

The importance of HER2 signaling in the tumor-initiating cell population in aromatase inhibitor-resistant breast cancer

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Abstract Aromatase inhibitors (AIs) are an effective therapy in treating estrogen receptor-positive breast cancer. Nonetheless, a significant percentage of patients either do not respond or become resistant to AIs. Decreased dependence on ER-signaling and increased dependence on growth factor receptor signaling pathways, particularly human epidermal growth factor receptor 2 (EGFR2/HER2), have been implicated in AI resistance. However, the role of growth factor signaling remains unclear. This current study investigates the possibility that signaling either through HER2 alone or through interplay between

epidermal growth factor receptor 1 (EGFR/HER1) and HER2 mediates AI resistance by increasing the tumor initiating cell (TIC) subpopulation in AI-resistant cells via regulation of stem cell markers, such as breast cancer resistance protein (BCRP). TICs and BCRP are both known to be involved in drug resistance. Results from in vitro analyses of AI-resistant versus AI-sensitive cells and HER2-versus HER2+ cells, as well as from in vivo xenograft tumors, indicate that (1) AI-resistant cells over-express both HER2 and BCRP and exhibit increased TIC characteristics compared to AI-sensitive cells; (2) inhibition of HER2 and/or BCRP decrease TIC characteristics in letrozole-resistant cells; and (3) HER2 and its dimerization partner EGFR/HER1 are involved in the regulation of BCRP. Overall, these results suggest that reducing or eliminating the TIC subpopulation with agents that target BCRP, HER2, EGFR/HER1, and/or their downstream kinase pathways could be effective in preventing and/or treating acquired AI resistance.

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Introduction

Inhibition of estrogen synthesis via aromatase inhibitors (AIs, i.e., letrozole, anastrozole, and exemestane) is highly effective in treating estrogen receptor-positive (ER+) breast cancer and preventing contra-lateral breast cancer [1]. A significant percentage of ER+ patients, however, either do not respond to AIs or become resistant to them during treatment [2, 3]. Previous work by our laboratory indicates that acquired AI resistance involves a switch from

the dependence on ER signaling to the dependence on growth factor-mediated pathways, such as human epidermal growth factor receptor 2 (EGFR2)/HER2 and insulin-like growth factor receptor (IGFR) [2–4]. However, the mechanism by which growth factor signaling confers AI resistance remains unclear.

One possibility is that HER2 mediates expansion of the tumor initiating cell (TIC) population, as suggested in previous studies [5, 6]. TICs are a small distinct subset of cells within a heterogeneous tumor which have the ability to initiate and maintain tumor growth and to promote metastasis [7–12]. Pertinent to acquired AI resistance, TICs are known to play a role in radio- and chemo resistance [7–9], as the residual tumor cell population remaining after treatment with conventional chemotherapy or AIs exhibit a high proportion of TICs [7–9, 13–15]. The expansion of TICs or inability to eliminate them may contribute to acquire AI resistance in breast cancer.

HER2 may maintain and/or expand the TIC subpopulation within an AI-resistant tumor by regulating certain TIC effector genes, such as the breast cancer resistance protein (BCRP). BCRP maintains TICs in an undifferentiated state [13, 15]. It is also a membrane-associated transporter protein, the function of which is to efflux out various molecules from the cell, and has been linked to multi-drug chemoresistance of breast cancer cells [13, 15–17]. Furthermore, other studies have shown a correlation between BCRP and HER2 [6, 18–20] and ER α [21, 22]. Thus, the purpose of this current study was to (1) determine if the TIC subpopulation is increased in AI-resistant versus-sensitive cells; (2) analyze the TIC subpopulation in letrozole-resistant cells; (3) investigate the importance of HER2 and BCRP on the TIC phenotype in letrozole-resistant cells; (4) determine whether HER2 regulates BCRP; and (5) determine if EGFR/HER1 is also involved.

Materials and methods

Cell lines and reagents

Cell lines

Cell lines (and their ER/HER2 status) used are listed in Table 1. MCF-7Ca cells are MCF-7 cells stably transfected with the human aromatase gene (supplied by Dr. Chen, City of Hope, Duarte, CA) and maintained in DMEM 1 \times high glucose (Invitrogen) supplemented with 5 % fetal bovine serum (FBS), 1 % penicillin/streptomycin (P–S), and 700 μ g/mL G418. Long-term letrozole-treated (LTLT-Ca) cells are letrozole-resistant cells isolated from MCF-7Ca mouse xenograft tumors treated for 56 weeks with letrozole, and maintained in phenol red-free (PRF)

Table 1 Molecular profile and aromatase inhibitor-sensitivity of cell lines used

Cell Line	ER α status	HER2 status	AI-sensitivity
MCF-7Ca	+	–	Yes
LTLT-Ca	–	+	No (to letrozole)
MCF7	+	–	Yes
MCF7/HER2	+	+	ND
SUM149	–	–	ND
AC1	+	–	Yes
AC1-ExR	+	+	No (to exemestane)

ND not determined

modified IMEM (Invitrogen) supplemented with 5 % charcoal dextran-treated FBS (CDT-FBS), 1 % P–S, 750 μ g/mL G418, and 1 μ M letrozole. MCF-7 cells (ATCC) were maintained in DMEM 1 \times high glucose (Invitrogen) supplemented with 5 % FBS and 1 % P–S. MCF-7/HER2 cells are MCF-7 cells transfected with the HER2 gene (supplied by Ann Hambrugger, University of Maryland Baltimore, UMB) maintained in DMEM 1 \times high glucose (Invitrogen) supplemented with 5 % FBS, 1 % P–S, and 500 μ g/mL hygromycin. AC1 cells are MCF-7 cells stably transfected with the human aromatase maintained in DMEM 1 \times high glucose (Invitrogen) supplemented with 5 % FBS, 1 % P–S, and 800 μ g/mL G418. AC1-exemestane resistant (AC1-ExR) cells are a exemestane-resistant cells isolated from AC1 mouse xenograft tumors treated for \sim 10 weeks with exemestane maintained in PRF modified IMEM (Invitrogen) supplemented with 5 % CDT-FBS, 1 % P–S, 800 μ g/mL G418, and 5 μ M exemestane.

Reagents

The following drugs were used: letrozole (Novartis); lapatinib (GlaxoSmithKline Pharmaceutical); trastuzumab (Genentech); BIBX1382 (Calbiochem); and BCRP inhibitors Ko143 and fumitremorgen C (FTC) were kindly provided by Dr. Douglas Ross (UMB). Use of Ko143 and FTC depended on availability from Dr. Ross' laboratory. The following antibodies were used in western blot analyses: HER2 (EMD Millipore); BCRP (EMD Millipore); ER α (Santa Cruz Biotechnology); and phosphorylated and total ERK1/2 or Akt, phosphorylated and total EGFR/HER1, and β -actin (all obtained from Cell Signaling Technology).

Side population (SP) analysis

SP analysis was performed either by Hoechst 33342 assay [6, 23] or using Vibrant DyeCycle Violet stain (Invitrogen). Briefly, after drug treatment, 1 \times 10⁶ cells/mL were suspended in prewarmed IMEM with 2 % FBS and 10 mM HEPES containing 5 μ g of Hoechst 33342 (Sigma) or 1 μ L

of DyeCycle Violet stock. Cells were incubated at 37 °C for 40 min (Dye Cycle) or 90 min (Hoechst). Cells were acquired using BD-LSRII. Data was analyzed by means of FlowJo software. Cells treated with BCRP inhibitor Ko143 were included in each SP analysis set as a control to demonstrate inhibition of dye efflux.

Aldehyde dehydrogenase (ALDH) assay

The Aldefluor assay was performed using the Aldefluor Assay kit from Stem Cell Technologies. ALDH stained cells were identified in cells by comparing the same sample with and without the ALDH inhibitor DEAB (diethylaminobenzaldehyde). Cells were acquired using BD LSRII and FACS CANTO. Data were analyzed by means of FlowJo software.

Mammosphere assay

The mammosphere assay was performed using reagents from Stem Cell Technologies according to the manufacturer's instructions. Single cells were suspended in complete MammoCult media as per the manufacturer's instructions and plated in ultra-low-attachment plates (Corning) at a density of 10,000–20,000 cells/mL. Media was replenished every 3 days. Mammospheres were counted after at least 7 days and up to 3–4 weeks. Spheres with a colony count of at least 50 cells were considered mammospheres.

Immunofluorescence (IF)

IF staining for Oct-4 was performed on sorted LTLT-Ca cells kept under non-adherent conditions for 2–3 days prior to cytospinning. Pelleted cells were fixed with 4 % paraformaldehyde and incubated with OCT4 antibody (Santa Cruz Biotechnology) and the corresponding fluorochrome-tagged secondary antibody (Invitrogen). Images were obtained and analyzed by means of Image J software.

RT-PCR

RNA extraction and reverse transcription (RT)

RNA was extracted and purified using the RNeasy Mini Kit (Qiagen). RNA was reverse transcribed to complementary DNA (cDNA) using 200 U of Moloney murine leukemia virus reverse transcriptase (Invitrogen) and incubating at 37 °C for 1 h.

Real-Time PCR

mRNA expression analyses were carried out by real-time PCR using a DNA Opticon system (MJ Research) and using DyNAmo SYBR green qPCR mix (New England

Biolabs). Standard curves were generated by serially diluting the sample expected to have the most amount of PCR product. The yield of product for each unknown sample was calculated by applying its threshold cycle, or C(T), value to the standard curve by means of the Opticon Monitor analysis software (version 1.01, MJ Research). Values were normalized to corresponding 18S rRNA values and expressed as the fold increase relative to controls. Primers for HER2, BCRP, BMI-1, Nanog, and Twist were obtained from Sigma or Qiagen.

Growth and treatment of MCF-7Ca mouse xenograft tumors

All animal studies were performed according to the guidelines and approval of the Animal Care Committee of UMB. MCF-7Ca tumor xenografts of MCF-7Ca cells were grown in ovariectomized athymic nude mice as previously described [24]. Briefly, each mouse received subcutaneous (sc) inoculations in one site per flank of 100 µL of cell suspension containing $\sim 2.5 \times 10^7$ MCF-7Ca cells. All mice were supplemented throughout the experiment with 100 µg/day androstenedione ($\Delta 4A$) sc 5 days/week. $\Delta 4A$ is converted to estrogen by aromatase expressed in MCF-7Ca cells. Tumor growth was monitored weekly and treatments began when the tumors reached $\sim 300 \text{ mm}^3$. Mice were then randomly divided into either control (100 µg/day $\Delta 4A$) or letrozole (100 µg/day $\Delta 4A$ + 10 µg/day letrozole) treatment groups. Letrozole and $\Delta 4A$ were prepared using 0.3 % Hydroxypropylcellulose in saline solution. Mice were injected sc five times weekly with the indicated drugs. Tumors were collected at necropsy either at previously designated times (weeks 4, 8, and 16), or when tumors reached 2,000 mm^3 in size.

Western blot analysis

Cells

Plated cells were washed with ice-cold PBS and then lysed with radioimmunoprecipitation (RIPA) buffer containing protease and phosphatase inhibitors (Roche) by sonication and incubation for 20 min at 4 °C. Lysed samples were centrifuged at 14,000 rpm for 20 min at 4 °C to collect protein lysates (supernatant). 10–40 µg of protein underwent 10 % SDS–polyacrylamide gel electrophoresis and transferred to a polyvinylidene difluoride membrane (Fisher Scientific). The resulting blots were probed with specific mouse or rabbit primary antibodies and either goat anti-mouse or -rabbit secondary antibodies conjugated to horseradish peroxidase (Biorad), respectively. Blots were developed using SuperSignal West Pico Chemiluminescent Substrate (Thermo Scientific). Blots that were to be re-

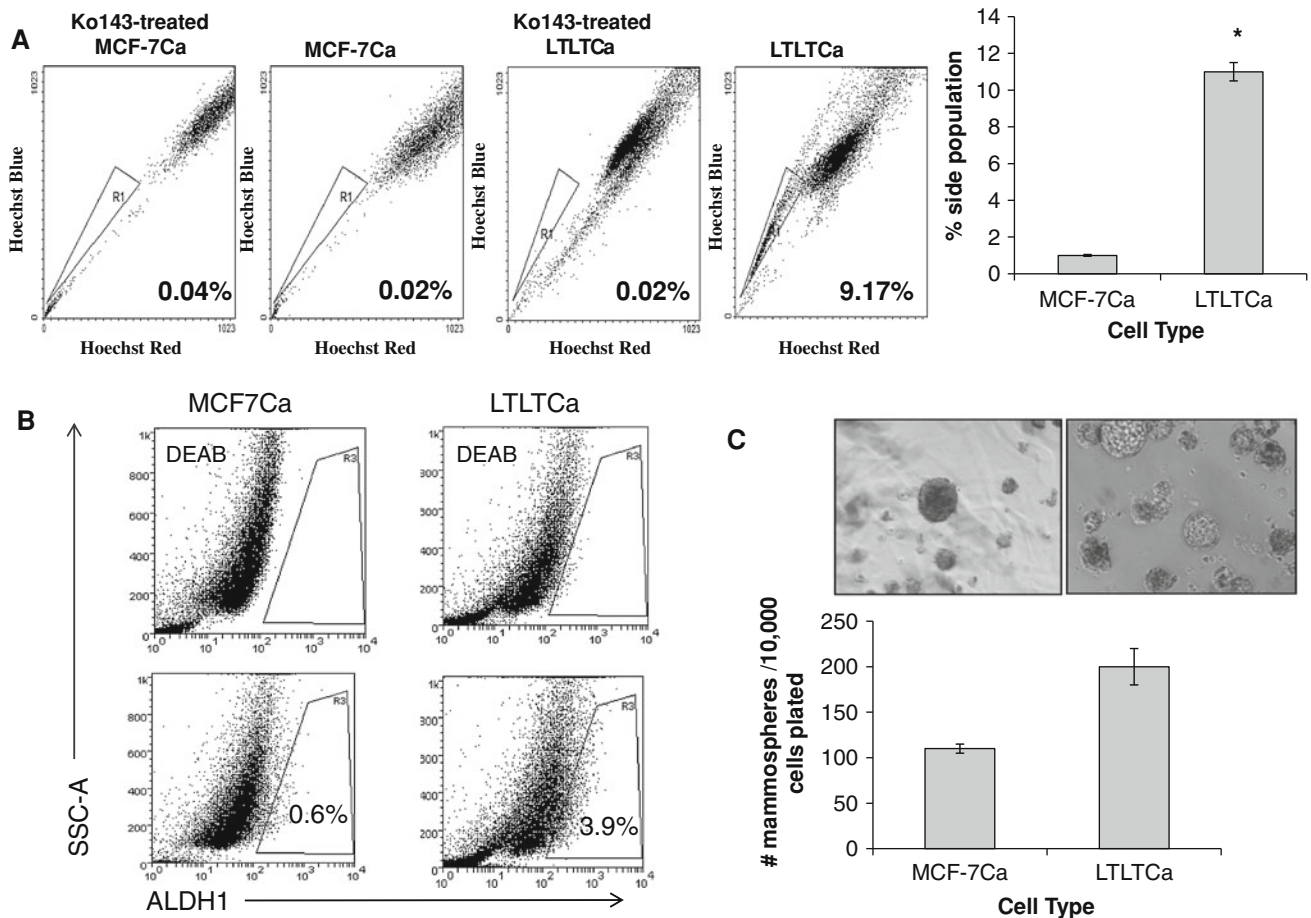


Fig. 1 Analysis of SP and ALDH in MCF-7Ca and LTLT-Ca cells. **a** Percentage of side population (SP) cells were analyzed in MCF-7Ca and LTLT-Ca cells by Hoechst staining and flow cytometry in the absence or presence of BCRP inhibitor Ko143. Plots show representative SP fractions as designated by polygons and quantified as percentages ($n = 3$ independent cell samples/group; $p < 0.01$). **b** Analysis of aldehyde dehydrogenase 1 (ALDH) by measuring the cellular fluorescence of bodipy-aminoacetate (BAAA) in the presence or absence of specific ALDH inhibitor DEAB. Plots show

representative ALDH1-expressing cells, as designated by polygons and quantified as percentages ($n = 3$ independent cell samples/group; $p < 0.05$). **c** MCF-7Ca and LTLT-Ca cells were plated onto ultra-low-attachment plates containing mammosphere culture media. After 8 days in culture, formed mammospheres were imaged (top panel) and counted (bottom panel). Shown are representative images (top panel) and mean mammosphere counts. (Mean \pm SEM, $n = 9$ independent cell samples/group, $p < 0.05$.)

probed were stripped with Restore Western Blot Stripping Buffer (Thermo Scientific) for 40 min at room temperature before incubation with another primary antibody.

MCF-7Ca xenograft tumors

Tumor samples were first homogenized in ice-cold DPBS containing protease inhibitors and centrifuged. The resulting tumor homogenate pellets were lysed with RIPA buffer. Tumor protein lysates were then collected and subjected to the same western blot analyses as cells.

Statistical analysis

All experiments were carried out at least 2–3 times each with replicates. Statistical analyses were performed by

Student's *t* test (two samples), ANOVA (3+ samples) by means of Graph Pad Prism software.

Results

Letrozole-resistant LTLT-Ca cells exhibit higher percentage of TICs compared to letrozole sensitive MCF-7Ca cells

The TIC subpopulation can be distinguished from other cells within a cancer cell population by several characteristics: (1) exclusion of Hoechst dye from cells and identification as “side population” (SP) cells [25–27]; (2) increased ALDH1 expression [28]; (3) ability to form mammospheres in culture [29]; and (4) expression of

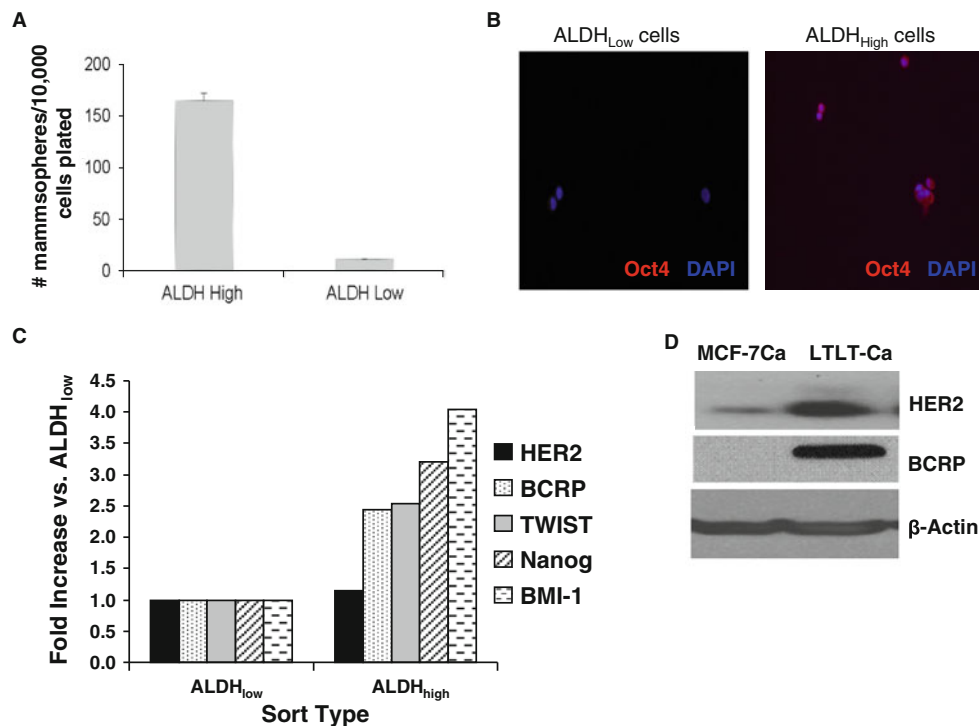


Fig. 2 mRNA expression in ALDH_{low} and ALDH_{high} LTLT-Ca cells and protein expression in LTLT-Ca versus MCF-7Ca cells. **a** LTLT-Ca cells were treated with aldefluor and then ALDH_{low} and ALDH_{high} cells (3–4 % lowest and highest) were sorted by fluorescence-activated cell sorting (FACS). Sorted cells were then plated under ultra-low-attachment conditions at a density of 10,000 cells/well. After ~3 weeks, formed mammospheres were counted. A representative graph of the average number of formed mammospheres ($n = 3$; $p < 0.01$) is shown. **b** ALDH_{low}- and ALDH_{high}-expressing LTLT-Ca cells were subjected to immunofluorescence with Oct-4 antibody (red) and DAPI nuclear stain (blue).

Shown are representative immunofluorescence images. **c** Total mRNA was extracted from ALDH_{low}- and ALDH_{high}-expressing LTLT-Ca cells, and real-time RT-PCR analyses were done for HER2, BCRP, TWIST, Nanog, and BMI-1 mRNA and 18S rRNA. Real-time results are expressed as the fold change in mRNA levels compared with ALDH low after normalization to 18S rRNA (mean \pm SEM, $n = 2$ pooled samples/group). **d** MCF-7Ca and LTLT-Ca cells were plated in their respective passage media. Total protein was extracted and HER2, BCRP, and β -actin protein were analyzed by western blot. Representative blots ($n = 6$ independent cell samples/group) are shown

known stem cell markers, such as BCRP [13, 15, 30], Nanog [31], and BMI-1 [32]. Letrozole-resistant LTLT-Ca, derived from long-term letrozole treated MCF-7Ca xenograft tumors, and letrozole-sensitive parental MCF-7Ca cells were, therefore, compared to each other based on these TIC parameters. LTLT-Ca cells were found to have higher percentage of SP cells (9.17 ± 2.0 % LTLT-Ca vs. 0.02 ± 0.01 % MCF-7Ca, $p < 0.01$) and ALDH-expressing cells (3.9 % LTLT-Ca vs. 0.6 % MCF-7Ca, $p < 0.01$) than MCF-7Ca cells (Fig. 1a, b). LTLT-Ca cells also formed significantly more mammospheres in culture than MCF-7Ca cells (Fig. 1c, $p < 0.05$). Thus, letrozole-resistant LTLT-Ca cells express more TIC characteristics than parental, letrozole-sensitive MCF-7Ca cells.

The TIC subpopulation in LTLT-Ca cells was further analyzed through isolation of the highest and lowest 3–4 % ALDH1-expressing cells (Fig. 2). These were designated as ALDH_{high} (i.e., TICs) and ALDH_{low} cells, respectively, and their ALDH expression levels were confirmed by immunofluorescence (data not shown). These

subpopulations were then compared for their ability to form mammospheres and their expression of known stem cell markers and HER2. As expected, ALDH_{high} cells formed significantly more mammospheres (164.7 ± 7.5 vs. 11 ± 1 , $p < 0.05$; Fig. 2a) and they expressed higher levels of stem cell markers OCT-4 (as shown by immunofluorescence, Fig. 2b), BCRP, BMI-1, and Nanog (>2.5 – >3.3 -fold as shown by RT-PCR, Fig. 2c) compared to ALDH_{low} cells. In addition, ALDH_{high} cells expressed higher mRNA levels of TWIST, an epithelial–mesenchymal transition marker and transcription factor known to regulate BMI-1 and of HER2 (>1.3 and >2.5 -fold vs. ALDH_{low}, Fig. 2c). HER2 overexpression in ALDH_{high} versus ALDH_{low} cells was additionally confirmed by flow cytometry (data not shown). Both HER2 and BCRP proteins were also increased in LTLT-Ca cells when compared overall to MCF-7Ca cells (Fig. 2d). These results demonstrate that the TIC population can be isolated from LTLT-Ca cells and that they express factors known to be involved in their regulation.

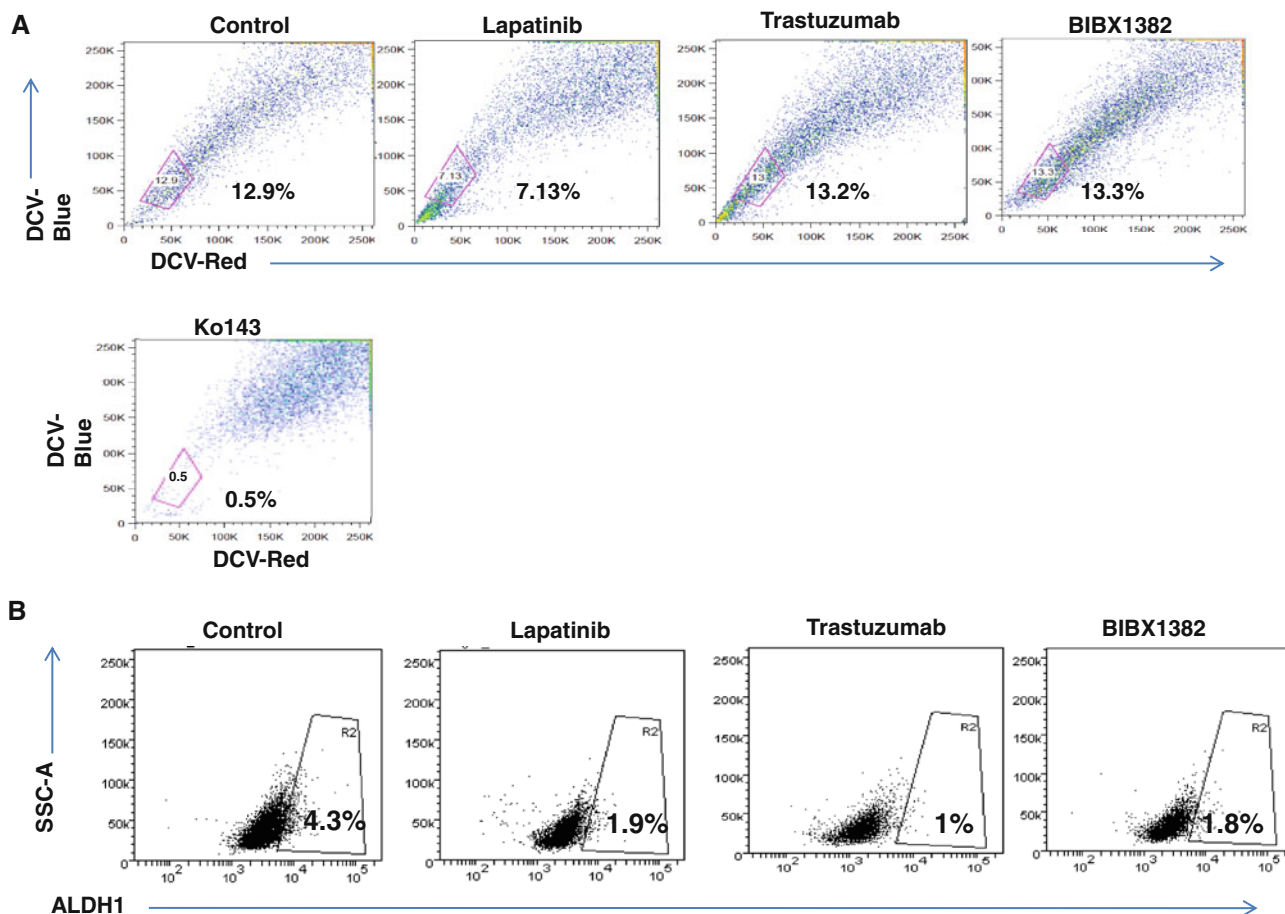


Fig. 3 Effect of HER2, BCRP, and/or EGFR inhibitors on percentage of side population (SP) cells and ALDH-expressing LTLT-Ca cells. **a** Percentage of side population (SP) cells were analyzed in MCF-7Ca and LTLT-Ca cells by Hoechst staining by flow cytometry after 48 h treatment with vehicle (*control*), 1 μ M Ko143, 1 μ M lapatinib, 500 μ g/mL trastuzumab, or 10 nM BIBX 1382. Plots show representative SP fractions, as designated by *polygons* and quantified as

percentages ($n = 3$ independent cell samples/group; $p < 0.01$). **b** Analysis of aldehyde dehydrogenase 1 (ALDH) by measuring the cellular fluorescence of bodipy-aminoacetate (BAAA) after 48 h treatment with vehicle (*control*), 1 μ M lapatinib, 500 μ g/mL trastuzumab, or 100 nM BIBX 1382. Plots show representative ALDH expressing cells, as designated by *polygons* and quantified as percentages ($n = 3$ independent cell samples/group; $p < 0.05$)

EGFR/HER1, HER2, and BCRP are involved in regulating the TIC phenotype in LTLT-Ca cells

HER2 and BCRP are examples of TIC-regulating factors [9, 13, 15, 18, 30, 33, 34]. To assess the importance of HER2 in regulating the TIC phenotype, the effects of HER2 inhibitors lapatinib (a EGFR/HER1-HER2 tyrosine kinase inhibitor), and trastuzumab (a HER2-specific monoclonal antibody) [35] were determined. Lapatinib treatment, in particular, has been previously shown to reduce TIC characteristics in breast cancer cells obtained from patients [9]. Since HER2 can be ligand-dependently activated through dimerization with EGFR/HER1 [36], and lapatinib can inhibit both HER2 and EGFR/HER1, the effect of highly specific and potent (at nM concentrations) EGFR/HER1 kinase inhibitor BIBX1382 [37] was also analyzed. The percentage of SP cells in LTLT-

Ca remained unchanged by HER2-specific trastuzumab or EGFR/HER1-specific BIBX1382, but was decreased by dual inhibitor lapatinib (7.3 vs. 12.9 % control, Fig. 3a). ALDH1 expression, however, was decreased in LTLT-Ca cells by lapatinib, trastuzumab, and BIBX1382 (1.87, 0.99, and 1.8 vs. 4.34 % of vehicle-treated, respectively; Fig. 3b). Finally, 48 h treatment with either lapatinib or trastuzumab before plating in ultra-low-attachment conditions significantly decreased LTLT-Ca mammosphere formation ($p < 0.05$; Fig. 4), with greater effects observed in lapatinib-treated cells. The inhibitory effects of these drugs on TIC characteristics was not due to induction of cell death in the overall LTLT-Ca cell population, as trypan blue cell viability assays showed no significant differences between vehicle- and drug-treated cells within the same 48 h time frame (data not shown).

BCRP's importance was similarly assessed using specific and potent BCRP inhibitors FTC or FTC's less toxic, more potent analog Ko143 [38]. Consistent with studies in other breast cancer cell lines [6] and with BCRP's known function as a membrane-associated transporter protein that effluxes from cells a variety of molecules (i.e., Hoechst dye and chemotherapeutic drugs) [16], Ko143 treatment significantly reduced the percentage of SP cells (0.02 % Ko143 vs. 9.17 % in Fig. 1a; 0.24 % Ko143 vs. 7.91 % vehicle in Fig. 3a). Both FTC and Ko143 significantly decreased mammosphere formation by ~75 % compared to vehicle-treated LTLT-Ca cells ($p < 0.05$, Fig. 4). Similar reduction in mammosphere formation was observed after treatment of LTLT-Ca cells with BCRP siRNA to reduce BCRP expression (Fig. 5). Neither Ko143 nor FTC had an effect on ALDH1 expression (data not shown). Overall, these results demonstrate that both HER2 and BCRP are involved in regulating the TIC phenotype of LTLT-Ca cells. BCRP is important in SP and mammosphere formation, whereas HER2 is required for ALDH1, SP, and mammosphere formation in LTLT-Ca cells. These results indicate that EGFR/HER1 may also have a role in TICs.

EGFR/HER1 and HER2 are involved in regulation of BCRP

Since HER2 and BCRP are both overexpressed in LTLT-Ca cells (Fig. 2) and are important in the TIC phenotype (Figs. 3, 4), another objective of this study was to determine whether HER2 regulates BCRP expression in LTLT-Ca cells and whether EGFR/HER1 was also involved. The role of HER2 is supported by several pieces of evidence.

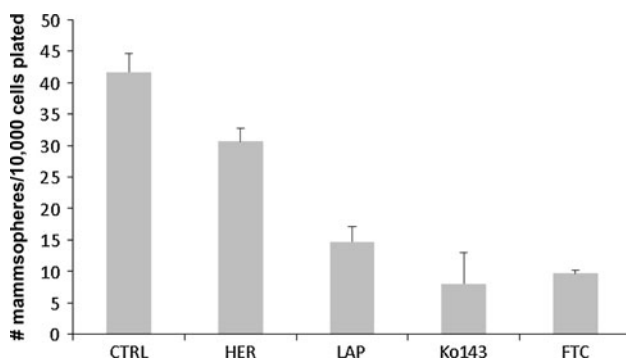


Fig. 4 Effect of HER2, BCRP, and/or EGFR inhibitors on mammosphere formation in LTLT-Ca cells. After 48 h treatment with either vehicle (*control*), HER2 inhibitors (1 μ M lapatinib or 500 μ g/mL trastuzumab), or BCRP inhibitors (1 μ M of either Ko143 or FTC), LTLT-Ca cells were plated onto ultra-low-attachment plates containing mammosphere culture media. After 14 days in culture, formed mammospheres were imaged and counted. The mean mammosphere counts. (mean \pm SEM, $n = 3$ independent cell samples/group, $p < 0.01$.) are shown

First, other HER2-expressing cell lines also had elevated BCRP levels. HER2-transfected MCF-7 (MCF-7/HER2) cells overexpressed BCRP protein compared to HER2-/low expressing cells (i.e., MCF-7 and SUM149), and at comparable levels to LTLT-Ca cells (Fig. 6a). In addition, compared to exemestane-sensitive, HER2-, parental AC1 cells, another AI (exemestane)-resistant cell line (AC1-ExR) had elevated levels of both HER2 and BCRP protein (Fig. 6b) and increased mammosphere formation (Fig. 6c). Even though ER α is also known to regulate BCRP [22], BCRP protein was elevated in both ER α -/HER2 + LTLT-Ca cells and in ER α +/HER2 + MCF-7/HER2 and AC1-ExR cells (Fig. 6a, b). It was also elevated in cell lines with (AC1-ExR cells) or without (LTLT-Ca) increased EGFR/HER1 phosphorylation compared to their parental cell lines (Fig. 6a, b). Thus, upregulation of BCRP occurs independently of ER α expression and EGFR/HER1 activation.

Second, inhibition of HER2 signaling decreased BCRP expression. LTLT-Ca cells were treated for 24 h with lapatinib alone, trastuzumab alone, BIBX1382 alone, or

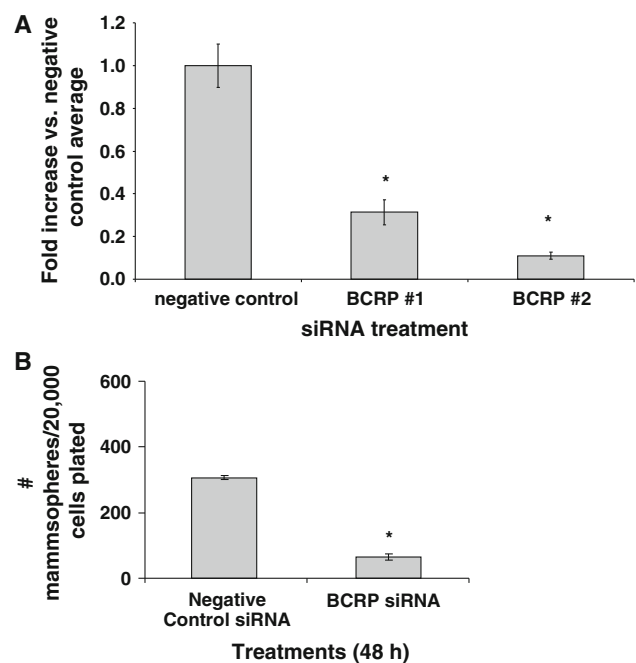


Fig. 5 Effect of BCRP siRNA on BCRP mRNA expression and mammosphere formation in LTLT-Ca cells. **a** LTLT-Ca cells were plated in passage media and then treated with two siRNAs for BCRP for 48 h. Total mRNA was extracted and BCRP mRNA and 18S rRNA were analyzed by real-time RT-PCR. Real-time results are expressed as the fold change in mRNA levels compared with negative control after normalization to 18S rRNA (mean \pm SEM, $n = 6$ samples/group; *asterisk* vs. vehicle, $p < 0.01$). **b** LTLT-Ca cells were plated in passage media and then treated with negative control siRNA or BCRP siRNA for 48 h. Cells were then collected and resuspended in mammosphere media on low-attachment cell culture wells. Results are expressed as number of mammospheres counted per 40,000 cells plated (mean \pm SEM, $n = 6$ samples/group; *asterisk* vs. negative control, $p < 0.01$)

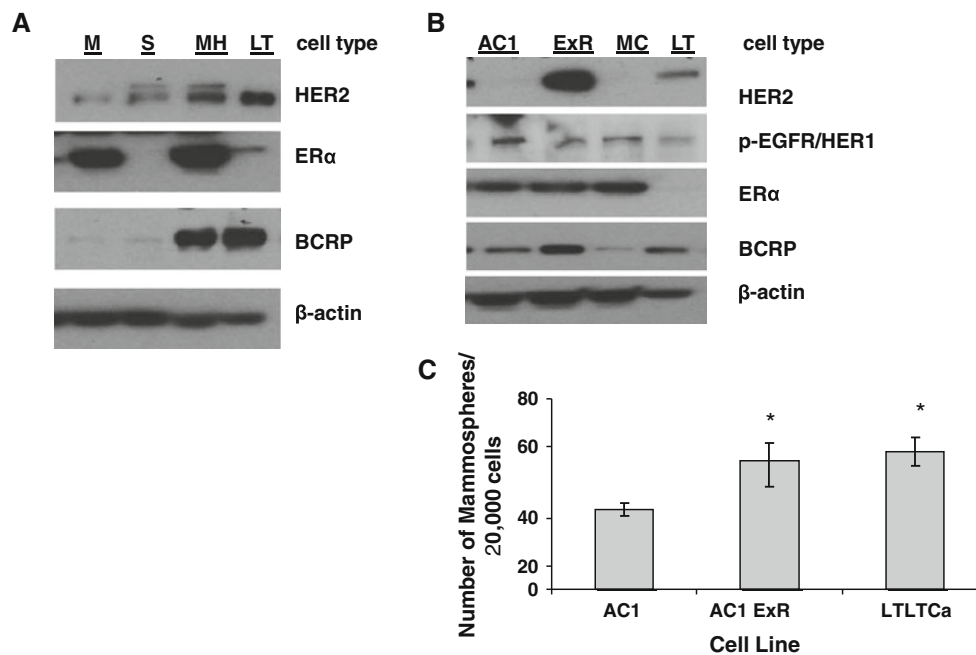


Fig. 6 Protein expression in HER2- and HER2+ cells and mammosphere formation in AC1-ExR cells. **a** MCF-7Ca (MC), SUM149 (S), MCF-7/HER2 (MH), and LTLT-Ca (LT) cells were plated in their respective passage media. Total protein was extracted and HER2, ER α , BCRP, and β -actin protein were analyzed by western blot. Representative blots ($n = 6$ independent cell samples/group) are shown. **b** AC1 (AC1), AC1-ExR (ExR), MCF-7Ca (MC), and LTLT-Ca (LT) cells were plated in their respective passage media. Total protein was extracted and HER2, phosphorylated EGFR/HER1

(p-EGFR/HER1), ER α , BCRP, and β -actin protein were analyzed by western blot. Representative blots ($n = 3$ samples/group) are shown. **c** AC1, AC1-ExR, and LTLT-Ca cells were resuspended and plated in mammosphere media onto ultra-low-attachment cell culture wells. After 8 days, formed mammospheres were counted. Results are expressed as number of mean mammospheres counted per 20,000 cells plated (mean \pm SEM, $n = 3$ samples/group; asterisk vs. vehicle, $p < 0.05$ vs. AC1)

BIBX1382 and trastuzumab in combination (B + T). Cells were then subjected to western blot analyses to confirm efficacy and specificity of each treatment and to determine the effect of each on BCRP expression. Drug treatments affected HER2 and/or EGFR/HER1 expression and their downstream activation of MAPK (evidenced by p-ERK1/2) as expected: only cells treated with trastuzumab reduced HER2 protein expression; none affected EGFR/HER1 expression; and all decreased p-ERK1/2 protein expression with lapatinib alone and B + T having the greatest effects (Fig. 7a). Lapatinib and B + T were also the most effective treatments for reducing PI3K/Akt kinase pathway activation (as evidenced by p-Akt protein) and BCRP protein expression (Fig. 7a), consistent with other studies [20], RT-PCR analyses further showed that lapatinib's inhibitory effects on BCRP expression also occurred at the mRNA level and regardless of letrozole treatment (Fig. 7b).

Third, HER2 and BCRP upregulation occurred concurrently and before tumors became resistant to letrozole's growth inhibitory effects. Our previous studies have demonstrated that letrozole-treated MCF-7Ca xenografts acquire resistance to letrozole after 16–18 weeks of letrozole treatment [24]. This is preceded by upregulation of HER2 protein expression in tumors beginning at ~ 4 weeks of letrozole

treatment [24]. In this current study, analysis of tumor samples acquired after 4 and 14 weeks of control treatment ($\Delta 4A$) demonstrated that BCRP protein levels remained unchanged (Fig. 8) despite significant growth of the tumor at this time (data not shown). In contrast, 4 weeks of letrozole treatment increased BCRP expression by 1.9- (not normalized) or 4.9-fold (normalized) compared control-treated tumors. BCRP expression increased even more compared to control after 8 and 24 weeks (3.5 or 4.9-fold and 3.7 or 4.1-fold vs. 4 weeks of control, respectively). Altogether, in vitro and in vivo results of this current study support a role for HER2, as well as EGFR/HER1 in the regulation of BCRP expression in LTLT-Ca cells.

Discussion

It has been previously established that resistance of ER α + breast cancer cells to AIs involves a switch from ER α -dependent growth to growth factor-dependent growth [2, 3, 24, 39]. Particularly, in letrozole- and exemestane-resistant breast cancers, this switch involves overexpression of HER2 (Figs. 2, 7). How HER2 contributes to resistance to AIs, however, was unknown.

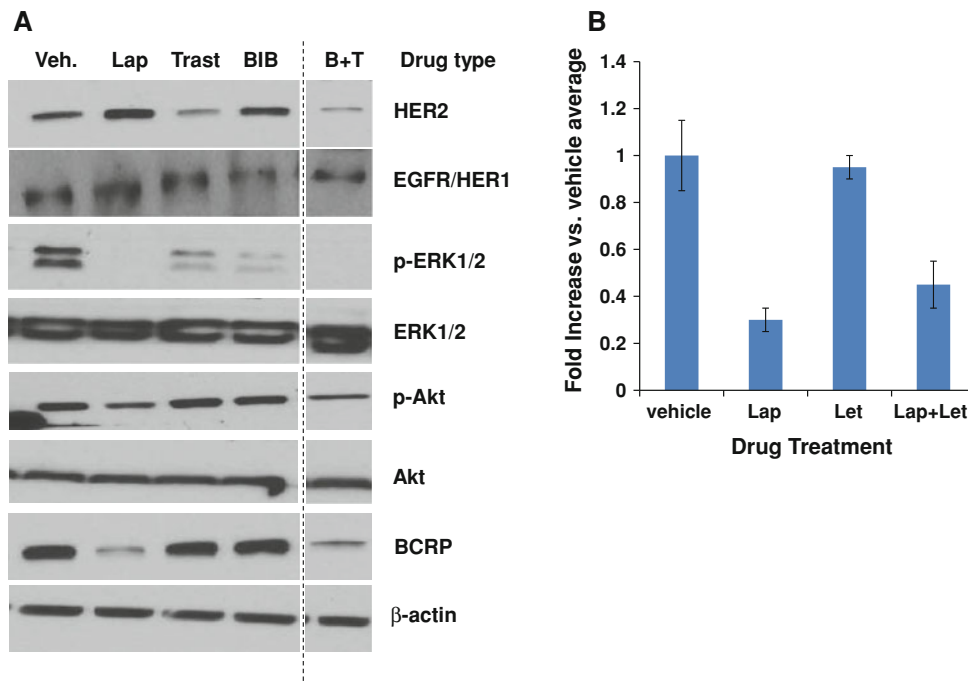


Fig. 7 Effect of HER2 and EGFR inhibitors on protein and/or mRNA expression in LTLT-Ca cells. **a** LTLT-Ca cells were treated for 24 h with either vehicle (*Veh*), 1 μ M lapatinib, 500 μ g/mL trastuzumab (*Trast*), 100 nM BIBX 1382 (*BIB*), or BIBX 1382 and trastuzumab in combination (*B + T*). Total protein was extracted and HER2, EGFR/HER1, phosphorylated- (p-ERK1/2) and total-ERK1/2, phosphorylated- and total-Akt, BCRP, and β -actin were analyzed by western blot ($n = 3$ independent cell samples/group). Representative blots are

shown. *Dashed line* indicates omitted lane in between BIB and B + T lanes of the same blots. **b** LTLT-Ca cells were treated with either DMSO/ethanol vehicle or 1 μ M lapatinib and/or 1 μ M letrozole or 24 h. Total RNA was extracted and BCRP mRNA and 18S rRNA were analyzed by real-time RT-PCR analysis. Real-time results are expressed as the fold-change in mRNA levels compared with vehicle after normalization to 18S rRNA (mean \pm SEM, $n = 6$ samples/group)

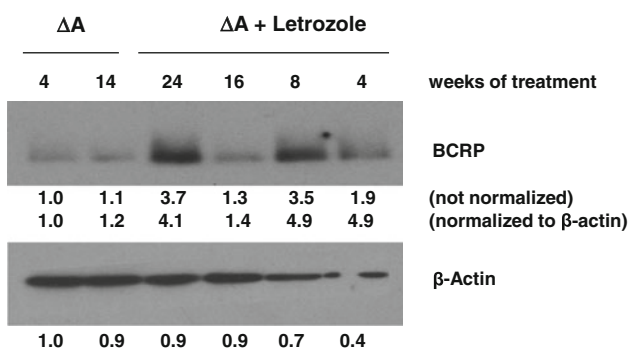


Fig. 8 Protein expression in xenograft MCF-7Ca tumors. Xenografts of MCF-7Ca cells were grown in mice as described in the Materials and Methods. After the tumors reached 300 mm³, mice were treated with either control (100 μ g/day Δ 4A) or letrozole (100 μ g/day Δ 4A + 10 μ g/day letrozole). At various pre-designated times or when the tumors reached 2,000 mm³, tumors were collected at necropsy and BCRP and β -actin protein expression was analyzed by western blot

One possibility explored in this study is that HER2 confers resistance by regulating stem cell markers that, in turn, increase TICs within the overall cancer cell population. TICs have been linked to tumor relapse, metastasis,

and resistance to chemotherapy in several cancer types, including breast cancer [40]. However, their role in endocrine therapy resistance, particularly AI resistance, has not been well studied. Dubrovskaya et al. [41] has recently demonstrated that tamoxifen-resistant cells have higher BCRP and ALDH expression, and higher clonogenic potential and tumorigenicity in vivo compared to tamoxifen-sensitive MCF7 cells. Our current study provides support for the relevance of TICs in AI resistance. LTLT-Ca and/or AC1-ExR cells exhibited higher levels of TIC characteristics, including expression of known stem cell markers, than their AI-sensitive parental cell lines (Figs. 1, 2, 3, 6).

Expression of stem cell marker and drug efflux transporter BCRP [42] in letrozole and exemestane-resistant cells is of notable significance. LTLT-Ca cells expressed higher BCRP levels and SP percentage compared to letrozole-sensitive MCF-7Ca cells, and inhibition of BCRP function decreased LTLT-Ca cell mammosphere formation and SP % (Figs. 2, 3). While non-TIC cells can also express BCRP and be designated as SP cells, these characteristics tend to be enriched in the TIC subpopulation [43, 44]. Thus, acquired resistance to letrozole or

exemestane may occur via increased effluxing of these AIs out of breast cancer cells, particularly TICs, and via increased presence of TICs in general.

Increased TICs and BCRP in AI-resistant cells appear to be regulated by HER2, as well as EGFR/HER1. This is consistent with studies demonstrating EGFR/HER1 and/or HER2 overexpression in tamoxifen-resistant and long-term estrogen-deprived cells, as well as in breast TICs [40]. Patients with EGFR/HER1- and HER2-overexpression tumors also have poorer clinical outcomes with tamoxifen treatment [36]. EGFR/HER1's role in AI-resistant breast cancer, however, appears to be secondary to that of HER2 and/or only in association with HER2 via dimerization. Its expression and activation was not consistently associated with letrozole- or exemestane-resistance or with BCRP expression (Fig. 6). Nevertheless, it cannot be ruled out that EGFR/HER1 may independently regulate stem cell markers and other TIC characteristics in AI-resistant cells not investigated in this study.

Taken together, the results of this study suggest that reducing or eliminating the TIC subpopulation with agents that target BCRP, HER2, EGFR/HER1, and/or their downstream kinase pathways (i.e., MAPK and PI3K/Akt pathways) could be effective in preventing and/or treating acquired AI resistance. Clinical studies have shown that treating patients with AIs in combination with either lapatinib or trastuzumab [45–47], or gefitinib (an EGFR tyrosine kinase inhibitor) [48] resulted in better clinical outcomes than treating with AIs alone. The number of such clinical studies are limited, however, and even fewer have directly compared these drugs to each other. One study has shown that trastuzumab combined with neoadjuvant anthracycline-taxane-based chemotherapy elicits a better pathological response in untreated ER-/HER2+ breast cancer patients than lapatinib combined with chemotherapy [49]. However, results of our current study suggests that the dual EGFR/HER1-HER2 kinase inhibitor lapatinib may be more effective than either HER2-specific trastuzumab or EGFR-specific gefitinib, or in treating ER+ breast cancer patients that have relapsed from AI treatment.

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Conflict of interest The authors declare that they have no conflict of interest.

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