



# Patient-reported predictors of early treatment discontinuation: treatment-related symptoms and health-related quality of life among postmenopausal women with primary breast cancer randomized to anastrozole or exemestane on NCIC Clinical Trials Group (CCTG) MA.27 (E1Z03)

Lynne I. Wagner<sup>1</sup> · Fengmin Zhao<sup>2</sup> · Paul E. Goss<sup>3</sup> · Judith-Anne W. Chapman<sup>4</sup> · Lois E. Shepherd<sup>4</sup> · Timothy J. Whelan<sup>4,5</sup> · Bassam I. Mattar<sup>6</sup> · Jose A. Bufill<sup>7</sup> · William C. Schultz<sup>8</sup> · Irving E. LaFrancis<sup>9</sup> · Gauri G. Nagargoje<sup>10</sup> · Radhakrishna Vemuri<sup>11</sup> · Daniel A. Nikcevic<sup>12</sup> · George W. Sledge<sup>13</sup> · David Cella<sup>14</sup>

Received: 2 February 2018 / Accepted: 6 February 2018 / Published online: 17 February 2018  
© Springer Science+Business Media, LLC, part of Springer Nature 2018

## Abstract

**Purpose** Aromatase inhibitors are the most commonly prescribed adjuvant endocrine therapy for hormone-dependent early breast cancer in postmenopausal women. Among Canadian Cancer Trials Group MA.27 participants, anastrozole and exemestane had comparable 5-year event-free survival. This companion study examined differences in patient-reported treatment-related symptoms (TRS) and health-related quality of life (HRQL) among postmenopausal women randomized to anastrozole or exemestane.

**Methods** MA.27 participants ( $N = 686$ , of 7576) randomized to 5 years of anastrozole (1 mg/day,  $n = 371$ , Arm A) or exemestane (25 mg/day,  $n = 315$ , Arm E) completed the 56-item Functional Assessment of Cancer Therapy-Endocrine Symptoms (FACT-ES) questionnaire to assess TRS and HRQL. The FACT-ES was completed at baseline, 3, 6, 12, and 24 months.

**Results** No significant differences in FACT-ES median scores measuring TRS and HRQL were observed between treatment arms at any time point. Change in TRS from baseline was statistically significant at 3, 6, 12, and 24 months. HRQL was stable over time in both arms. Greater TRS burden was associated with poorer HRQL (coefficient = 0.57,  $p < 0.001$ ). Twenty percent of patients discontinued AI therapy by month 24 and 32% discontinued AIs at 4 years. In both arms, patients reporting more side effect bother prior to initiating study treatment had a higher risk of discontinuing treatment before completing protocol therapy (hazard ratio [HR] 1.29, 95% CI 1.08–1.55,  $p = 0.01$ ).

**Conclusions** TRS and HRQL were comparable between anastrozole and exemestane. TRS negatively affect HRQL. Women who report being bothered by treatment side effects prior to initiating an AI are at increased risk for early treatment discontinuation.

**Keywords** Quality of life · Treatment-related symptoms · Patient-reported outcomes · Endocrine therapy adherence · Tolerability

---

These data were orally presented (Wagner, L., Zhao, F., Chapman, J.A., Cella, D., Shepherd, L., Sledge, G., Goss, P. (2011).

Patient-reported predictors of early treatment discontinuation: NCIC JMA.27/E1Z03 Quality of life study of postmenopausal women with primary breast cancer randomized to exemestane or anastrozole. Cancer Res 71(24S). Abstract S6-2.) at the Annual Meeting of the San Antonio Breast Cancer Symposium in December, 2011.

---

**Electronic supplementary material** The online version of this article (<https://doi.org/10.1007/s10549-018-4713-2>) contains supplementary material, which is available to authorized users.

---

Extended author information available on the last page of the article

## Abbreviations

CCTG	Canadian Clinical Trials Group
ECOG	Eastern Cooperative Oncology Group
ACRIN	American College of Radiology Imaging Network
TRS	Treatment-related symptoms
HRQL	Health-related quality of life
FACT-ES	Functional Assessment of Cancer Therapy-Endocrine Symptoms
PRO	Patient-reported outcomes
AIs	Aromatase inhibitors

Arm A Anastrozole  
 Arm E Exemestane

## Introduction

Aromatase inhibitors are the most commonly prescribed adjuvant endocrine therapy for hormone-dependent early breast cancer in postmenopausal women [1, 2]. The Canadian Cancer Trials Group (CCTG; formerly NCIC Clinical Trials Group) MA.27 phase III trial (ClinicalTrials.gov identifier: NCT00066573) found 5-year event-free-survival (EFS), distant disease-free survival, disease-specific survival, and overall survival was similar among 7576 women with early breast cancer randomized to receive 5 years of anastrozole or exemestane [3]. The most common patient-rated adverse events based on Common Terminology Criteria for Adverse Events (CTCAE v3) included hot flashes and muscle pain [4]. Vasomotor and joint symptoms have been commonly reported as side effects of endocrine treatment [5–8]. Based on MA.27 CTCAE ratings, menopause-like symptoms, including hot flashes, arthritis, arthralgia, and myalgia, were not significantly different between treatment groups.

However, CTCAE grades may underestimate the frequency and severity of treatment-related symptoms in comparison to more robust patient-reported outcome (PRO) measures that assess target symptoms, particularly with more subjective symptoms such as fatigue [9–13]. Patient-reported outcomes (PROs) capture the status of a patient's health condition directly from the patient, without interpretation of the patient's response by a clinician [14] and psychometrically validated PRO measures are considered to be the gold standard for measuring the patient's experience [9–14]. The discrepancy between clinician- and patient-rated treatment side effects has been previously documented with AI therapy-related symptoms [6, 15].

Adherence to long-term endocrine therapy is poor, despite known benefits of treatment [3, 16]. Among MA.27 participants, non-compliance with 5 years of therapy was high (29.4% anastrozole, 33.8% exemestane) and appeared to be driven by adverse events and comorbidities [3]. Given that treatment side effects compromise AI adherence<sup>13</sup> and may predict response to therapy [6, 17] the endocrine therapy-targeted measurement of patient-reported TRS and HRQL among MA.27 trial participants using the 56-item Functional Assessment of Cancer Therapy-Endocrine Symptoms (FACT-ES) provided a valuable opportunity to better understand the treatment experience from the patient's perspective. The purpose of this study was to compare TRS and HRQL among women randomized to receive anastrozole versus exemestane on the MA.27 trial. A secondary objective was to examine the predictive ability of these

endocrine-related PROs in identifying women at risk for not obtaining the full benefit of AI therapy through early treatment discontinuation.

## Methods

### Study population and design

The ECOG-ACRIN Cancer Research Group (formerly Eastern Cooperative Oncology Group, ECOG) conducted this study (E1Z03; ClinicalTrials.gov identifier: NCT00090974) as a companion to the CCTG MA.27 trial. For this study (E1Z03), patients were required to be enrolled on MA.27 no more than four weeks prior to enrollment on E1Z03. The CCTG MA.27 trial design (randomized phase III) has been previously described [3]. The primary objective of E1Z03 was to evaluate TRS between postmenopausal women with receptor-positive primary breast cancer enrolled on MA.27 and randomized to receive anastrozole or exemestane. Secondary objectives included a comparison of HRQL between treatment arms and to identify patients at risk for early treatment discontinuation using endocrine-related PROs.

Eligibility criteria for MA.27 included: (1) postmenopausal women with histologically confirmed, adequately excised, locally determined, hormone receptor-positive primary invasive breast cancer; (2) randomization assigned more than 3 weeks and less than 3 months from completion of chemotherapy; (3) ECOG performance status of 0–2; (4) and minimum life expectancy of 5 years. Detailed MA.27 eligibility criteria have been published [3]. For E1Z03, patients were required to be able to read, understand, and complete the PRO measure in English.

The protocol was approved by the Institutional Review Boards at each registering institution. Written informed consent was obtained from all individual participants included in the study. All consecutive patients enrolled on MA.27 through ECOG between December 15, 2004 and December 16, 2005 were asked to participate in E1Z03 until the E1Z03 target accrual goal ( $n = 625$ ) was met. All E1Z03 participants were enrolled after MA.27 was amended to remove the celecoxib arm.

### Patient-reported outcome measures: treatment-related symptoms and HRQL

The 56-item Functional Assessment of Cancer Therapy-Endocrine Symptoms (FACT-ES) questionnaire was used to assess TRS and HRQL. The FACT-ES questionnaire includes the 27-item FACT-General (FACT-G) core items to assess physical, functional, social, and emotional well-being, and two subscales: the FACT-Breast Cancer Subscale (BCS, 10 items) to assess breast cancer-specific concerns,

and the FACT-ES subscale (19 items) to assess endocrine therapy-related symptoms. Each item is scaled on a 5-point Likert scale from 0 (not at all) to 4 (very much). The FACT-ES was administered at baseline, 3, 6, 12, and 24 months. MA.27 median follow-up was 4.1 years.

### Treatment-related symptoms

TRS was the primary endpoint and measured by the aggregate score for the 19 items from the FACT-ES subscale plus four items selected a priori based on prior research [18] from the FACT-G subscale to assess fatigue, sleep, nervousness, and bother from treatment side effects. Scores range from 0 to 92 and higher scores indicate lower symptom burden.

### HRQL

HRQL was measured using the FACT Trial Outcome Index (TOI), an aggregate score of FACT Physical and Functional well-being subscale items and FACT-B subscale items. FACT-G items selected to assess TRS were removed from HRQL scores. Scores range from 0 to 84 and higher scores indicate better HRQL.

### Treatment-emergent symptoms

FACT-ES individual items assessing symptoms (21 items) were examined to identify the most common moderate or severe symptoms at month 3. The proportion of participants who reported no symptom at baseline (item rating = 0, “not at all”) then rated the symptom as present ( $\geq 1$ , “a little bit” or more severe) at month 3 was calculated for each item to identify newly emergent symptoms.

### Early treatment discontinuation and duration of treatment

Early discontinuation of AI therapy was defined as discontinuing protocol therapy due to reasons other than completing 5-year treatment per study protocol or study termination. For patients with early treatment discontinuation, duration of treatment was defined as months from randomization to treatment discontinuation due to any reason. Patients who were still on treatment at the MA.27 study termination time (April 2010) were censored at last clinical assessment date. Patients who completed 5-year protocol therapy were censored at 60 months.

### Statistical analysis

This study was designed to have at least 90% power to detect a 0.33 standard deviation difference in TRS score changes between baseline and month 6 post-randomization

assessments between anastrozole and exemestane, using two-sample *t* test with a two-sided type I error of 0.025. An accrual objective of 625 patients was set, assuming 10% ineligible rate and 20% attrition rate at 6 months after randomization.

All analyses were conducted in the intent-to-treat population. At each time point, TRS and HRQL were compared between the treatment arms using Wilcoxon rank-sum test [19] (due to its skewed distribution). Change in the TRS and HRQL between follow-up and the baseline visits was tested using paired *t* tests and compared between the two arms using two-sample *t* tests. Multivariable linear mixed effects models with unstructured covariance matrices were employed to estimate the time profile of TRS and HRQL and to assess the treatment difference in the endpoints over time, assuming that any missing data were missing at random. Likelihood ratio test was used to determine how to code time variable (i.e., continuous vs. categorical) in the mixed effects models. As a sensitivity analysis, we also implemented the lognormal survival model to adjust for potentially informatively censored data, using the expectation–maximization (EM) algorithm as described in Schluchter [20]. Multivariable generalized estimating equation (GEE) model with unstructured covariance matrices was fit to examine the how TRS affected HRQL, adjusting for other variables (age, ECOG Performance status, T-stage, N-stage, prior adjuvant chemotherapy, prior adjuvant radiotherapy, prior raloxifene therapy, prior hormonal replacement therapy, and experienced any symptoms in the 7 days prior to randomization). FACT-ES individual items were examined to identify the most common moderate or severe symptoms at 3 months. The proportion of patients who reported no symptom at baseline (item rating = 0, “not at all”) then rated the symptom as present ( $\geq 1$ , “a little bit” or more severe) at month 3 was calculated for each item to identify newly emergent symptoms.

Kaplan–Meier methods [21] were used to estimate the probability of continuing protocol therapy. Difference in duration of treatment between groups was assessed by stratified log rank test. Landmark stratified Cox proportional hazards models [22, 23] were used to explore whether symptoms at baseline or symptom changes over the first 3 months were associated with duration of treatment on MA.27, with 3 months as the landmark time point. The Fine and Gray competing risk model was fit as a sensitivity analysis, and treatment discontinuation due to disease recurrence or deaths were considered competing risks. Factors associated with bother by side effect at baseline was explored using multivariable linear regression model.

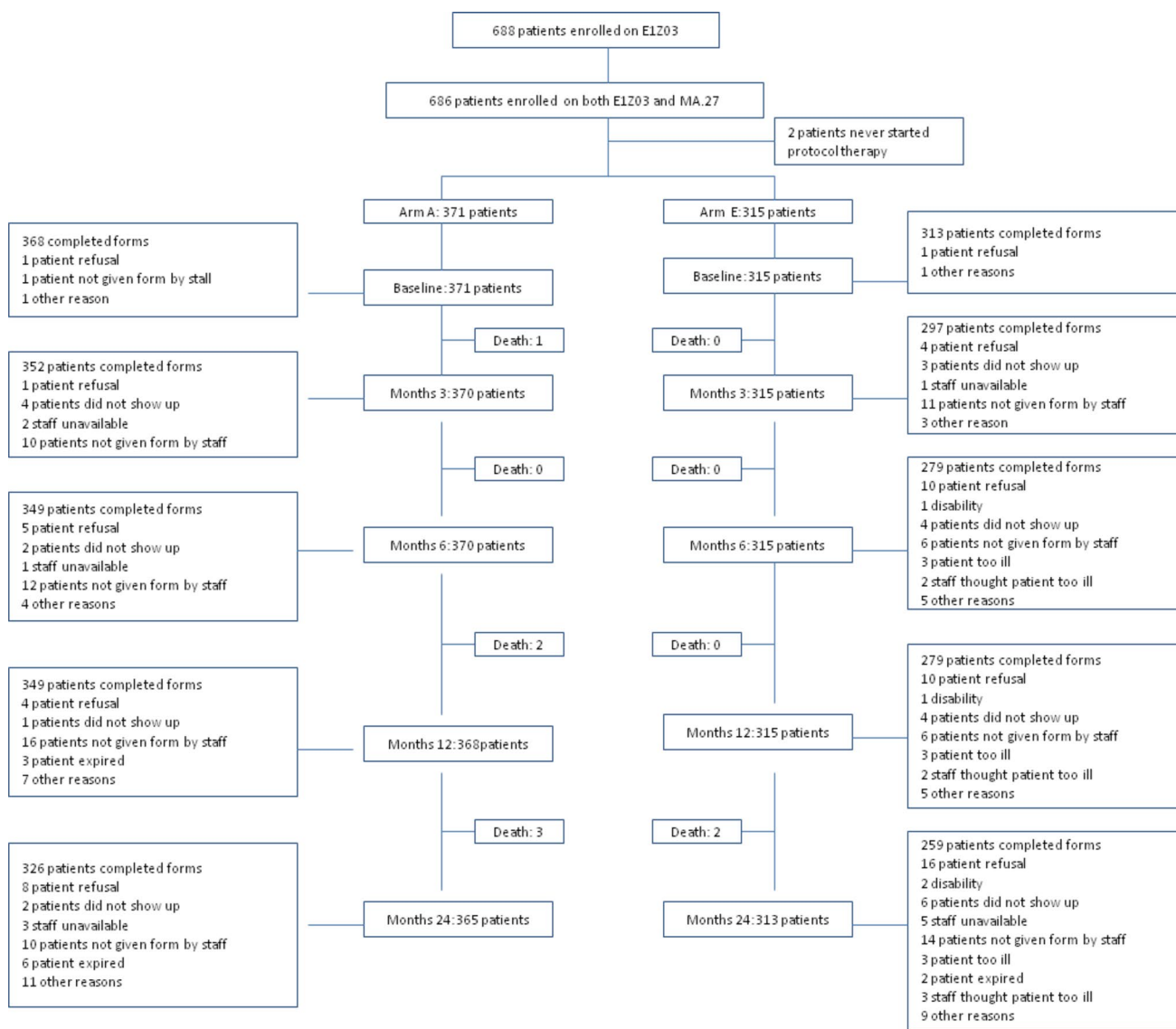
No adjustment was made for multiple comparisons. All significance tests were 2-sided with a type I error of 5%. STATA 11.0 software was used for all analyses [24].

## Results

### Study population

A total of 688 patients were enrolled (12/04–12/05), of which two were not enrolled on MA.27 and not included in this analysis. Of the 686 patients included in the present analysis, 371 were randomized to anastrozole (Arm A) and 315 to exemestane (Arm E). Two patients never started protocol therapy (see CONSORT diagram, Fig. 1). Participants

were a median of 65.7 (Arm A) and 64.7 (Arm E) years of age, predominantly white (96%), with an ECOG PS of 0 (86.5, 87.9%) and early stage disease (Table 1). The majority of participants had completed a partial mastectomy (64.4, 67.3%), approximately 50% had prior radiotherapy and prior hormonal therapy, and a slightly higher proportion of women assigned to Arm A (30.5%) had prior chemotherapy, compared to Arm E (24.8%). Randomization resulted in well-balanced treatment arms with regard to demographic and disease characteristics (Table 1). Disease and demographic



**Fig. 1** CONSORT diagram. <sup>a</sup>The most common reasons for non-completion of FACT-ES questionnaires (among patients who were still alive by that time) were “patient was not given form by staff” (18/46, 39.1%) and “patient refusal” (15/46, 32.6%) in both arms. Only 2–3 patients on Arm E did not submit the forms due to being too ill. Among patients who completed the FACT-ES questionnaires, more

than 90% of patients did it without assistance. Patients were mainly assisted by staff or family members if they needed assistance, either by reading the questions aloud to them or by clarifying the question/instructions. Overall, the response pattern was similar between the two treatment arms

**Table 1** Patient demographic and disease characteristics

Patient demographics and Disease characteristics	Arm A: anastrozole ( <i>n</i> = 371)		Arm E: exemestane ( <i>n</i> = 315)	
	<i>N</i>	%	<i>N</i>	%
Age				
Median (range)	65.7 (32.3–89.8)		64.7 (43.1–99.8)	
Mean (SD)	65.9 (9.4)		65.4 (9.0)	
Race ( <i>n</i> = 1 missing)				
White	355	96.0	303	96.2
Black	10	2.7	12	3.8
Other	5	1.3	0	0.0
ECOG performance status				
0	321	86.5	277	87.9
1	46	12.4	37	11.7
2	4	1.1	1	0.3
Pathologic T-stage				
T1	278	74.9	240	76.2
T2	85	22.9	71	22.5
T3	8	2.2	3	1.0
TX	0	0.0	1	0.3
Pathologic N-stage				
N0	267	72.0	240	76.2
N1	64	17.3	48	15.2
N2	24	6.5	12	3.8
N3	12	3.2	7	2.2
NX	4	1.1	8	2.5
Most extensive primary surgery				
Mastectomy, NOS	132	35.6	103	32.7
Partial mastectomy/LU	239	64.4	212	67.3
Prior adjuvant chemotherapy	113	30.5	78	24.8
Prior raloxifene therapy	11	3.0	7	2.2
Prior adjuvant radiotherapy ( <i>n</i> = 1 missing)	187	50.4	168	53.3
Prior hormonal therapy ( <i>n</i> = 17 missing)	187	50.4	166	52.7
ERT (estrogen only)	77	20.8	66	21.0
HRT (estrogen + progesterone)	92	24.8	68	21.6
Taking any medication	346	93.3	295	93.7
# of medicine the patient is taking				
≤ 5	52	14.0	41	13.0
6–10	248	66.9	220	69.8
11–15	63	17.0	53	16.8
> 15	8	2.2	1	0.3
Experience any symptoms before randomization ( <i>n</i> = 25 missing)	241	65.0	192	61.0

ECOG Eastern Cooperative Oncology Group

characteristics among E1Z03 participants were similar to the larger group of MA.27 trial participants.

### PRO data completion rates

Overall, compliance with completing longitudinal PROs was excellent and was similar between the two arms in

all follow-up visits in the study (Fig. 1). More than 99% of patients submitted FACT-ES questionnaires at baseline in both arms. At month 6, the retention rate was 94.3% (Arm A) and 92.7% (Arm E). The proportion of patients who answered 80% or more FACT-ES questions was 97% or higher in both arms for all study assessments. In both



arms, the questions with the most missing values were those regarding sexual function.

### TRS and HRQL endpoints

No significant difference in TRS and HRQL was observed between treatment arms at any time points (Table 2 and Fig. 2). Linear mixed effect models showed similar results (Supplemental Table S1, Online Resource 1). The log-normal survival models further confirmed that TRS and HRQL were not significantly different between treatment arms (data not shown). For both treatment arms, TRS worsened significantly from baseline to follow-up assessments ( $p < 0.01$  for all time points, Table 2) and HRQL remained relatively stable over time (Table 2 and Table S1). The TRS score decreased in the first 6 months then remained relatively stable over the next 18 months.

### Association between TRS and HRQL

GEE model results showed that a higher TRS score (i.e., lower TRS burden) was associated with better HRQL. The mean HRQL score would improve by 0.57 points for each 1-point increase in TRS ( $p < 0.001$ ), after adjusting for other covariates.

### Individual FACT items assessing TRS

Individual FACT Items assessing TRS were examined to determine the proportion of patients with moderate or severe TRS at follow-up assessments and to identify new onset, treatment-emergent symptoms.

### Moderate or severe TRS at 3 months

Among the 23 individual items (19 FACT-ES items + 4 FACT-G items) assessing TRS, the proportion of patients reporting moderate or severe symptoms (i.e., FACT-ES item response = 3 [quite a bit] or 4 [very much])

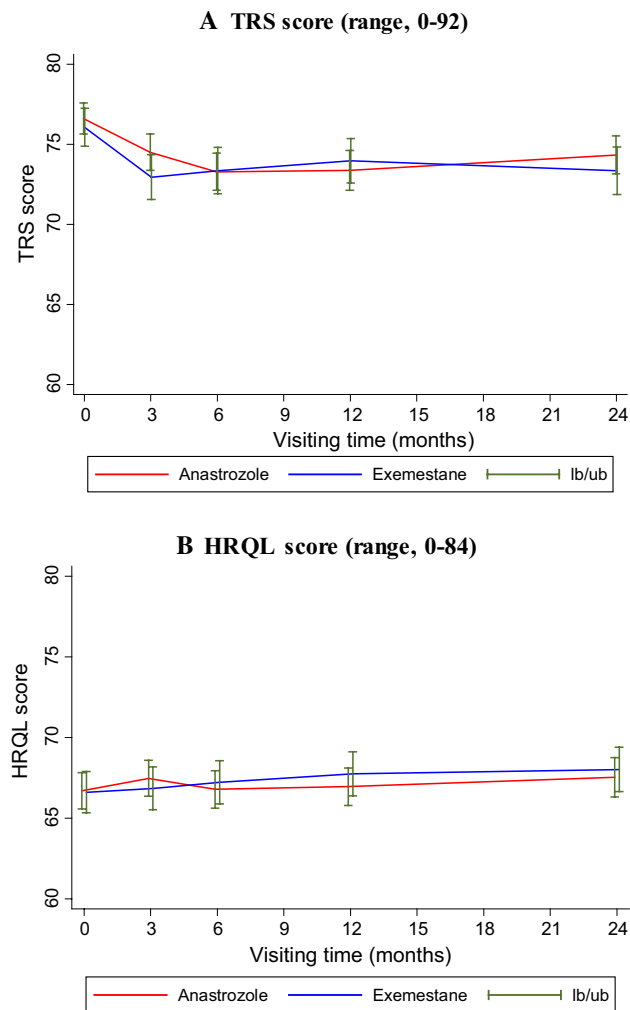
**Table 2** Descriptive statistics for TRS and HRQL at each visit by treatment arm

Study assessment	Anastrozole				Exemestane				<i>p</i> value <sup>a</sup>	<i>p</i> value <sup>b</sup>
	<i>N</i>	Mean	SD	Median	<i>N</i>	Mean	SD	Median		
TRS score (range 0–92)										
Baseline	367	76.6	9.5	78	311	76.1	10.5	78	0.763	–
Month 3	348	74.5	10.8	76	294	73.0	12.2	74	0.196	–
Month 6	349	73.3	11.1	75	286	73.4	12.4	75.6	0.553	–
Month 12	337	73.4	11.6	75	265	74.0	11.5	76	0.486	–
Month 24	320	74.3	10.8	75	249	73.4	11.9	74	0.357	–
Month 3—baseline	346	– 2.40	7.53	– 2	291	3.42	8.07	– 2.8	0.100	< 0.001
Month 6—baseline	346	– 3.58	8.60	– 3.2	283	– 3.01	9.40	– 2	0.426	< 0.001
Month 12—baseline	336	– 3.51	8.71	– 3	263	– 2.53	7.77	– 2.4	0.152	< 0.001
Month 24—baseline	318	– 2.61	8.80	– 2.3	246	– 3.11	8.98	– 2	0.505	< 0.001
HRQL score (range 0–84)										
Baseline	364	66.7	10.9	69.7	309	66.6	11.5	69	0.851	–
Month 3	348	67.5	10.5	70	291	66.8	11.5	69	0.679	–
Month 6	347	66.8	11.0	69	288	67.2	11.6	69	0.446	–
Month 12	338	66.9	10.9	70	263	67.8	11.3	71	0.266	–
Month 24	321	67.5	11.1	70	244	68.0	10.9	70	0.743	–
Month 3—baseline	343	0.47	7.32	0.7	286	– 0.03	7.78	0.1	0.409	0.238/0.946
Month 6—baseline	341	– 0.24	8.29	0	284	0.44	8.14	0	0.304	0.596/0.361
Month 12—baseline	334	0.06	8.85	0	260	0.55	8.87	1	0.507	0.899/0.320
Month 24—baseline	317	0.68	9.30	0.6	239	0.67	8.35	1	0.987	0.192/0.216

TRS treatment-related symptoms, HRQL health-related quality of life

<sup>a</sup>Distribution of TRS and HRQL aggregate scores was left skewed, and Wilcoxon rank-sum tests were used to compare TRS and HRQL between treatment arms at each time point. The distribution of change of TRS and HRQL score between follow-up visit and baseline visit was symmetric, and *p* values were from two-sample *t* test for treatment arms comparisons

<sup>b</sup>Paired *t* test was used to test whether the change of TRS and HRQL score between follow-up visit and baseline was statistically significant in each arm (anastrozole/exemestane)



**Fig. 2** Mean Score and 95% CI of TRS and HRQL by Treatment. *Note: a lb* lower bound, *ub* upper bound; *TRS* treatment-related symptoms, higher scores indicate fewer treatment-related symptoms; *HRQL* health-related quality of life, higher scores indicate a better quality of life. \* $p > 0.05$  for comparing differences in TRS and HRQL scores between two treatment arms at all time points, using Wilcoxon rank-sum test. \*\* $p > 0.05$  for comparing difference in TRS and HRQL changes between baseline and month 6 visits between two treatment arms, using two-sample  $t$  test. \*\*\* $p > 0.05$  for treatment-by-visit interaction for both TRS and HRQL in multivariable linear mixed effect models

at 3 months was highest for joint pain (36.1%, 32.5%), hot flashes (29.9%, 29.1%), decreased libido (23.7%, 24.0%), fatigue (15.2%, 24.0%), and night sweats (17.7%, 17.2%), for Arms A and E, respectively (Fig. 3). Moderate or severe fatigue was significantly higher for Arm E ( $p = 0.005$ ). For all other TRS, proportions were comparable between treatment arms. Vomiting and vaginal bleeding/spotting were experienced by few patients in the study (less than 5% in all follow-up assessments).

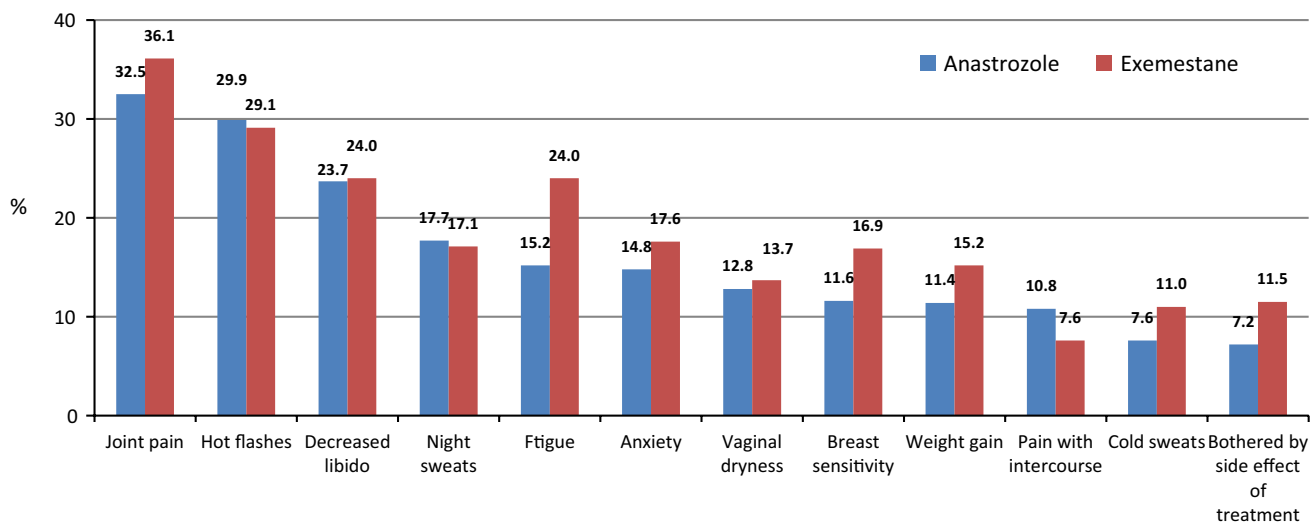
## Treatment-emergent symptoms

The proportion of patients who reported treatment-emergent symptoms (new onset TRS) was calculated by tabulating the number of participants who reported no symptom at baseline (FACT-ES item response = 0 [not at all]) and rated the FACT-ES item  $\geq 1$  (“a little bit” or more severe) at 3 months on 23 individual items assessing TRS. Among the 32% of women who reported no joint pain at baseline, the majority (Arm A 55.8%, Arm E 54.7%) reported joint pain at 3 months (Fig. 4). In addition to joint pain, the most common treatment-emergent symptoms at 3 months included weight gain, hot flashes, night sweats, mood swings, decreased libido, and breast sensitivity (Fig. 4). An examination of the 23 individual FACT symptom items across follow-up assessments indicated vaginal dryness, pain or discomfort with intercourse, weight gain, and joint pain that had worsened during the 24-month follow-up period. Breast tenderness improved over time (Supplemental Fig. 1, Online Resource 2). These patterns were similar in the two treatment arms. Other symptoms remained stable over time in both arms (Supplemental Fig. 1, Online Resource 2).

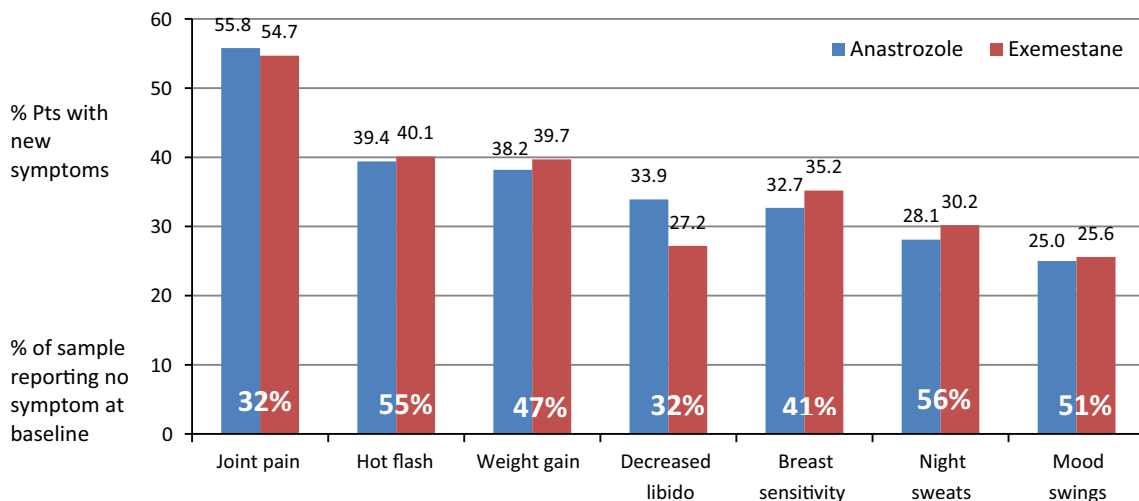
## Endocrine therapy persistence

Of the 686 patients, 248 (Arm A = 129, Arm E = 119) discontinued treatment before completing 5-year protocol therapy or before the termination of the MA.27 trial; approximately 57% (141/248) discontinued treatment within 24 months after entry onto the MA.27 study (Arm A = 68/129, Arm E = 73/119). The median duration of treatment was 21.7 months (95% CI 16.7, 29.5) for the 129 patients on Arm A (range, 0 to 57.5 months), and 18.1 months (95% CI 12.2, 23.2) for the 119 patients on Arm E (range, 0.56 to 57.6 months). On both treatment arms, the main reason for discontinuing treatment early was adverse events, including side effects and complications (Arm A = 68/129, Arm E = 64/119). Other reasons include death ( $n = 10$ ), disease recurrence ( $n = 32$ ), patients withdrawal ( $n = 28$ ), other complicating disease ( $n = 32$ ), lost to follow-up ( $n = 8$ ), and other reasons ( $n = 6$ ).

In the overall sample, the proportion of patients discontinuing AI therapy was 20.4% by month 24 and 32.0% by month 48. Duration of AI therapy was not significantly different between treatment arms ( $p = 0.30$ , Fig. 5a). Among patients on both treatment arms, those who were more bothered by treatment side effects at baseline had a significantly higher risk of discontinuing treatment before completing protocol therapy (adjusted HR 1.29, 95% CI 1.08, 1.55, Table 3) when bothered by treatment side effects was included as a continuous variable. In a sensitivity analysis when treatment discontinuation due to disease recurrence or deaths



**Fig. 3** Most common moderate or severe symptoms at month 3 based on individual FACT items (% of patients rating symptom as “quite a bit” or “very much” ≥ 10% in at least one arm)



**Fig. 4** Most common new symptoms at month 3 in patients without symptom at baseline based on individual FACT items (% of patients rating items > “a little bit” or more severe among those reporting “not at all” at baseline)

was treated as competing risks, the results remained similar (data not shown).

When bother by treatment side effects was coded as a binary variable, for patients who reported no or little bother by treatment side effects at pre-treatment baseline, the rate of completing 4-year protocol therapy was 70.0% (95% CI 65.9, 73.6), compared to 53.6% (95% CI 43.2, 63.0) for patients who reported moderate or severe pre-treatment bother (log rank  $p = 0.001$ , adjusted HR 1.92, 95% CI 1.21, 3.03, Fig. 5b). Based on a linear regression analysis, patient-rated bother by treatment side effects at baseline was associated with prior chemotherapy ( $p < 0.001$ ), prior radiation therapy ( $p = 0.005$ ), and the number of current medications

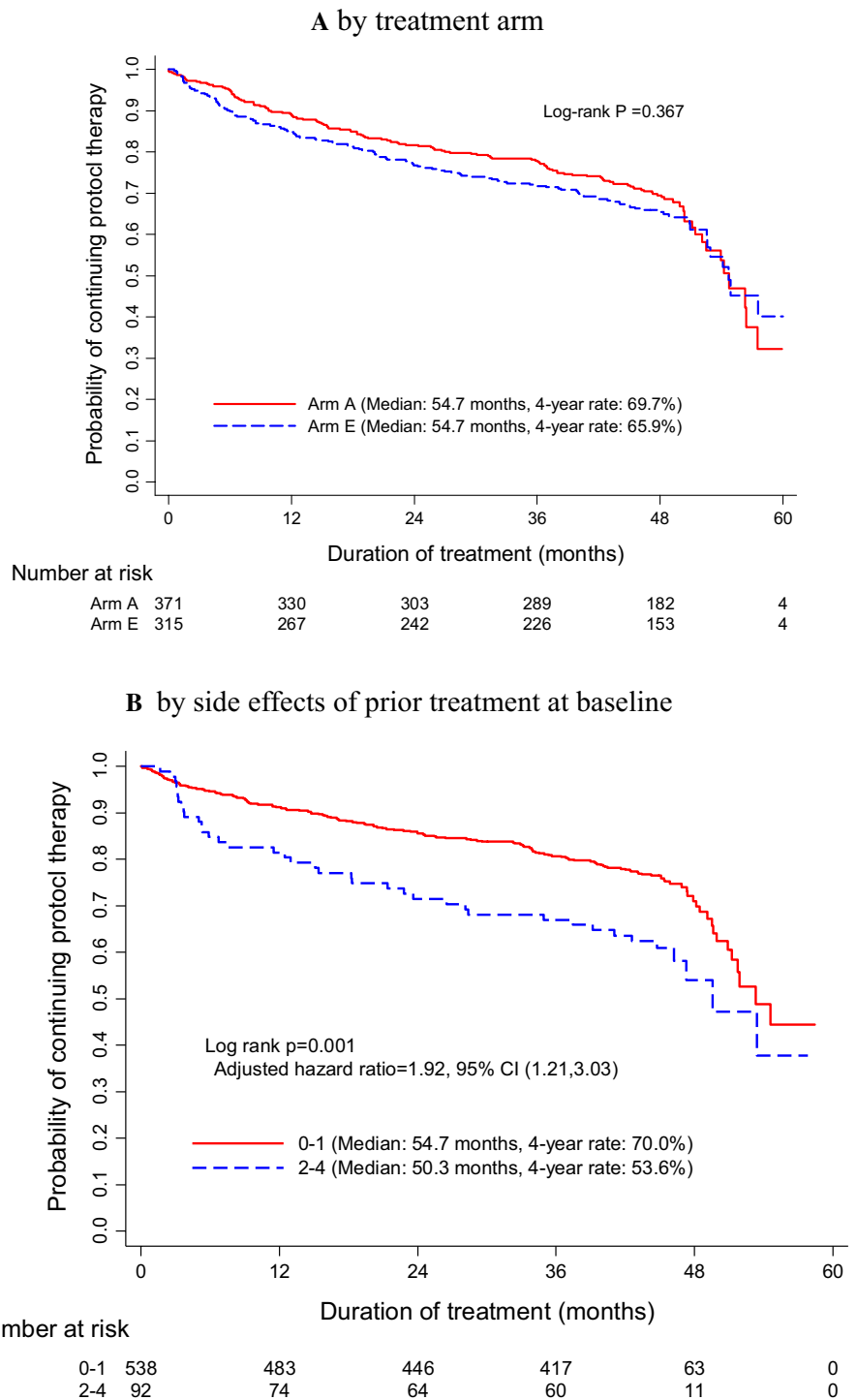
( $p = 0.01$ ; Table 4). Increased joint pain severity in the first 3 months was associated with increased risk for discontinuing treatment early, but it did not reach statistical significance (HR 1.11, Table 3).

### Discussion

Postmenopausal women with hormone receptor-positive primary breast cancer randomized to exemestane or anastrozole (enrolled on MA.27) reported comparable TRS and HRQL for the first two years of AI therapy. Comparable 5-year event-free survival (EFS), distant disease-free



**Fig. 5** Kaplan–Meier estimates for duration of treatment



Bothered by side effects of prior treatment: 0-1: not at all– a little bit, 2-4: somewhat – very much

survival, disease-specific survival, and overall survival among women with early breast cancer enrolled on MA.27 and randomized to receive 5 years of anastrozole or exemestane has already been reported [3]. Taken together, the observation that TRS and HRQL are comparable

supports approach as a reasonable option for patients considering an aromatase inhibitor for adjuvant therapy.

Among E1Z03 participants, the most common moderate or severe TRS shortly after initiation of AI therapy (3 months) included joint pain, hot flashes, decreased libido,

**Table 3** Multivariable landmark cox models for duration of treatment ( $N = 563$ )

Covariates	Adjusted HR	95% confidence interval		P value
Being bothered by side effects of prior treatment at baseline (GP5)	1.29	1.08	1.55	0.006
Pain at baseline (GP4)	1.00	0.83	1.22	0.988
Significant pain in certain part of the body at baseline (B10)	0.95	0.81	1.11	0.517
Change in pain in joint in the first 3 months (ES19)	1.11	0.98	1.26	0.107
Change in severity of hot flashes in the first 3 months (ES1)	0.97	0.83	1.14	0.754
Change in severity of cold sweats in the first 3 months (ES2)	1.10	0.95	1.27	0.210
Change in severity of night sweats in the first 3 months (ES3)	1.07	0.91	1.25	0.432
Change in severity of weight gain in the first 3 months (ES10)	0.93	0.82	1.06	0.290
Age at registration (years)	1.00	0.99	1.02	0.620
ECOG performance status (1 vs. 0)	0.97	0.62	1.52	0.898
T-stage				
T2 vs. T1	1.10	0.74	1.65	0.642
T3 + TX vs. T1	1.43	0.55	3.76	0.467
Prior raloxifene therapy (yes v no)	0.40	0.09	1.67	0.208
Prior hormone replacement therapy (yes v no)	1.00	0.74	1.35	0.998
Prior adjuvant radiation therapy (yes v no)	0.83	0.61	1.13	0.225

HR hazard ratio, ECOG Eastern Cooperative Oncology Group

<sup>a</sup>All FACT items were coded as continuous variable in the Cox regression models

<sup>b</sup>Model was stratified on treatment (exemestane vs. anastrozole), nodal status (positive vs. negative), and prior chemotherapy (yes vs. no)

<sup>c</sup>Landmark time point was set at 3 months. No patient died within 3 months. A total of 28 patients went off treatment within 3 months, and these patients were excluded from the analysis. If only baseline FACT items were included in the model ( $N = 604$  patients), HR 1.22 (95% CI 1.03, 1.45,  $p = 0.019$ ) for GP5 from Cox model

<sup>d</sup>A total of 123 patients had missing values for at least one of the variables included in the model. So  $N = 563$  for the analysis

**Table 4** Linear regression analysis examining factors contributing to patient-reported bother by treatment side effects at baseline ( $n = 639$ )

Covariates	Coefficient*	95% confidence interval		p value
Prior chemotherapy (yes vs. no)	0.665	0.488	0.842	< 0.001
Prior hormone replacement therapy (yes vs. no)	0.190	0.058	0.322	0.005
Prior adjuvant radiation therapy (yes vs. no)	0.098	- 0.035	0.231	0.148
Number of current medications (continuous)	0.032	0.006	0.058	0.015

fatigue, and night sweats. The proportion of patients reporting moderate or severe joint pain (33–36%) was significantly higher than CTCAE-rated arthralgia of any grade (6–7%) [3]. Many women reported the new onset of symptoms from baseline to 3 months and the most common treatment-emergent symptoms included joint pain, weight gain, hot flashes, decreased libido, breast sensitivity, night sweats, and mood swings. TRS negatively affected HRQL. In the full MA.27 sample, TRS determined by patient-rated CTCAE grades were not associated with relapse-free survival [4].

A significant proportion of patients (36.2%) discontinued AI therapy before completion of the recommended course. This analysis showed that being bothered by treatment side effects at baseline was associated with higher risk

of early treatment discontinuation. Factors contributing to bother by treatment side effects at baseline included prior chemotherapy, prior radiation therapy, and the number of current medications. This suggests that a patient's pre-treatment disposition and prior treatment experiences should be taken into account when initiating AI therapy to identify patients who may be prone to difficulties with tolerability of treatment. Patients experiencing greater symptom burden from prior cancer therapies, compounded by medications for comorbid conditions, are at greater risk for 'treatment fatigue' when faced with 5 years of AI therapy. In discontinuing treatment early, these patients are unable to gain maximum benefit from therapy and may benefit from efforts to bolster AI adherence. An analysis of aggregated

PRO data across four cancer clinical trials found that this same item from the FACT, “I am bothered by side effects of treatment” was correlated with clinician-rated adverse events and patients’ overall enjoyment of life [25]. Taken together, these findings indicate this single item may provide value at the start of therapy in identifying patients at risk for treatment non-adherence.

The questionnaire completion rate was excellent for this study. There were relatively few patients lost to attrition due to death or progressive disease in both treatment arms, which indicates that missing data therefore did not pose a serious problem for comparisons between the two treatment arms in this study. The lognormal survival models provided supportive evidence for this assertion. Limitations of this study included the challenges inherent to interpreting symptoms as treatment-related, particularly for complex, multifactorial symptoms (e.g., fatigue) and the use of single items to assess domains of interest. There was a difference in allocation to anastrozole and exemestane arms, although results by treatment arm were comparable.

In conclusion, treatment-related symptoms and health-related quality of life were comparable among postmenopausal women with hormone receptor-positive breast cancer who received anastrozole or exemestane. Patient-reported bother with side effects of prior therapy and concurrent medications, assessed at the time of initiating AI treatment, may signal risk for subsequent early discontinuation of AI therapy.

**Acknowledgements** This study was coordinated by the ECOG-ACRIN Cancer Research Group (Robert L. Comis, MD and Mitchell D. Schnall, MD, PhD, Group Co-Chairs) and supported by the National Cancer Institute of the National Institutes of Health under the following Award Numbers: CA189828, CA180867, CA189808, CA189954, CA180799, CA189822, CA189863, CA189860, CA189812, CA180816, CA180795, CA180863, Canadian Cancer Society Research Institute Grants #021039 and #704970 and by the AVON Breast Cancer Crusade ABCC. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. government.

## Compliance with ethical standards


**Conflict of interest** All authors state that they have no conflicts of interest.

## References

- Baum M, Buzdar A, Cuzick J, Forbes J, Houghton J, Howell A, Sahnoud T, ATAC (Arimidex Tamoxifen Alone or in Combination) Trialists’ Group (2003) Anastrozole alone or in combination with tamoxifen versus tamoxifen alone for adjuvant treatment of postmenopausal women with early-stage breast cancer: results of the ATAC (Arimidex, Tamoxifen Alone or in Combination) trial efficacy and safety update analyses. *Cancer* 98(9):1802–1810
- Dowsett M, Cuzick J, Ingle J, Coates A, Forbes J, Bliss J, Buyse M, Baum M, Buzdar A, Colleoni M, Coombes C, Snowdon C, Gnant M, Jakesz R, Kaufmann M, Boccardo F, Godwin J, Davies C, Peto R (2010) Meta-analysis of breast cancer outcomes in adjuvant trials of aromatase inhibitors versus tamoxifen. *J Clin Oncol* 28(3):509
- Goss PE, Ingle JN, Pritchard KI, Ellis MJ, Sledge GW, Budd GT, Rabaglio M, Ansari RH, Johnson DB, Tozer R, D’Souza DP, Chalchal H, Spadafora S, Stearns V, Perez EA, Liedke PE, Lang I, Elliott C, Gelmon KA, Chapman JA, Shepherd LE (2013) Exemestane versus anastrozole in postmenopausal women with early breast cancer: NCIC CTG MA.27—a randomized controlled phase III trial. *J Clin Oncol* 31(11):1398–1404. <https://doi.org/10.1200/jco.2012.44.7805>
- Stearns V, Chapman JA, Ma CX, Ellis MJ, Ingle JN, Pritchard KI, Budd GT, Rabaglio M, Sledge GW, Le Maitre A, Kundapur J, Liedke PE, Shepherd LE, Goss PE (2015) Treatment-associated musculoskeletal and vasomotor symptoms and relapse-free survival in the NCIC CTG MA.27 adjuvant breast cancer aromatase inhibitor trial. *J Clin Oncol* 33(3):265–271. <https://doi.org/10.1200/jco.2014.57.6926>
- Coates AS, Keshaviah A, Thurlimann B, Mouridsen H, Mauriac L, Forbes JF, Paridaens R, Castiglione-Gertsch M, Gelber RD, Colleoni M, Lang I, Del Mastro L, Smith I, Chirgwin J, Nogaret JM, Pienkowski T, Wardley A, Jakobsen EH, Price KN, Goldhirsch A (2007) Five years of letrozole compared with tamoxifen as initial adjuvant therapy for postmenopausal women with endocrine-responsive early breast cancer: update of study BIG 1-98. *J Clin Oncol* 25(5):486–492. <https://doi.org/10.1200/jco.2006.08.8617>
- Fallowfield L, Cella D, Cuzick J, Francis S, Locker G, Howell A (2004) Quality of life of postmenopausal women in the anastrozole, tamoxifen, alone or in combination adjuvant breast cancer trial. *J Clin Oncol* 22(21):4261–4271
- Sestak I, Cuzick J, Sapunar F, Eastell R, Forbes JF, Bianco AR, Buzdar AU (2008) Risk factors for joint symptoms in patients enrolled in the ATAC trial: a retrospective, exploratory analysis. *Lancet Oncol* 9(9):866–872. [https://doi.org/10.1016/s1470-2045\(08\)70182-7](https://doi.org/10.1016/s1470-2045(08)70182-7)
- Fallowfield LJ, Kilburn LS, Langridge C, Snowdon CF, Bliss JM, Coombes RC, IES Trial Steering Committee (2012) Long-term assessment of quality of life in the Intergroup Exemestane Study: 5 years post-randomisation. *Br J Cancer British Journal of Cancer* 106(6):1063–1067
- Basch E, Abernethy AP, Mullins CD, Reeve BB, Smith ML, Coons SJ, Sloan J, Wenzel K, Chauhan C, Eppard W, Frank ES, Lipscomb J, Raymond SA, Spencer M, Tunis S (2012) Recommendations for incorporating patient-reported outcomes into clinical comparative effectiveness research in adult oncology. *J Clin Oncol* 15:15
- Fromme EK, Eilers KM, Mori M, Hsieh YC, Beer TM (2004) How accurate is clinician reporting of chemotherapy adverse effects? A comparison with patient-reported symptoms from the quality-of-life questionnaire C30. *J Clin Oncol* 22(17):3485–3490
- Velikova G, Booth L, Smith AB, Brown PM, Lynch P, Brown JM, Selby PJ (2004) Measuring quality of life in routine oncology practice improves communication and patient well-being: a randomized controlled trial. *J Clin Oncol* 22(4):714–724
- Basch E (2010) The missing voice of patients in drug-safety reporting. *N Engl J Med* 362(10):865–869
- Detmar SB, Aaronson N, Wever LD, Muller M, Schornagel JH (2000) How are you feeling? Who wants to know? Patients’ and

- oncologists' preferences for discussing health-related quality of life issues. *J Clin Oncol* 18(18):3295–3301
14. Patrick DL, Burke LB, Powers JH, Scott JA, Rock EP, Dawisha S, O'Neill R, Kennedy DL (2007) Patient-reported outcomes to support medical product labeling claims: FDA perspective. *Value Health* 10(Suppl 2):S125–137. <https://doi.org/10.1111/j.1524-4733.2007.00275.x>
  15. Cella D, Fallowfield L (2008) Recognition and management of treatment-related side effects for breast cancer patients receiving adjuvant endocrine therapy. *Breast Cancer Res Treat* 107(2):167–180
  16. Ruddy K, Mayer E, Partridge A (2009) Patient adherence and persistence with oral anticancer treatment. *CA Cancer J Clin* 59(1):56–66. <https://doi.org/10.3322/caac.20004>
  17. Cuzick J, Sestak I, Cella D, Fallowfield L (2008) Treatment-emergent endocrine symptoms and the risk of breast cancer recurrence: a retrospective analysis of the ATAC trial. *Lancet Oncol* 9:1143–1147
  18. Fallowfield LJ, Leaity SK, Howell A, Benson S, Cella D (1999) Assessment of quality of life in women undergoing hormonal therapy for breast cancer: validation of an endocrine symptom subscale for the FACT-B. *Breast Cancer Res Treat* 55(2):189–199
  19. Wilcoxon F (1945) Individual comparisons by ranking methods. *Biometrics* 1:80–83
  20. Schluchter MD (1992) Methods for the analysis of informatively censored longitudinal data. *Stat Med* 11:1861–1870
  21. Kaplan EL, Meier P (1958) Nonparametric estimation from incomplete observation. *J Am Stat Assoc* 53:457–481
  22. Cox DR (1972) Regression models and life tables (with discussion). *J R Stat Soc B* 34:187–220
  23. Anderson JR, Cain KC, Gelber RD (1983) Analysis of survival by tumor response. *J Clin Oncol* 1:710–719
  24. StataCorp (2009) Stata statistical software: release 11. StataCorp LP, College Station
  25. Pearman T, Beaumont J, Mroczek D, O'Connor M, Cella D (in press) Validity and utility of a single-item measure of patient-reported bother from side effects of cancer therapy. *Cancer*

## Affiliations

Lynne I. Wagner<sup>1</sup>  · Fengmin Zhao<sup>2</sup> · Paul E. Goss<sup>3</sup> · Judith-Anne W. Chapman<sup>4</sup> · Lois E. Shepherd<sup>4</sup> · Timothy J. Whelan<sup>4,5</sup> · Bassam I. Mattar<sup>6</sup> · Jose A. Bufill<sup>7</sup> · William C. Schultz<sup>8</sup> · Irving E. LaFrancis<sup>9</sup> · Gauri G. Nagargoje<sup>10</sup> · Radhakrishna Vemuri<sup>11</sup> · Daniel A. Nikcevich<sup>12</sup> · George W. Sledge<sup>13</sup> · David Cella<sup>14</sup>

✉ Lynne I. Wagner  
lywagner@wakehealth.edu

<sup>1</sup> Department of Social Sciences and Health Policy, Wake Forest School of Medicine, Medical Center Boulevard, Winston Salem, NC 27151, USA

<sup>2</sup> Dana Farber Cancer Institute, Boston, MA, USA

<sup>3</sup> Harvard Medical School, Massachusetts General Hospital, Boston, MA, USA

<sup>4</sup> Canadian Cancer Trials Group (CCTG), Kingston, Canada

<sup>5</sup> McMaster University, Hamilton, ON, Canada

<sup>6</sup> Wichita NCORP, Wichita, KA, USA

<sup>7</sup> Michiana Hematology-Oncology, P.C., Mishawaka, IN, USA

<sup>8</sup> Swedish American Regional Cancer Center, Rockford, IL, USA

<sup>9</sup> Mercy Hospital-Joplin, Joplin, MO, USA

<sup>10</sup> Mercy Hospital, Coon Rapids, MN, USA

<sup>11</sup> West Michigan Cancer Center, Kalamazoo, MI, USA

<sup>12</sup> Essentia Health Cancer Center, Duluth, MN, USA

<sup>13</sup> Stanford University, Stanford, CA, USA

<sup>14</sup> Northwestern University, Chicago, IL, USA