CLINICAL TRIAL

ANG1005 for breast cancer brain metastases: correlation between ¹⁸F-FLT–PET after first cycle and MRI in response assessment

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Abstract

Purpose Improved therapies and imaging modalities are needed for the treatment of breast cancer brain metastases (BCBM). ANG1005 is a drug conjugate consisting of paclitaxel covalently linked to Angiopep-2, designed to cross the blood–brain barrier. We conducted a biomarker substudy to evaluate 18 F-FLT–PET for response assessment.

Methods Ten patients with measurable BCBM received ANG1005 at a dose of 550 mg/m² IV every 21 days. Before and after cycle 1, patients underwent PET imaging with 18 F-FLT, a thymidine analog, retention of which reflects cellular proliferation, for comparison with gadolinium-contrast magnetic resonance imaging (Gd-MRI) in brain metastases

detection and response assessment. A 20 % change in uptake after one cycle of ANG1005 was deemed significant.

Results Thirty-two target and twenty non-target metastatic brain lesions were analyzed. The median tumor reduction by MRI after cycle 1 was -17.5% ($n = 10$ patients, lower, upper quartiles: $-25.5, -4.8$ %) in target lesion size compared with baseline. Fifteen of twenty-nine target lesions (52 %) and 12/20 nontarget lesions (60 %) showed a \geq 20 % decrease post-therapy in FLT–PET SUV change (odds ratio 0.71, 95 % CI: 0.19, 2.61). The median percentage change in SUV_{max} was -20.9% ($n = 29$ lesions; lower, upper quartiles: -42.4 , 2.0%), and the median percentage change in SUV₈₀ was also -20.9 % (n = 29; lower, upper quartiles: -49.0 , 0.0%). Two patients had

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confirmed partial responses by PET and MRI lasting 6 and 18 cycles, respectively. Seven patients had stable disease, receiving a median of six cycles.

Conclusions ANG1005 warrants further study in BCBM. Results demonstrated a moderately strong association between MRI and 18 F-FLT–PET imaging.

Keywords Breast cancer brain metastases - ANG1005 - 18F-FLT–PET - MRI brain

Introduction

Approximately 10–30 % of patients with breast cancer develop brain metastases, which are associated with the shortest median survival compared to other sites of metastatic spread [\[1](#page-7-0)]. Management of these patients is challenging; the main issues are selective permeability of chemotherapy and targeted therapies across the blood– brain barrier (BBB) and resistance to standard treatments. Additionally, as traditional imaging modalities have limitations in assessing response to treatment [\[2](#page-7-0)], both novel treatments and imaging techniques are urgently needed.

ANG1005 (GRN1005) is a Cremophor-free peptide–drug conjugate consisting of three molecules of paclitaxel, covalently linked to a peptide vector, Angiopep-2 [[3,](#page-7-0) [4](#page-7-0)], which was designed to cross the BBB and enter tumor cells via low-density lipoprotein receptor-related protein-1 (LRP-1)-mediated transcytosis [[5–7\]](#page-7-0). ANG1005 is activated in tumor cells following cleavage by intracellular esterases, releasing conjugated paclitaxel from the Angiopep-2 peptide backbone. Paclitaxel can then bind tubulin and function to stabilize microtubules [\[3](#page-7-0)]. The activity of ANG1005 on brain tumors was evaluated using intracerebral human tumor models in nude mice; preclinical studies showed that the brain's uptake of ANG1005 was approximately 86-fold greater than paclitaxel [[3,](#page-7-0) [8](#page-7-0)]. Regina et al. demonstrated antineoplastic potency for ANG1005 similar to that of paclitaxel against human cancer cell lines, and a more potent inhibition of intracerebral human tumor xenografts in murine models than paclitaxel [[3\]](#page-7-0). Further, data from multi-center phase I trials showed ANG1005 was associated with manageable toxicity and activity in patients with brain metastases from advanced solid tumors and recurrent malignant gliomas [\[4](#page-7-0), [9\]](#page-7-0), leading the way for phase II studies in patients with breast cancer brain metastases (BCBM).

Magnetic resonance imaging (MRI) is the gold standard in the assessment and monitoring of patients with brain metastases [[10\]](#page-7-0). While gadolinium (Gd)-enhanced MRI scans demarcate individual tumors and their surrounding anatomy, these studies are limited in that gadolinium enhancement of brain tumors mainly reflects impairment, or leakiness, of the BBB, and interventions that affect BBB permeability alter gadolinium enhancement [\[11](#page-7-0)]. Positron emission tomography (PET) is a functional imaging modality that uses radioactive tracers to provide information relevant to different cellular and molecular events [\[12](#page-7-0)]. $3'$ -deoxy-3'-18F fluorothymidine (18 F-FLT) is a thymidine analog that acts as a chain terminator in the synthesis of DNA; its retention reflects DNA synthesis [\[13](#page-7-0)]. It has been studied as a radiolabeled imaging probe for the assessment of cellular proliferation in malignant tumors $[12]$ $[12]$. In general, ¹⁸F-FLT appears to offer little benefit over standard 18F-FDG for diagnosis and staging of different cancers $[14–21]$ $[14–21]$ $[14–21]$, but appears to be more sensitive than 18 F-FDG in detecting central nervous system (CNS) tumors [\[22](#page-8-0), [23](#page-8-0)]. A study in 25 patients with newly diagnosed or recurrent gliomas showed that ¹⁸F-FLT was more sensitive than ¹⁸F-FDG for detection of high-grade tumors, and this finding was associated with a higher correlation between tumor uptake and Ki-67 index for 18 F-FLT than for 18 F–FDG [\[24](#page-8-0)].

The National Cancer Institute (NCI) participated in a phase II multi-center trial to assess the efficacy of ANG1005 in the treatment of BCBM. As 18 F-FLT–PET has shown utility in assessing treatment response in breast cancer patients [\[25](#page-8-0), [26](#page-8-0)], we conducted an imaging substudy alongside the ongoing multiple-cycle efficacy phase II study.

Methods

Patients

Eligible patients had to have histologically or cytologically confirmed breast cancer with known hormone receptor (HR) and HER2 status (HER2-positive tumors were defined as having an immunohistochemistry score of $3+$ or evidence of gene amplification according to FISH). Patients had to have at least one radiologically confirmed and metastatic brain lesion $(>1.0$ cm in longest diameter by Gd-MRI of brain) that had not undergone radiosurgery. Prior whole-brain radiotherapy (WBRT) was allowed, if [28 days prior to study enrollment. Corticosteroids and anticonvulsants (not enzyme-inducing antiepileptic drugs), if required, had to be at stable doses for >5 days before baseline Gd-MRI brain and \geq 5 days prior to the first dose of ANG1005. Patients with HER2-positive disease already on trastuzumab were recommended to continue the same, provided standard of care criteria were met regarding adequate left ventricular ejection fraction (LVEF) [\[27](#page-8-0)]. Exclusion criteria included grade ≥ 2 neuropathy, CNS disease requiring immediate neurosurgical intervention, and known leptomeningeal disease. The NCI Clinical

Cancer Research Institutional Review Board approved the study protocol.

Study design

This was a single institution first-cycle imaging substudy conducted as part of a phase II, multi-center, open-label trial of ANG1005 alone or in combination with trastuzumab in patients with BCBM (NCT01480583). Our primary objective was to determine whether one cycle of ANG1005 therapy is associated with a significant change in 18 FLT– PET uptake. Secondary objectives included determining whether percentage change in 18 FLT–PET/CT uptake after 1 cycle of ANG1005 is correlated with intracranial tumor response on MRI.

Administration of study treatment

Patients received ANG1005 therapy at a dose of 550 mg/m² IV every 21 days until intracranial disease progression or unacceptable toxicity. Premedication was not required. Six mg of Neulasta subcutaneously was administered 24 h after each infusion of ANG1005 to all the patients. Adverse events were recorded every 3 weeks and graded according to the NCI Common Toxicity Criteria for Adverse Events (CTCAE), version 4.0.

Efficacy assessments

Patients had to have measurable disease present at baseline, have received at least one cycle of therapy, and have had their disease re-evaluated before they could be considered evaluable for response. This was determined using Response Evaluation Criteria in Solid Tumors (RECIST) v1.1 for peripheral disease [[28\]](#page-8-0), and intracranial disease was assessed using modified RECIST criteria (CNS RECIST v1.1) [[28\]](#page-8-0). MRI images were centrally reviewed and lesions measured by a neuroradiologist (coauthor NP). Patients underwent ¹⁸F-FLT PET/CT imaging before and after the first cycle of therapy of ANG1005. Dynamic 3D PET emission brain imaging was performed over 30 min, and then a static whole-body PET scan at 1 h post-injection was conducted. With regards to the ¹⁸F-FLT PET/CT scan, volumes of interest were drawn in target brain metastases. The maximum pixel value was taken as the maximum standard uptake value, or SUV_{max} , and the tumor-to-normal (T:N) ratio was calculated. The SUV_{80} was also determined, the 80 % threshold reflecting the average value of the maximum 20 % pixels. The percentage change before and after cycle 1 was calculated, with a 20 % change considered to be significant. As the historical intracranial overall response rate (ORR) in the target population was assumed to be $\langle 10 \, \%$, a response rate of \geq 20 % would indicate that GRN1005 has clinically meaningful activity in this patient population. Changes in FLT–PET were assessed after the first cycle of therapy. Patients underwent MRI brain scans after every two cycles of therapy until disease progression or withdrawal from study. Results from the Phase II study, which enrolled 61 patients, will be reported elsewhere.

Statistical analysis

The primary endpoint on which the sample size for this portion of the study was based on was to determine if there was a change in the FLT–PET uptake as measured by SUV after ANG1005 treatment compared to baseline. We planned to enroll ten patients at our site in order to have 80 % power to detect a one standard deviation change in the level of SUV after treatment compared to before treatment using a 0.05 alpha level two-tailed paired t test. To estimate the degree of correlation between the four FLT–PET variables with the three MRI variables, we performed a Spearman rank correlation analysis on the data; prior to analysis, the median value of each PLT-PET variable was calculated for each of the ten patients (the sample size was 9 or 10 for each estimated correlation coefficient). Strong associations were as follows: $|r| > 0.7$, moderate association: $0.5 \lt |r| \lt 0.7$, moderate to weak association: $0.3 \lt |r| \lt 0.5$, and weak association: $|r| < 0.3$. Confidence limits (95 %) for the correlation coefficients are reported with each Spearman correlation coefficient (r). Correlation analyses were limited to individual patient medians, not lesions, as lesions from the same individual could not be considered independent of one another. However, plots of individual target lesions were made for presentation purposes. All statistical analyses were performed using SAS (R) version 9.3. All other evaluations were performed with exploratory intent and reported as being hypothetical generating in view of the pilot nature of the study.

Results

Patient characteristics

Patient characteristics and details of prior treatment are listed in Table [1.](#page-3-0) Median age for all ten patients was 52.5 years. The median duration since the original diagnosis of brain metastases was 12.5 months (minimum, maximum 0.5–25 months). Patients received a median of 3 prior systemic treatments in the metastatic setting prior to study enrollment (minimum, maximum 1–11). All ten patients (100 %) had prior taxane-based treatment.

Toxicity and dose intensity

ANG1005 \pm trastuzumab was generally well tolerated. All ten patients (100 %) had hematological toxicity. Six of these patients had grade \geq 3 neutropenia. Four patients (40 %) had grade >3 lymphopenia, and two patients (20 %) had grade \geq 3 thrombocytopenia. Regarding nonhematologic adverse events, the most common grade 1 and 2 adverse events were fatigue (40 %), alopecia (30 %), vomiting (20%) , rash (20%) , and nausea (20%) . Grade $>$ 3 adverse events included vomiting (20 %), febrile neutropenia (20%) , nausea (10%) , diarrhea (10%) , and fatigue (10%) .

Table 1 Demographics and prior therapy use

Results by MRI

Tumor reductions as defined by MRI using the modified response evaluation criteria in solid tumors (RECIST) for the central nervous system (CNS) had a median decrease of 17.5 % ($n = 10$ patients; lower, upper quartiles: -25 , -4.8%) in lesion size compared to baseline (Table [2](#page-4-0)). Two patients had confirmed partial responses (PR) lasting 6 and 18 cycles, respectively. Seven patients had stable disease (SD), receiving a median of six cycles. One patient had progressive disease (PD) after receiving three cycles.

Analysis of intracranial response by FLT–PET

Target lesions chosen by MRI evaluation were then reviewed for FLT–PET quantitation; additional clearly visible lesions were considered nontarget lesions, and SUV for those lesions were interpreted separately. Of the 32 target (T) and 20 nontarget (NT) metastatic brain lesions measured by MRI, 29 T and 20 NT were measured by FLT–PET. At 30 min, the SUV_{MAX} ranged from 0.8 to 6.3

HER2 human epidermal growth factor receptor 2, ER estrogen receptor, PR progesterone receptor, WBRT whole-brain radiotherapy, SRS stereotactic radiosurgery

Table 2 Individual patients' response to treatment

Pt patient, # number, ER estrogen receptor, PR progesterone receptor, HER2 human epidermal growth factor receptor 2, SUV standard uptake volume, SD stable disease, PR partial response, PD progressive disease, T target, NT nontarget, NA unable to assess, number of lesions (n)

at baseline, mean 3.0. In total, 15/29 target lesions and 12/20 nontarget lesions showed a >20 % decrease posttherapy (odds ratio 0.71; 95 % CI 0.19, 2.61). Figure [1](#page-5-0) depicts a waterfall plot for the best response for each patient and for percent reduction of the individual target $(n = 29)$ lesions. The median percentage change in SUV_{max} was -20.9% (n = 29 lesions; lower, upper quartiles: -42.4 , 2.0%), and for SUV_{80} was also -20.9 % (n = 29; lower, upper quartiles: -49.0 , 0.0 %). The tumor-to-normal $(T:N)$ ratios ranged from 3.3 to 45.1, (mean 15.0) at baseline and after one cycle; the median percent change in T:N was -21.3% ($n = 29$; lower, upper quartiles: $-23.8, -9.9\%$).

Association between MRI and FLT–PET imaging

There was a moderately strong association (Spearman $r > 0.7$) between MRI and FLT–PET imaging based on percent change in SUV_{MAX} between baseline and post cycle 1 (Table [3](#page-5-0)). The additional FLT–PET parameters were at least moderately associated with each other, as indicated by the similar Spearman r values ($r = 0.63$ or $r = 0.80$) for each association. Figure [1](#page-5-0)a depicts the best MRI response versus percentage change in SUV_{MAX} (same data as in Table [3](#page-5-0)). The percentage change in SUV_{MAX} in measurable individual target lesions appeared to be related to the median of individual lesion maximum response (Fig. [1b](#page-5-0)); however, a Spearman r could not be assigned due to lack of independent samples (29 lesions from 9 patients). The data shown in Fig. [1](#page-5-0) a–b appeared to follow a nonlinear pattern; thus, a locally weighted scatterplot smoothing (LOESS) regression was used to visualize the relationship (Fig. [1c](#page-5-0)), and a linear regression line is shown for comparison purposes. This pattern may be due to a small sample size, particularly as FLT–PET percent changes approach positive territory. There appears to be a linear pattern where both MRI and FLT–PET measured negative percent changes in tumor uptake (indicating tumor shrinkage from one cycle of ANG1005). Figure [2](#page-6-0) depicts baseline MRI scans and 18 FLT PET scans pre- and post one cycle of ANG1005 for patients 5–7. Caution should be used when interpreting these results, as sample size is small.

Discussion

This report shows the impact of ANG1005, an agent with activity in BCBM, on the uptake of radiolabeled fluorothymidine. 18F-FLT–PET was performed at baseline and after one cycle of ANG1005, whereas MRI Brain was performed at baseline and after two cycles of ANG1005. Based on the results, 18 F-FLT–PET may be a useful tool to predict response in this setting, as it appears to correlate well (Spearman $r > 0.7$) with best MRI response and the median percentage change in FLT–PET by patient. These findings are encouraging, given the lack of effective treatments and accurate imaging modalities for patients with BCBM.

Contrast-enhanced MRI detection of brain metastases represents gadolinium leakage through the BBB, as opposed to actual tumor volume [\[29](#page-8-0), [30](#page-8-0)]. Pseudoresponse and pseudoprogression are terms describing inaccurate CNS response assessment, and both have been described with conventional MRI imaging. Pseudoresponse is a phenomenon whereby contrast uptake is reduced due to a reduction in vascular permeability, which is seen in patients on steroids or in patients with glioblastoma multiforme (GBM) treated with antiangiogenic agents [[31\]](#page-8-0). On

Fig. 1 Comparison of MRI and FLT–PET imaging. a Percentage change SUV_{max} versus target lesions only. The 20 % decrease threshold is demarked by a *dashed line*. **b** Median SUV_{MAX} percent change in patients with target lesions $(n = 9)$ versus maximum response (same data as in Table 3); in each pane, the *solid black line* is the linear regression fit, with the dashed lines representing the 95 % confidence interval. c Percentage change median SUV_{MAX} (Target) shown as a waterfall plot (lesions are color-coded by patient to match Fig. 1a). A LOESS (locally weighted scatterplot smoothed) regression (solid blue line) was also applied to the data

the other hand, cytotoxic therapy or radiation therapy can cause cell damage and local inflammation, which may increase vascular permeability, resulting in early increases in contrast enhancement, i.e., pseudoprogression [\[32–34](#page-8-0)]. Therefore, improved strategies are needed to more accurately determine treatment response in this setting [\[25](#page-8-0)].

There is considerable interest for development of 18 F-FLT–PET as a cancer imaging biomarker, especially as it is

SUV standard uptake volume, T target lesion, NT nontarget lesion

Based on patient-specific medians of evaluable target lesions (one patient did not have evaluable target lesions)

becoming more readily available. Therefore, studies focusing on its mechanism of action and potential clinical applications are important. An advantage of 18 F-FLT–PET is that uptake in normal brain parenchyma is low, which allows visualization of brain tumors with high contrast. However, a limitation is that benign lesions disrupting the BBB cannot be distinguished from malignant tumors. Additionally, the extent to which 18 F-FLT–PET correlates with proliferative index in different tumor types is variable, as 18F-FLT–PET cannot discriminate between moderately proliferative tumors driven by thymidine salvage from those dependent on de novo thymidine synthesis. However, ¹⁸F-FLT–PET accurately quantified the proliferation activity of malignant brain tumors in a study of 25 patients. Research is ongoing; NCT02328300 is assessing ¹⁸F-FLT– PET and MRI for the evaluation of pseudoprogression in patients with brain metastases, and NCT01621906 is comparing MRI with 18 F-FLT–PET in patients with BCBM receiving whole-brain radiotherapy (WBRT) \pm the multikinase inhibitor sorafenib. The available evidence suggests that FLT–PET imaging in this setting may

Fig. 2 Responses of individual patients. Baseline MRIs and ¹⁸FLT-PET scans pre and post cycle 1 of ANG1005 are presented for each patient. Patients 5 and 7 had a partial response to treatment, and patient 7 remained on study for 18 cycles. Patient 6 had a mixed response, in that some lesions responded (decreased in size by

improve response assessment [[35,](#page-8-0) [36\]](#page-8-0). Our preliminary evaluation of FLT–PET imaging for CNS disease in BCBM suggests that it is a promising tool that could serve as a complementary assessment method, supporting or clarifying MRI findings. We noted a correlation between FLT–PET change after one cycle and ultimate best response $(r = 0.75)$ after ANG1005. While these results have to be considered preliminary due to the small size (and the very wide confidence interval), they certainly support an expanded look at the imaging technique. Improving our ability to determine who may be benefiting from therapy is a critical piece in improving the study of new agents in this setting, several of which are in clinical development [\[37](#page-8-0)].

Phase I and II clinical studies have demonstrated signs of both CNS and peripheral antitumor activity of ANG1005 in patients with brain metastases from lung and breast cancer [\[4](#page-7-0), [9](#page-7-0), [38\]](#page-8-0). Additionally, ANG1005 received orphan drug designation from the FDA for treatment of GBM in 2014, and for BCBM in March 2015 [[39\]](#page-8-0). In the phase I trial, 5/27

40–50 %) and some progressed (increased in size by 40–50 %). This illustrates how tumor heterogeneity can impact response assessment and treatment benefit. R right, inf inferior, NT nontarget, temp temporal, L left

(18.5 %) patients were noted to have a PR, and $11/27$ (41 %) had SD at doses ≥ 420 mg/m². Our imaging trial was a substudy of a phase II trial conducted by Lin et al., to evaluate the CNS and peripheral antitumor activity of ANG1005 in patients with BCBM [\[38](#page-8-0)]. Safety and tolerability of ANG1005 resembled a taxane profile. In the phase II study, 61 patients were treated. For patients who were treated at the 550 mg/m² dose, best responses in the CNS were as follows: ten patients had a PR (20 $\%$), 31 patients had SD (61 $\%$), and ten patients had disease progression (20 %). The best observed responses for peripheral disease in this patient group were as follows: one patient had a CR (4 %), seven patients had a PR (25 %), 14 patients had SD (50 %), and six patients had disease progression (21 %). At the 650 mg/m² dose, CNS responses were as follows: four patients had a PR (40 %), four patients had SD (40 %), and two patients had PD (20 %). Peripheral response rates for patients treated at this dose were as follows: $PR =$ one patient (25 %), $SD = two$ patients (50 %), and one patient had PD (25 %). Of note, five of the ten patients in our imaging substudy (50 %) had HER2-positive disease, which may be due to selection bias of patients being enrolled.

ANG1005 is an interesting compound in that it delivers a well-understood and effective anticancer agent both to the CNS and systemically. Paclitaxel also combines well with other anticancer agents, in particular DNA-damaging agents. Studies of ANG1005 in combination with other agents will be an important future direction. Tests have shown that patients do not develop antibodies to ANG1005, even after numerous cycles of treatment in some cases, and patients do not require premedication. Neurocognitive toxicities have not been observed, and systemic toxicities are the well-known effects of paclitaxel [\[40](#page-8-0), [41\]](#page-8-0). A phase II, open-label, multi-center study of ANG1005 in breast cancer patients with recurrent brain metastases is currently ongoing, but closed to accrual (NCT02048059); the primary outcome measure is intracranial objective response rate (ORR). The trial planned to enroll 56 evaluable patients, and the expected completion date is October 2016. While further studies with ANG1005 should be conducted, the role of Angiopep-2 should also be further explored, as it is possible that conjugation of anticancer agents with this vector could increase their efficacy in the treatment of brain metastases. In summary, therapy for BCBM is an important unmet need, as is assessment of therapeutic outcome. ANG1005 has activity in BCBM, with a manageable toxicity profile. FLT–PET imaging could potentially represent a complementary assessment method, which could improve MRI evaluation of CNS response. Further studies of ANG1005 are warranted, and combination studies should be developed.

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Author contributions Both Susan E. Bates and Antonio T. Fojo had full access to all of the data in the study and took responsibility for the integrity of the data and the accuracy of the data analysis.

Compliance with ethical standards

Conflict of Interest None of the authors have any relevant disclosures.

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