

Building damage scenarios based on exploitation of Housner intensity derived from finite faults ground motion simulations

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Received: 7 December 2010 / Accepted: 11 August 2011 / Published online: 1 September 2011
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Abstract In this paper earthquake damage scenarios for residential buildings (about 4200 units) in Potenza (Southern Italy) have been estimated adopting a novel probabilistic approach that involves complex source models, site effects, building vulnerability assessment and damage estimation through Damage Probability Matrices. Several causative faults of single seismic events, with magnitude up to 7, are known to be close to the town. A seismic hazard approach based on finite faults ground motion simulation techniques has been used to identify the sources producing the maximum expected ground motion at Potenza and to generate a set of ground motion time histories to be adopted for building damage scenarios. Additionally, site effects, evaluated in a previous work through amplification factors of Housner intensity, have been combined with the bedrock values provided by hazard assessment. Furthermore, a new relationship between Housner and EMS-98 macroseismic intensity has been developed. This relationship has been used to convert the probability mass functions of Housner intensity obtained from synthetic seismograms amplified by the site effects coefficients into probability mass function of EMS-98 intensity. Finally, the Damage Probability Matrices have been applied to estimate the damage levels of the residential buildings located in the urban area of Potenza. The proposed methodology returns the full probabilistic distri-

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bution of expected damage, thus avoiding average damage index or uncertainties expressed in term of dispersion indexes.

Keywords Damage scenario · Building vulnerability · Housner intensity · EMS macroseismic intensity · Finite faults ground motion simulations

1 Introduction

Increasing urbanization, inadequate infrastructures and poorly engineered houses, as well as environmental degradation, are the main causes of human and economic losses during an earthquake (Khater et al. 2003). These aspects and the consequent need for seismic prevention policies, have prompted the scientific community to develop suitable methodologies aimed at assessing and managing earthquake risk. In this way, the setting up of both post-event emergency plans and prevention activities are the main tools for a medium-to-long term mitigation policy. An important step in achieving this objective, that is also required in the management of other natural risks, is the definition of the most probable damage scenarios. In an urban area, affected by an earthquake, scenarios are firstly related to the building damage assessment. For any given earthquake potentially hazardous for the selected area, the key elements needed for preparing building damage scenarios are the definition of expected ground motion at bedrock, the seismic local amplifications and the vulnerability assessment of involved buildings.

In the past times, several studies regarding earthquake loss scenarios, at different levels of refinement, have been carried out. To this end, several international projects, such as RADIUS (1999), ENSerVES (Dolce et al. 2002), LESSLOSS (Calvi and Pinho 2004) and RISK-UE (Mouroux and Le Brun 2006), have been developed.

The need of providing operative procedures for the detailed estimation of seismic risk at urban scale was faced within the S3 Project “*Shaking and damage scenarios in area of strategic and/or priority interest*” (Pacor and Mucciarelli 2007) promoted in the period 2004–2006 by the Italian Civil Protection (DPC) and the National Institute of Geophysics and Volcanology (INGV). This project was focused on four different urban areas, two of them taken as validation sites because an earthquake just occurred there (Molise 2002 and Garda 2004 earthquakes) and other two as forecasting sites (Gubbio and Potenza). Specifically, the town of Potenza (located in Basilicata region, Southern Italy) was selected as a test site, considering the existing large data-set of building vulnerability and the local site conditions that can be considered as representative for most of the built environment in the Southern Apennines. Potenza (70,000 inhabitants) is classified as a high seismicity zone according to the Italian Seismic Zonation (OPCM 3274 2003; NTC08 2008). Indeed, the area around Potenza was affected by several destructive earthquakes in historical times (e.g., 1273, $I_0 = \text{VIII–IX MCS}$; 1561, $I_0 = \text{X}$; 1694, $I_0 = \text{XI}$; 1826, $I_0 = \text{IX}$; 1857, $I_0 = \text{XI}$, and lastly the 1980 Irpinia earthquake $I_0 = \text{X}$). A number of individual sources, located at minimum distance of 20 km from the city potentially able to generate earthquakes with magnitude up to 7 can be identified. Moreover, other seismogenic faults have been recognized very close to the city, characterized by larger focal depth and smaller dimension, generating events with magnitude up to 5.7 (1990 Potenza earthquake, Azzara et al. 1993).

In seismic risk management, scenarios can refer to different kinds of damage and losses, such as damage to constructions (buildings, bridges, etc.), casualties, economic losses due to interruption of activities, social losses, etc. (Dolce et al. 2003). In this paper, damage scenarios relevant to residential buildings in the urban area of Potenza town are presented, that have been prepared following a multidisciplinary approach encompassing seismology and earthquake engineering. Usually, in studies at urban scale, the hazard models and the damage estimations

are developed separately and they interact only during the generation of the damage scenario. This may cause some problems in the treatment of uncertainties. In fact, this process is a combination of probabilistic and deterministic methodologies, and the final result is usually expressed in terms of average damage index plus standard deviation. Recently, [Ugurhan et al. \(2011\)](#) tackled this problem proposing a full probabilistic approach, combining Peak Ground Velocity distributions from finite fault modeling with fragility curves applied to census data. In our work, we put probabilistic treatment of uncertainties a step further, with engineering and seismological analyses interacting from the beginning. The definition of the bedrock shaking scenarios and local amplifications have been carried out by choosing ground motion parameters which are well correlated to the seismic behaviour of building structures, and thus particularly suitable for the preparation of damage scenarios. To this regard, usually, in earthquake engineering design as well as in earthquake damage and/or loss models, peak ground acceleration (PGA) and peak ground velocity (PGV) are selected to define seismic intensity. But, while PGV is well related to the input energy ([Ambraseys 1974](#)) and, then, it could be effectively used as representative of seismic potential damage, PGA cannot be considered as an effective estimator of the potential damage of a ground motion ([Masi 2003](#); [Masi et al. 2011a](#)). Generally, PGA is not very usable in the framework of damage scenarios because it does not account for the earthquake duration, dominant frequency or seismic shaking energy. In fact, PGA correlation with structural damage of buildings is very poor when compared with integral seismic parameters related to the dynamic response of structures. [Masi et al. \(2011a\)](#), through non linear dynamic analyses performed on some structural types representative of real RC buildings, concluded that the Housner Intensity (I_H ; [Housner 1952](#)), even if not related to strong motion duration, is the most effective parameter to correlate the severity of seismic events with building structural damage. Moreover, many authors ([Pergalani et al. 1999](#); [Decanini et al. 2002](#); [Marcellini and Pagani 2004](#)) have also proposed I_H as a parameter which can represent better than PGA, PGV and Arias Intensity ([Arias 1970](#)), the severity of earthquake ground motion. Then, in this study, the value of I_H associated with the ground motion signals to take into account the severity of earthquake shaking scenarios, has been used.

2 Methodology

The prediction of ground motions associated with future moderate-to-large earthquakes is a leading and complex problem in earthquake engineering analysis and it requires, as seismic input, a reliable and complete characterization of ground motion both in time and frequency domains ([Chapman 1995](#); [McGuire 1995](#); [Bazzurro and Cornell 1999](#); [McGuire 2001](#)). Seismic hazard analysis for damage scenarios can be performed following both probabilistic (PSHA) and deterministic (DSHA) approaches ([Cornell 1968](#); [Reiter 1990](#); [McGuire 1995](#); [Convertito et al. 2006](#)). The choice of the method to be used to perform hazard assessment is not simple, since both have advantages and disadvantages ([McGuire 1995](#); [Bommer 2002](#)). As a matter of fact, it depends on the purpose of the study and on the level of knowledge of the area of interest from the seismological point of view. For example, PSHA can be useful in regions where information about seismogenic structures is poor or not available for the application of DSHA ([Convertito et al. 2006](#)). Conversely, DSHA is preferred in proximity of seismogenic sources, where effect related to finite dimension of the fault can be very important ([Ameri et al. 2008](#)). For high-seismicity regions, deterministic approach, including physical description of both earthquake source and seismic waves propagation, may be particularly effective to provide a more realistic and accurate prediction of the ground motion. Therefore, the problem of performing a DSHA study at Potenza is related to the estimation of

the ground motion produced by different seismogenic faults and, then, to the selection of procedures aimed at managing the available results in order to provide seismic input to be used for damage scenarios. It is worth noting that in the present study “deterministic” refers only to the a priori choice of seismogenic sources and anelastic attenuation parameters. The damage at the site is then estimated considering the probability of different rupture scenarios, fault slip distribution, rupture velocity, nucleation point, convolution with site effects, conversion between ground motion parameters and damage estimation modeling. The expected ground motions at bedrock are generally computed adopting physics-based methods, including kinematic description of an extended fault (Zollo et al. 1997; Hartzell et al. 1999 and references therein; Mai and Beroza 2003; Pacor et al. 2005; Gallovic and Brokešová 2007). These models can be employed to capture the essential properties of the ground motion related to the variation of source parameters, such as rupture velocity, the final slip distribution over the fault plane, and the hypocenter location. In this way, complex source effects, like, for instance, ground motion amplification due to forward directivity, are taken into account. Furthermore, it is possible to consider combinations of source kinematic parameters related to the activation of specific seismogenic faults in order to generate a large number of synthetic time series at bedrock (Emolo and Zollo 2001). The dataset of synthetic seismograms as well as the corresponding distribution of strong motion parameters, especially in regard to engineering requests, is then used to evaluate the seismic input needed for preparing the damage scenario.

Furthermore, observation of damage distributions from past seismic events shows that the influence of amplification related to local site effects needs to be considered when preparing a damage scenario. Also in this case, in order to deal coherently to a multidisciplinary perspective, the methodology needed to quantify seismic local amplifications has to be defined by taking into account the adopted Damage Estimation Models (DEMs). Most recent trends in building vulnerability and damage estimation make use of analytical and mechanical models essentially based on the evaluation of dynamic response of tested structures and on the comparison between demand and capacity on the base of spectral response curves (e.g., Calvi et al. 2006 for more details about available vulnerability methods). Using analytical-mechanic methods, the seismic input, that should also include possible site effect amplifications, can be directly represented by ground motion parameters (either peak or spectral) which can then be usefully combined with instrumental data available from in-situ monitoring of local amplification. On the other hand, the reliability of analytical vulnerability and damage estimation models is strongly influenced by the characteristics of the structures under examination, especially in regard to requested available information on building characteristics. Moreover, these methodologies are well developed for reinforced concrete (RC) buildings but not sufficiently for masonry ones. Additionally, the application of analytical DEMs in urban areas is not easy because a large quantity of typological building information is required. For these reasons, especially on large territorial scale and particularly on historical urban centres, Damage Probability Matrices (DPMs) are generally used to estimate the building damage (Braga et al. 1982; Dolce et al. 2003). DPMs are an empirical damage estimation model (Calvi et al. 2006) based on probabilistic distributions of expected damage for each EMS-98 level (Grünthal 1998) ranging from 0 (null damage) to 5 (total collapse). DPMs were set up by Braga et al. (1982) by best fitting the post earthquake damage data associated with the 1980 Irpinia earthquake ($M_S = 6.9$), observed for structural types representative of Italian buildings both in RC and masonry without earthquake resistant design (ERD). Subsequently, Dolce et al. (2003) updated the DPMs to include also the buildings with ERD, either realized or retrofitted after the 1980 earthquake, and applied such DPMs to the building stock of Potenza.

However, when using this approach, it is rather difficult to take into account site effects estimated using modern methodologies and based on ground motion parameters. In fact, the

seismic input required by DPMs has to be provided in terms of macroseismic intensity, possibly in EMS-98 scale. Generally, the Medvedev (1962) method has been used to take into account site effects in DPM approach. In Medvedev (1962) method the increase of macroseismic intensity due to soil amplification is roughly estimated on the base of the geological characteristics of the surface layers in the first 10 m depth. Particularly, the increments of macroseismic intensity are inversely proportional to the soil rigidity. In any case, this method does not account for an effective estimation of amplification obtained with instrumental measurements or in-situ analyses and modeling. Herein, in order to fill this gap, a new approach, based on Housner intensity, has been developed. It combines the hazard at bedrock as defined by DSHA, the site effect information, and the Damage Probability Matrices, in order to define the most severe damage scenarios for the residential building stock at Potenza. As already mentioned above, in the framework of DPC INGV S3 project, a preliminary application of this methodology was performed on the building stock of San Giuliano village heavily damaged by the 2002 Molise earthquake (Vona et al. 2009). The comparison between computed and surveyed damage data provided good results.

First, the seismic vulnerability of about 4200 buildings, present in the urban area of Potenza town, has been estimated. Subsequently, the probability mass functions (PMFs) of Housner intensity (I_H) from DSHA have been defined for bedrock condition and subsequently convolved with the site transfer functions provided by Strollo et al. (2011) in terms of Housner Intensity Ratio (HIR). Then, the PMFs of I_H have been converted in PMFs of EMS-98 intensity through a relationship defined in this study using data from past earthquakes. Finally, the PMFs of EMS-98 intensity have been used as seismic input in DPMs in order to obtain the damage scenarios of the residential buildings under study. As a result, the distributions of urban building damage for each shaking scenario related to the causative considered faults have been proposed. Figure 1 shows the flowchart of the adopted methodology.

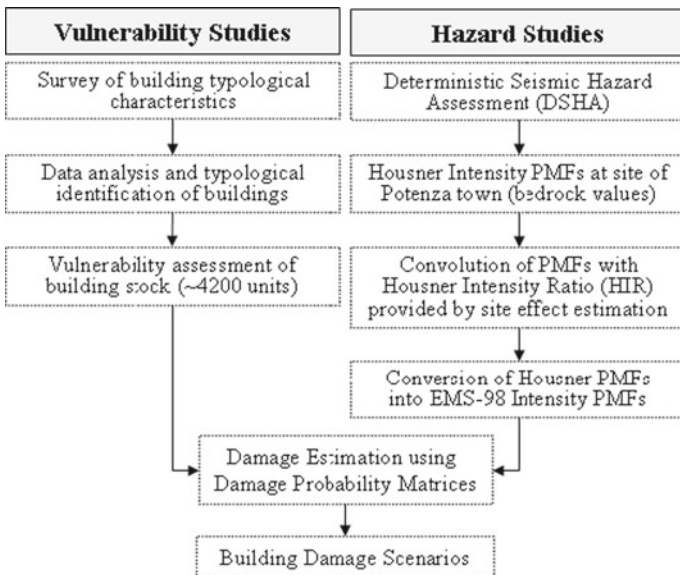


Fig. 1 Flowchart of the adopted methodology

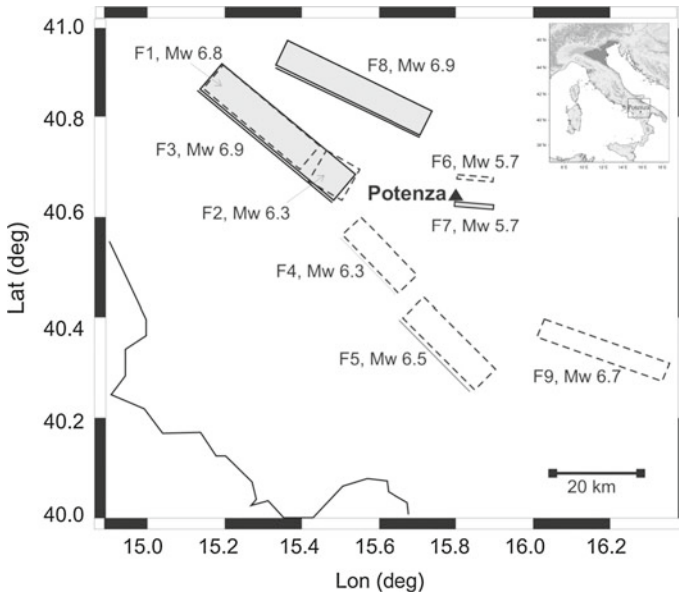


Fig. 2 Map of the faults location with respect to Potenza. Refer to the Table 1 for the fault codes and names

3 Deterministic seismic hazard analysis

A deterministic hazard study should firstly identify the reference earthquakes that will be expected to affect a particular area in the future and then apply a reliable seismological model to predict the ground motion. The ground motion estimated in this way for a given area of interest is called deterministic scenario (Reiter 1990). As already said, in the present study “deterministic” refers only to the a priori choice of seismogenic sources and anelastic attenuation parameters. The damage at the site is then estimated considering the probability of different rupture scenarios as reported in the following sections.

3.1 Reference earthquakes

Potenza is a town in Southern Italy, located between the Apennines axial zone and the Apulia foreland, both corresponding to well-identified seismogenic zones (Fig. 2). The Apulia Platform underlies the Southern Apennines edifice and is the locus of the largest NW-SE striking, NW dipping normal faulting earthquakes that took place in this major seismogenic district (e.g., the 1857, $I_0 = X-XI$ MCS, Val d’Agri earthquake; the 1980, M6.9, Irpinia earthquake, see Improta et al. 2003). The large hypocentral depth (>15 km) of recent moderate events occurred in this region (i.e., the 1990–1991, M5.8 and M5.1 Potenza earthquakes, and the 2002, M5.8 and M5.7 Molise earthquakes), however, suggests that they nucleated well below the Apulian platform (Azzara et al. 1993; Chiarabba et al. 2005). Tectonic studies on these events and other historical earthquakes in the area revealed a rather systematic pattern of EW striking right-lateral strike-slip faulting (Valensise et al. 2004; Di Bucci et al. 2006; Fracassi and Valensise 2007). The area within 50 km distance from Potenza was affected by several destructive earthquakes in historical time (CPTI Working Group 2004) and numerous seismogenic source are identified. The faults illustrated in Fig. 2 are those that appear in

DISS v. 3.0.2, a database of seismogenic sources for Italy and some surrounding countries (DISS Working Group 2006; Basili et al. 2008), with the exception of the F9 source. This fault has been hypothesized on the basis of detailed morphotectonic and geological investigations, several electrical resistivity tomographies and a palaeoseismological trench of the Scorciabuoi Fault (Caputo et al. 2007). Table 1 lists the main geometric and focal parameters of the identified seismogenic sources.

3.2 Strategy for DSHA

The expected ground motions produced by the reference earthquakes at Potenza town are obtained through physics-based deterministic methods. They compute the ground motion at the surface through the convolution of the source-time function with the Green's functions (representation theorem, Aki and Richards 2002). Deterministic simulation techniques are able to reproduce important effects related to the kinematic of the earthquake source, such as directivity, permanent displacement, long-period pulses, and effects related to the slip asperities distribution. Furthermore, the predicted ground motion can be expressed through different strong motion parameters, as peak and/or integral values. As said before, the ground motion variability is obtained by varying the rupture kinematic parameters (slip velocity, rupture velocity, nucleation point, slip distribution). In this approach we assume that some large-scale parameters (e.g., fault geometry and orientation, seismic moment) can be considered, in average, constant in successive rupture episodes occurring on the same seismogenic fault but being unknown the details of a single rupture episode in the case, for instance, of a future event. The simulation of a large number of rupture models on a given fault will generate a large number of synthetics that can be statistically analyzed to infer the probability distributions (and then the associated statistical quantities) for the strong ground motion parameters of interest (Ameri et al. 2009; Cultrera et al. 2010).

In the case study of Potenza, a first rough estimate of the expected ground motions (level 1) in terms of PGA, PGV and I_H was obtained using a simplified simulation technique; this method allows to save computational time when dealing with a large number of involved sources. Then, only for the faults producing the highest peak values at Potenza, a more sophisticated and time consuming simulation technique was adopted to compute shaking scenarios (level 2). The simulation approach for level 2 provides a more complete description of the ground motion with respect to that obtained at the level 1, and includes also suitable estimates of the low frequency ground motion (e.g., velocity and displacement time series) and engineering parameters strictly related to the duration of the signals (e.g., the Arias intensity). For this reason, only synthetic ground motion distributions at level 2 were then used to calculate damage scenarios.

Ground motions at level 1 are simulated by the Deterministic Stochastic Method (DSM; Pacor et al. 2005) that introduces the finite-fault effects in the frame of the point source stochastic model proposed by Boore (2003). It has been applied in several studies of shaking scenarios for engineering applications (Ameri et al. 2008; Emolo et al. 2008; Ameri et al. 2009). Due to its stochastic nature, DSM provides a reliable description of the high frequency ($f_z > 0.5$ Hz) content and generates approximated synthetic accelerograms reproducing only the direct S wave-field, allowing a fast computation of synthetic seismograms in the frequency band of main engineering interest [0.5–10 Hz]. The simulation technique Hybrid Integral-Composite method (HIC; Galovic and Brokešová 2007) was adopted to compute shaking scenarios at the level 2, since it provides broadband synthetic seismograms. According to this technique, the rupture process at the seismic source are described in terms of slipping elementary sub-sources, and combined with full wave-field Green functions for

Table 1 Geometrical and focal parameters of the reference seismic sources for Potenza

Fault code	F1	F2	F3	F4	F5	F6	F7	F8	F9
Fault name	ITGG077 Colliano Irpinia 0 s	ITGG07S Irpinia 20 s	ITGG007 Irpinia 0–20 s	ITGG010 Melandro Pergola	ITG008 Agri Valley	ITGGd84 Potenza	ITGGd84 Potenza	ITG063 Andretta Filiano	xxx Scorciabuoi
Strike (°)	310	300	310	317	316	95	95	296	110
Dip (°)	60	60	60	60	60	88	88	70	75
Rake (°)	270	270	270	270	270	175	175	232	270
Length (km)	28.0	9.0	38.0	17.9	23.0	7.9	7.9	35.0	30.0
Width (km)	15.0	15.0	15.0	11.9	13.5	6.2	6.2	13.0	16.0
Depth (km)	1.0	1.0	1.0	1.0	1.0	14.8	14.8	1.0	1.0
Ave. Slip (m)	1.65	0.70	1.40	0.57	0.74	0.24	0.26	1.3	0.87
M _w	6.8	6.3	6.9	6.3	6.5	5.7	5.7	6.9	6.7
Distance (km)	32	18	23	19	23	5	1	16	33

All data are taken from DISS v. 3.0.2., (DISS Working Group 2006; Basili et al. 2008) except that relative to the Scorciabuoi fault (see text for detail). The distance in the table is the fault distance computed with respect to the Potenza site used to compute the peak ground motion values by Ground Motion Prediction Equations

Table 2 Crustal velocity model (after Amato and Selvaggi 1993; Improta et al. 2003)

h (km)	V_P (km/s)	$V_S = V_P/1.81$ (km/s)	Q_S	Rho (g/cm ³)	Comments
0	3.5	1.93	100	2.3	
2	4.5	2.49	100	2.5	
4	57	3.15	100	2.6	Apula platform
10	6.5	3.59	100	2.7	
25	7.5	4.14	100	2.9	
35	8.1	4.48	100	3.2	Moho

Here, h is the depth of the layer, V_P and V_S represent the velocity of the P- and S-waves, respectively. Rho is density and Q_S is the S-wave quality factor. The depths of the Apula Platform and of the Moho are also reported

one-dimensional propagation medium (discrete wave-number technique). At low frequencies the source description is based on the representation theorem (integral approach, Aki and Richards 2002), while at high frequency, the ground-motion synthesis is obtained summing the contributions from each individual sub-source treated as a point source (composite approach). In this case study, a simplified 1-D crustal model valid for the area (Table 2; Amato and Selvaggi 1993; Improta et al. 2003) has been adopted for the Green's functions calculation. The anelastic attenuation term has been described through the quality factor proposed by Rovelli et al. (1988) for Central and Southern Apennines and given by $Q_S = 100$, and, for DSM only, the high frequency decay parameters, valid for rock sites, has been set to $k_0 = 0.035$ s (Margaris and Boore 1998).

3.3 Bedrock scenarios at levels 1 and 2

As said in the previous section, we first estimate the expected ground motions (level 1) using a simplified simulation technique (DSM). The reference sources, whose geometry and focal parameters are listed in Table 1, have been modelled considering different rupture models for each fault, depending on the earthquake magnitude. They have been obtained by varying the final slip distribution, the position of the nucleation point and the rupture velocity. In particular, we used the k-squared slip model (Herrero and Bernard 1994; Galovic and Brokešová 2004) to compute the final slip distribution on the fault. For the DSM simulations, 2 slip distributions have been considered for each fault having magnitude $M \geq 6.5$ (F1, F3, F5, F8, F9): one is characterized by a random slip distribution and the other one having an asperity located close to Potenza. For the faults corresponding to earthquakes with $M < 6.5$ (F4, F6, F7) only a random slip distribution has been considered. The nucleation points have been located in the lower half of the fault, close to the left and to the right edges and near the centre, in order to reproduce unilateral and bilateral rupture models and include forward and backward directivity effects towards Potenza. The rupture velocities have been selected as a fraction of shear velocity V_S at hypocenter, between $0.7 V_S$ and $0.9 V_S$ in order to simulate both slow and fast rupture propagation along the fault.

To summarize, the level 1 simulations are based on 15 rupture models for $M < 6.5$ events (obtained combining 5 rupture velocities, 1 slip model, 3 nucleation points) and 30 models for $M \geq 6.5$ earthquakes (5 rupture velocities, 2 slip models, 3 nucleation points). The F2 source has not been considered in the analysis due to its small dimension with respect to the F1 source. A statistical analysis (Cultrera et al. 2010) has been performed on ground parameters predicted by different rupture scenarios on each fault in order to identify the sources producing the maximum shaking experienced at Potenza.

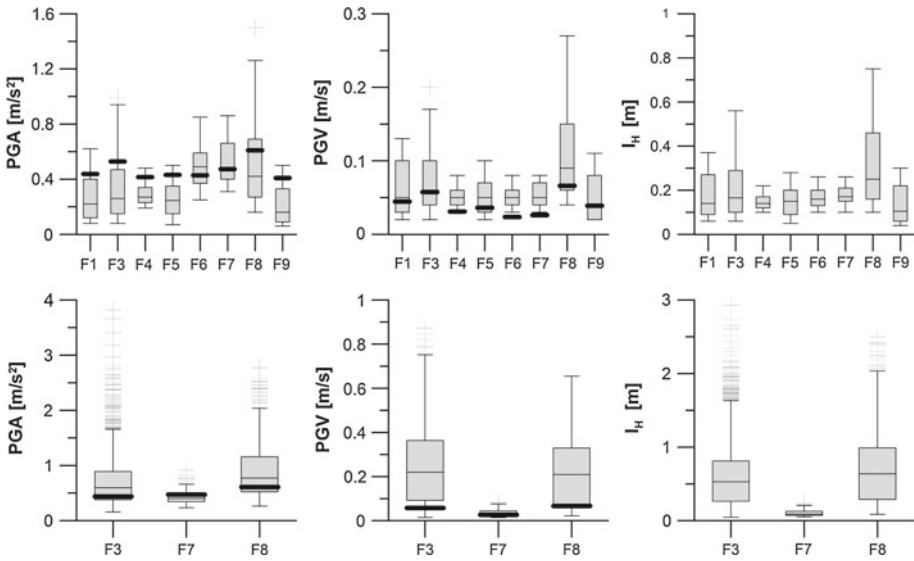


Fig. 3 Top: ground motions at level 1, computed by the DSM simulation method, representative of the horizontal shaking experienced at Potenza. In the figures we show of the PGA (left), PGV (centre) and I_H (right), obtained for all rupture scenarios on each selected fault. Bottom: ground motions at level 2, computed by the HIC method, experienced at Potenza town. In this case, the maximum value of horizontal components of PGA (left), PGV (centre) and I_H (right) is shown in the figures. Each box encloses 50% of the data with the median value of the parameter displayed as a thin line; the top and the bottom of the box mark the limits of ±25% of the population; the lines extending from the top and the bottom of each box mark the minimum and the maximum values within the data. The thick black lines are the median values of peak ground parameters estimated by the Italian Ground Motion Prediction Equation (ITA08, Bindi et al. 2010)

The shaking level is expressed in terms of PGA, PGV and I_H. It is worth noting that, while I_H is usually computed in the period range between 0.1 and 2.5 s, in the present work a period range between 0.2 and 2 s has been used because such a range is considered to provide values better correlated with the damage potential of ground motion when dealing with ordinary building structures. Moreover, our choice is coherent with the site effects analyses (Strollo et al. 2011) where I_H has been computed in the period range of 0.2–2 s for avoiding the bias due to low signal-to-noise ratios for period values outside this range in the analysis of local earthquake spectra. Then, for each available seismogram, the pseudovelocity spectrum PVS(T, ξ), where T is the period and ξ is the fraction of critical damping, has been computed. Subsequently, the I_H is computed as the area under the pseudovelocity spectrum as reported in Eq. 1:

$$I_H = \int_{0.2}^2 PVS(T, \xi) dT \tag{1}$$

The value of 5% has been adopted for the fraction of critical damping in computing the PVS(T, ξ).

The box plots in Fig. 3 represents the statistical parameters inferred for peak ground acceleration PGA, velocity PGV and I_H at Potenza. Each box encloses 50% of the data with the median value displayed as a thin line and the top and the bottom of the box mark the limits of ±25% of the population (see Fig. 3 caption for more details).

At Potenza, the median PGAs at level 1 range from 0.3 to 0.7 m/s², with maximum values up to 2 m/s² while the median PGVs vary from 0.05 to 0.12 m/s, with maximum values up to 0.3 m/s. The F6 and F7 faults produce PGA median values higher than those obtained from the other faults considered. In any case, however, the highest variability is found to be associated with the larger faults. In particular, the F8 source produces the highest peak values, due to the particular position of Potenza with respect to the fault plane, which makes the city prone to directivity effects. The PGV and I_H distributions show similar features: for both parameters, the median values associated with different faults are comparable each other and only the F3 and F8 sources generate slightly larger values. However, these two reference earthquakes produce the largest values and variability due to the particular source-to-site configuration. The computed values are also compared with the Italian Ground Motion Predictive Equation (GMPEs, [Bindi et al. 2010](#)) for fault distances in the range 5–30 km, where most of the faults lie. Empirical PGVs fall, almost all, within the 25th and 75th percentile of the distributions associated with synthetics (Fig. 3), with the exception of the F6 and F7 sources, whereas empirical PGAs slightly overestimate the DSM results.

From the analysis of the top panels in the Fig. 3, it is possible to infer that the largest ground motions at Potenza are produced by the F3, F7 and F8 faults and then we chose to consider those faults only to compute the ground motion at level 2. Simulations at level 2 are performed with HIC method and sampling more densely the kinematic parameters space. In particular, rupture scenarios considered several rupture velocity values, 6 slip distributions and a number of nucleation points larger than DSM scenarios. For instance, in the case of the F3 source, about 4000 rupture models have been simulated, densely sampling the nucleation point locations (i.e., considering 133 different hypocenters) and considering 5 rupture velocities.

The simulation results are summarized in terms of PGA, PGV and I_H (Fig. 3, bottom panels). Due to its smaller dimension, F7 produces the lower ground motion variability, while the F8 source generates the largest peak values in according with results obtained at the level 1 analysis. In general, the HIC simulations seem to provide shaking values larger than DSM. This could be due both to the larger number of up-dip directive scenarios obtained by moving the nucleation points in different up-dip positions in the lower half of the fault. Furthermore, in Fig. 3 the maximum between the horizontal components is plotted for HIC, while DSM simulations should be considered representative of the horizontal ground motions. If the geometrical mean is considered for HIC, the values provided by the two methods are comparable within their respective variability.

For each fault, we plotted the distribution of the predicted ground motion parameters (PGA and I_H) at Potenza predicted by HIC. These two parameters do not follow the same distributions (Fig. 4). In particular, the PGA shows a log-normal distribution (Fig. 4a) and the I_H (Fig. 4b) do not fit any simple distribution. Comparing the quantile–quantile (Q–Q) plot of the distributions (Fig. 4c), for the three sources, it can be seen that the plot are not linear and then the samples do not come from the same distribution. Each ground motion parameter represents different characteristics of the seismogram and accounts for different frequency content of the seismic radiation spectrum. In particular, the I_H is mainly controlled by the coherent low-to-intermediate frequency ground motion and depends on the large scale properties of source and propagation medium. On the other side, PGA is mainly related to the high-frequency content of the ground motion.

Several statistical quantities can be inferred from the parameters distributions to be used for damage analysis, such as, the mean value and the associated standard deviation, the median, the 75th and 84th percentiles, the mode, and minimum and maximum values ([Cultrera et al. 2010](#)). In any case, in this study the PMFs of I_H of each fault have been directly used as

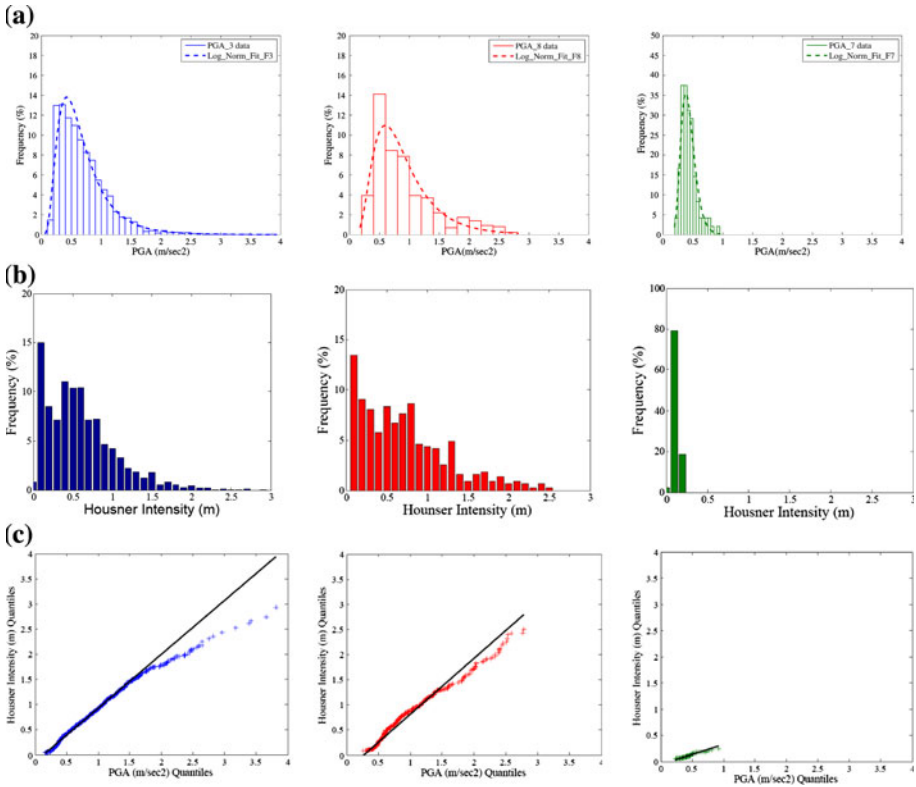


Fig. 4 Histograms of **a** PGA and **b** I_H computed for all shaking scenarios at Potenza for F3 (left), F7 (center) and F8 (right) faults (in Fig. 4a the best fit lognormal distribution has been added on the histograms). Figure 4c shows the PGA quantiles plotted against the I_H quantiles (q-q plot). If the two data sets follow the same distribution, the points should approximately align along the 45-degree reference line (black line)

seismic input for building damage scenarios, as discussed before. On the basis of the small size of the urban area (see Fig. 5), the PMFs estimate at bedrock are held constant over the whole area and subsequently they are modified only for the contamination introduced by site effect as reported in the next section.

3.4 Convolution PMFs at bedrock with site effects

Soil amplification surveys were carried out by Strollo et al. (2011) at 14 sites within the urban area of Potenza. For the analysis both the reference and non-reference site techniques were used. To evaluate the site response, a temporary network (since October 2004 to May 2005) was installed in the town to record both local and regional seismicity (~250 events). Furthermore, the Housner intensities and the mean ratios (Housner Intensity Ratio, HIR) with respect to the reference site, were computed for each recording of local earthquakes (~25 events). In order to extend the detailed site response obtained using earthquake time series, a dense set of single station noise measurements were performed (~230 points), thus computing the Horizontal-to-Vertical Spectral Ratios (HVSRs). The measurements were distributed over the city area, sampling different kind of lithologies and slopes. Particularly, using a correlation technique that combines the Pearson Coefficient and degree of fit, the 230 HVSR

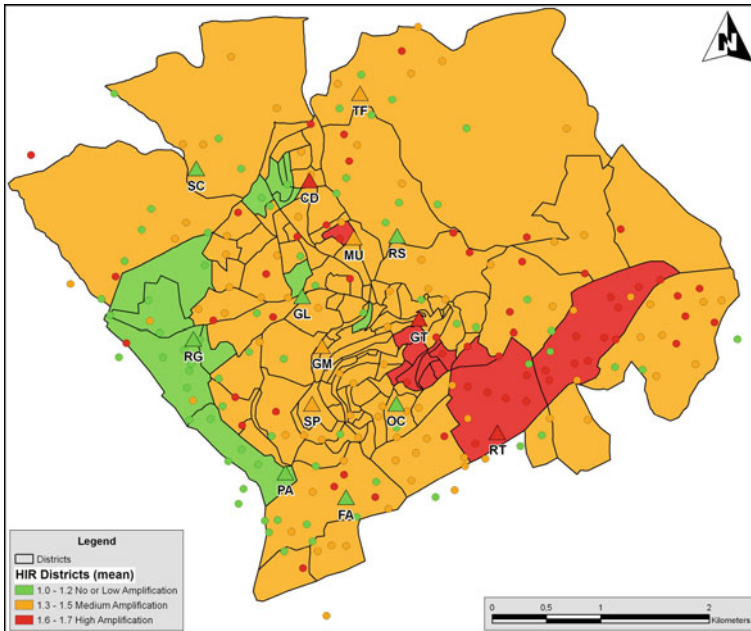


Fig. 5 Seismic microzonation of urban area of Potenza town in terms of amplification coefficient of Housner intensity (HIR). For cleanliness of draw, the values of HIR have been summarized in three homogeneous amplification areas: no or low (HIR between 1.0 and 1.2), medium (HIR between 1.3 and 1.5) and high (HIR between 1.6 and 1.7). In the figure, the *triangles* represent the 14 long term monitoring stations while the *circles* are the 230 single station measurements (From [Strollo et al. 2011](#))

curves (single station measurements) were correlated to the HVSR functions at the 14 sites where the long-term monitoring was performed, each of them being characterized by one HIR value. The HIR correction coefficients were extended to the 230 locations. Herein the HIR have been averaged over districts, within the city, in which the building stock was surveyed. This procedure allowed to provide a microzonation map of the urban area of Potenza with site effects correction coefficient (HIR) between 1 (no amplification) and 1.7 (higher value of the HIR). Figure 5 shows the seismic microzonation of the urban area of Potenza. The approach proposed to include site effects in the simulations does not account for non-linearity of soil response because it is a second order effect in the preparation of damage scenarios (e.g., [Puglia et al. 2009](#)). Moreover, recent data on L'Aquila, 2009 seismic sequence shows that even for the strongest ground motions the variation in frequency and amplitude seems not significant from building performance standpoint ([Puglia et al. 2011](#)). More details about the seismic microzonation of Potenza can be found in [Strollo et al. \(2011\)](#).

In the framework of this paper, each PMF of I_H evaluated from synthetic seismograms simulated at the bedrock, has been multiplied by the value of HIR relevant to any considered district. As a result, in each district of Potenza urban area a PMF in terms of I_H has been provided for each fault shaking scenario including the site effect amplification.

4 PMFs in EMS-98 intensity

As already described, the Damage Probability Matrices (DPMs) approach ([Braga et al. 1982](#); [Dolce et al. 2003](#)) has been selected as damage estimation model. For this reason, the Housner

Probability Mass Functions (H-PMFs) obtained by the Deterministic Seismic Hazard Analyses (DSHAs) need to be given in terms of the EMS-98 intensity (I_{EMS}) (Grünthal 1998). To this end, many studies (e.g., Margottini et al. 1992; Decanini et al. 2002; Faccioli and Cauzzi 2006) have been devoted to obtaining relationships between macroseismic intensity (usually in MCS scale) and ground motion parameters (generally PGA and PGV). Herein, a relationship between I_H and I_{EMS} has been derived. Through this relationship, the H-PMFs provided by the ground motion simulations have been converted in PMFs of I_{EMS} , either including or neglecting the site effects.

4.1 Housner intensity versus EMS-98 intensity

In the present section the proposed relationship between Housner and EMS-98 intensity is presented and discussed. Housner (1952) was the first at searching for a relation between the Mercalli Modified Intensity (MMI) and the values of I_H computed for time series from some California earthquakes recorded in the same urban areas. In the present study, a sample of about sixty earthquake recordings (see Appendix) have been selected from the Italian Accelerometric Archive (Working Group ITACA 2010), that mostly contains Italian earthquakes having a known macroseismic local intensity estimated in areas close to the accelerometric station (Margottini et al. 1992). Moreover, in order to enrich the data set, data from the 1999 Izmit earthquake (M7.6) (available from the European Strong Motion Database, Ambraseys et al. 2004), as well as from the 1997 Umbria-Marche (M5.6) and the 2002 Palermo (M5.9) earthquakes (available from ITACA database), have been added. To perform the regression between I_H and I_{EMS} , seismograms recorded in the same local area where macroseismic intensity data are available in the EMS-98 scale, are required. Unfortunately, for the Italian territory no data with $I_{EMS} \geq VIII$ are available joint with ground motion time series. Concerning the macroseismic intensity scale, in some studies (e.g., Codermatz et al. 2003) it is concluded that a substantial equality exists between Mercalli–Cancani–Sieberg scale (MCS; Sieberg 1930) and the European definition of macroseismic intensities (MSK-76, EMS-92 and EMS-98). The MCS scale is used mainly to estimate intensities for historical earthquakes. However, when a re-estimation of the intensities was carried out (e.g., in the case of the 1976, M6.5 Friuli earthquake) results show that, for intensities larger than VII, the EMS and MCS scales may differ by one degree or more (Molin 1995). For this reason, in this paper a more restrictive approach is followed, adopting only the equality between EMS-98 (Grünthal 1998) scale with MSK-76 (Medvedev 1977) and EMS-92 (Grünthal 1993) scales. In fact, only these scales take into consideration a precise definition of building vulnerability and observed damage distributions in assigning the intensity value. Then, for each available seismogram, the value of I_H has been computed as shown in Eq. (1) and it has been put in relation with the value of EMS-98 intensity. Figure 6 reports the values of EMS intensity as a function of the natural logarithm of the I_H values (maximum of the two horizontal components). As it could be expected, in the range of intensities up to V–VI EMS a little variation of I_H can be found. In fact, at these lower intensities damage is substantially absent, and intensity degrees are assigned prevalingly on the basis of effects on people and objects. For degrees higher than VI EMS, damage distribution and severity (therefore I_H values) becomes the key element to assign intensity. To obtain an unbiased estimate, two separate regressions have been computed, starting from opposite ends of I_H distribution, and calculating corresponding correlation coefficients. The distributions of the correlation coefficient with respect to I_H shows a changing point which is common for both right wise and left wise calculations at 0.18 m. Thus, as a result of the observation of two different trends in the selected data, a bilinear regression has been proposed.

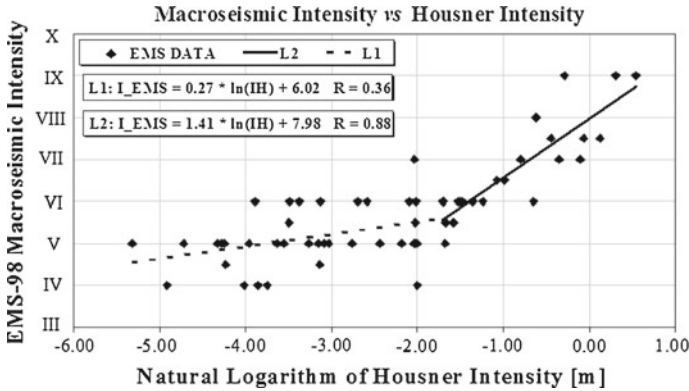


Fig. 6 EMS intensities versus the natural logarithm of Housner intensity. The *black continuous line* represents the best fit *curve* obtained for Housner intensities larger than 0.18 m while the *dashed curve* corresponds to the best fit for I_H lower than 0.18 m

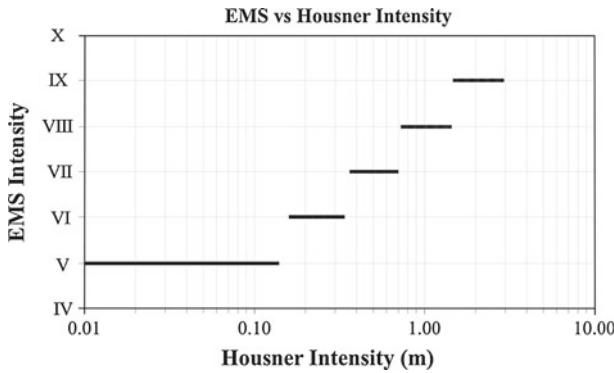


Fig. 7 Macroseismic intensity (according to the EMS scale) with respect to the Housner intensity values. The I_H axes is in logarithmic scale

Specifically, for values of I_H greater than 0.18 m (−1.7 m in terms of natural logarithm) a linear tendency with a significant correlation coefficient ($R=0.88$) is observed. On the other hand, for values of I_H smaller than 0.18 m, which are coupled to medium-to-low values of EMS intensities, a different behaviour with a poor correlation ($R=0.36$) is found. This is not surprising because, from one side, I_H is well correlated to the damage potential of seismic events while, on the other hand, low macroseismic intensity values mean negligible damage on buildings, as in the case of I_H values lower than 0.18 m that corresponds to V–VI EMS (Fig. 6). Therefore, to convert I_H into the respective EMS intensities, the following expressions (2) and (3), respectively for values of I_H greater and lower than 0.18 m (−1.7 m in terms of natural logarithm), are proposed:

$$I_{EMS} = 1.41 \cdot \log_e(I_H) + 7.98 \quad I_H \geq 0.18 \text{ m} \tag{2}$$

$$I_{EMS} = 0.27 \cdot \log_e(I_H) + 6.02 \quad I_H < 0.18 \text{ m} \tag{3}$$

Equations (2) and (3) provide values for the macroseismic intensity in a continuous form, thus a conversion into the discrete degrees of EMS intensity scale is required. In this work, in order to adopt the DPMs as damage estimation model, we chose to approximate the macroseismic

intensity derived from the relationships (2) and (3) to the nearest integer value, for intensities ranging between V and IX EMS, as shown in Fig. 7. The half degrees in the scale intensity are used to represent possible uncertainty of intensity assignment (Grünthal 1998). While this uncertainty can be used to calibrate the regression model it does not appear suitable to the application of DPMs. It is worth noting that the relationships (2) and (3) were set up before the occurrence of L'Aquila, 2009 earthquake. The estimated values of I_H using the four near-field strong motion records of L'Aquila earthquake (mean value $\mu_{I_H} = 0.97$ m and standard deviation $\sigma = 0.18$ m) gave the opportunity (Masi et al. 2011b) to test such relationship. The proposed regression returns a macroseismic intensity equal to VIII EMS-98 that is coincident with the EMS intensity assigned to L'Aquila by Tertulliani et al. (2011).

4.2 PMFs for the EMS-98 intensity

Starting from the PMF of I_H , obtained by the DSHA approach, and after having modified them including the site amplification coefficients, it is possible to retrieve the relevant PMFs for the EMS-98 intensity by means of the Eqs. (2) and (3) that, as explained before, have to be used for values of I_H greater and smaller than 0.18 m, respectively. Preliminarily, let us discuss the results obtained without including the site effects. This case is shown in the Fig. 8 where we present the PMFs of EMS-98 intensity, in the range from V to IX EMS, for each shaking scenario.

The highest seismic severity is associated with the F8 source which is characterized by probabilities of 34 and 10% to produce I_{EMS} values equal to VIII and IX, respectively. The F3 source provides intermediate values, with 37, 27 and 5% of probability to obtain I_{EMS} values equal to VII, VIII and IX, respectively. The shaking scenario corresponding to the F7 fault presents the lower values of macroseismic intensity that range between V (79% of probability) and VI (21%) EMS.

The next step is to perform a similar analysis for the PMFs that include site effects. Even if we have already explained before the procedure we adopted to this end, let us recall here that any I_H value returned from the synthetic seismograms generated by the DSHA approach for each source, has been firstly multiplied by the local HIR value. Subsequently, the relationship I_{EMS} versus I_H (Eqs. 2 and 3) has been used to obtain the associated EMS-98 intensity. The results obtained in this case are shown in the Fig. 9, where the PMFs for the EMS-98 intensity

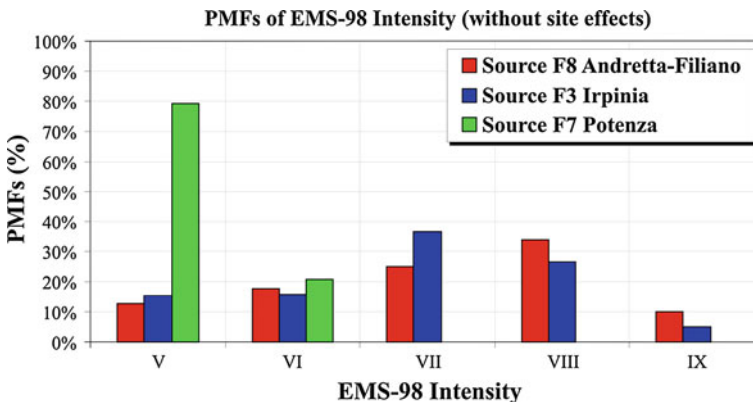


Fig. 8 PMFs for the EMS-98 intensity for the three shaking scenarios simulated for the sources F8, F7 and F3 without including the site effects

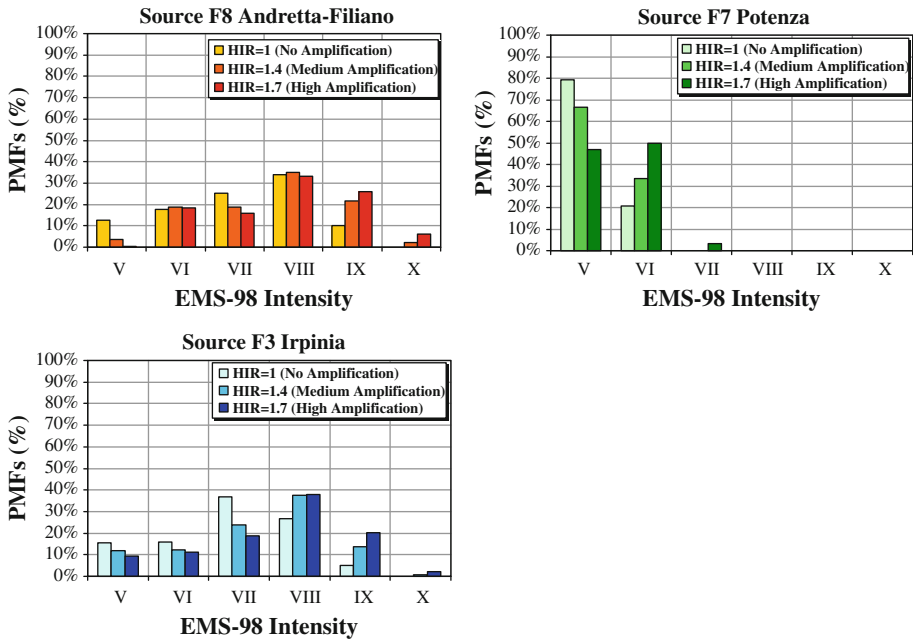


Fig. 9 PMFs for the EMS-98 intensity that include the site effects, distinct for the three shaking scenarios (sources F8, F7 and F3). In the figures are reported the results obtained using the soil amplification coefficients HIR = 1, 1.4 and 1.7 (see text for more details)

are reported for the different amplification zones. For cleanness of drawing, let us also recall here that the HIR values have been summarized in three homogeneous amplification areas: no or low (HIR between 1.0 and 1.2, in the graph HIR = 1), medium (HIR between 1.3 and 1.5, in the graph HIR = 1.4) and high (HIR between 1.6 and 1.7, in the graph HIR = 1.7).

The highest seismic severity is associated with the F8 source that shows PMF values of 33, 26 and 6% respectively for VIII, IX and X EMS when HIR = 1.7, respect to 34, 10 and 0% neglecting site effects. For F3 source, the values of PMF increase to 20 and 38% respectively for VIII and IX EMS when HIR = 1.7, respect to the values of 5 and 27% without site effects. The shaking scenario corresponding to the F7 fault confirms the lower values of macroseismic intensity that range between V (47% of probability) and VI (50%) EMS when HIR = 1.7. For this fault considering HIR = 1.7 a value of 3% is shown for VII EMS.

5 Building stock analysis and vulnerability assessment

After the 1990 Potenza earthquake (Azzara et al. 1993) the Potenza building stock (about 12,000 units) was completely surveyed using the 1st level GNDT90 inspection form for damage and vulnerability evaluation (GNDT Working Group 1990). As well as damage data, geometrical and quantitative characteristics of all the buildings were also collected, including height, plan and elevation configurations, age, type of vertical and horizontal structure, type of foundation and roof, possible retrofitting, state of preservation, etc. In 1999, the building inventory was firstly updated to include the buildings built after 1990, which had reinforced concrete (RC) structure (Dolce et al. 2003). A second updating aimed at correcting and inte-

Table 3 Distribution of the buildings, in terms of number and volume, with the respective percentages, for the most widespread building types

	Masonry	Reinforced concrete	Other
No. buildings	2351	1743	83
% of No. buildings	56	42	2
Volume (m ³)	2437876	8341135	161329
% of Volume	22	76	2

The term other includes all the buildings having a different typology from masonry and reinforced

Table 4 Frequency distribution of the age of masonry and reinforced concrete buildings in terms of number and volume

Building age	Masonry				Reinforced concrete			
	No.	% No.	Vol. (m ³)	% Vol.	No.	% No.	Vol. (m ³)	% Vol.
<1919	222	5	211816	2	2	0	6241	0
1919–1945	280	7	435666	4	10	0	17995	0
1946–1960	430	10	539408	5	165	4	853242	8
1961–1971	436	10	249426	2	336	8	1562062	14
1972–1975	178	4	91952	1	158	4	710298	6
1976–1980	190	5	157595	1	295	7	1527892	14
1980–1990	205	5	79670	1	347	8	865945	8
>1990	0	0	0	0	213	5	1386908	13
Retrofitted buildings	408	10	672343	6	217	5	1410549	13

The percentages have been computed on the total building number (as a result of rounding to integer values they may not sum to 100)

grating building data was recently (2007) carried out by the authors in some urban areas of the town.

The building stock of the entire Potenza territory has already been analysed in previous seismic risk studies (Dolce et al. 2003, 2006). In this paper only the urban area of the town, where about 4200 private buildings (about $11 \cdot 10^6$ m³ in volume) are present, has been studied. Table 3 shows the distribution, in terms of number and volume, of the more widespread building types. It should be noted that a different composition of the building stock emerges when the number or the volume of the buildings are considered. In terms of numbers, the sample is mostly made up of masonry (56%) rather than RC structures (42%). On the contrary, in terms of volume there is a significant prevalence of RC buildings (76%) with respect to masonry structures (22%). The other structural types (special type, steel, wooden, etc.) are very rare (2% both in terms of number and volume).

Table 4 shows the distribution in terms of age of masonry and RC buildings. Old masonry buildings, built before the '70s, prevail (about 32% in number and 13% in volume) over the new ones (14% in number and 3% in volume). RC buildings were mostly built after 1970 (24% in number and 41% in volume). After the 1980 Irpinia-Basilicata earthquake the area of Potenza town was classified as a seismic zone for the first time and, as a consequence, from then on new buildings were designed using seismic criteria. Furthermore, 10% of masonry

Table 5 Vulnerability classes according to building age and structural type (Braga et al. 1982; Dolce et al. 2003)

Horizontal structure		Vertical structure							
		Masonry quality			Mixed	RC		Steel	Other
		Bad	Medium	Good		Frame	Wall		
Vaults	Without tie-beams	A	A	A	B	–	–	–	–
	With tie-beams	A	A	A	B	–	–	–	–
	Deformable	A	A	B	C	C	C	C	C
Floors	Semirigid	B	B	C	C	C	C	C	C
	Rigid, RC	B	C	C	C	C	C	C	C
Retrofitted structures after 1980					D				
Buildings built after 1980					D				

Table 6 Number of building for each building set belonging to the same vulnerability class

Horizontal structure		Vertical structure							
		Masonry quality			Mixed	RC		Steel	Other
		Bad	Medium	Good		Frame	Wall		
Vaults	Without tie-beams	33	8	5	2	–	–	–	–
	With tie-beams	3	2	0	0	–	–	–	–
Floors	Deformable	398	68	396	42	52	0	9	0
	Semirigid	83	17	209	21	44	0	26	0
	RC	107	31	249	77	857	16	0	6
Retrofitted structures after 1980					625				
Buildings built after 1980					791				

buildings (6% of volume) have been seismically retrofitted after 1980, while the percentage of retrofit for RC buildings is currently 5% in number (13% in volume).

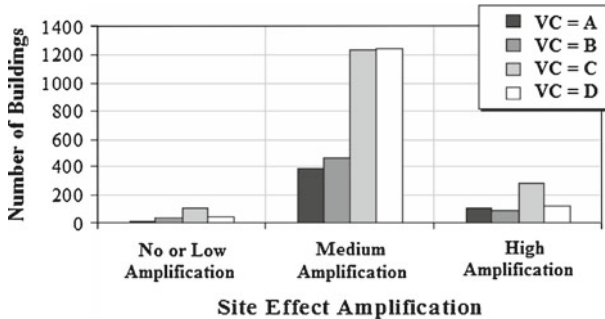
Utilizing a DPM approach as discussed in Dolce et al. (2003), a vulnerability class was assigned to each building starting from its most important structural characteristics, that is age of construction and/or of retrofitting, horizontal and vertical structural type. The vulnerability classes A, B, C, and D considered in the EMS-98 scale (Grünthal 1998) relevant to high, medium, medium-low and low vulnerability, respectively, were used. The choices adopted herein in assigning a vulnerability class to each building are reported in Table 5. A low vulnerability (class D) has been proposed for the structures built or retrofitted according to the seismic classification after 1980 (Dolce et al. 2003).

In Table 6 the number of buildings for each set with the same vulnerability class is reported. Table 7 summarizes the vulnerability distributions in terms of building number and volume.

The building stock of Potenza town has a prevalence of low to medium vulnerability (classes D and C). Specifically, 34% of building stock belongs to class D (41% in terms of volume), and 39% belongs to class C (49% in terms of volume). Lower percentages of buildings have either high vulnerability (class A, 13% in terms of number and 5% in volume) or medium vulnerability (class B, 14% in terms of number and 5% in volume).

Table 7 Distribution of buildings, in terms of number and volume, for each vulnerability class

	Vulnerability classes			
	A	B	C	D
No. buildings	517	605	1639	1416
% No. buildings	13	14	39	34
Volume (m ³)	573543	506009	5382371	4478416
% Volume	5	5	49	41

**Fig. 10** Buildings number for each vulnerability class (VC) and for each site zone (no or low amplification zone includes the area with HIR=1.0–1.2, medium amplification for areas with HIR=1.3–1.5, and high amplification for areas with HIR=1.6–1.7)

Regarding to site effects, Fig. 10 shows, for each vulnerability class, the number of buildings located in zones affected by different local amplification. Only about 15% of the considered 4200 buildings are located in areas characterized by large amplifications (HIR=1.6–1.7). Most of the buildings (about 80%) are located in a medium amplification zone (HIR=1.3–1.4), even though they have generally low vulnerability (classes D and C).

6 Damage scenario

The preparation of the damage scenario is the comprehensive final step that, by combining building vulnerability and earthquake shaking, and possibly including also site effects, returns the estimation of the building damage and, as a consequence, of the relevant losses (e.g. human casualties, economic losses) whose estimation is fundamental in seismic risk prevention and management. As already been said, the damage distribution in the building stock caused by the above described three shaking scenarios (sources F3, F7 and F8) has been evaluated using the DPM approach. For each shaking scenario, the number of buildings for each district of Potenza town suffering a certain damage level L_d has been computed as follows:

$$N(L_d) = \sum_i \sum_j P_i N_j DPM(i, j, L_d) \quad (4)$$

where L_d are the damage levels, as provided in the EMS-98, ranging between 0 and 5 ($L_d = 0$ means total absence of damage, while $L_d = 5$ means total destruction of the

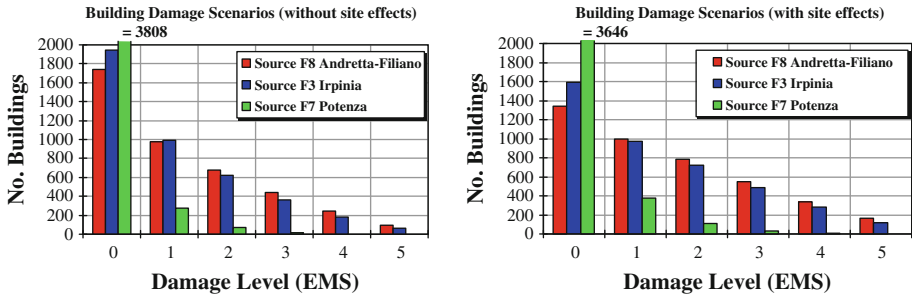


Fig. 11 Damage distribution, in terms of number of buildings, obtained without including (*left*) and including (*right*) the site effects for the three earthquake scenarios associated to the faults F8, F7 and F3

Table 8 Number of buildings for each EMS-98 damage level

ID source	Site effects	Damage level [EMS-98]					
		$L_d = 0$	$L_d = 1$	$L_d = 2$	$L_d = 3$	$L_d = 4$	$L_d = 5$
F8	With	1340	996	786	550	338	164
	Without	1743	974	681	437	243	96
F7	With	3646	379	113	31	5	0
	Without	3808	274	70	19	3	0
F3	With	1594	971	722	485	282	121
	Without	1948	994	623	362	183	65

The results are shown for seismic scenarios obtained considering (with) and not considering (without) the site effects

building), P_i is the probability of having an EMS-98 intensity i (between V to X EMS, see Fig. 9), and N_j is the number of buildings for each vulnerability class j (A, B, C and D). $DPM(i, j, L_d) = P[L_d/j, i]$ is the probability of obtaining a damage level L_d given a macroseismic intensity i and a vulnerability class j . The values of $DPM(i, j, L_d)$ adopted in this paper are reported in Dolce et al. (2003) for the intensity degrees between VI and X EMS, while, as for the V degree, damage level frequencies have been derived by accounting for the suggestions reported in the EMS-98. Generally, shaking scenarios are provided in a deterministic form, e.g. by referring to the maximum credible or the most probable earthquake. Therefore, in applying the DPM approach, just one macroseismic intensity value is used to prepare building damage scenarios. On the contrary, in the present study, a probabilistic distribution for the macroseismic intensity has been used. This is possible because, using the relationship that provides I_{EMS} as a function of I_H (Eqs. 2 and 3), the results of DSHA, available in terms of seismic instrumental parameters, specifically I_H , can be converted into probabilistic distributions for the related macroseismic intensity. In Fig. 11 and Table 8, for each damage level the number of buildings affected by the three earthquake scenarios F8, F7 and F3, with (w SE) and without (w/o SE) site effects on the whole urban area of the town, is shown.

On about 4,200 investigated buildings, the percentages of heavily damaged and collapsed buildings (damage level ≥ 4) is equal to 8 and 6%, respectively, for the sources F8 and F3 without including site effects. These values increase up to 12 and 10% taking into account site effects. Therefore, the influence of site effects on the damage distribution is remarkable:

Table 9 Volume (million of m³) of buildings for each EMS-98 damage levels

ID source	Site effects	Damage level [EMS-98]					
		$L_d = 0$	$L_d = 1$	$L_d = 2$	$L_d = 3$	$L_d = 4$	$L_d = 5$
F8	With	4.03	2.80	2.06	1.29	0.63	0.22
	Without	5.14	2.68	1.70	0.96	0.43	0.13
F7	With	10.04	0.78	0.17	0.04	0.01	0.00
	Without	10.39	0.52	0.10	0.02	0.00	0.00
F3	With	4.70	2.71	1.85	1.10	0.51	0.17
	Without	5.72	2.69	1.48	0.75	0.32	0.09

Comparison between the results of seismic damage scenarios obtained with and without site effects

Table 10 Mean damage index (DI_{med}) for the three earthquake shaking scenarios (source F8, F7 and F3) with and without site effects

ID source	Site effects	DI_{med}
F8	With	0.45
	Without	0.42
F7	With	0.27
	Without	0.26
F3	With	0.43
	Without	0.39

when site effects are considered, the amount of heavily damaged and collapsed buildings increases in percentage by about 50 and 60%, respectively, for the scenarios associated to the F8 and F3 sources. For the source F7, the number of heavily damaged buildings is practically null, even if we include site effects. In Table 9, for each damage level, the total volume of buildings affected by the three earthquake scenarios F8, F7 and F3 (with and without site effects), is shown. The damage distributions in terms of building volume confirm the results already obtained in terms of building numbers.

To obtain a global estimation of building damage due to the selected shaking scenarios the mean damage index DI_{med} (Dolce et al. 2003) has been calculated through the expression:

$$DI_{med} = \sum_i^n \frac{L_{di} f_i}{n} \tag{5}$$

where L_{di} is the damage level, varying between the first and fifth levels of EMS-98 damage scale, n is the number of damage levels and f_i is the relevant frequency of occurrence. The summation does not include the null damage level, so that DI_{med} varies between 0 and 1, where $DI_{med} = 0$ means total absence of damage, and $DI_{med} = 1$ means total destruction of the building stock. Although DI_{med} is not an exhaustive representation of the damage distribution, it provides a synthetic estimation of the effects due to different seismic inputs as well as an easy way to compare them. Table 10 reports the DI_{med} values for each building damage scenario, with and without site effects.

The higher values of DI_{med} are found for the F8 source, where values equal to 0.45 and 0.42 are computed considering and neglecting soil amplification, respectively. As could be expected, lower values of DI_{med} are found for the F7 source, with a small variation when site effects are included or not. These results show that significant levels of global damage

Table 11 Percentages of unusable buildings for the damage scenarios obtained for earthquakes occurring on the F8, F7 and F3 fault

ID source	Site effects	Percentage of unusable buildings	
		Considering the number (%)	Considering the volume (%)
FK	With	17	12
	Without	12	8
F7	With	0.5	0.2
	Without	0.2	0.1
F3	With	14	10
	Without	10	6

The results are presented including (with) and not including (without) the site effects

can be predicted, on average, for the urban area of Potenza considering F8 and F3 sources. On the contrary, F7 source returns lower damage due to the low severity of wave field, as discussed in the above paragraphs. The results have been subject to further analysis to obtain an estimation of expected losses in terms of unusable buildings. For this purpose, the number of unusable buildings has been computed using the procedure, widely adopted in Italy, developed by [Lucantoni et al. \(2001\)](#) on the basis of surveyed data after past earthquakes. According to such a procedure, all the buildings with damage level $L_d \geq 4$ and a portion (40%) of the buildings with $L_d = 3$ are considered unusable. In [Table 11](#) the percentages of unusable buildings for each shaking scenario, considering or not considering site effects, are reported.

In terms of building numbers, the F8 damage scenario returns an estimation of 500 and 700 unusable buildings when neglecting or considering soil amplification, respectively. Considering the source F7, this number decreases drastically to values lower than 80. Finally, also the source F3 returns large values of unusable buildings (590 and 420 with and without site effects, respectively). In terms of building volume, the percentages of unusable buildings are remarkably lower as a consequence of the higher average volume and lower vulnerability of buildings having RC structure.

7 Final remarks

Building damage scenarios have been calculated for the urban area of Potenza combining a deterministic choice of seismogenic sources with probabilistic estimates of earthquake shaking at bedrock, site effects and building damage. The use of simulation techniques allows to better take into account the complex nature of ground shaking, at a given site, and to compute synthetic seismograms. The simulated accelerograms can be analysed in order to estimate ground motion parameters of engineering interest which can be used as seismic input for building damage scenarios. A simplified simulation technique was used to roughly evaluate the ground motion (level 1) associated to the nine causative faults of interest and identify the faults producing the highest peak parameters, in term of PGA, PGV and I_H at Potenza. Then, a more sophisticated and time consuming simulation technique was adopted to predict ground motions (level 2), for three selected faults, to be used as seismic input for the damage scenarios. For each of these faults, a large number of possible rupture processes at the source have been considered and, for each of them, the synthetic seismograms at Potenza for bedrock

conditions have been simulated. Then, a soil amplification map, drawn by [Strollo et al. \(2011\)](#) using Housner Intensity Ratios (HIR), has been combined with the results of shaking ground motion at bedrock. As a results, probability mass functions (PMFs) for I_H including the site effect amplifications (H-PMFs) have been defined at the site of Potenza. After that, a relationship between EMS-98 and Housner intensities has been developed, on the basis of strong motion recordings and macroseismic data catalogues. Using this relationship, the H-PMFs provided by DSHA and convolved with site effects, have been converted in EMS-98 intensity PMFs which have been used as input of the Damage Probability Matrices (DPMs). Differently from the procedures typically adopted in the preparation of damage scenarios, that enter single values of macroseismic intensity in the DPMs, in this work a probabilistic distribution of macroseismic intensity has been used as input. As a result, a probabilistic approach has been adopted, involving complex source models, site effects estimation and damage estimation model. The computed damage scenarios emphasise a generally low vulnerability in the urban centre of Potenza town and, then, a limited number of damaged buildings for the lower intensity, and of partially or totally collapsed building, for the higher intensity earthquakes. Particularly, with respect to the F3-Irpinia and F7-Potenza sources, the F8-Andretta-Filiano fault returns the highest damage. Moreover, the influence of site effects on the damage distribution is quite significant. Considering the F8 source, the scenario including site effects provides a number of partially or totally collapsed buildings of about the 50% higher than the value computed without site effects. Although many questions are still to be addressed and resolved, the proposed approach aims at showing how a multidisciplinary methodology, based on different competences and points of view, but having the same goal, is suitable for define the expected building damage scenarios at urban scale. The main advantage acquired with the proposed methodology is that the whole distribution of damage is returned. This allows to have a better handling of uncertainties, expressed directly in terms of probability of occurrence. There is no need to use average values and standard deviations, provided that most seismic input values and thus damage distribution do not follow a simple (log) normal distribution, and then the usual parameters could be poor indicators of central tendency and dispersion.

Acknowledgments The work presented in this paper was partially funded by the S3 Research Project in the framework of the 2004–2006 agreement between the Italian Civil Protection and the National Institute of Geophysics and Volcanology. The authors are grateful to Roberto Basili who provided us the faults characteristics used in the study. Some stimulating discussions with Stefano Parolai and Angelo Strollo of GeoForschungs-Zentrum of Potsdam are gratefully acknowledged.

Appendix: Macroseismic and Housner intensity data

See [Table 12](#)

Table 12 I_H value has been computed in the period range 0.2–2 s with 5% damping

Data	Epical area	Station	Housner intensity (m)	Local intensity [EMS]
1980/11/23	Irpinia	Arienzo	0.08	6*
		Bisaccia	0.52	6*
		Bovino	0.11	5*

Table 12 continued

Data	Epicentral area	Station	Housner intensity (m)	Local intensity [EMS]
		Brienza	0.34	6.5*
		Calitri	0.93	7.5*
		Mercato San Severino	0.37	6.5*
		Rionero in Vulture	0.45	7*
		Sturno	1.13	7.5*
		Torre del Greco	0.21	5.5*
		Tricarico	0.19	5.5*
		Bagnoli Irpino	0.90	7*
		Auletta	0.18	6*
		Benevento	0.26	6*
1983/11/09	Parma	Fornovo di T.	0.04	6*
1984/04/29	Gubbio	Pietralunga	0.18	6*
		Umbertide	0.02	6*
		Peglio	0.04	5*
		Città di Castello	0.13	5*
		Cagli	0.01	5*
		Nocera Umbra	0.07	6*
1984/05/07	Val Comino	Atina	0.13	7*
		Pontecorvo	0.13	5*
		Roccamonfina	0.13	6*
		Ortucchio	0.09	5*
		Barisciano	0.01	4.5*
		Castelnuovo	0.06	5*
		Lama dei pel.	0.12	6*
		Scafa	0.22	6*
		Poggio Picenze	0.02	5*
		Ripa Fagn.	0.03	5*
1984/05/11	Val Comino	V.Barrea	0.22	6*
		Atina	0.03	6*
		Lama dei pel.	0.03	5.5*
		Scafa	0.05	5*
1985/01/23	Garfagnana	Vagli Paese	0.02	4*
		Sestola	0.01	5*
		Barga	0.03	5*
1985/05/20	L'Aquila	Barisciano	0.05	5*
		Castelnuovo	0.01	5*
		Poggio Pic.	0.03	6*
		S. Dometrio V.	0.01	4*
1987/04/24	Reggio Emilia	Sorbolo	0.005	5*
		Novellara	0.038	5*
1987/05/02	Reggio Emilia	Sorbolo	0.01	5*

Table 12 continued

Data	Epicentral area	Station	Housner intensity (m)	Local intensity [EMS]
1997/09/26	Umbria-Marche	Novellara	0.13	5*
		Nocera-Umbra	0.70	7**
		Colfiorito	0.64	7.5**
1998/09/09	Basilicata	Grumento Nova	0.14	4***
		Lauria Gallo	0.23	6***
		Lauria	0.29	6***
		Scalea	0.19	5***
1999/08/17	Izmit, Turkey	Viggianello	0.13	5.5***
		Duzce	1.72	9****
		Gebze	0.54	8****
		Yarimaca	1.36	9****
2002/09/06	Palermo	Izmit	0.75	9****
		Castel di Iudica	0.02	4*****
		Caltagirone	0.02	4*****
		Patti	0.04	4.5*****

* Margottini et al. (1992); ** Stucchi et al. 1998 (http://emidius.mi.ingv.it/GNDT/T19970926_eng/); *** Galli et al. (2001); **** Mucciarelli et al. 2002; ***** Azzaro et al. (2004)

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