

## Nuclear and cytoplasmic expressions of ER $\beta$ 1 and ER $\beta$ 2 are predictive of response to therapy and alters prognosis in familial breast cancers

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**Abstract** Estrogen receptor (ER)  $\alpha$  has been studied extensively in familial breast cancers but there are limited data on ER $\beta$  and its isoforms. This is an important issue since many BRCA1-associated tumours are “triple negative” and are resistant to conventional and targeted therapies. We performed an immunohistochemical study of pan-ER $\beta$ , ER $\beta$ 1 and ER $\beta$ 2 in a cohort of 123 familial breast carcinomas (35 BRCA1, 33 BRCA2 and 55 BRCAX) using a cut-off for positivity at 20% (Shaaban et al. in Clin Cancer Res 14:5228–5235, 2008). BRCA1 cancers were more likely to be nuclear ER $\alpha$  negative and nuclear pan-ER $\beta$  positive (21/32, 66%) when compared with BRCA2 (2/29, 7%) and BRCAX cancers (11/49, 22%) (both  $P < 0.001$ ). For survival analysis, expression was also stratified using cut-offs defined by Bates et al. (Breast Cancer Res Treat 111:453–459, 2008) (score out of 7). Cytoplasmic ER $\beta$ 2 expression correlated with shorter overall survival at 15 years regardless of cut-off used (both  $P < 0.046$ ) At a cut-off score of 6 out of 7, cytoplasmic ER $\beta$ 2 expression correlated with a poorer response to chemotherapy in both univariate ( $P = 0.011$ ) and multivariate analyses including grade, lymph node status and chemotherapy as an interaction variable ( $P = 0.045$ , Hazard ratio 1.22, 95% CI 1.004–9.87). A similar trend

was seen in a univariate analysis with a cut-off of 20% although this did not reach statistical significance ( $P = 0.057$ ). Expression of nuclear ER $\beta$ 1 was associated with a favourable response to endocrine therapy at 15 years regardless of cut-offs employed (both  $P < 0.025$ ). However, this did not reach statistical significance in a multivariate analysis ( $P > 0.05$ ). Since a significant proportion of ER $\alpha$  negative familial breast carcinomas are positive for nuclear ER $\beta$ 1 and cytoplasmic ER $\beta$ 2, the different ER $\beta$  isoforms and their intracellular location may need to be assessed, to identify patients that may benefit from hormonal and chemotherapy.

**Keywords** ER $\beta$  · Breast · BRCA1 · BRCA2 · BRCAX · Familial breast carcinoma

### Introduction

It is estimated that 5–10% of all breast cancers are attributable to inherited mutations in susceptibility genes, of which the two most important are BRCA1 and BRCA2 [1]. BRCA1 tumours show a so called triple negative phenotype being oestrogen receptor (ER), progesterone receptor (PgR) and HER2 negative [1]. They also harbour p53 mutations [2] and express basal and myoepithelial markers [3–5]. No similarly defined phenotype has been described for BRCA2 tumours which usually show a ductal, no special type morphology and ER positivity [6].

Strategies using selective oestrogen receptor modulators such as tamoxifen-targeting tumours that express ER $\alpha$  have resulted in improvements in relapse-free and overall survival [7]. The advent of trastuzumab and lapatinib has similarly revolutionized treatment for HER2-amplified breast cancer [8, 9]. However, the resistance of BRCA1

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basal-like breast cancers to conventional agents have made these tumours difficult to treat [10]. Nevertheless, there are data to suggest that tamoxifen may prevent development of contralateral breast cancer in women with a strong family history and increases survival in BRCA1 breast-cancer-affected patients [11, 12].

Estrogens mediate their role in the progression of breast cancer and through two transcription factors, ER $\alpha$  and ER $\beta$ . It is becoming apparent that ER $\beta$  plays an important role in breast cancer progression [13]. In contrast to ER $\alpha$ , ER $\beta$  expression is progressively lost during transition from normal breast to invasive carcinoma [14, 15]. Recent studies on sporadic breast cancers have shown that the expression of ER $\beta$  isoforms 1, 2 and 5 may have important prognostic implications [16–18].

To our knowledge, there are two reports documenting the expression of ER $\beta$  in familial breast cancers. The first study in 44 familial cancer patients (16 BRCA1, 12 BRCA2 and 16 BRCAX) [19] and the second in 48 patients with BRCA1 founder mutation positive women [20]. However, there are no data regarding the range of expression for its different isoforms and the cellular pattern of expression for either BRCA1- or BRCA2-associated tumours. Thus, we have performed a comprehensive immunohistochemical analysis of pan-ER $\beta$ , ER $\beta$ 1 and ER $\beta$ 2 in a large cohort of BRCA1, BRCA2 and BRCAX tumours with survival data. Our aims are to document the range of expression of ER $\beta$  in familial breast cancer, and to determine the relationship between ER $\beta$  and conventional clinicopathological parameters and survival. We have also evaluated the relationship between ER $\beta$  and intrinsic breast cancer subtypes including basal-like, luminal, HER2 and null types.

## Materials and methods

### Patients

147 cases of familial breast carcinomas from female patients were collected from the kConFab biorepository between 1980 and 2005. Classification of BRCA1 and BRCA2 mutations and sequence variants was according to designations listed for research purposes on the kConFab website ([www.kconfab.org](http://www.kconfab.org)). The BRCAX breast cancers are defined by breast cancer in families without a known BRCA1 and BRCA2 pathogenic mutation, who met kConFab category 1 and 1B eligibility criteria. Of the 147 cases, 18 cases were excluded due to the lack of tissue available and a further 6 cases were excluded due to the absence of tumour on the array. The final cohort was composed of 123 cases including 35 BRCA1, 33 BRCA2 and 55 BRCAX cases (Table 1). All the patients had operable breast carcinomas and were not diagnosed with

metastatic disease at the time of presentation. Patients were followed up for a median period of 64.0 (range 0.4–298.8) months. During this time, 38 patients relapsed and 31 died from breast cancer (deaths unrelated to breast cancer were censored). Breast-cancer-specific survival was defined as time from primary surgical excision to breast-cancer-related death.

Using stratification of intrinsic phenotypes based on Nielsen et al. [21] tumours were placed into luminal (ER $\alpha$  positive, HER2 negative, cytokeratin (CK) 5/6 negative or positive), basal (HER2 and ER $\alpha$  negative; CK5/6 positive), HER2 (HER2 positive, ER $\alpha$  and CK5/6 negative or positive) and null/negative (HER2, ER $\alpha$  and CK5/6 negative).

### Immunohistochemistry

Tumour tissue microarrays (1-mm cores), with a fourfold redundancy, were prepared from formalin-fixed, paraffin-embedded tissue blocks of 129 tumours. Sections were cut, dewaxed, placed through graded alcohol and water. Antigen retrieval was performed in PT Link using low pH EnVision FLEX Target Retrieval Solution (Dako, Denmark) for 20 min at 100°C. Endogenous peroxidase was blocked with EnVision FLEX Peroxidase-Blocking Reagent (Dako, Denmark) before incubating the sections with mouse monoclonal antibodies pan-ER $\beta$  (Clone 14C8, Abcam, 1/200), ER $\beta$ 1 (Clone PPG5/10, GeneTex, 1/15) [17] and ER $\beta$ 2 (Clone 57/3, Serotec, 1/10) [18] for overnight at 4°C. Antigen–antibody complex was detected using Envision FLEX system (EnVision FLEX/HRP and EnVision FLEX DAB+ Chromogen). The specificity of both nuclear and cytoplasmic staining for these antibody clones have been previously established via peptide absorption studies [18, 22].

HER2 chromogenic in situ hybridisation (CISH) and immunoperoxidase staining for ER $\alpha$ , PgR, HER2, CK5/6 and EGFR were performed for all tumours. HER2 CISH was performed using the Invitrogen Spotlight system (Invitrogen, California, USA). Tumour cells were regarded as positive for amplification if there were more than six signals per nucleus [23]. Tumours in the equivocal group (4–6 signals) were further probed with chromosome 17 and considered amplified with a ratio of >2.2 [24].

### Immunohistochemical scoring and cut-off levels

ER $\beta$  was scored for nuclear and cytoplasmic staining using a cut-off of 20%, as defined by Shaaban et al. [18]. For survival analysis, the data were also analysed using cut-offs defined by Bates et al. [25]: the intensity of staining was scored as negative = 0, weak = 1, moderate = 2 or strong = 3 (Figure S1). The percentage of tumour cells was scored as: 0 = 0; 1–10 = 1, 11–50 = 2, 51–80 = 3,

**Table 1** Clinical and tumour characteristics (n = 123)

	BRCA1, n (%)	BRCA2, n (%)	BRCAX, n (%)	All familial, n (%)
Age				
Median (range), years				
<40 years	13 (37)	9 (27%)	9 (16%)	31 (25%)
40–55 years	20 (57)	13 (38%)	29 (53%)	62 (50%)
55–69 years	2 (6)	9 (27%)	14 (25%)	25 (20%)
>70 years	0	2 (6%)	3 (6%)	5 (4%)
Tumour size				
<20 mm	26 (74%)	16 (52%)	26 (54%)	68 (60%)
>20 mm	9 (26%)	15 (48%)	22 (46%)	46 (40%)
Unknown	0	2	7	9
Nodal status				
Negative	32 (91%)	23 (74%)	31 (65%)	86 (76%)
Positive	3 (9%)	8 (26%)	17 (35%)	28 (24%)
Unknown	0	2	7	9
Grade				
I	0	1 (4%)	6 (13%)	7 (7%)
II	2 (7%)	14 (48%)	14 (30%)	30 (29%)
III	27 (93%)	14 (48%)	26 (57%)	67 (64%)
Unknown	6	4	9	19
ER alpha				
Negative	27 (84%)	5 (17%)	16 (33%)	48 (44%)
Positive	5 (16%)	24 (83%)	33 (67%)	62 (56%)
Unknown	3	4	6	13
PgR				
Negative	27 (84%)	10 (35%)	23 (47%)	60 (55%)
Positive	5 (16%)	19 (65%)	26 (53%)	50 (45%)
Unknown	3	4	6	13
HER2 status				
Negative	27 (100%)	25 (100%)	39 (87%)	91 (94%)
Positive	0	0	6 (13%)	6 (6%)
Unknown	8	8	10	26
Endocrine therapy				
Not given	26 (90%)	19 (70%)	28 (60%)	65 (71%)
Given	3 (10%)	8 (30%)	19 (40%)	38 (29%)
Unknown	6	6	8	20
Chemotherapy				
Not given	10 (35%)	15 (56%)	21 (45%)	46 (45%)
Given	19 (65%)	12 (44%)	26 (55%)	57 (55%)
Unknown	6	6	8	20

81–100 = 4. The intensity and the percentage of positive tumour cells were added together to give a maximum score of 7. Previously defined median cut-offs of 7 for nuclear expression and 6 for cytoplasmic expression were used to groups into positive and negative tumours [25]. The highest score from the 4 cores of the tissue array was used where any discordance between cores was noted. For HER2, EGFR and CK5/6, the same cut-offs were derived from Neilsen et al. An Allred score of >2/8 was considered as positive for ER $\alpha$  [26].

#### Statistical analysis

Correlations were evaluated using, the Kruskal–Wallis or Chi-square tests where appropriate. Kaplan–Meier survival curves were calculated for breast-cancer-specific death, and were compared at 5 and 15 years using a log rank test. Binary logistic regression was used for multivariate analyses and the Cox proportional hazard regression model was used to identify independent prognostic factors for breast-cancer-specific survival. Analyses were performed

with SPSS 16.0 (SPSS Inc., IL, USA). A 2-tailed  $P$  value test was used in all analyses and a  $P$  value of less than 0.05 was considered as statistically significant.

## Results

### ER $\beta$ expression in familial breast cancers and their relationship with clinicopathological parameters

Pan-ER $\beta$  was expressed both in the nuclear and in the cytoplasmic compartments. This ranged from focal weak positivity to widespread strong positivity. Using a cut-off of 20% staining the most common nuclear ER phenotype in BRCA1 cancers was n(nuclear)ER $\alpha$ -n(pan)ER $\beta$ + (65.6%) (Table 2). BRCA1 cancers were significantly more likely have nER $\alpha$ -nER $\beta$ - and nER $\alpha$ -nER $\beta$ + phenotype when compared with BRCA2 and BRCAX cancers (both  $P < 0.001$ ). In contrast, BRCA2 and BRCAX cancers were significantly ( $P < 0.001$ ) more likely to be nuclear ER $\alpha$  positive (the most common phenotype being nER $\alpha$ + nER $\beta$ +) (75.9 and 61.2%, respectively) when compared with BRCA1 (12.5%) (Table 2).

The distributions of pan-ER $\beta$ , ER $\beta$ 1 and ER $\beta$ 2 expressions stratified by BRCA status and intrinsic phenotypes are shown in Tables S1a–S1f. Correlation with ER $\beta$  expression was performed using a cut-off of 20% [18]. No differences in pan-ER $\beta$ , ER $\beta$ 1 or ER $\beta$ 2 expression when tumours were stratified by BRCA status (all  $P > 0.05$ ). Basal-type familial breast cancers were significantly less likely to be nuclear pan-ER $\beta$  positive (26/36, 72.2%) when compared with luminal familial cancers (44/49, 89.8%) ( $P = 0.036$ ). There were no significant differences in ER $\beta$ 1 and ER $\beta$ 2 expressions between familial luminal and basal cancers ( $P > 0.005$ ).

ER $\alpha$  expression correlated with positive nuclear pan-ER $\beta$  expression ( $P = 0.014$ ) and negative cytoplasmic ER $\beta$ 2 expression ( $P = 0.024$ ). Patients with positive cytoplasmic ER $\beta$ 2 were more likely to receive chemotherapy ( $P = 0.042$ ) (Table 3). There was no significant association between pan-ER $\beta$ , ER $\beta$ 1 or ER $\beta$ 2 expression and tumour size, grade, lymph node status, PR, Her-2 and treatment with endocrine therapy (all  $P > 0.05$ ).

### ER $\beta$ and survival analysis in familial breast cancers

Analyses for survival were performed at 5 and 15 years using two different cut-offs as defined by Shaaban et al. (20% staining) and Bates et al. (7 out of 7 nuclear staining and 6 out of 7 cytoplasmic staining). Expression of cytoplasmic ER $\beta$ 2 in familial breast cancers correlated with shorter breast-cancer-specific survival at 15 years irrespective of cut-offs employed ( $P = 0.045$  at 20% and  $P = 0.002$  at score of 6) (Table 4; Fig. 1a, b). A similar trend was seen at 5 years although this was not statistically significant ( $P = 0.226$  at 20% and  $P = 0.061$  at score of 6). There was a trend for nuclear ER $\beta$ 1 expression to be associated with survival at 15 years although this was not statistically significant ( $P = 0.229$  at 20% and  $P = 0.064$  at score of 7). There was no correlation between survival and pan-ER $\beta$ , cytoplasmic ER $\beta$ 1 or nuclear ER $\beta$ 2 expression ( $P > 0.05$ ).

The tumours were then analysed based on their intrinsic subtypes. For basal-type familial breast cancers, cytoplasmic ER $\beta$ 2 expression was associated with shorter overall survival at 5 and 15 years at a cut-off of 6 out of 7 ( $P = 0.039$  and  $P = 0.011$ , respectively) (Table 4; Fig. 1d). At a cut-off of 20% a similar trend was seen although it did not reach statistical significance ( $P = 0.182$  at 5 years and  $P = 0.159$  at 15 years) (Table 4; Fig. 1c). No differences in survival were observed for luminal, HER2 and null subtypes when stratified by nuclear or cytoplasmic ER $\beta$  expression (all  $P > 0.05$ ).

When the tumours were analysed according to their BRCA status, there was a non-significant trend for BRCA1 tumours with positive cytoplasmic ER $\beta$ 2 to have a poorer 15-year survival ( $P = 0.306$  at 20% and  $P = 0.068$  at 6 out of 7) (Table 4; Fig. 1e, f). There was no correlation between ER $\beta$  expression and survival for BRCA2 and BRCAX cancers.

### Survival analysis by treatment with endocrine therapy

Analysis of the familial cancers by treatment group was then performed. In patients treated with tamoxifen, positive nuclear ER $\beta$ 1 expression correlated with longer 15-year survival ( $P = 0.024$  at 20% and  $P = 0.021$  at score of 7) (Fig. 2a, b). This did not reach statistical significance in a multivariate analysis using the Cox regression model, with

**Table 2** Nuclear ER $\alpha$  and nuclear pan-ER $\beta$  phenotypes in BRCA tumors, cut-off for positive expression at 20% of tumour cells

	ER $\alpha$ + ER $\beta$ - (%)	ER $\alpha$ + ER $\beta$ + (%)	ER $\alpha$ - ER $\beta$ - (%)	ER $\alpha$ - ER $\beta$ + (%)	Total (%)
BRCA1	1 (3.1)	4 (12.5)	6 (18.8)	21 (65.6)	32 (100.0)
BRCA2	3 (10.3)	22 (75.9)	2 (6.9)	2 (6.9)	29 (100.0)
BRCAX	3 (6.1)	30 (61.2)	5 (10.2)	11 (22.4)	49 (100.0)

**Table 3** Contingency table of ER $\beta$  and clinicopathological parameters in familial breast cancers, cut-off for positive expression at 20% of tumour cells ( $n = 123$ )

	Nuclear pan-ER $\beta$			Cytoplasmic pan-ER $\beta$			Nuclear ER $\beta$ 1			Cytoplasmic ER $\beta$ 1		
	Negative	Positive	<i>P</i> value	Negative	Positive	<i>P</i> value	Negative	Positive	<i>P</i> value	Negative	Positive	<i>P</i> value
Grade												
I, II	4	33	0.244	16	21	0.594	6	21	0.909	14	13	0.461
III	13	53		25	41		14	46		26	34	
<2 cm	13	55	0.421	29	39	0.606	14	43	0.691	25	32	0.736
$\geq$ 2 cm	6	39		17	28		8	30		18	20	
Negative	18	72	0.343	37	53	0.720	18	60	0.846	38	40	0.185
Positive	4	28		12	20		6	18		8	16	
Negative	13	34	0.014*	19	28	0.874	10	33	0.760	18	25	0.432
Positive	6	56		26	36		13	37		25	25	
Negative	14	45	0.060	23	36	0.596	12	41	0.591	24	29	0.832
Positive	5	45		22	28		11	29		19	21	
Negative	18	75	0.234	37	56	0.195	22	61	0.748	38	45	0.536
Positive	0	6		4	2		1	4		3	2	
Not given	14	58	0.743	34	38	0.504	16	47	0.492	26	37	0.281
Given	5	25		12	18		4	18		12	10	
Not given	8	42	0.616	40	10	0.275	8	32	0.537	14	26	0.247
Given	12	49		45	16		13	38		24	27	
RFS			0.087			0.820			0.805			0.260
BCSS			0.382			0.725			0.296			0.179
	Nuclear ER $\beta$ 2			Cytoplasmic ER $\beta$ 2								
	Negative	Positive	<i>P</i> value	Negative	Positive	<i>P</i> value						
Grade												
I, II	4	19	0.812	7	16	0.920						
III	9	50		17	41							
Size												
<2 cm	8	43	0.702	11	40	0.184						
$\geq$ 2 cm	5	34		13	25							
Nodal status												
Negative	10	63	0.402	20	53	0.778						
Positive	5	19		7	16							
ER alpha												
Negative	8	34	0.705	8	34	0.024*						
Positive	7	40		19	27							
PgR												
Negative	9	42	0.817	14	37	0.440						
Positive	6	32		13	24							
HER2 status												
Negative	13	64	0.317	21	55	0.710						
Positive	0	5		1	4							
Endo treat												
Not given	11	49	0.869	22	38	0.207						
Given	4	16		4	15							
Chemotherapy												
Not given	7	30	0.422	15	22	0.042*						
Given	7	43		10	39							

**Table 3** continued

	Nuclear ER $\beta$ 2			Cytoplasmic ER $\beta$ 2		
	Negative	Positive	<i>P</i> value	Negative	Positive	<i>P</i> value
RFS			0.497			0.075
BCSS			0.841			0.041*

*Endo treat* endocrine treatment, *RFS* relapse-free survival, *BCSS* breast cancer-specific survival

\*Significant *P* value < 0.05

grade, lymph node status, plus endocrine treatment and nuclear ER $\beta$ 1 as interaction variables ( $P = 0.203$ ). No correlation was seen between pan-ER $\beta$ , ER $\beta$ 1 or ER $\beta$ 2 expression and survival in patients not treated with tamoxifen ( $P > 0.05$ ).

#### Survival analysis by treatment with chemotherapy

For patients treated with chemotherapy, cytoplasmic ER $\beta$ 2 expression at a cut-off score of 6, correlated with poorer survival at 15 years ( $P = 0.003$ ) (Table 4; Fig. 1h). At a cut-off 20%, a trend was present, but this did not reach statistical significance ( $P = 0.057$ ) (Fig. 1g). The survival curves at 5 years showed a similar trend although this did not reach statistical significance ( $P = 0.159$  at a cut-off score of 6). No such differences were seen in patients not treated with chemotherapy ( $P > 0.05$  irrespective of cut-offs used). The significance of cytoplasmic ER $\beta$ 2 on response to chemotherapy at 15 years was confirmed by multivariate analysis including chemotherapy and non-threshold cytoplasmic ER $\beta$ 2 (score out of 7) as interaction variables ( $P = 0.045$ , hazard ratio 1.22, 95% CI 1.004–9.87) (Table 5). This however did not reach statistical significance at a cut-off of 20% ( $P = 0.056$ , hazard ratio 1.21, 95% CI 1.00–1.48) (Table 5).

Expression of nuclear ER $\beta$ 1 (cut-off at score of 7) correlated with better 15-year survival in patients treated with chemotherapy ( $P = 0.029$ ), however, this did not reach statistical significance on a multivariate analysis, including grade, lymph node status plus non-threshold

ER $\beta$ 1 score out of 7 and chemotherapy as interaction variables ( $P = 0.979$ , hazard ratio 1.00, 95% CI 0.83–1.20), or at a cut-off of 20% ( $P = 0.339$ ). There was no correlation between pan-ER $\beta$  expression and chemotherapy response ( $P > 0.05$ ).

#### Discussion

In this series of familial breast cancers nuclear pan-ER $\beta$  was expressed in 81% of cases, with no significant difference between the three BRCA1 (77%), BRCA2 (84%) and BRCAX (84%) groups. This is similar to 84% (94% BRCA1, 75% BRCA2 and 81% BRCAX) obtained by Daidone et al. [19] and higher than 42% reported in a series of 48 patients with founder BRCA1 mutation [20]. Although potentially due to differing patient cohorts, the discrepancy with the latter study may be due to their use of a different polyclonal antibody. We have used a monoclonal pan-ER $\beta$  antibody that has been validated by others and is likely to reflect true positivity [22]. Indeed, there was no significant variation across the four molecular subtypes in familial breast is in keeping with previous studies using this validated antibody [17].

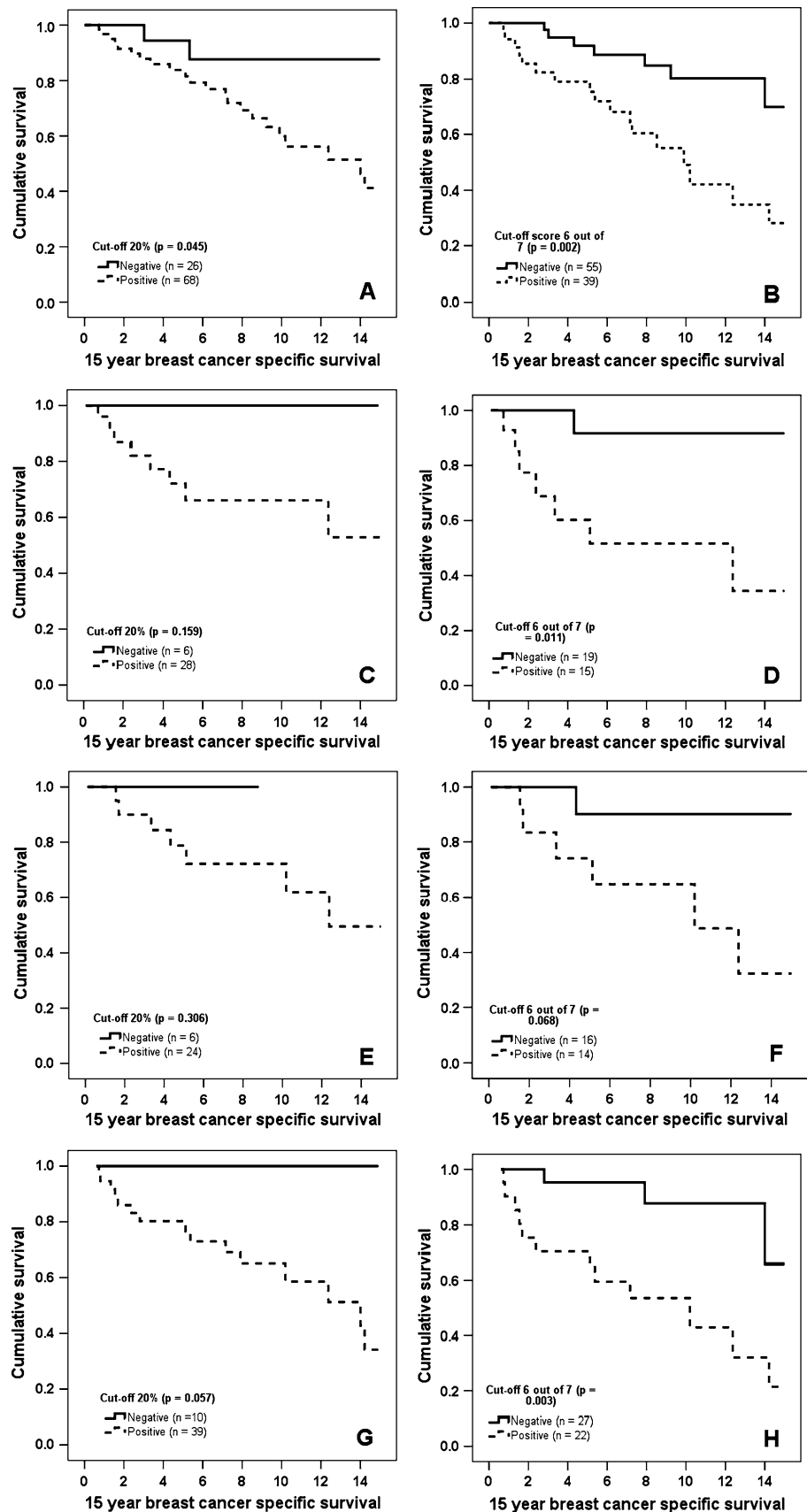
Recent studies on sporadic cancers using the same antibody clone have yielded discordant results regarding the impact of nuclear ER $\beta$ 1 on prognosis including: better survival particularly in triple negative cancers and postmenopausal women [16], better survival in node negative luminal A tumours [17], worse survival in node positive

**Table 4** Log rank test, 5- and 15-year overall survival, familial breast tumours, stratified by cytoplasmic ER $\beta$ 2 expression

	5-year overall survival, <i>P</i> value		15-year overall survival, <i>P</i> value	
	Cut-off 20%	Cut-off score 6 out of 7	Cut-off 20%	Cut-off score 6 out of 7
All familial tumours	0.226	0.061	0.045*	0.002*
Basal-type tumours	0.182	0.039*	0.159	0.011*
BRCA1 tumours	0.372	0.269	0.306	0.068
Tumours treated with chemotherapy	0.195	0.021*	0.057	0.003*
Tumours not treated with chemotherapy	0.754	0.323	0.851	0.734

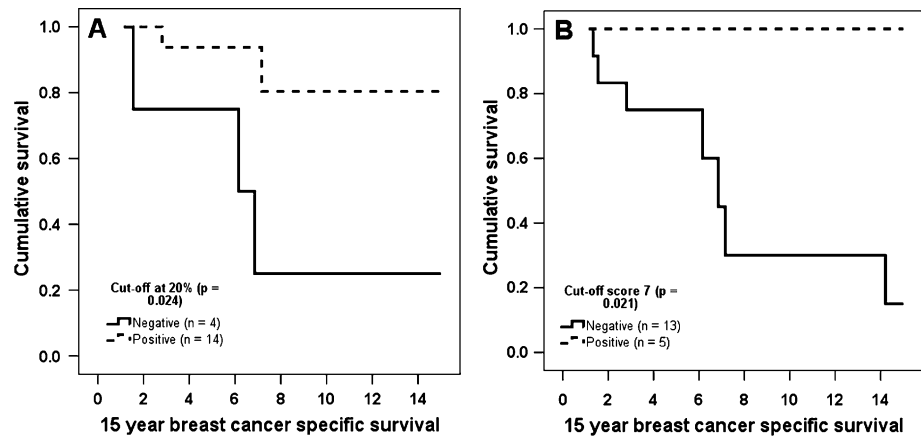
\*Significant *P* value < 0.05

**Fig. 1** Kaplan Meier curves of 15 year breast-cancer-specific survival stratified by cytoplasmic ER $\beta$ 2, cut-off for positivity at a score of 6 out of 7 (**b, d, f, h**), and at 20% (**a, c, e, g**). All familial cancers (**a, b**), basal-type cancers (**c, d**), BRCA1 cancers (**e, f**) and cancers treated with chemotherapy (**g, h**)





**Fig. 2** Kaplan Meier curves of breast-cancer-specific survival at 15 years, treated with endocrine therapy stratified by nuclear ER $\beta$ 1, cut-offs at 20% (a) and score of 6 (b)



**Table 5** Multivariate analysis using Cox regression model, 15-year overall survival in familial breast cancers, with (a) non-threshold cytoplasmic ER $\beta$ 2 (score out of 7) and (b) with threshold cytoplasmic ER $\beta$ 2 (20%) and chemotherapy as interaction variables

	<i>P</i> value	Hazard ratio	95% CI for hazard ratio
(a) Non-threshold cytoplasmic ER $\beta$ 2 (score out of 7)			
Cytoplasmic ER $\beta$ 2 * chemotherapy	0.045	1.22	1.004–9.87
Nodal status	0.048	3.83	1.01–14.53
Grade	0.115	3.72	0.73–19.01
(b) Threshold cytoplasmic ER $\beta$ 2 (20%)			
Cytoplasmic ER $\beta$ 2 * chemotherapy	0.056	1.21	1.00–1.48
Nodal status	0.012	3.36	1.31–8.62
Grade	0.771	1.22	0.312–4.82

luminal B tumours [17] and no impact on prognosis [18]. In this study of familial cancers, while there was a trend for nuclear ER $\beta$ 1 to be associated with a better prognosis, this did not reach statistical significance. Again this may be due to different cohorts, cut-offs employed and number of the familial cancers available for our study. Nuclear ER $\beta$ 1, however, was predictive of response to endocrine therapy at both cut-offs, in concordance with 10 out of 13 previous ER $\beta$  studies as reviewed by Fox et al. [27]. This is supported by cell line studies where the induction of ER $\beta$  expression enhanced the anti-proliferative effects of tamoxifen [28]. This may have important treatment implications, particularly for BRCA1 cancers, since a significant proportion of these cases was negative for ER $\alpha$  but positive for nuclear ER $\beta$ 1 (77%, 20/26).

In addition to nuclear expression, in our other studies [25] and in accordance with other investigators [14, 18, 22, 29], we also noted cytoplasmic expression. The cytoplasmic staining present for the ER $\beta$  antibody clones used in our study is likely to be specific as it has been terminated by peptide absorption in previous studies [18, 22]. This is in keeping with ER $\alpha$  and ER $\beta$  having a non-genomic signalling function, and the role of ER $\alpha$  and ER $\beta$  in the transcription of mtDNA in the mitochondrion [30, 31]. Indeed, immunoblotting of subcellular fractions have confirmed the

presence of ER $\beta$  within the nucleus, cytoplasm and the caveolae of plasma membranes [32].

Although there was no significant correlation between either nuclear or cytoplasmic ER $\beta$ 2 and classical prognostic factors including size, grade and lymph node status it is interesting to note that cytoplasmic positivity was associated with poorer survival at 15 years regardless of cut-offs employed. For basal-type cancers, this was significant at 5 and 15 years, when a cut-off score of 6 was used. A similar trend was seen at a cut-off of 20%, however, this did not reach statistical significance. The absence of a statistical association at this cut-off may be due to the limited number of cases with <20% staining, but increasing the cohort size in familial breast cancer is difficult. Similarly, there was a trend for shorter overall survival in BRCA1 tumours. Overall, these findings are consistent with a previous study by Shaaban et al. [18], where cytoplasmic ER $\beta$ 2 was associated with a poorer prognosis.

The effect of ER $\beta$  on basal-type and BRCA1 cancers noted in our study are supported by cell line studies where the introduction of ER $\beta$  into a ER $\alpha$  negative cell line MDA-MB-435 resulted in increased proliferation, invasiveness and metastasis [33]. Whereas in ER $\alpha$  positive cells, the introduction of ER $\beta$  led to the inhibition of genes associated with proliferation [27]. Extranuclear ER $\beta$  may



have rapid non-genomic effects including stimulation of cell proliferation via G protein, ERK and c-Jun kinase activation [32, 34, 35]. In addition, induction of ER $\beta$ -dependent transcription of mtDNA (COXI, COXII and ND1 subunit complex 1) in the mitochondrion may result in alterations in energy metabolism, abnormal growth and inhibition of apoptosis [31, 36–38].

Furthermore, the expression of cytoplasmic ER $\beta$ 2 in our study was associated with shorter survival at 15 years in patients receiving chemotherapy. This was significant at a cut-off score of 6, and was also significant in a multivariate analysis with non-threshold data (score out of 7) and chemotherapy as interaction variables. Multivariate analysis at a 20% cut-off did not reach statistical significance ( $P = 0.056$ ), however, this may be due to the limited number of tumours with  $\leq 20\%$  expression treated with chemotherapy. Assessment of ER $\beta$ 2 expression may be of clinical relevance, as a proportion of familial triple negative cancers, which are resistant to targeted therapies, express cytoplasmic ER $\beta$ 2 in  $>20\%$  of cells (32/40, 80%).

Chemotherapeutic agents such as cyclophosphamide, doxorubicin and paclitaxel initiate apoptosis by increasing permeability of the mitochondrial membrane, either through induction of p53/bcl-2 expression (secondary to DNA damage), or by the generation of reactive oxygen species [31, 39, 40]. This leads to Ca<sup>2+</sup> overload of the mitochondrial matrix and dissipation of the electrochemical gradient which drives ATP generation, resulting in swelling and rupture of the mitochondrion, followed by the release of pro-apoptotic proteins [31, 41]. Mitochondrial ER $\beta$  may block apoptosis by promoting transcription of respiratory chain protein mt-DNA (such as subunits of ATP synthase, complex III and IV), leading to increased ATP production and the neutralisation of reactive oxygen species [31]. Inhibition of apoptosis may also occur via direct inhibition of the Ca<sup>2+</sup> uniporter by mitochondrial estrogen receptors [42]. The role of ER $\beta$  in the inhibition of apoptosis is further supported by the lowering of resting mitochondrial membrane potential following mitochondrial ER $\beta$  knockdown [43]. Chemotherapy resistance may be further enhanced by the rapid non-genomic effects of extranuclear ER $\beta$  on cell proliferation [31].

The correlation between cytoplasmic ER $\beta$ 2, but not ER $\beta$ 1 with survival provides further evidence to support the different transactivating properties of the different ER $\beta$  isoforms [44]. While ER $\beta$ 1 is the only fully functional isoform and may form ER $\beta$ 1 homodimers, ER $\beta$ 2 to 5 cannot form homodimers, but may form heterodimers with ER $\beta$ 1 only. Under the stimulation of estrogens, ER $\beta$ 1 preferentially forms heterodimers with ER $\beta$ 2-5 with enhanced transactivating properties when compared to ER $\beta$ 1 homodimers [44].

A correlation between loss of ER $\alpha$  protein and BRCA1 mutation has been reported [5, 45, 46]. There are limited data on the relationship between ER $\beta$  and BRCA1, however, it is known under the influence of the phytoestrogen genistein, BRCA1 inhibits ER $\beta$  but not ER $\alpha$  reporter activity [47]. The presence of BRCA1 mutations may therefore enhance ER $\beta$  activity in ER $\alpha$  negative tumours.

In summary, this is the first study to comprehensively analyse the subcellular expression of ER $\beta$  and its different isoforms in familial breast cancer. Our study highlights the impact cytoplasmic ER $\beta$ 2 on prognosis and response to treatment. Since it has been reported that 5–10% of patients with ER $\alpha$  negative breast cancer respond to tamoxifen [7, 48] and that this effect is may be predicted by ER $\beta$  expression, the clinical diagnostic measurement of nuclear ER $\beta$ 1 may identify patients with ER $\alpha$  negative tumours that may benefit from endocrine therapy.

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