



CDC20 expression in oestrogen receptor positive breast cancer predicts poor prognosis and lack of response to endocrine therapy

Lutfi H. Alfarsi¹ · Rokaya El Ansari¹ · Madeleine L. Craze¹ · Michael S. Toss¹ · Brendah Masisi¹ · Ian O. Ellis^{1,2} · Emad A. Rakha^{1,2} · Andrew R. Green¹

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Abstract

Purpose Endocrine therapy is the standard treatment for oestrogen receptor positive (ER+) breast cancer. Despite its efficacy, around half of patients will develop resistance to this treatment and eventually relapse. Identification of effective and reliable biomarkers to predict the efficacy of endocrine therapy is of crucial importance in the management of ER+ breast cancer. Emerging evidence has revealed that the cell division regulator CDC20 exhibits an oncogenic function and plays important roles in tumourigenesis and progression of solid tumours. In this study, we investigated the prognostic and predictive role of CDC20 in early ER+ breast cancer patients.

Methods The biological and clinical impact of CDC20 expression was assessed in large clinical annotated cohort of ER+ breast cancer with long-term follow-up at the mRNA level, using METABRIC and KM-Plotter datasets, and the protein level using immunohistochemistry on patients presenting at Nottingham. CDC20 expression was correlated with clinicopathological parameters, molecular subtypes, clinical outcome and efficacy of endocrine therapy.

Results High CDC20 mRNA expression was associated with poor clinicopathological parameters including large tumour size and high tumour grade ($P < 0.0001$) in patients with ER+ breast cancer. High CDC20 mRNA expression was significantly associated with poor patient outcome ($P < 0.0001$). Importantly, high CDC20 expression was correlated with poor response to endocrine treatment in patients who treated with hormonal therapy only ($P < 0.01$). In multivariate analysis, CDC20 mRNA was an independent predictor of poor clinical outcome after treatment with endocrine therapy ($P = 0.02$).

Conclusion CDC20 is a candidate biomarker for a subgroup of ER+ breast cancer characterised by poor clinical outcome. This study shows that the CDC20 could act as potential predictive biomarker of poor response to endocrine therapy in ER+ breast cancer.

Keywords CDC20 · Breast cancer · ER · Endocrine resistance · Predictive biomarker

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✉ Andrew R. Green
andrew.green@nottingham.ac.uk

¹ Nottingham Breast Cancer Research Centre, Division of Cancer and Stem Cells, School of Medicine, University of Nottingham, Nottingham City Hospital, Hucknall Road, Nottingham NG5 1PB, UK

² Cellular Pathology, Nottingham University Hospitals NHS Trust, Hucknall Road, Nottingham NG5 1PB, UK

Introduction

Breast cancer is a heterogeneous disease with various biological subtypes [1] with the most common form, approximately 75%, of breast cancer being oestrogen receptor positive (ER+) [2, 3]. Endocrine therapy is the main treatment for ER+ tumours, which has vastly improved survival and has reduced mortality [4]. However, a high proportion of patients receiving adjuvant endocrine therapy still experience relapse and become resistant to treatment [5, 6]. It is therefore highly desirable to predict, at an early stage of treatment, which ER+ patients will and will not benefit from endocrine therapy.

Cell division cycle 20 homolog (CDC20) is a spindle assembly checkpoint molecule that required for the

anaphase-promoting complex/cyclosome (APC/C) activation during mitosis, leading to initiation of chromatid separation and entrance of cell cycle into anaphase [7, 8]. Defects in CDC20 function may therefore terminate mitotic arrest, which lead to tumourigenesis [9, 10]. Consistent with the notion that CDC20 may function as an oncogene, several studies show overexpression of CDC20 in different types of cancers [9, 11–13]. Indeed, its overexpression is suggested as a biomarker of poor outcome in pancreatic [14], colon [15], primary non-small cell lung [16] and ovarian cancer [11].

In terms of breast cancer, two reports have demonstrated that CDC20 is a potential key player in the progression of breast cancer where it is significantly higher in breast cancer cells and high-grade primary tumour tissues [17] and indicates an aggressive course of disease risk [18]. We aimed to investigate the role of CDC20 expression in ER+ tumours. In particular, we assessed whether CDC20 had a role in endocrine resistance which could be used to improve therapy prediction in ER+ breast cancer.

Materials and method

CDC20 mRNA expression

The Molecular Taxonomy of Breast Cancer International Consortium (METABRIC) [19], comprising 1506 ER+ breast cancer, was used as a discovery cohort to analyse and explore the prognostic value of CDC20 mRNA and its role as predictive biomarker of clinical outcome for patients who treated with endocrine therapy, Table 1.

The Kaplan–Meier Plotter-Breast Cancer (KM-Plotter) online dataset [20], was used as a validation cohort for the prognostic and predictive value of CDC20 mRNA expression using 2061 patients with ER+ breast cancer. The prognostic value of CDC20 mRNA expression and association with clinical outcome and clinico-pathological parameters were further validated using the Breast Cancer Gene-Expression Miner v4.0 (bc-GenExMiner v4.0) database [21] which includes 5829 cases of ER+ breast cancer.

CDC20 protein expression

CDC20 protein expression was assessed in a series cohort of clinical samples for patients with ER+ ($n = 347$) using immunohistochemistry (IHC). Patients presented at Nottingham City Hospital between 1989 and 2006. Patient management was uniform and based on tumour characteristics by Nottingham Prognostic Index (NPI) and hormone receptor status. No adjuvant therapy was given to

Table 1 Clinico-pathological characteristics of ER+ breast cancer cohorts

Clinico-pathological characteristics	METABRIC cohort mRNA No. (%)	Nottingham cohort protein No. (%)
Tumour size (cm)		
< 2 cm	475 (31.5)	806 (55.7)
≥ 2 cm	1031 (68.5)	640 (44.3)
Tumour Grade		
1	166 (11.5)	388 (24.7)
2	707 (49.1)	661 (42.1)
3	565 (38.4)	522 (33.2)
Nottingham Prognostic Index		
GPG	623 (41.3)	598 (41.4)
MPG	772 (51.2)	668 (46.1)
PPG	111 (7.5)	180 (12.5)
Endocrine therapy		
No	234 (15.5)	884 (55.7)
Yes	384 (25.5)	558 (35)
Other	888 (59)	149 (9.3)
Nodal Stage		
1	404 (36.2)	1025 (65.1)
2	634 (56.8)	439 (27.9)
3	78 (7)	111 (7)
PR		
Negative	486 (23.2)	300 (21.3)
Positive	1020 (76.8)	1103 (78.7)

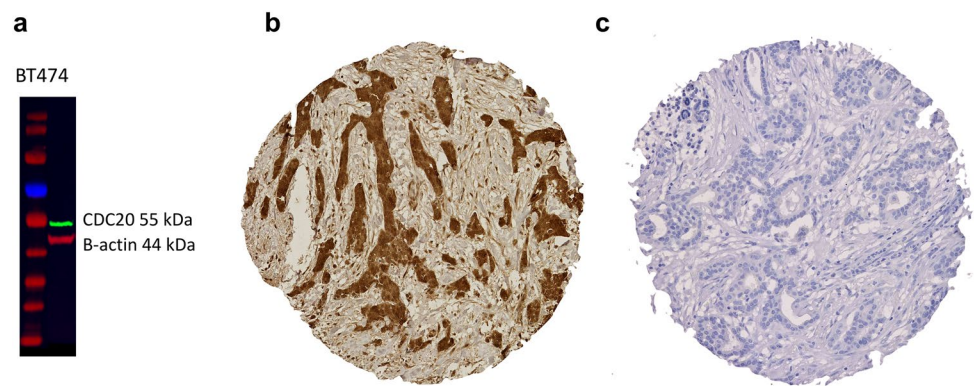
GPG good prognostic group, MPG Moderate prognostic group, PPG Poor prognostic group

patients with good prognostic NPI score (≤ 3.4), while for patients with poor NPI scores (> 3.4) endocrine therapy was given. Premenopausal patients within the moderate and poor prognostic NPI were given chemotherapy, whereas postmenopausal patients with moderate or poor NPI were candidate for hormonal therapy. None of the patients in this study received neoadjuvant therapy. Clinical history, information on therapy and outcomes and tumour characteristics are prospectively maintained. The clinico-pathological parameters for the cohort series are summarised in Table 1.

Western blotting

Immunoblotting was performed as previously described [22], the specificity of CDC20 was validated on BT474 human breast cancer cells (American Type Culture Collection; Rockville, MD, USA) using primary CDC20 antibody (HPA039484, Sigma-Aldrich, 1:2000). This showed a specific band for CDC20 protein at the predicted size of 55 kDa (Fig. 1a).

Fig. 1 **a** Western blotting result for CDC20 expression in BT474 breast cancer cell lysates. CDC20 protein expression in invasive breast cancer cores using IHC **b** Negative and **c** positive CDC20 protein expression



IHC staining and evaluation

Tumour samples were arrayed as previously described [23]. The IHC staining was performed on 4 μm tissue microarrays (TMAs) sections using Novolink polymer detection system (Leica Biosystems, RE7150-K), detailed method was described in previous publication [22]. Sections were incubated, overnight at 4 $^{\circ}\text{C}$, with the primary CDC20 antibody diluted at 1:500. CDC20 immunoreactivity was assessed using high-resolution digital images (Nanozoomer, Hamamatsu Photonics) and viewing software (Xplore; Philips, UK). Evaluation was based on a semiquantitative assessment using a modified histochemical score (*H*-score), which includes an assessment of both the intensity and the percentage of stained cells [24]. The staining intensity of invasive tumour cells was scored into four categories 0 (no staining); 1 (weak staining); 2 (moderate staining) and 3 (strong staining). The percentage of each category was estimated, and the *H*-score calculated. TMA cores were only assessed if tumour burden was $> 15\%$.

Clinical outcome data and events definition

Clinical outcomes including breast cancer specific survival (BCSS) was defined as the time in months from the diagnosis to the date of breast cancer-related death. Recurrence free survival (RFS) was defined as the time in months from diagnosis until developing local or regional recurrence. Distant-metastasis free survival (DMFS) was defined as the time in months from diagnosis until developing distant-metastasis. For the benefit of endocrine therapy, the expression of CDC20 was investigated with clinical outcome on the endocrine-treated cohort only. Secondary outcomes included associations with clinico-pathological parameters.

Statistical analysis

Data analysis was performed using SPSS statistical software (version 25, Chicago, IL, USA). The analysis for this

study compared low and high expression of CDC20. The Chi square test was performed for inter-relationships between categorical variables. Spearman's correlation coefficient was used to examine the association between continuous variables. One-way analysis of variance (ANOVA) with the post hoc Tukey was used for differences between three or more groups. Kaplan–Meier analysis was used to assess the association of CDC20 expression with clinical outcome. Multivariate Cox Regression analysis with adjustment of covariates was used to identify independent prognostic biomarkers. Benjamini–Hochberg procedure for multiple test correction was performed. *P* value of ≤ 0.05 was considered significant. The dichotomisation of CDC20 mRNA and protein expression into low and high groups was determined using X-Tile (X-Tile Bioinformatics Software, Yale University, version 3.6.1).

Results

CDC20 expression in ER+ BC

High CDC20 mRNA expression in the METABRIC cohort was observed in 870 cases (58%), where low expression was observed in 636 cases (42%). CDC20 protein expression was localised to the cytoplasm of invasive tumour cells, with expression levels varying from absent to high (*H*-score range 0–250) (Fig. 1b, c). CDC20 expression was dichotomised into low and high using an *H*-score of 120 resulting in 85 (25%) cases showing high expression and 262 (75%) cases with low expression.

Association of CDC20 expression with clinic-pathological characteristics in ER+ breast cancer

CDC20 mRNA expression was associated with aggressive clinico-pathological parameters including negative expression of PR, poor Nottingham Prognostic Index (NPI) and

high tumour grade ($P < 0.0001$, Fig. 2a–c) using the METABRIC dataset. The association with PR and NPI was validated using the bc-GenExMiner v4.0 dataset ($P < 0.0001$; Supplementary Fig. 1A and B). In contrast, CDC20 protein expression showed no statistical significance association with any of the clinico-pathological parameters.

The METABRIC dataset was used to investigate the correlation between CDC20 mRNA and proliferation related-genes. There was positive correlation of CDC20 mRNA with the expression of MKI67, CCNB1, CCNA2 and CCND1 ($p < 0.0001$), Table 2. These findings were validated using bc-GenExMiner v4.0 dataset ($P < 0.0001$; Fig. 2d–g).

Clinical significance of CDC20 in patients with ER+ BC

High mRNA CDC20 expression was significantly correlated with poor clinical outcome. Thus, results of METABRIC dataset showed that high expression of CDC20 mRNA was associated with poor RFS ($P < 0.0001$), DMFS ($P < 0.0001$) and high risk of death from breast cancer ($P < 0.0001$) (Fig. 3a–c). To further validate our findings we used the KM-Plotter dataset which showed that high mRNA expression of CDC20 was also associated with poor clinical outcomes including RFS ($P < 0.0001$), DMFS ($P < 0.0001$) and BCSS ($P < 0.0001$) (Supplementary Fig. 2A–C). Furthermore,

Table 2 Correlation of CDC20 mRNA expression with the expression of proliferation genes in ER+breast cancer using METABRIC datasets

	CDC20 mRNA	
	Correlation coefficient (P value)	P^*
MKI67	1.86e–211	<0.0001
CCNB1	1.63e–162	<0.0001
CCNA2	1.79e–223	<0.0001
CCND1	6.16e–18	<0.0001

* P adjusted p value

results from the bc-GenExMiner v4.0 datasets showed that cases with low expression of CDC20 mRNA had favourable clinical outcomes compared to the high expression group, which showed poor clinical outcome ($P < 0.0001$; Supplementary Fig. 2D).

CDC20 is a predictive biomarker of poor response to endocrine therapy

In patients who received endocrine therapy, tumours with high CDC20 mRNA expression were significantly associated with adverse clinical outcome, of which high risk

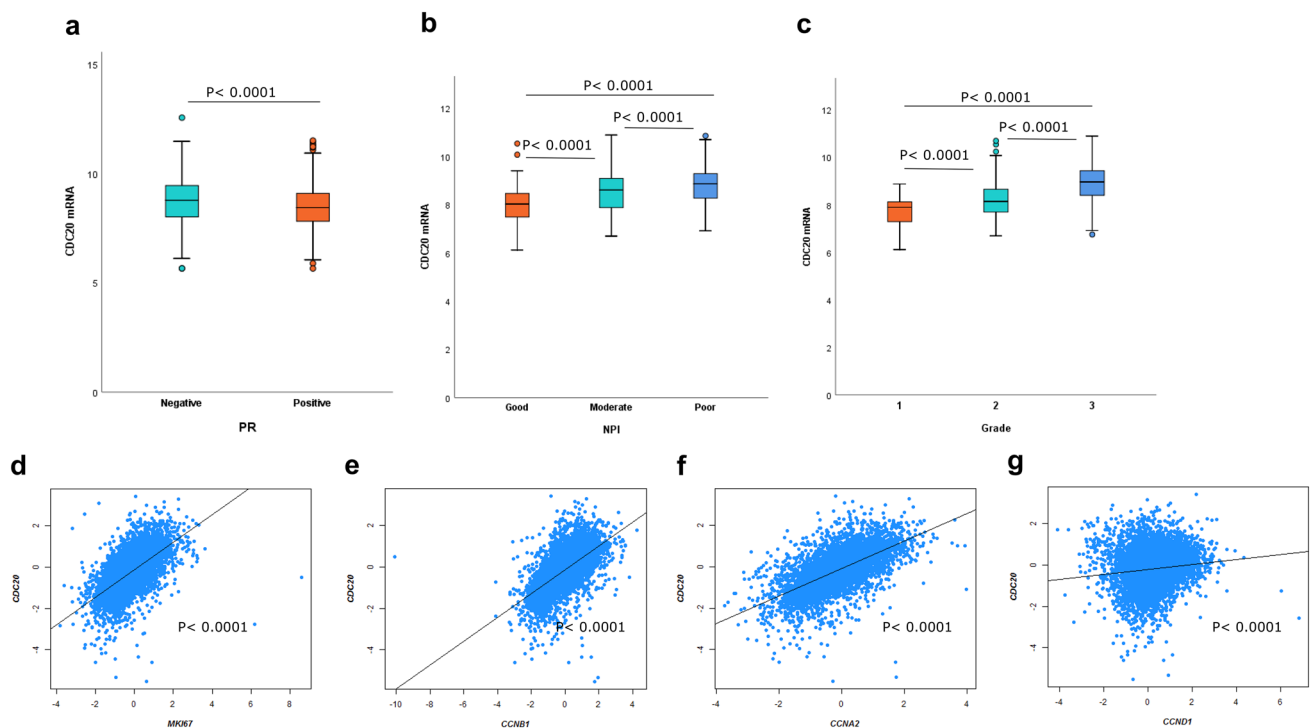


Fig. 2 Association of CDC20 mRNA expression with clinico-pathological parameters **a** PR, **b** NPI and **c** grade using METABRIC dataset. CDC20 mRNA correlation with proliferation associated genes

including **d** MKI67, **e** CCNB1, **f** CCNA2 and **g** CCND1 using bc-GenExMiner v4.0 dataset

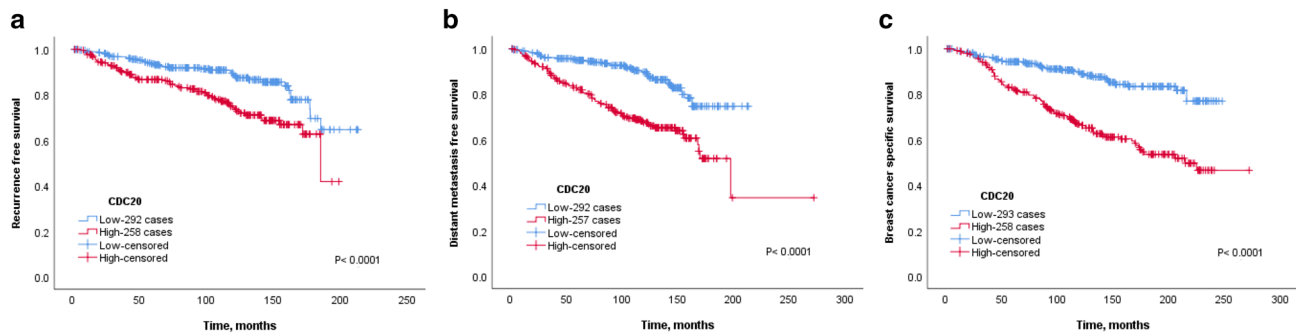


Fig. 3 CDC20 mRNA and patient outcome in ER+ breast cancer using METABRIC dataset: **a** recurrence, **b** distant-metastasis and **c** BCSS

of recurrence ($P=0.004$; Fig. 4a) and distant-metastasis ($P=0.001$; Fig. 4b) compared to patients with low CDC20 expression. In term of BCSS, high CDC20 mRNA expression was associated with worse survival and higher risk of death from breast cancer in patients who were treated with endocrine therapy ($P<0.0001$, Fig. 4c) compared with CDC20 low expression. These observations were validated in the KM-Plotter dataset where patients who received endocrine therapy with high CDC20 mRNA showed poor benefit from the hormone treatment: RFS ($P<0.0001$), DMFS ($P<0.0001$) and BCSS ($P=0.01$) (Supplementary Fig. 3a–C) compared to the low CDC20 group who had prolonged survival and lower risk of relapse and death from breast cancer.

The relationship between CDC20 mRNA expression and risk of relapse after receiving 5-year adjuvant endocrine therapy

In patients with five years of follow-up after endocrine treatment, results showed that high CDC20 mRNA expression was associated with high risk of recurrence ($P=0.008$, Fig. 4d) and distant-metastasis ($P=0.001$, Fig. 4e). The significance of high CDC20 mRNA expression on predicting high risk of recurrence and distant-metastasis on patients who were treated with endocrine therapy alone was validated using KM-Plotter datasets ($P<0.0001$; Supplementary Fig. 3D and E).

However, CDC20 protein expression showed no prognostic association with clinical outcome on the whole cohort of

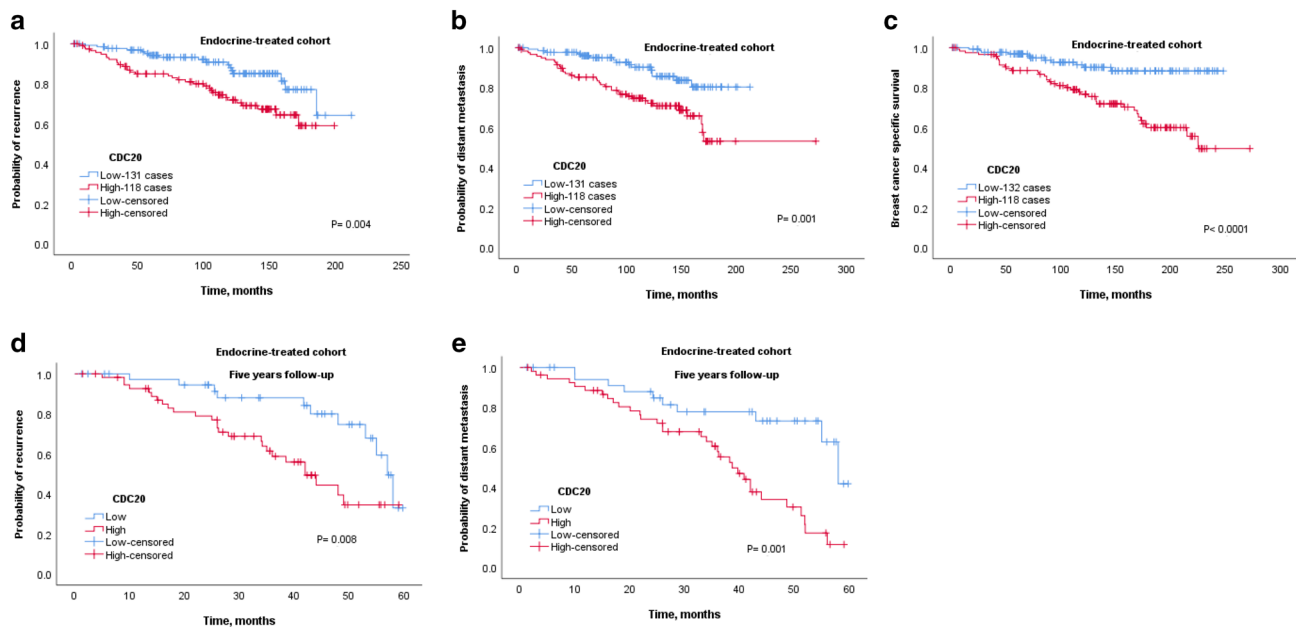


Fig. 4 CDC20 mRNA expression as a predictive biomarker for poor clinical outcome in patients with ER+ breast cancer after endocrine treatment using METABRIC dataset **a** recurrence, **b** distant-metas-

tasis and **c** BCSS. Kaplan–Meier survival plots for patients with ER+ breast cancer after endocrine treatment and only 5 years follow-up **d** recurrence and **e** distant-metastasis

patients with ER+ breast cancer, or the impact of endocrine therapy on patient survival, recurrence or distant-metastasis ($P > 0.05$; Fig. 5a–f).

CDC20 is an independent prognostic marker in ER+ breast cancer

High CDC20 mRNA expression was independent of tumour size, nodal stage and tumour grade in predicting a higher risk of recurrence ($P = 0.005$), distant-metastasis ($P = 0.005$) and death from breast cancer ($P = 0.0005$), Table 3. In those patients treated with endocrine therapy only, CDC20 mRNA expression was an independent prognostic marker of tumour size, grade and nodal stage in predicting the risk of BCSS ($P = 0.02$), Table 4.

Discussion

Breast cancer is a heterogeneous disease with various biological subtypes [1] with the most common form being ER+/luminal tumours [2, 3]. This subtype remains heterogeneous in terms of recurrence, mortality rates, disease prognosis and response to treatment [3] despite attempts to biologically split them into luminal A and luminal B. Endocrine therapy, especially tamoxifen, still the main treatment for patients with ER+ breast cancer. Although sustained treatment with tamoxifen can successfully reduce postoperative recurrence

and mortality rate, 30 to 50% of these patients will develop resistance and later relapse [25]. Therefore, there is still a need for a more precise method for stratifying patients based on their prognosis and response to endocrine therapy.

CDC20 has a key role in activating the APC/C to initiate anaphase and late mitosis exit in the cell cycle [8, 26]. CDC20 has also been shown to be a promising prognostic marker for a variety of tumours; including pancreatic [14, 27], colorectal [15], lung [16] and breast cancer [18]. Here, we focused on the role of CDC20 in ER+ breast cancer and especially in patients who were treated with only endocrine therapy. Our findings revealed that CDC20 is highly expressed in the more aggressive and highly proliferative ER+ tumours, and implicated in resistance to endocrine therapy. Indeed, our findings showed a significant association between high CDC20 mRNA expression and the poor prognostic clinico-pathological features within ER+ breast cancer. Despite our observations that CDC20 protein was not prognostic, it is likely that CDC20 expression plays a vital role in ER+ breast cancer progression.

Proliferation has a key role in the clinical behaviour of breast cancer and correlates strongly with poor clinical outcome and drug resistance. In addition to ER and PR, markers of proliferation seem to influence biological and clinical behaviour of ER+ breast cancer [19]. In light of this, our findings showed that high CDC20 mRNA expression was positively correlated with proliferation-associated genes, including MKI67, CCNB1, CCNA2 and

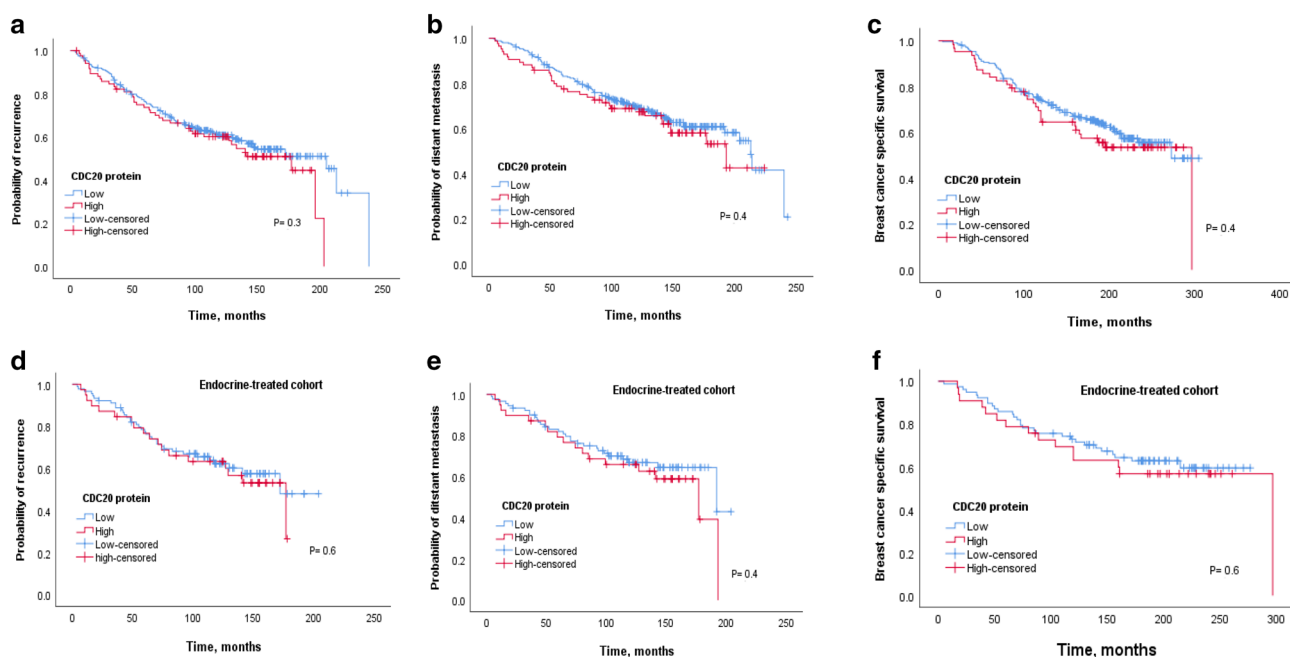


Fig. 5 CDC20 protein and patient outcome in ER+ breast cancer using Nottingham cohort: **a** recurrence, **b** distant-metastasis and **c** BCSS. CDC20 protein expression and clinical outcome in patients

with ER+ breast cancer who were treated with endocrine therapy only using Nottingham cohort **d** recurrence, **e** distant-metastasis and **f** BCSS

Table 3 Multivariate analysis of associations between CDC20 mRNA expression and clinico-pathological parameters in ER+breast cancer patients

Parameters	Recurrence free survival		
	HR (95% CI)	<i>P</i>	<i>P</i> *
CDC20	2.3 (1.4–4.0)	0.001	0.005
Tumour size	1.4 (0.928–2.3)	0.1	0.25
Tumour Grade	1.0 (0.7–1.5)	0.66	0.77
Nodal Stage	1.1 (0.7–1.6)	0.46	0.83
Parameters	Distant-metastasis free survival		
	HR (95% CI)	<i>P</i>	<i>P</i> *
CDC20	2.7 (1.5–4.9)	0.001	0.005
Tumour size	2.0 (1.2–3.5)	0.006	0.01
Tumour Grade	1.1 (0.7–1.7)	0.46	0.1
Nodal Stage	1.4 (0.9–2.0)	0.06	0.5
Parameters	Breast cancer specific survival		
	HR (95% CI)	<i>P</i>	<i>P</i> *
CDC20	4.1 (1.9–8.5)	0.0001	0.0005
Tumour size	2.1 (1.2–3.8)	0.01	0.02
Tumour Grade	1.4 (0.8–2.3)	0.14	0.1
Nodal Stage	1.4 (0.9–2.1)	0.06	0.18

Bold values signify significant *P* value

*P**: adjusted *P* value

Table 4 Multivariate analysis of associations between CDC20 mRNA expression and clinico-pathological parameters in endocrine-treated patients

	Recurrence free survival		
	HR (95% CI)	<i>P</i>	<i>P</i> *
CDC20	2.1 (1.0–4.3)	0.027	0.1
Tumour size	1.2 (0.6–2.1)	0.5	1.15
Tumour Grade	0.9 (0.5–1.5)	0.81	0.83
Nodal Stage	1.1 (0.7–1.7)	0.46	1.01
Parameters	Breast cancer specific survival		
	HR (95% CI)	<i>P</i>	<i>P</i> *
CDC20	3.7 (1.5–9.2)	0.004	0.02
Tumour size	2.1 (0.9–4.4)	0.05	0.1
Tumour Grade	1.1 (0.5–2.1)	0.69	0.36
Nodal Stage	1.3 (0.8–2.2)	0.22	0.86

Bold values signify significant *P* value

*P**: adjusted *P* value

CCND1. This supports the results of previous studies, which reported that knockdown of CDC20 decreased cell proliferation and induced G2/M cell cycle arrest in hepatocellular carcinoma cells [28], and pancreatic tumours [27]. Altogether, these data suggest that CDC20 is implicated

in the proliferation of ER+ breast cancer which leads to tumourigenesis and aggressiveness phenotype.

Despite recent efforts to develop new breast cancer biomarkers, only ER and PR measurements are used currently both for clinical diagnosis to classify breast cancer patients

and as a guide to endocrine therapy [29]. Multigene signatures, including Oncotype DX, Mammaprint, Prosigna, Breast Cancer Index and EndoPredict, can be valuable as additional prognostic tools with regard to recurrence and the stratification of risk, but so far studies have not validated their value in predicting benefit from endocrine therapy [30]. Therefore, the identification of predictive biomarkers for endocrine therapy efficacy in addition to ER and PR status is of urgent need to stratify patients with ER+ breast cancer for targeted therapy. For this purpose, a key aim of this study was to assess the predictive value of CDC20 mRNA and protein expression as a clinical marker of benefit from endocrine therapy in ER+ breast cancer. Our clinical data found a significant unfavourable effect of CDC20 mRNA expression in patients treated with endocrine therapy. These findings lead to suggestion that assessment of CDC20 mRNA expression prior to adjuvant treatment could predict patients who are highly to resist the endocrine therapy and eventually relapse.

Results from recent clinical trials demonstrated that 10 years of endocrine therapy showed improved RFS and overall survival compared with 5 years of endocrine treatment [31, 32]. However, this is at the cost of unnecessary side effects that influence the quality of life for patients [33]. Therefore, it is important to identify a subgroup of patients who are at high risk of relapse and who will not benefit from extended endocrine therapy. Our study demonstrates that for patients with ER+ breast cancer treated with endocrine therapy, high expression of CDC20 mRNA remains a predictive marker for high risk of relapse and death from breast cancer at 5 years follow-up. We suggest that assessment of CDC20 mRNA in clinical practice would be useful to predict patients who would not benefit from endocrine therapy and could spare them these risks and improve quality of life.

Although a previous study has showed the prognostic value of CDC20 protein expression in patients with triple negative breast cancer [18] we found no association with either clinico-pathological parameters or patient clinical outcome in ER+ breast cancer or those who treated with endocrine therapy alone. This is might be explained by using different methods to evaluate the IHC staining.

The level of agreement in our study between results of CDC20 mRNA and protein expression was poor. This discrepancy could be explained by several possibilities, including biological and technical explanations. Biological reasons include differences in post-transcriptional regulation of CDC20 expression or tumour-specific differences in CDC20 mRNA/protein stability, while technical issues may include nature of antibody used in this study [34–36]. Such discrepancies between mRNA and protein levels for different reasons have occurred in multiple studies of breast cancer [37, 38].

In summary, our data revealed a positive correlation of high CDC20 expression at the transcriptomic level with poor clinical outcome in patients with ER+ breast cancer. Also, we have provided evidence that CDC20 mRNA expression in ER+ breast cancer is a potentially predictive for selecting patients who might not experience benefits from endocrine therapy. Additional or alternative target therapies could then be given to those who predicted to be resistance to endocrine therapy; this would be a significant shift toward truly individualised medicine. We suggested that CDC20 mRNA expression could be used in clinical either singularly or in combination with other genes as multigene signature to guide the choice of endocrine treatment.

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Compliance with ethical standards

Conflict of interest The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

Ethical approval This study was performed according to the REMARK guidelines for tumour prognostic studies [39], and approved by the Nottingham Research Ethics Committee 2 under the title “Development of a molecular genetic classification of breast cancer”.

Informed consent Informed consent was obtained from the participants included in the study.

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