



# Survival among patients with untreated metastatic breast cancer: “What if I do nothing?”

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## Abstract

**Purpose** We sought to assess survival outcomes of patients with de novo metastatic breast cancer (dnMBC) who did not receive treatment irrespective of the reason.

**Methods** Adults with dnMBC were selected from the NCDB (2010–2016) and stratified based on receipt of treatment (treated = received at least one treatment and untreated = received no treatments). Overall survival (OS) was estimated using the Kaplan–Meier method, and groups were compared. Cox proportional hazards models were used to identify factors associated with OS.

**Results** Of the 53,240 patients with dnMBC, 92.1% received at least one treatment (treated), and 7.9% had no documented treatments, irrespective of the reason (untreated). Untreated patients were more likely to be older (median 68 y vs 61 y,  $p < 0.001$ ), have higher comorbidity scores ( $p < 0.001$ ), have triple-negative disease (17.8% vs 12.6%), and a higher disease burden ( $\geq 2$  metastatic sites: 38.2% untreated vs 29.2% treated,  $p < 0.001$ ). The median unadjusted OS in the untreated subgroup was 2.5 mo versus 36.4 mo in the treated subgroup ( $p < 0.001$ ). After adjustment, variables associated with a worse OS in the untreated cohort included older age, higher comorbidity scores, higher tumor grade, and triple-negative (vs HR + / HER2-) subtype (all  $p < 0.05$ ), while the number of metastatic sites was not associated with survival.

**Conclusions** Patients with dnMBC who do not receive treatment are more likely to be older, present with comorbid conditions, and have clinically aggressive disease. Similar to those who do receive treatment, survival in an untreated population is associated with select patient and disease characteristics. However, the prognosis for untreated dnMBC is dismal.

**Keywords** Breast cancer · Metastatic · Stage IV · Outcomes · Survival

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## Background

Among women in the US, breast cancer is the second most common cause of cancer-related mortality [1]. With the advancement of treatments and systemic therapies, survival for patients with early-stage breast cancer has improved with a 5-year relative survival exceeding 90% in most developed countries [1, 2]. For those with metastatic breast cancer (MBC), survival outcomes have also improved, although not to the same degree [3]. Given the improvements in systemic treatments for select patients with MBC, survival outcomes now vary widely, and our recently proposed staging model was able to stratify patients with de novo MBC (dnMBC) into three distinct subgroups [4], thus providing refined prognostic estimates for patients with dnMBC.

In a recent 2020 study, Afifi et al. evaluated the causes of death among women with breast cancer in the US from

2000 to 2015, using the Surveillance, Epidemiology, and End Results Program (SEER) registries [5]. Within 5–10 years following diagnosis, 38.2% of patients had died from breast cancer, even after assuming that most of these women received standard of care. However, data describing the prognosis for those with dnMBC who forego treatment are lacking. In order to better understand the true advantages of treatment, a solid understanding of the natural history of untreated cases is critical. In most reports, patients with breast cancer of any/all stages survive nearly 3–4 years without any type of treatment, and approximately 5–10% of untreated patients live > 10 years [6]. This likely reflects the spectrum of clinical aggressiveness also observed in patients with non-metastatic disease, suggesting that untreated breast cancer varies between a virulent and chronic disease.

Although studies on patients with untreated dnMBC specifically are lacking, several older studies have looked at survival outcomes in patients with any stage of breast cancer that went untreated. In a study by Phillips et al. (including all stages and all ages) published in 1959, the average duration of life from onset of symptoms was 46.2 months [7], which was similar to other previously published works from 1927 to 1946 (estimates 38–40.5 months) [8]. In a review of untreated breast cancer (all stages) by Galmarini et al., it was noted that most studies were published > 50 years ago [6]. In addition, most studies reported that a “lump” had been present for 3–12 months prior to diagnosis, and 25% of untreated women exhibited distant metastases within a year [6].

Given the limited data in this unique population, we sought to assess outcomes in patients with untreated dnMBC, irrespective of the reason that treatment was not received. Our primary aim was to report survival outcomes for those with untreated dnMBC in a contemporary cohort of patients in the National Cancer Database (NCDB), compared to those who receive treatment. Our secondary aims were (1) to determine what, if any, variables are associated with survival outcomes in those with untreated dnMBC and (2) to determine if our previously proposed staging model could also meaningfully stratify patients with untreated dnMBC.

## Methods

Patients age  $\geq 18$  diagnosed with dnMBC were selected from the National Cancer Database (NCDB, 2017 Participant User File). Patients with distant metastatic disease were identified as those with clinical M1 or pathologic M1 disease. Patients with ICD-O3 histology codes not included in the WHO Classification of Tumors [9] contained in the AJCC 8th Edition Breast Cancer Staging Manual [10] were excluded, as were those with unknown or missing survival data. NCDB administratively masks

survival data for all patients diagnosed during the last reporting year of the dataset, so all patients diagnosed in 2017 were excluded from this analysis. Because human epidermal growth factor receptor-2 (HER2) status was not reliably coded until 2010, all patients diagnosed prior to 2010 were also excluded. The final patient cohort for this study includes patients diagnosed 2010–2016. Additionally, patients for whom treatment status was unable to be defined were also excluded. Of note, patients with other cancers were not specifically excluded, although it is presumably uncommon for a patient to have multiple metastatic cancers, albeit not impossible. In addition, patients who underwent excisional biopsy of the primary breast tumor for diagnostic purposes only were included in the treatment subgroup, as this type of surgery would be included in the possible surgery types of “Surgical Procedure of Primary Site” in the NCDB [11]. However, if this was the only “treatment,” they were excluded from the subgroup analyses of patients who received at least one type of systemic therapy.

Patients were classified as undergoing “any treatment” if they underwent any systemic therapy (chemotherapy, immunotherapy, or endocrine therapy), lumpectomy or mastectomy, radiation therapy, or any other therapy that could not be classified as systemic, surgical, or radiation. Other patients were classified as “untreated” if they did not undergo any of the aforementioned treatments, except in the case of palliative treatment, as coded in the NCDB. Of note, the NCDB separately encodes “palliative care” and describes it as “...any care provided in an effort to palliate or alleviate symptoms...may include surgery, radiation therapy, systemic therapy (chemotherapy, hormone therapy, or other systemic drugs), and/or other pain management therapy” [11]. Furthermore, patients were assigned as “untreated” based on documentation of treatment in the NCDB, irrespective of the reason and/or patient knowledge. Patients who underwent treatment were further classified as undergoing any systemic treatment or no systemic treatment. Hormone receptor positive was defined as being estrogen receptor (ER) or progesterone receptor (PR) positive. Triple-negative breast cancer was defined as being ER negative, PR negative, and HER2 negative (ER-/PR-/HER2-). Of note, when determining the number of sites of metastatic disease, the NCDB defines 1 “site” as having only one organ system involved, such as having bone-only metastases, although the patient may have multiple bone metastases. Thus, 2 sites of metastatic disease imply that two different organ systems are involved, such as both bone and lungs, but it does not refer to the actual number of metastatic foci within any given organ system. Although some data are available in the NCDB regarding site of radiation, this was not investigated in the current study (only whether any radiation therapy was given with therapeutic intent or palliative intent).

Patient demographic, disease, and treatment characteristics were summarized with N (%) for categorical variables and median (interquartile range, IQR) for continuous variables. Differences between patient groups (treated vs untreated) were tested using Chi-square tests for categorical variables and t-tests for continuous variables.

Overall survival (OS) was defined as the time from diagnosis to death or last follow-up. Median follow-up was estimated using the reverse Kaplan–Meier method, and unadjusted OS was estimated using the Kaplan–Meier method. Survival rates were estimated at 1, 2, 3, 6, and 12 months. Median OS was estimated for all patients and for those surviving at least 1, 2, 3, 6, and 12 months. OS was estimated after stratifying by select covariates including age (<40, 40–70, and  $\geq 70$ ), gender (male and female), tumor phenotype (HER2+, hormone receptor+/HER2-, and triple-negative breast cancer), number of metastatic organ systems involved (1, 2, 3, and 4+), and metastatic site (bone only, brain only, liver only, lung only, other only, and multiple). Additional analyses were performed using our previously published stratification system for those with dnMBC (proposed stage IVA, IVB, and IVC), which is based on groupings determined by 3-year OS outcomes [4]. In brief, patients with more favorable disease characteristics (i.e., hormone receptor positive, less disease burden, etc.) were often classified as stage IVA or IVB, while those with less favorable disease characteristics (i.e., triple negative, extensive disease burden, etc.) were generally classified as stage IVC [4]. Cox proportional hazards models were used to identify factors associated with OS in the untreated metastatic subgroup after adjustment for known covariates. This model included age, Charlson/Deyo comorbidity score, facility type and location, insurance status, race/ethnicity, and gender, in addition to either metastatic stage or the variables used to define metastatic stage (grade, tumor phenotype, number of metastatic sites, and metastatic site). Additional models were conducted that excluded patients who died or were lost to follow-up within 1 month. All adjusted survival models included a robust sandwich covariance estimator to account for the correlation of patients treated at the same facility.

No adjustments were made for multiple comparisons, and  $p$ -value < 0.05 was considered statistically significant. Only patients with complete data were included in each analysis, and effective sample sizes are listed for each table and figure. All statistical analyses were conducted using SAS, version 9.4 (SAS Institute, Cary NC) or R, version 3.5.1 (R Foundation for Statistical Computing, Vienna, Austria). Given the use of retrospective de-identified national data, the study was deemed exempt by the Institutional Review Board at our institution.

## Results

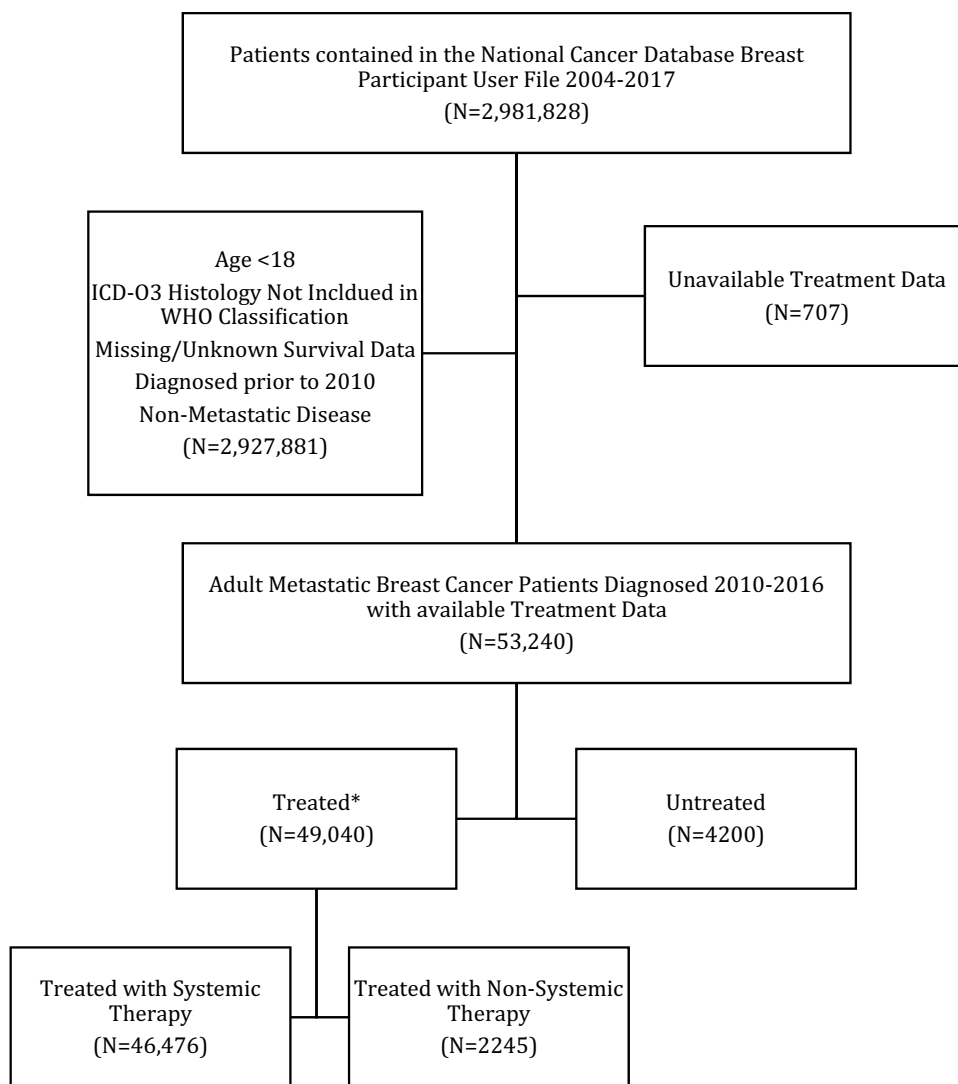
Of the 53,240 patients diagnosed with dnMBC 2010–2016 in the NCDB, 49,040 received some treatment (92.1% treated) and 4200 patients received no treatment (7.9% untreated) (Fig. 1). Of those who received some treatment, 46,476 received at least one systemic therapy (94.8%), while 2245 did not receive any systemic therapy (4.6%). Within the entire cohort, 11,043 patients (20.7%) received palliative care at some point during follow-up, which was more common among those who received treatment for their dnMBC diagnosis than those who were untreated (21.5% vs 12.4%,  $p < 0.001$ ). The median follow-up for all patients was 53.7 months (95% CI 53.3–54.2), and the median OS was 33.6 months (95% CI 33.1–34.1). Of those who received at least one treatment, 61.7% received chemotherapy, 59.9% received endocrine therapy, 33.8% underwent lumpectomy or mastectomy, and 35.9% received radiation therapy (Supplemental Table 1). Of note, data regarding biopsy of suspected distant metastases in the NCDB are not available. However, 33.1% were listed as having pathologic M1 disease, suggesting that pathology was assessed (likely by biopsy) for those patients; unfortunately, pathologic M stage was missing for 66.4% of patients.

### Treated versus untreated dnMBC

Compared to those who received treatment for their dnMBC diagnosis, patients with untreated dnMBC tended to be older (median age 68 years old vs 61 years old,  $p < 0.001$ ) and have higher comorbidity scores (score  $\geq 2$ : 9.8% vs 4.8%,  $p < 0.001$ ). Treatment receipt was similar for men and women with breast cancer, while the proportion of non-Hispanic Black patients was higher among the untreated cohort than the treated cohort (19.9% vs 16.8%, overall  $p$  for race/ethnicity < 0.001). Patients in the untreated cohort tended to come from areas with lower income levels and lower education levels (both  $p < 0.001$ ). Furthermore, the proportion of government and uninsured patients was higher among the untreated cohort than the treated cohort (government insurance: 66.3% vs 51.4% and uninsured: 7.3% vs 5%; overall  $p$  for insurance type < 0.001). Patients with untreated dnMBC were more likely to have unknown T/N categories (TX: 20.7% vs 10.1% and NX: 19.9% vs 9.7%; both  $p < 0.001$ ) and missing biomarkers (19.4% vs 7.4%;  $p < 0.001$ ). Untreated patients were also more likely to have more than 1 site of metastatic disease (38.2% vs 29.2%,  $p < 0.001$ ) (Table 1).

When comparing survival outcomes for those with untreated versus treated dnMBC, patients with untreated

**Fig. 1** Patient flow diagram of inclusion and exclusion criteria. NCDB: National Cancer Database. WHO: World Health Organization



\*N=319 Patients underwent treatment, but had unavailable systemic treatment data.

disease had significantly lower OS rates (unadjusted median OS: 2.5 vs 36.4 months, log rank  $p < 0.001$ ; Fig. 2A). When further stratified by receipt of systemic versus non-systemic treatment, those who received non-systemic treatment had better outcomes than those who were untreated, but still had significantly truncated survival (unadjusted median OS: untreated 2.5 months, treated with non-systemic therapy 7.0 months, and treated with systemic therapy 37.8 months; log rank  $p < 0.001$ ; Fig. 2B). In order to determine if the observed survival differences were largely due to early deaths by those who did not receive treatment, additional unadjusted survival analyses were conducted based on a defined period of survival (Fig. 3). For example, for those who survived at least 1 month after diagnosis, the unadjusted median OS was 6.9 months for untreated patients versus

38.5 months for those who received systemic therapy (vs 9.8 months for those who received non-systemic therapy). For those who survived at least 3 months, significant differences were again observed (unadjusted median OS: untreated, 18.6 months; treated with non-systemic therapy, 20.9 months; and treated with systemic therapy, 40.8 months).

### Survival outcomes for patients with untreated dnMBC

When stratified by age group, comparatively younger patients (age <40 years old) with untreated dnMBC had significantly better survival outcomes compared to the two other cohorts (unadjusted median OS: age <40, 28.5 months; age 40–70, 2.8 months; and age >70, 2.2 months; log rank  $p < 0.001$ ;

**Table 1** Select patient, facility, and disease characteristics of patients with de novo metastatic breast cancer in the National Cancer Database (2010–2016), stratified by treatment receipts (untreated vs treated)

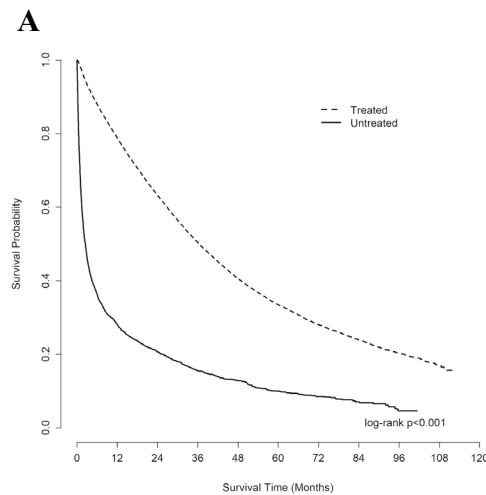
	All patients (N=53,240)	Untreated (N=4200)	Treated (N=49,040)	p-value
Age (years)				< 0.001
< 40	3489 (6.6%)	102 (2.4%)	3387 (6.9%)	
40–70	35,865 (67.4%)	2241 (53.4%)	33,624 (68.6%)	
≥ 70	13,886 (26.1%)	1857 (44.2%)	12,029 (24.5%)	
Median (IQR)	61 (52–71)	68 (58–79)	61 (51–70)	< 0.001
Gender				0.68
Female	52,467 (98.5%)	4136 (98.5%)	48,331 (98.6%)	
Male	773 (1.5%)	64 (1.5%)	709 (1.4%)	
Race/ethnicity				< 0.001
Non-Hispanic White	37,316 (70.1%)	2837 (67.5%)	34,479 (70.3%)	
Non-Hispanic Black	9066 (17%)	837 (19.9%)	8229 (16.8%)	
Hispanic	3029 (5.7%)	217 (5.2%)	2812 (5.7%)	
Other	2031 (3.8%)	140 (3.3%)	1891 (3.9%)	
Missing	1798 (3.4%)	169 (4%)	1629 (3.3%)	
Income level				< 0.001
< \$48,000	20,792 (39.1%)	1824 (43.4%)	18,968 (38.7%)	
≥ \$48,000	28,674 (53.9%)	2196 (52.3%)	26,478 (54%)	
Missing	3774 (7.1%)	180 (4.3%)	3594 (7.3%)	
Education level				< 0.001
≤ 87% high school graduation rate	22,436 (42.1%)	1973 (47%)	20,463 (41.7%)	
> 87% high school graduation rate	27,050 (50.8%)	2049 (48.8%)	25,001 (51%)	
Missing	3754 (7.1%)	178 (4.2%)	3576 (7.3%)	
Insurance type				< 0.001
Private	21,574 (40.5%)	1022 (24.3%)	20,552 (41.9%)	
Government	28,011 (52.6%)	2786 (66.3%)	25,225 (51.4%)	
Not insured	2739 (5.1%)	306 (7.3%)	2433 (5%)	
Missing	916 (1.7%)	86 (2%)	830 (1.7%)	
Charlson/Deyo comorbidity score				< 0.001
0	43,232 (81.2%)	3100 (73.8%)	40,132 (81.8%)	
1	7255 (13.6%)	688 (16.4%)	6567 (13.4%)	
≥ 2	2753 (5.2%)	412 (9.8%)	2341 (4.8%)	
Facility type				< 0.001
Academic	17,981 (33.8%)	1249 (29.7%)	16,732 (34.1%)	
Integrated network	7367 (13.8%)	646 (15.4%)	6721 (13.7%)	
Comprehensive	22,141 (41.6%)	1753 (41.7%)	20,388 (41.6%)	
Community	5750 (10.8%)	552 (13.1%)	5198 (10.6%)	
Missing	1 (0%)	0 (0%)	1 (0%)	
Facility location				< 0.001
Midwest	13,594 (25.5%)	1005 (23.9%)	12,589 (25.7%)	
Northeast	11,082 (20.8%)	953 (22.7%)	10,129 (20.7%)	
South	20,361 (38.2%)	1654 (39.4%)	18,707 (38.1%)	
West	8202 (15.4%)	588 (14%)	7614 (15.5%)	
Missing	1 (0%)	0 (0%)	1 (0%)	
Clinical T-stage				< 0.001
T0/IS	610 (1.1%)	55 (1.3%)	555 (1.1%)	
T1	7318 (13.7%)	491 (11.7%)	6827 (13.9%)	
T2	15,092 (28.3%)	944 (22.5%)	14,148 (28.8%)	
T3	7404 (13.9%)	458 (10.9%)	6946 (14.2%)	
T4	16,183 (30.4%)	1285 (30.6%)	14,898 (30.4%)	
TX	5797 (10.9%)	868 (20.7%)	4929 (10.1%)	

Table 1 (continued)

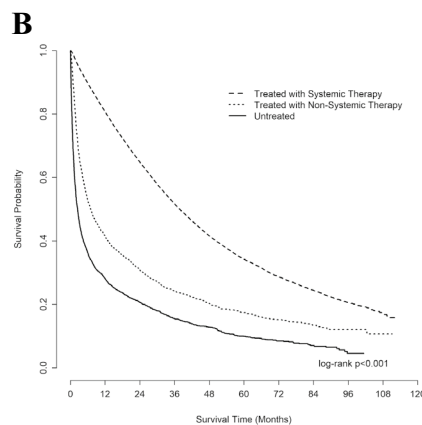
	All patients ( <i>N</i> = 53,240)	Untreated ( <i>N</i> = 4200)	Treated ( <i>N</i> = 49,040)	<i>p</i> -value
Missing	836 (1.6%)	99 (2.4%)	737 (1.5%)	
Clinical N-stage				< 0.001
N0	13,338 (25.1%)	1089 (25.9%)	12,249 (25%)	
N1	21,121 (39.7%)	1425 (33.9%)	19,696 (40.2%)	
N2	5825 (10.9%)	377 (9%)	5448 (11.1%)	
N3	6546 (12.3%)	378 (9%)	6168 (12.6%)	
NX	5582 (10.5%)	835 (19.9%)	4747 (9.7%)	
Missing	828 (1.6%)	96 (2.3%)	732 (1.5%)	
Tumor subtype				< 0.001
HER2+	12,571 (23.6%)	845 (20.1%)	11,726 (23.9%)	
HR +/HER2-	29,308 (55%)	1794 (42.7%)	27,514 (56.1%)	
TNBC	6926 (13%)	746 (17.8%)	6180 (12.6%)	
Missing	4435 (8.3%)	815 (19.4%)	3620 (7.4%)	
Grade				0.03
1	3592 (6.7%)	245 (5.8%)	3347 (6.8%)	
2	19,022 (35.7%)	1260 (30%)	17,762 (36.2%)	
3	21,967 (41.3%)	1603 (38.2%)	20,364 (41.5%)	
Missing	8659 (16.3%)	1092 (26%)	7567 (15.4%)	
Any lung metastasis				< 0.001
No	37,576 (70.6%)	2587 (61.6%)	34,989 (71.3%)	
Yes	15,664 (29.4%)	1613 (38.4%)	14,051 (28.7%)	
Any liver metastasis				< 0.001
No	40,548 (76.2%)	2853 (67.9%)	37,695 (76.9%)	
Yes	12,692 (23.8%)	1347 (32.1%)	11,345 (23.1%)	
Any brain metastasis				< 0.001
No	49,372 (92.7%)	3831 (91.2%)	45,541 (92.9%)	
Yes	3868 (7.3%)	369 (8.8%)	3499 (7.1%)	
Any bone metastasis				< 0.001
No	18,598 (34.9%)	1685 (40.1%)	16,913 (34.5%)	
Yes	34,642 (65.1%)	2515 (59.9%)	32,127 (65.5%)	
Any other metastasis				0.08
No	45,988 (86.4%)	3665 (87.3%)	42,323 (86.3%)	
Yes	7252 (13.6%)	535 (12.7%)	6717 (13.7%)	
Number of metastatic sites (organ systems involved)				< 0.001
1	37,301 (70.1%)	2596 (61.8%)	34,705 (70.8%)	
2	11,581 (21.8%)	1101 (26.2%)	10,480 (21.4%)	
3	3777 (7.1%)	431 (10.3%)	3346 (6.8%)	
4+	581 (1.1%)	72 (1.7%)	509 (1%)	
Location of metastatic site				< 0.001
Bone only	20,449 (38.4%)	1164 (27.7%)	19,285 (39.3%)	
Brain only	698 (1.3%)	78 (1.9%)	620 (1.3%)	
Liver only	3635 (6.8%)	314 (7.5%)	3321 (6.8%)	
Lung only	5267 (9.9%)	505 (12%)	4762 (9.7%)	
Other only	7252 (13.6%)	535 (12.7%)	6717 (13.7%)	
Multiple	15,939 (29.9%)	1604 (38.2%)	14,335 (29.2%)	

Data presented as *N* (%) unless otherwise specified. Percentages may not add up to 100 due to rounding or missing values. *p*-values represent the comparison between untreated and treated patients. *IQR* interquartile range, *HER2* human epidermal growth factor receptor-2, *HR* hormone receptor, and *TNBC* triple-negative breast cancer

**Fig. 2** Unadjusted overall survival for patients with de novo metastatic breast cancer from the National Cancer Database, diagnosed 2010–2016, stratified by treatment group: **A** treated versus untreated and **B** treated with systemic therapy versus treated with non-systemic therapy versus untreated



	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
Untreated	4200	3379 (80.5%)	2.5 Months (2.3-2.7)
Treated	49040	29190 (59.5%)	36.4 Months (35.9-36.9)

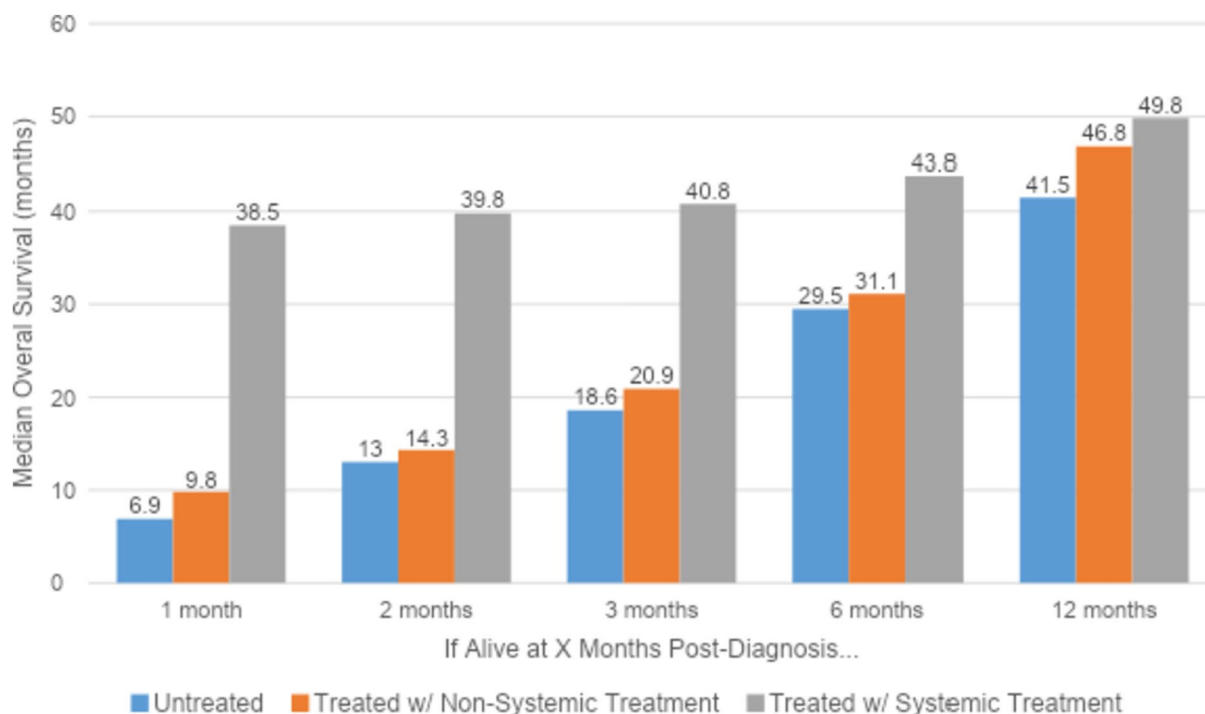


	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
Untreated	4200	3379 (80.5%)	2.5 Months (2.3-2.7)
Treated with Systemic	46476	27240 (58.6%)	37.8 Months (37.3-38.4)
Treated with Non-Systemic	2245	1741 (77.6%)	7.0 Months (6.2-7.8)

Supplemental Fig. 1). However, no differences were observed when stratified by patient sex (unadjusted median OS: male, 2.6 months and female, 2.5 months; log rank  $p = 0.46$ ). As noted for patients who receive treatment for dnMBC, survival outcomes also varied based on tumor phenotype for those with untreated dnMBC (unadjusted median

OS: HR +/HER2-, 3.8 months; HER2+, 2.6 months; and TNBC, 2.1 months; log rank  $p < 0.001$ ; Fig. 4A). Predictable variations were also observed when stratified by disease burden, as patients with less disease had better survival outcomes (unadjusted median OS: 1 metastatic site, 4.1 months; 2 metastatic sites, 1.8 months; 3 metastatic sites, 1.1 months;





**Fig. 3** Unadjusted median overall survival for patients with de novo metastatic breast cancer from the National Cancer Database, diagnosed 2010–2016, stratified by treatment (untreated vs treated w/non-

systemic therapy vs treat w/systemic therapy) and by survival time (if patients survived X months, where X=1 month, 2 months, 3 months, 6 months, or 12 months)

and 4+ metastatic sites, 1.2 months; log rank  $p < 0.001$ ; Fig. 4B). For patients with only 1 site of metastatic disease, those with bone only metastases lived longer than those with lung only, brain only, or liver only metastases (unadjusted median OS: bone only, 5.8 months, lung only, 3.8 months; brain only, 2.0 months; and liver only, 1.2 months; log rank  $p < 0.001$ ; Fig. 4C). Comparison of patients with untreated dnMBC based on previously proposed staging guidelines for patients with dnMBC [4] demonstrated that those with stage IVA disease (generally defined as those with more limited disease and favorable biology) had the best survival outcomes, compared to those with stage IVB or IVC disease (unadjusted median OS: stage IV, 5.4 months; stage IVB, 2.4 months; and stage IVC, 1.7 months; log rank  $p < 0.001$ ; Fig. 4D).

After adjusting for select covariates, factors associated with worse survival outcomes included older age, non-Hispanic White race/ethnicity, higher comorbidity scores, having government insurance or no insurance, higher tumor grade, location of metastatic site (bone, brain, liver, and lung), and TNBC tumor subtype (all  $p \leq 0.01$ ; Table 2). Notably, the number of metastatic sites was not significantly associated with survival in the adjusted analysis. Similar findings were noted when disease stage (A/B/C) was used instead of the individual disease characteristics, and a higher disease stage was found to be associated with worse

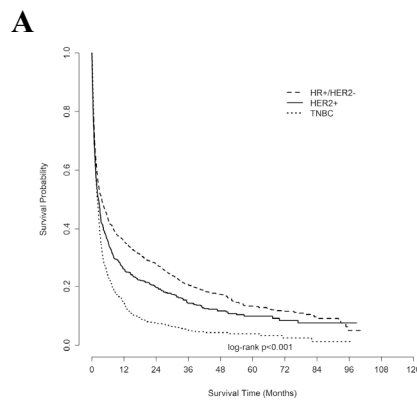
outcomes ( $p < 0.001$ ; Table 2). After excluding patients who died or were lost to follow-up within 1 month of diagnosis, factors associated with worse survival outcomes included: older age, higher comorbidity scores, having government insurance or no insurance, tumor grade, metastatic site (brain and liver), and TNBC tumor subtype (all  $p \leq 0.002$ ; Supplemental Table 2). As previously observed, using disease stage instead of individual disease characteristics again demonstrated an association with higher disease stage and worse outcomes ( $p < 0.001$ ; Supplemental Table 2).

## Discussion

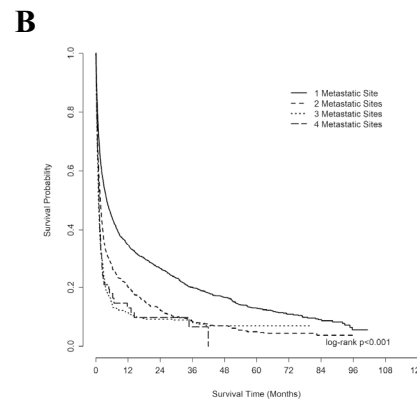
De novo MBC has a poor prognosis, and few studies have delineated factors that lead to differences in OS among patients with untreated dnMBC. To fill this knowledge gap, our study identified factors that impact survival in this patient population. Overall, patients with untreated dnMBC were more likely to be older, have multiple comorbidities, were disproportionately of non-White race and/or Hispanic ethnicity, lower income, lower education, uninsured, had triple-negative disease, and higher disease burden. After adjustment, we continued to see that older age, higher comorbidity scores, higher tumor grade, and triple-negative disease were still associated with a worse



**Fig. 4** Unadjusted overall survival for untreated patients with de novo metastatic breast cancer from the National Cancer Database, diagnosed 2010–2016, stratified by: **A** tumor subtype (HR +/HER2- vs HER2+ vs TNBC), **B** number of metastatic sites (=number of organ systems involved), **C** location of metastatic site/involvement, and **D** stage (IVA vs IVB vs IVC). HR +: hormone receptor positive. HER2: human epidermal growth factor receptor-2. TNBC: triple-negative breast cancer (estrogen receptor negative, progesterone receptor negative, and HER2 negative)



	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
HER2+	845	672 (79.5%)	2.6 Months (2.0-3.1)
HR+/HER2-	1794	1370 (76.4%)	3.8 Months (3.0-4.5)
TNBC	746	665 (89.1%)	2.1 Months (1.9-2.5)



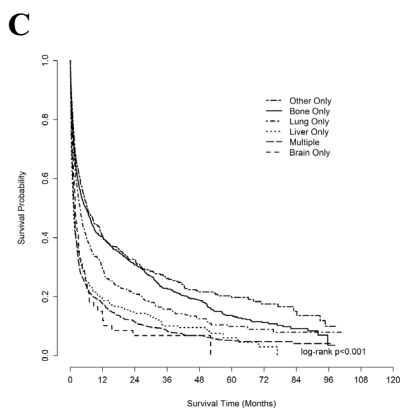
	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
1 Metastatic Site	2596	1974 (76.0%)	4.1 Months (3.7-4.7)
2 Metastatic Sites	1101	957 (86.9%)	1.8 Months (1.5-1.9)
3 Metastatic Sites	431	383 (88.9%)	1.1 Months (0.9-1.2)
4 Metastatic Sites	72	65 (90.3%)	1.2 Months (1.0-1.7)

OS in untreated dnMBC patients compared to treated dnMBC patients. Interestingly, extent of disease (or number of metastatic sites involved) was not associated with survival, after adjusting for known covariates, suggesting that the presence of any distant metastasis is a sufficiently poor prognostic indicator for patients who do not receive treatment. These results contribute to our understanding of patients with untreated dnMBC by demonstrating that a combination of disease-specific and patient-related factors impacts OS. While the prognosis is generally poor for all

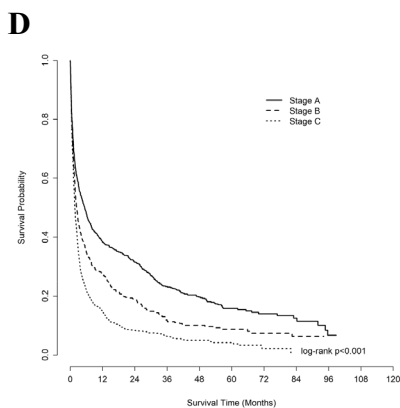
patients with dnMBC, survival is particularly dismal for those who do not receive any treatment.

Other national registry studies have also shown that survival for patients with treated MBC is influenced by a combination of patient and disease-specific factors. For example, a Brazilian population-based study identified histologic grade and initial site of metastases as key prognostic factors [12]. In this study, median survival was 20 months, and insurance status significantly impacted OS (public 19.7 months vs private 27.2 months). The authors

Fig. 4 (continued)



	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
Bone Only	1164	853 (73.3%)	5.8 Months (4.5-7.1)
Brain Only	78	69 (88.5%)	2.0 Months (1.2-3.1)
Liver Only	314	272 (86.6%)	1.2 Months (0.9-1.5)
Lung Only	505	402 (79.6%)	3.8 Months (2.9-4.5)
Multiple	1604	1405 (87.6%)	1.5 Months (1.3-1.6)
Other Only	535	378 (70.7%)	6.4 Months (5.1-8.6)



	Total	Deaths (%)	Median Overall Survival (95% Confidence Interval)
Stage IVA	1050	761 (72.5%)	5.4 Months (4.3-6.3)
Stage IVB	632	512 (81.0%)	2.4 Months (2.0-2.9)
Stage IVC	1144	1021 (89.2%)	1.7 Months (1.6-1.9)

further recognized that socioeconomic constraints, which limited access to treatment, contributed to lower OS when compared to MBC patients in the US [12]. Even when health-care access was accounted for in a study from New Zealand, which has a national health-care system, dnMBC was shown to be associated with older age, living in more deprived areas and HER2+ disease [13]. Similarly, recent studies of patients with dnMBC in SEER demonstrated that worse outcomes were related to both disease factors, such

as tumor phenotype and disease burden, but also race/ethnicity and insurance status [3]. Notably, all of these studies were largely examining patients who received at least some treatment for their disease. However, our study suggests that survival is dependent on similar factors whether treatment is received or not.

Many studies have confirmed that systemic therapy can improve survival outcomes for patients with dnMBC [14]. This is particularly true for patients with HER2+ disease,

**Table 2** Adjusted overall survival for patients with untreated, de novo metastatic breast cancer in the National Cancer Database, diagnosed 2010–2016 ( $N=2658$ )

Predictor	Model 1			Model 2		
	Hazard ratio (95% CI)	<i>p</i> -value	Overall <i>p</i> -value	Hazard ratio (95% CI)	<i>p</i> -value	Overall <i>p</i> -value
Age (years)			0.006			<0.001
< 40	REF			REF		
40–70	1.57 (1.17–2.11)	0.003		1.80 (1.25–2.58)	0.001	
≥ 70	1.66 (1.22–2.26)	0.001		2.10 (1.45–3.03)	<0.001	
Gender			0.51			0.90
Female	REF			REF		
Male	0.88 (0.61–1.28)	0.51		1.03 (0.71–1.49)	0.90	
Race/ethnicity			0.001			0.001
Non-Hispanic White	REF			REF		
Non-Hispanic Black	0.88 (0.79–0.98)	0.02		0.88 (0.79–0.99)	0.03	
Hispanic	0.72 (0.60–0.87)	0.001		0.74 (0.61–0.89)	0.002	
Other	0.78 (0.61–0.99)	0.04		0.69 (0.52–0.91)	0.009	
Charlson/Deyo comorbidity score			<0.001			<0.001
0	REF			REF		
1	1.32 (1.18–1.48)	<0.001		1.36 (1.22–1.53)	<0.001	
≥ 2	1.56 (1.34–1.82)	<0.001		1.57 (1.35–1.83)	<0.001	
Facility type			0.33			0.83
Academic	REF			REF		
Integrated network	1.12 (0.96–1.30)	0.17		1.01 (0.86–1.19)	0.92	
Comprehensive	1.04 (0.92–1.17)	0.53		0.98 (0.86–1.10)	0.70	
Community	1.15 (0.96–1.37)	0.13		1.06 (0.88–1.28)	0.53	
Facility location			0.05			0.04
South	REF			REF		
Midwest	1.13 (1.00–1.27)	0.06		1.17 (1.02–1.34)	0.03	
Northeast	0.99 (0.86–1.14)	0.89		0.96 (0.83–1.11)	0.60	
West	0.92 (0.78–1.08)	0.29		0.99 (0.85–1.15)	0.90	
Insurance type			<0.001			<0.001
Private	REF			REF		
Government	1.40 (1.23–1.58)	<0.001		1.42 (1.25–1.62)	<0.001	
Not insured	1.55 (1.27–1.89)	<0.001		1.71 (1.39–2.12)	<0.001	
Tumor grade			0.006			
1	REF			REF		
2	1.25 (1.02–1.52)	0.03				
3	1.37 (1.12–1.68)	0.002				
Metastatic site—any bone			0.008			
No	REF					
Yes	1.24 (1.06–1.45)	0.008				
Metastatic site—any brain			<0.001			
No	REF					
Yes	1.55 (1.25–1.93)	<0.001				
Metastatic site—any liver			<0.001			
No	REF					
Yes	1.85 (1.54–2.22)	<0.001				
Metastatic site—any lung			0.01			
No	REF					
Yes	1.24 (1.05–1.47)	0.01				
Number of metastatic sites			0.10			

**Table 2** (continued)

Predictor	Model 1		Overall <i>p</i> -value	Model 2		
	Hazard ratio (95% CI)	<i>p</i> -value		Hazard ratio (95% CI)	<i>p</i> -value	Overall <i>p</i> -value
1	REF					
2	1.00 (0.81–1.23)	0.97				
3	0.93 (0.64–1.35)	0.70				
4	0.60 (0.33–1.08)	0.09				
Tumor subtype			<0.001			
HR +/HER2-	REF					
HER2+	1.07 (0.95–1.20)	0.26				
TNBC	1.34 (1.20–1.50)	<0.001				
Metastatic stage						<0.001
IVA				REF		
IVB				1.34 (1.19–1.51)	<0.001	
IVC				1.86 (1.68–2.05)	<0.001	

where targeted therapies have revolutionized the treatment paradigm for these patients, and new regimens are on the horizon [15–18]. However, it is less clear if surgery for the primary breast tumor is an important component of treating dnMBC. Several retrospective studies of large national tumor registries have suggested that surgery may improve survival [19–21], yet prospective randomized controlled trials have yielded conflicting findings [22–24]. Notably, the only trial performed in the US did not demonstrate an improved survival for patients with dnMBC who underwent early local–regional therapy for the primary site [24]. In contrast, the study by Soran et al. demonstrated a higher OS with longer follow-up (10 years) in patients who underwent local–regional therapy followed by systemic therapy, compared to those who only received systemic therapy [23]. Taken together, it may be possible that there are smaller subgroups of patients with dnMBC that may benefit from surgery [25], and further stratification of these patients may help reveal those subgroups. For example, our group has previously stratified patients into proposed stage IV subgroups (A/B/C) and noted that those with dnMBC and the most favorable tumor biology and least disease burden may benefit the most from surgery, assuming that there is a subgroup that benefits from resection of the primary tumor [26]. Our prior work has also shown that isolated nodal metastases in particular are sites of metastases that often portend a better prognosis, and as such, supraclavicular metastases are now considered N3 disease with better survival outcomes than those with dnMBC [27], while recent studies suggest a similar shift for patients with contralateral axillary metastases [28]. Similar to the surgical question, radiation therapy is also controversial [29, 30], and more studies are needed to confirm or refute the findings of prior work.

Another interesting finding from our study is the significant difference in survival for patients aged <40 years

old compared to the other subgroups (ages 40–70 or ≥70). Unfortunately, the comparatively younger cohort of untreated patients was quite small (only 102 patients). However, it appears that many of the deaths in the age groups 40–70 and ≥70 years old occurred in the 1st year, compared to those in the youngest cohort, which occurred steadily over time. This is likely multifactorial, but it could be related to younger patients having less advanced disease at diagnosis, more aggressive treatment because of their young age, and more willingness to accept any/all treatment because of their young age. Furthermore, we have previously shown that patients in a similar age group (although all stages) have a higher proportion of those with HER2+ disease [31], which is often more responsive to systemic therapies.

One surprising finding from our study was the lack of association between number of sites involved and survival outcomes. However, the specific site involved (bone, liver, lung, etc.) was significantly associated with outcomes. This is in contrast with the treated group, where the number of sites involved (or extent of disease) was shown to be a significant factor associated with outcomes in other studies from some of the authors in our group [4, 32]. It is unclear why this difference exists, but it could be related to the effectiveness of select treatments at some anatomic sites compared to others (more or less treatment responsive due to uptake/exposure, such as activity in the brain), whereas without treatment, this becomes irrelevant because no sites are being treated.

Another interesting finding from our study was the improved survival outcomes observed in minority populations. This is in contrast with other studies, where minority patients are often found to have worse survival outcomes. For example, the 2022 Breast Cancer Statistics report noted a lower incidence rate in Black versus White women, yet substantial racial disparities persisted [33]. Although likely

multifactorial, the authors postulated that some of this difference may be related to variations in tumor subtype [33], which could be a similar confounder for the current study. Unfortunately, treatment documentation in the NCDB is not always available or accurate [34, 35]. Furthermore, it could be that the minority patients were more likely to receive care at multiple facilities (instead of no treatment, as documented by one facility), and this potential care fragmentation could have impacted our findings. Others have shown that care fragmentation is common among some minority populations [36], and this may be associated with survival outcomes [37].

While our study is one of the largest studies and most contemporary to review survival outcomes for patients with dnMBC that goes untreated, there are some limitations. The NCDB captures > 80% of breast cancer patients in the US [38], but the reported data have notable biases inherent to national registries [34, 39]. Specifically, there is no information on frailty, functional status, clinical presentation, symptoms, and/or results from biopsies of distant metastases, available in the NCDB. Furthermore, information regarding treatment intent could have been mis-interpreted and incorrectly coded in the NCDB as palliative when it was intended as therapeutic. In addition, there is a lack of granularity related to patient comorbidities, treatments received, and treatment adherence, as well no record of why certain treatments were or were not given [39]. Unfortunately, there were some missing data in the dataset, which was often more common for the untreated group, which may be related to patients not completing recommended testing (due to illness or patient preference, etc.). We have previously shown that missing data are associated with worse outcomes [34], suggesting that outcomes could be even worse for those with untreated disease than our study findings suggest. As a retrospective study, it is also not possible to control for the biases that led to treatments being offered for select patients and not others. More specifically, patients presenting with dnMBC who were younger, with less comorbidities, and more favorable tumor biology, were notably more likely to receive systemic therapy. Regardless, our study provides contemporary insight into the patient and disease-related factors that contribute to outcomes in patients with dnMBC who do not receive treatment, thus providing a background against which the benefits of treatment can be compared.

## Conclusion

Overall, our study showed that patients with untreated dnMBC tended to be older, have more comorbid conditions, and presented with more clinically aggressive disease compared to those patients treated with systemic and/or local–regional therapies. Similar to those who do receive

treatment, survival in an untreated population, irrespective of the reason, is associated with select patient and disease characteristics. However, the prognosis for untreated dnMBC is dismal.

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**Author contributions** Jennifer K. Plichta helped in conceptualization, methodology, data analysis, writing (original draft, review, and editing), and project administration. Samantha Thomas helped in methodology, resources, data curation, formal analysis, and writing (review and editing). Xuanji Wang worked in data review and writing (original draft, review, and editing). Sarah Sammons worked in data analysis and writing (review and editing). Susan McDuff worked in data analysis and writing (review and editing). Gretchen Kimmick worked in data analysis and writing (review and editing). E. Shelley Hwang worked in resources, data analysis, writing (review, and editing), and project administration.

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**Data availability** All data used for this study are from the National Cancer Data Base, which is freely available to hospitals with CoC status.

## Declarations

**Conflict of interest** The authors report no proprietary or commercial interest in any product mentioned or concept discussed in this article. Dr. J. Plichta is a recipient of research funding by the Color Foundation and Earlier.org (PI: Plichta), unrelated to the submitted work. JP serves on the Editorial Committee for the American Joint Committee on Cancer (AJCC), Breast Cancer panel for the AJCC, and the National Comprehensive Cancer Network (NCCN) Breast Cancer Screening and Diagnosis panel. JP is on the editorial board for the journal (Breast Cancer Research and Treatment), but she was not involved in the editorial process for this manuscript. Dr. E.S. Hwang serves on the NCCN Breast Cancer Risk Reduction panel. The content of this manuscript is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.

**Consent for publication** Not applicable.

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