



# Evolution in the risk of adverse events of adjuvant endocrine therapy in postmenopausal women with early-stage breast cancer

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Received: 3 April 2020 / Accepted: 26 May 2020 / Published online: 1 June 2020  
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## Abstract

**Background** Adjuvant endocrine therapy is a gold standard in early-stage, hormone receptor positive breast cancer. In postmenopausal women, aromatase inhibitors (AIs) are associated with improved outcome compared to tamoxifen monotherapy. Differences in the toxicity profiles of these drugs are described; however, little is known about whether the risk of adverse events changes over time.

**Methods** Sequential reports of large, randomized, adjuvant endocrine therapy trials comparing AIs to tamoxifen were reviewed. Data on pre-specified adverse events were extracted including cardiovascular events, bone fractures, cerebrovascular disease, endometrial cancer, secondary malignancies excluding breast cancer, venous thrombosis and death without recurrence. Odds ratios (ORs) were calculated for each adverse event at each time over the course of follow-up. The change in the ORs for adverse events over time was evaluated using weighted linear regression.

**Results** Analysis included 21 reports of 7 trials comprising 30,039 patients and reporting outcomes between 28 and 128 months of follow-up. Compared to tamoxifen, AIs use was associated with a significant reduction in the magnitude of increased odds of bone fracture over time ( $\beta = -0.63$ ,  $p = 0.013$ ). There was a non-significant decrease in the magnitude of reduced odds of secondary malignancies over time ( $\beta = 0.448$ ,  $p = 0.094$ ). The differences in other toxicity profiles between AIs and tamoxifen did not change significantly over time.

**Conclusions** The increased risk of bone fractures associated with adjuvant AIs falls over time and after discontinuation of treatment. Differences in other toxicities between AIs and tamoxifen do not change significantly over time including a persistently elevated risk of cardiovascular events.

**Keywords** Breast cancer · Adjuvant · Tamoxifen · Aromatase inhibitors · Toxicity · Adverse events

## Abbreviations

DFS	Disease free survival
OS	Overall survival
AI	Aromatase inhibitors
RCT	Randomized controlled trial
OR	Odds ratio
CI	Confidence interval
NNH	Number needed to harm

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## Background

Adjuvant endocrine therapy for early-stage hormone receptor positive breast cancer improves disease free survival (DFS) and overall survival (OS) [1]. In postmenopausal women treatment with aromatase inhibitors (AIs) either as an upfront treatment or in sequence after initial tamoxifen has shown improvement in DFS and breast cancer-specific mortality [1].

In low risk patients the absolute benefit from endocrine may be modest, and as endocrine treatment may result in clinical meaningful toxicity [2, 3], decision on endocrine treatment should be tailored individually based on the clinical risk and patient's comorbidities and preferences. Toxicity profiles of AIs and tamoxifen are different, with AIs associated with musculoskeletal symptoms, osteoporosis and an increased risk for bone fractures [4, 5]. Additionally,

AIs are associated with cardiotoxicity in the initial adjuvant treatment compared to monotherapy with tamoxifen [2] as well as in the extended setting compared to placebo or no treatment [3]. Tamoxifen is associated with increased risk of thromboembolic events and a small but significant rise of the risk of endometrial cancer [2].

It is unclear if there is a change in the magnitude of toxicity of endocrine treatment over time. Here, we report on a meta-analysis evaluating the change over time of adverse events reported in phase III randomized controlled trials (RCTs) of initial endocrine treatment comparing treatment with AIs to tamoxifen in women with hormone receptor-positive early breast cancer.

## Methods

### Literature review and study identification

We searched MEDLINE (host: PubMed) to identify RCTs of initial adjuvant endocrine therapy comparing AIs to tamoxifen in postmenopausal women with early-stage breast cancer. We based the search on a dataset of RCTs identified previously [6]. An updated search, extending to January 31, 2019 was conducted to identify later reports of these RCTs with longer duration of follow-up. Data from trials of extended adjuvant therapy were not included. The search was restricted to English language articles.

### Data extraction

Data were collected independently by two reviewers (DR and HG). All data were extracted from primary publications and their associated online appendices. Collected data included year of publication, median duration of follow-up, study sample size and the treatment in the experimental and control groups. Subsequently, we extracted data from each report on pre-specified adverse events including: fractures, cardiovascular events, cerebrovascular events, thromboembolic events, secondary cancers (excluding new primary breast cancer), endometrial cancer (if reported separately from unselected secondary cancers) and death without breast cancer recurrence. The number of events and the number of women at risk were extracted for each adverse event over the different follow-up of every study. Data were extracted individually for the experimental group (comprising treatment with AIs as either upfront or in sequence to tamoxifen) and for the control group (comprising treatment with tamoxifen). In the TEAM study [7], where both groups were treated with AIs, the sequential arm which received tamoxifen followed by exemestane, was analyzed in the tamoxifen group, and the monotherapy exemestane arm was analyzed in the AI group. In order to identify the number of new events over time, we subtracted the number

of events in earlier reports from those in later reports thereby estimating the number of new adverse events.

### Data synthesis and statistical analysis

Odds ratios (ORs) and associated 95% confidence interval (CI) were computed for each adverse event at each time of follow-up. Data were then pooled in a meta-analysis using RevMan 5.3 (The Cochrane Collaboration, Copenhagen, Denmark). The Pooled estimates of OR were computed using Peto one-step OR [8] when the absolute event rates in the experimental and control groups were less than 1% in all studies, otherwise the Mantel-Haenszel OR method [9] was used. ORs were computed for 2 periods: the first 5 years of treatment (using the publication closest to a median follow-up of 60 months for each study) and after completion of treatment (using the most updated publication with duration of follow-up longer 60 months). Due to substantial clinical heterogeneity between studies in the time from diagnosis to randomization and in exposure to prior treatments, analyses were performed using random effects modeling irrespective of the statistical heterogeneity. Absolute risks were calculated as the number of events over the follow-up period of individual trials divided into the total number of patients at risk in each group. The difference in absolute risk between the AIs and tamoxifen for each of the pre-defined periods was also presented as the number needed to harm (NNH), which quantifies the number of patients who would need to be treated with AIs compared to tamoxifen to cause an adverse event in one patient: positive values represent events more likely or occur with treatment with AIs while negative values represent events more likely to occur with tamoxifen.

Change in OR over time was evaluated using meta-regression which comprised a univariable linear regression weighted by individual study sample size using the weighted least squares (mixed effect) function [10]. Analyses were undertaken for all adverse events with at least 3 studies reporting data. Analyses were performed using SPSS version 25 (IBM Corp, Armonk, NY, USA). Due to the small number of included studies, associations were assessed quantitatively using the Burnand criteria [11] rather than inferring associations based of the *p* value [12, 13].

## Results

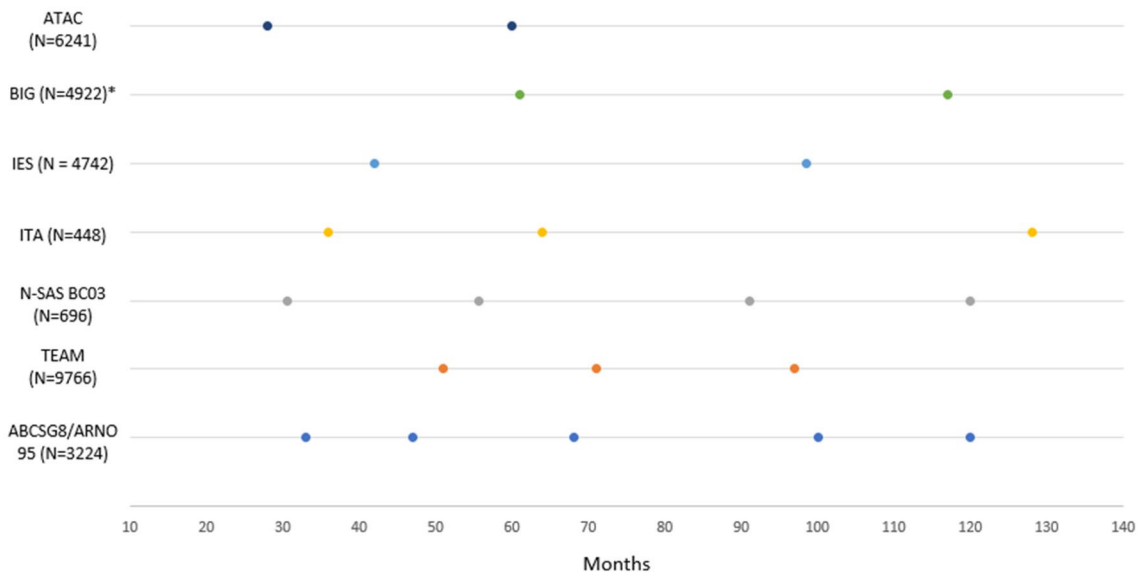
A total of 21 reports from 7 individual trials comprising 30,039 patients were identified and included in the analysis [4, 5, 7, 14–34]. Duration of median follow-up included reports varied from 28 to 128 months. A description of the included studies and the characteristics of the included patients in each trial are shown in Table 1. The data collection time points are illustrated in Fig. 1. For the ATAC trial, we excluded data on the combination arm of tamoxifen

**Table 1** Characteristics of included trials

Trial, reference	Treatment arms	Sample size	Age of patients (years)	Patients with tumor size > 2 cm (%)	Node positive patients (%)	Hormone receptor positive patients (%)	Other adjuvant therapy (%)
ATAC [5]	Anastrozole; tamoxifen	3125; 3116	Mean: 64.1	36	39	84	Radiotherapy: 63; chemotherapy 21
BIG 01–98 [4]	Letrozole; tamoxifen	2463; 2459	Median: 61	38	41	100	Radiotherapy: 72; chemotherapy 25
IES [16, 17]	Tamoxifen → exemestane; tamoxifen	2352; 2372	Median: 64	52	48	98	Chemotherapy 33
ITA [20]	Tamoxifen → anastrozole; tamoxifen	225; 223	Median: 63	50	100	100	Radiotherapy: 52; chemotherapy 67
N-SAS BC03 [23]	Tamoxifen → anastrozole; tamoxifen	347; 349	Mean: 59.9	22*	40	93	Chemotherapy 53
TEAM [7]	Tamoxifen → exemestane; exemestane	4868; 4898	Mean: 64	41	47	100	Radiotherapy: 69; chemotherapy 36
ABCSG8/ARNO95 [26]	Tamoxifen → anastrozole; tamoxifen	1618; 1606	Median: 62	30	26	100	None

ATAC Arimidex, Tamoxifen Alone or in Combination, *BIG* Breast International Group, *IES* Intergroup Exemestane Study, *ITA* Italian Tamoxifen Anastrozole, *TEAM* The Tamoxifen Exemestane Adjuvant Multinational, *ABCSG* Austrian Breast and Colorectal Cancer Study Group, *ARNO* Arimidex-Nolvadex

\*Percentage of tumors > 3 cm



**Fig. 1** Timing of data collection for individual studies. *ATAC* Arimidex, Tamoxifen Alone or in Combination, *BIG* Breast International Group, *IES* Intergroup Exemestane Study, *ITA* Italian Tamoxifen Anastrozole, *TEAM* The Tamoxifen Exemestane Adjuvant Multina-

tional, *ABCSG* Austrian Breast and Colorectal Cancer Study Group, *ARNO* Arimidex-Nolvadex. \*Data were extracted only for the monotherapy arms

with anastrozole while for the BIG 1–98 trial, we included only the monotherapy arms, thereby excluding the sequential therapy arms. The long-term follow-up of the BIG 1–98 trial with a median follow-up of 12.6 years included substantially fewer patients compared to the previous publications and data on adverse events were incomplete [15], therefore this study was not included in our analysis.

The pooled ORs, 95% CIs as well as the absolute difference and the NNH for each adverse event during the duration of treatment and after completion of treatment are reported in Table 2. Overall, results were similar to a prior analysis [2]. Compared to tamoxifen, treatment with AIs was associated with increased odds of fractures and cardiovascular events. Compared to AIs, treatment with tamoxifen was associated with increased odds of thromboembolic events and endometrial cancer. All these differences were statistically significant during and after completion of treatment (Table 2). As data for cardiovascular and cerebrovascular events after completion of treatment were reported in only 2 studies, formal pooling was not performed for these adverse events. There was no significant difference in the odds of second cancers, cerebrovascular disease and death without recurrence between AIs and tamoxifen.

The results of meta-regression evaluating the change in ORs for toxicity over time are shown in Table 3. Over time there was a statistically significant reduction in the difference of bone fracture risk between AIs and tamoxifen ( $\beta = -0.644$ ,  $p = 0.01$ , see Fig. 2). There was a non-significant increase in the OR for secondary cancer between AIs and tamoxifen ( $\beta = +0.448$ ,  $p = 0.094$ , see Fig. 3). No other significant

change was identified in the toxicity profiles, including cardiovascular events ( $\beta = -0.17$ ,  $p = 0.616$ , see Fig. 4).

## Discussion

We aimed to investigate whether differences in toxicity profiles between tamoxifen and AIs evolve over the course of time in postmenopausal women receiving adjuvant hormonal therapy. Our analysis was based on data from 7 large RCTs reporting adverse effects at different follow-up points, both during and after cessation of treatment. Overall, toxicity profiles in our analysis were similar to those reported previously. Results showed increased risk of fractures and cardiovascular events with AIs treatment and increased risk of thromboembolic events and endometrial cancer with tamoxifen treatment. However, the magnitude of the increased odds of fracture with an AI in respect to tamoxifen lessened over time. Also, a non-significant decrease in the magnitude of reduced odds of secondary cancers occurred over time.

The reduction in the magnitude of increased odds of fractures could be explained in part by higher event rates in an aging population. This may attenuate the impact of treatment-related bone loss. Also, improved osteoporosis treatments and fall prevention measures may have been applied to women treated with AIs due to the known higher risk for fractures. This may have also influenced the risk of fractures.

Supporting these results are the reports from two trials which reported adverse events in the period off-treatment. In the 10 year follow-up report of the ATAC trial, serious event

**Table 2** Pooled odds ratio for toxicities during duration of treatment and after completion of treatment

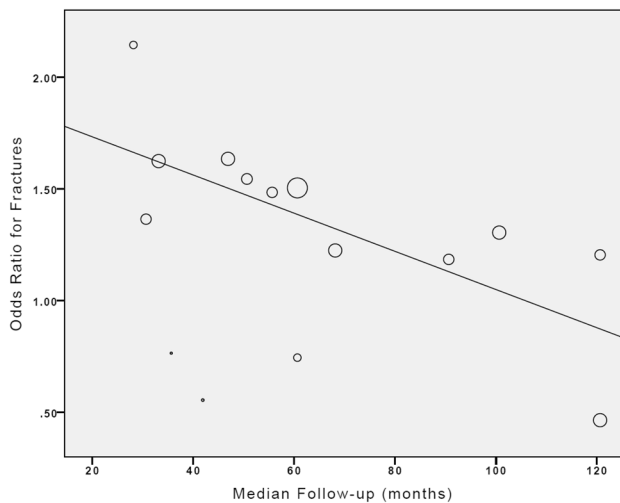
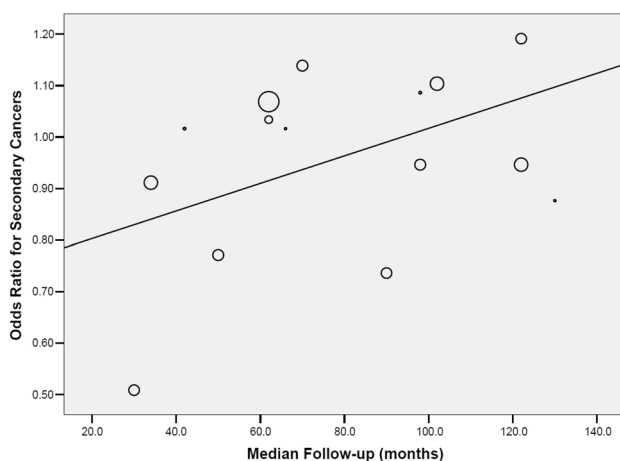
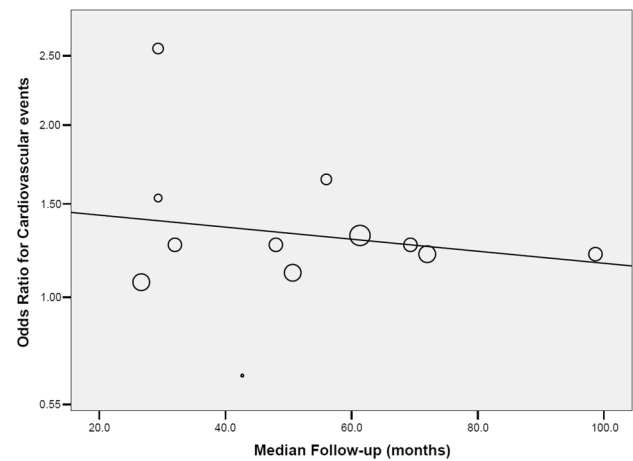
Toxicity	During 5 years of treatment			After completion of treatment		
	OR (95% CI), $p$ value	Absolute difference, NNH	Number of included studies	OR (95% CI), $p$ value after 5 years	Absolute difference, NNH	Number of Included studies
Fractures	1.52 (1.37–1.69), $p < 0.001$	+ 1.42%, + 70	7 [7, 17, 20, 23, 27, 29, 34]	1.3084 (1.18–1.44), $p < 0.001$	+ 2.76%, + 36	3 [18, 28, 33]
Cardiovascular events	1.25 (1.11–1.41), $p < 0.001$	+ 0.50%, + 200	7 [7, 17, 23, 26, 29, 34]	*	*	
Cerebrovascular disease	0.92 (0.58–1.47), $p = 0.74$	– 0.13%, – 769	4 [7, 17, 29, 34]	*	*	
Thromboembolic events	0.57 (0.49–0.67), $p < 0.001$	– 1.09%, – 92	7	0.60 (0.49–0.73), $p < 0.001$	– 1.63%, – 61	3
Secondary cancer	0.86 (0.71–1.05), $p = 0.14$	– 0.43%, – 233	7 [5, 7, 16, 20, 23, 27, 34]	0.92 (0.82–1.03), $p = 0.16$	– 0.65%, – 154	6 [14, 19, 22, 24, 25, 28]
Endometrial cancer	0.36 (0.24–0.53), $p < 0.001$	– 0.36% – 278	7 [7, 17, 20, 23, 26, 29, 34]	0.35 (0.22–0.57), $p < 0.001$	– 0.59% – 169	4 [19, 22, 25, 28]
Death without recurrence	0.99 (0.85–1.14), $p = 0.88$	0%	7 [7, 17, 21, 23, 27, 29, 34]	1.09 (0.99–1.20), $p = 0.08$	+ 0.47%, + 213	6 [14, 19, 22, 24, 25, 28]

CI confidence interval, NNH number needed to harm, OR odds ratio

\*Data for cardiovascular and cerebrovascular events after completion of treatment were reported only in 2 studies and therefore formal pooling was not performed

**Table 3** Results of meta-regression exploring change in the odds ratio of adverse events over time

Event	$\beta$	$p$	Number of included studies
Fractures	− 0.63	0.013	15 [5, 7, 16–18, 20, 23, 25–29, 31, 32, 34]
Cardiovascular events	− 0.17	0.616	11 [5, 7, 16, 17, 20, 23, 26, 29, 31, 32, 34]
Cerebrovascular disease	0.254	0.583	7 [5, 7, 17, 29, 31, 32, 34]
Thromboembolic events	0.287	0.365	12 [5, 7, 16–18, 20, 23, 26, 29, 31, 32, 34]
Secondary cancer	0.448	0.094	16 [5, 7, 14, 16–18, 20–24, 27, 28, 32–34]
Endometrial cancer	0.068	0.796	17 [5, 7, 16–18, 20–23, 25, 26, 28, 29, 31, 32, 34]
Death without recurrence	0.251	0.286	18 [5, 7, 16–18, 20–27, 34]

**Fig. 2** Change in odds ratio over time—bone fractures**Fig. 3** Change in odds ratio over time—secondary cancer**Fig. 4** Change in odds ratio over time—cardiovascular events

rates including bone fractures were similar after completion of treatment [28]. In the most updated report from the BIG 1–98 trial after median follow-up of 12.6 years there was no signal for differential risk of cerebrovascular events, osteoporosis, or fracture rates [15]. Of note, while the occurrence of myocardial infarction was similar between the AI and tamoxifen-treated women in the long-term follow-up period, there was a notable difference in the occurrence of other cardiac conditions (15 versus 43 events in the tamoxifen and the AI groups, respectively). In our analysis, the increased risk of cardiovascular disease with AIs did not diminish over time. This is helpful in the design of prevention programs. However, it is important to highlight that only two studies with long-term follow-up reported cardiovascular events. The long-term follow-up of the BIG 1–98 trial was not included in our analysis, but the difference in occurrence of other cardiac conditions [15] raises some concern about the long-term effect of AIs on cardiovascular health.

While we observed a non-significant reduction in the magnitude of the reduced odds of second cancers with AIs compared to tamoxifen, as the OR for secondary cancers was not significantly different between the AIs and tamoxifen groups, this change over time probably does not have a clinical significance.

In patients at low risk for breast cancer recurrence, the difference in toxicities can be important for selecting treatment. Different strategies for adjuvant endocrine therapy in postmenopausal women are acceptable and need to be tailored for every patient based on the patient's preferences and predicted toxicity profiles. These strategies include 5 years of AIs or a sequence of treatment with tamoxifen and AIs to complete 5 years of endocrine therapy [35]. Extended therapy with AIs for up to an additional of 5 years further reduces recurrences [36, 37], but with additional toxicity [3]. The decision to extend therapy beyond the traditional 5 years needs to be made after discussion of the benefits and risks of this treatment. Our results add additional information for consideration while choosing the most appropriate strategy per patient. Importantly, women treated with AIs remain at an increased risk of cardiovascular events compared to women treated with tamoxifen. In light of the importance of cardiovascular disease to morbidity [38] and mortality [39], these are important considerations.

Our analysis has several limitations. This is a meta-analysis based on the literature and not of individual patient data. Reporting of adverse effects was heterogeneous and the quality of such reporting is known to be inconsistent [40]. Additionally, adverse events in trials such as these are usually reported only until the primary endpoint such as breast cancer recurrence occurs. However, adverse effects after recurrences are still of interest, especially due to prolonged survival in most patients with hormone positive breast cancer even after disease recurrence. Such data were not available in the trials in this analysis. Furthermore, adverse effects may not be captured as well after completion of treatment and therefore are more likely to be under-reported in reports after longer follow-up. In the long-term follow-up of the BIG trial, differences in the adverse event reports between a national registry and clinical trial indicate that adverse events in long-term clinical trials may be under-reported [15].

## Conclusion

In summary, the increased risk of bone fractures associated with adjuvant AIs falls over time and after discontinuation of treatment. Other differences in toxicity profiles between adjuvant AIs and tamoxifen do not change significantly over time including a persistently elevated risk of cardiovascular

events. These findings are of interest when deciding on adjuvant endocrine treatment.

**Author contributions** Conceived and designed the work: DR EA HG; Acquisition of data: DR HG; Analysis and interpretation of data: DR RY AM AD RS EA HG Wrote the manuscript with input from all authors: DR HG; All authors read and approved the final manuscript.

**Funding** This research did not receive any specific Grant from funding agencies in the public, commercial, or not-for-profit sectors.

## Compliance with ethical standards

**Conflict of interest** Dr. Yerushalmi reports personal fees from: Roche (Consulting, Invited speaker), Pfizer (Consulting), Novartis (Consulting), Teva (Invited speaker), Medison (Invited speaker), MSD (Invited speaker), Astra-Zeneca (Invited speaker) and Novartis (Invited speaker), all outside the submitted work. Dr. Moore reports *honorarium* fees from MSD and Roche, all outside the submitted work. Dr. Amir reports personal fees from Genentech/Roche (Expert Testimony), personal fees from Apobiologix (Consulting), personal fees from Myriad Genetics (Consulting), personal fees from Agendia (Consulting), personal fees from Sandoz (Consulting), all outside the submitted work. Dr. Goldvaser reports personal fees from: Roche (*honorarium*), Pfizer (*honorarium*), Novartis (*honorarium* and consulting) all outside the submitted work. The other authors have no conflicts of interest to declare.

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