G-Groups of Cohen-Macaulay Rings with *n*-Cluster Tilting Objects



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Abstract

Let (R, \mathfrak{m}, k) denote a local Cohen-Macaulay ring such that the category of maximal Cohen-Macaulay *R*-modules **mcm** *R* contains an *n*-cluster tilting object *L*. In this paper, we compute the Quillen *K*-group $G_1(R) := K_1(\operatorname{mod} R)$ explicitly as a direct sum of a finitely generated free abelian group and an explicit quotient of $\operatorname{Aut}_R(L)_{ab}$ when *R* is a *k*-algebra and *k* is algebraically closed with characteristic not two. Moreover, we compute $\operatorname{Aut}_R(L)_{ab}$ and $G_1(R)$ for certain hypersurface singularities.

Keywords Cohen-Macaulay $\cdot n$ -cluster tilting $\cdot K$ -theory \cdot Hypersurface singularities \cdot Automorphism groups

1 Introduction

Throughout this section (R, \mathfrak{m}, k) will always denote a local Noetherian ring that is Cohen-Macaulay. Since the introduction of higher algebraic K-theory by Quillen there has been a significant effort to understand the structure of the *K*-groups $K_i(\mathcal{A})$, for \mathcal{A} an exact category. Our particular interest is when $\mathcal{A} = \mathbf{mod} R$, the category of finitely generated *R*-modules. The groups $K_i(\mathbf{mod} R)$ are denoted by $G_i(R)$. They are, unsurprisingly, called the *G*-groups of *R* (they are also called K'-groups in the literature and may be denoted by $K'_i(R)$). In Section 2, we will discuss notation and various definitions of *K*-groups needed in the computation of $G_1(R)$.

Let **proj** R be the subcategory of **mod** R of finitely generated projective R-modules. Now the inclusion **proj** $R \hookrightarrow \mathbf{mod} R$ induces a map of groups between $K_i(R) := K_i(\mathbf{proj} R)$ and $G_i(R)$. It is of interest to understand the properties of this induced homomorphism. In particular, when is this map an isomorphism? This is precisely the case when R is regular, following immediately from Quillen's Resolution Theorem [15, §Theorem 3]. However, regular local rings are exceptionally well-behaved, so one cannot expect this behavior in

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¹ Department of Mathematics, 1874 Campus Delivery Fort Collins, Fort Collins, CO 80523-1874, USA general. Suppose i = 0. It is well-known $K_0(R)$ isomorphic to \mathbb{Z} (see [16], Theorem 1.3.11), but what of $G_0(R)$? If R is regular, then $G_0(R) = \mathbb{Z}$. However, if R is not regular, but also has *finite Cohen-Macaulay type* (that is, there are, up to isomorphism, finitely many indecomposable maximal Cohen-Macaulay R-modules) then the structure of $G_0(R)$ is elucidated in its entirety by the following.

Theorem 1 [21, Theorem 13.7] Suppose there are t non-free indecomposable maximal Cohen-Macaulay R-modules and denote by \mathcal{G} the free abelian group on the set of isomorphism classes of indecomposable maximal Cohen-Macaulay R-modules. The map $\mathcal{G} \longrightarrow G_0(R)$ given by $X \longmapsto [X]$ is surjective and its kernel is generated by

 $\{X - X' - X'' \mid \exists an Auslander-Reiten sequence 0 \longrightarrow X' \longrightarrow X \longrightarrow X'' \longrightarrow 0\}$

And $G_0(R) \cong coker(\Upsilon)$, where $\Upsilon : \mathbb{Z}^{\oplus t} \longrightarrow \mathbb{Z}^{\oplus (t+1)}$ is the Auslander-Reiten homomorphism.

The immense usefulness of Theorem 1 lies in the fact that the computation of $G_0(R)$ has been reduced to linear algebra, as the Auslander-Reiten homomorphism can be readily computed from the Auslander-Reiten quiver. This quickly leads to the explicit computation of $G_0(R)$ for all simple singularities of finite type (see [21], Proposition 13.10). One can quickly see that these groups are often not \mathbb{Z} .

Moving up one rung on the *K*-theory ladder, it is well-known that $K_1(R) := K_1(\operatorname{proj} R) \cong R^*$ (see [18], Example 1.6). However, the structure of $G_1(R)$ was not known for some time until the work of H. Holm in [7] and V. Navkal in [13]. In the former, computing $G_1(R)$ was carried out over an *R* which has finite Cohen-Macaulay type and it was found that $G_1(R)$ could be computed as an explicit quotient of $\operatorname{Aut}_R(M)_{ab}$, with *M* an additive generator for the category maximal Cohen-Macaulay *R*-modules, mcm *R* (noting such an *M* exists if and only if *R* has finite Cohen-Macaulay type). The latter produced the following.

Theorem 2 [13, Theorem 1.3]

Assume that R is Henselian and the category **mcm** R has an n-cluster tilting object L. Let I be the set of isomorphism classes of indecomposable summands of L and set $I_0 = I \setminus \{R\}$. Then there is a long exact sequence

$$\cdots \longrightarrow \bigoplus_{L' \in I_0} G_i(\kappa_{L'}) \longrightarrow G_i(\Lambda) \longrightarrow G_i(R) \longrightarrow \bigoplus_{L' \in I_0} G_{i-1}(\kappa_{L'}) \longrightarrow \cdots$$

Where

$$\Lambda = End_R(L)^{op} \quad and \quad \kappa_{L'} = End_R(L')^{op}/rad(End_R(L')^{op})$$

Moreover, $\kappa_{L'}$ is always a division ring, and when $R/\mathfrak{m} = k$ is algebraically closed, $\kappa_{L'} = k$.

The long exact sequence ends in presentation

$$\bigoplus_{L'\in I_0} G_0(\kappa_{L'}) \longrightarrow G_0(\Lambda) \longrightarrow G_0(R) \longrightarrow 0$$

of $G_0(R)$. Since $G_0(\Lambda) = \mathbb{Z}^I$ and $\bigoplus_{L' \in I_0} G_0(\kappa_{L'}) = \mathbb{Z}^{I_0}$, the presentation of $G_0(R)$ given

above is precisely the one given in Theorem 1 when L is an additive generator of mcm R.

The definition of an *n*-cluster tilting object is technical and we refer the reader to Definition 9 and Section 4 for examples. We show in Section 3 that utilizing Theorem 2 and techniques from [7], we can generalize and simplify the results [7] on the structure of $G_1(R)$. Keeping notation as in Theorem 2), our contribution in this direction is the following.

Theorem 3 Let k be an algebraically closed field of characteristic not 2 and R a Henselian k-algebra that admits a dualizing module and is also an isolated singularity. If mcm R admits an n-cluster tilting object L such that $End_R(L)^{op}$ has finite global dimension, then there is a subgroup Ξ of $Aut_R(L)_{ab}$, described explicitly in Definition 12, and a free abelian group H such that

$$G_1(R) \cong \mathcal{H} \oplus Aut_R(L)_{ab}/\Xi$$

The utility of Theorem 3 is that the computation of $G_1(R)$ for some hypersurface singularities becomes tractable, as well as removing the necessity of the injectivity of the Auslander-Reiten homomorphism and the need for R to have finite Cohen-Macaulay type, as required in [7]. In fact, with the long exact sequence of [13] and the machinery of [7], the proof is quite elementary. However, before proving Theorem 3 in Section 3, we collect the necessary details on *n*-cluster tilting objects, noncommutative algebra and functor categories in Section 2.

Of course, in order to utilize Theorem 3, one might want to know when mcm R admits an *n*-cluster tilting object. This is discussed in Section 4.

The goal of explicitly computing $G_1(R)$ for specific R would not be possible if we could not compute $\operatorname{Aut}_R(L)_{ab}$. We expend some energy in Section 5 calculating $\operatorname{Aut}_R(L)_{ab}$ for several concrete examples. This section and the next form the technical heart of our work.

Utilizing the results of Section 5, we are able to explicitly compute $G_1(R)$ for several hypersurface rings in Section 6. See Examples 1, 2, 3 and Proposition 6 for details.

In Section 7, we discuss the similarities our computations share and make a conjecture.

We now fix notation. We always use A to denote an associative ring with identity that is not necessarily commutative; **mod** A will be the category of finitely generated left A-modules; and **proj** A will be the category of finitely generated projective left A-modules.

We will use the following setup: (R, \mathfrak{m}, k) always denotes a commutative local Cohen-Macaulay ring such that

- (a) *R* is Henselian.
- (b) *R* admits a dualizing module.
- (c) **mcm** *R* admits an *n*-cluster tilting object.
- (d) *R* is an isolated singularity.

The assumption of (a) give us that *any* maximal Cohen-Macaulay module can be written uniquely as a direct sum of finitely many indecomposable maximal Cohen-Macaulay modules (see [11], Theorem 1.8 and Exercise 1.19). In fact, all of the rings for which we compute $G_1(R)$ are complete, so they already satisfy (a) (see [11], Corollary 1.9). The assumption of (b) is a standard technical assumption in representation theory of Cohen-Macaulay rings. Currently, the assumption (c) is very much a technical black box, but we will see it is indispensable; see Definition 9. The assumption in (d) is necessary to make use of the theory of *n*-cluster tilting objects. When necessary, we will assume that *R* is a *k*-algebra and char(k) \neq 2, but we do not use this as a blanket assumption.

2 Preliminaries

2.1 Some Definitions of K-Groups

We begin first by discussing the classical definition lower *K*-groups.

Definition 1 The **classical** K_0 -group of A, denoted by $K_0^C(A)$, is defined as the Grothendieck group of the category **proj** A. More explicitly, choose an isomorphism class for each $P \in \operatorname{proj} A$ and let X be the free abelian group on these isomorphism classes. Then $K_0^C(A)$ is the quotient of X by the subgroup of X generated by $\{[P] - [P'] - [P''] : 0 \longrightarrow P' \longrightarrow P \longrightarrow P'' \longrightarrow 0 \text{ exact}\}$.

The **classical** K_1 -**group** of A, denoted by $K_1^C(A)$, is defined as the abelianization of the infinite general linear group over A. That is, using the obvious embeddings $GL_n(A) \hookrightarrow GL_{n+1}(A)$, we can form the *infinite general linear group* $GL(A) := \bigcup_{n \ge 1} GL_n(A)$. Thus $K_1^C(A)$ is $GL(A)_{ab}$.

Of principal importance in defining K-groups for our purposes is the following notion.

Definition 2 An exact category \mathcal{Y} is an additive category together with a distinguished class of sequences $Y' \rightarrow Y \rightarrow Y''$ called *coinflations* with a fully faithful additive functor F from \mathcal{Y} into an abelian category \mathcal{X} such that

- (a) $Y' \to Y \twoheadrightarrow Y''$ is a conflation in \mathcal{Y} if and only if $0 \longrightarrow F(Y') \longrightarrow F(Y) \longrightarrow F(Y') \longrightarrow 0$ is exact in \mathcal{X} .
- (b) If 0 → F(Y') → X → F(Y") → 0 is exact in X, then X ≅ F(Y) for some Y in Y. That is, Y is closed under extensions in X.

We note any abelian category is an exact category. Moreover, **proj** *A* is an exact category, where the conflations are taken to be the sequences that are exact in **mod** *A*. Note that **proj** *A* is an exact category which is not abelian.

We will need the following notions as they pertain to exact categories.

Definition 3 \mathcal{Y} denotes an exact category.

- (a) We will always work under the assumption that the objects of \mathcal{Y} form a set. In this regard, we say that \mathcal{Y} is **skeletally small**.
- (b) We say \mathcal{Y} is a **semisimple exact category** if every conflation splits. The prototypical example of a semisimple exact category is **proj** A.
- (c) We write 𝒱₀ to denote 𝒱 viewed as an exact category in which the coinflations 𝒱' → 𝒱 → 𝒱'' are such that the corresponding exact sequence in the abelian category 𝔅 is split exact. We call this the **trivial exact structure** for 𝒱.

The definition of Bass's K_1 functor rests squarely upon the following notion.

Definition 4 Let \mathcal{Y} be any category. Its **loop category** $\Omega \mathcal{Y}$ is the category whose objects are pairs (Y, α) , Y an object of \mathcal{Y} and $\alpha \in \operatorname{Aut}_{\mathcal{Y}}(Y)$. A morphism in $\Omega \mathcal{Y}$ between two objects (Y, α) and (Y', α') is a commutative diagram in \mathcal{Y}



Remark 1 Let \mathcal{Y} be a skeletally small exact category. Its loop category $\Omega \mathcal{Y}$ is also skeletally small and it is not hard to see that $\Omega \mathcal{Y}$ inherits an exact structure such that $(Y', \alpha') \rightarrow$

 $(Y, \alpha) \twoheadrightarrow (Y'', \alpha'')$ is a coinflation in $\Omega \mathcal{Y}$ if and only if $Y' \rightarrowtail Y \twoheadrightarrow Y''$ is a coinflation in \mathcal{Y} .

Definition 5 Let \mathcal{Y} be a skeletally small exact category and $\Omega \mathcal{Y}$ be its loop category, so that $\Omega \mathcal{Y}$ is also skeletally small and exact. We define Bass's K_1 -group of \mathcal{Y} , denoted by $K_1^B(\mathcal{Y})$, to be the Grothendieck group of $\Omega \mathcal{Y}$ modulo the subgroup generated by the following elements

 $(Y, \alpha) + (Y, \beta) - (Y, \alpha\beta)$

For (Y, α) in $\Omega \mathcal{Y}$ we denote its image in $K_1^B(\mathcal{Y})$ as $[Y, \alpha]$.

Remark 2 (a) [7, 3.4] We note for $Y \in \mathcal{Y}$, we have

 $[Y, 1_Y] + [Y, 1_Y] = [Y, 1_Y 1_Y] = [Y, 1_Y]$

Hence $[Y, 1_Y]$ is the identity element of $K_1^B(\mathcal{Y})$.

(b) Unexpectedly, K_1^B is a functor from the category of skeletally small exact categories to abelian groups. Indeed, for a morphism F (which is necessarily an exact functor) between \mathcal{Y} and another skeletally small exact category, we have $K_1^B(F)([Y, \alpha]) = [F(Y), F(\alpha)]$.

Remark 3 [16, Theorem 3.1.7]

There is an isomorphism

$$\eta_A: K_1^C(A) \xrightarrow{\cong} K_1^B(\operatorname{proj} A)$$

The isomorphism η_A is such that $\xi \in GL_n(A)$ is mapped to the class $[A^n, \xi] \in K_1^B(\mathbf{proj} A)$, where elements of A^n are viewed as row vectors and ξ acts by multiplication on the right.

Definition 6 Let \mathcal{Y} be a skeletally small exact category. The *i*th Quillen *K*-group of \mathcal{Y} , denoted by $K_i^{\mathcal{Q}}(\mathcal{Y})$, is defined to be the abelian group $\pi_{i+1}(BQ\mathcal{Y}, 0)$, where $Q\mathcal{Y}$ is *Quillen's Q-construction*; $BQ\mathcal{Y}$ is the classifying space of $Q\mathcal{Y}$; 0 is a fixed zero object; and π_{i+1} denotes the taking of a homotopy group.

By [15, Section 2, Theorem 1] there is a natural isomorphism of between the Grothendieck group functor and K_0^Q (as functors on the category of skeletally small exact categories). Moreover, K_1^Q (**proj** A) is naturally isomorphic to $K_1^C(A)$ (see [18], Corollary 2.6 and Theorem 5.1). Quillen's definition of higher *K*-theory is stunningly elegant, but does not often lend itself to performing computations with ease. The definition of Bass's functor K_1^B will be more suited for our computational needs and, we will want to exploit this in the sequel. As in [7, 3.6], we will make strong use of the following theorem.

Theorem 4 There exists a natural transformation $\zeta : K_1^B \longrightarrow K_1^Q$, which we call the Gersten-Sherman transformation, of functors on the category of skeletally small exact categories such that $\zeta_{\mathcal{Y}} : K_1^B(\mathcal{Y}) \longrightarrow K_1^Q(\mathcal{Y})$ is an isomorphism for every semisimple exact category \mathcal{Y} . In particular, $\zeta_{proj A} : K_1^B(proj A) \longrightarrow K_1^Q(proj A)$ is an isomorphism for every ring A.

The name for ζ was introduced in [7] for the following: The existence of ζ was initially sketched by Gersten in [6, sect. 5] and the details were later filled in by Sherman [17, sect. 4], whom also proved $\zeta_{\mathcal{Y}}$ is an isomorphism for every semisimple exact category.

2.2 n-Auslander-Reiten Theory

We want to discuss generalizations of Auslander-Reiten theory, following [8]. To do so, we will require some precise categorical language. Here \mathcal{Y} denotes any exact category.

Definition 7 Write Mod \mathcal{Y} for the category of additive contravariant functors $\mathcal{Y} \longrightarrow \mathbf{Ab}$, with **Ab** the category of abelian groups. The morphisms in Mod \mathcal{Y} are natural transformations between functors with kernels and cokernels computed pointwise. An easy check shows that Mod \mathcal{Y} is abelian. We write (\bullet, Y) to denote the additive contravariant functor $\operatorname{Hom}_{\mathcal{Y}}(\bullet, Y)$. We say $F \in \operatorname{Mod} \mathcal{Y}$ is finitely presented if there is an exact sequence

$$(\bullet, Y) \longrightarrow (\bullet, Y') \longrightarrow F \longrightarrow 0$$

in Mod \mathcal{Y} . We write mod \mathcal{Y} for the subcategory of finitely presented functors.

For a ring A, let **Mod** A denote the category of all left A-modules and denote the subcategory of finitely presented left A-modules by **mod** _{fp} A. Fix a left A-module N and denote by E its endomorphism ring End_A(N). Then N has a left E-module structure that is compatible with its left A-module structure such that for $e \in E$ and $n \in N$, $e \cdot n = e(n)$. Denote by **add**_A N the category of A-modules that consists of all direct summands of finite direct sums of N. For $F \in$ **Mod** (**add**_A N), the aforementioned left E-module structure on N induces a left- E^{op} -module structure on the abelian group FN such that $e \cdot z = (Fe)(z)$ for $e \in E^{op}$ and $z \in FN$. We use these facts for the following proposition, which will be essential in the proof of Theorem 3.

Proposition 1 [7, Proposition 6.2]

There are quasi-inverse equivalences of abelian categories

$$Mod(add_A N) \xrightarrow[f_N]{e_N} ModE^{op}$$

Where the functors e_N and f_N are defined as follows: $e_N(F) = FN$ (evaluation) and $f_N(Z) = Z \otimes_E Hom_A(\bullet, N)|_{add_A N}$ (functorification). Also, these quasi-inverse equivalences restrict to equivalences between categories of finitely presented objects

$$mod(add_A N) \xrightarrow[f_N]{e_N} mod_{fp} E^{op}$$

Definition 8 Let \mathcal{X} be an additive category and \mathcal{C} a subcategory of \mathcal{X} . We call \mathcal{C} contravariantly finite, if for any $X \in \mathcal{X}$ there is a morphism $f : C \longrightarrow X$ with $C \in \mathcal{C}$ such that

$$(\bullet, \mathcal{C}) \xrightarrow{\bullet f} (\bullet, X) \longrightarrow 0$$

is exact (where $\bullet f$ is the map induced by f). Such an f is called a right-C-approximation of X. We dually define a covariantly finite subcategory and a left-C-approximation. A contarvariantly and covariantly finite subcategory is called functorially finite.

At long last, we are able to define an *n*-cluster tilting object.

Definition 9 Let \mathcal{Y} be an exact category with enough projectives. For objects X, Y in \mathcal{Y} we write $X \perp_n Y$ if $\operatorname{Ext}^i_{\mathcal{V}}(X, Y) = 0$ for $0 < i \le n$. For an exact subcategory $\mathcal{C} \subset \mathcal{Y}$, we put

$$\mathcal{C}^{\perp_n} = \{ X \in \mathcal{Y} : Y \perp_n X \text{ for all } Y \in \mathcal{C} \}$$
$${}^{\perp_n} C = \{ X \in \mathcal{Y} : X \perp_n Y \text{ for all } Y \in \mathcal{C} \}$$

We call C an *n*-cluster-tilting subcategory of \mathcal{Y} if it is functorially finite and $C = C^{\perp_{n-1}} = {}^{\perp_{n-1}} C$. An object L of \mathcal{Y} is called *n*-cluster-tilting if $\operatorname{add}_{\mathcal{Y}}(L)$ is an *n*-cluster tilting subcategory.

From the definition of *n*-cluster tilting, if **mcm** R admits an *n*-cluster tilting object L, then R is necessarily a direct summand of L. While the definition of *n*-cluster tilting is quite a bit to digest at once, there are concrete examples of *n*-cluster tilting objects over familiar rings and we refer the reader to Section 4 for several examples.

When *R* has finite Cohen-Macaulay type, we have the classical notion of an *Auslander-Reiten sequence* or *almost-split sequence*. When **mcm** *R* has an *n*-cluster tilting subcategory, we have the following generalization.

Definition 10 If $C \subset \mathbf{mcm} R$ is an *n*-cluster tilting subcategory, given $X \in \mathbf{mcm} R$ not free and indecomposable, an exact sequence

 $0 \longrightarrow C_n \xrightarrow{f_n} \cdots \xrightarrow{f_1} C_0 \xrightarrow{f_0} X \longrightarrow 0$

with $C_0, \ldots, C_n \in \mathcal{C}$ such that

$$0 \longrightarrow (\bullet, C_{n-1}) \xrightarrow{\bullet f_n} \cdots \xrightarrow{\bullet f_1} (\bullet, C_0) \xrightarrow{\bullet f_0} (\bullet, X) \longrightarrow 0$$

is a minimal projective resolution of $(\bullet, X)/\operatorname{rad}_{\operatorname{mcm} R}(\bullet, X)$ in $\operatorname{mod} \mathcal{C}$ is called an *n*-Auslander-Reiten sequence (or an *n*-almost-split sequence).

Here $\operatorname{rad}_{\operatorname{mcm} R}(\bullet, X)$ is such that

 $\operatorname{rad}_{\operatorname{mcm} R}(Y, X) = \{ f \in \operatorname{Hom}_{R}(Y, X) : fg \in \operatorname{rad}(\operatorname{End}_{R}(Y)) \text{ for all } g \in \operatorname{Hom}_{R}(Y, X) \}$

If $C \subset \mathbf{mcm} R$ is an *n*-cluster tilting subcategory, then *n*-Auslander-Reiten sequences exist by [9, Theorem 3.31].

2.3 Endomorphism Rings and K-Groups

By our blanket assumptions on R, there is a unique decomposition of the *n*-cluster tilting object $L = L_0^{\oplus l_0} \oplus \cdots \oplus L_t^{\oplus l_t}$, such that $L_i \in \mathbf{mcm} R$ is indecomposable and $l_i > 0$ and the L_i are pairwise non-isomorphic. In this section, we will assume that $l_i = 1$. For if we write $L_{\text{red}} = L_0 \oplus \cdots \oplus L_t$, then $\mathbf{add}_R L = \mathbf{add}_R L_{\text{red}}$. Thus L is an *n*-cluster tilting object for $\mathbf{mcm} R$ if and only if L_{red} is. Moreover, we will see in Section 3, that in the context of Theorem 3, the choice of L_{red} over L is immaterial. Write $C = \mathbf{add}_R L$. The following construction is from [7, Construction 2.6].

If $L' \in C$, we can write $L' = L_0^{\oplus m_0} \oplus \cdots \oplus L_t^{\oplus m_t}$ for uniquely determined $m_0, \ldots, m_t \ge 0$. Set $q = q(L') = \max\{m_0, \ldots, m_t\}$ and $v_j = v_j(L') = q - m_j$. Notice that q is the smallest integer such that L' is a direct summand of $L^{\oplus q}$. Now form the *R*-module $L'' = L_0^{\oplus v_0} \oplus \cdots \oplus L_t^{\oplus v_t}$ and let $\psi : L' \oplus L'' \longrightarrow L^{\oplus q}$ be the *R*-linear isomorphism that takes the element

$$((\underline{x}_0,\ldots,\underline{x}_t),(\underline{y}_0,\ldots,\underline{y}_t)\in L'\oplus L''=(L_0^{\oplus m_0}\cdots\oplus L_t^{\oplus m_t})\oplus (L_0^{\oplus v_0}\oplus\cdots\oplus L_t^{\oplus v_t})$$

where $\underline{x}_j \in L_j^{\oplus m_j}$ and $\underline{y}_j \in L_j^{\oplus v_j}$, to the element

$$((z_{01},\ldots,z_{t1}),\ldots,(z_{0q},\ldots,z_{tq}))\in L^{\oplus q}=(L_0\oplus\cdots\oplus L_t)^{\oplus q}$$

with $z_{j1}, \ldots, z_{jq} \in L_j$ given by

$$(z_{j1},\ldots,z_{jq}) = (\underline{x}_j,\underline{y}_j) \in L_j^{\oplus q} = L_j^{\oplus (m_j+v_j)}$$

Now for $\alpha \in \operatorname{Aut}_R(L')$, we define $\widetilde{\alpha}$ to be the automorphism on $L^{\oplus q}$ given by $\psi(\alpha \oplus 1_{L''})\psi^{-1}$. Note that $\widetilde{\alpha} = (\widetilde{\alpha_{ij}})$, with $\widetilde{\alpha_{ij}}$ uniquely determined endomorphisms of L. In particular, $\widetilde{\alpha} \in \mathbb{M}_q(\operatorname{End}_R L)$. As in [7], we refer to this construction as the tilde construction.

Remark 4 We note a special case of the tilde construction. Keep notation as above. Suppose $\alpha = a \mathbf{1}_{L'}$ with $a \in R^*$. If $L' = L_{i_1}^{\oplus q} \oplus \cdots \oplus L_{i_h}^{\oplus q}$ with $0 \le i_1 < i_2 < \cdots < i_h \le t$. Then $\widetilde{\alpha} : L^{\oplus q} \longrightarrow L^{\oplus q}$ is the automorphism given by $e \mathbf{1}_{L^{\oplus q}}$ with $e \in \operatorname{Aut}_R(L)$ given by

diag $(1_{L_0}, \ldots, a_{1_{L_{i_1}}}, \ldots, a_{1_{L_{i_h}}}, \ldots, 1_{L_t})$

Hence, $(\widetilde{a1_{L'}})^{-1} = \widetilde{a^{-1}1_{L'}}$.

As we will often be working explicitly with highly noncommutative rings, we need to discuss important ideas at the intersection of noncommutative algebra and *K*-theory. Let J(A) be the Jacobson radical of the not necessarily commutative ring *A*. Recall that *A* is said to be *semilocal* if A/J(A) is semisimple. That is, every left A/J(A)-module has the property that each of its submodules is a direct summand of A/J(A). In the case that *A* is commutative, this is equivalent to *A* having only finitely many maximal ideals [10, Proposition 20.2]. Of great importance to us is the following situation: If *A* is a commutative semilocal in the preceding sense [7, Lemma 5.1]. We will see how the following remark utilizes this small but essential fact in the proof of Theorem 3.

Remark 5 [7, Paragraph 5.2]

For arbitrary A, denote the composition of the following group homomorphisms

$$A^* = GL_1(A) \hookrightarrow GL(A) \twoheadrightarrow GL(A)_{ab} = K_1^C(A)$$

by ϑ_A . Since $K_1^C(A)$ is abelian, there is an induced map $\theta_A : A_{ab}^* \longrightarrow K_1^C(A)$. If A is semilocal, then [1, V§9 Theorem 9.1] shows that ϑ_A is surjective, hence so is θ_A . When A contains a field k with char $(k) \neq 2$, a result of Vaserstein [20, Theorem 2] shows that θ_A is an isomorphism. In particular, if R is a k-algebra, char $(k) \neq 2$ and M is a finitely generated R-module with $E = \text{End}_R(M)$, then θ_E and $\theta_{E^{\text{op}}}$ are isomorphisms.

Suppose now A is a commutative semilocal ring, so that the commutator subgroup $[A^*, A^*]$, is trivial, hence $\theta_A : A^* \longrightarrow K_1^C(A)$ is surjective. In [7, Remark. 5.4], if θ_A is an isomorphism, an explicit inverse to θ_A is constructed: The determinant homomorphisms $\det_n : GL_n(A) \longrightarrow A^*$ induce a homomorphism $\det_A : K_1^C(A) \longrightarrow A^*$ (since each \det_n is trivial on commutators in GL(A)) which satisfies $\det_A \theta_A = 1_{A^*}$, so that $\theta_A^{-1} = \det_A$.

Using Remark 5 as motivation, the following definition is made in [7].

Definition 11 Let A be a ring for which the map $\theta_A : A_{ab}^* \longrightarrow K_1^C(A)$ is an isomorphism. The inverse θ_A^{-1} is denoted by det_A and is is called the generalized determinant. The following proposition makes use of the tilde construction and will be useful in proving Theorem 3. We note it is essentially proven in [7], where it is a synthesis of [7, Lemma 6.5] and the proof of [7, Proposition 8.8]. We also note that the assumptions in [7, Proposition 8.8] are that R has finite Cohen-Macaulay type. However, we note that under our assumptions, the portion of the proof we are referencing [7, equation (8.8.1)] still holds.

Proposition 2 Keeping our general assumptions, suppose in addition that R is an algebra over its residue field k and the characteristic of k is not two. Let $L_0, \ldots, L_t \in \mathbf{mcm} R$ and L be their direct sum. Set $\Lambda = End_R(L)^{op}$. Let $C_0 = \mathbf{add}_R(L)$ be equipped with the trivial exact structure. If Λ has finite global dimension, then there is an isomorphism of groups

 $\tau: K_1^B(\mathcal{C}_0) \longrightarrow Aut_R(L)_{ab}$

such that for any $L' \in C_0$ and any $\alpha \in Aut_R(L')$, $\tau([L', \alpha]) = det_{\Lambda^{op}}(\widetilde{\alpha})$.

Remark 6 [7, Observation 8.9]

Let A be any commutative Noetherian local ring and η_A be the isomorphism from Remark 3 and $\theta_A : A^* \longrightarrow K_1^C(A)$ be the induced map from Remark 5. Then θ_A is an isomorphism by [18, Example 1.6]. Thus the composition $\rho_A = \eta_A \theta_A : A^* \longrightarrow K_1^B(\mathbf{proj} A)$ is an isomorphism such that $a \in A^*$ is mapped to $[A, a1_A]$.

We now combine the the above preliminaries with the tilde construction to define the subgroup Ξ of Aut_{*R*}(*L*)_{ab} in Theorem 3.

Definition 12 Recall that we are assuming that **mcm** *R* has *n*-cluster-tilting object of the form $L = L_0 \oplus \cdots \oplus L_t$. We assume that $L_0 = R$ and that for j > 0, the L_j are non-free pairwise non-isomorphic and indecompsable objects in **mcm** *R*. Suppose also that *R* is a *k*-algebra, char(k) $\neq 2$ and *k* is algebraically closed. If **mcm** *R* has an *n*-cluster tilting object *L* such that $\Lambda := \text{End}_R(L)^{\text{op}}$ has finite global dimension, we define a subgroup Ξ of $\text{Aut}_R(L)_{\text{ab}}$ as follows: For j > 0, let

$$0 \longrightarrow C_n^j \longrightarrow \cdots \longrightarrow C_0^j \longrightarrow L_j \longrightarrow 0$$

be the *n*-Auslander-Reiten sequence ending in L_j (see Definition 10). By Remark 5, $\theta_{\Lambda^{\text{op}}}$: Aut_{*R*}(*L*)_{ab} $\longrightarrow K_1^C(\Lambda^{\text{op}})$ is an isomorphism with inverse given by det_{Λ^{op}}. Then Ξ is the subgroup generated by the elements given by

$$a\widetilde{1}_{L_j}\prod_{i=1}^{n+1}\det_{\Lambda^{\operatorname{op}}}(\widetilde{a1}_{C_{i-1}^j})^{(-1)^i}$$

where *a* runs over all elements of k^* and j = 1, ..., t.

3 The Structure of G₁(R)

In this section, unadorned *K*-groups are the Quillen *K*-groups. Our goal of this section is to prove Theorem 3. We always assume that **mcm** *R* has an *n*-cluster tilting object $L = L_0^{l_0} \oplus \cdots \oplus L_t^{\oplus l_t}$, with $L_0 = R$, and L_1, \ldots, L_t non-free, non-isomorphic indecomposable maximal Cohen-Macaulay *R*-modules such that $\Lambda := \text{End}_R(L)^{\text{op}}$ has finite global dimension. In addition to our blanket assumptions, we assume that *k* is algebraically closed of characteristic not two and *R* is a *k*-algebra. We begin with an easy reduction. **Lemma 1** Set $L_{red} = L_0 \oplus \cdots \oplus L_t$. If $\Lambda_{red} = End_R(L_{red})^{op}$, then Λ and Λ_{red} are Morita-equivalent. In particular, $G_i(\Lambda) \cong G_i(\Lambda_{red})$ for all $i \ge 0$.

Proof The desired Morita equivalence is from [4, Lemma 2.2]. Thus the categories of left Λ and Λ_{red} modules are equivalent, hence there is an equivalence of exact categories between **mod** Λ and **mod** Λ_{red} . It is well-known this yields an isomorphism in *G*-theory, hence $G_i(\Lambda) \cong G_i(\Lambda_{\text{red}})$ for all $i \ge 0$.

It is easy to see $\operatorname{add}_R L = \operatorname{add}_R L_{red}$. Moreover, since Λ has finite global dimension, the Morita equivalence of Lemma 1 gives that Λ_{red} also has has finite global dimension. Since Λ has finite global dimension and is a semilocal algebra over a field of characteristic not two, by Quillen's Resolution Theorem [15, §Theorem 3], [18, Corollary 2.6 and Theorem 5.1], and [20, Theorem 2] we have isomorphisms

$$G_1(\Lambda) \cong K_1(\Lambda) \cong K_1^C(\Lambda) = \Lambda_{ab}^* = \operatorname{Aut}_R(L)_{ab}$$

As noted above, Λ_{red} has finite global dimension, hence the same arguments apply, so that the above remarks and Lemma 1 give

$$\operatorname{Aut}_{R}(L_{\operatorname{red}})_{\operatorname{ab}} = (\Lambda_{\operatorname{red}})^{*}_{\operatorname{ab}} \cong G_{1}(\Lambda_{\operatorname{red}}) \cong G_{1}(\Lambda) \cong \Lambda^{*}_{\operatorname{ab}} = \operatorname{Aut}_{R}(L)_{\operatorname{ab}}$$

Thus may safely assume that the *n*-cluster tilting object *L* for **mcm** *R* has the form $L_0 \oplus \cdots \oplus L_t$, where the L_i are non-isomorphic indecomposable maximal Cohen-Macaulay. Henceforth, we always use Λ to denote $\text{End}_R(L)^{\text{op}}$ with $L = L_0 \oplus \cdots \oplus L_t$, $L_0 = R$ and for j > 0, the L_j are non-free, non-isomorphic indecomposable objects in **mcm** *R*.

Since k is algebraically closed, $\kappa_{L_j} = \text{End}_R(L_j)^{\text{op}}/\text{rad}(\text{End}_R(L_j)^{\text{op}}) = k$ for all j (this is essentially Nakayamma's lemma). By Theorem 2, there is an exact sequence of abelian groups

$$G_1(k)^{\oplus t} \xrightarrow{\gamma} G_1(\Lambda) \longrightarrow G_1(R) \longrightarrow G_0(k)^{\oplus t} \longrightarrow G_0(\Lambda) \longrightarrow G_0(R) \longrightarrow 0$$

By Theorem 2, $G_0(\Lambda) = \mathbb{Z}^{\oplus (t+1)}$. Moreover, is well-known that $G_0(k) = \mathbb{Z}$. In particular, the above exact sequence becomes

$$G_1(k)^{\oplus t} \xrightarrow{\gamma} G_1(\Lambda) \longrightarrow G_1(R) \longrightarrow \mathcal{H} \longrightarrow 0$$
 (*)

where \mathcal{H} is the kernel of a map $\mathbb{Z}^{\oplus t} \longrightarrow \mathbb{Z}^{\oplus (t+1)}$. Now \mathcal{H} is free, being the subgroup of a free group, hence the exactness of (\star) gives an isomorphism

$$G_1(R) \cong \operatorname{coker}(\gamma) \oplus \mathcal{H}$$

Thus to prove Theorem 3, that is, in order to calculate Ξ , we need to explicitly describe the map γ . In this direction, we first define C_0 to be the category $\mathcal{C} := \operatorname{add}_R L =$ $\operatorname{add}_R(L_0, \ldots, L_t)$ equipped with trivial exact structure. As we are assuming Λ has finite global dimension, [7, Lemma 6.5] gives an isomorphism $K_1(C_0) \cong K_1(\operatorname{mod} \mathcal{C})$ that is induced by the exact Yoneda functor $y_L : C_0 \longrightarrow \operatorname{mod} \mathcal{C}$, where $y_L(X) =$ $\operatorname{Hom}_R(\bullet, X)|_{\mathcal{C}}$. Since Λ is left Noetherian, Proposition 1 gives that the evaluation functor $e_L : \operatorname{mod} \mathcal{C} \longrightarrow \operatorname{mod} \Lambda$ is an equivalence, hence induces an isomorphism $K_1(\operatorname{mod} \mathcal{C}) \cong$ $K_1(\Lambda)$. Moreover, Λ has finite global dimension, so that Quillen's Resolution Theorem [15, §Theorem 3] yields that the inclusion functor $\operatorname{proj} \Lambda \longrightarrow \operatorname{mod} \Lambda$ induces an isomorphism $K_1(\Lambda) \cong G_1(\Lambda)$. Hence there is a map $\alpha : G_1(k)^{\oplus t} \longrightarrow K_1(\mathcal{C}_0)$ such that the diagram



commutes. This gives $\operatorname{coker}(\gamma) \cong \operatorname{coker}(\alpha)$. Thus to prove Theorem 3, it suffices to compute $\operatorname{coker}(\alpha)$. In fact, α is computed in the discussion of [13, Section 7.2]. The details will be useful and we recall them. Now $L = L_0 \oplus \cdots \oplus L_t$, with $L_0 = R$ and L_1, \ldots, L_t are the non-free indecomposable and non-isomorphic summands of L. We set $I = \{L_0, \ldots, L_t\}$ and $I_0 = I \setminus \{R\}$. For j > 0 let

$$0 \longrightarrow C_n^j \longrightarrow \cdots \longrightarrow C_0^j \longrightarrow L_j \longrightarrow 0$$

be the *n*-Auslander-Reiten sequence ending in L_j (see Definition 10). Denote by k_j the object of $\bigoplus_{I_0} \mathbf{mod} k$ which is k in the L_j -coordinate and 0 in the others. We remark that to define a k-linear functor out of $\bigoplus_{I_0} \mathbf{mod} k$, one needs only to specify the image of each object k_j . We define k-linear functors

$$a_i: \bigoplus_{I_0} \operatorname{mod} k \longrightarrow \mathcal{C}_0 \qquad (0 \le i \le n+1)$$

by

$$\begin{cases} a_i(k_j) = C_{i-1}^j \ (1 \le i \le n+1) \\ a_0(k_j) = L_j \end{cases}$$

It is shown in [13, Section 7.2] that $\alpha = \sum_{i=0}^{n+1} (-1)^i K_1(a_i)$. We have the following.

Proposition 3 If Ξ is the subgroup of Λ_{ab}^* from Definition 12, there is an isomorphism $coker(\alpha) \cong \Lambda_{ab}^*/\Xi$.

Now Proposition 3 implies Theorem 3, so the proof of Proposition 3 will conclude this section.

Proof Since the morphisms $a_i : \bigoplus_{I_0} \operatorname{\mathbf{mod}} k \longrightarrow C_0$ are functors on exact categories, they also define maps $K_1^B(a_i) : K_1^B(\bigoplus_{I_0} \operatorname{\mathbf{mod}} k) \longrightarrow K_1^B(C_0)$ on the Bass K_1 -groups. Now $|I_0| = t$, so that $K_1^B(\bigoplus_{I_0} \operatorname{\mathbf{mod}} k) = \bigoplus_{I_0} K_1^B(\operatorname{\mathbf{mod}} k) = K_1^B(\operatorname{\mathbf{mod}} k)^{\oplus t}$. Let $\beta : K_1^B(\operatorname{\mathbf{mod}} k)^{\oplus t} \longrightarrow K_1^B(C_0)$ be the map given by $\sum_{i=0}^{n+1} (-1)^i K_1^B(a_i)$. Our first task is to show that $\operatorname{coker}(\alpha) \cong \operatorname{coker}(\beta)$. The Gersten-Sherman transformation (see Theorem 4) $\zeta : K_1^B \longrightarrow K_1$ provides the following commutative diagram for $i = 0, 1, \ldots, n+1$

$$K_{1}(\operatorname{mod} k)^{\oplus t} \xrightarrow{K_{1}(a_{i})} K_{1}(\mathcal{C}_{0})$$

$$\zeta_{\operatorname{mod} k}^{\oplus t} \stackrel{\cong}{\longrightarrow} \zeta_{c_{0}}$$

$$K_{1}^{B}(\operatorname{mod} k)^{\oplus t} \xrightarrow{K_{1}^{B}(a_{i})} K_{1}^{B}(\mathcal{C}_{0})$$

Where the vertical isomorphisms come courtesy of Theorem 4, as C_0 and **mod** k are semisimple exact categories. Hence there is a commutative diagram

$$K_{1}(\operatorname{\mathbf{mod}} k)^{\oplus t} \xrightarrow{\alpha} K_{1}(\mathcal{C}_{0})$$

$$\zeta_{\operatorname{\mathbf{mod}} k}^{\oplus t} \middle| \cong \qquad \cong \middle| \zeta_{c_{0}}$$

$$K_{1}^{B}(\operatorname{\mathbf{mod}} k)^{\oplus t} \xrightarrow{\beta} K_{1}^{B}(\mathcal{C}_{0})$$

This gives that $\operatorname{coker}(\alpha) \cong \operatorname{coker}(\beta)$. To finish the proof, first note that Remark 6 furnishes an isomorphism $\rho_k : k^* \longrightarrow K_1^B(\operatorname{mod} k)$ such that $a \mapsto [k, a1_k]$, hence there is an isomorphism $\rho_k^{\oplus t} : (k^*)^{\oplus t} \longrightarrow K_1^B(\operatorname{mod} k)^{\oplus t}$. Now recall the isomorphism $\tau : K_1^B(\mathcal{C}_0) \longrightarrow \Lambda_{ab}^*$ (noting $\Lambda_{ab}^* = \operatorname{Aut}_R(L)_{ab}$) of Proposition 2. The map τ is such that for $L' \in \mathcal{C}_0$ and any $f \in \operatorname{Aut}_R(L'), \tau([L', f]) = \det_{\Lambda^{\operatorname{op}}}(\tilde{f})$, where $\det_{\Lambda^{\operatorname{op}}}$ is the generalized determinant of Definition 11 and $\tilde{f} \in \operatorname{Aut}_R(L)$ is the map obtained from the tilde construction of Section 2.3. In particular, $\operatorname{coker}(\beta) \cong \operatorname{coker}(\tau \beta \rho_k^{\oplus t})$, hence we calculate the latter. Restricting to the *j*th coordinate of $(k^*)^{\oplus t}$, by slight abuse of notation, we have for $a \in k^*$

$$\beta \rho_k(a) = \beta([k, a1_k]) = [L_j, a1_{L_j}] + \sum_{i=1}^{n+1} (-1)^i [C_{i-1}^j, a1_{C_{i-1}^j}]$$

By definition, $\det_{\Lambda^{op}}(\widetilde{aI_{L_j}}) = \widetilde{aI_{L_j}}$, so that

$$\tau \beta \rho_k(a) = \tau \left([L_j, a \mathbb{1}_{L_j}] + \sum_{i=1}^{n+1} (-1)^i [C_{i-1}^j, a \mathbb{1}_{C_{i-1}^j}] \right) = a \widetilde{\mathbb{1}_{L_j}} \prod_{i=1}^{n+1} \det_{\Lambda^{\mathrm{op}}} (\widetilde{a\mathbb{1}_{C_{i-1}^j}})^{(-1)^i}$$

This is precisely the subgroup Ξ of Definition 12, whence the result.

4 Existence of *n*-Cluster Tilting Objects in mcm *R*

Naturally, the usefulness of Theorem 3 would be limited if the situations in which **mcm** *R* contained an *n*-cluster tilting object were sparse. Fortunately for us, they are not. Moreover, if **mcm** *R* admits an *n*-cluster tilting object *L*, we require that $\Lambda := \text{End}_R(L)^{\text{op}}$ has finite global dimension. At first glance, this condition might also seem limiting, but is in fact quite common, as seen in the following theorem.

Theorem 5 [8, Theorem 3.12(a)]

Suppose dim R = d and that mcm R contains an n-cluster tilting object L with $d \le n$. Then Λ has global dimension at most n + 1.

The most well-studied situation in which mcm R admits an *n*-cluster tilting object is the following.

4.1 Finite Cohen-Macaulay Type

Recall that we say that R has finite Cohen-Macaulay type (or *finite type* for short) when R has only finitely many indecomposable maximal Cohen-Macaulay modules. Now the only

1-cluster tilting subcategory of **mcm** *R* is **mcm** *R* itself. Thus the existence of a 1-cluster tilting object for **mcm** *R* is equivalent to *R* having finite type. In particular, when *R* has finite type, **mcm** *R* has an additive generator *M*. For practical and computational purposes, when *R* has finite type, we will often work with the *R*-module $M = M_0 \oplus M_1 \oplus \cdots \oplus M_t$, with $M_0 = R$ and M_1, \ldots, M_t the pairwise non-isomorphic and non-free indecomposable maximal Cohen-Macaulay *R*-modules. Moreover, [12, Theorem 6] shows that $\text{End}_R(M)^{\text{op}}$ has finite global dimension, hence Theorem 3 is applicable in this situation. In fact, in this case, if the Auslander-Reiten homomorphism $\Upsilon : \mathbb{Z}^{\oplus t} \longrightarrow \mathbb{Z}^{\oplus(t+1)}$ is injective, Theorem 3 is just [7, Theorem 2.12], the result which inspired Theorem 3.

4.1.1 ADE Singularities

The most important examples of rings that have finite type are the simple surface singularities. These are called the ADE singularities. Let $S = k[[x, y, z_2, z_3, ..., z_d]]$ and assume k is algebraically closed with characteristic different from 2, 3 and 5. Set R = S/fS with f nonzero and $f \notin (x, y, z_2, ..., z_d)^2$. The f for which R has finite type are exactly the following [11, Theorem 9.8]

$$(A_n) \quad x^2 + y^{n+1} + z_2^2 + z_3^2 + \dots + z_d^2 \qquad (n \ge 1)$$

$$(D_n) \quad x^2 y + y^{n-1} + z_2^2 + z_3^2 + \dots + z_d^2 \qquad (n \ge 4)$$

$$(E_6) \quad x^3 + y^4 + z_2^2 + z_3^2 + \dots + z_d^2$$

$$(E_7) \quad x^3 + xy^3 + z_2^2 + z_3^2 + \dots + z_d^2$$

$$(E_8) \quad x^3 + y^5 + z_2^2 + z_3^2 + \dots + z_d^2$$

4.2 Invariant Subrings

Let *k* be a field and *S* the ring $k[[x_1, ..., x_n]]$. Suppose *G* is a finite subgroup of $GL_n(k)$ that does not contain any nontrivial pseudo-reflections and with |G| invertible in *k*. Let *R* be the invariant subring $k[[x_1, ..., x_n]]^G$ of *S*, where *G* acts by a linear change of variables on *S*. If *R* is an isolated singularity, then the *R*-module *S* is an (n - 1)-cluster tilting object (see [9, 2.5]).

The skew group ring of S, denoted by S#G, is given by $S\#G = \bigoplus_{\sigma \in G} S \cdot \sigma$, with multiplication defined by $(s \cdot \sigma)(t \cdot \tau) = s\sigma(t) \cdot \sigma\tau$. In this situation, S#G has global dimension equal to n [11, Corollary 5.8] and there is an isomorphism $\operatorname{End}_R(S) \cong S\#G$ [11, Theorem 5.15]. In particular, Theorem 3 is applicable in this situation.

4.3 Reduced Hypersurface Singularities

4.3.1 Dimension One

Let k be an algebraically closed field of characteristic not two and S = k[[x, y]]. For $f \in (x, y)$, let R = S/fS be a reduced hypersurface singularity. Suppose f has prime factorization and $f = f_1 \cdots f_n$, $S_i = S/(f_1 \cdots f_i)S$ and L is the R-module $S_1 \oplus \cdots \oplus S_n$. If $f_i \notin (x, y)^2$ for all i, then [2] shows that L is a 2-cluster tilting object for **mcm** R. Moreover, Theorem 5 shows that $\text{End}_R(L)^{\text{op}}$ has global dimension at most three. Hence we can apply Theorem 3 in this situation. Note, in particular, if $\lambda_1, \ldots, \lambda_n$ are distinct elements of k, then Theorem 3 is applicable to the ring S/fS with $f = (x - \lambda_1 y) \cdots (x - \lambda_n y)$.

4.3.2 Dimension Three

Keep notation as above, but set S' = k[[x, y, u, v]] and R' = S'/(f + uv)S'. Then **mcm** R' has a 2-cluster tilting object if $f_i \notin (x, y)^2$ for all i and it is given by $L := U_1 \oplus \cdots \oplus U_n$ with $U_i = (u, f_1 \cdots f_i) \subset R'$ [8, Theorem 4.17]. Moreover, [8, Theorem 4.17] also says $\operatorname{End}_{R'}(L)^{\operatorname{op}}$ has finite global dimension, so Theorem 3 is applicable in this situation.

5 Abelianization of Automorphism Groups

Of course, the usefulness of Theorem 3 would be limited if one were unable to compute $\operatorname{Aut}_R(L)_{ab}$. We make several computations, though each computation is tailored specifically to each ring and it seems difficult to find results that hold generally. Our computations rely significantly upon the general framework laid out by [7] and this work serves strongly as inspiration for our results. The purpose of this section is to prove the following.

Proposition 4 Let k be an algebraically closed field of characteristic not equal to two. Then

- (a) if $R = k[x]/x^n k[x]$ and $M = R \oplus x R \oplus \cdots \oplus x^{n-1}R$, then $Aut_R(M)_{ab} \cong (k^*)^{\oplus n}$.
- (b) if k also has characteristic not equal to 3 or 5, $R = k[[t^2, t^{2n+1}]]$, $n \ge 0$ and $M = R \oplus R_1 \oplus \cdots \oplus R_n$, with $R_i = k[[t^2, t^{2(n-i)+1}]]$, then $Aut_R(M)_{ab} \cong (k^*)^{\oplus n} \oplus k[[t]]^*$.
- (c) if $R = k[[s^2, st, t^2]]$, then $Aut_R(R \oplus (s^2, st)R)_{ab} \cong k^* \oplus R^*$.
- (d) if $S = k[[x, y]], f_1, ..., f_n \in (x, y)$ are irreducible such that
 - (i) $f = f_1 \cdots f_n$, R := S/fS, is an isolated singularity (i.e. $(f_i) \neq (f_j)$),
 - (ii) $f_i \notin (x, y)^2$ for all i,
 - (iii) $(f_i, f_{i+1}) = (x, y),$

$$S_i = S/(f_1 \cdots f_i)S$$
, and $L = S_1 \oplus \cdots \oplus S_n$, then

$$Aut_R(L)_{ab} \cong (S/f_1S)^* \oplus \cdots \oplus (S/f_nS)^* = \overline{R}^*$$

Where $\overline{R} = S/f_1 S \oplus \cdots \oplus S/f_n S$ is the integral closure of R in its total quotient ring. (e) if k has characteristic zero, S' = k[[x, y, u, v]], R' = S'/(f + uv)S', where $f = f_1 \cdots f_n$ with $f_i \in k[[x, y]]$ satisfying the conditions in (d), $U_i = (u, f_1 \cdots f_i)$, and $L = U_1 \oplus \cdots \oplus U_n$, then

$$Aut_{R'}(L)_{ab} \cong R'^* \oplus k[[w, z]]^{* \oplus (n-1)}$$

where w, z are variables over k.

Of course, the purpose of Proposition 4 is to combine it with Theorem 3 calculate explicit examples of $G_1(R)$ for several hypersurface singularities. This will be done in Section 6.

We set up some useful notation. Let N_1, \ldots, N_s be A-modules and consider the Amodule $N := N_1 \oplus \cdots \oplus N_s$. We view the elements of N as column vectors and the endomorphism ring of N has a matrix-like structure: For $f \in \text{End}_A(N)$, we can write $f = (f_{ij})$ with $f_{ij} \in \text{Hom}_A(N_j, N_i)$ and composition with another endomorphism $g = (g_{ij})$ can be accomplished in the same manner one would multiply matrices with entries in A. We write a diag $(\alpha_1, \ldots, \alpha_s)$ for the diagonal endomorphism of N with $\alpha_i \in \text{End}_A(N_i)$. For $\alpha \in \text{Aut}_A(N_j)$, we denote by $d_j(\alpha)$ the automorphism of N given by diag $(1_{N_1}, \ldots, 1_{N_{j-1}}, \alpha, 1_{N_{j+1}}, \ldots, 1_{N_s})$. For $i \neq j$ and $\beta \in \text{Hom}_A(N_j, N_i)$, we denote by $e_{ij}(\beta)$ the automorphism of N with diagonal entries $1_{N_1}, \ldots, 1_{N_s}$ and (i, j)th entry given by β and zeros elsewhere. Before we begin, we discuss calculations that will be used often in the sequel.

Lemma 2 [7, Lemma 9.2]

Let A be a ring in which 2 is invertible, N_1, \ldots, N_s be A-modules and $N := N_1 \oplus \cdots \oplus N_s$. If $i \neq j$ and $\alpha \in Hom_A(N_j, N_i)$, then $e_{ij}(\alpha)$ is a commutator in $Aut_A(N)$.

Proof Given β , γ in Aut_A(N), the commutator of β and γ is $[\beta, \gamma] = \beta \gamma \beta^{-1} \gamma^{-1}$. It is not hard to see that $e_{ij}(\alpha) = [e_{ij}(\frac{\alpha}{2}), d_j(-1_{N_i})]$.

Lemma 3 Let (A, \mathfrak{n}) be commutative and local such that 2 is invertible in A. Let N_1, \ldots, N_s be A-modules and set $N = N_1 \oplus \cdots \oplus N_s$. Let $a \in 1 + \mathfrak{n}$, and consider the automorphism $d_i(a1_{N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$ of N. Suppose either

(a) $N_i \supseteq N_{i+1}$ and $\mathfrak{n}N_i \subseteq N_{i+1}$ or

(b) $N_i \subseteq N_{i+1}$ and $(1-a)N_{i+1} \subseteq N_i$

then $d_i(a1_{N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$ is in the commutator subgroup of $Aut_A(N)$.

Proof In the case of (a), Let $\iota_i : N_{i+1} \longrightarrow N_i$ be inclusion. Now note that $a^{-1} \in 1 + \mathfrak{n}$, so that we have the following decomposition of $d_i(a_{1N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$:

$$e_{i+1,i}((a^{-1}-1)1_{N_i})e_{i,i+1}(\iota_i)e_{i+1,i}((a-1)1_{N_i})e_{i,i+1}(-a^{-1}\iota_i)$$

We apply Lemma 2 to see that $d_i(a1_{N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$ is in the commutator subgroup of Aut_A(N).

In the case of (b), notice that our hypothesis implies $(a^{-1} - 1)N_{i+1} \subseteq N_i$. Let $\iota_i : N_i \longrightarrow N_{i+1}$ be the inclusion map. We have the following decomposition of $d_i(a 1_{N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$:

$$e_{i,i+1}((a^{-1}-1)1_{N_{i+1}})e_{i+1,i}(\iota_i)e_{i,i+1}((a-1)1_{N_{i+1}})e_{i+1,i}(-a^{-1}\iota_i)$$

and once again, we apply Lemma 2 to see that $d_i(a 1_{N_i})d_{i+1}(a^{-1}1_{N_{i+1}})$ is in the commutator subgroup of Aut_A(N).

5.1 Truncated Polynomial Rings in One Variable

Our aim here is to prove (a) of Proposition 4. That is k is algebraically closed and has characteristic not two, $R = k[x]/x^n k[x]$, m is its maximal ideal xR, then with $M = R \oplus \mathfrak{m} \oplus \cdots \oplus \mathfrak{m}^{n-1}$, we have $\operatorname{Aut}_R(M)_{ab} \cong (k^*)^{\oplus n}$.

Proof Denote by R_j the ring $k[x]/x^j k[x]$ for $1 \le j \le n$. Note that $R_{j-1} \subset R_j$ and $R = R_n$. Let \mathfrak{m} denote the maximal ideal xR of R. Then $\operatorname{End}_R(\mathfrak{m}^i)$ is isomorphic to the local ring R_{n-i} . Let M be the R-module $R \oplus \mathfrak{m} \oplus \cdots \oplus \mathfrak{m}^{n-1}$. We set $E = \operatorname{End}_R(M)$ and seek to show $E_{ab}^* \cong (k^*)^{\oplus n}$.

For n = 1, this is clear. For n = 2, we have $\operatorname{End}_R(\mathfrak{m}) = k$, so that $E_{ab}^* \cong (k^*)^{\oplus 2}$ by [7, Proposition 9.6].

Suppose now $n \ge 3$. We first show that there is a surjection $E_{ab}^* \longrightarrow (k^*)^{\oplus n}$ such that the kernel consists of diagonal matrices $\alpha = (\alpha_{ii})$ with $\alpha_{ii} \in \operatorname{Aut}_R(\mathfrak{m}^{i-1}) = R_{n-i+1}^*$. By [7, Proposition 9.4], $(\alpha_{ij}) \in E$ is invertible if and only if α_{ii} is invertible for all *i*. In particular, this gives that every two-sided maximal ideal of *E* is of the

form $\mathfrak{n}_i := \{(\alpha_{ij}) : \alpha_{ii} \in J(\operatorname{End}_R(\mathfrak{m}^{i-1}))\}$. Hence the Chinese Remainder Theorem gives $E/J(E) \cong E/\mathfrak{n}_1 \times \cdots \times E/\mathfrak{n}_n = k \times \cdots \times k$. In particular, there is an induced surjection $\varphi : E_{ab}^* \to (k^*)^{\oplus n}$. We appeal to [7, Corollary 9.5] to see every element of E_{ab}^* can be represented by a diagonal automorphism. Moreover, it is clear elements in the kernel φ are given by (α_{ii}) such that α_{ii} is multiplication by an element in $1 + J(\operatorname{End}_R(\mathfrak{m}^{i-1})) = 1 + xR_{n-i+1}$ for all *i*.

We now demonstrate the injectivity of φ . Let $\alpha \in E_{ab}^*$ such that $\varphi(\alpha)$ is trivial. By the above, we can write $\alpha = (\alpha_{ii})$ such that α_{ii} is multiplication by an element of $1 + x R_{n-i+1}^*$. Now every endomorphism on \mathfrak{m}^{n-1} is given by an element of $1 + x R_1 = \{1\}$, so that we can write $\alpha = d_1(\alpha_{11}) \cdots d_{n-1}(\alpha_{n-1,n-1})$. It suffices to show each $d_i(\alpha_{ii})$ is in the commutator subgroup of E^* . We do this below.

We show by decreasing induction on *i* that $d_i(\beta)$ can be written as a product of commutators, where β is given by multiplication by an element of $1+xR_{n-i+1}$. For i = n-1, write $\beta = r1_{\mathfrak{m}^{n-2}}$, where $r \in 1 + xR_2$. Notice that $r^{-1} \in 1 + xR_2$ as well, hence multiplication by r^{-1} restricts to the identity on \mathfrak{m}^{n-1} . This gives

$$d_{n-1}(\beta) = d_{n-1}(r1_{\mathfrak{m}^{n-2}}) = d_{n-1}(r1_{\mathfrak{m}^{n-2}})d_n(r^{-1}1_{\mathfrak{m}^{n-1}})$$

By Lemma 3, $d_{n-1}(r1_{\mathfrak{m}^{n-2}})d_n(r^{-1}1_{\mathfrak{m}^{n-1}})$ is in the commutator subgroup of E^* , hence so is $d_{n-1}(\beta)$. Suppose now i < n-1 and $\beta \in \operatorname{Aut}_R(\mathfrak{m}^{i-1})$ is given by multiplication on \mathfrak{m}^{i-1} by an element of $1 + xR_{n-i+1}$. We have

$$d_i(\beta) = d_i(\beta)d_{i+1}(\beta^{-1}|_{\mathbf{m}^i})d_{i+1}(\beta|_{\mathbf{m}^i})$$

By the induction hypothesis, $d_{i+1}(\beta|_{\mathfrak{m}^i})$ is in the commutator subgroup of E^* . By Lemma 3, $d_i(\beta)d_{i+1}(\beta^{-1}|_{\mathfrak{m}^i})$ is in the commutator subgroup of E^* , hence so is $d_i(\beta)$. This completes the induction step and gives that $E^*_{ab} \cong (k^*)^{\oplus n}$.

5.2 Singularty of Type A_{2n} in Dimension One

Our aim here is to prove (b) of Proposition 4. Thus, k is an algebraically closed field of characteristic not equal to 2, 3 or 5 and R the ring $k[[t^2, t^{2n+1}]]$. Set $R = R_0$ and let M be the *R*-module $R_0 \oplus R_1 \oplus \cdots \oplus R_n$, where $R_i = k[[t^2, t^{2(n-i)+1}]]$ for i = 0, ..., n. Then we want to show $\operatorname{Aut}_R(M)_{ab} \cong (k^*)^{\oplus n} \oplus k[[t_1]]^*$. Before we begin, we prove the following.

Lemma 4 Let $0 \le i, j \le n$. If

- (a) $i \leq j$, then $Hom_R(R_i, R_j) = R_j$.
- (b) i > j, then $Hom_R(R_i, R_j)$ can be viewed as a subset of R. In particular, it is contained in $R_n = k[[t]]$.

As a consequence of the above, we can view $E := End_R(M)$ as a subring of $\mathbb{M}_{n+1}(R_n) = \mathbb{M}_{n+1}(k[[t]])$.

Proof (a) We claim

 $\operatorname{Hom}_{R}(R_{i}, R_{j}) = \operatorname{Hom}_{R_{i}}(R_{i}, R_{j})$

Indeed, since $R \subseteq R_i$, there is a natural inclusion $\operatorname{Hom}_{R_i}(R_i, R_j) \subseteq \operatorname{Hom}_R(R_i, R_j)$. We demonstrate the reverse inclusion. Let $s \in R_i$, $f \in R_i$ and $\varphi \in \operatorname{Hom}_R(R_i, R_j)$. It is not hard to see that there is a nonzero $r \in R$ such that $rs \in R$ (for example, by noting that $t^{2n+1} \in R$, $t^{2n+1}k[[t]] \subseteq R$, and $R_i \subseteq k[[t]]$). We have

$$r\varphi(sf) = \varphi(rsf) = rs\varphi(f)$$

and r is nonzero, so that $\varphi(sf) = s\varphi(f)$. This proves the claim. Thus, we have

$$\operatorname{Hom}_{R}(R_{i}, R_{i}) = \operatorname{Hom}_{R_{i}}(R_{i}, R_{i})$$

and the latter is naturally isomorphic to R_i .

(b) By [19, Lemma 2.4.3], there is an isomorphism of R-modules:

$$\operatorname{Hom}_{R}(R_{i}, R_{j}) \cong (R_{j} :_{R} R_{i})$$

Where $(R_j :_R R_i)$ is the ideal of R consisting of $f \in R$ such that $f R_i \subseteq R_j$. Utilizing (a) and (b), we see that E can be viewed as the subring of $\mathbb{M}_{n+1}(R_n) =$

Utilizing (a) and (b), we see that *E* can be viewed as the subring of $\mathbb{M}_{n+1}(R_n) = \mathbb{M}_{n+1}(k[[t]])$ given by

$$\begin{pmatrix} R_0 & R_1 & R_2 & R_3 & \cdots & R_n \\ (R_1 :_R R_0) & R_1 & R_2 & R_3 & \cdots & R_n \\ (R_2 :_R R_0) & (R_2 :_R R_1) & R_2 & R_3 & \cdots & R_n \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ (R_n :_R R_0) & (R_n :_R R_1) & (R_n :_R R_2) & (R_n :_R R_3) & \cdots & R_n \end{pmatrix} \square$$

We now proceed with the proof of (b) of Proposition 4.

Proof Note the R_i are finitely generated *R*-modules; each R_i is local with maximal ideal $\mathfrak{m}_i = (t^2, t^{2(n-i)+1})R_i$; we have inclusions $R_i \subseteq R_{i+1}$ and $\mathfrak{m}_i \subseteq \mathfrak{m}_{i+1}$; and each R_i has *k* as a residue field.

This is clear for n = 0. For n = 1, this is just [7, Proposition 9.6], since $k[[t]] \cong (t^2, t^3)k[[t^2, t^3]]$ as $k[[t^2, t^3]]$ -modules.

Suppose now $n \ge 2$. Our goal is to construct a map from E^* to the abelian group $(k^*)^{\oplus n} \oplus k[[t]]^*$, so that we obtain an induced map $E_{ab}^* \longrightarrow (k^*)^{\oplus n} \oplus k[[t]]^*$ that we will later show is an isomorphism.

First we construct a map from E^* to $(k^*)^{\oplus n}$. The proof that there is group homomorphism from $E^* \longrightarrow (k^*)^{\oplus n}$ works in exactly the same manner as as it did in the proof of (a) of Proposition 4. Noting of course that with $\mathfrak{n}_i = \{(\alpha_{ij}) : \alpha_{ii} \in J(\operatorname{End}_R(R_{i-1}))\}$, (a) of Lemma 4 gives that $E/\mathfrak{n}_i = \operatorname{End}_R(R_{i-1})/J(\operatorname{End}_R(R_{i-1})) = R_{i-1}/\mathfrak{m}_{i-1} = k$. Thus we obtain an induced map $E^*_{ab} \longrightarrow (k^*)^{\oplus n}$.

As Lemma 4 allows us to view E as a subring of $\mathbb{M}_{n+1}(R_n) = \mathbb{M}_{n+1}(k[[t]]), E^*$ is naturally a subset of $GL_{n+1}(k[[t]])$, the group of invertible $(n + 1) \times (n + 1)$ matrices over k[[t]]. By taking the determinant, we obtain a map from $E^* \longrightarrow k[[t]]^*$. Now $k[[t]]^*$ is abelian, hence this induces a group homomorphism $E^*_{ab} \longrightarrow k[[t]]^*$.

Regarding *E* as a matrix subring of $\mathbb{M}_{n+1}(k[[t]])$, we combine our preceding work to see there is a group homomorphism $\Phi : E_{ab}^* \longrightarrow (k^*)^{\oplus n} \oplus R_n^*$ such that the image of $\alpha = (\alpha_{ij}) \in E_{ab}^*$ under Φ is

$$(\alpha_{11} + \mathfrak{m}_0, \ldots, \alpha_{nn} + \mathfrak{m}_{n-1}, \det(\alpha))$$

We note Φ is surjective: For $(a_1, \ldots a_n, f) \in (k^*)^{\oplus n} \oplus R_n^*, (a_1, \ldots a_n, f)$ is the image under Φ of

diag
$$(a_1, a_2, \ldots, a_n, (a_1a_2\cdots a_n)^{-1}f)$$

To see that Φ is injective, let $\alpha \in E_{ab}^*$ such that $\Phi(\alpha)$ is trivial. By [7, Corollary 9.5], we may assume that $\alpha \in E_{ab}^*$ is diagonal. Write $\alpha = \text{diag}(f_0, f_1, \dots, f_{n-1}, f_n)$, with $f_{i-1} \in (R_{i-1})^*$ by Lemma 4. Since $\Phi(\alpha)$ is trivial, $f_{i-1} \in 1 + \mathfrak{m}_{i-1}$ for $i = 1, \dots, n$ and $f_0 f_1 \cdots f_n = 1$ in $R_n^* = k[[t]]^*$. Hence for $i = 1, \dots, n$, α is the product of the diagonal automorphisms $\beta_i = d_i(f_{i-1})d_{n+1}(f_{i-1}^{-1})$. Consider the automorphisms $\gamma_i =$ $d_i(f_{i-1})d_{i+1}(f_{i-1}^{-1})$ and note that $\beta_i = \gamma_i \cdots \gamma_n$. To see that γ_i is in the commutator subgroup, note that f_{i-1}^{-1} is in $1 + \mathfrak{m}_{i-1}$, hence multiplication by $f_{i-1} - 1$ maps R_i into R_{i-1} . Indeed, multiplication by \mathfrak{m}_{i-1} on \mathfrak{m}_i takes \mathfrak{m}_i into \mathfrak{m}_{i-1} . Moreover, any unit in R_i is a power series with nonzero constant term, hence multiplication on R_i by an element in \mathfrak{m}_{i-1} takes R_i into R_{i-1} . Thus the hypotheses of Lemma 3 are satisfied, so that each γ_i is in the commutator subgroup of E^* , hence so is each β_i , and ultimately so is α . Thus Φ is injective, hence an isomorphism.

5.3 Generalities for Invariant Subrings

Let *k* be a field. Recall from Section 4 that *S* is the ring $k[[x_1, ..., x_n]]$, *G* is a finite subgroup of $GL_n(k)$ that does not contain any nontrivial pseudo-reflections with |G| invertible in *k* and *R* is the invariant subring S^G of *S* (where *G* acts by a linear change of variables on *S*). Then if *R* is an isolated singularity, the *R*-module *S* is an (n - 1)-cluster tilting object in **mcm** *R*.

We need the following lemmas for the proof of (c) of Proposition 4.

Lemma 5 Let A be a local Cohen-Macaulay integral domain of dimension d > 1 such that A is an isolated singularity. Then A is normal and $Hom_A(I, I) \cong A$ for any ideal I of height one.

Proof Clearly *A* satisfies Serre's criterion for normality. For the second part, choose $x \in I$ to be nonzero. Then [19, Lemma 2.4.3] shows that Hom_A(*I*, *I*) can be identified with the *A*-submodule $\frac{1}{x}(xI :_A I)$ of the quotient field of *A*. Now $(Ix :_A I)$ is nonzero and contained in *I*, so must have height one. If *I* is principal, it is clear that $(xI :_A I) = xA$. However, as $(xI :_A I)$ has height one and *A* is an isolated singularity, A_p is a discrete valuation ring for every associated prime p of $(xI :_A I)$, hence $(xIA_p :_{A_p} IA_p) = xA_p$. Thus $(xI :_A I) = xA$ and Hom_A(*I*, *I*) $\cong A$.

Lemma 6 [3, Lemma 5.4]

Let A be a commutative Noetherian ring. Then for any ideal I and module M such that $grade(I, M) \ge 2$, we have $Hom_A(I, M) \cong Hom_A(A, M) \cong M$.

5.4 Singularity of Type A₁ in Dimension Two

Our aim here is to prove (c) of Proposition 4. Thus *R* is the A_1 singularity $k[[s^2, st, t^2]]$ in dimension two with char $(k) \neq 2$. If $I = (s^2, st)R$, then $\operatorname{Aut}_R(R \oplus I)_{ab} \cong k^* \oplus R^*$.

Proof By [11, Example 5.25], the indecomposable maximal Cohen-Macaulay modules of M are R and I. That is, R has finite type. Thus by [21, Theorem 4.22], R is an isolated singularity. Moreover, since R is of finite type, $R \oplus I$ is an additive generator for **mcm** R, so that $\operatorname{End}_R(R \oplus I)^{\operatorname{op}}$ has finite global dimension by [12, Theorem 6]. Now I has height one, so that $\operatorname{Hom}_R(I, I) \cong R$ by Lemma 5. Moreover, as I is maximal Cohen-Macaulay, we have $\operatorname{Hom}_R(I, R) \cong R$ by Lemma 6. Thus $\operatorname{End}_R(R \oplus I)$ is isomorphic to the subring $\begin{pmatrix} R & R \\ I & R \end{pmatrix}$ of $\mathbb{M}_2(R)$. By [14, Corollary 2.8], there is an isomorphism $\operatorname{Aut}_R(R \oplus I)_{\operatorname{ab}} \cong K_1^C(R) \oplus K_1^C(R/I) = R^* \oplus k[[t^2]]^*$

Thus if \mathfrak{m} denotes the maximal ideal of R, we have

$$R^* \oplus k[[t^2]]^* \cong k^* \oplus 1 + \mathfrak{m} \oplus k[[t^2]]^*$$
$$\cong k^* \oplus k[[t^2]][[s^2, st, t^2]]^*$$
$$= k^* \oplus R^*$$

5.5 Generalities for Reduced Hypersurface Singularities

Before we prove parts (d) and (e) of Proposition 4, we discuss another route for computing the group $\operatorname{Aut}_R(L)_{ab}$ that we plan to utilize for the proof. We begin with another aside on noncommutative algebra. A ring A with Jacobson radical J(A) is said to be *semiperfect* if A is semilocal and idempotents of A/J(A) lift to idempotents of A. We assume that **mcm** R contains an *n*-cluster tilting object L of the form $L_0 \oplus L_1 \oplus \cdots \oplus L_t$ and L_0, L_1, \ldots, L_t are pairwise non-isomorphic and indecomposable. As $\operatorname{End}_R(L_i)$ is local for all *i*, it is the case that $\Lambda = \operatorname{End}_R(L)^{\operatorname{op}}$ is semiperfect by [10, Theorem 23.8] (noting that Λ is semiperfect if and only if $\Lambda^{\operatorname{op}}$ is semiperfect). In particular, if R is a k-algebra, the characteristic of k is not two, then by [20, Theorem 2], there is an isomorphism

$$K_1^{\mathsf{C}}(\Lambda) \cong \Lambda_{\mathsf{ab}}^* = \operatorname{Aut}_{\mathsf{R}}(L)_{\mathsf{ab}}$$

Since Λ is semiperfect, with the above isomorphism, we can utilize [14, Theorem 2.2] to obtain an isomorphism

$$\operatorname{Aut}_{R}(L)_{\operatorname{ab}} \cong K_{1}^{C}(\Lambda) \cong \left(\bigoplus_{i=0}^{t} \operatorname{Aut}_{R}(L_{i}) \right) / HC$$

Where C is the subgroup of $\bigoplus_{i=0}^{t} \operatorname{Aut}_{R}(L_{i})$ generated by all elements of the form

$$(1 + \alpha_i \beta_i)(1 + \beta_i \alpha_i)^{-1}$$

with $\alpha_i, \beta_i \in \text{End}_R(L_{i-1})$ such that $1 + \alpha_i \beta_i \in \text{Aut}_R(L_{i-1})$, and *H* is the subgroup generated by all elements of the form

$$(1 + \alpha_{ij}\alpha_{ji})(1 + \alpha_{ji}\alpha_{ij})^{-1}$$

with $\alpha_{ij} \in \text{Hom}_R(L_{i-1}, L_{j-1}), i \neq j$, and $1 + \alpha_{ij}\alpha_{ji} \in \text{Aut}_R(L_{i-1})$.

However each $\alpha_{ij}\alpha_{ji}$ is never an automorphism when $i \neq j$, since otherwise L_{i-1} would be a direct summand of L_{j-1} (see [7, Lemma 9.3]). Since each of the rings $\text{End}_R(L_{i-1})$ are local, this implies that $1 + \alpha_{ij}\alpha_{ji} \in \text{Aut}_R(L_{i-1})$ for all $i \neq j$.

We now continue with the proof of (d) of Proposition 4.

5.6 Reduced Hypersurface Singularities in Dimension One

Our aim here is to prove (d) of Proposition 4. Here, k is an algebraically closed field of characteristic not two and S = k[[x, y]], R is the ring S/fS with $f \in (x, y)$ is such that in its prime factorization, $f = f_1 \cdots f_n$ we have $(f_i) \neq (f_j)$ for $i \neq j$, $f_i \notin (x, y)^2$, $(f_i, f_{i+1}) = (x, y)$. Then if $S_i = S/(f_1 \cdots f_i)S$ and $L := S_1 \oplus \cdots \oplus S_n$, we have Aut_R(L)_{ab} $\cong \overline{R}^*$, where \overline{R} is the integral closure of R in its total quotient ring. We first prove a useful lemma.

Lemma 7 With notation as above, we have

$$Hom_R(S_j, S_i) \cong \begin{cases} (f_{j+1} \cdots f_i)/(f_1 \cdots f_i) & j < i \\ S_i & i \le j \end{cases}$$

Proof The isomorphisms

$$\operatorname{Hom}_{R}(S_{j}, S_{i}) \cong \operatorname{Hom}_{R}(R/(f_{1} \cdots f_{j}), R/(f_{1} \cdots f_{i})) \cong (0:_{R/(f_{1} \cdots f_{i})} (f_{1} \cdots f_{j}))$$

make the statement clear.

We now proceed with the proof of (d) of Proposition 4.

Proof Now by [2, 4.7], *L* is a 2-cluster-tilting object for mcm *R*. As $\Lambda := \text{End}_R(L)^{\text{op}}$ has finite global dimension by Theorem 5, the remarks of Section 5.5 give

$$\operatorname{Aut}_R(L)_{\operatorname{ab}} \cong \left(\bigoplus_{i=1}^n \operatorname{Aut}_R(S_i) \right) / HC$$

Where *C* is the subgroup of $\bigoplus_{i=1}^{n} \operatorname{Aut}_{R}(S_{i})$ generated by all elements of the form $(1 + \alpha_{i}\beta_{i})(1 + \beta_{i}\alpha_{i})^{-1}$ such that $\alpha_{i}, \beta_{i} \in \operatorname{End}_{R}(S_{i})$ and $1 + \alpha_{i}\beta_{i} \in \operatorname{Aut}_{R}(S_{i})$. By Lemma 7, End_{*R*}(*S_i*) = *S_i*, so that *C* is trivial and Aut_{*R*}(*L*)_{ab} $\cong (S_{1}^{*} \oplus \cdots \oplus S_{n}^{*})/H$. We now describe the subgroup *H*. Again by the remarks in Section 5.5, *H* is the subgroup generated by all elements of the form

$$(1 + \alpha_{ij}\alpha_{ji})(1 + \alpha_{ji}\alpha_{ij})^{-1}$$

where $\alpha_{ij} \in \text{Hom}_R(S_j, S_i), \alpha_{ji} \in \text{Hom}_R(S_i, S_j)$, and $i \neq j$. In fact, we can consider the subgroup generated by such elements where i < j. We note $\alpha_{ij}\alpha_{ji} \in \text{End}_R(S_i) = S_i$ and $\alpha_{ji}\alpha_{ij} \in \text{End}_R(S_j) = S_j$. Utilizing Lemma 7, we can give a more concise description of *H* as follows (note i < j). The subgroup *H* is generated by the elements $h_{ij}(s)$, which we now describe:

- (i) the *i*th entry of $h_{ij}(s)$ is the image of an element $s \in 1 + (f_{i+1} \cdots f_j) \subset S$ in the unit group S_i^* ;
- (ii) the *j*th entry of $h_{ij}(s)$ is the image of s^{-1} , with *s* from (i) in the unit group S_i^* ;

(iii) $h_{ij}(s)$ is trivial elsewhere.

Let $H_{i,j}$ be the subgroup of H generated by the $h_{ij}(s)$, with s defined above. We have $H = \bigoplus_{i < j} H_{i,j}$. By projecting onto the jth coordinate, it is easy to see $H_{i,j}$ is isomorphic to the subgroup $1 + (f_{i+1} \cdots f_j)$ of S_j^* . For $1 \le i < n$, we call the subgroup $H_{i,i+1} \oplus \cdots \oplus H_{i,n}$ of H the *i*th layer of H. It is easy to see that $S_1^* \oplus \cdots \oplus S_n^*$ modulo the direct sum of the first m layers of H is

$$\bigoplus_{u=1}^{m+1} (S/f_u S)^* \oplus \bigoplus_{v=m+2}^n (S/(f_{m+1}\cdots f_v)S)^*$$

As H is the direct sum of its n-1 layers of H, we see that $S_1^* \oplus \cdots \oplus S_n^*$ modulo H is just

$$(S/f_1S)^* \oplus \cdots \oplus (S/f_nS)^*$$

And this is just \overline{R}^* .

5.7 Reduced Hypersurface Singularities in Dimension Three

Our aim here is to prove (e) of Proposition 4. Keep notation as in Section 5.6 with the exception that we now require k be an algebraically closed field of characteristic zero. Set S' = k[[x, y, u, v]] and R' = S'/(f + uv)S'. Then a 2-cluster tilting object for **mcm** R is given by $L := U_1 \oplus \cdots \oplus U_n$, with $U_i = (u, f_1 \cdots f_i) \subset R'$ (see Section 4). Then we aim to show $\operatorname{Aut}_R(L)_{ab} \cong R^{**} \oplus k[[w, z]]^{*\oplus (n-1)}$. In order to understand $\operatorname{Aut}_R(L)_{ab}$, we first

need to understand the structure of the modules $\operatorname{Hom}_{R'}(U_i, U_j)$, so that we are able to use the remarks of Section 5.5 to compute $\operatorname{Aut}_R(L)_{ab}$. This is the first step we make below.

Proposition 5 Let R' and U_i be as above. Then

$$Hom_{R'}(U_i, U_j) \cong \begin{cases} U_j & j < i \\ R' & i \le j \end{cases}$$

Proof Now R' is Gorenstein of dimension three and an isolated singularity. Since U_i is an ideal of R' of height one, we may apply Lemma 5 to see that $\operatorname{Hom}_{R'}(U_i, U_i) \cong R'$ for all *i*. If $i \neq j$, [19, Lemma 2.4.3] says we may identify $\operatorname{Hom}_{R'}(U_i, U_j)$ with the the R'-submodule $\frac{1}{u}(uU_j :_{R'} U_i)$ of the quotient field of R'. Now $(uU_j :_{R'} U_i)$ is nonzero and $(uU_j :_{R'} U_i) \subset U_j$, hence $(uU_j :_{R'} U_i)$ has height one. Let \mathfrak{p} be a minimal prime of $(uU_i :_{R'} U_j)$. As R' is an isolated singularity, $R'_{\mathfrak{p}}$ is a discrete valuation ring. Write $R'_{\mathfrak{p}} = A$ and let μ be a generator for the maximal ideal of A. Suppose u maps to $c\mu^a$, with a > 0 and $c \in A^*$. Write $(U_i)_{\mathfrak{p}} = \mu^{n_i} A$ and $(U_j)_{\mathfrak{p}} = \mu^{n_j} A$, with n_j , n_i nonnegative integers. Then

$$(uU_j :_{R'} U_i)_{\mathfrak{p}} = (\mu^{a+n_j} :_A \mu^{n_i})$$

If $i \leq j$, then $U_j \subseteq U_i$, hence $n_j \geq n_i$. We have

$$(\mu^{a+n_j}:_A \mu^{n_i}) = \mu^{a+n_j-n_i} A \subset \mu^a A$$

Thus $(uU_j :_{R'} U_i)_{\mathfrak{p}} = (uR')_{\mathfrak{p}}$. In this case, $(uU_i :_{R'} U_j) = uR'$, so that $\operatorname{Hom}_{R'}(U_i, U_j) \cong R'$.

Now if j < i, then $U_i \subset U_j$ and $n_i \ge n_j$. Notice $u \in U_i$, so that $a \ge n_i$. We have $(\mu^{a+n_j} :_A \mu^{n_i}) = \mu^{a+n_j-n_i} = \mu^{a-n_i}(U_j)_p$

And $\mu^{a-n_i}A = (\mu^a :_A \mu^{n_i}) = (u :_{R'} U_i)_{\mathfrak{p}}$. We have $(u :_{R'} U_i) = (u :_{R'} f_1 \cdots f_i)$. Thus $(uU_j :_{R'} U_i) = (u :_{R'} f_1 \cdots f_i)U_j$. When i = n, $(f_1 \cdots f_n)R' = (uv)R'$, hence $(u :_{R'} f_1 \cdots f_n) = R'$. This gives $U_j = (uU_j :_{R'} U_n)$, hence there is an isomorphism of R'-modules $\operatorname{Hom}_{R'}(U_n, U_j) \cong \frac{1}{u}U_j \cong U_j$.

To analyze the ideal $(u:_{R'} f_1 \cdots f_i)$ for i < n, note that $f_{i+1} \cdots f_n \in (u:_{R'} f_1 \cdots f_i)$ and that the ideals $(u, f_{i+1})R', \ldots, (u, f_n)R'$ are prime. In particular, the minimal primes of $(u:_{R'} f_1 \cdots f_i)$ are $(u, f_{i+1})R', \ldots, (u, f_n)R'$. Let \mathfrak{q} denote the prime ideal $(u, f_s)R'$, with $i + 1 \le s \le n$. Then $(f_1 \cdots f_i)R'_{\mathfrak{q}} = R'_{\mathfrak{q}}$, as $f_1, \ldots, f_i \notin \mathfrak{q}$ and hence $(u:_{R'} f_1 \cdots f_i)\mathfrak{q} = (uR')\mathfrak{q}$. Thus $(u:_{R'} f_1 \cdots f_i) = uR'$, so that $(u:_{R'} f_1 \cdots f_i)U_j = uU_j$, and hence $\operatorname{Hom}_{R'}(U_i, U_j) \cong U_j$. This gives the result. \Box

We now proceed with the proof of (e) of Proposition 4.

Proof By [8, Theorem 4.17], $\operatorname{End}_{R'}(L)^{\operatorname{op}}$ has finite global dimension, so the remarks of Section 5.5 are applicable. Thus there is an isomorphism:

$$\operatorname{Aut}_{R'}(L)_{\operatorname{ab}} \cong \left(\bigoplus_{i=1}^{n} \operatorname{Aut}_{R'}(U_i) \right) / HC$$

Where *C* is the subgroup of $\bigoplus_{i=1}^{n} \operatorname{Aut}_{R'}(U_i)$ generated by $(1 + \alpha_i \beta_i)(1 + \beta_i \alpha_i)^{-1}$ such that $\alpha_i, \beta_i \in \operatorname{End}_{R'}(U_i)$ and $1 + \alpha_i \beta_i \in \operatorname{Aut}_{R'}(U_i)$. By Proposition 5, $\operatorname{End}_{R'}(U_i) = R'$, so that *C* is trivial, hence $\operatorname{Aut}_{R'}(L)_{ab} \cong (R'^*)^{\oplus n}/H$. We now describe the subgroup *H*. Again by the remarks in Section 5.5, *H* is the subgroup generated by all elements of the form

$$(1 + \alpha_{ij}\alpha_{ji})(1 + \alpha_{ji}\alpha_{ij})^{-1}$$

1

where $\alpha_{ij} \in \text{Hom}_{R'}(U_j, U_i)$, $\alpha_{ji} \in \text{Hom}_{R'}(U_i, U_j)$, and $i \neq j$. In fact, we can consider the subgroup generated by such elements where i < j. We now give a more concise description of H. Utilizing Proposition 5, H is the subgroup of $(R'^*)^{\oplus n}$ generated by the elements $h_{ij}(g)$ with i < j and $g \in U_i$ such that that:

- (i) the *i*th entry of $h_{ij}(g)$ is 1 + g;
- (ii) the *j*th entry of $h_{ij}(g)$ is $(1+g)^{-1}$;
- (iii) $h_{ij}(g)$ is trivial elsewhere.

For fixed *i* and *j*, let $H_{i,j}$ be the subgroup generated by the elements $h_{ij}(g)$. Thus $H = \bigoplus_{i < j} H_{i,j}$ and $H_{i,j} \cong 1 + U_i \subset R'^*$. For i < n, we call the subgroup $H_{i,i+1} \oplus H_{i,i+2} \oplus \cdots \oplus H_{i,n}$ the *i*th layer of *H*. As $U_n \subset U_n \subset \cdots \subset U_1$, it is easy to see that $(R'^*)^{\oplus n}$ modulo the direct sum of layers $n - 1, n - 2, \ldots, n - i$ is isomorphic to

$$(R'^*)^{\oplus (n-i)} \oplus (R'/U_{n-i})^{*\oplus i}$$

Now the direct sum of layers n - 1, n - 2, ..., 1 is just H, so that we see

$$\operatorname{Aut}_{R'}(L)_{\operatorname{ab}} \cong R'^* \oplus (R'/U_1)^{* \oplus (n-1)}$$

Moreover, since $U_1 = (u, f_1)$ and $f_1 \in (x, y) \setminus (x, y)^2 \subseteq k[[x, y]]$, we see $R'/U_1 \cong k[[w, z]]$, for variables w, z over k. Thus

$$\operatorname{Aut}_{R'}(L)_{ab} \cong R'^* \oplus k[[w, z]]^{* \oplus (n-1)}$$

6 Computing $G_1(R)$

The aim of this section is to utilize Theorem 3 to explicitly calculate $G_1(R)$ for several hypersurface singularities. Our results are the following:

Example 1 Let k be an algebraically closed field of characteristic not two. If $n \ge 1$ and $R = k[x]/x^n k[x]$, then $G_1(R) \cong k^*$.

Remark 7 We note that Example 1 follows immediately from Quillen's Dévissage Theorem [15, §5 Theorem 4], but we find the calculation illustrative of our methods as well as allowing us to generalize [7, Example 10.2].

Example 2 Let *k* be an algebraically closed field of characteristic not two, three or five. If *R* is the finite-type singularity $k[[t^2, t^{2n+1}]]$ for $n \ge 0$, then $G_1(R) \cong \overline{R}^* = k[[t]]^*$;

Example 3 Let *k* be an algebraically closed field of characteristic not two. If S = k[[x, y]] let $f_1, \ldots, f_n \in (x, y)$ be irreducible and $f = f_1 \cdots f_n$ be such that

- (i) R := S/fS is an isolated singularity (ie. $(f_i) \neq (f_j)$)
- (ii) $f_i \notin (x, y)^2$ for all *i*.
- (iii) $(f_i, f_{i+1}) = (x, y).$

Then $G_1(R) \cong \mathbb{Z}^{\oplus (n-1)} \oplus \overline{R}^*$ (where \overline{R} is the integral closure of R);

Remark 8 We note here that Examples 2 and 3 follow from the use of more classical technology. Let $A \subseteq B$ be an inclusion of commutative Noetherian such that B is a module-finite extension of A. Let $I \subseteq A$ and $J \subseteq B$ be ideals such that $IB \subseteq J$. Set $X = \text{Spec}(A) \setminus \text{Spec}(A/I), Y = \text{Spec}(B) \setminus \text{Spec}(B/J)$, and suppose that the induced

morphism of schemes $X \longrightarrow Y$ is an isomorphism. Then Quillen's Localization Theorem [15, Theorem 5] yields long exact sequences

$$G_i(A/I) \longrightarrow G_i(A) \longrightarrow G_i(X) \longrightarrow G_{i-1}(A/I) \longrightarrow \cdots$$

and

$$G_i(B/J) \longrightarrow G_i(B) \longrightarrow G_i(Y) \longrightarrow G_{i-1}(B/J) \longrightarrow \cdots$$

Where we note that for a Noetherian scheme S, $G_i(S)$ is the *i*th Quillen *K*-group of the category of coherent \mathcal{O}_S -modules. Now restriction of scalars induces the following commutative diagram

$$\cdots \longrightarrow G_i(B/J) \xrightarrow{\delta_{B/J}} G_i(B) \xrightarrow{\delta_B} G_i(Y) \xrightarrow{\delta_Y} G_{i-1}(B/J) \longrightarrow \cdots$$

$$\downarrow^{\varepsilon_{B/J}} \qquad \downarrow^{\varepsilon_B} \qquad \downarrow^{\varepsilon_Y} \qquad \downarrow$$

$$\cdots \longrightarrow G_i(A/I) \xrightarrow{\delta_{A/I}} G_i(A) \xrightarrow{\delta_A} G_i(X) \xrightarrow{\delta_X} G_{i-1}(A/I) \longrightarrow \cdots$$

Where we note that ε_Y is an isomorphism. Some rather involved but straightforward diagram chasing gives a Mayer-Vietoris-like sequence of *G*-groups that we denote by (\star):

$$\cdots \longrightarrow G_i(B/J) \xrightarrow{\alpha} G_i(A/I) \oplus G_i(B) \xrightarrow{\beta} G_i(A) \xrightarrow{\gamma} G_{i-1}(B/J) \longrightarrow \cdots$$

Where $\alpha = \begin{pmatrix} \varepsilon_{B/J} \\ \delta_{B/J} \end{pmatrix}, \beta = (\delta_{A/I}, -\varepsilon_B), \text{ and } \gamma = \delta_Y \varepsilon_Y^{-1} \delta_A.$

To see how we can recover the claims in Example 2 using (\star), let $I = (t^2, t^{2n+1}) \subseteq k[[t^2, t^{2n+1}]] = A$ and $J = (t) \subseteq B = k[[t]]$. We note that B is a module-finite extension of A and Spec(A) \ Spec(A/I) = {(0)} \cong Spec(B) \ Spec(B/J) = {(0)}, so the above requirements are met. Using (\star), we obtain a long exact sequence

$$G_i(k) \xrightarrow{\alpha} G_i(k) \oplus G_i(B) \xrightarrow{\beta} G_i(A) \xrightarrow{\gamma} G_{i-1}(k) \xrightarrow{\alpha'} G_{i-1}(k) \oplus G_{i-1}(B)$$

Where $\alpha' = \begin{pmatrix} \varepsilon'_{B/J} \\ \delta'_{B/J} \end{pmatrix}$. As $I = J \cap A$, the induced map $A/I \longrightarrow B/J$ is an isomorphism, so that $\varepsilon_{B/J}$ is an isomorphism. In particular, we obtain the exact sequence

$$0 \longrightarrow G_i(B)/\mathrm{im}(\delta_{B/J}) \longrightarrow G_i(A) \xrightarrow{\gamma} G_{i-1}(k) \xrightarrow{\alpha'} G_{i-1}(k) \oplus G_{i-1}(B)$$

Now $\delta_{B/J} = \delta'_{B/J} = 0$, so that we easily obtain from the above exact sequence $G_i(B) \cong G_i(A)$. In particular, $G_1(A) = G_1(k[[t^2, t^{2n+1}]] \cong G_1(B) = G_1(k[[t]]) = k[[t]]^*$. We note, unlike the restriction on the characteristic we encounter using Theorem 3 below, this holds regardless of the characteristic.

To see how we can recover the claims in Example 3 using (\star), we let $A = S/(f_1 \cdots f_n)$ with *I* the maximal ideal of *A* and $B = S/(f_1) \oplus \cdots \oplus S/(f_n)$ with $J = \mathfrak{m}_1 \oplus \cdots \oplus \mathfrak{m}_n$, where \mathfrak{m}_i is the maximal ideal of the local ring $S/(f_i)$. It is easy to see that *B* is a module-finite extension of *A*. Moreover, *B* is also the integral closure of *A* in its total quotient ring. We also have

$$X = \operatorname{Spec}(A) \setminus \operatorname{Spec}(A/I) = \{(f_i)/(f_1 \cdots f_n) : 1 \le i \le n\}$$
$$Y = \operatorname{Spec}(B) \setminus \operatorname{Spec}(B/J) = \left\{ S/(f_1) \oplus \cdots \oplus \underbrace{0}_i \oplus \cdots \oplus S/(f_n) : 1 \le i \le n \right\}$$

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From the above, it is clear the induced map $X \longrightarrow Y$ is given by $(f_i)/(f_1 \cdots f_n) \mapsto S/(f_1) \oplus \cdots \oplus \bigcup_i \oplus \cdots \oplus S/(f_n)$, hence is clearly an isomorphism. From (*), we obtain

a long exact sequence

$$G_i(k)^{\oplus n} \xrightarrow{\alpha} G_i(k) \oplus G_i(B) \xrightarrow{\beta} G_i(A) \xrightarrow{\gamma} G_{i-1}(k)^{\oplus n} \xrightarrow{\alpha'} G_{i-1}(k) \oplus G_{i-1}(B)$$

Now the first component of α and α' is the summing map. Moreover, $\delta^{B/J} = \delta'_{B/J} = 0$ (where $\delta'_{B/J}$ is the second component of α') so that we obtain an exact sequence

$$0 \longrightarrow G_i(B) \longrightarrow G_i(A) \longrightarrow G_{i-1}(k)^{\oplus (n-1)} \longrightarrow 0$$

As $f_j \notin (x, y)^2$, $S/(f_j)$ is regular, hence $G_i(B) = K_i(B)$. Specializing to i = 1, we obtain the exact sequence

$$0 \longrightarrow K_1(B) \longrightarrow G_1(A) \longrightarrow \mathbb{Z}^{\oplus (n-1)} \longrightarrow 0$$

Since the above sequence splits, we obtain $G_1(A) \cong K_1(B) \oplus \mathbb{Z}^{n-1}$. As $K_1(S/(f_j)) = (S/(f_j))^*$, it is easy to see that $K_1(B) = B^*$. This gives Example 3. We note that (iii) in the hypothesis of Example 3 is not needed, so this is more general.

While we can recover Examples 2 and 3 from these methods, we find that our work expands on calculations given in [13, Section 7.3 and Proposition 7.26] and [7, Example 10.5].

Lastly, our results that do not follow from our arguments in Remark 8 are the following:

Proposition 6 Let k be an algebraically closed field of characteristic not two.

- (a) If $R = k[[s^2, st, t^2]]$, then $G_1(R) \cong R^*$.
- (b) Suppose k has characteristic zero, S = k[[x, y]], S' = k[[x, y, u, v]], and R' = S'/(f + uv)S', where $f = f_1 \cdots f_n \in S = k[[x, y]]$ is such that
 - (i) S/fS is an isolated singularity (ie. $(f_i) \neq (f_j)$)
 - (ii) $f_i \notin (x, y)^2$ for all *i*.
 - (iii) $(f_i, f_{i+1}) = (x, y).$

Then $G_1(R') \cong \mathbb{Z}^{\oplus (n-1)} \oplus (R'^* \oplus k[[w, z]]^{* \oplus (n-1)}) / \Xi$, with Ξ the subgroup of Definition 12 and w, z variables over k.

6.1 The *n*-Auslander-Reiten Matrix

Before we can use Theorem 3 to perform the calculation of Examples 1, 2, 3, and prove Proposition 6, we need to explicitly define the free group \mathcal{H} occurring in the decomposition of $G_1(R)$ in Theorem 3. Our assumptions are as usual and we also require that R is a kalgebra and k is algebraically closed of characteristic not two. We use $L = L_0 \oplus L_1 \oplus \cdots \oplus L_t$ to denote an *n*-cluster tilting object of **mcm** R such that $\Lambda = \text{End}_R(L)^{\text{op}}$ has finite global dimension. We assume that L_0, L_1, \ldots, L_t are the pairwise non-isomorphic summands of L (each occurs with multiplicity one in the decomposition of L) and that $L_0 = R$. Let $I = \{L_0, L_1, \ldots, L_t\}$ and $I_0 = I \setminus \{L_0\}$. We set $\mathcal{C} = \text{add}_R L$. Recall, for j > 0, there is an exact sequence, called the *n*-Auslander-Reiten ending in L_j (see Definition 10):

$$0 \longrightarrow C_n^j \longrightarrow \cdots \longrightarrow C_0^j \longrightarrow L_j \longrightarrow 0$$

with $C_0^j, C_1^j, \ldots, C_n^j \in C$. Given $N \in C$, let #(i, N) be the number of L_i -summands $(0 \le i \le t)$ appearing in a decomposition of N into the indecompsables R-modules

 L_0, L_1, \ldots, L_t . Following [13], we define a $(t + 1) \times t$ integer matrix T whose ij-th entry is $\#(i, L_j) + \sum_{u=0}^n (-1)^{u+1} \#(i, C_u^j) = \delta_{ij} + \sum_{u=0}^n (-1)^{u+1} \#(i, C_u^j)$ (note that T has a 0th row but no 0th column). As $G_0(k) = \mathbb{Z}$ and $G_0(\Lambda) = \mathbb{Z}^{\oplus t}$, Theorem 2 gives us a map $\mathbb{Z}^{\oplus t} \longrightarrow \mathbb{Z}^{\oplus (t+1)}$. It is shown in [13, Section 7.2] that T defines the map $\mathbb{Z}^{\oplus t} \longrightarrow \mathbb{Z}^{\oplus (t+1)}$ afforded to us by Theorem 2. We call T the *n*-Auslander-Reiten matrix or the *n*-Auslander-Reiten homomorphism. Moreover, this is the same map given in Theorem 1 when **mcm** R has a 1-cluster tilting object. For our needs, recall Theorem 3 says $G_1(R) = \mathcal{H} \oplus \operatorname{Aut}_R(L)_{ab}/\Xi$, so that now $\mathcal{H} = \ker(T)$.

We make a useful observation before our computations.

Lemma 8 Let $1 \leq i_1 < \cdots < i_h \leq t$ and $L' = L_{i_1}^{\oplus q} \oplus \cdots \oplus L_{i_h}^{\oplus q}$ with q > 0. Then for $a \in \mathbb{R}^*$, we have $\det_{\Lambda^{op}}(\widetilde{a1_{L'}}) = \alpha$, where $\alpha \in (\Lambda^{op})^*$ is given by $diag(1_{L_0}, \ldots, a^q 1_{L_{i_1}}, \ldots, a^{q_1} 1_{L_{i_h}}, \ldots, 1_{L_l})$

Proof From Remark 4, we see that $\widetilde{a1_{L'}}: L^{\oplus q} \longrightarrow L^{\oplus q}$ is the map $e1_{L^{\oplus q}}$, where $e \in (\Lambda^{\operatorname{op}})^*$ is given by $\operatorname{diag}(1_{L_0}, \ldots, a1_{L_{i_1}}, \ldots, a1_{L_{i_h}}, \ldots, 1_{L_t})$. Now recall the injection $GL_1(\Lambda^{\operatorname{op}}) = (\Lambda^{\operatorname{op}})^* \hookrightarrow GL_q(\Lambda^{\operatorname{op}})$ that takes $\gamma \in (\Lambda^{\operatorname{op}})^*$ to the automorphism $d_1(\gamma) = \operatorname{diag}(\gamma, 1_L, \ldots, 1_L) \in GL_q(\Lambda^{\operatorname{op}})$. Now

$$(e1_{L^{\oplus q}})^{-1} \cdot d_1(\alpha) = e^{-1}1_{L^{\oplus q}} \cdot d_1(\alpha) = \beta_1 \cdots \beta_{q-1}$$

where $\beta_u := d_1(e)d_{u+1}(e^{-1}) \in GL_q(\Lambda^{op})$. Consider the element $\gamma_u := \text{diag}(e, 1, ..., 1)$ in $GL_u(\Lambda^{op})$. Then, by slight abuse of notation, the matrix $\delta_u := \text{diag}(\gamma_u, \gamma_u^{-1})$ in GL_{2u} is in the commutator subgroup of $GL_{2u}(\Lambda^{op})$ by [16, Corollary 2.1.3]. Thus by [16, Proposition 2.1.4], each δ_u is in the commutator subgroup of $GL(\Lambda^{op})$. In $GL(\Lambda^{op})$, either β_u is the image of δ_u under the injection $GL_{2u}(\Lambda^{op}) \hookrightarrow GL_q(\Lambda^{op})$, or δ_u is the image of β_u under the injection $GL_q(\Lambda^{op}) \hookrightarrow GL_{2u}(\Lambda^{op})$. In either case we see that β_u is in the commutator subgroup of $GL(\Lambda^{op})$. Hence $e1_{L^{\oplus q}} \equiv d_1(\alpha)$ in $GL(\Lambda^{op})_{ab}$.

Since $\det_{\Lambda^{op}} : GL(\Lambda^{op})_{ab} \longrightarrow (\Lambda^{op})^*_{ab}$ is the inverse of the isomorphism induced by the map

$$(\Lambda^{\mathrm{op}})^* = GL_1(\Lambda^{\mathrm{op}}) \hookrightarrow GL(\Lambda^{\mathrm{op}}) \twoheadrightarrow GL(\Lambda^{\mathrm{op}})_{\mathrm{ab}}$$

We see that $\det_{\Lambda^{\operatorname{op}}}(e1_{L^{\oplus q}}) = \alpha$.

We note *R* has finite type if and only if *R* has a 1-cluster tilting object *M*. In this case, $\mathbf{mcm} R = \mathbf{add}_R M$ and $M = M_0 \oplus M_1 \oplus \cdots \oplus M_t$, with $M_0 = R$ and M_1, \ldots, M_t the non-free indecomposable maximal Cohen-Macaulay *R*-modules. For j > 0, we call the 1-Auslander-Reiten sequence ending in M_j the Auslander-Reiten sequence ending in M_j and the 1-Auslander-Reiten matrix is referred to as the Auslander-Reiten matrix. The Auslander-Reiten matrix is a classical invariant and we denote it by Υ .

We now make use of Theorem 3 perform the calculations of Examples 1, 2, 3, and prove Proposition 6. That is, in the context of Theorem 3, we must compute the kernel of the *n*-Auslander-Reiten homomorphism and the subgroup Ξ of Aut_{*R*}(*L*)_{ab}. In each computation, it will also be clear that *R* is a *k*-algebra.

6.2 Truncated Polynomial Rings in One Variable

Our aim here is to utilize Theorem 3 to perform the calculation in Example 1. That is, if $R = k[x]/x^n k[x]$, then $G_1(R) \cong k^*$.

Proof For n = 1, R = k, so $G_1(R) = K_1(R) \cong k^*$.

We now suppose $n \ge 2$. Let \mathfrak{m} denote the maximal ideal xR. By the proof of [11, Theorem 3.3], R has finite type and the indecomposable R-modules are given by $R, \mathfrak{m}, \ldots, \mathfrak{m}^{n-1}$. Let M be the R-module given by $R \oplus \mathfrak{m} \oplus \cdots \oplus \mathfrak{m}^{n-1}$ and denote its endomorphism ring by E. Using [21, Lemma 2.9] it is not hard to see the Auslander-Reiten sequences ending in \mathfrak{m}^j are given by

$$0 \longrightarrow \mathfrak{m}^{j} \longrightarrow \mathfrak{m}^{j-1} \oplus \mathfrak{m}^{j+1} \longrightarrow \mathfrak{m}^{j} \longrightarrow 0 \qquad (1 \le j \le n-1) \qquad (\star)$$

Thus for $1 \le j \le n-2$, Υ has its *j*th column given by $(0, \ldots, -1, 2, -1, \ldots, 0)^T$, where -1, 2 and -1 occur in rows j - 1, j and j + 1, respectively. And the (n - 1)st column is given by $(0, \ldots, 0, -1, 2)^T$. It is easy to see that Υ is injective.

We compute the subgroup Ξ of E_{ab}^* from Definition 12. By (\star) and Lemma 8, the subgroup Ξ is generated by elements

$$\xi_{a,j} = (\widetilde{a^2 1_{\mathfrak{m}^j}}) \cdot (a^{-1} 1_{\mathfrak{m}^{j-1}} \oplus a^{-1} 1_{\mathfrak{m}^{j+1}}) \qquad (1 \le j \le n-2)$$

$$\xi_{a,n-1} = (\widetilde{a^2 1_{\mathfrak{m}^{n-1}}}) \cdot (\widetilde{a^{-1} 1_{\mathfrak{m}^{n-2}}})$$

where a runs over k^* . Again by Lemma 8, we have

$$\xi_{a,j} = \operatorname{diag}(1_R, \dots, a^{-1} 1_{\mathfrak{m}^{j-1}}, a^2 1_{\mathfrak{m}^j}, a^{-1} 1_{\mathfrak{m}^{j+1}}, \dots, 1_{\mathfrak{m}^{n-1}})$$

$$\xi_{a,n-1} = \operatorname{diag}(1_R, \dots, \dots, a^{-1} 1_{\mathfrak{m}^{n-2}}, a^2 1_{\mathfrak{m}^{n-1}})$$

By (a) of Proposition 4, there is an isomorphism $E_{ab}^* \cong (k^*)^{\oplus n}$. We regard Ξ as a subgroup of $(k^*)^{\oplus n}$ and abuse notation to write

$$\xi_{a,j} = (1, \dots, a^{-1}, a^2, a^{-1}, \dots 1)$$

 $\xi_{a,n-1} = (1, \dots, a^{-1}, a^2)$

Where a^{-1} , a^2 and a^{-1} occur in $\xi_{a,j}$ at positions j, j + 1 and j + 2, respectively. Let $\Psi : (k^*)^{\oplus n} \longrightarrow k^*$ be the map such that $\Psi(a_1, \ldots, a_n) = a_1^n a_2^{n-1} \cdots a_n$. Then Ψ is a surjective group homomorphism such that $\Xi \subseteq \ker(\Psi)$. Let $(a_1, \ldots, a_n) \in \ker(\Psi)$, so that $a_1^n a_2^{n-1} \cdots a_n = 1$. Then $(a_1, \ldots, a_n) = \zeta_1 \cdots \zeta_{n-1}$, where

$$\zeta_j = \prod_{v=j}^{n-1} \xi_{a_j^{j-v-1}, v}$$

Thus Ψ induces an isomorphism $\overline{\Psi}$: $(k^*)^{\oplus n}/\Xi \longrightarrow k^*$, hence $G_1(R) \cong k^*$ by Theorem 3.

6.3 Singularity of Type A_{2n} in Dimension One

The ADE singularity of type A_{2n} is given by the ring $R = k[[t^2, t^{2n+1}]]$. Here we utilize Theorem 3 to perform the calculation in Example 2. That is, if the characteristic of k is not 2, 3 or 5, then $G_1(R) \cong \overline{R}^* = k[[t]]^*$.

Proof For n = 0, R = k[[t]], a regular local ring, so that $G_1(R) \cong K_1(R) \cong R^* = k[[t]]^*$ by Quillen's Resolution Theorem [15, §Theorem 3].

We now suppose $n \ge 1$. Now *R* has finite type and the indecomposable maximal Cohen-Macaulay *R*-modules are $R_j = k[[t^2, t^{2(n-j)+1}]]$, with j = 0, ..., n by [21, Proposition 5.11]. Thus *M* is the *R*-module $R_0 \oplus R_1 \oplus \cdots \oplus R_n$ ($R_0 = R$). Let *E* be the endomorphism ring of *M*. By the proof of [21, Proposition 5.11], the Auslander-Reiten sequence ending in R_j is

$$0 \longrightarrow R_j \longrightarrow R_{j-1} \oplus R_{j+1} \longrightarrow R_j \longrightarrow 0 \ (1 \le j < n)$$

 $0 \longrightarrow R_n \longrightarrow R_{n-1} \oplus R_n \longrightarrow R_n \longrightarrow 0$

Thus the Auslander-Reiten matrix Υ , for $1 \le j \le n-1$, has *j*th column given by $(0, \ldots, -1, 2, -1, \ldots, 0)^T$, with -1, 2 and -1 occur in rows j - 1, j and j + 1, respectively. The *n*th column is given by $(0, \ldots, 0, -1, 1)^T$. Now Υ is clearly injective, hence $G_1(R) \cong E_{ab}^* / \Xi$ by Theorem 3. We calculate the subgroup Ξ occurring of Definition 12. By Lemma 8, the subgroup Ξ is generated by the elements

$$\xi_{a,j} = \widetilde{a^2 \mathbf{1}_{R_j}} \cdot a^{-1} \mathbf{1}_{R_{j-1}} \oplus a^{-1} \mathbf{1}_{R_{j+1}} \quad (1 \le j < n)$$

$$\xi_{a,n} = \widetilde{a^2 \mathbf{1}_{R_n}} \cdot a^{-1} \mathbf{1}_{R_{n-1}} \oplus a^{-1} \mathbf{1}_{R_n}$$

Where *a* runs over k^* . We abuse notation and regard Ξ as a subgroup of $(k^*)^{\oplus (n+1)}$. We compute compute $(k^*)^{\oplus (n+1)}/\Xi$, viewing the elements of Ξ as a row vectors in $(k^*)^{\oplus (n+1)}$. Hence the elements that generate Ξ are given by

$$\xi_{a,j} = (1, \dots, a^{-1}, a^2, a^{-1}, \dots, 1) \qquad (1 \le j < n)$$

$$\xi_{a,n} = (1, \dots, a^{-1}, a)$$

Where a^{-1} , a^2 and a^{-1} occur in positions j, j + 1 and j + 2 for $1 \le j < n$. Let $\chi : (k^*)^{\oplus (n+1)} \longrightarrow k^*$ be given by $\chi(a_1, \ldots, a_{n+1}) = a_1 \cdots a_{n+1}$. Then ker (χ) is generated by elements of the form (a_1, \ldots, a_{n+1}) such that

$$(a_1, \ldots, a_{n+1}) = (a_2^{-1}, a_2, 1, \ldots, 1)(a_3^{-1}, 1, a_3, 1, \ldots, 1) \cdots (a_{n+1}^{-1}, 1, 1, \ldots, a_{n+1})$$

We show $\Xi = \ker(\chi)$. Obviously, $\Xi \subseteq \ker(\chi)$. For the converse, it suffices to show the elements $\zeta_{a,j} = (a^{-1}, 1, \dots, a, \dots, 1)$, where *a* is in the *j*th position and $2 \le j \le n + 1$, are in Ξ . Indeed, note that $\zeta_{2,a} = \xi_{a,1}\xi_{a,2}\cdots\xi_{a,n}$ and for j > 2, we have $\zeta_{a,j} = \zeta_{a,j-1}\xi_{a,j-1}\xi_{a,j}\cdots\xi_{a,n}$. Thus $\ker(\chi) = \Xi$ as needed. Combining the above and using (b) of Proposition 4, we have

$$G_1(R) \cong (k^*)^{\oplus (n+1)} / \Xi \oplus (1 + tk[[t]]) \cong k^* \oplus (1 + tk[[t]]) \cong k[[t]]^*$$

6.4 Reduced Hypersurface Singularities in Dimension One

Our aim here is use Theorem 3 to perform the calculation in Example 3. We recall Example 3. We let $S = k[[x, y]], f_1, \ldots, f_n \in (x, y) \setminus (x, y)^2$, with f_i irreducible, $f = f_1 \cdots f_n$, R = S/fS is an isolated singularity (i.e. $f_i S \neq f_j S$), and $(f_i, f_{i+1}) = (x, y)$. Then $G_1(R) \cong \mathbb{Z}^{\oplus (n-1)} \oplus \overline{R}^*$.

Proof Now $L = S_1 \oplus \cdots \oplus S_n$, with $S_i = S/(f_1 \cdots f_i)$, is a 2-cluster tilting object in **mcm** R. In order to compute $G_1(R)$, we need to understand the structure of the 2-Auslander-Reiten sequences in $C = \mathbf{add}_R L$. By [8, Proof of Theorem 4.11] the 2-Auslander-Reiten sequences ending in S_i are

$$0 \longrightarrow S_j \longrightarrow S_{j+1} \oplus S_{j-1} \longrightarrow S_{j+1} \oplus S_{j-1} \longrightarrow S_j \longrightarrow 0 \qquad (1 \le j < n)$$

From this and Lemma 8 it is clear that the subgroup Ξ of $\operatorname{Aut}_R(L)_{ab}$ is trivial. Moreover, from this, it is easy to see that the 2-Auslander-Reiten matrix $T : \mathbb{Z}^{\oplus (n-1)} \longrightarrow \mathbb{Z}^{\oplus n}$ is zero. Thus by Theorem 3 and (d) of Proposition 4

$$G_1(R) \cong \ker(T) \oplus \operatorname{Aut}_R(L)_{ab} \cong \mathbb{Z}^{\oplus (n-1)} \oplus \overline{R}^*$$

6.5 Singularity of Type A₁ in Dimension Two

Our aim here is to prove (a) of Proposition 6. That is, if R is the ring $k[[s^2, st, t^2]]$ then $G_1(R) \cong R^*$.

Proof By [11, Example 5.25 and 13.21] *R* has finite type and the indecomposable maximal Cohen-Macaulay *R*-modules are *R* and $I = (s^2, st)$. Moreover, the Auslander-Reiten sequence ending in *I* is given by

$$0 \longrightarrow I \longrightarrow R^2 \longrightarrow I \longrightarrow 0$$

Set $M = R \oplus I$ and let E be its endomorphism ring.

An easy calculation shows that the Auslander-Reiten homomorphism $\Upsilon : \mathbb{Z} \longrightarrow \mathbb{Z}^{\oplus 2}$ is injective. Now Ξ is the subgroup of E_{ab}^* generated by the elements

$$\widetilde{a1_I} \cdot \det_E(\widetilde{a1_{R^2}})^{-1} \cdot \widetilde{a1_I} = \widetilde{a^21_I} \cdot \det_E(\widetilde{a1_{R^2}})^{-1} \ (a \in k^*)$$

The automorphism of M, $a^2 1_I$, is given by diag $(1_R, a^2 1_I)$. Using Lemma 8, det_E $(a 1_{R^2})$ is the image of the automorphism diag $(a^2 1_R, 1_I)$ in E_{ab}^* . Thus Ξ is the subgroup of E_{ab}^* generated by the elements

$$diag(1_R, a^2 1_I) \cdot diag(a^{-2} 1_R, 1_I) = diag(a^{-2} 1_R, a^2 1_I)$$

As groups, $\Xi \cong k^{*2} = \{a^2 : a \in k^*\}$. Since k is algebraically closed, $k^{*2} = k^*$. Using (c) of Proposition 4, we have $E_{ab}^* \cong k^* \oplus R^*$, hence $E_{ab}^*/\Xi \cong R^*$. Thus $G_1(R) \cong R^*$ by Theorem 3, since Υ is injective.

6.6 Reduced Hypersurface Singularities in Dimension Three

Our aim here is to prove (b) of Proposition 6. We recall (b). If S' = k[[x, y, u, v]], R' = S'/(f + uv)S', where $f = f_1 \cdots f_n$ with $f_i \in (x, y) \setminus (x, y)^2 \subseteq S = k[[x, y]]$ are such that then $G_1(R') \cong \mathbb{Z}^{\oplus (n-1)} \oplus (R'^* \oplus k[[w, z]]^{* \oplus (n-1)}) / \Xi$, with Ξ the subgroup from Theorem 3 and w, z variables over k.

Proof If $U_i = (u, f_1 \cdots f_i)$, then $L = U_1 \oplus \cdots \oplus U_n$ is a 2-cluster tilting object. Then by [13, Proposition 7.28], the 2-Auslander-Reiten matrix *T* is zero. By (e) of Proposition 4, Aut_{*R'*}(*L*)_{ab} $\cong R'^* \oplus (k[[w, z]])^{* \oplus (n-1)}$ (*w* and *z* variables over *k*), thus Theorem 3 yields

$$G_1(R') \cong \mathbb{Z}^{\oplus (n-1)} \oplus \left(R'^* \oplus k[[w, z]]^{* \oplus (n-1)} \right) / \Xi$$

Where Ξ is the subgroup of $R'^* \oplus k[[w, z]]^{* \oplus (n-1)}$ of Definition 12.

7 Discussion

It is of interest to note that in the calculations of $G_1(R)$ for R of positive dimension, either $G_1(R) \cong \overline{R}^*$ (\overline{R} is the integral closure of R in its total quotient ring), or $G_1(R)$ contains \overline{R}^* a direct summand. Our methods were ad hoc and tailored specifically to each singularity via the calculation of the group $\operatorname{Aut}_R(L)_{ab}$, so a deeper look into the relationship between $\Lambda = \operatorname{End}_R(L)^{\operatorname{op}}$ and \overline{R} could shed some light on the structure of $G_1(R)$ for hypersurface singularities.

In fact, the key to the relationship seems to be understanding the relationship between the derived categories of $\mathbf{mod} \operatorname{End}_R(L)^{\operatorname{op}}$ and $\mathbf{mod} \overline{R}$. Indeed, in [5], it is shown that if A and B

are Noetherian (not necessarily commutative) rings whose derived categories are equivalent as triangulated categories, then there is an isomorphism $G_i(A) \cong G_i(B)$ for $i \ge 0$. Of course, one should not expect an equivalence of the derived categories of $\mathbf{mod} \operatorname{End}_R(L)^{\operatorname{op}}$ and $\mathbf{mod} \overline{R}$ since our examples (see Proposition 4) indicate for positive-dimensional rings that $G_1(\operatorname{End}_R(L)^{\operatorname{op}}) \cong \operatorname{Aut}_R(L)_{\operatorname{ab}}$ only contains \overline{R}^* as a direct summand. Moreover, it may also be too much to ask that $G_1(\overline{R})$ is a direct summand of $G_1(\operatorname{End}_R(L)^{\operatorname{op}})$, as $G_1(\overline{R})$ is not always isomorphic to \overline{R}^* . However, if R is a reduced one-dimensional local Noetherian ring, then $\overline{R} = \overline{R/\mathfrak{p}_1} \times \cdots \times \overline{R/\mathfrak{p}_s}$, where the \mathfrak{p}_j are the minimal primes of R and each ring $\overline{R/\mathfrak{p}_j}$ is a semilocal principal ideal domain. In this situation

$$G_1(\overline{R}) \cong G_1(\overline{R/\mathfrak{p}_1}) \times \cdots \times G_1(\overline{R/\mathfrak{p}_s})$$

Now $\overline{R/p_j}$ is semilocal and has finite global dimension, hence if *R* is an algebra over a field *k* with char(*k*) \neq 2, then Quillen's Resolution Theorem [15, §Theorem 3], [18, Corollary 2.6 and Theorem 5.1], and [20, Theorem 2] show there are isomorphisms

$$G_1(\overline{R/\mathfrak{p}_j}) \cong K_1(\overline{R/\mathfrak{p}_j}) \cong K_1^C(\overline{R/\mathfrak{p}_j}) = (\overline{R/\mathfrak{p}_j})^*$$

Thus $G_1(\overline{R}) \cong \overline{R}^*$ in this case. Nevertheless, we conjecture that if R satisfies the hypotheses of Theorem 3 and has positive dimension, then $\operatorname{Aut}_R(L)_{ab}/\Xi \cong \overline{R}^*$ and hence $G_1(R)$ is isomorphic to the direct sum of the kernel of the *n*-Auslander-Reiten homomorphism and \overline{R}^* .

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