

***BRCA1* promoter methylation is associated with increased mortality among women with breast cancer**

Xinran Xu · Marilie D. Gammon · Yujing Zhang · Timothy H. Bestor · Steven H. Zeisel · James G. Wetmur · Sylvan Wallenstein · Patrick T. Bradshaw · Gail Garbowski · Susan L. Teitelbaum · Alfred I. Neugut · Regina M. Santella · Jia Chen

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Abstract Promoter-CpG island hypermethylation has been proposed as an alternative mechanism to inactivate *BRCA1* in the breast where somatic mutations of *BRCA1* are rare. To better understand breast cancer etiology and

progression, we explored the association between *BRCA1* promoter methylation status and prognostic factors as well as survival among women with breast cancer. Promoter methylation of *BRCA1* was assessed in 851 archived tumor tissues collected from a population-based study of women diagnosed with invasive or in situ breast cancer in 1996–1997, and who were followed for vital status through the end of 2002. About 59% of the tumors were methylated at the promoter of *BRCA1*. The *BRCA1* promoter methylation was more frequent in invasive cancers ($P = 0.02$) and among premenopausal cases ($P = 0.05$). *BRCA1* promoter methylation was associated with increased risk of breast cancer-specific mortality (age-adjusted HR 1.71; 95% CI:

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X. Xu · S. Wallenstein · S. L. Teitelbaum · J. Chen (✉)
Department of Community and Preventive Medicine, Mount Sinai School of Medicine, P.O. Box 1043, One Gustave L. Levy Place, New York, NY 10029, USA
e-mail: jia.chen@mssm.edu

M. D. Gammon · P. T. Bradshaw
Department of Epidemiology, University of North Carolina, Chapel Hill, NC 27599, USA

Y. Zhang · G. Garbowski · R. M. Santella
Department of Environmental Health Sciences,
Columbia University, New York, NY 10032, USA

T. H. Bestor
Department of Genetics and Development, Columbia University,
New York, NY 10032, USA

S. H. Zeisel
Department of Nutrition, University of North Carolina,
Chapel Hill, NC 27599, USA

J. G. Wetmur
Department of Microbiology, Mount Sinai School of Medicine,
New York, NY 10029, USA

J. G. Wetmur
Department of Genetics and Genomic Sciences, Mount Sinai School of Medicine, New York, NY 10029, USA

A. I. Neugut
Department of Epidemiology, Columbia University, New York, NY 10032, USA

A. I. Neugut
Department of Medicine, Columbia University, New York, NY 10032, USA

J. Chen
Department of Pediatrics, Mount Sinai School of Medicine,
New York, NY 10029, USA

J. Chen
Department of Oncological Science, Mount Sinai School of Medicine, New York, NY 10029, USA

1.05–2.78) and all-cause mortality (age-adjusted HR 1.49; 95% CI: 1.02–2.18). Neither dietary methyl intakes in the year prior to the baseline interview nor the functional polymorphisms in one-carbon metabolism were associated with *BRCA1* methylation status. Our study is the first epidemiological investigation on the prognostic value of *BRCA1* promoter methylation in a large population-based cohort of breast cancer patients. Our results indicate that *BRCA1* promoter methylation is an important factor to consider in predicting breast cancer survival.

Keywords *BRCA1* · Methylation · Epigenetics · One-carbon · Survival · Breast cancer

Introduction

Breast cancer is the leading cause of cancer mortality among women 20–59 years of age and the second leading cause of cancer mortality among all women [1]. Breast cancer is a manifestation of abnormal genetic as well as epigenetic changes [2]. Promoter-CpG island hypermethylation, accompanied by global hypomethylation, are common molecular defects in cancer cells [3, 4]. Although the causal relationship is still being debated, evidence has shown that hypermethylation is associated with silencing of many crucial genes in the neoplastic process [5]. This phenomenon has also been reported in a large panel of genes in breast cancer [6].

Breast cancer gene 1 (BRCA1), located on chromosome 17q21 (Fig. 1), encodes a multifunctional protein involved in DNA repair, control of cell-cycle checkpoints, protein ubiquitinylation and chromatin remodeling [7]. It was originally cloned as a gene responsible for familial breast cancer [8]. About 5–50% of familial breast cancers could

be explained by inherited mutations of *BRCA1* in different populations [9]. However, somatic mutations of *BRCA1* are rare in sporadic breast cancers despite the high degree of loss of heterozygosity (LOH) at this locus [6, 10]. Therefore, other mechanisms for loss of function must exist. DNA methylation has been proposed as an alternative mechanism to inactivate *BRCA1* [11]. Results from various methods of detection revealed that 9–44% of breast cancer samples harbored a hypermethylated promoter at *BRCA1* [11, 12].

BRCA1 status may potentially be used as a prognostic marker as studies have shown that breast cancers with *BRCA1* mutations are usually poorly differentiated, highly proliferative, ER-, PR-, and harbor *p53* mutations [13]. *BRCA1* mutated breast cancer is also associated with poor survival in some studies [14–18].

One-carbon metabolism may be involved in the DNA methylation process as it provides the universal methyl donor, S-adenosylmethionine (SAM). Folate, methionine and choline are the major sources of methyl groups in foods [19]. There is evidence that dietary methyl donors are capable of modulating methylation patterns in both animal models and humans [20–23]. Furthermore, functional polymorphisms in one-carbon metabolizing genes could in principle modify DNA methylation status [24–26].

We previously reported that intakes of B vitamins as well as common polymorphisms in one-carbon metabolizing genes were associated with breast cancer risk in the population-based Long Island Breast Cancer Study Project [27–29]. Herein, we investigated promoter methylation status of *BRCA1* in relation to clinical/pathological factors and breast cancer survival in the same population. The influence of dietary methyl intake as well as polymorphisms in one-carbon metabolizing genes on *BRCA1* promoter methylation was also examined.

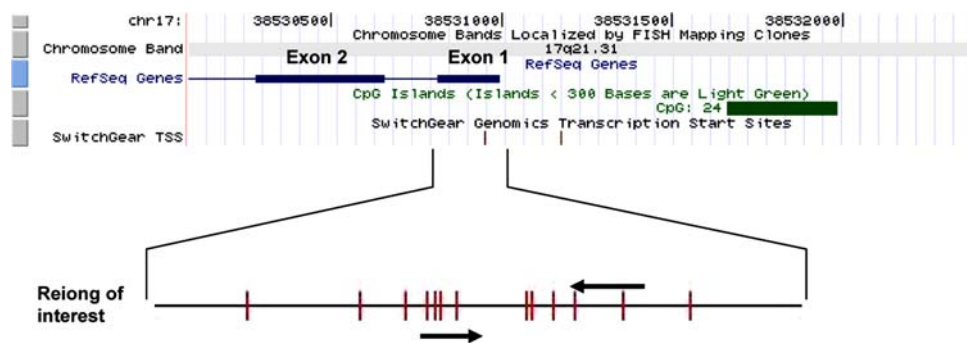


Fig. 1 Schematic illustration of the *BRCA1* promoter region for methylation analysis. The view of the genomic context was adapted from UCSC Genome Browsers (<http://genome.ucsc.edu>). The reverse strand of *BRCA1* is shown. The blue bar shows the exons of the RefSeq Genes and the green bar shows the predicted CpG island in

the promoter region. Location of transcription start sites (TSS) is also shown. An enlarged view of the region examined (Exon 1 and nearby region) shows the location of the primers for methylation-specific PCR and CpG sites (red vertical bars)

Materials and methods

Study population

We utilized the resources of the parent case-control as well as the follow-up study of the Long Island Breast Cancer Study Project, a population-based study. The study participants included women newly diagnosed with a first primary breast cancer who participated in the original case-control study [30] and were subsequently re-interviewed about five years later and followed for vital status [31]. Details of the study design have been described in detail previously [30–33].

Exposure data was obtained as part of the (1) case-control (baseline) interview; (2) follow-up interview; and (3) medical record abstraction. The questionnaires were administered to assess the demographic characteristics, breast cancer-related factors, tumor characteristics and treatment information. The study protocol was approved by the Institutional Review Boards of the collaborating institutions. REMARK criteria were used through this report [34].

Study outcome

The National Death Index was used to ascertain all-cause and breast cancer-specific mortality. Among the 1508 women diagnosed with breast cancer in 1996–1997, 198 (13.1%) deaths occurred by December 31, 2002. The mean follow up time was 5.6 years (range: 0.2–7.4). Based on International Classification of Diseases (ICD) codes 174.9 and C-50.9 listed as a primary or secondary code on the death certificate, 124 (62.6%) of these 198 deaths were due to breast cancer.

Tumor block retrieval and DNA extraction

Tumor tissue blocks were requested from all 35 participating hospitals of the parent study. In total, breast cancer tissue blocks were successfully retrieved for 975 case participants (67.2%). We compared the demographic and clinicopathological features between cases with or without tumor block available for methylation analysis in our study. Although most characteristics are similar between these two groups, some factors were different. Case women who had tumor samples available for methylation analysis tended to be older (mean age 59.6 vs. 57.9; $P = 0.005$); to have an invasive tumor (87.8% vs. 80.1%; $P < 0.001$); and to be post-menopausal (70.7% vs. 64.6%; $P = 0.01$).

The paraffin blocks from each case participant were used to generate 15x 5 micron and 10x 10 micron thick slides. Tumor tissues were isolated from 10 micron paraffin sections by microdissection. Tumor DNA was isolated by

adding 30 μ l of proteinase K-digestion buffer (50 mM Tris, pH 8.1, 1 mM EDTA, 0.5% Tween 20, 10 μ g/ml proteinase K) to the tube and by incubating overnight at 37°C. Proteinase K was inactivated incubating the samples at 95°C for 10 min and centrifugation.

Analysis of *BRCA1* promoter methylation

BRCA1 promoter methylation was determined by methylation-specific PCR (MSP) with bisulfite-converted DNA (illustrated in Fig. 1). Bisulfite modification of DNA to convert unmethylated cytosine residues to uracil was carried out using the CpGnome DNA Modification Kit (Chemicon International, Purchase, NY) following the protocol from the manufacturer. Sequences of the primers were: (i) Methylated primer: forward-5'-GAG AGG TTG TTG TTT AGC GG-3'; backward-5'-CGC GCA ATC GCA ATT TTA AT-3'; (ii) Unmethylated primers: forward-5'-TGG TAA TGG AAA AGT GTG GGA A-3'; backward-5'-CCC ATC CAA AAA ATC TCA ACA AA-3'. PCR was carried out in a total volume of 20 μ l containing 0.5 U of AmpliTaq Gold II (Roche, Nutley, NJ, USA). The amplicon was 146 bp in length. Each PCR reaction underwent initial denaturation at 95°C for 10 min, and 40 cycles of the following profile: 30 s at 94°C, 30 s at 55°C, and 30 s at 72°C followed by a final 10 min extension at 72°C. The PCR products were then analyzed by electrophoresis on a 2% agarose gel, stained with ethidium bromide and visualized by UV transillumination. DNA is considered methylated if a PCR product using methylated-specific primers was visualized while a PCR product using unmethylated-specific primers is absent. Bisulfite-modified universal methylated DNA (Chemicon International, NY) and sperm DNA were used as methylated and unmethylated controls, respectively. The assay was successfully completed for 851 subjects; the main reason for failure of methylation assessment was insufficient DNA from tumor blocks.

Dietary assessment

As part of the baseline interview, participants were asked to complete a modified Block food frequency questionnaire (FFQ), which assessed intake of over 100 food items in the year prior to the interview [33]. The frequency and portion size data were translated to daily intakes of nutrients from both dietary and supplement sources using the National Cancer Institute's DietSys version 3 for folate, and a previously described protocol for choline, methionine and betaine [35]. Habitual use of multivitamin supplements was also obtained from the FFQ. Dietary intake values for one-carbon related micronutrients and compounds were calculated based on interview data assessed from this FFQ.

Blood sample collection and genotyping

Blood samples were collected from 73% of the cases at the time of the baseline interview by trained field staff [30] and DNA was isolated from blood specimens using the methods previously described [32]. Genotyping was conducted on 9 polymorphisms in the one-carbon metabolism pathway using methods described elsewhere [27, 28].

Statistical analysis

Correlation of *BRCA1* promoter methylation status of the tumor tissue with patient demographic characteristics, other factors that may affect prognosis, and with known characteristics of the breast cancer diagnosis was examined using the chi-square statistic for categorical variables and by two-sample t-test for continuous variables. The Kaplan-Meier and the log-rank test were used to examine the crude association between *BRCA1* promoter methylation status and survival [36]. The Cox proportional hazard regression [36] was used to estimate the hazard ratio (HR) and 95% confidence interval (CI) for breast cancer-specific and all-cause mortality, with adjustments made for age at diagnosis (continuous). Potential confounding effect by other factors known to influence survival among breast cancer patients was evaluated by adjustment in the Cox model. These factors include age at diagnosis, cancer type (in situ vs. invasive), menopausal status (pre- vs. post-), race, family history of breast cancer and history of benign breast. One-carbon metabolism-related nutrient intakes in the year prior to the interview were divided into quintiles based on the distributions observed in cases with methylation data. Since we anticipate that only those with extreme low intake may have the phenotypes of interest, we compared the incidence of higher methylation in the very low nutrient intake group, with that the pool of the other four quintiles. Nutrients examined in the study include folate, methionine, choline, betaine and B vitamins (B₁, B₂, B₃, B₆, B₁₂). Whether the distribution of the one-carbon genotypes differed with respect to *BRCA1* methylation status was examined using the chi-square statistic. All statistical analyses were performed using SAS statistical software version 9.1(SAS Institute, Cary, NC).

Results

BRCA1 methylation and clinicopathological characteristics of breast cancer

Promoter methylation status of *BRCA1* was assessed in breast tumor samples from a population-based sample of 851 women with breast cancer, including 104 in situ and

747 invasive cases (Table 1). Overall 504/851 (59.2%) of tumors showed methylation at the promoter of *BRCA1*. Table 1 summarizes the relationship between *BRCA1* methylation status and clinicopathological, lifestyle factors. *BRCA1* promoter methylation was more frequent in cancers that were classified as invasive ($P = 0.02$) and

Table 1 Association between *BRCA1* promoter methylation and clinicopathological, lifestyle factors of breast cancer survival assessed at the baseline interview ($n = 851$)^a

Feature	<i>BRCA1</i> methylated, $n = 504$ (59.2)	<i>BRCA1</i> unmethylated, $n = 347$ (40.8)	<i>P</i> -value
Age at diagnosis (y)			
≤60	259 (59.7)	175 (40.3)	0.78
>60	245 (58.8)	172 (41.2)	
Mean age	59.0	60.4	0.12 (<i>t</i> -test)
Race	$n = 503$	$n = 346$	
White	463 (58.5)	329 (41.5)	0.21
Black	29 (69.0)	13 (31.0)	
Other	11 (73.3)	4 (26.7)	
Cancer type	$n = 504$	$n = 347$	
In situ	51 (49.0)	53 (51.0)	0.024
Invasive	453 (60.6)	294 (39.4)	
Menopausal status	$n = 493$	$n = 340$	0.051
Pre-	157 (64.3)	87 (35.7)	
Post-	336 (57.0)	253 (43.0)	
Family history	$n = 488$	$n = 333$	0.570
No	399 (59.9)	267 (40.1)	
Yes	89 (57.4)	66 (42.6)	
History of benign breast disease	$n = 503$	$n = 347$	
No	417 (60.2)	276 (39.8)	0.214
Yes	86 (54.8)	71 (45.2)	
Supplement use ^b	$n = 498$	$n = 341$	
No	262 (60.0)	175 (40.0)	0.713
Yes	236 (58.7)	166 (41.3)	
Active smoking	$n = 504$	$n = 347$	
Never	229 (60.6)	149 (39.4)	0.192
Current	88 (53.0)	78 (47.0)	
Past/former	187 (60.9)	120 (39.1)	
Passive smoking	$n = 491$	$n = 340$	
Never	95 (58.6)	67 (41.4)	0.397
Current	73 (54.1)	62 (45.9)	
Past/former	323 (60.5)	211 (39.5)	
Folate intake (μg/day)	$n = 498$	$n = 341$	
Mean (dietary)	264.9	258.7	0.52 (<i>t</i> -test)
Mean (total)	443.8	450.1	0.79 (<i>t</i> -test)

^a Numbers in the parenthesis are percentages of methylated or unmethylated case in each category

^b Supplement: multivitamin supplement use in the 5 years prior to the baseline interview

Table 2 Association between *BRCA1* promoter methylation and potential predictors of breast cancer survival assessed at the baseline interview

Feature	<i>BRCA1</i> methylated, <i>n</i> = 504 (59.2)	<i>BRCA1</i> unmethylated, <i>n</i> = 347 (40.8)	<i>P</i> -value
ER status	<i>n</i> = 372	<i>n</i> = 253	
Negative	89 (59.7)	60 (40.3)	0.952
Positive	283 (59.4)	193 (40.6)	
PR status	<i>n</i> = 372	<i>n</i> = 253	
Negative	135 (59.2)	93 (40.8)	0.905
Positive	237 (59.7)	160 (40.3)	
Node	<i>n</i> = 202	<i>n</i> = 125	
0	142 (57.3)	106 (42.7)	0.003
1+	60 (76.0)	19 (24.0)	
Tumor size	<i>n</i> = 199	<i>n</i> = 122	
≤2 cm	141 (57.1)	106 (42.9)	0.003 ^a
2–5 cm	52 (78.8)	14 (21.2)	
>5 cm	6 (75.0)	2 (25.0)	

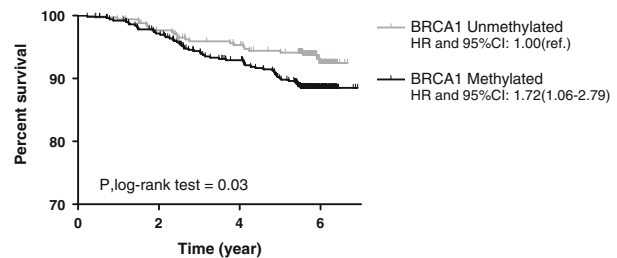
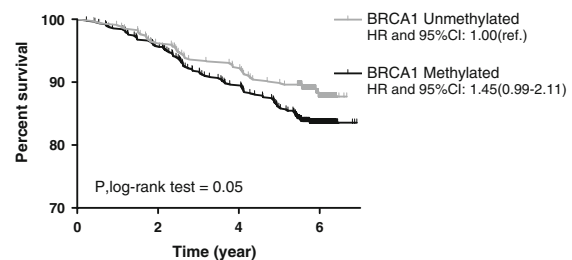
^a Fisher's exact test

among premenopausal women ($P = 0.05$). *BRCA1* promoter methylation was not associated with age at diagnosis or family history of breast cancer.

Hormone receptor status, as recorded on the medical record, was determined by immunohistochemistry; this information was available on 625 out of 851 samples (Table 2). *BRCA1* promoter methylation status was not associated with ER or PR status in this population. For a smaller subset of women, we were able to obtain information on tumor size ($n = 321$) and node involvement ($n = 327$) from the medical record. *BRCA1* promoter methylation was more frequent in cancers with at least one node involved ($P = 0.003$) and with tumor size greater than 2 cm ($P = 0.003$).

BRCA1 methylation and survival

Among the 851 women with methylation data available, a total of 122 (14.3%) deaths were observed; 79 (64.8%) of these were due to breast cancer. As shown in Fig. 2, *BRCA1* methylation was associated with breast cancer-specific mortality among the cohort of women in our analysis (P for log-rank test = 0.03). Compared to cases with an unmethylated *BRCA1* promoter, those who had a methylated *BRCA1* promoter had a 72% increased risk of dying from breast cancer at the end of follow up (age-adjusted HR: 1.72, 95% CI: 1.06–2.79). A similar result was observed for all-cause mortality with borderline significance (p for log-rank test = 0.05); cases with methylated *BRCA1* promoters had a 45% increased

a Association between *BRCA1* promoter methylation and breast cancer-specific mortality**b** Association between *BRCA1* promoter methylation and all-cause mortality**Fig. 2** Survival plot for breast cancer patients by *BRCA1* promoter methylation status in the tumor tissue—Kaplan–Meier analyses of survival among all 851 breast cancer cases. Vertical lines in the curve represent the death events

mortality risk when compared to those who had unmethylated *BRCA1* promoters (age-adjusted HR: 1.45, 95% CI: 0.99–2.11). The *BRCA1* methylation and survival association was of borderline significance after adjusting for age, cancer type (in situ vs. invasive), menopausal status (pre- vs. post-), race, family history of breast cancer and history of benign breast disease in a multivariate model (multi-variate adjusted HR for breast cancer-specific mortality: 1.67, 95% CI: 0.99–2.81; for all-cause mortality: 1.40, 95% CI: 0.94–2.08).

Among cases that have *BRCA1* methylation status assessed, information on whether they received chemotherapy, radiation therapy or hormone therapy was available on ~550 cases. When survival analysis was performed according to treatment groups, the relationship between *BRCA1* methylation and survival association dose not differ with treatment.

One-carbon metabolism and *BRCA1* methylation

We explored whether dietary intakes of one-carbon related nutrients a year prior to baseline interview were associated with *BRCA1* methylation status. None of the nutrient intakes was associated with *BRCA1* methylation. Furthermore, we did not observe any relationships between *BRCA1* promoter methylation and functional polymorphisms in the one-carbon metabolizing genes [(*MTHFR* C677T (rs1801133) and A1298C (rs1801131); *TYMS* 5'-UTR tandem repeat; *DHFR* 19 bp deletion; *MTR* A2756

(rs1805087); *MTRR* A66G (rs1801394); *BHMT* G742A (rs3733890); *RFC1* A80G (rs1051266); and *cSHMT* C1420T (rs1979277)] (data not shown).

Discussion

To reduce the disease burden of breast cancer, it is important to identify etiologic factors of the disease as well as factors that influence survival. We studied *BRCA1* promoter methylation because the importance of this gene has been well documented in breast carcinogenesis [37]. To the best of our knowledge, this is the first epidemiologic study on the prognostic value of *BRCA1* methylation. The cohort of women with breast cancer was drawn from a large population-based sample that encompassed a broad age range making the study results more generalizable than a series of cases from a single institution. Furthermore, the comprehensive lifestyle and dietary information as well as collection of biological samples allowed us to examine interactions among environmental, genetic, and epigenetic factors in relation to breast cancer mortality.

We found *BRCA1* promoter methylation in ~59% of tumors, a level higher than published studies from other groups, which ranged from 9–44% [11, 12]. Several factors may account for these differences. First, the assay used for methylation measurement varied from study to study. Because contamination from adjacent tissue may occur during tissue dissection, unmethylated DNA from the normal cells might attenuate the methylation levels of the tumor tissue. In our study, tumor tissues were microdissected from paraffin-embedded sections so the contamination was minimized. Secondly, MSP detects differential methylation status by amplification of bisulfite-treated DNA with primers specific for methylated vs. unmethylated DNA [38]. CpG sites residing within the primer sets were used as a proxy for the methylation status of the region of interest. Although most published studies mentioned above used MSP, the primer sequences and target regions varied from study to study. Considering the specificity of our assay, we demonstrated that by incorporating both methylated and unmethylated DNA as controls.

We found that *BRCA1* promoter methylation was more frequent in invasive than in in situ carcinomas. Since information on breast cancer subtype was not readily available for the other published studies, we could not compare the methylation-cancer type relationship observed here directly with other studies. In a small subset of our population for whom medical record data were available, we also found a higher prevalence of *BRCA1* promoter methylation in cases with at least one node involved and with tumor size greater than 2 cm. Taken together, these associations suggest that methylation occurs in sequence during

tumor development and progression. Higher methylation levels could result in a more advanced tumor stage at diagnosis. Thus, it is possible that the variation in methylation prevalence reported across studies could also be due to the variation in the distributions of cases' stage at diagnosis.

We found that *BRCA1* promoter methylation was more frequent in tumors from premenopausal women despite the fact that age of diagnosis was not associated with *BRCA1* methylation. Studies have indicated that estrogens stimulate the expression of *BRCA1* [39]. On the other hand, *BRCA1* was shown to have an ability to inhibit the cellular response to estrogens by direct interaction with the estrogen receptor [40, 41]. Nevertheless, we did not observe any association between *BRCA1* methylation and ER/PR status in our study.

BRCA1 mutation status as a potential prognostic marker had been explored previously. A recent review by Liebens et al. [42] summarized differences in survival outcome in relation to *BRCA1* germline mutations. Evidence exists indicating that *BRCA1* mutation carriers had a worse survival [14–18]. Carriers of *BRCA1* mutations had functionally defective proteins, resulting in some loss of function. Promoter methylation represented an alternative mechanism for loss of function of *BRCA1*. Our study is the first to report that *BRCA1* promoter methylation influences breast cancer survival in an epidemiologic study. Decreased survival associated with methylated *BRCA1* corroborates the findings on *BRCA1* mutations. This finding may have clinical significance as it identifies a subgroup of patients with worse survival and could help in tailoring of breast cancer treatment based on epigenetic profiles.

We found no association between intake of nutrients involved with one-carbon metabolism and *BRCA1* methylation status. Although previous studies have shown that dietary methyl content and one-carbon gene polymorphisms were capable of modulating global DNA methyl content in animal models and humans [20–26], modulation of promoter methylation of specific genes has not been demonstrated. Since epigenetic changes may be reversible and diet is a modifiable factor, further investigation into this relationship could aid in our search for breast cancer chemoprevention strategies.

One limitation of our study is that *BRCA1* expression was not measured in the tumor tissues. Thus, we could not explore the functional consequence of DNA methylation. Previous studies have generated conflicting results on *BRCA1* expression and the subcellular localization of this protein by using various antibodies for immunohistochemical staining [43–45]. This discrepancy was even more pronounced when paraffin-embedded tissues were used [46]. Nevertheless, the fact that our results corroborate those from *BRCA1* mutation studies suggests that promoter methylation indeed results in gene silencing or loss of gene function.

Another limitation of our study is that tumor DNA was not available for all case participants of the Long Island Breast Cancer Study Project, which is a population-based study. Although there were some differences between those with and without tumor DNA available for our analyses (described in the Methods section), the benefit of utilizing our population-based sample is that we are able to quantify the differences between the two groups. This valuable contrast aids in our interpretation of the generalizability of our study results to the general population. It is this type of information that is often unavailable from other study populations, such as those derived from a hospital-based case series. Nonetheless, caution should be taken when comparing our results across studies, or to the general population. Finally, the Long Island Breast Cancer Study Project was conducted in multiple hospitals; the treatment information was not as completed and detailed as an single institutional study. So we have limited ability to further investigate the predictive effect of *BRCA1* methylation status.

In summary, we examined *BRCA1* promoter methylation status and explored its relationship with clinicopathological factors and breast cancer survival. Our study, which is based on data drawn from a large population-based sample, is the first to report on the prognostic value of *BRCA1* promoter methylation status in breast cancer in an epidemiologic study. Our results indicate that *BRCA1* promoter methylation could be an important prognostic factor of breast cancer.

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