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# FRIEDBERG NUMBERINGS IN THE ERSHOV HIERARCHY

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A Friedberg numbering of the family of all sets for any given level of the Ershov hierarchy is constructed, and we also consider different consequences of this result.

#### INTRODUCTION

In the paper we deal with one-to-one computable numberings in the Ershov hierarchy. These numberings attract considerable interest by virtue of the fact that a computable one-to-one numbering is (up to equivalence) a minimal element of the semilattice of computable numberings for a given family of sets. The study of such numberings was pioneered by R. Friedberg, who showed the existence of a one-to-one computable numbering for the family of all computably enumerable sets. Later, the existence of a  $\Sigma_n^{-1}$ -computable Friedberg numbering was stated for the family of all  $\Sigma_n^{-1}$ -sets [2].

Here the given result is generalized to all constructive ordinals, and we also consider different properties of the numberings obtained.

### 1. BASIC DEFINITIONS

We embark directly on a description of the Ershov hierarchy. (General definitions and basic facts can be found in [3].) A representation for sets in the Ershov hierarchy that we use differs only slightly from the one in [4].

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**Definition 1.1.** For all  $a \in \mathcal{O}$  (hereinafter,  $\mathcal{O}$  is Kleene's system of ordinal notation), a set A is a  $\Sigma_a^{-1}$ -set (belongs to the class  $\Sigma_a^{-1}$  of the Ershov hierarchy) if there exist a computable function  $f(x, s)$  and a partial computable function  $g(x, s)$  such that for all  $x \in \omega$ , the following conditions hold:

- (1)  $A(x) = \lim f(x, s), f(x, 0) = 0;$
- $(2)$   $g(x,s)$   $\downarrow$   $\rightarrow$   $g(x,s+1)$   $\downarrow$   $\leq_o$   $g(x,s)$   $\leq_o$   $a$ ;
- (3)  $f(x, s) \neq f(x, s+1) \rightarrow g(x, s+1) \downarrow \neq g(x, s)$ .

A pair  $\langle f, g \rangle$  is called a  $\Sigma_a^{-1}$ -approximation to a set A.

**Definition 1.2.** A is a  $\Pi_a^{-1}$ -set if, under the conditions of the previous definition, the requirement that  $f(x, 0) = 0$  is replaced with  $f(x, 0) = 1$ . A is a  $\Delta_a^{-1}$ -set if  $f(x, 0) = 0$  is replaced with the requirement that  $g(x, 0)$  is defined.

Note that if A is  $\Sigma_a^{-1}$  then its complement  $\overline{A}$  is  $\Pi_a^{-1}$ , while every  $\Delta_a^{-1}$ -set is both  $\Sigma_a^{-1}$  and  $\Pi_a^{-1}$ . The above defined  $\Sigma_a^{-1}$ -approximation  $\langle f, g \rangle$  to A can be strengthened by adding some properties for the functions  $f$  and  $g$ .

We define a parity function  $e(a)$  for  $a \in \mathcal{O}$  as follows:  $e(a)=0$ , if a is a notation for an even or limit ordinal, and  $e(a)=1$  if a a notation for an odd ordinal.

**PROPOSITION** 1.3. If a set A has a  $\Sigma_a^{-1}$ -approximation  $\langle \phi, \psi \rangle$ , then A has a  $\Sigma_a^{-1}$ approximation  $\langle f,g \rangle$  with the following properties:

correct parity, i.e.,

- if  $f(x, s) = 0$ , then  $e(g(x, s)) = e(a)$  or  $g(x, s)$  is undefined,
- if  $f(x, s) = 1$ , then  $e(g(x, s)) = 1 e(a)$ ;

slowing down, i.e.,

for any  $s \in \omega$  and any  $x > s$ ,  $f(x, s) = 0$ ,

for any  $s \in \omega$  and any  $x > s$ ,  $g(x, s)$  is undefined.

**Proof.** Correct parity. Let  $f(x, s) = \phi(x, s)$  for all  $x, s \in \omega$ .

(1) If  $\psi(x, s)$  is undefined, then  $g(x, s)$  is undefined.

(2) If  $\psi(x, s)$  is defined, then:

(2.1) if  $\psi(x, s) = b$ , where  $a = 2^b$  ( $|a|_0$  is a successor of  $|b|_0$ ), then:

(2.1.1) if  $\phi(x, s)=0$ , then  $g(x, s)$  is undefined (i.e., no change has been made as yet);

(2.1.2) if  $\phi(x, s) = 1$ , then  $g(x, s) = \psi(x, s)$ ;

 $(2.2)$  if  $e(\psi(x, s)) \neq e(a)$  and  $\psi(x, s) \neq b$ , then:

 $(2.2.1) \phi(x, s) = 0 \Rightarrow g(x, s) = c$ , where  $|c|_0$  is a successor of  $|\psi(x, s)|_0$ ;

 $(2.2.2) \phi(x, s) = 1 \Rightarrow q(x, s) = \psi(x, s);$ 

 $(2.3)$  if  $e(\psi(x, s)) = e(a)$  and  $\psi(x, s) \neq b$ , then:

 $(2.3.1) \phi(x, s) = 1 \Rightarrow g(x, s) = c$ , where  $|c|_{\Theta}$  is a successor of  $|\psi(x, s)|_{\Theta}$ ;

 $(2.3.2) \phi(x, s) = 0 \Rightarrow q(x, s) = \psi(x, s).$ 

Slowing down. For all  $x, s \in \omega$ , put:

(1) if  $x \le s$ , then  $f(x, s) = \phi(x, s)$  and  $g(x, s) = \psi(x, s)$ ;

(2) if  $x>s$ , then  $f(x, s)=0$  and  $g(x, s)$  is undefined.  $\Box$ 

The correct parity property allows us to assert the following: if, in constructing any set, an element x at some step s experiences the maximum number of changes (i.e.,  $q(x, s) = 0$ ), then we know exactly whether or not x will enter a given set (more specifically,  $f(x, s) = e(a)$ ). The slowing down property makes it possible to consider at each step s only changes of the first s elements. In what follows, all  $\Sigma_a^{-1}$ -approximations will be thought of as correct-parity  $\Sigma_a^{-1}$ -approximations with slowing down.

**Definition 1.4.** We say that a set A m-reduces to a set B (written  $A \leq_m B$ ) if there exists a computable function f such that  $x \in A \Leftrightarrow f(x) \in B$  for any  $x \in \omega$ .

Notice that for  $A \leq_m B$ , the fact that B belongs to some class of the Ershov hierarchy implies that A belongs to the same class of the Ershov hierarchy.

We proceed with the definition of a computable numbering. Use will be made of the notion of generalized computability, as in [5].

**Definition 1.5.** A numbering  $\{\nu_n\}_{n\in\omega}$  (denoted hereinafter by  $\nu$ ) is  $\Sigma_a^{-1}$ -computable if there exist a computable function  $f(n, x, s)$  and a partial computable function  $g(n, x, s)$  such that for any  $x$  and any  $n$ , the following conditions hold:

(1)  $\{\nu_n\}(x) = \lim f(n, x, s), f(n, x, 0) = 0;$ 

 $(2)$   $g(n, x, s)$   $\downarrow$   $\rightarrow$   $g(n, x, s+1)$   $\downarrow$   $\leq_{\text{o}}$   $g(n, x, s)$   $\leq_{\text{o}}$   $a$ ;

(3)  $f(n, x, s) \neq f(n, x, s + 1) \rightarrow g(n, x, s + 1) \downarrow \neq g(n, x, s)$ .

By analogy with Definition 1.1, a pair  $\langle f,g \rangle$  is called a  $\Sigma_a^{-1}$ -approximation to a numbering  $\nu$ . In a similar way, we introduce definitions of  $\Pi_a^{-1}$ - and  $\Delta_a^{-1}$ -computable numberings.

**Definition 1.6.** We say that a numbering  $\alpha$  reduces to a numbering  $\beta$  ( $\alpha \leq \beta$ ) if there exists a computable function f such that  $\alpha_n = \beta_{f(n)}$  for any n.

**Definition 1.7.** We call  $\eta$  a *Friedberg* numbering if  $\eta_n \neq \eta_m$  for any  $n \neq m$ .

**Definition 1.8.** A numbering  $\nu$  is said to be *positive (decidable)* if  $\{\langle x, y \rangle | \nu_x = \nu_y\}$  is a computably enumerable (computable) set.

Note that every Friedberg numbering is decidable and hence positive.

## 2. MAIN RESULT

We proceed to a construction of a Friedberg numbering for the family of all  $\Sigma_a^{-1}$ -sets.

**THEOREM 2.1** [2]. For any  $n > 0$ , there exists a  $\Sigma_n^{-1}$ -computable Friedberg numbering of the family of all  $\Sigma_n^{-1}$ -sets.

Hereinafter, for ordinals corresponding to natural numbers, we use their natural notations.

The above result can somehow be extended to all constructive ordinals.

Let A be a  $\Sigma_a^{-1}$ -set such that for  $e(a)=0$ , A is not finite, and for  $e(a)=1$ , A is not cofinite. Let  $\langle \xi^1, \xi^2 \rangle$  be its  $\Sigma_a^{-1}$ -approximation. Define a family  $\mathfrak T$  as follows:

(1)  $\{A, A \cup \{0\}, A \cup \{0, 1\}, \ldots \}$  if  $e(a) = 1$ ;

(2)  $\{A, A \setminus \{0\}, A \setminus \{0, 1\}, \dots\}$  if  $e(a) = 0$ .

In what follows, in proving the main results, we use a Friedberg numbering of some subfamily of T having additional properties. Now we show that such a numbering exists.

**LEMMA 2.2.** There exists a  $\Sigma_a^{-1}$ -computable Friedberg numbering  $\gamma$  of some subfamily of  $\mathfrak T$ such that:

for any  $n_1 < n_2$ ,

 $\{0, 1, \ldots, n_1\} \subset \gamma_{n_1} \subset \gamma_{n_2}$  if  $e(a) = 1$ , and

 $\gamma_{n_2} \subset \gamma_{n_1} \subset \{0, 1, \ldots, n_1\}$  if  $e(a) = 0;$ 

for any *n*, there is a unique s' such that  $\rho(n, x, s') \neq \rho(n, x, s' + 1)$  with any  $x \leq n$  (more precisely,  $\rho(n, x, s)$  is undefined for  $s \leq s'$ , and then  $\rho(n, x, s) = 0$ ). Notice also that  $s' \leq n$ .

**Proof.** Let  $\langle \xi^1, \xi^2 \rangle$  be a  $\Sigma_a^{-1}$ -approximation to A. We construct a  $\Sigma_a^{-1}$ -approximation  $\langle \delta, \rho \rangle$  to a numbering  $\gamma$ .

Put  $\delta(0, x, s) = \xi^1(x, s)$  and  $\rho(0, x, s) = \xi^2(x, s)$  for any  $x, s \in \omega$ ;  $\delta(n, 0, 0) = 0$  and  $\rho(n, 0, 0)$  is undefined for all  $n > 0$ . Below if no mention is made of certain combinations  $(n, x, s)$ , then we put  $\delta(n, x, s + 1) = \delta(n, x, s)$  and  $\rho(n, x, s + 1) = \rho(n, x, s)$  for these.

By recursion on s, we define functions  $\delta$ ,  $\rho$ , and an auxiliary function k.

(1) Step  $s = 1$ . Put  $k(1, 1) = 0$ ,  $\delta(1, 0, 1) = e(a)$ , and  $\rho(1, 0, 1) = 0$ , and for all  $x > 0$ , let  $\delta(1, x, 1) = \xi^{1}(x, 1)$  and  $\rho(1, x, 1) = \xi^{2}(x, 1)$ .

 $(2)$  Step  $s + 1$ .

 $(2.1)$  For all  $n \leq s$  in increasing order,

(2.1.1) if, for some  $n' < n$ , it is true that  $\delta(n', x, s) = \delta(n, x, s)$  with all  $x \leq s$ , then we put  $k(n, s + 1) = k(n, s) + 1$ , and otherwise, put  $k(n, s + 1) = k(n, s)$ ;

(2.1.2) for all  $x < k(n, s + 1)$ , put  $\delta(n, x, s + 1) = e(a)$  and  $\rho(n, x, s + 1) = 0$ , and for  $x \ge$  $k(n, s + 1)$ , let  $\delta(n, x, s + 1) = \xi^{1}(x, s + 1)$  and  $\rho(n, x, s + 1) = \xi^{2}(x, s + 1)$ ;

 $(2.2)$  put  $k(s + 1, s + 1) = k(s, s + 1) + 1$ .

Put  $\delta(n, x, s+1) = e(a)$  and  $\rho(n, x, s+1) = 0$  for all  $x < k(s+1, s+1); \delta(n, x, s+1) = \xi^{1}(x, s)$ and  $\rho(n, x, s + 1) = \xi^2(x, s + 1)$  for  $x \ge k(s + 1, s + 1)$ .

Pass to the next step s.

The numbering  $\gamma$  is constructed.  $\Box$ 

Finally, let S be a  $\Sigma_a^{-1}$ -computable family that includes a family T and a set  $\omega$  if  $e(a)=1$ , or the empty set if  $e(a)=0$ .

**THEOREM 2.3.** For every notation of a nonzero ordinal  $a \in \mathcal{O}$ , there is a  $\Sigma_a^{-1}$ -computable Friedberg numbering of the family S.

**Proof.** Let  $\alpha$  be a  $\Sigma_a^{-1}$ -computable numbering for S. There is no loss of generality in assuming that  $\alpha_0 = \emptyset$ , if a denotes an even ordinal, and  $\alpha_0 = \omega$  if a denotes an odd ordinal. We will construct a  $\Sigma_a^{-1}$ -computable Friedberg numbering  $\beta$  of S, and also a  $\varnothing'$ -partial computable function h (approximable by partial computable functions  $h_s$ ) using a numbering  $\gamma$  such as in Lemma 2.2. Let  $\langle \phi, \psi \rangle$  and  $\langle \delta, \rho \rangle$  be  $\Sigma_a^{-1}$ -approximations to numberings  $\alpha$  and  $\gamma$ , respectively. We construct an approximation  $\langle f, g \rangle$  to a numbering  $\beta$ .

Requirements for the construction.

(1) If  $\alpha_n = \alpha_{n'}$  for some  $n' < n$ , then  $h(n)$  is undefined.

(2) If  $\alpha_n \neq \alpha_{n'}$  for all  $n' < n$ , then either  $h(n)$  is defined and  $\alpha_n = \beta_{h(n)}$ , or  $\alpha_n = \gamma_x$  for some x and there exists  $m \in \omega \setminus \text{range}(h)$  such that  $\alpha_n = \beta_m$ .

(3) Every set  $\beta_m$ ,  $m \notin \text{range}(h)$ , coincides with  $\gamma_y$  for some y.

(4) For any y, there is a unique m such that  $\beta_m = \gamma_y$ .

Construction of a numbering  $\beta$ .

Step  $s = 0$ . Define

 $f(n, x, 0) = 0$  and  $g(n, x, 0)$  for all natural n and x;

 $f(0, x, s) = e(a)$  and  $g(0, x, s) = 0$  for any x and for  $s > 0$ ;

 $h(0) = h_0(0) = 0$  and  $h_0(n)$  for any  $n > 0$ .

Step  $s + 1$ . For every  $n \leq s$ , we consecutively perform the following actions.

 $(s + 1.1)$  If  $h_s(n)$  is defined, and for some number  $n' < n$ ,

$$
\phi(n', x, s) = \phi(n, x, s)
$$
 for all  $x \in [0, h_s(n) + 1]$ ,

then we assume that  $h_{s+1}(n)$  is undefined.

 $(s+1.2)$  If  $h_s(n)$  is defined,  $n > 0$ , and for some numbers  $s' < s$  and  $m \in \text{range}(h_{s'})\$  range $(h_s)$ ,

$$
f(m, x, s) = f(h_s(n), x, s) \text{ for all } x \in [0, h_s(n) + 1],
$$

then we assume that  $h_{s+1}(n)$  is undefined.

 $(s + 1.3)$  If  $h_s(n)$  is defined, while  $h_{s+1}(n)$  becomes undefined as a result of actions  $(s + 1.1)$ and  $(s + 1.2)$ , then, for every such number n (in increasing order), we put

$$
f(h_s(n), x, s') = \delta(y, x, s' + y), \ g(h_s(n), x, s') = \rho(y, x, s' + y)
$$

for all  $s' > s$ , where y is some natural number greater than is any number mentioned previously in the construction.

 $(s + 1.4)$  If  $h_s(n)$  is undefined for  $n \leq s$ , then, for every such n (in increasing order), we set  $h_{s+1}(n)$  equal to a least m which is not in  $\bigcup \text{ range}(h_s)$  and differs from the value of  $h_{s+1}(n')$  for  $s'$  $\leqslant$ s all  $n' < n$ .

 $(s + 1.5)$  If  $h_s(n)$  is defined, while  $h_{s+1}(n)$  has not become undefined as a result of actions  $(s + 1.1)$  or  $(s + 1.2)$ , then we put  $h_{s+1}(n) = h_s(n)$ .

 $(s+1.6)$  If  $h_{s+1}(n)$  is defined, then we set  $f(h_{s+1}(n), x, s+1) = \phi(n, x, s+1)$  and  $g(h_{s+1}(n), x, s+1)$  $1) = \psi(n, x, s + 1)$  for all  $x \in \omega$ .

Now we show that all the requirements stated above are fulfilled.

(1) In view of  $(s+1.1)$ , if  $\alpha_n = \alpha_{n'}$  for some  $n' < n$ , then  $h_s(n)$  is undefined for infinitely many steps s.

(2) If  $\alpha_n \neq \alpha_{n'}$  for all  $n' < n$ , then  $h(n)$  becomes undefined at the expense of  $(s + 1.1)$  not more than finitely many times. If  $h(n)$  becomes undefined at the expense of  $(s + 1.2)$  infinitely often for a same number m, then  $\alpha_n = \beta_m = \gamma_y$  for some y, as required. If we assume that  $h(n)$  becomes undefined at the expense of  $(s + 1.2)$  infinitely often for infinitely many m, then  $\phi(n, x, s) = f(h_s(n), x, s) = \delta(y, x, s)$  for ever larger y. Hence  $\phi(n, x, s) = e(a)$  for any x; also  $\alpha_n = \omega$ , if  $e(a) = 1$ , and  $\alpha_n = \varnothing$  if  $e(a) = 0$ . Then  $\alpha_n$  coincides with  $\alpha_0$ , and there is no need to search a place for  $\alpha_n$  in the numbering  $\beta$ .

(3) Is fulfilled by virtue of  $(s + 1.4)$ .

(4) Consider some y. In view of  $(s + 1.2)$  and  $(s + 1.4)$ , there exists at most one m for which  $\beta_m = \gamma_y$ . We choose a least n such that  $\alpha_n = \gamma_y$ . Then either  $h(n)$  is defined and  $\beta_{h(n)} = \gamma_y$ , or, as in (2), we can show that there is a number m such that  $\beta_m = \gamma_y$ .

All the requirements are thus fulfilled. We show that the resulting numbering is  $\Sigma_a^{-1}$ computable.

(1) A function f on any tuple  $(n, x, s)$  is computed in finitely many steps; hence it is computable.

(2) For any natural m,  $\beta_m$  is constructed in the same way as  $\alpha_n$  up to some step s; then, possibly, a transition to a numbering  $\gamma_y$  occurs for some natural n and y. If a transition does not take place, then  $f(m, x, s) = \phi(n, x, s)$  and  $g(m, x, s) = \psi(n, x, s)$ ; hence  $\beta$  is  $\Sigma_a^{-1}$ -computable. If a transition will occur, then  $f(m, x, s) = \phi(n, x, s)$  and  $g(m, x, s) = \psi(n, x, s)$ , for all  $s \leq s'$ , and  $f(m, x, s) = \delta(y, x, s + y)$  and  $g(m, x, s) = \rho(y, x, s + y)$  for  $s' < s$ . The slowing down property and the correct parity property for a numbering  $\alpha$ , as well as the choice of y and the properties of a numbering  $\gamma$ , imply that the following relations hold:

$$
\phi(n, x, s') \neq \delta(y, x, s' + 1 + y) \Rightarrow \rho(y, x, s' + 1 + y) <_{o} \psi(n, x, s'),
$$
\n
$$
\phi(n, x, s') = \delta(y, x, s' + 1 + y) \Rightarrow \rho(y, x, s' + 1 + y) \leq_{o} \psi(n, x, s');
$$

hence the properties of a  $\Sigma_a^{-1}$ -approximation are also preserved.  $\Box$ 

The family of all  $\Sigma_a^{-1}$ -sets satisfies the hypothesis of Theorem 2.3. (That the family of all  $\Sigma_a^{-1}$ sets is  $\Sigma_a^{-1}$ -computable was proved, for instance, in [6]; as a family T we can take the family of all initial segments or the family of its complements.)

**COROLLARY 2.4.** For any notation of a nonzero ordinal  $a \in \mathcal{O}$ , the family of all  $\Sigma_a^{-1}$ -sets has a  $\Sigma_a^{-1}$ -computable Friedberg numbering.

We take a set  $\Xi_a^{-1}$  (an *m*-universal set for the class  $\Sigma_a^{-1}$ ; for details, see [3]) for constructing a numbering  $\gamma$ , and also a  $\Sigma_a^{-1}$ -computable family S containing T such that every set  $A \in S$  is  $\Sigma_a^{-1}$ -proper (i.e.,  $A \in \Sigma_a^{-1}$ , but  $A \notin \Sigma_b^{-1}$  for any  $b <_{\mathcal{O}} a$ ). According to Theorem 2.3, the family 8 has a  $\Sigma_a^{-1}$ -Friedberg numbering but has no  $\Sigma_b^{-1}$ -computable numbering for any  $b <sub>0</sub> a$ .

We now turn to other big families—the family of all  $\Pi_a^{-1}$ -sets and the family of all  $\Delta_a^{-1}$ -sets. The family of all  $\Pi_a^{-1}$ -sets consists of complements of all elements of the class  $\Sigma_a^{-1}$ . Hence as a  $\Pi_a^{-1}$ computable Friedberg numbering for the family of all  $\Pi_a^{-1}$ -sets we can take a numbering  $\beta'_x = \overline{\beta_x}$ , where  $\beta$  is a  $\Sigma_a^{-1}$ -computable Friedberg numbering for the family of all  $\Sigma_a^{-1}$ -sets.

For the family of all  $\Delta_a^{-1}$ -sets, the situation is somewhat more complicated.

# 3. THE FAMILY OF ALL  $\Delta_a^{-1}$ -SETS

In order to construct a Friedberg numbering for the family of all  $\Delta_a^{-1}$ -sets using the construction given in Sec. 2, we need a computable numbering for the family of all  $\Delta_n^{-1}$ -sets, and also a suitable additional family T. As T we can take a family constructed on the set  $\omega$ , if  $e(a)=1$ , or on the set  $\varnothing$  if  $e(a)=0$ .

In [7], it was proved that there does not exist a  $\Delta_a^{-1}$ -computable numbering of the family of all  $\Delta_a^{-1}$ -sets for any  $a \in \mathcal{O}$ . However, by analogy with the family of all computable sets  $(\Delta_1^{-1})$ , for which there exists a computable  $(\Sigma_1^{-1}$ -computable) numbering, we have

**PROPOSITION 3.1.** For any ordinal notation of a nonzero ordinal  $a \in \mathcal{O}$ , there exists a  $\Sigma_a^{-1}$ -computable numbering of the family of all  $\Delta_a^{-1}$ -sets.

**Proof.** In [8], it was shown that the class  $\Delta_a^{-1}$  has an m-universal set. If a is a notation for a limit ordinal, then we can take  $\bigoplus$  $_{b<_o}a$  $\Xi_b^{-1}$  to be such a set, and if  $a = 2^b$  for some b, then we take  $\Xi_b^{-1} \bigoplus \overline{\Xi_b^{-1}}$ . If  $a = 2^b$  for some b, then it is easy to see that  $A \in \Delta_a^{-1}$  iff  $A = A_1 \cap C \bigcup A_2 \cap \overline{C}$ , where C is a computable set,  $A_1 \in \Sigma_b^{-1}$ , and  $A_2 \in \Pi_b^{-1}$ .

Let  $\nu_n$  be a  $\Delta_a^{-1}$ -computable numbering for all  $\Sigma_b^{-1}$ -sets and  $\mu_n$  a  $\Delta_a^{-1}$ -computable numbering for all  $\Pi_b^{-1}$ -sets. Let  $\kappa_n$  be a universal function in the class of unary partial computable functions and  $\kappa_n^t$  be the result of computing  $\kappa_n$  after t steps. With the help of  $\kappa_n^t$ , we can exhaustively search all computable sets. (That is, we will use exhaustive search of all partial computable functions, and if a function is neither increasing nor total, then we stop the computation, obtaining, for each  $n$ , either a finite set, or a computable set definable by an increasing computable function.) The resulting set will be involved in determining which of the numberings  $\nu_n$  or  $\mu_n$  should be used for a given n.

Our present goal is to construct a numbering  $\alpha_{\langle l,m,n\rangle}$  for all  $\Delta_a^{-1}$ -sets. To do this, we build up functions  $f(\langle l, m, n \rangle, x, s)$  and  $g(\langle l, m, n \rangle, x, s)$ . Let functions  $\phi_1(l, x, s)$  and  $\psi_1(l, x, s)$  define a numbering  $\nu_n$ , and let  $\phi_2(m, x, s)$  and  $\psi_2(m, x, s)$  define  $\mu_n$ .

Construction.

For all  $l, m, n, x, s \in \omega$ , we define the pair

$$
\langle f(\langle l,m,n\rangle,x,s),g(\langle l,m,n\rangle,x,s)\rangle.
$$

Let  $k$  be a minimal natural number such that one of the following conditions holds:

- (1)  $\kappa_n^s(k)$  is undefined;
- (2)  $\kappa_n^s(k) > x;$
- (3)  $\kappa_n^s(k) = x;$
- (4)  $\kappa_n^s(k) < x$  and  $\kappa_n^s(k+1) \leq \kappa_n^s(k)$ .

Depending on which of the conditions is satisfied, functions  $f$  and  $g$  are defined thus:

(1)  $f(\langle l, m, n \rangle, x, s) = 0$  and  $g(\langle l, m, n \rangle, x, s)$  is undefined;

 $(2)$  an element x is not within the range of an increasing computable function, in which case our functions are defined in the same way as for the second numbering, i.e.,  $f(\langle l, m, n \rangle, x, s) =$  $\phi_2(m, x, s)$  and  $g(\langle l, m, n \rangle, x, s) = \psi_2(m, x, s);$ 

(3) x belongs to the computable set under construction, in which case our functions are defined in the same way as for the first numbering, i.e.,  $f(\langle l, m, n \rangle, x, s) = \phi_1(l, x, s)$  and  $g(\langle l, m, n \rangle, x, s) =$  $\psi_1(l,x,s);$ 

(4) since  $\kappa_n^s(k+1) \leq \kappa_n^s(k)$ , the function  $\kappa_n$  is not increasing, and, as in the second case, x is in the complement of the computable set under construction; hence  $f(\langle l, m, n \rangle, x, s) = \phi_2(m, x, s)$ and  $q(\langle l, m, n \rangle, x, s) = \psi_2(m, x, s)$ .

Let  $f_1(\langle l,m,n\rangle,x,0) = 0$  and  $f_1(\langle l,m,n\rangle,x,s+1) = f(\langle l,m,n\rangle,x,s);$   $q_1(\langle l,m,n\rangle,x,0)$  is undefined and  $g_1(\langle l,m,n\rangle, x, s+1) = g(\langle l,m,n\rangle, x, s)$ . The numbering  $\alpha$  definable by the functions  $f_1$  and  $g_1$  is  $\Sigma_a^{-1}$ -computable.

Let a be a notation for a limit ordinal; then coding the class of sets m-reducible to  $\bigoplus$  $_{b<_o}a$  $\Xi_b^{-1}$  is a simple matter. Let a be a Kleene notation for a limit ordinal,  $a = 3 * 5^e$ , and  $b = \kappa_e(m)$  for some sequence  $b <_{\mathcal{O}} a$ . For any  $m \in \omega$ , as  $\nu^m$  we take a  $\Sigma_b^{-1}$ -computable numbering of the family of all  $\Sigma_b^{-1}$ -sets for one of b's in the specified sequence. Define a numbering such as

$$
\nu_{\langle n,m \rangle}(x) = \begin{cases} \nu_n^{\kappa_e(m)}(x), \kappa_e(m)\downarrow; \\ \varnothing, \kappa_e(m)\uparrow. \end{cases}
$$

Construct a  $\Sigma_a^{-1}$ -approximation  $\langle f, g \rangle$  to a numbering  $\nu$  using  $\Sigma_b^{-1}$ -approximations  $\langle f_m, g_m \rangle$  to numberings  $\nu^m$ . For any  $s, n, m, x \in \omega$ , put:

(1) if  $\kappa_e^s(m)$  is defined, then  $f(\langle n,m\rangle, x, s) = f_{\kappa_e(m)}(n, x, s)$  and  $g(\langle n,m\rangle, x, s) = g_{\kappa_e(m)}(n, x, s);$ (2) if  $\kappa_e^s(m)$  is undefined, then  $f(\langle n,m \rangle, x, s)=0$  and  $g(\langle n,m \rangle, x, s)$  is undefined.

The resulting numbering will obviously be  $\Sigma_a^{-1}$ -computable.  $\Box$ 

In a similar way, we can construct a  $\Pi_a^{-1}$ -computable numbering for the family of all  $\Delta_a^{-1}$ -sets. Thus we have

**COROLLARY 3.2.** For every notation of a nonzero ordinal  $a \in \mathcal{O}$ , there exist  $\Sigma_a^{-1}$ - and  $\Pi_a^{-1}$ -computable Friedberg numberings of the family of all  $\Delta_a^{-1}$ -sets.

## 4. CONSEQUENCES

In the final part of the paper, we give some consequences of the main result.

**PROPOSITION 4.1.** Let S be a  $\Sigma_a^{-1}$ -computable family and T be as in Lemma 2.2. Then the family  $\mathcal{S} \cup \{\emptyset\} \setminus \mathcal{T}$  for  $e(a) = 0$  (or  $\mathcal{S} \cup \{\omega\} \setminus \mathcal{T}$  for  $e(a) = 1$ ) has a  $\Sigma_a^{-1}$ -computable numbering.

**Proof.** Let  $\alpha'$  be a  $\Sigma_a^{-1}$ -computable numbering for S. As a numbering  $\alpha$  we take the following:

(1)  $\alpha_0 = \emptyset$ , if  $e(a) = 0$ , and  $\alpha_0 = \omega$  if  $e(a) = 1$ ;

(2)  $\alpha_{n+1} = \alpha'_n$ .

We will use the numbering  $\gamma$  constructed in Lemma 2.2 (the application itself will be altered), and also a modification of the construction in Sec. 2.

We introduce changes in the construction.

At step  $s + 1$ , actions  $(s + 1.2)$  and  $(s + 1.3)$  will be changed.

 $(s + 1.2)$  If  $h_s(n)$  is defined,  $n > 0$ , and for some number  $m < s$ ,

$$
\delta(m, x, s) = f(h_s(n), a, s)
$$
 for all  $x \in [0, h_s(n) + 1]$ ,

then we assume that  $h_{s+1}(n)$  is undefined.

 $(s+1.3)$  If  $h_s(n)$  is defined, whereas  $h_{s+1}(n)$  became undefined while taking substeps 1 and 2, then, for every such number  $n$  (in increasing order), we put

$$
f(h_s(n), x, s') = e(a), g(h_s(n), x, s') = 0
$$
 for all  $s' > s$ .

The construction proceeds as follows. First we construct  $\beta_n$  as some  $\alpha_{n'}$  and pass to the construction of  $\varnothing$  (or  $\omega$ ) if  $\alpha_{n'}$  coincides with  $\alpha_m$ ,  $m < n'$ , or with some  $\gamma_y$ . By virtue of the correct parity property, no extra alterations will occur.

It is easy to see that the resulting numbering is positive, and the set of numbers of  $\varnothing$  (or  $\omega$ ) in this numbering is computably enumerable, while all other sets occur only once. For our further reasoning, however, we need only  $\Sigma_a^{-1}$ -computability of a numbering  $\beta$ .  $\Box$ 

In [9], it was shown that for every finite level n of the Ershov hierarchy, each infinite computable family containing  $\varnothing$  (for n even) or  $\omega$  (for n odd) has infinitely many computable positive undecidable numberings, which are pairwise incomparable with respect to reducibility of numberings. (For  $n = 1$ , this was first proved in [10]). Subsequently, the result was generalized in [11] to all levels  $\Sigma_a^{-1}$  of the Ershov hierarchy, where a is a notation for any nonzero computable ordinal.

**THEOREM 4.2** [9-11]. Let S be a  $\Sigma_a^{-1}$ -computable infinite family and  $\emptyset \in S$ , if  $e(a) = 0$ , or  $\omega \in S$  if  $e(a) = 1$ . Then S has infinitely many  $\Sigma_a^{-1}$ -computable positive undecidable numberings, which are pairwise incomparable with respect to reducibility of numberings.

Note that in the numberings specified in Theorem 4.2, the set of numbers of  $\varnothing$  (or  $\omega$ ) is computably enumerable, while all other sets occur only once.

Let  $F = S \bigcup \{\emptyset\} \setminus T$  be an infinite  $\Sigma_a^{-1}$ -computable family such as in Proposition 4.1 (i.e., S has infinitely many elements that do not occur in  $T$ ). According to Theorem 4.2, there exists a  $\Sigma_a^{-1}$ -computable positive undecidable numbering  $\alpha$  of F. Let M be the set of numbers of  $\varnothing$  (or  $\omega$ ).

Now we construct a  $\Sigma_a^{-1}$ -computable Friedberg numbering  $\beta$  for a family  $F \bigcup T$  (construct  $\langle f, g \rangle$ , a  $\Sigma_a^{-1}$ -approximation to  $\beta$ ), and also a computable function  $h(s, n)$ .

Let  $\langle \phi, \psi \rangle$  be a  $\Sigma_a^{-1}$ -approximation with slowing down to  $\alpha$  and  $\langle \delta, \rho \rangle$  a  $\Sigma_a^{-1}$ -approximation to  $\gamma$  (according to Lemma 2.2). Let  $\{M_s\}_{s\in\omega}$  be an increasing sequence of finite sets which, in the limit, gives M,  $M_0 = \emptyset$ , and  $M_s \subseteq \bigcap \{0, 1, \ldots, s\}.$ 

Also consider an auxiliary numbering  $\nu = \alpha \bigoplus \gamma$ . Its  $\Sigma_a^{-1}$ -approximation  $\langle \tau, \sigma \rangle$  is defined thus:

(1)  $\tau(2n, x, s) = \phi(n, x, s)$  and  $\sigma(2n, x, s) = \psi(n, x, s);$ 

(2)  $\tau(2n+1, x, s) = \delta(n+1, x, s), \sigma(2n+1, x, s) = \rho(n+1, x, s), \tau(1, x, s) = 0, \text{ and } \sigma(1, x, s)$ is undefined.

Requirements for the construction.

(1) If  $n \notin M$ , then  $\beta_{2n} = \alpha_n$ .

(2) If  $n \in M$ , then  $\beta_{2n} = \gamma_y$  for some y.

(3) For any  $n, \beta_{2n+1} = \gamma_y$  with some y.

(4) For any  $n_1$  and any  $n_2$ ,  $\beta_{n_1} \neq \beta_{n_2}$ .

Construction for  $\beta$ .

Step  $s = 0$ . For any n and any x, assume  $f(n, x, 0) = 0$  and  $g(n, x, 0)$  is undefined. Let  $y' = 0$ ,  $k = 0$ ,  $h(0, 2n) = 2n$ , and  $h(0, 2n + 1) = 1$ . Below if no mention is made of certain combinations  $(n, x, s)$ , then we put  $h(s + 1, n) = h(s, n)$ ,  $f(n, x, s + 1) = f(n, x, s)$ , and  $g(n, x, s + 1) = g(n, x, s)$ for these.

Step  $s + 1$ . For all  $n \leq s$ , consider the following actions.

 $(s + 1.1)$  For all  $n \in M_{s+1} \setminus M_s$  (in increasing order), put

 $(s + 1.1.1)$   $h(s + 1, 2n) = 2y'' + 2$ , where y'' is a natural number larger than y' and s;

 $(s+1.1.2)$  for all  $y' < y \le y''$  (in increasing order), put  $h(s+1, 2k+1) = 2y+1$  and  $k := k+1$ ;  $(s + 1.1.3)$  put  $y' = y''$ .

Pass to the next *n* in action  $(s + 1.1)$ .

 $(s + 1.2)$  For all  $n \notin M_{s+1}$ , set  $h(s + 1, 2n) = h(s, 2n)$  and  $h(s + 1, 2n + 1) = h(s, 2n + 1)$ .

 $(s + 1. s + 1.3)$  For all n, set  $f(n, x, s + 1) = \tau(h(s + 1, n), x, s + 1)$  and  $g(n, x, s + 1)$  $\sigma(h(s + 1, n), x, s + 1).$ 

The description of the construction is completed.

We verify whether the above requirements are fulfilled.

(1) If  $n \notin M$ , then the set  $\alpha_n$  will always be constructed in  $\beta_{2n}$  at the expense of actions  $(s + 1.2)$  and  $(s + 1.3)$ .

(2) If  $n \in M$ , then, starting with some s, the set  $\gamma_x$  is constructed in  $\beta_{2n}$  at the expense of action  $(s + 1.1)$ . New errors will not appear due to the slowing down property.

(3) Is fulfilled since the set M is infinite and there is always at least one element between  $y'$ and  $y'' + 1$ .

(4) Is fulfilled in virtue of  $\alpha$  being positive and  $\gamma$  being a Friedberg numbering.

We have thus constructed a  $\Sigma_a^{-1}$ -computable Friedberg numbering  $\beta$  for  $F \bigcup T$  (more exactly, for the family  $F \cup T \setminus \{ \emptyset \}$ , if  $e(a) = 0$ , and for the family  $F \cup T \setminus \{ \omega \}$  if  $e(a) = 1$ ; however, either element can readily be added to the numbering constructed).

Let  $\alpha^1$  and  $\alpha^2$  be incomparable positive undecidable  $\Sigma_a^{-1}$ -computable numberings for F and  $\beta$ <sup>1</sup> and  $\beta$ <sup>2</sup> be their corresponding  $\Sigma_a^{-1}$ -computable numberings for  $F \bigcup T$ .

**PROPOSITION 4.3.** The numberings  $\beta^1$  and  $\beta^2$  are incomparable with respect to reducibility of numberings.

**Proof.** Assume  $\beta^1 \leq \beta^2$ . There exists a computable function f for which  $\beta_n^1 = \beta_{f(n)}^2$ . Then  $\alpha^1 \bigoplus \eta \leq \alpha^2 \bigoplus \eta$  via the same function f. Here  $\eta_n = \emptyset$ , if  $e(a) = 0$ , and  $\eta_n = \omega$  if  $e(a) = 1$  for any *n*. In view of  $\eta \equiv \eta$ ,  $\alpha^1 \leq \alpha^2$ , a contradiction.  $\Box$ 

**THEOREM 4.4.** The family of all  $\Sigma_a^{-1}$ -sets has infinitely many pairwise incomparable  $\Sigma_a^{-1}$ computable Friedberg numberings for any notation of a nonzero ordinal  $a \in \mathcal{O}$ .

We consider yet another way of using the construction from Sec. 2, the idea behind which arose from the following:

**THEOREM 4.5** [12]. Let S be a  $\Sigma_1^{-1}$ -computable family. Suppose that there exist two disjoint  $\Sigma_1^{-1}$ -computable subfamilies  $S_1, S_2 \subseteq S$  such that  $S_1 \bigcup S_2 = S$ , and

(1)  $\mathcal{S}_2$  has a  $\Sigma_1^{-1}$ -computable Friedberg numbering;

(2) every finite subset of an element of  $S_1$  has infinitely many extensions to  $S_2$ .

Then  $\delta$  possesses a  $\Sigma_1^{-1}$ -computable Friedberg numbering.

From the result cited above, we only use the partition of a family into two computable subfamilies, one of which has a Friedberg numbering. Our further reasoning seems interesting because there we attempt to avoid fixed complexity of families for obtaining a bigger class of Friedberg numberings.

**PROPOSITION 4.6.** Let  $S_1$  and  $S_2$  be infinite families of sets such that:

(1)  $S_1 \bigcap S_2 = \emptyset;$ 

(2)  $S_1$  has a  $\Sigma_a^{-1}$ -computable numbering;

(3)  $S_2$  has a  $\Sigma_b^{-1}$ -computable Friedberg numbering, if  $e(a) = 0$ , and has a  $\Pi_b^{-1}$ -computable Friedberg numbering if  $e(a)=1$ .

Then  $\delta = \delta_1 \bigcup \delta_2$  possesses a  $\Sigma_{b+\sigma}^{-1}$ -computable Friedberg numbering.

Hereinafter,  $+_{\mathcal{O}}$  is a partial computable function satisfying  $|b +_{\mathcal{O}} a|_{\mathcal{O}} = |b|_{\mathcal{O}} + |a|_{\mathcal{O}}$  for  $a, b \in \mathcal{O}$ . **Proof.** Again we appeal to the construction in Sec. 2. Let  $\nu$  be a  $\Sigma_a^{-1}$ -computable numbering for the family  $S_1$ . Put  $\alpha = \nu \oplus \nu$ , i.e., repeat the numbering  $\nu$  twice in the numbering  $\alpha$ . Initially, no restrictions on  $\nu$  are imposed. Therefore,  $\nu$  may have only finitely many repetitions, whereas every element in  $\alpha$  occurs at least twice. In this way, we create an appropriate reserve of numbers onto which elements of  $S_2$  will be put. As  $\gamma$  we use the corresponding Friedberg numbering for  $S_2$ by first changing its approximation  $\langle \delta, \rho \rangle$  as follows:

for all  $n, x \in \omega$  and for all s such that  $\delta(n, x, 0) = \delta(n, x, 1) = \ldots = \delta(n, x, s) = 0$  (i.e., a first change has not yet occurred), put  $\rho(n, x, s) = b$ .

We describe changes to be entered into the construction.

Since  $S_1$  and  $S_2$  are disjoint, action  $(s + 1.2)$  will not be needed, which makes it unnecessary to keep in  $\alpha_0$  the set  $\omega$  (or  $\varnothing$ ). At step 0, in addition, we define  $y = 0$ .

 $(s + 1.3)$  If  $h_s(n)$  is defined, while  $h_{s+1}(n)$  has become undefined as a result of action  $(s +$ 1.1), then, for every such number n (in increasing order), we put  $f(h_s(n), x, s') = \delta(y, x, s')$  and

 $g(h_s(n), x, s') = \rho(y, x, s')$  for all  $s' > s$ . Define  $y = y + 1$ . Pass to the next n. In this action, a position that has become vacant in the numbering  $\beta$  is filled by the next element of  $\mathcal{S}_2$ .

 $(s + 1.6)$  If  $h_{s+1}(n)$  is defined, then we put  $f(h_{s+1}(n), x, s + 1) = \phi(n, x, s + 1)$  and  $g(h_{s+1}(n), x, s+1) = b +_{\mathcal{O}} \psi(n, x, s+1)$  for all  $x \in \omega$ . In this action, we create an additional possibility for changes of elements in  $\beta$ , which might be needed in passing from the construction of an element of  $\alpha$  to an element of  $\gamma$ .

We show that the resulting numbering is  $\Sigma_{b+<sub>0</sub>a<sup>-1</sup>}^{-1}$ -computable. First we construct a new element of the numbering (as a  $\Sigma_a^{-1}$ -set) while preserving the possibility for making another b changes. For this, action  $(s + 1.6)$  is responsible. Next, if either  $(s + 1.1)$  or  $(s + 1.2)$  has been performed, then we start constructing  $\Sigma_b^{-1}$ - or  $\Pi_b^{-1}$ -sets, depending on  $e(a)$ .

If  $e(a)=0$ , then, before performing action  $(s+1.1)$ , the minimum possible value of g is b, while the value of f is 0. Hence, after performing action  $(s + 1.1)$ , the construction of a  $\Sigma_b^{-1}$ -set starting with  $\delta$  which takes on the value 0 will introduce no additional errors.

If  $e(a) = 1$ , then, for g minimal, the value of f is 1, while the construction of a  $\Pi_b^{-1}$ -set starts with  $\delta$  which takes on the value 1. Additional errors will not appear.  $\Box$ 

Consider a family  $S = \{\{2x, 2x + 1\} \mid x \in A\} \cup \{\{2x\}, \{2x + 1\} \mid x \notin A\}$ , where A is a computably enumerable uncomputable set. It is easy to see that this family is  $\Sigma_1^{-1}$ -computable and has no  $\Sigma_1^{-1}$ -computable Friedberg numberings (see, e.g., [13]). The family  $\{\{2x\}, \{2x+1\} \mid x \notin A\}$ is  $\Sigma_2^{-1}$ -computable, while the family  $\{\{2x, 2x + 1\} \mid x \in A\}$  has a  $\Sigma_1^{-1}$ -computable Friedberg numbering. Hence, by Proposition 4.6,  $\delta$  possesses a  $\Sigma_3^{-1}$ -computable Friedberg numbering.

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