# **CLINICAL TRIAL**



# Advantages with prophylactic PEG-rhG-CSF versus rhG-CSF in breast cancer patients receiving multiple cycles of myelosuppressive chemotherapy: an open-label, randomized, multicenter phase III study

Jie Xie<sup>1,2</sup> · Jun Cao<sup>1,2</sup> · Jing-fen Wang<sup>3</sup> · Bai-hong Zhang<sup>4</sup> · Xiao-hua Zeng<sup>5</sup> · Hong Zheng<sup>5</sup> · Yang Zhang<sup>6</sup> · Li Cai<sup>7</sup> · Yu-dong Wu<sup>8</sup> · Qiang Yao<sup>9</sup> · Xiao-chun Zhao<sup>10</sup> · Wei-dong Mao<sup>11</sup> · Ai-Mei Jiang<sup>12</sup> · Shao-shui Chen<sup>13</sup> · Shun-e Yang<sup>14</sup> · Shu-sen Wang<sup>15</sup> · Jian-hong Wang<sup>16</sup> · Yue-yin Pan<sup>17</sup> · Bi-yong Ren<sup>18</sup> · Yan-ju Chen<sup>19</sup> · Li-zhi Ouyang<sup>20</sup> · Kai-jian Lei<sup>21</sup> · Jing-hua Gao<sup>22</sup> · Wen-he Huang<sup>23</sup> · Zhan Huang<sup>24</sup> · Tao Shou<sup>25</sup> · Yan-ling He<sup>26</sup> · Jing Cheng<sup>27</sup> · Yang Sun<sup>28</sup> · Wei-ming Li<sup>29</sup> · Shu-de Cui<sup>30</sup> · Xin Wang<sup>31</sup> · Zhi-guo Rao<sup>32</sup> · Hu Ma<sup>33</sup> · Wei Liu<sup>34</sup> · Xue-yong Wu<sup>35</sup> · Wei-xi Shen<sup>36</sup> · Fei-lin Cao<sup>37</sup> · Ze-min Xiao<sup>38</sup> · Biao Wu<sup>39</sup> · Shu-yan Tian<sup>40</sup> · Dong Meng<sup>41</sup> · Peng Shen<sup>42</sup> · Bi-yun Wang<sup>1,2</sup> · Zhonghua Wang<sup>1,2</sup> · Jian Zhang<sup>1,2</sup> · Leiping Wang<sup>1,2</sup> · Xi-chun Hu<sup>1,2</sup>

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# Abstract

**Background** PEG-rhG-CSF reduces neutropenia and improves chemotherapy safety. In China's registration trial (CFDA: 2006L01305), we assessed its efficacy and safety against rhG-CSF, and prospectively explored its value over multiple cycles of chemotherapy.

**Methods** In this open-label, randomized, multicenter phase 3 study, breast cancer patients (n = 569) were randomized to receive PEG-rhG-CSF 100 µg/kg, PEG-rhG-CSF 6 mg, or rhG-CSF 5 µg/kg/d after chemotherapy. The primary endpoints were the incidence and duration of grade 3/4 neutropenia during cycle 1. Secondary endpoints included the incidence and duration of grade 3/4 neutropenia during cycles 2–4, the incidence of febrile neutropenia, and the safety.

**Results** A once-per-cycle PEG-rhG-CSF at either 100  $\mu$ g/kg or 6 mg was not different from daily injections of rhG-CSF for either incidence or duration of grade 3/4 neutropenia. Interestingly, a substantial difference was noted during cycle 2, and the difference became bigger over cycles 3–4, reaching a statistical significance at cycle 4 in either incidence (P = 0.0309) or duration (P = 0.0289) favoring PEG-rhG-CSF. A significant trend toward a lower incidence of all-grade adverse events was noted at 129 (68.98%), 142 (75.53%), and 160 (82.47%) in the PEG-rhG-CSF 100  $\mu$ g/kg and 6 mg and rhG-CSF groups, respectively (P = 0.0085). The corresponding incidence of grade 3/4 drug-related adverse events was 2/187 (1.07%), 1/188 (0.53%), and 8/194 (4.12%), respectively (P = 0.0477). Additionally, PFS in metastatic patients preferred PEG-rhG-CSF to rhG-CSF despite no significance observed by Kaplan–Meier analysis (n = 49, P = 0.153).

**Conclusions** PEG-rhG-CSF is a more convenient and safe formulation and a more effective prophylactic measure in breast cancer patients receiving multiple cycles of chemotherapy.

Keywords Breast cancer · Multicenter study · PEG-rhG-CSF · Neutropenia

Abbreviations	i	EC	Epirubicin 100 mg/m <sup>2</sup> and cyclophospha-
PEG-rhG-CSF	PEG-modification recombinant human		mide 600 mg/m2
	granulocyte colony stimulating factor	ET	Epirubicin 75 mg/m <sup>2</sup> and docetaxel
rhG-CSF	Recombinant human granulocyte colony		75 mg/m2
	stimulating factor	TC	Docetaxel 75 mg/m <sup>2</sup> and cyclophospha-
			mide 600 mg/m2
Jie Xie and Jun Cao are joint first authors.		FN	Febrile neutropenia
		s.c.	Subcutaneous
🖂 Xi-chun Hu		PEG	Polyethylene glycol
xchu_fuscc@163.com		PPS	Per-protocol set
Extended author in	formation available on the last page of the article	FAS	Full analysis set

SS	Safety set
NCI	National Cancer Institute
CTCAE	Common Terminology Criteria for
	Adverse Events
CIs	Confidence intervals
ANOVA	Analysis of variance
СМН	Cochran-Mantel-Haenszel
AEs	Adverse events
SAEs	Serious adverse events
PFS	Progression-free survival
Hb	Hemoglobin
WBC	White blood count
ANC	Absolute neutrophil count
PLT	Platelet count
ALT	Alanine aminotransferase
AST	Aspartate aminotransferase
TBIL	Total bilirubin
Scr	Serum creatinine
ULN	Upper limit of normal

# Introduction

Chemotherapy is still a major strategy for breast cancer. In daily practice, chemotherapy-induced neutropenia increases the risk of potentially life-threatening infections and febrile neutropenia (FN), which requires hospitalization for intravenous antibiotics and generally causes dose reductions below the optimal drug level or delays in subsequent chemotherapy cycles [1].

Granulocyte colony stimulating factor (G-CSF), stimulating the production of neutrophil precursors, enhancing the function of mature neutrophils, and ameliorating neutropenia and its complications [2], has been used to decrease the incidence of myelosuppression caused by cytotoxic chemotherapy. The first recombinant human granulocyte colony stimulating factor (rhG-CSF) approved for clinical practice is filgrastim with a short plasma half-life of about 3-4 h, requiring daily subcutaneous (s.c.) injections. It has been proved that filgrastim administration increases WBC counts and decreases the duration of neutropenia, days of hospitalization, and the number of culture-confirmed infections [3, 4]. Furthermore, prophylactic G-CSF is routinely recommended by current treatment guidelines for patients receiving chemotherapy regimens associated with 20% or higher risk of FN [5–11]. Selective use of G-CSFs in patients at increased risk for neutropenic complications may, however, enhance the cost effectiveness [12, 13].

The covalent attachment of polyethylene glycol (PEG) significantly extends the half-life to about 42–62 h after a single injection per chemotherapy cycle to achieve the same effect as multiple daily injections of rhG-CSF. PEG-modification rhG-CSF, with a similar biological activity to

rhG-CSF, is eliminated mainly via neutrophil receptor-mediated endocytosis and degradation [14]. Thus, it remains in the pharmacological range and only drops during neutrophil recovery. Potential benefits of PEG-rhG-CSF over rhG-CSF include fewer injections, better compliance, and decreased burden for both patients and healthcare professionals [15]. In addition, PEG-rhG-CSF may perform better in support of patients through a course of multiple cycles of chemotherapy [16, 17]. However, its value remains unclear.

The aim of this study was to evaluate the efficacy and safety of both 100  $\mu$ g/kg and fixed 6 mg dose of PEG-rhG-CSF per cycle of chemotherapy, compared with daily administration of rhG-CSF, in provision of neutrophil support for breast cancer patients receiving myelosuppressive chemotherapy, and prospectively explore its value over multiple cycles of chemotherapy.

# **Patients and methods**

# **Study population**

This is an open-label, multicenter, randomized, active-controlled, phase IIIb trial. The institutional review boards or ethics committees of the participating centers approved the protocol. Written informed consent was obtained from each patient before the study-related procedure was performed. Forty-two centers in China were designed to enroll 540 breast cancer patients from May 2014 to January 2015, but actually 569 patients were randomized into the study. Of 569 patients, 557 (97.89%) received at least one dose of the study drug and 12 (2.11%) withdrew from the study. Of 557 patients, 9 (1.58%) had major protocol violations, with the remaining 548 patients in per-protocol set (PPS) for efficacy analyses. All 569 randomized patients were included in full analysis set (FAS) and safety set (SS) for efficacy and safety analyses. Table 1 provides the inclusion and exclusion criteria for this study.

# **Study design**

The primary objective of this trial was to evaluate whether once-per-cycle PEG-rhG-CSF was as safe and effective as multiple daily dose of rhG-CSF in breast cancer patients receiving four cycles of myelosuppressive chemotherapy. As a result, the sample size of the study was based on a noninferiority design. Eligible patients were randomly assigned in a 1:1:1 ratio to receive either one of the three intervention arms, i.e., (1) a single-dose s.c. injection of PEG-rhG-CSF 100  $\mu$ g/kg, (2) a single-dose s.c. injection of PEG-rhG-CSF 6 mg, and (3) a daily dose of rhG-CSF 5  $\mu$ g/kg.

If patients in the study groups experienced FN and/ or ANC  $< 0.5 \times 10^9$ /L for longer than 3 days, a dose of Table 1 Inclusion and exclusion criteria for the study

Inclusion criteria	Exclusion criteria
Breast cancer patients diagnosed by histopathology	Prior bone marrow or stem cell transplantation
Chemotherapy naïve for early disease or first-line chemotherapy naïve for advanced disease with adjuvant chemotherapy completed more than 1 year	Received systemic anti-infective treatment within 72 h before chemo- therapy
Eastern Cooperative Oncology Group (ECOG) performance status $\leq 1$	Hematological diseases that affect marrow function
Weight $\geq$ 45 kg	Investigator assessment of other disease
Age 18–70 years	Women pregnant or breastfeeding
Adequate renal, hepatic, pulmonary, and cardiac function, i.e., Hb $\geq$ 90 g/L, WBC $\geq$ 4.0 $\times$ 10 <sup>9</sup> /L, ANC $\geq$ 2.0 $\times$ 10 <sup>9</sup> /L, PLT $\geq$ 100 $\times$ 10 <sup>9</sup> /L, ALT and AST $\leq$ 1.5 $\times$ ULN, TBIL $\leq$ 1.5 $\times$ ULN, Scr $\leq$ 1.5 $\times$ ULN, INR $<$ 1.5	History of PEG-rhG-CSF mobilization

Predicted to schedule on at least 4 cycles of the same routine chemotherapy

Fertile women required a negative pregnancy test (serum or urine) within 7 days prior to randomization and consistent contraception during the trial

Investigator assessment of high likelihood of patient compliance

Hb hemoglobin, WBC white blood cell count, ANC absolute neutrophil count, PLT platelet count, ALT alanine aminotransferase, AST aspartate aminotransferase, TBIL total bilirubin, Scr serum creatinine, ULN upper limit of normal

rhG-CSF 5 µg/kg was permitted to continue daily until an ANC >  $5.0 \times 10^{9}$ /L or for a maximum of 14 days, whichever occurred first. Otherwise, it was not permitted to receive rhG-CSF or other hemogram-impacted treatment such as radiotherapy. Patients randomized to the PEG-rhG-CSF group received a single 100 µg/kg or a fixed 6 mg s.c. injection on day 3 of each cycle onward (48 h after completion of chemotherapy).

On day 1 of each cycle, patients received an i.v. bolus epirubicin of 100 mg/m<sup>2</sup> followed 1 h later by an i.v. bolus cyclophosphamide of 600 mg/m<sup>2</sup> (EC regimen), or an i.v. bolus epirubicin of 75 mg/m<sup>2</sup> followed 1 h later by a 1-h infusion of docetaxel of 75 mg/m<sup>2</sup>(TC regimen), or a 1-h infusion of docetaxel of 75 mg/m<sup>2</sup> followed 1 h later by an i.v. bolus cyclophosphamide of 600 mg/m<sup>2</sup>(ET regimen). Chemotherapy was repeated every 3 weeks for up to 4 cycles. Dose reduction was permitted only when the patients experienced grade 4 thrombocytopenia, grade 4 anemia, severe cardiac disorders, or other situations considered unsuitable to be continued by investigators.

Prophylactic antibiotics were not permitted during the study. Systemically, antibiotics were allowed only for an ANC  $\leq 0.5 \times 10^{9}$ /L, FN, infection, or suspected infection with an increased temperature of  $\geq$  38 °C.

Blood samples were collected prior to drug injection on days 3, 5, 7-11, 13, 15, 17, and 21 of cycle 1, and on days 5, 7, 9, 11, 13, and 21 of subsequent cycles. Plasma for antibody analysis was collected before premedication and at the end of both cycle 2 and cycle 4.

#### Efficacy and safety measurements

Recently planned to start a trastuzumab therapy

History of allergy to study drugs or other biologicals

History of drug abuse or drug addiction

The primary efficacy endpoints were the incidence and duration of grade 3/4 neutropenia in cycle 1 based on both FAS and PPS. The secondary efficacy endpoints included the incidence and duration of grade 3/4 neutropenia in cycles 2-4 and the incidence of FN in each cycle. FN is defined as an ANC  $< 0.5 \times 10^{9}$ /L or an ANC  $< 1.0 \times 10^{9}$ /L with a trend to drop below  $0.5 \times 10^9$ /L in the following 48 h, concurrent with a single oral temperature of  $\geq 38.3$  °C, or a sustained temperature of > 38 °C for at least 1 h.

Prior clinical trial or radiation therapy within 4 weeks before enrollment

Safety was assessed by the incidence of adverse events using preferred terms designated by the National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events (CTCAE version 4.0) based on safety set (SS). Specific antibodies against rhG-CSF or PEG-rhG-CSF were also included in safety assessment.

# Statistical analyses

Statistical analyses were carried out using statistical analysis system (SAS) software, version 9.2. The significant level for all statistical tests was set at 0.05 with 95% two-sided confidence intervals (CIs). The basic characteristics and outcomes of study patients were compared across three interventional arms using Chi-square  $(\chi \chi^2)$  test for categorical data and analysis of variance (ANOVA) or Kruskal-Wallis test for normally and nonnormally distributed continuous data, respectively. Treatment group differences in the incidence of grade 3/4 neutropenia were calculated by Cochran–Mantel–Haenszel (CMH) Chi square  $(\chi\chi^2)$  based on FAS and PPS. The FAS population comprised all randomized patients, and the PPS population comprised all randomized patients without any major protocol violation. The results from these analyses for FAS did not differ materially from that for PPS.

For safety analyses, changes in laboratory values and vital signs were recorded and summarized using descriptive statistics, including mean, standard deviation, median, minimum, and maximum values.

Additionally, progression-free survival (PFS) was analyzed by Kaplan–Meier analysis between the PEG-rhG-CSF and rhG-CSF groups. PFS was defined as the time from registration to the earliest of death due to any cause or disease progression. Patients who were known to be alive were censored at the last follow-up visit.

# Results

# Patients

Of the 569 patients enrolled into this trial, 187 patients were randomized to PEG-rhG-CSF 100  $\mu$ g/kg, 188 to PEG-rhG-CSF 6 mg, and 194 to rhG-CSF 5  $\mu$ g/kg/day. The study flow diagram for patient enrollment, allocation, and follow-up is shown in Fig. 1. The mean ages across the three interventional arms were 47.12 ± 8.81, 49.40 ± 8.84, and 49.22 ± 9.24 years, respectively. The patient population was predominantly women and Asian with a good ECOG performance status (0 or 1). The three arms were balanced for other demographic factors and disease status at baseline, except for age, height, plus rate and disease history. Table 2 (placed at the end of the manuscript) shown below shows the comparison of the characteristics of the study patients. In general, there was no statistical difference in clinical characteristics with respect to breast cancer-associated baseline.

All the patients received at least one dose of the assigned study drug and were included in both efficacy and safety analyses. 548 (96.30%) patients were eligible for efficacy analyses of the primary end point to evaluate the robustness of the FAS results.

# Efficacy

#### Incidence and duration of grade 3/4 neutropenia in cycle 1

The incidence of grade 3/4 neutropenia for the FAS was 44.39%, 49.47%, and 48.45%, and for the PPS, it was 45.56, 49.45, and 49.46%, in the PEG-rhG-CSF 100  $\mu$ g/kg, PEG-rhG-CSF 6 mg, and rhG-CSF 5  $\mu$ g/kg/day groups, respectively. There were no significant differences between

three intervention arms (P = 0.5892 for FAS, P = 0.6802 for PPS). Likewise, the mean  $\pm$  SD duration of grade 3/4 neutropenia showed no statistically significant differences (P = 0.2512 for FAS, P = 0.5189 for PPS), which was  $0.96 \pm 1.29$  days in the PEG-rhG-CSF 100 µg/kg group and  $1.19 \pm 1.43$  days in the PEG-rhG-CSF 6 mg group, compared with  $1.10 \pm 1.44$  days in the rhG-CSF 5 µg/kg/ day group for the FAS, and for the PPS, it was  $0.99 \pm 1.30$ ,  $1.18 \pm 1.43$ , and  $1.11 \pm 1.45$ , respectively. Although the incidence and duration of grade 3/4 neutropenia in the PEG-rhG-CSF 100 µg/kg group tended to be lower, both PEG-rhG-CSF 100 µg/kg and PEG-rhG-CSF 6 mg were noninferior to rhG-CSF 5 µg/kg/day.

# Incidence and duration of grade 3/4 neutropenia in subsequent cycles

The incidence and duration of grade 3/4 neutropenia for cycles 2 to 4 based on the FAS are demonstrated in Table 3 and Fig. 2, which tended to be of more and more difference at cycles 2–3, and reached a statistically significant difference at cycle 4 (P = 0.0289), indicating that PEG-rhG-CSF performs even better than rhG-CSF in support of patients through the course of cytotoxic chemotherapy.

#### Febrile neutropenia in all cycles

Over the course of the trial, the cumulative incidence of FN was reported only for cycles 1–2. 6, 8, and 6 patients in the PEG-rhG-CSF 100  $\mu$ g/kg, PEG-rhG-CSF 6 mg, and rhG-CSF 5  $\mu$ g/kg/day groups experienced FN, and the FN rate for each group showed no difference.

## Safety

#### Adverse events

Adverse events (AEs) were reported in 129 (68.98%), 142 (75.53%), and 142 (75.53%) patients from the PEG-rhG-CSF 100 µg/kg, PEG-rhG-CSF 6 mg, and rhG-CSF 5 µg/ kg/day groups, respectively. There were significant differences in the overall safety profile across arms (P = 0.0085). Most adverse events were attributable to complications of myelosuppressive chemotherapy and were of mild or moderate intensity. Common AE profiles over all cycles across the three arms are summarized in Table 4. Serious adverse events (SAEs) were noted in 12/187 (6.42%), 3/188 (1.60%), and 12/194 (6.19%) patients from the PEG-rhG-CSF 100 µg/ kg, PEG-rhG-CSF 6 mg, and rhG-CSF 5 µg/kg/day groups, respectively (P = 0.0333). A total of 26 events had an unrelated or unlikely relationship to PEG-rhG-CSF or rhG-CSF, and 1 was considered unassessable. Of these SAEs, 24 patients experienced grade 4 neutropenia, including 9,



Fig. 1 Disposition of patients in the trial. The study flow diagram for patient enrollment, allocation, and follow-up. \*Excluded if there was any violation

3, and 12 in the PEG-rhG-CSF 100  $\mu$ g/kg, PEG-rhG-CSF 6 mg, and rhG-CSF 5  $\mu$ g/kg/day groups, respectively. The other three patients experienced asthma recurrence, hemorrhoidal hemorrhage, and thymosin-induced anaphylaxis, respectively.

#### Adverse drug reactions

The incidence of grade 3/4 adverse drug reactions was 3/187 (1.60%), 2/188 (1.06%), and 8/194 (4.12%) in the PEG-rhG-CSF 100 µg/kg, PEG-rhG-CSF 6 mg, and rhG-CSF groups, respectively, indicating that PEG-rhG-CSF was well

tolerated compared with rhG-CSF (P = 0.0477, Table 5). Of adverse reactions over all cycles across the three arms, the higher reported nonhematologic adverse events included nausea, vomiting, constipation, anorexia, fatigue, and liver dysfunction.

# **Antibody formation**

No patient developed binding or neutralizing antibodies against drugs in any arms. All patients were observed expected transient neutropenia, and recovered their ANC during the treatment period.

**Table 2** Baseline demographics and disease status (n = 569)

Characteristics of study patients	Interventional arn	P value			
	PEG-rhG-CSF 100 μg/kg (n = 187)	PEG-rhG- CSF mg $(n = 188)$	RHG-CSF 5 μG/ KG/D ( <i>n</i> = 194)		
Sex					
Male	1	1	3	0.6272	
Female	186	187	191		
Age, years					
Median $\pm$ SD	$47.12 \pm 8.81$	$49.40 \pm 8.84$	$49.22 \pm 9.24$	0.0241	
Range	26–69	28-70	22-69		
Baseline weight, kg					
Median $\pm$ SD	$58.03 \pm 8.06$	$57.96 \pm 8.35$	$56.60 \pm 7.91$	0.152	
Range	45-89	45-81	45-79		
ECOG performance status, $n$ (%)					
0	105 (56.15%)	110 (58.51%)	109 (56.19%)	0.8677	
1	82 (43.85%)	78 (41.49%)	85 (43.81%)		
Disease status	· · · ·		× ,		
Nonmetastatic disease	171 (91.44%)	170 (90.43%)	171 (88.14%)	0.5458	
Metastatic disease	16 (8.56%)	18 (9.57%)	23 (11.86%)		
Estrogen receptor, $n$ (%)			× ,		
Negative	48 (32.21%)	39 (28.47%)	49 (32.45%)	0.7287	
Positive	101 (67.79%)	98 (71.53%)	102 (67.55%)		
Total	149	137	151		
Progesterone receptor, $n$ (%)					
Negative	55 (36.91%)	49 (35.77%)	64 (42.38%)	0.4650	
Positive	94 (63.09%)	88 (64.23%)	87 (57.62%)		
Total	149	137	151		
Her- $2/\text{neu}$ $n$ (%)	1.0	107			
Negative	78 (58.21%)	62 (49.60%)	77 (55,00%)	0.4273	
Positive	56 (41 79%)	63 (50 40%)	63 (45 00%)	011270	
Total	134	125	140		
Ki-67 %	101	120	110		
Median + SD	3510+2307	$33.08 \pm 23.55$	$32.58 \pm 22.77$	0.6217	
Range	1_90	0_90	1_90	0.0217	
Total	145	127	142		
Baseline ANC $\times 10^9/I$	145	127	142		
Median $\pm$ SD	$4.48 \pm 1.56$	$4.08 \pm 1.41$	$4.11 \pm 1.58$	0.0180**	
$\frac{1}{2} \frac{1}{2} \frac{1}$	$4.40 \pm 1.50$	$4.08 \pm 1.41$	$4.11 \pm 1.36$	0.0100	
Range $WBC \times 100/I$	1.95-9.00	2.05-10.48	1.52-15.10		
Median + SD	$6.03 \pm 1.80$	$6.38 \pm 1.61$	$6.30 \pm 1.75$	0 0021***	
$\frac{1}{2} \frac{1}{2} \frac{1}$	$0.95 \pm 1.00$	$0.38 \pm 1.01$	$0.39 \pm 1.73$	0.0021	
Chamatharany regimen	5.57-12.50	5.07-14.02	5.51-14.10		
EC	112	112	117	0.0004	
EC	53	52	53	0.7774	
		32	55 24		
IC I	22	23	24		

\*\*PEG-rhG-CSF (100  $\mu$ g/kg) versus rhG-CSF *P* value = 0.0220, PEG-rhG-CSF (6 mg) versus rhG-CSF (5  $\mu$ g/kg/day) *P* value = 0.8450; \*\*\*PEG-rhG-CSF (100  $\mu$ g/kg) versus rhG-CSF *P* value = 0.0360, PEG-rhG-CSF (6 mg) versus rhG-CSF (5  $\mu$ g/kg/day) *P* value = 0.9235; there was no clinical significance

Cycle PEG-rhG-CSF 1		100 µg/kg	PEG-rhG-CSF	PEG-rhG-CSF 6 mg		RhG-CSF 5 µg/kg/day		Р	
	Incidence (%)	Duration (days)	Incidence (%)	Duration (days)	Incidence (%)	Duration (days)	Incidence	Duration	
1	44.39	0.96 ± 1.29	49.47	1.19 ± 1.43	48.45	$1.10 \pm 1.44$	0.5892	0.3502	
2	15.34	$0.51 \pm 1.64$	15.08	$0.45 \pm 1.50$	23.37	$0.64 \pm 1.53$	0.0734	0.0790	
3	11.24	$0.32 \pm 1.00$	17.71	$0.40 \pm 0.92$	20.69	$0.66 \pm 1.63$	0.0530	0.0555	
4	13.33	$0.50 \pm 1.80$	15.20	$0.50 \pm 1.69$	23.81	$0.71 \pm 1.66$	$0.0309^{*}$	$0.0289^*$	

 Table 3
 Summary of incidence and duration of 3/4 neutropenia for all cycles

\* There was a statistically significant difference

**Fig. 2** Incidence of grade 3/4 neutropenia in each group for all cycles. The incidence and duration of grade 3/4 neutropenia for cycles 2–4 based on the FAS were demonstrated, which tended to be of more and more difference at cycles 2–3, and reached a statistically significant difference at cycle 4 (P = 0.0289)



# Progression-free survival (PFS)

A total of 49 metastatic patients who completed at least three cycles of chemotherapy were analyzed by

Kaplan–Meier analysis. 28 patients were administered PEG-rhG-CSF 100  $\mu$ g/kg or PEG-rhG-CSF 6 mg, and 21 patients with rhG-CSF 5  $\mu$ g/kg/day. The median follow-up was 15.23 months. Median PFS was 8.13 months in

**Table 4**Common AE profilesover all cycles across the threearms

AEs	Interve	3/4 AE P value						
	PEG-rhG-CSF 100 $\mu$ g/kg ( <i>n</i> = 187) 1/2 cases. 3/4 cases		PEG-rhG-CSF 6 mg ( <i>n</i> = 188) 1/2 cases. 3/4 cases		RhG-CSF 5 $\mu$ g/ kg/d ( <i>n</i> = 194) 1/2 cases. 3/4 cases			
Hematologic								
Neutropenia	12	48	10	58	17	66	0.2000	
Leukopenia	3	11	4	11	11	16	0.5752	
Thrombocytopenia	0	2	3	1	11	4	0.4652	
Anemia	0	3	0	0	4	3	0.2275	
Nonhematologic								
Nausea and Vomiting	49	2	63	3	76	0	0.2112	
Fatigue	17	0	21	0	34	1	1.0000	
Liver dysfunction	21	2	26	0	17	1	0.4352	
Anorexia	10	0	17	0	29	0		
Constipation	16	0	12	0	15	0		
Vertigo	11	0	11	0	3	0		
Diarrhea	3	1	11	0	6	2	0.6615	
Fever	7	0	4	0	10	1	1.0000	
Infection	6	0	5	0	8	0		
Cough	2	0	8	0	8	0		
Cardiac events	3	0	3	0	11	0		
Musculoskeletal pain	1	0	4	0	10	0		
Abdominal pain	5	0	3	0	5	0		
Abdominal distension	2	0	3	0	7	0		
Febrile neutropenia	0	3	0	5	0	3	0.7459	
Headache	1	1	2	0	1	0	0.3286	

# **Table 5** Grade 3/4 adverse drugreaction profiles over all cyclesacross the three arms

Grade 3/4 adverse drug reactions	Interventional arm						
	PEG-rhG-CSF 100 $\mu$ g/kg ( $n = 1$ )	PEG-rhG-CSF 6 mg $(n = 2)$	RhG-CSF 5 $\mu$ g/kg/d ( $n = 8$ )				
Lymphopenia	1		2				
Neutropenia		1	1				
Anemia	1		1				
Fever			1				
Elevated blood pressure			1				
Osphyalgia			1				
Diarrhea			1				

the rhG-CSF group and it was not yet estimable in the PEG-rhG-CSF group until more follow-up has occurred. As shown in Fig. 3, two survival lines intersected at the beginning and gradually separated with an increasing difference. This might suggest that metastatic patients preferred PEG-rhG-CSF to rhG-CSF despite no significance observed by Kaplan–Meier analysis (n = 49, P = 0.153).

# Discussion

This randomized study revealed that PEG-rhG-CSF was at least equivalent to rhG-CSF in efficacy and even showed better performance in subsequent cycles. In cycle 1, incidence or duration of grade 3/4 neutropenia showed no



Fig. 3 Kaplan–Meier plot of progression-free survival between the PEG-rhG-CSF and rhG-CSF groups. Median PFS was 8.13 months in the rhG-CSF group and it was not yet estimable in the PEG-rhG-CSF group until more follow-up has occurred. Two survival lines intersected at the beginning and gradually separated with an increasing difference

difference. Interestingly, incidence or duration of grade 3/4 neutropenia in cycle 2 showed a substantial difference, and the difference became bigger over cycles 3 and 4, reaching statistical significance at cycle 4 in either incidence (P = 0.0309) or duration (P = 0.0289). This suggested that PEG-rhG-CSF had a better performance over rhG-CSF in support of patients through a course of cytotoxic chemotherapy. These findings were rarely reported by other long-acting rhG-CSF [18, 19]. However, it was not occasional. In a previous study published on JCO 2002 [16], significant differences were observed in cycles 2–4 between pegfilgrastim and filgrastim with respect to the duration of grade 4 neutropenia and the incidence of FN. Recently, a meta-analysis showed that lipegfilgrastim, another long-acting filgrastim, was associated with significant reductions in risk of severe neutropenia and febrile neutropenia in cycles 2-4 [17]. These results suggested additional clinical benefits of the longer-acting form for patients who underwent multi-cycle chemotherapy. The underlying mechanism of such findings was unclear. Holmes et al. presumed that constant stimulation of neutrophils and neutrophil precursors in bone marrow and blood may play a role in the improved efficacy noted [16]. As PEG-rhG-CSF eliminated mainly via neutrophil receptormediated endocytosis and degradation, its metabolites may stimulate cytokines or interact with cytokine cross-talk in neutrophil cells, resulting in secondary effects on hematopoietic cells with a long-lasting subsequent impact on neutrophils.

As for FN, the cumulative incidence of FN over the course of the study was only reported for cycles 1–2 in three interventional arms that were less than expected,

indicating that the prophylactic use of G-CSF was warranted to reduce the risk of febrile neutropenia.

Safety profile was generally similar between PEG-G-CSF and reference G-CSF; however, the results of adverse drug reactions showed that PEG-rhG-CSF was well tolerated compared with rhG-CSF (P = 0.0477). The incidence of grade 3/4 adverse drug reactions was observed in three patients in the PEG-rhG-CSF 100 µg/kg group, two patients in the PEG-rhG-CSF 6 mg group, and 8 patients in the rhG-CSF group. With respect to adverse events, most of them were attributable to complications of myelosuppressive chemotherapy, were of mild or moderate intensity, and showed no significant difference. Of SAEs over all cycles, nine patients in the PEG-rhG-CSF 100 µg/kg group, three patients in the PEG-rhG-CSF 6 mg group, and 12 patients in the rhG-CSF 5 µg/kg/day group experienced severe neutropenia. Three patients in the PEG-rhG-CSF 100 µg/kg group experienced asthma recurrence, hemorrhoidal hemorrhage, and thymosin-induced anaphylaxis, respectively. Of these SAEs, a total of 26 events had an unrelated or unlikely relationship to PEG-rhG-CSF or rhG-CSF, and 1 was considered unassessable. Moreover, in accordance with the low immunogenic potential of rhG-CSF, immunogenic response to PEG-rhG-CSF assessed showed no increased risk of developing anti-PEG-rhG-CSF antibodies.

Additionally, PFS was explored between the PEG-rhG-CSF and rhG-CSF groups. The survival lines of PEG-rhG-CSF and rhG-CSF intersected at the beginning and gradually separated with an increasing difference, indicating that metastatic patients preferred PEG-rhG-CSF to rhG-CSF despite no significance observed by Kaplan-Meier analysis (n = 49, P = 0.153). G-CSF has been associated with multiple immune effects, including the stimulation of neutrophilmediated cytotoxicity of lymphoma cells. Neutrophils have been described as potent cytotoxic effectors, able to produce many cytotoxic molecules, and exert direct tumoricidal activity [20, 21]. Paradoxically, high doses of G-CSF might induce immune suppression. The immune boosting phenomenon appears to be dose dependent and occurs preferably at lower doses of G-CSF. PEG-rhG-GSF, a long-acting form of G-CSF, observed of lower incidence and shorter duration of grade 3/4 neutropenia over multiple cycles of chemotherapy, presumed constant and mild stimulation of neutrophils and neutrophil precursors in bone marrow and/or blood, may manipulate immunological response in a positive way and thus prolong PFS in breast cancer patients. However, this study was neither designed nor powered to assess PFS, and the number of PFS events was limited. Further evaluation involving more metastatic patients with longer follow-up will be needed.

In conclusion, compared with rhG-CSF, PEG-rhG-CSF is a more convenient and safe formulation and a more effective prophylactic measure in breast cancer patients receiving multiple cycles of chemotherapy.

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# **Compliance with ethical standards**

Conflict of interest No conflicts of interest were disclosed.

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Jie Xie<sup>1,2</sup> · Jun Cao<sup>1,2</sup> · Jing-fen Wang<sup>3</sup> · Bai-hong Zhang<sup>4</sup> · Xiao-hua Zeng<sup>5</sup> · Hong Zheng<sup>5</sup> · Yang Zhang<sup>6</sup> · Li Cai<sup>7</sup> · Yu-dong Wu<sup>8</sup> · Qiang Yao<sup>9</sup> · Xiao-chun Zhao<sup>10</sup> · Wei-dong Mao<sup>11</sup> · Ai-Mei Jiang<sup>12</sup> · Shao-shui Chen<sup>13</sup> · Shun-e Yang<sup>14</sup> · Shu-sen Wang<sup>15</sup> · Jian-hong Wang<sup>16</sup> · Yue-yin Pan<sup>17</sup> · Bi-yong Ren<sup>18</sup> · Yan-ju Chen<sup>19</sup> · Li-zhi Ouyang<sup>20</sup> · Kai-jian Lei<sup>21</sup> · Jing-hua Gao<sup>22</sup> · Wen-he Huang<sup>23</sup> · Zhan Huang<sup>24</sup> · Tao Shou<sup>25</sup> · Yan-ling He<sup>26</sup> · Jing Cheng<sup>27</sup> · Yang Sun<sup>28</sup> · Wei-ming Li<sup>29</sup> · Shu-de Cui<sup>30</sup> · Xin Wang<sup>31</sup> · Zhi-guo Rao<sup>32</sup> · Hu Ma<sup>33</sup> · Wei Liu<sup>34</sup> · Xue-yong Wu<sup>35</sup> · Wei-xi Shen<sup>36</sup> · Fei-lin Cao<sup>37</sup> · Ze-min Xiao<sup>38</sup> · Biao Wu<sup>39</sup> · Shu-yan Tian<sup>40</sup> · Dong Meng<sup>41</sup> · Peng Shen<sup>42</sup> · Bi-yun Wang<sup>1,2</sup> · Zhonghua Wang<sup>1,2</sup> · Jian Zhang<sup>1,2</sup> · Leiping Wang<sup>1,2</sup> · Xi-chun Hu<sup>1,2</sup>

- <sup>1</sup> Department of Medical Oncology, Fudan University Shanghai Cancer Center, 270 Dong'an Road, Shanghai 200032, China
- <sup>2</sup> Department of Oncology, Shanghai Medical College, Fudan University, 130 Dong'an Road, Shanghai 200032, China
- <sup>3</sup> Linyi Tumor Hospital, Linyi 276001, China
- <sup>4</sup> Lanzhou Military General Hospital of People's Liberation Army, Gansu Lanzhou 730050, China
- <sup>5</sup> Chongqing Cancer Hospital, Chongqing 400030, China
- <sup>6</sup> Liaocheng People's Hospital, Liaocheng 252000, China
- <sup>7</sup> The Affiliated Tumor Hospital of Harbin Medical University, Harbin 150081, China
- <sup>8</sup> Jiangxi Cancer Hospital, Nanchang 330029, China
- <sup>9</sup> Tianjin People's Hospital, Tianjin 300121, China
- <sup>10</sup> The First Affiliated Hospital Of University Of South China, Hengyang 421001, China
- <sup>11</sup> The Affiliated Jiangyin Hospital of Southeast University Medical College, Jiangyin 214400, China
- <sup>12</sup> First Affiliated Hospital of Kunming Medical University, Kunming 650032, China
- <sup>13</sup> Binzhou Medical School Affiliated Hospital, Binzhou 256603, China
- <sup>14</sup> Tumour Hospital Affiliated To Xinjiang Medical University, Urumqi 830000, China
- <sup>15</sup> Sun Yat-sen University Cancer Center, Guangzhou 510060, China
- <sup>16</sup> Nantong Tumor Hospital, Nantong 226361, China
- <sup>17</sup> The First Affiliated Hospital Of Anhui Medical University, Hefei 230022, China
- <sup>18</sup> Chongqing Three Gorges Central Hospital, Chongqing 404000, China
- <sup>19</sup> Hainan General Hospital, Haikou 570311, China
- <sup>20</sup> Hunan Cancer Hospital, Changsha 410006, China
- <sup>21</sup> The Second People's Hospital of Yibin, Yibin 644000, China
- <sup>22</sup> Cangzhou Central Hospital, Cangzhou 061001, China

- <sup>23</sup> Cancer Hospital of Shantou University Medical College, Shantou 515000, China
- <sup>24</sup> Yue Bei People's Hospital, Shaoguan 512025, China
- <sup>25</sup> The First People's Hospital of Yunnan Province, Kunming 650032, China
- <sup>26</sup> Peking University Shenzhen Hospital, Shenzhen 518036, China
- <sup>27</sup> Huazhong University of Science and Technology Wuhan Union Hospital, Wuhan 430022, China
- <sup>28</sup> People's Hospital of Sanya, Sanya 572000, China
- <sup>29</sup> The Third Affiliated Hospital of Guangzhou Medical University, Guangzhou 510150, China
- <sup>30</sup> Henan Cancer Hospital, Zhengzhou 450008, China
- <sup>31</sup> Zhongshan Hospital Affiliated To Xiamen University, Xiamen 361004, China
- <sup>32</sup> Wuhan General Hospital of Guangzhou Military, Wuhan 430070, China
- <sup>33</sup> Affiliated Hospital of Zunyi Medical College, Zunyi 563000, China
- <sup>34</sup> Affiliated Hospital of Beihua University, Jilin 132011, China
- <sup>35</sup> Jing'an District Centre Hospital of Shanghai, Shanghai 200040, China
- <sup>36</sup> Shenzhen People's Hospital, Shenzhen 518020, China
- <sup>37</sup> Taizhou Hospital of Zhejiang Province, Taizhou 317000, China
- <sup>38</sup> The First People's Hospital of Changde City, Changde 415003, China
- <sup>39</sup> The First Affiliated Hospital of Nanchang University, Nanchang 330006, China
- <sup>40</sup> The Centre Hospital of Siping City, Siping 136000, China
- <sup>41</sup> Wu Xi No.4 People's Hospital, Wuxi 214000, China
- <sup>42</sup> The First Affiliated Hospital Of Zhejiang University, Hangzhou 310003, China