) surfaces are more selective for EO compared to Ag(111) surface.

Furthermore, increased ethylene combustion was previously reported for the Cs only promotion [[43\]](#page-9-0).

In the computational studies of the OMC mechanism on flat metallic surfaces, Cs was proposed to stabilize the transition state of EO and to affect the activation energies for the competing parallel reactions on the metallic silver surface in favor of EO [[102](#page-10-0)].

In agreement with the previous reports on the surface effect of Cs, the conclusions of our previous study investigating the promoter effect on the model $Ag₂O(001)$ surface [\[74](#page-10-0)] were in line with the above proposals and conclusions about the Cs effect on the catalyst surface. When introduced to the $Ag₂O$ surface, Cs interacts with the surface and subsurface oxygen atoms creating CsO_x type complexes. The adsorption energies of the Cs bound oxygens increase as observed previously [\[40](#page-9-0), [51,](#page-9-0) [99](#page-10-0), [101](#page-10-0)]. Compared to the non-promoted oxide surface, oxygen atoms that are not interacting with Cs show no change in their activity towards epoxidation. Previously defined direct epoxidation channel is still available. In the absence of Cl, increased oxygen vacancy concentration by Cs introduction implies that in the case of Cs only promotion the EO selectivity of the system would reduce considerably due to the increased possibility for OMC formation. This explains the reduced EO selectivity observed for Cs only promotion [[103](#page-10-0)].

4 The State of the Silver Surface

The nature of the active (selective) oxygen species, therefore selectivity, is directly related to the nature of the surface and surface state, which affects the strength of the oxygen binding [[7,](#page-9-0) [39](#page-9-0)]. This can be clearly seen in the studies investigating the particle shape and size [\[2](#page-9-0), [10](#page-9-0), [61](#page-9-0)]. After the active oxygen species was identified as the atomically adsorbed oxygen (more detail in [\[3](#page-9-0)]), further studies revealed two types of oxygen as electrophilic $(O^{\delta+})$ and nucleophilic $(O^{\delta-})$, present in the system [\[50](#page-9-0), [86,](#page-10-0) [87,](#page-10-0) [104–106](#page-10-0)], usually considered each to be responsible for selective and/or non-selective paths [[104,](#page-10-0) [106–108](#page-10-0)]. Furthermore, sub-surface oxygen was reported be necessary [\[3](#page-9-0), [21,](#page-9-0) [31](#page-9-0), [32](#page-9-0), [41,](#page-9-0) [47](#page-9-0), [48](#page-9-0)] for the epoxidation reaction as it increases the surface activity [[109\]](#page-10-0). Although high reaction temperatures lower oxygen stability and concentration, high oxygen pressures in the reactor compensates for th.

Studies on the structure of the active Ag/O phase using experimental and theoretical methods [\[33](#page-9-0), [35](#page-9-0), [39](#page-9-0), [77,](#page-10-0) [89,](#page-10-0) [90](#page-10-0), [110–114\]](#page-10-0) showed that, after a relatively low surface coverage of O_s is achieved, oxygen diffuses into sub-surface layers [[35](#page-9-0), [39\]](#page-9-0), forming surface-oxide structures through morphological changes [[15,](#page-9-0) [28](#page-9-0), [50](#page-9-0), [75,](#page-10-0) [77](#page-10-0), [86](#page-10-0), [87,](#page-10-0) [89](#page-10-0), [90,](#page-10-0) [110,](#page-10-0) [111,](#page-10-0) [115–125](#page-10-0)]. More recent studies of this type

investigate the nature and the stability of these structures forming under high oxygen regimes, and the activity of oxygen in these structures. Comparisons for these structures at different, temperatures, pressures and coverage are carried out by building temperature–pressure phase diagrams, based on ab initio thermodynamics [[6,](#page-9-0) [90](#page-10-0), [112,](#page-10-0) [113\]](#page-10-0). An important finding of these studies is that under high oxygen pressure regimes, oxide like species found to be more stable compared monolayer oxygen adsorption on metallic surfaces [[86,](#page-10-0) [87\]](#page-10-0). Although these studies have shown that the actual catalyst is far from a simple adsorption system, the structure of the catalyst surface under high oxygen regimes is still controversial [[90,](#page-10-0) [123](#page-10-0)].

Along the surface-oxide formations on the $Ag(111)$ surfaces, recent studies suggest formation of $Ag₂O$ (or like) oxide phase $Ag(100)$ and similar corrugated surfaces [[112,](#page-10-0) [113\]](#page-10-0). Moreover, the activity of the 2-fold in bridge positions, which are available on oxide surfaces was emphasized [\[92](#page-10-0)]. The surface structural studies have not resulted in a definitive description of silver-oxide over layer structures.

As it was discussed in the previous sections, the main challenge to the possible existence of the direct epoxidation mechanism is the stability of the oxide surface that supplies electrophilic oxygen without the oxygen vacancies under reaction conditions. Bulk Ag₂O decomposes at the relatively high reaction temperatures (200–300 $^{\circ}$ C) the adsorbed oxygen over layer it will create oxygen vacant sites on the surface, which reduce the EO selectivity. On the other hand, the high oxygen (partial) pressure within the reactor favors the surface and the subsurface oxygen species formation and its stability.

The presence of Cs and Cl co-adsorbates on the catalyst surface was shown to have an important effect on the stability on the on-surface oxygen layer [\[51](#page-9-0), [74,](#page-10-0) [96](#page-10-0)]. Figure 7 shows the equilibrium curves of surfaces and structures in equilibrium with the gas phase oxygen, as investigated in our previous studies [[74](#page-10-0)]. As the figure shows, un-promoted $Ag₂O(001)$ surface is not stable under the reaction conditions, which transforms to a metallic nature [\[58](#page-9-0), [73](#page-10-0), [74\]](#page-10-0) by losing the weakly bound surface oxygens. Furthermore, Cs only and Cl only promotion does not significantly alter the relative stability of the surface oxide over layer under reaction conditions. However, with the combined effect of Cs and Cl, the Ag–O bond strength increases such that a stable surface oxide layer can be achieved.

These results give insight on the necessary state of the silver surface to give high selectivity at reaction conditions. The high EO selectivity of the industrial catalyst requires the Cs promotion in the presence of co-adsorbed Cl to stabilize the non-vacant oxidic surface over layer. Introduction of Cl into the system blocks the non-selective

Fig. 7 The calculated equilibrium diagram for the un-promoted and promoted Ag2O(001) surfaces. Adopted from [\[74\]](#page-10-0)

vacant oxygen sites generated by Cs. Cs promotion increases the temperature stability of the oxide over layer without converting surface oxygen atoms to non-selective nucleophilic oxygen.

5 Summary (Conclusion)

Silver catalyzed ethylene epoxidation has long been an interesting topic for the heterogeneous catalysis. Although it is the simplest kinetically controlled reaction [\[14](#page-9-0)], mechanism of this reaction is still under debate. Recent developments and contributions from the computational studies made a significant contribution in our understanding. In this paper we summarized the most recent developments in the identification of the active catalyst surface, effect of the promoters, and the reflection of these developments in the understanding of the mechanism.

It is almost clear that the active catalyst under applied conditions is not metallic silver, and more than a simple adsorption system. However, discussion continues on the state of the catalyst surface and the nature of the active oxygen species.

One major development of the last decade is the identification of the OMC intermediate and related mechanism for the competitive production of ethylene oxide (EO) and acetaldehyde (AA). Although discussions on explaining the high EO selectivity of the industrial application with OMC mechanism continues, it is the first conclusive model to explain the non-selective AA formation and the \sim 50 % EO selectivity of the un-promoted metallic silver.

On the other hand, our recent contributions focus on the oxidized silver surface that enables the direct epoxidation mechanism. Such a direct route enabled by the oxidized surface agrees with the previous reports on the necessity of the high oxygen coverage, electrophilic oxygen being the active species, as well as with the selective channel proceeding in an E–R manner.

We have also shown that the non-selective AA formation proceeded through OMC intermediate forming on the oxygen vacant sites. Thus, Cl and Cs promoters have an important role for the stability of the active oxygen species under high reaction temperatures as well as blocking the oxygen vacancies and inhibiting the non-selective channel.

Further developments in the computational methods would make it feasible to study the complete system including all the reactants, products, catalyst surface, support and the promoters, and their interactions and affects. Under the light of such studies the exact state of the surface and the exact mechanism can be identified. Practical applications are sure to benefit from such a molecular level knowledge.

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