

Prognostic factors for skeletal complications from metastatic bone disease in breast cancer

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Abstract Skeletal morbidity is common in patients with bone metastases from breast cancer (BC) and can undermine patients' functional independence and quality of life. Previously defined prognostic factors may not reflect current treatment standards and the use of antiresorptive therapies. We report a comprehensive multivariate analysis of potential prognostic factors for skeletal-related events (SREs) using data from a phase III, randomized study of zoledronic acid in patients with bone metastases from BC. The trial evaluated the number and timing of SREs (pathologic fracture, palliative radiotherapy to bone, surgery to bone to treat or prevent a fracture, and spinal cord compression) and assessed variables for prognostic significance in univariate and multivariate Cox-regression analyses. Continuous variables were categorized with predefined cutpoints. All associations with $P < 0.05$ were considered significant. A total of 444

zoledronic acid-treated patients with assessments of biochemical markers of bone metabolism and complete baseline variable data were included. Significant baseline prognostic factors for occurrence of a first SRE by multivariate analyses included age, pain score, prior history of an SRE, predominant lesion type, elevated bone-specific alkaline phosphatase, and lactate dehydrogenase. Prior fracture was found to be prognostic in a reduced multivariate analysis of time to first fracture, but not for time to first palliative radiotherapy. In conclusion, this model identified several prognostic factors that may be useful in routine clinical care. Validation of these factors in a separate dataset and generation of a prognostic risk score are recommended next steps.

Keywords Bisphosphonates · Breast cancer · Bone metastases · Risk factor · Skeletal-related event · Zoledronic acid

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Abbreviations

BAP	Bone-specific alkaline phosphatase
BC	Breast cancer
BPI	Brief pain inventory
CI	Confidence interval
Cr	Creatinine
ECOG PS	Eastern Cooperative Oncology Group performance status
LDH	Lactate dehydrogenase
NTX	N-telopeptide of type I collagen
RR	Relative risk
SD	Standard deviation
SGOT	Serum glutamic oxaloacetic transaminase
SRE	Skeletal-related event
ULN	Upper limit of normal
ZOL	Zoledronic acid

Introduction

Up to 75% of patients with advanced breast cancer (BC) develop skeletal metastases [1]. Although treatment options at this stage of disease are palliative, median survival is approximately 2 years, with 20% surviving beyond 5 years [2]. In this context, quality of life and survival are often diminished by the onset of debilitating skeletal-related events (SREs) including pathologic fracture, bone pain requiring radiotherapy, spinal cord compression, and the requirement for surgery to bone [2]. Moreover, patients may suffer several SREs during their illness, with considerable costs to health services [3, 4].

Metastatic bone disease is associated with increased and imbalanced bone turnover, resulting in accelerated bone resorption and, typically, associated increase in bone formation [5]. Bisphosphonates inhibit abnormal bone resorption, thereby reducing the morbidity associated with bone metastases. Thus, bisphosphonates are now standard therapy in patients with bone metastases from BC [6]. Particularly, the large, multicenter, randomized, placebo-controlled trials of zoledronic acid (ZOL) demonstrated reduction in the frequency of SREs versus placebo in BC patients [7], and also in patients with castration-resistant prostate cancer [8, 9] and a broad range of other solid tumors, including lung cancer [10, 11].

Clinical management of patients with metastatic bone disease from BC might be improved by identifying easily measurable factors that, either alone or in combination, could be used to predict SREs.

There is considerable interest in the use of bone turnover markers for predicting SRE risk in patients with metastatic bone disease. Disturbances of normal bone metabolism associated with metastatic bone disease may be detected by measuring biochemical markers of bone turnover, including the resorption marker N-telopeptide of type I collagen (NTX) and the formation marker bone-specific alkaline phosphatase (BAP). Baseline urine NTX values were correlated with the risk of subsequent SREs [12], and NTX reduction into the normal range was associated with reduced risk of fracture [13]. These findings were subsequently confirmed in much larger data sets [14]. In BC patients who received ZOL, high baseline and on-treatment urine NTX levels correlated with a 2.5-times higher risk of first SRE versus low NTX levels [6]. Subsequent analysis showed that patients with bone metastases from BC who failed to normalize their urine NTX levels after 3 months of ZOL had a 2-fold increased risk of SREs versus patients who normalized their NTX at 3 months [14].

Other parameters were also implicated as risk factors for SREs in patients with metastatic bone disease from BC, including metastatic disease confined to the skeleton, lesions in long bones, irradiation of lesions (for fracture risk), larger

lesion size, and osteolytic radiographic appearance [15]. Prior SRE, number of metastatic bone lesions on diagnostic imaging, and bone pain severity were also implicated as predictive of SREs, although these assessments were made in isolation rather than in multivariate analyses [16]. Exploratory retrospective analysis of data from patients with BC enrolled in the ZOL trials suggested that patients with a history of SREs were at a significantly higher risk of further SREs than patients with no prior SREs [17].

The phase III, multicenter registration trial of ZOL in patients with bone metastases from BC demonstrated that a similar proportion of patients receiving ZOL and pamidronate developed an SRE (43 vs. 45%, respectively). Additionally, ZOL significantly reduced the risk of developing an SRE (HR = 0.801; $P = 0.037$) and prolonged time to first SRE (median, 310 vs. 174 days, respectively; $P = 0.013$) compared with pamidronate [18, 19]. Data from this trial provides an extensive database on SREs with associated measurements of clinical and biochemical parameters for each patient. We explored this extensive database to retrospectively investigate a range of possible risk factors for SRE in univariate and multivariate analyses. In particular, we focused on risk factors for fracture.

Methods

Patient population

Patients in the randomized, double-blinded, multicenter study to evaluate the safety and efficacy of ZOL for bone metastases from BC (or Durie-Salmon stage III multiple myeloma) were enrolled between October 1998 and December 1999 [18, 19]. The main efficacy trial endpoint compared the proportion of patients with on-study SREs (defined as pathologic fracture, palliative radiotherapy to bone, surgery to bone to prevent or treat an impending fracture, and spinal cord compression) for ZOL 4 mg versus pamidronate. All patients provided written informed consent, and anticancer treatment could be changed as clinically required throughout the study. Patients were randomized to intravenous infusion of ZOL 4 mg, ZOL 8 mg, or pamidronate 90 mg administered every 3–4 weeks for ≤ 24 months. During the trial, the 8-mg ZOL dose was reduced to 4 mg based on direction from the renal safety monitoring board; this group was thereafter referred to as the 8/4-mg group. All patients had ≥ 1 radiographically confirmed malignant bone lesions, Eastern Cooperative Oncology Group performance status (ECOG PS) of 0–2, and serum creatinine < 3 mg/dl (265 $\mu\text{mol/l}$). No patients had received prior bisphosphonate therapy for their bone lesions. Only patients with BC in the ZOL treatment groups are included in the analyses reported herein.

Trial design and patient evaluation were previously reported [18, 19]. Radionuclide bone scans and radiographic bone surveys were performed on patients at baseline and then every 3–6 months throughout the study. These were reported blindly in a single reporting center. Blood samples were tested for levels of serum BAP and urine samples were tested for levels of NTX. The trial prospectively recorded SRE-related data for each patient during the 25-month study, including the occurrence and timing of each of the 4 defined SREs, as well as information on fracture sites.

Statistical methods

A panel of variables was selected from available trial data and assessed for baseline prognostic significance in univariate and multivariate Cox-regression analyses. These included age, race, weight, time since bone metastases diagnosis, predominant radiographic appearance of bone lesion(s) (osteolytic/osteoblastic/other), number of bone metastases, pain as assessed by the Brief Pain Inventory (BPI) Composite Pain Score, ECOG PS, history of SRE, history of chemotherapy, concomitant hormone therapy, presence of liver metastases, presence of extraskelatal metastases, and values of NTX, BAP, lymphocyte count, hemoglobin, serum glutamic oxaloacetic acid, albumin, creatinine, and lactate dehydrogenase (LDH). All models were stratified by treatment group (ZOL 4 mg or 8/4 mg only); attention was restricted to patients with complete covariate data, including values for NTX, BAP, and creatinine, which were only assessed in North American patients.

Continuous variables were made discrete using predefined cutpoints. Age was categorized into 4 ranges (<50, ≥ 50 to <60, ≥ 60 to <70, and ≥ 70 years old). Weight was categorized into 4 ranges (<60, ≥ 60 to <70, ≥ 70 to <80, and ≥ 80 kg). The BPI score was also categorized into 4 ranges and scored from 0 to 3 (0 for BPI score < 1.25, 1 for BPI score ≥ 1.25 to <3.00, 2 for BPI score ≥ 3.00 to <4.50, and 3 for BPI score ≥ 4.50 units). Duration of bone metastases (i.e., time from diagnosis of bone metastases) was categorized into 3 ranges: <3, ≥ 3 to <12, and ≥ 12 months.

For biochemical parameters, two approaches were used for defining categorical ranges. First, categories were defined based on quartiles of the study population distribution (four categories); second, a binary variable was created that indicated whether the value was greater than or equal to the upper limit of normal (ULN), with the local ULN used for each center. For LDH, only the latter, dichotomous split was used.

The outcome of primary interest was time to first SRE (i.e., time from patient randomization to first on-study

SRE); patients not experiencing an SRE were censored at the time of last contact or death. Additional outcomes included time to first pathologic fracture and time to first need for palliative radiotherapy, the two most frequent SREs. Because of the competing risk of death, cumulative incidence functions were estimated to plot the proportion of patients experiencing skeletal complications [20, 21].

A new vertebral fracture was defined as a decrease in total, anterior, or posterior vertebral height of $\geq 25\%$ from baseline. During the first year on study, this was determined by the central radiologist by reviewing serial bone surveys performed and any spinal films obtained between the scheduled bone surveys (in the case of symptomatic vertebral compression fracture). Thereafter, the treating physician was responsible for determining SREs related to vertebral fracture.

For all analyses, associations were considered statistically significant if their associated *P* value was <0.05. Reduced multivariate models were generated by stepwise backward elimination of the least significant variables in the multivariate model until a reduced model was obtained in which only significant covariates remained.

Results

Baseline demographics and distribution of first on-study SREs

In the phase III trial of ZOL versus pamidronate in patients with bone metastases from BC, 742 and 388 patients were randomized to ZOL or pamidronate, respectively [19]. Approximately 90% of patients had >1 identifiable site of bone metastasis, and 14.6% had ≥ 6 sites of bone involvement. Approximately two-thirds of patients had bone-only disease at study entry (Table 1).

Of the 438 patients with an SRE before study entry, 344 (~80%) had pathologic fractures, with a mostly balanced distribution between vertebral and non-vertebral sites (Table 2). Radiation for bone pain was required by 248 patients (57% of those with prior SREs). After study entry, 350 patients (47% of the 742 patients who received ZOL treatment) experienced ≥ 1 SRE on study. Data show that 257 patients had ≥ 1 on-study pathologic fracture, the majority of which were non-vertebral. Additionally, 161 patients required palliative radiotherapy on study. The numbers of on-study events are therefore sufficiently large to permit robust statistical analysis. Of the 742 patients in the ZOL arm, complete baseline assessments for all 21 variables of interest were available for 444 patients. Data from these ZOL-treated patients were included in the univariate and multivariate models described below.

Table 1 Patient demographics and biochemistry at baseline

Variable	Patients, <i>n</i> (%)	Mean (SD)	Range
Age, years	<i>N</i> = 742	57.8 (12.4)	24–95
0 to <50	207 (27.9)		
≥50 to <60	213 (28.7)		
≥60 to <70	181 (24.4)		
≥70	141 (19.0)		
Weight, kg	<i>N</i> = 710	71.7 (15.7)	34.5–171.5
0 to <60	145 (20.4)		
≥60 to <70	222 (31.3)		
≥70 to <80	163 (23.0)		
≥80	180 (25.4)		
BPI Composite Pain Score, units	<i>N</i> = 682	3.035 (2.232)	0–10.0
<1.25	162 (23.8)		
≥1.25 to <3.00	176 (25.8)		
≥3.00 to <4.50	153 (22.4)		
≥4.50	191 (28.0)		
Duration of cancer at study entry, years	<i>N</i> = 742	6.5 (5.9)	0.024–32.4
<5	375 (50.5)		
≥5	367 (49.5)		
Duration of bone metastases, months	<i>N</i> = 738	15.7 (29.0)	0.143–318
0 to <3	331 (44.9)		
≥3 to <12	167 (22.6)		
≥12	240 (32.5)		
NTX, nmol/mmol creatinine	<i>N</i> = 490	133.7 (474.1)	8–10,193
<64	197 (40.2)		
≥64	293 (59.8)		
BAP, U/l	<i>N</i> = 501	268 (228.5)	41–2,043
<146	130 (25.9)		
≥146	371 (74.1)		
Lymphocytes, %	<i>N</i> = 727	25.1 (12.5)	2.2–88
<ULN	669 (92.0)		
≥ULN	58 (8.0)		
Hemoglobin, g/dl	<i>N</i> = 729	12.0 (1.5)	4.6–16.4
≤12	364 (49.9)		
>12	365 (50.1)		
SGOT, U/l	<i>N</i> = 735	35.9 (31.9)	7–476
<ULN	446 (60.7)		
≥ULN	289 (39.3)		
Albumin, g/l	<i>N</i> = 735	40.0 (4.2)	26–54
≤35	94 (12.8)		
>35	641 (87.2)		
Creatinine, mg/dl	<i>N</i> = 735	0.952 (0.209)	0.5–2.35
<ULN	387 (52.7)		
≥ULN	348 (47.3)		
LDH, U/l	<i>N</i> = 735	290 (218)	88–2,021
<ULN	537 (73.1)		
≥ULN	198 (26.9)		
Race	<i>N</i> = 742		
White	652 (87.9)		
Other	90 (12.1)		

Table 1 continued

Variable	Patients, <i>n</i> (%)	Mean (SD)	Range
ECOG PS	<i>N</i> = 740		
Fully active	256 (34.6)		
Some impairment	484 (65.4)		
Prior SRE	<i>N</i> = 740		
No	302 (40.8)		
Yes	438 (59.2)		
History of chemotherapy	<i>N</i> = 734		
No	179 (24.4)		
Yes	555 (75.6)		
Concomitant hormone therapy	<i>N</i> = 733		
No	201 (27.4)		
Yes	532 (72.6)		
Predominant type of lesion	<i>N</i> = 742		
Osteolytic	265 (35.7)		
Osteoblastic	288 (38.8)		
Other	189 (25.5)		
Presence of liver metastases	<i>N</i> = 738		
No	586 (79.4)		
Yes	152 (20.6)		
Presence of other metastases	<i>N</i> = 742		
No	471 (63.5)		
Yes	271 (36.5)		
Number of bone metastases	<i>N</i> = 742	4.168 (2.469)	
0–1	81 (10.9)		
2–3	260 (35.0)		
4–6	293 (39.5)		
>6	108 (14.6)		

BAP bone-specific alkaline phosphatase, *BPI* brief pain inventory, *ECOG PS* Eastern Cooperative Oncology Group performance status, *LDH* lactate dehydrogenase, *NTX* N-telopeptide of type I collagen, *SD* standard deviation, *SGOT* serum glutamic oxaloacetic transaminase, *SRE* skeletal-related event, *ULN* upper limit of normal

Table 2 Distribution of SREs in terms of SRE before study entry and in terms of first on-study SRE

Type of SRE	Before study entry, <i>n</i> ^a (%) ^b	On study, <i>n</i> ^a (%) ^b
Total SRE	438 (59.0)	350 (47.2)
≥1 Pathologic fracture	344 (46.4)	257 (34.6)
Non-vertebral fracture	215 (29.0)	193 (26.0)
Vertebral fracture	225 (30.3)	123 (16.6)
Radiation to bone	248 (33.4)	161 (21.7)

SRE skeletal-related event

^a Number of patients with at least 1 event

^b Percentages are based on the entire population (*N* = 742)

Univariate and multivariate analyses of risk factors for first on-study SRE

Potential risk factors were evaluated for the first on-study SRE. Univariate analyses for first-SRE risk correlations were performed on each of the 21 variables using quartile-based categorical and dichotomous versions of the variables.

The following baseline parameters were significantly associated with increased risk of first on-study SRE: increased age, higher number of lesions, higher BPI score, higher ECOG PS, history of SRE, predominance of osteolytic lesions, elevated LDH, albumin below normal levels, elevated NTX, and elevated BAP. No other factors showed significant correlations with first SRE risk in univariate analyses.

In full multivariate models, including all 21 baseline covariates, the following were significant factors for first on-study SRE: age ≥ 60 years, BPI score > 3 units, history of SRE before study entry, and predominance of osteolytic versus osteoblastic lesions (Table 3). In the reduced multivariate model, each of the significant factors from the multivariate analysis was maintained, and BAP and predominance of osteolytic versus other lesions became significant covariates. Based on this reduced model, risk of a first on-treatment SRE was increased by approximately 70% among patients older than 60; by approximately 60% in patients who experienced an SRE before starting bisphosphonate therapy; and by approximately 2-fold in patients with any BPI score > 3 units,

Table 3 Multivariate analysis of predictive factors for occurrence of first SRE

Variable	Full model			Reduced model		
	RR	95% CI	<i>P</i> value	RR	95% CI	<i>P</i> value
Bone mets duration <3 months						
≥3 to <12 months	0.686	0.455, 1.035	0.0723			
≥12 months	0.735	0.517, 1.045	0.0869			
Age < 50 years						
≥50 to <60 years	0.962	0.630, 1.469	0.8572	0.868	0.588, 1.281	0.4755
≥60 to <70 years	1.820	1.181, 2.803	0.0066	1.681	1.146, 2.467	0.0079
≥70 years	1.616	1.009, 2.588	0.0459	1.597	1.069, 2.385	0.0221
Weight < 60 kg						
≥60 to <70 kg	1.045	0.680, 1.605	0.8408			
≥70 to <80 kg	0.949	0.598, 1.506	0.8244			
≥80 kg	1.228	0.805, 1.873	0.3409			
BPI Composite Pain Score < 1.25 units						
≥1.25 to <3.00 units	1.129	0.717, 1.777	0.6003	1.230	0.797, 1.900	0.3492
≥3.00 to <4.50 units	1.696	1.062, 2.709	0.0269	1.915	1.247, 2.941	0.0030
≥4.50 units	1.981	1.231, 3.188	0.0049	2.047	1.336, 3.136	0.0010
ECOG PS: fully active						
ECOG PS: some impairment	1.160	0.822, 1.637	0.3990			
Race: White						
Race: Black/Asian/Other	0.918	0.589, 1.431	0.7056			
Prior SRE	1.697	1.206, 2.387	0.0024	1.632	1.198, 2.224	0.0019
History of chemotherapy	1.152	0.774, 1.715	0.4845			
Concomitant hormone therapy	0.993	0.704, 1.399	0.9663			
Liver metastases	0.757	0.509, 1.126	0.1694			
Lung/Brain/Other metastases	1.002	0.741, 1.356	0.9879			
Number of metastases: 0–1						
Number of metastases: 2–3	0.669	0.380, 1.179	0.1647			
Number of metastases: 4–6	1.102	0.635, 1.911	0.7295			
Number of metastases: >6	0.821	0.437, 1.542	0.5397			
Predominant lesion: osteolytic						
Predominant lesion: osteoblastic	0.605	0.424, 0.865	0.0059	0.564	0.410, 0.775	0.0004
Predominant lesion: other	0.837	0.560, 1.252	0.3874	0.686	0.475, 0.991	0.0446
NTX < 47.0 nmol/mmol creatinine						
≥47.0 to <76.0 nmol/mmol creatinine	1.159	0.734, 1.829	0.5277			
≥76.0 to <132.0 nmol/mmol creatinine	1.427	0.883, 2.307	0.1468			
≥132 nmol/mmol creatinine	1.371	0.787, 2.388	0.2648			
BAP < 141.25 U/l						
≥141.25 to <201.50 U/l	1.111	0.704, 1.753	0.6516	1.303	0.856, 1.983	0.2168
≥201.50 to <306.00 U/l	1.512	0.952, 2.400	0.0800	1.767	1.172, 2.664	0.0066
≥306.00 U/l	1.488	0.873, 2.537	0.1441	2.081	1.381, 3.136	0.0005
Lymphocytes ≥ ULN %	0.828	0.444, 1.547	0.5547			
Hemoglobin < 10.9 g/dl						
≥10.9 to <12.0 g/dl	1.089	0.705, 1.682	0.6999			
≥12.0 to <13.0 g/dl	1.224	0.782, 1.916	0.3763			
≥13.0 g/dl	0.829	0.505, 1.361	0.4596			
SGOT < 21.0 U/l						
≥21.0 to <27.0 U/l	0.878	0.553, 1.396	0.5826			
≥27.0 to <39.0 U/l	0.779	0.501, 1.210	0.2657			

Table 3 continued

Variable	Full model			Reduced model		
	RR	95% CI	<i>P</i> value	RR	95% CI	<i>P</i> value
≥39.0 U/l	0.981	0.582, 1.652	0.9410			
Albumin < 37.0 g/l						
≥37.0 to <40.1 g/l	0.989	0.625, 1.564	0.9620			
≥40.1 to <43.0 g/l	0.654	0.379, 1.128	0.1267			
≥43.0 g/l	0.951	0.555, 1.630	0.8549			
Creatinine <0.800 mg/dl						
≥0.800 to <0.900 mg/dl	0.851	0.493, 1.467	0.5609			
≥0.900 to <1.007 mg/dl	0.754	0.460, 1.234	0.2611			
≥1.007 mg/dl	0.942	0.538, 1.649	0.8341			
LDH ≥ ULN	1.496	0.995, 2.251	0.0530	1.492	1.063, 2.096	0.0209

The models were limited to patients with complete baseline assessments for all the variables listed ($n = 435$)

BAP bone-specific alkaline phosphatase, *BPI* Brief Pain Inventory, *CI* confidence interval, *EGOG PS* Eastern Cooperative Oncology Group performance status, *LDH* lactate dehydrogenase, *NTX* N-telopeptide of type I collagen, *RR* relative risk, *SGOT* serum glutamic oxaloacetic transaminase, *SRE* skeletal-related event, *ULN* upper limit of normal

predominantly lytic lesions, or BAP levels > 201.5 U/l versus the respective comparator groups for each variable. In a separate model based on dichotomous cutpoints, NTX was also found to be a significant covariate for first on-study SRE risk in both univariate and reduced multivariate models (data not shown).

For each of the significant risk factors identified for first on-study SRE in the reduced multivariate model, cumulative incidence function estimates were computed by levels of these factors. These revealed clear separation of the cumulative incidence functions between the covariate categories (Fig. 1).

Analyses of risk factors for pathologic fracture

Pathologic fractures were the most common SRE subtype and represent a relatively homogenous and objective endpoint. Both the quartile-defined ranges and the dichotomous, value-defined ranges for the biochemical parameters were analyzed. All previous risk factors were tested with the exception of prior pathologic fracture and prior radiotherapy to bone, which were separately assessed as covariates (instead of the single composite of “any prior SRE” used in the first SRE risk model).

The analyses based on the full model showed that duration of bone metastases >12 months, history of prior pathologic fracture, higher numbers of bone metastases, higher baseline NTX level, predominance of osteolytic lesions, and elevated baseline LDH were significant risk factors for on-study fracture when the biochemical parameters were dichotomized. With the exception of duration of bone metastases, all of these variables remained

significant in reduced models. When quartiles were used to categorize the biochemical parameters, broadly similar conclusions were reached, although NTX was no longer significant. Duration of bone metastases remained significant in the reduced model. Prior pathologic fracture was found to be a risk factor for fracture on study ($P < 0.0001$), with patients in this group having more than twice the risk of subsequent fracture (Fig. 2). Prior radiotherapy to bone was not a significant risk factor for fracture on study.

Analyses were also performed to assess risk factors for vertebral and non-vertebral fractures separately. For both, having bone metastases >12 months before initiating bisphosphonate therapy and history of a pathologic fracture were significant risk factors. Number of metastases was also a significant covariate for only non-vertebral fractures, whereas concomitant hormone therapy, predominantly osteolytic lesions, and high baseline NTX levels were also significant covariates for only vertebral fractures. Indeed, for vertebral fractures, patients with NTX levels in the highest quartile range had 7.62-times the risk of fracture versus patients whose NTX levels were in the lowest quartile ($P = 0.0009$; data not shown).

Radiation therapy for bone pain and associated risk factors

The need for palliative radiotherapy to bone was the second most common SRE and is an established surrogate for severe bone pain [22]. Similar to the pathologic fracture analysis, prior SRE was divided between prior fracture and prior palliative radiotherapy, resulting in 22 variables. In the reduced multivariate analyses, only a baseline BPI score of

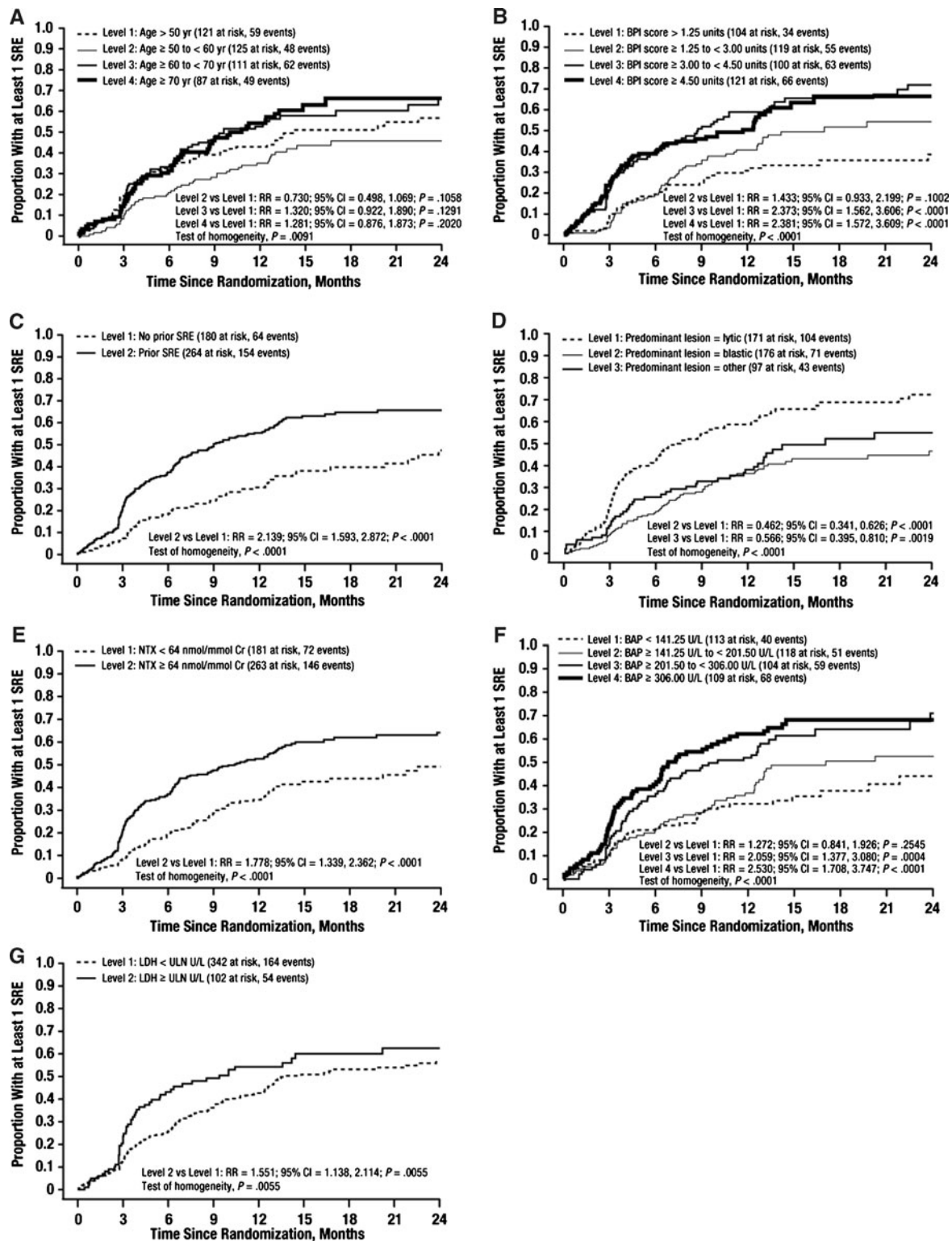


Fig. 1 The proportion of patients with at least 1 skeletal-related event as a function of time on study up to 24 months. Each of the 7 parameters showing statistical significance in the multivariate models is included: **a** age, **b** Brief Pain Inventory (BPI) Composite Pain Score, **c** SRE history, **d** predominant lesion, **e** N-telopeptide of type I

collagen (NTX), **f** bone-specific alkaline phosphatase (BAP; quartiles as cutpoints), and **g** lactate dehydrogenase (LDH). Abbreviations: *CI* confidence interval, *Cr* creatinine, *RR* relative risk, *SRE* skeletal-related event, *ULN* upper limit of normal

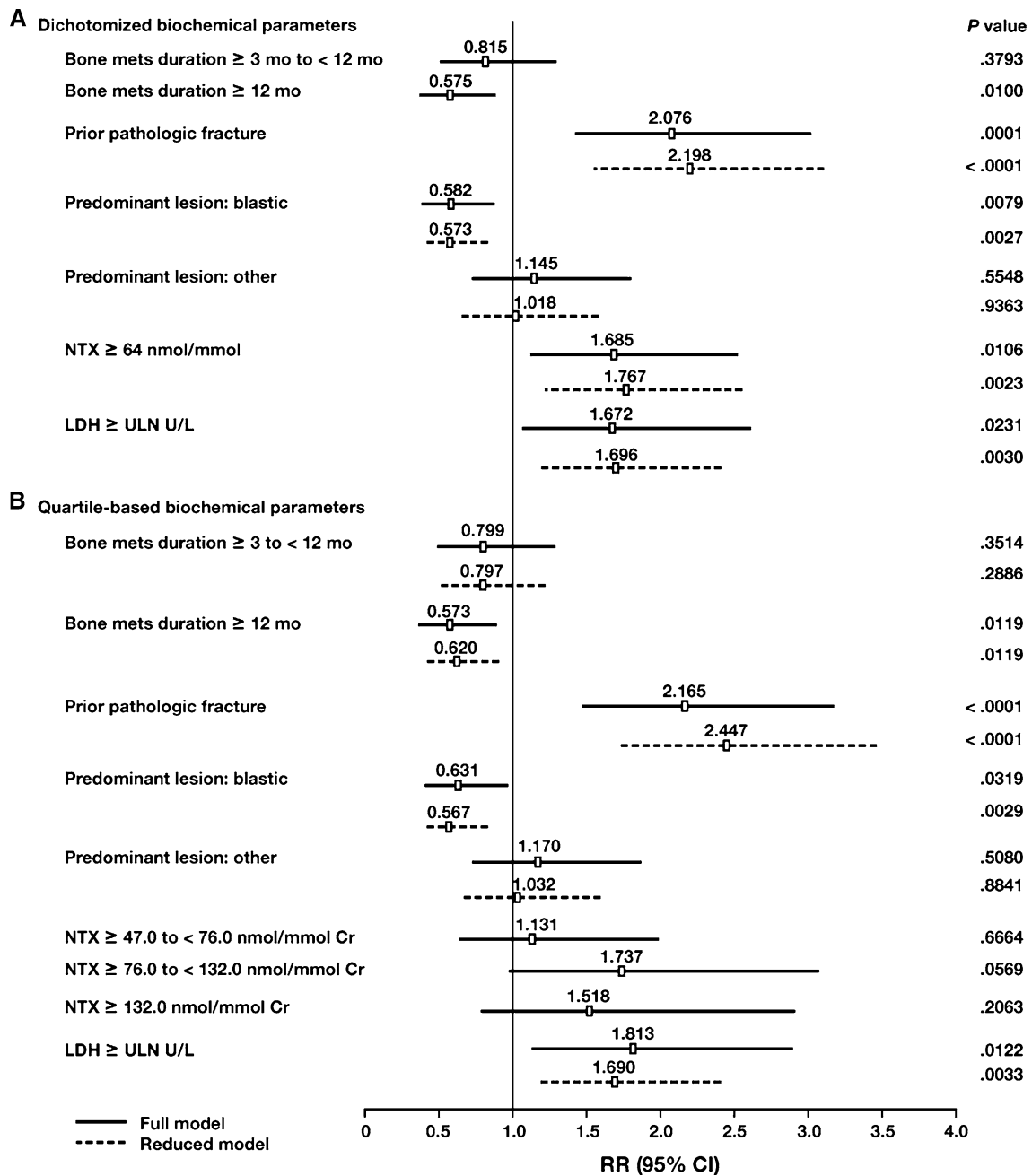


Fig. 2 Results of multivariate analysis of parameters that showed significance for first pathologic fracture. Estimates for the full and reduced models are shown using **a** dichotomized model and **b** quartile-defined range. Although not significant, other variables investigated included age, weight, Brief Pain Inventory Composite Pain Score, Eastern Cooperative Oncology Group performance status, race, prior pathologic fracture, history of chemotherapy, concomitant

hormone therapy, liver metastases (mets), lung/brain/other mets, number of mets, bone-specific alkaline phosphatase, lymphocytes, hemoglobin, serum glutamic oxaloacetic transaminase, albumin, and creatinine. Abbreviations: *CI* confidence interval, *Cr* creatinine, *LDH* lactate dehydrogenase, *NTX* N-telopeptide of type I collagen, *RR* relative risk, *ULN* upper limit of normal

≥ 3 units and predominance of osteolytic versus osteoblastic lesions were significantly associated with risk of bone pain requiring radiotherapy (each approximately two to three times the risk; Fig. 3). In the quartile-based analyses, the top 2 quartiles of baseline BAP were also significantly

associated with an approximately 80% increased risk versus the lowest BAP quartile (Fig. 3; $P = 0.044$ each). Interestingly, neither prior pathologic fracture nor prior radiation to bone was associated with risk of palliative radiotherapy to bone on study.

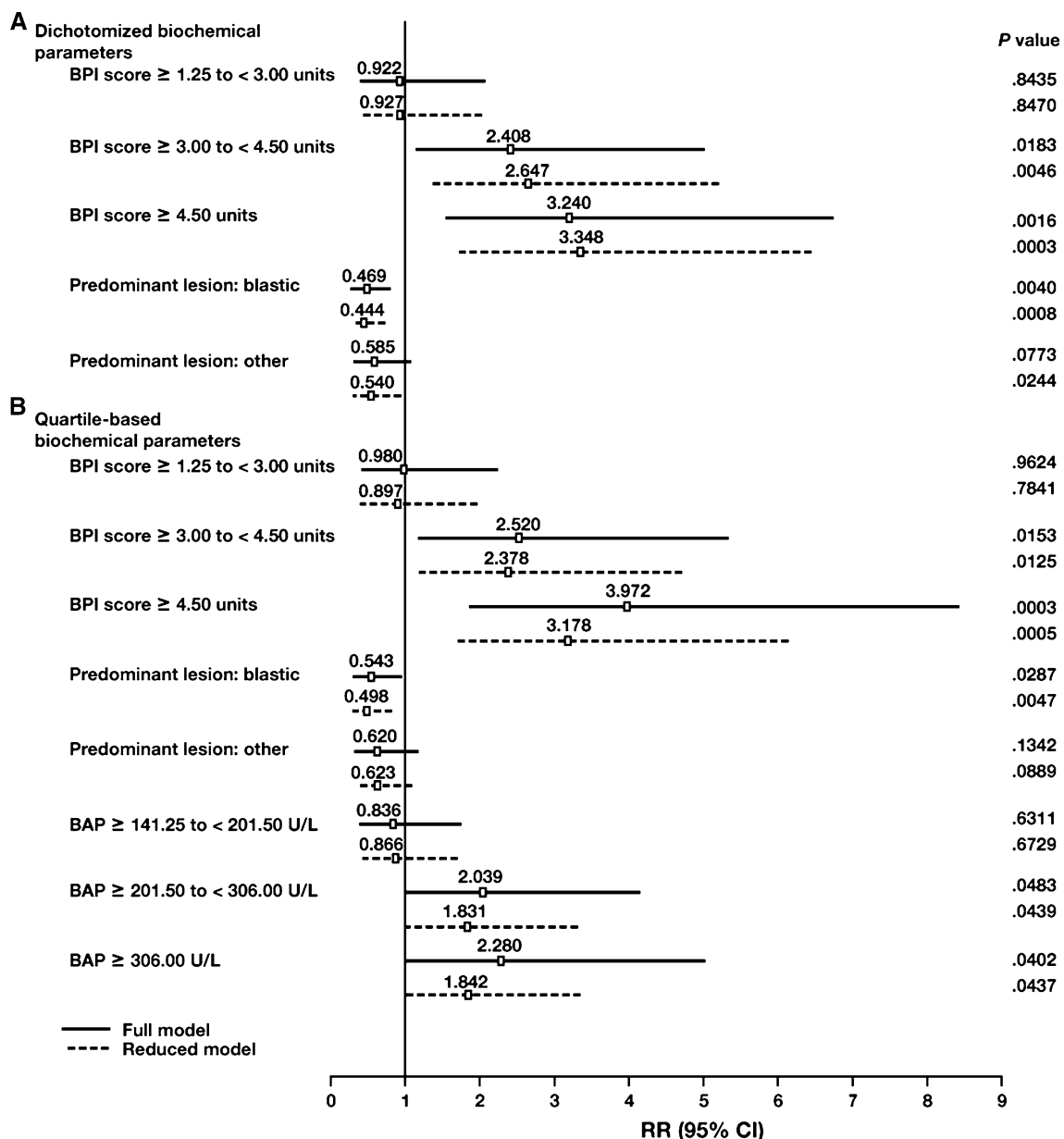


Fig. 3 Results of multivariate analysis of parameters that showed significance for first radiation to bone. Estimates for full and reduced models are shown using **a** dichotomized model and **b** quartile-defined range. Although not significant, other variables investigated included duration of bone metastases, age, weight, Eastern Cooperative Oncology Group performance status, race, prior pathologic fracture, prior radiation to bone, history of chemotherapy, concomitant

hormone therapy, liver metastases, lung/brain/other metastases, number of metastases, N-telopeptide of type I collagen levels, lymphocytes, hemoglobin, serum glutamic oxaloacetic transaminase, albumin, creatinine, and lactate dehydrogenase. Abbreviations: *BAP* bone-specific alkaline phosphatase, *BPI* brief pain inventory, *CI* confidence interval, *RR* relative risk

Discussion

The registration trial comparing ZOL with pamidronate in patients with bone metastases from BC generated an extensive database of demographic characteristics, concomitant therapy, clinical outcomes, and laboratory parameters that is well suited to exploratory analyses. Moreover, all patients in this study received bisphosphonate

therapy, which is now the established standard of care for patients with bone metastases from solid tumors [23].

In this analysis, BPI score, ECOG PS, history of SRE, predominance of lytic lesions, and elevated LDH levels were identified as risk factors for developing a first SRE during ZOL therapy. Moreover, the multivariate analyses confirmed the SRE-prognostic utility of elevated baseline NTX and BAP levels, which has been previously reported

in univariate models [5, 6]. Longer duration of bone disease and history of fractures were also identified as significant risk factors for pathologic fractures. In subanalyses by fracture type, these were the only significant risk factors for non-vertebral fractures, whereas high NTX levels, predominantly osteolytic lesions, and concomitant hormone therapy emerged as additional significant risk factors for vertebral fractures.

For palliative radiotherapy to bone, high baseline BPI score was a risk factor. The relationship between LDH and requirement for radiotherapy was also significant but the inverse of the LDH and risk relationship for the other SRE endpoints. These risk parameters provide important insight into the development of skeletal morbidity and may identify patients at especially high risk of imminent SREs who might benefit from surgical intervention to prevent an impending fracture or earlier application of radiotherapeutic intervention.

The risk models evaluated data based on quartile values for the study population and by dichotomous categorization based on established or lab-specific ULNs. Although there were subtle differences between the outcomes of these analyses, they gave broadly similar results. As with all risk factors arising from statistical models, it is worth considering whether there is a rational biologic or behavioral link between the risk factor and the outcome and, conversely, whether there are factors that may have been expected to be significant but were not.

Of the risk factors for an SRE identified in the multivariate analyses, increasing age, elevated NTX, and predominance of osteolytic lesions would all be expected to be associated with weakened mechanical properties of the skeleton. The higher pathologic fracture risk of osteolytic versus other lesion types in BC is consistent with data from patients with metastatic bone disease in prostate cancer, in which lesions are mainly osteoblastic, and radiation to bone is more frequent than pathologic fracture [9]. Because radiation for bone pain is an SRE, BPI score is also a logical risk factor for SREs. History of prior SRE proved to be a significant risk factor and will be discussed further below. It is more difficult to assign a mechanistic explanation to the finding of LDH as a significant risk factor, although it is noteworthy that LDH has also been implicated as a risk factor in survival analyses from the same trial data and has been linked to disease burden [24]. Indeed, the inverse association observed between palliative radiotherapy risk and elevated LDH could reflect lower use of radiotherapy in patients with a poor prognosis rather than lower bone pain burden in that cohort.

The number of sites of bone metastases did not show significance as risk factors for SRE. For example, patients with >6 metastatic sites were no more likely to experience an SRE than patients with 1 metastatic site. This result was somewhat unexpected.

A clear conclusion from this analysis is that patients with an SRE before starting bisphosphonate therapy have a substantially increased risk of a further SRE on therapy. This emphasizes the value of early bisphosphonate intervention, which is known to reduce or delay SRE occurrence. Moreover, the subanalysis of prior fracture and prior radiation to bone as covariates is especially revealing. Pathologic fracture before initiating bisphosphonate therapy, although not associated with risk of on-treatment radiation to bone, is a strong risk factor for on-treatment pathologic fracture, an observation consistent with postmenopausal osteoporosis-related fractures, in which prior fracture is a recognized risk factor in algorithms for patient management [25]. However, prior radiation to bone is not a predictive factor for future fracture or for future need for radiation to bone, and therefore appears to have a more complicated relationship with subsequent SRE risk. Nonetheless, the need for radiation to bone was associated with BPI score, an expected result that lends added confidence to the analyses.

The purpose of this analysis was to identify clinically useful risk factors for skeletal complications among patients with BC metastatic to bone who received ZOL. These factors are helpful for quantifying relative risk of events among patients presenting with bone metastases. In some contexts, however, interest may lie in classifying patients into different risk groups. In this case, care must be taken to ensure that these classifications are appropriate, particularly if they are to serve as a basis for treatment recommendations. Validation of the classifications based on statistical models is important before they can be applied clinically. Cross-validation is a common technique useful to assess the agreement between predicted and actual risk groups and is feasible when validation samples are available. The ideal validation sample would also be composed of patients with BC receiving ZOL for treating skeletal metastases. At present, there is no such suitable data set. Alternatively, patients from the pamidronate arm of the Rosen trial [18, 19, 26] could be used, but this is less than optimal because risks and risk factors may be different in this group of patients, pamidronate is now used less often, and ZOL produced some significant SRE benefits beyond those produced by pamidronate [11, 19]. A further challenge in validation of models for risk classification of SREs is the presence of the competing risk for death.

The current study was restricted to analysis of first on-study SRE (i.e., first after study enrollment), with all risk factors referring to baseline assessments. Although this is likely to be of most clinical value in terms of patient management after starting bisphosphonates, it may not predict how many total SREs are likely, and the model may become less predictive over time. Updated measurements of some of the predictive factors might overcome this

limitation should this be considered necessary in the future. For example, recent reports showed that normalizing NTX levels during treatment is associated with significant reductions in risks of SREs and death versus persistently elevated NTX levels in both the BC and other solid tumors settings [14, 27].

Although previous studies analyzed risk factors in isolation, this is the first comprehensive, multivariate investigation of risk factors for SREs in patients with bone metastases from BC. These complications are very common, and physicians need a logical basis on which to determine optimum management of antiresorptive therapy, prophylactic surgery, and frequency of follow-up. Several easily measurable risk factors emerged from this study that could be used to assist patient management, and their combination into a weighted risk score may be possible in the future. On the basis of the present study, this would be a valuable next step; however, as discussed earlier, such factors would require confirmation and validation in a future prospective study before adoption into management guidelines.

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Conflicts of interest statement Janet Brown has received speakers bureau honoraria from Novartis and Amgen and has served as a consultant and/or on advisory boards for Novartis, Amgen, Roche, and Bristol-Myers Squibb. Richard Cook has served as a consultant and/or on advisory boards for Novartis and Abbott. Allan Lipton has received commercial research grants from Novartis, Monogram Biosciences, and Oncogene Science; has received speakers bureau honoraria from Novartis, Amgen, and Genentech; has served as a consultant and/or on advisory boards for Novartis, Amgen, Galapagos, Acceleron Pharm, and has given expert testimony for Novartis. Luis Costa has received speakers bureau honoraria from Novartis and has served as a consultant and/or on advisory boards for Novartis and Amgen. Robert Coleman has received other commercial support from Novartis; speakers bureau honoraria from Novartis and Amgen; has served as a consultant and/or on advisory boards for Novartis, Amgen, Pfizer, and Roche; and has previously given expert testimony on the behalf of Novartis.

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