

Drop‑off Location Optimization in Hybrid CFRP/ GFRP Composite Tubes Using Design of Experiments and SunFlower Optimization Algorithm

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Abstract

This paper presents a novel optimization strategy using Design of Experiments and Sun-Flower optimization algorithm in order to achieve the better drop-of location in composites tubes used in applications to lower limb prosthesis. The main difculty in using dropofs is related to fnding an ideal location to the drop-ofs that provides higher structural performance. Furthermore, in a single structure there are a variety of possibilities for dropof location. The statistical approach combines 4 design variables related to drop-of location and 1 categorical variable, which is responsible for providing the type of employed fber in the tubular structure that can be hybrid manufactured with carbon (CFRP) and glass (GFRP**)** or not (only CFRP). Based on combinations between the design and categorical variables, numerical analyses using the Finite Element Method were carried out to provide the response variables with regard to structural behavior, such as failure index, nonlinear buckling load, mass and frst natural frequency. Two diferent types of experiments were executed in the design of experiments, the factorial design which identifed the signifcance and curvature of response variables. In the second experiment, the Response Surface Methodology revealed the main efects, the signifcance of design variables and their interactions considering only the response variables that showed signifcance. Finally, a multiobjective optimization strategy was elaborated to indicate the better drop-of location using the SunFlower algorithm.

Keywords Drop-off · Hybrid tube · Response surface · Buckling · Failure · SunFlower Optimization

Nomenclature

- *F* Strength parameters
- *σ1* Longitudinal normal stress
- *σ2* Transverse normal stress

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1 Introduction

Currently the use of composite material in prosthetic applications is on the rise due to the need to create structures with high performance and low weight, in addition to including advantageous mechanical properties. Scholz et al. [\[1](#page-27-0)] showed that composite materials provide excellent biocompatibility, being very convenient for the design of prostheses. In the literature, the composite material has been largely used in prosthetic applications [\[2–](#page-27-1)[5](#page-27-2)] generating a decreased mass and increasing the structural performance.

Studies estimate that there are seven million people worldwide sufering from lower limb amputations. One factor to consider is the long size of these bones compared to others, moreover, lower limbs are generally more unprotected to impacts [\[3](#page-27-3), [6](#page-27-4)]. For this reason, tubes for prostheses have been manufactured with the intention of replacing a body fragment aimed at ensuring the movement. This way, devices using composite material are required to increase strength, decrease cost and mass, as depicted in Fig. [1](#page-2-0).

An efficient alternative often used in the aerospace industry to further reduce the mass and consequently the cost with material is the dropping-of of plies along the length of the laminate, known as drop-off or blending $[8]$.

Fig. 1 Tube manufactured in epoxy/carbon composite material for transtibial prosthesis (Taken from [[7\]](#page-27-10))

A habitual composite structure with drop-off is divided into several panels (longitudinal direction) with stacked plies (transversal direction), beginning with thick panel and ending with thin panel, as shown in Fig. [2](#page-2-1). Furthermore, the laminate with drop-off presents taper section, where the plies dropped are located [[9\]](#page-27-6).

The dropping-off of the plies can cause the stresses concentration in the region near the drop-off and failures that directly affect the structural performance $[10, 11]$ $[10, 11]$ $[10, 11]$ $[10, 11]$. Meanwhile, depending on the drop-off location these unwanted situations can be contained or reduced. For a typical laminate with drop-ofs is possible to consider two main variables responsible for drop-off location, which is ply and panel position.

Shim [[12](#page-27-9)] mentions that the main difficulties encountered in works involving structures with ply drop-off are: i) the large number of possibilities for ply drop-off location in a single structure and *ii)* the infuence that the ply drop-of location has about the strength of the

Fig. 2 Nomenclature of a structure with 4 drop-ofs (Taken from 9)

laminate composite. It can be affirmed that the drop-off location is a key question in any analysis involving laminate structure.

The composite material with drop-off has been very useful in aeronautical applications [[13](#page-27-11)[–15\]](#page-27-12) and wind industry [[16](#page-27-13)–[18](#page-27-14)]. However, studies considering applications in hybrid tubes for lower limb prosthesis are limited and poorly explored. In addition, many stud-ies considered the behavior of structures with drop-off in relation to mass and failure [[19](#page-27-15), [20](#page-27-16)]. According to [\[10\]](#page-27-7), the literature on buckling and vibration analyses involving laminate structures with drop-off is limited, which may increase interest in the research.

That way, in this paper the drop-of location will be analyzed considering two continuous design variables related to panel position $(X_1 \text{ and } X_2)$ and two discrete design variables related to ply position $(Y_1$ and $Y_2)$. Furthermore, a categorical variable will determine the type of laminate fber, hybrid (CFRP/GFRP**)** or not (only CFRP). The laminate behavior with drop-ofs will be investigated in relation to static and dynamic conditions.

The optimization will be employed with the intention of determining a better drop-of location in a prosthetic tube aiming to create a meta-model that can assist in future projects involving structures with drop-of. The structural optimization will be carried out using Design of Experiments (DOE) with Factorial Design and Response Surface Methodology (RSM), and then applied the SunFlower Optimization algorithm (SFO). One of the great advantages of DOE is the limited number of experiments for analyses with several variables $[21, 22]$ $[21, 22]$ $[21, 22]$ $[21, 22]$. The DOE is very common in the studies with composite materials $[23-26]$ $[23-26]$ $[23-26]$, in the meantime, is scarce in the studies on the optimization of laminate with drop-ofs. Also, this method is the most commonly used for approximation concepts [\[26,](#page-28-0) [27\]](#page-28-1).

In this study, the DOE contributed to the creation of a meta-model, once you have a set of equations well-defned can successfully represent the real model. In addition, this approach can offer guidelines for the designers in relation to order of importance of the manufacture variables and better drop-offs location.

It is important to highlight that the most common approach for optimizing laminate with drop-of is to use evolutionary algorithms, such as the Genetic algorithm (GA) [\[28–](#page-28-2)[33](#page-28-3)]. Meanwhile, in this study, the SFO algorithm was chosen due to its relevance and also due to the fact it is a recent and new metaheuristic created between the years of 2019 and 2020 [\[34\]](#page-28-4). Initial studies were conducted considering diferent algorithms, such as Particle Swarm Optimization (PSO) [\[35–](#page-28-5)[37\]](#page-28-6), Ant Colony Optimization (ACO) [\[38–](#page-28-7)[40\]](#page-28-8), and GA [[41](#page-28-9)[–43\]](#page-28-10), and SFO demonstrated superior results.

2 Theoretical Background

2.1 Rules for Modeling of the Composite Structure with Drop‑offs

There are numerous rules for manufacture structures with drop-off arising from industrial knowledge. Some important rules are exposed in this paper and considered in the design of the composite tube for lower limb prosthesis, other rules can be found in [\[9](#page-27-6)].

- Symmetry: stacking sequence should be symmetric about the midplane;
- Balance: stacking sequence should be balanced, same number $+ \theta$ and $-\theta$ plies when θ is different to that of 0° and 90° ;
- Contiguity: it is not allowed more than two plies of the same orientation stacked together;
- 10% rule: it is mandatory in the minimum 10% of oriented plies with angles 0° , \pm 45° and 90°;
- Damtol: it is not permitted to have ply fibers oriented at 0° on the laminate's lower and upper surfaces;
- Covering: plies localized in lower and upper surfaces should not be dropped;
- Max-stopping: it is not accepted more than two plies stopped at the same addition of thickness;
- Maximum taper slope: the taper angle should not exceed 7^o.

These rules are intended to prevent unwanted failure, such as delamination and cracking, and high stress concentrations. In addition, these rules provide structural integrity and manufacturability of the structures.

2.2 Tsai‑Wu Failure Criterion

The Tsai-Wu failure criterion is able to analyze the failure mechanisms in anisotropic material, being considered very appropriate due to approximation with experimental data [\[44](#page-28-11)]. In this criterion, the knowledge about strength parameters and stresses it possible to establish whether the structure will fail or not. According to Tsai-Wu failure criterion if Eq. [\(1](#page-4-0)) is countered, the failure occurs [\[45](#page-28-12)]:

$$
F_{11}\sigma_1^2 + F_{22}\sigma_2^2 + F_{66}\sigma_{12}^2 + F_1\sigma_1 + F_2\sigma_2 + F_{12}\sigma_1\sigma_2 \le 1
$$
\n(1)

where F_{11} , F_{22} , F_{66} , F_1 , F_2 , F_{12} are the strength parameters and σ_1 , σ_2 , τ_{12} are the stresses (normal and shear).

Here, the failure index is used to reveal the tube performance related to failure in compression and torsion, but these axial and transverse efforts suffered by tube used in lower limb prostheses. Many scientifc works implemented Tsai-Wu failure criterion for analyze the fail-ure and avoid collapse of the structure with drop-off [[17,](#page-27-20) [27,](#page-28-1) [46](#page-28-13)[–50](#page-29-0)].

2.3 Linear and Nonlinear Buckling Model

2.3.1 Numerical Buckling Model

The linear buckling analysis is able to reveal the buckling load or bifurcation point for perfect structures where there are small deformations generating conservative results. For structures with large deformations it is recommended to use nonlinear analysis due the structures sufering geometric modifcations and consequently respond nonlinearly [\[51,](#page-29-1) [52](#page-29-2)]. Using the FEM, the linear buckling analysis is considered simpler and has low computational cost [\[51](#page-29-1)].

The linear buckling analysis is more useful for presuming the theoretical buckling load that will be used as convergence criterion in the nonlinear buckling analysis. In the FEM for determinate the linear buckling load is necessary to encompass the efect of the diferential stifness into the linear stifness matrix, mathematically, the Eigenvalue analysis is required, as shown in Eq. (2) (2) (2) [[51](#page-29-1)].

$$
([K] + \lambda [K_d]) \{ \phi \} \{ 0 \}
$$
 (2)

where *[K]* indicates the linear stiffness matrix, $[K_d]$ the differential stiffness matrix, the *ϕ* represents buckling mode shapes (eigenvector) and *λ* is eigenvalue of the system*.* The

eigenvalue can be considered the bifurcation point provided the value of the load applied in the structure is unitary.

The nonlinear buckling analysis is used to achieve realistic results, in which the critical load is set out by progressive increasing the load applied until the structure becomes unstable.

Considering an elastic system limited to a conservative loading, when the stability is lost, the linear stifness matrix *[K]* becomes singular and symmetrical. Then, the nonlinear buckling load can be found using Eq. [\(3](#page-5-0)):

$$
K_T(u)\Delta u - \Delta F(u) = \{0\}
$$
\n(3)

where K_T represents the tangent stiffness matrix, *(u)* is the increment step, Δu and ΔF are respectively the displacement and the load.

As the nonlinear buckling analysis considers the linear critical load as convergence criterion your value must always be less than linear buckling load. Then, a previous analysis about linear buckling is required to begin the nonlinear buckling analysis [\[51\]](#page-29-1).

2.4 Design of Experiments

The statistical study implemented in this paper encompasses strategies of experimentation, as factorial design and RSM, in which a data set is collected and examined in providing meaningful conclusions [[53](#page-29-3)].

The factorial design is responsible for establishing the main efects and their signifcance level. These efects are created by the change between the levels of variables that infuence in the response. Thereby, the interactions could be represented by a linear regression model, as shown in Eq. (4) (4) [\[53\]](#page-29-3):

$$
y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_{12} x_1 x_2 + e \tag{4}
$$

where *y* is the response variable of the issue under study, the β is a model constant, x_i and *x2* are the factors and *e* the random error term.

The factorial design allows distinguishing the importance between the variables that interfere in the response highlighting the relevant aspects and decreasing the amount of experiments using an approximation function of frst-order. If necessary, the variables that prove to have curvature could be refned using second-order model through of a RSM, as shown in the Eq. (5) :

$$
y = \beta_0 + \sum_{i=1}^{k} \beta_i x_i + \sum_{i=1}^{k} \beta_{ii} x_i^2 + \sum_{i < j} 0 \sum \beta_{ij} x_i x_j + e \tag{5}
$$

According to [\[54\]](#page-29-4), the second-order model represents very well problems in the response surface. The procedure developed in RSM uses a ftted surface which allows equivalent approximations of a real system. The inclusion of the quadratic terms, as can be seen from Eq. [\(5\)](#page-5-2), is considered the main diference in relation to factorial design and RSM. This term is responsible for level of the variables and optimization of responses [[53](#page-29-3)].

An analysis of variance (ANOVA) is used to reveal the signifcance level and curvature parameters that can be represented by the addition of an interaction term in a frst-order model, making it possible to determine the model ft of the system [\[53,](#page-29-3) [55\]](#page-29-5). The ANOVA analyzes the variables through a *p-value* that is defned as the probability of observing a given value of the test statistic. Traditionally, a *p-value* less than 0.05 represents that there

is a statistically signifcant diference between the means of the groups composed by the response and design variables. Now, if the *p-value* is not less than 0.05, it is possible to conclude that there is not sufficient evidence to affirm that there is a statistically significant diference between the means of the groups [\[56\]](#page-29-6).

The analysis of variance consists of equating the expected mean squares to their observed values and solving the variance components, where the quality of ft is determined through the coefficient of determination, indicated by R^2 , as shown in Eq. [\(6\)](#page-6-0) [\[53\]](#page-29-3):

$$
R^2 = \frac{SS_{model}}{SS_{total}}\tag{6}
$$

where SS_{model} is the sum of model squares and SS_{total} is the sum of total squares. The sum of squares revealed the measure of variation or deviation from the mean in relation to the model and total variation. The value of R^2 above 90% demonstrates that the model clarifies the variability of fitted data $[53, 56]$ $[53, 56]$ $[53, 56]$ $[53, 56]$.

3 Methodology

3.1 Finite Element Model Description for Composite Tubes with Drop‑offs

A typical hollow tubular laminate is modeled by stacked plies considering diferent orientations for the fiber angles, such as $[45^{\circ}/90^{\circ}/90^{\circ}/-45^{\circ}/0^{\circ}]$, indicated by [\[9\]](#page-27-6) that it satisfies the design rules. The tube with drop-ofs used in prosthesis is designed considering the dimensions of 0.30 m in height and diameter of 0.03 m [\[57](#page-29-7)]. The ply thickness varied according to the type of fber, in addition to the conventional material (carbon/epoxy) was considered a hybrid material (carbon/epoxy/glass). For the hybrid tube, in the outer plies is used carbon fber and in the inner plies the glass/epoxy material. While that for the non-hybrid tube is only employed carbon/epoxy material. The carbon/epoxy material properties were obtained from experimental tests, while the glass/epoxy composite material properties can be found in [[58](#page-29-8)], both composite material properties together with the failure parameters can be shown in Table [1.](#page-7-0)

All the numerical models used in this study were created in Ansys ® software on a personal computer with an Intel[®] 5 processor, 5 GB of memory, and a 1 TB hard drive and were evaluated through FEM using an element type shell with 8 nodes and 6 degrees of freedom in each node. The time spent for each static analysis involving the Tsai-Wu failure criterion was on average 1 min and 37 s, for modal analyses around of 3 min and 12 s, linear buckling analyses around of 3 min and 9 s, and nonlinear buckling was on average 11 min and 19 s. A mesh convergence study was done to evaluate the quality of the mesh, where the same simulations were carried out with a fner mesh and compare the results. The feasible optimal mesh was discretized each line in fragments considering 20 elements in each line of the structure, resulting in the total number of 8002 elements and 24,162 nodes. Furthermore, rigid bodies were created in the ends aiming to apply the boundary conditions. In one of the tube ends was applied a loading (compression or torsion), whereas the other was clamped without any kind of translation and rotation movement, as shown in Fig. [3](#page-7-1). In order to obtain reliable results, the applied load in compression was 4480 N, the equivalent to 450 kg, and torsion moment 7.1 N.m, both considering failure mechanisms. The loads were established by [[57](#page-29-7)], and this is the Standard responsible for structural tests in lower limb prosthesis.

Table 1 Properties of composite materials considering failure criterion

In this manner, in accordance with the diferent combinations for drop-ofs location generated on the DOE, numerical simulations were carried out and structural performance was obtained, then the response variables were identifed. In the numerical approaches using the FEM were considered modal, eigen buckling and structural analyses for obtaining response variables in relation to natural frequency, buckling load, mass and failure index, respectively.

Fig. 3 Tubular geometry for prosthesis: **a** thickness of plies; **b** Rigid body in the free end for applied loading and **c** Rigid body in the clamped-end

Firstly, the simulation was carried out with tube without drop-ofs e posteriorly a tube with drop-ofs, being the drop-of located in any region along the length of the tube. The main purpose in this analysis is proving the reduction in the structural mass when the drop-of is inserted into laminate considering the hybrid and non-hybrid structure. The hybrid tube with drop-ofs presented a decreasing in the mass of 70% in relation to hybrid tube without drop-ofs, already the non-hybrid tube with drop-ofs allowed to decrease the mass in 80% due the carbon fber is lighter than glass fber. In view of this, the drop-of in tubular geometry can provide a considerable reduction in structural mass.

Another important response variable is the failure index obtained with Tsai-Wu failure criterion. Here, the failure is evaluated in two conditions, torsion and compression, considering that these situations will likely be suffered by prosthesis. Due to the fact of the failure in compression to be more common and often, the same is illustrated in Figs. [4](#page-9-0) and [5](#page-10-0) considering non-hybrid and hybrid tubes.

The tube with drop-ofs proved to be reliable with all failure index values smaller than 1. In most cases, the region close to the drop-of on the tubes demonstrated a slight increase in the intensity of the stress associated with failure, as can be seen in Figs. [4](#page-9-0) and [5](#page-10-0). This is due to the fact that the drop-of region stimulates stress concentrations [\[9\]](#page-27-6). The increase in the intensity of stress can be noted by an amendment in the color scale in each tubular structure, as can be seen in Figs. [4](#page-9-0) and [5](#page-10-0). For non-hybrid tapered tubes, the failure index values suffered an increase in the region close to the second drop-off in cases (c) , (d) , (g) and (h) and in the region close to both drop-offs in cases (a), (b), (e), (f), (i), (j), (m) and (n) . For the cases (k) , (l) , (o) and (p) , the use of drop-off in the non-hybrid tubular structures did not cause changes in failure index values. The intensity of stress remained stable, as can be confrmed in Fig. [4.](#page-9-0) A reason for this can be justifed due to the tubular structure being manufactured only with carbon fabric, considered the strongest material. Furthermore, the most continuous plies, which were not dropped, had their fbers oriented at 90º in the same direction as the load, generating an increase in the laminate strength. However, when these plies oriented at 90° are dropped, as can be seen in cases (a), (b), (e) and (f) in Figs. [4](#page-9-0) and [5,](#page-10-0) the failure index values have a signifcant increase in the posterior region to the second drop-of, and therefore the color scale of these structures is changed, generating higher failure index values.

The panel and ply design variables are represented by X and Y, respectively. Where X_1 and X_2 correspond to the first and second panel in which the drop-off is located, while Y_1 and Y_2 the first and second ply dropped. The values for the failure index considering a hybrid tube with drop-ofs can be assessed afterwards in Table [3](#page-12-0) on the second column that presents the failure in compression.

Again, the insertion of the drop-off in hybrid tubular structures caused changes in the intensity of stress in a manner similar to the non-hybrid tubular structures, except for the cases (k) , (l) , (o) and (p) , where the failure index values had a smooth increase in the region close to the second drop-of. This fact is expected due to the second ply dropped being manufactured with carbon fber, which provides a reduction in the structural strength. It is worth remembering that the hybrid structures have only two plies manufactured in carbon fber (external plies), while the non-hybrid structures are fully manufactured in carbon fber.

Once the failure index has proven to be so useful for determining the reliability of a structure composite with drop-ofs, it is important to investigate other unwanted situations that can occur in a thin laminate that can infuence the structural performance, such as buckling phenomenon.

Fig. 4 Non-hybrid tubes considering failure in compression for drop-offs located in **a** $X_1 = 0.06$, $Y_1 = 2$, X_2 $= 0.18$ e Y₂ = 3, **b** X₁ = 0.12, Y₁ = 2, X₂ = 0.18 e Y₂ = 3, **c** X₁ = 0.06, Y₁ = 4, X₂ = 0.18 e Y₂ = 3, **d** X₁ = 0.12, Y₁ = 4, X₂ = 0.18 e Y₂ = 3, e X₁ = 0.06, Y₁ = 2, X₂ = 0.24 e Y₂ = 3, **f** X₁ = 0.12, Y₁ = 2, X₂ = 0.24 e Y₂ = 3, **g** X₁ = 0.06, Y₁ = 4, X₂ = 0.24 e Y₂ = 3, **h** X₁ = 0.12, Y₁ = 4, X₂ = 0.24 e Y₂ = 3, **i** X₁ = 0.06, $Y_1 = 2$, $X_2 = 0.18$ e $Y_2 = 5$, **j** $X_1 = 0.12$, $Y_1 = 2$, $X_2 = 0.18$ e $Y_2 = 5$, **k** $X_1 = 0.06$, $Y_1 = 4$, $X_2 = 0.18$ e Y_2 $= 5$, **l** X₁ = 0.12, Y₁ = 4, X₂ = 0.18 e Y₂ = 5, **m** X₁ = 0.06, Y₁ = 2, X₂ = 0.24 e Y₂ = 5, **n** X₁ = 0.12, Y₁ 2, $X_2 = 0.24$ e $Y_2 = 5$, **o** $X_1 = 0.06$, $Y_1 = 4$, $X_2 = 0.24$ e $Y_2 = 5$, **p** $X_1 = 0.12$, $Y_1 = 4$, $X_2 = 0.24$ e $Y_2 = 5$ e **q** $X_1 = 0.09$, $Y_1 = 3$, $X_2 = 0.21$ e $Y_2 = 4$

For hybrid tubes with drop-ofs the maximum critical load found in linear buckling analysis realized in this study was around 31kN and for non-hybrid tubes with drop-ofs was around 22kN, being these loads much greater than the load applied of 4480 N. In order to achieve accurate results in relation to maximum buckling load, nonlinear analyses were generated considering the linear buckling load as criterion for stopping interactions. The nonlinear analyses revealed low buckling loads than the calculated in linear buckling,

Fig. 5 hybrid tubes considering failure in compression for drop-offs located in **a** $X_1 = 0.06$, $Y_1 = 2$, $X_2 =$ 0.18 e Y₂ = 3, **b** X₁ = 0.12, Y₁ = 2, X₂ = 0.18 e Y₂ = 3, **c** X₁ = 0.06, Y₁ = 4, X₂ = 0.18 e Y₂ = 3, **d** X₁ = 0.12, $Y_1 = 4$, $X_2 = 0.18$ e $Y_2 = 3$, **e** $X_1 = 0.06$, $Y_1 = 2$, $X_2 = 0.24$ e $Y_2 = 3$, **f** $X_1 = 0.12$, $Y_1 = 2$, $X_2 = 0.24$ e Y₂ = 3, **g** X₁ = 0.06, Y₁ = 4, X₂ = 0.24 e Y₂ = 3, **h** X₁ = 0.12, Y₁ = 4, X₂ = 0.24 e Y₂ = 3, **i** X₁ = 0.06, $Y_1 = 2$, $X_2 = 0.18$ e $Y_2 = 5$, **j** $X_1 = 0.12$, $Y_1 = 2$, $X_2 = 0.18$ e $Y_2 = 5$, **k** $X_1 = 0.06$, $Y_1 = 4$, $X_2 = 0.18$ e Y_2 $= 5$, **l** X₁ = 0.12, Y₁ = 4, X₂ = 0.18 e Y₂ = 5, **m** X₁ = 0.06, Y₁ = 2, X₂ = 0.24 e Y₂ = 5, **n** X₁ = 0.12, Y₁ = 2, $X_2 = 0.24$ e $Y_2 = 5$, **o** $X_1 = 0.06$, $Y_1 = 4$, $X_2 = 0.24$ e $Y_2 = 5$, **p** $X_1 = 0.12$, $Y_1 = 4$, $X_2 = 0.24$ e $Y_2 = 5$ e **q** $X_1 = 0.09$, $Y_1 = 3$, $X_2 = 0.21$ e $Y_2 = 4$

nevertheless, none of both were less than the compression load applied. Considering the nonlinear buckling analysis for a hybrid tubes with drop-ofs the maximum buckling load achieved was 22.203kN. Then, to maintain stability of the hybrid tube with drop-ofs is necessary to apply load less than nonlinear buckling load, higher loading than this, beginning the process buckling in the structure, as can been seen in Fig. [6.](#page-11-0)

Fig. 6 Nonlinear analysis for hybrid tube with drop-ofs considering the maximum buckling load

However, for a hybrid tubular structure with drop-ofs used in lower limb prostheses the maximum buckling load representing almost fve times the load applied. In the case of non-hybrid tubes, the maximum buckling load found is 16.173kN, as depicted in Fig. [7,](#page-11-1) almost four times greater than the compression load applied in the tubular structure. Therefore, it is proved that the load applied in compression will not cause buckling in the tubular structures with drop-ofs and, additionally, a higher loading could be applied with security on the structures so that the same will not suffer buckling.

Indeed, a buckling load greater for hybrid tube compared to non-hybrid tube was expected due to the glass fber being thicker than carbon fber. Furthermore, the hybrid tubes are only composed of two carbon layers and the remainder is glass. In this way, the end area where the load is applied tends to be greater.

The results about all response variables obtained in numerical simulation using the FEM can be viewed in the next section in Tables $3, 5$ $3, 5$, and 6 that represent the structural response in the last fve columns.

Fig. 7 Nonlinear analysis for non-hybrid tube with drop-ofs considering the maximum buckling load

Design variables	Symbol	Levels			
		Low (-1)	Middle (0)	$High (+ 1)$	
First panel (longitudinal position)	X_{I}	0.06	0.09	0.12	
First ply (transversal position)	Υ,		3	4	
Second panel (longitudinal position)	X_{2}	0.18	0.21	0.24	
Second ply(transversal position)	Υ,	3	4		

Table 2 Design variables considering the respective levels

3.2 Factorial Design

The frst step of the statistical approach is based on factorial design considering 4 variables both with 2 levels, as depicted in Table [2](#page-12-1).

In the factorial design was considered only the hybrid tube due their unusual structure. Posteriorly in the RSM, the non-hybrid tube will be analyzed together with the hybrid tube. That way, the factorial design was created generating 17 diferent runs for hybrid tubes with drop-ofs with 5 response variables: Tsai-Wu failure criterion in relation to torsion and compression, 1st natural frequency, mass and buckling load, as can be seen in Table [3.](#page-12-0) The last run represents the center point where the component proportions are the averages of the vertex proportions in the design space.

	Design variables				Structural Responses					
	X_I	Y_I	X_2	Y_2	TW_t	TW_C	ωn (Hz)	<i>Mass</i> (kg)	$\lambda(N)$	
1	0.06	$\overline{2}$	0.18	3	0.04507	0.03074	145.69	0.1859	9363.86	
\overline{c}	0.12	\overline{c}	0.18	3	0.04507	0.03075	140.64	0.1982	9505.68	
3	0.06	$\overline{4}$	0.18	3	0.09146	0.01860	186.13	0.1859	15038.80	
$\overline{4}$	0.12	$\overline{4}$	0.18	3	0.09146	0.01860	179.68	0.1982	15180.60	
5	0.06	$\overline{2}$	0.24	3	0.04507	0.03074	159.54	0.1982	11776.10	
6	0.12	$\overline{2}$	0.24	3	0.04507	0.03075	154.07	0.2104	11988.50	
$\overline{7}$	0.06	$\overline{4}$	0.24	3	0.09146	0.01860	198.74	0.1982	17734.40	
8	0.12	$\overline{4}$	0.24	3	0.09146	0.01860	191.92	0.2104	17947.20	
9	0.06	$\overline{2}$	0.18	5	0.04532	0.01867	193.04	0.1859	15393.60	
10	0.12	$\overline{2}$	0.18	5	0.04532	0.01862	186.72	0.1982	15819.10	
11	0.06	$\overline{4}$	0.18	5	0.10543	0.01155	224.91	0.1859	21068.40	
12	0.12	$\overline{4}$	0.18	5	0.10543	0.01155	217.36	0.1982	21352.20	
13	0.06	$\overline{2}$	0.24	5	0.04532	0.01862	197.80	0.1982	16386.70	
14	0.12	$\overline{2}$	0.24	5	0.04532	0.01862	191.38	0.2104	16812.20	
15	0.06	4	0.24	5	0.10546	0.01155	228.55	0.1982	21848.70	
16	0.12	$\overline{4}$	0.24	5	0.10546	0.01155	220.94	0.2104	22203.40	
17	0.09	3	0.21	4	0.10581	0.01805	221.11	0.1737	17379.70	

Table 3 Experimental matrix for factorial design of the hybrid tubes with drop-ofs

 TW_t and TW_c represent the Tsai-Wu failure criterion or failure index in torsion and compression, respectively, *ωn* is 1st natural frequency and *λ* the nonlinear buckling load

The factorial design enables to investigate which design variables is relevant in the tube behavior in relation to the response variables, besides to identifying the curvature for the development of the RSM that will be discussed in the next section.

4 Results and Discussion

The results obtained by ANOVA model were examined by the coefficient of determination represented by R^2 and their derivations, such as adjusted coefficient of determination (R^2_{adj}) that reflects the number of predictors in the regression model and predicted coefficient of determination $(R^2_{(pred)})$ responsible by indicate how well the regression model predicts response considering new data. In this context, Table [4](#page-13-0) presents the R^2 results for each response variable considering the hybrid tube.

It can be inferred, that the Tsai-Wu failure criterion in compression and buckling load responses ensure an excellent quality of ft indicating how well the model fts the data for the hybrid tube with drop-offs. The mass response showed low fit with R^2 less than 70%, one explanation is that the mass values often were the same or changed very little in relation to drop-of location. In relation to curvature, all response variables have achieved curvature less than 0.05 (*p-value*), enabling the refnement of these responses by quadratic model. According to [[53](#page-29-3)], the model terms are more realistic when *p-value* is less than 0.05, who demonstrated that there is a signifcant association between the design and response variables.

According to factorial design results, a RSM was created considering the two responses more signifcant, as Tsai-Wu failure criterion in compression and buckling load, aiming for a reduced number of runs. The mass response variable had a lower quality of ft with an R^2 of around 68%, being retired from the experimental design of the RSM and consequently, the optimization process. That way, a design matrix was created considering the same design variables used in factorial design, but a categorical variable denoted hybrid was taken together with axial points, generating a total of 60 runs, as depicted in Tables [5](#page-14-0) and [6.](#page-15-0)

Proceeding in a similar manner, the RSM realized an ANOVA aiming to confrm the quality of fts obtained by factorial design, depicted in Tables [7](#page-16-0) and [8](#page-17-0). While that, the relevance of variables can be seen in Fig. [8](#page-18-0) with Pareto charts considering the Tsai-Wu failure criterion and buckling load response variables.

Clearly, the Tsai-Wu failure criterion and buckling load represent high predictive capability, as long as the R^2 above 95%, as shown in the ANOVA results in Tables [7](#page-16-0) and [8](#page-17-0). The *p-value* generated by ANOVA for the Tsai-Wu failure criterion demonstrated that there exists a statistically significant relationship between the Y_1, X_2, Y_2 and hybrid design variables with this response variable. The X_2 design variable did not demonstrate any relation

Ξ

Ξ

Table 6 to analyze the responsively (part II)

to response variables, their *p-value* being above 0.005, as can be confrmed by Table [7](#page-16-0). While for the buckling load response variable, the Y_i , Y_2 and hybrid design variables had *p-value* less than 0.005, demonstrating the signifcance between design and response vari-ables, as depicted in Table [8.](#page-17-0) In this case, the $X₁$ and $X₂$ design variables demonstrated that there is no signifcance between the design and response variables. As can be observed for both response variables, the X_2 design variable related to the second drop-off position on the panel had a *p-value* above 0.005, demonstrating that this variable is not signifcant for the failure criterion and buckling load responses.

These important results show that the Y_1 , Y_2 , hybrid design variables and their interactions present a noticeable influence in the drop-off location, interfering directly in the all response variables. The X_2 variable and their interactions with the Y_2 variable demonstrated relevance for the buckling load response variable with *p-value* lower than 0.05. Therefore, it can be stated that the statistically relevant variables in relation to the drop-ofs were ply position and hybrid, as depicted in Pareto charts, being the drop-of location in panel with little relevance.

The following second-order model was formulated using the ANOVA based on the signifcant response variables distinguishing between hybrid and non-hybrid tube, as depicted in Eqs. ([7](#page-15-1)) to ([10](#page-16-1)).

$$
TW_C(HYBRID) = 0.1056 + 0.027 X_1 + 0.01920 Y_1 + 0.511 X_2 - 0.07661 Y_2 -0.151 X_1 * X_1 - 0.005342 Y_1 * Y_1 - 1.233 X_2 * X_2 + 0.008196 Y_2 * Y_2 + 0.00000 X_1 * Y_1 + 0.001 X_1 * X_2 - 0.00004 X_1 * Y_2 + 0.00005 Y_1 * X_2 + 0.002042 Y_1 * Y_2 - 0.00005 X_2 * Y_2
$$

(7)

(8) $TW_C = 0.1466 + 0.027$ X₁ + 0.01590 Y₁ + 0.518 X₂ – 0.08182 Y₂ -0.151 X₁ ∗ X₁ $-$ 0.005342 Y₁ ∗ Y₁ $-$ 1.233 X₂ ∗ X₂ + 0.008196 Y₂ * Y₂ + 0.00000 X₁ * Y₁ + 0.001 X₁ * X₂ $- 0.00004 X_1 * Y_2 + 0.00005 Y_1 * X_2 + 0.002042 Y_1 * Y_2$ -0.00005 X₂ * Y₂

$$
\lambda(HYBRID) = -23526 + 38393 X_1 - 12961 Y_1 - 40858 X_2 + 23840 Y_2 \n- 37658 X_1 * X_1 + 2677 Y_1 * Y_1 + 294383 X_2 * X_2 \n+ 2271 Y_2 * Y_2 - 369 X_1 * Y_1 + 17228 X_1 * X_2 \n+ 1552 X_1 * Y_2 + 517 Y_1 * X_2 + 69 Y_1 * Y_2 \n- 13819 X_2 * Y_2
$$
\n(9)

Source	DF	Adj SS	Adj MS	F-Value	P-Value
Model	20	1018016307	50900815	83.38	0.000
Blocks	1	11373780	11373780	18.63	0.000
Linear	5	927896939	185579388	304.00	0.000
X1	$\mathbf{1}$	438295	438295	0.72	0.402
YI	$\mathbf{1}$	256633992	256633992	420.39	0.000
X ₂	1	18015950	18015950	29.51	0.000
Y ₂	$\mathbf{1}$	242814670	242814670	397.75	0.000
Hybrid	1	409994033	409994033	671.61	0.000
Square	$\overline{4}$	50924733	12731183	20.85	0.000
$X1*X1$	$\mathbf{1}$	232269	232269	0.38	0.541
$YI*YI$	$\mathbf{1}$	36378416	36378416	59.59	0.000
$X2*X2$	$\mathbf{1}$	356379	356379	0.58	0.449
$Y2*Y2$	1	26184681	26184681	42.89	0.000
2-Way Interaction	10	9674352	967435	1.58	0.148
$XI*YI$	$\mathbf{1}$	3925	3925	0.01	0.937
$X1*X2$	$\mathbf{1}$	7693	7693	0.01	0.911
$XI*Y2$	1	69379	69379	0.11	0.738
X1*Hybrid	1	13932	13932	0.02	0.881
$Y1*X2$	1	7690	7690	0.01	0.911
$Y1*Y2$	1	151108	151108	0.25	0.622
Y1*Hybrid	1	1913205	1913205	3.13	0.084
$X2*Y2$	$\mathbf{1}$	5499528	5499528	9.01	0.005
X2*Hybrid	1	1600394	1600394	2.62	0.113
Y2*Hybrid	1	407497	407497	0.67	0.419
Error	39	23808208	610467		
Lack-of-Fit	31	23808208	768007	\ast	\ast
PureError	8	$\mathbf{0}$	$\boldsymbol{0}$		
$\operatorname{\mathsf{Total}}$	59	1041824515			
R^2	97.71%	$\boldsymbol{R}^2_{\ a d j}$	96.54%	$R^2_{(pred)}$	96.32%

Table 8 Results of the ANOVA for buckling load

$$
\lambda = -23450 + 37082 X_1 - 13422 Y_1 - 54915 X_2 + 23627 Y_2 \n- 237658 X_1 * X_1 + 2677 Y_1 * Y_1 + 294383 X_2 * X_2 \n+ 2271 Y_2 * Y_2 - 369 X_1 * Y_1 + 17228 X_1 * X_2 \n+ 1552 X_1 * Y_2 + 517 Y_1 * X_2 + 69 Y_1 * Y_2 \n- 13819 X_2 * Y_2
$$
\n(10)

Based on the equations of regression mentioned above, it has become possible to create a meta-model that determines the relationship between the responses and the combinations of design variable levels. Furthermore, the regression model allows creating quadratic surface plots that illustrate the relationship between the ftted response and two variables, as shown in Figs. [9](#page-19-0) and [10](#page-20-0).

The surface plots are very efficient for indicating the fitted responses considering the optimum region where is localized the minimum point for Tsai-Wu failure criterion and the maximum point for buckling load, as can be seen in Fig. [9](#page-19-0) for a non-hybrid tube and

Pareto Chart of the Standardized Effects (response is TW (compression); $\alpha = 0.05$)

Fig. 8 Pareto charts considering the efects: **a** Tsai-Wu failure criterion in compression and **b** nonlinear buckling load

(a) TW_C (fixed at $X_2=0.21$ *Y*₂=4) **(b)** TW_C hybrid (fixed at $X_2=0.21$ *Y*₂=4)

(c) TW_C (fixed at $X_1=0.09$ $Y_1=3$) **(d)** TW_C hybrid (fixed at $X_1=0.09$ $Y_1=3$)

Fig. 9 Surface plot of the Tsai-Wu failure criterion considering all the variables that infuence on the dropoff location

Fig. [10](#page-20-0) for a hybrid tube. It is possible to see that the behavior for failure criterion in the hybrid and non-hybrid tube is very similar in case (a) and (b), already for the cases (c) and (d) there is a small diference, as shown in Fig. [9.](#page-19-0) In relation to the buckling load, all the cases revealed a small diference, as depicted in Fig. [10.](#page-20-0) The cases (a) and (b) tend to be more parabolic than in other cases for failure criterion response, while for buckling load all cases tend to be a little linear, as can be seen in Fig. [10.](#page-20-0)

In order to provide solutions to several problems new evolutionary algorithms began to be built up from GA, such as PSO, ACO and currently the SFO. This new algorithm created by [\[34\]](#page-28-4) is based on the behavior of sunfowers in the search from the sun. The sunfower with best ftness is chosen as the sun that will provide orientation for the other sunfowers. Once sunfowers are oriented from the sun, they will reproduce and move toward the optimal point. This algorithm has already been used in many studies $[59–61]$ $[59–61]$ $[59–61]$ $[59–61]$.

The SFO considers main three biological operators: *i)* Pollination rate which defnes the percentage of the population who will pollinate, *ii)* survival rate corresponds to the sunfowers that move toward the sun and *iii)* mortality rate represents the percentage of sunflowers that no survive because they are further from the sun.

In order to satisfy the design requirements, a multiobjective optimization is developed using SFO algorithm aiming at the best drop-ofs location that maximize the buckling load and minimize the failure index. Firstly, once the meta-model was obtained

(c) λ (fixed at $X_1=0.09$ $Y_1=3$) **(d)** λ hybrid (fixed at $X_1=0.09$ $Y_1=3$)

Fig. 10 Surface plot of the nonlinear buckling load considering all the variables that infuence on the dropoff location

considering a composite tube with drop-ofs, could be formulated the constrained nonlinear optimization problem. The optimization problem is depicted in Eqs. [\(11](#page-20-1)) to [\(20](#page-20-2)).

$$
i) Minimize \, TW_C(\mathbf{x}) \tag{11}
$$

$$
ii) Maximize \lambda(\mathbf{x}) \tag{12}
$$

$$
iii) Minimize \, TW_C(\mathbf{x}) \, \& \, Maximize \, \lambda(\mathbf{x}) \tag{13}
$$

$$
Subject to: g(x): \mathbf{x}^T \mathbf{x} \le \alpha \tag{14}
$$

$$
0.06 \le x_1 \le 0.12 \tag{15}
$$

$$
2 \le x_2 \le 4 \tag{16}
$$

$$
0.18 \le x_3 \le 0.24 \tag{17}
$$

$$
3 \le x_4 \le 5 \tag{18}
$$

$$
x_5 = \{no, yes\} \tag{19}
$$

$$
\mathbf{x} = \{x_1, x_2, x_3, x_4, x_5\} \tag{20}
$$

where now the ply position is represented by x_2 and x_4 and hybrid categorical variable by $x₅$, meanwhile, the limits laid in the statistical approach are the same. The problem was tackled according to three diferent optimization backgrounds: *i)* Tsai-Wu failure index mono-objective optimization (Eq. ([11](#page-20-1))), *ii)* buckling load mono-objective optimization (Eq. ([12](#page-20-2))) and *iii)* both response multiobjective optimization (Eq. [\(13\)](#page-20-3)). In all optimization cases, a nonlinear constrained spherical equation is incorporated to ensure that the optimal always is in the viable region (Eq. (14)). The lateral limits are defined according to Eqs. ([15](#page-20-5)) to [\(19\)](#page-20-6). It is important to note that decision variables x_1 and x_3 are continuous, x_2 and x_4 are discrete and x_5 is categorical.

This way, we can optimize the better drop-ofs location using the SFO algorithm, being the main parameters used in this algorithm depicted in Table [9](#page-21-0).

The maximum number of iterations was defned as stopping criterion. The results of the multi-objective optimization were exposed using a Pareto front composed of nondominated solutions. In this study, there are two conficting cases (objectives), i.e., buckling load and TW_C , especially by considering the $5th$ design variable (hybrid categorical variable) which gives the information if the structure must be hybrid or not. In this sense, the optimization considered the responses alone in a mono-objective optimization and subsequently in a multi-objective optimization considering both responses at the same time, can be seen in Tables [10](#page-21-1) and [11.](#page-21-2)

Complementarily, Figs. [11](#page-22-0) and [12](#page-23-0) show the convergence curves for the two monoobjective cases studied. It is observed that the problem converged properly and the parameters used in the optimizer were adequate.

Case	Objective	Response	\mathbf{X}_1	\mathbf{x}_2	\mathbf{x}_3	\mathbf{x}_4	Xς	Predicted Response
	Minimize	TW_C	0.06	4	0.24	4.17	ves	0.016742
2	Maximize		0.10	4	0.24	4.49	ves	-22.6619 kN

Table 10 Mono-objective optimization

Fig. 11 Optimization converge results for the case I (buckling): objective function (**a**) and decision variables (**b**)-(**f**)

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Fig. 12 Optimization converge results for the case 2 (Tsai-Wu): objective function (**a**) and decision variables (**b**)-(**f**)

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The results obtained by mono-objective and multi-objective optimization demonstrated the better drop-off location, where in both the cases the dropping-off of 4 and 5 plies together with a hybrid tube have generated higher buckling load and less failure index, as can be seen in Tables [10](#page-21-1) and [11](#page-21-2). It is important to note that the hybrid variable $(x₅)$ has proven be very signifcant for the tube allowing most promising results.

The Pareto front considers that it is impossible to improve an objective without making the other worse. Then this method provides a set of optimal solutions where the better conditions for both objectives are met as far as possible. In other words, in a multi-objective optimization process, an objective must be attended to in a manner that the other objective is slightly impaired. The set of points belonging to the optimal solution found with SunFlower algorithm can be seen in the optimal Pareto front in Fig. [13](#page-24-0). A small range was obtained from the combination of fve design variables where one of these is categorical (composite hybrid or not). The physical characteristics of the presented problem contributed to this phenomenon. It could be concluded that the optimal point is tight.

To further analyze, the Pareto front determines a set of feasible points, which in this case, are represented by hybrid CFRP/GFRP tube. The knee point is considered as the point with greatest convexity that often is located on the middle of the curve and represents the best combination simultaneous between the responses of the objective function [[62](#page-29-11), [63](#page-29-12)]. The optimal solution can be found on the knee point due to convexity, as can be seen in Fig. [13.](#page-24-0) The points located at the ends of the curve correspond to the points known as Nadir that also symbolize optimal parameters considering the mono-optimization [[64](#page-29-13)]. The Nadir 1 point represents the optimal result aimed at minimizing TW, while the Nadir 2 point represents maximizing buckling loading. The mono and multi-objective optimization considering the better drop-off location is represented by Pareto front, whereas can be seen in Table [12.](#page-25-0)

For the Nadir and Knee points the best results were considered a hybrid tube, as depicted in Table [12,](#page-25-0) another fact important was the dropping-of of 5 ply for the second drop-of was considered as the most suitable, due to the 4 ply has already been dropped in x_1 . According to panel position, the first drop-off located in the beginning of the tube and second drop-of at the end provide better results related to buckling load and failure

Fig. 13 Optimal solution found using Pareto front considering the failure index and buckling load responses

index, as can be seen in Tables [10,](#page-21-1) [11,](#page-21-2) and [12,](#page-25-0) even though panel position does not interfere on the responses. The buckling load and failure index responses for the Nadir and knee points were advantageous provided that, have indicated a buckling load much higher than the load applied and a failure index lower than 1.

For this purpose, optimized response variables provide the better drop-of location considering the design and categorical variables. The dropping-of of 4 and 5 plies are more favorable when the aim is minimizing the TW_c and maximizing λ , individually. For buckling load and failure index are most convenient the drop-ofs located nearest to ends of the tube, drop-ofs located in the middle of the tube generate reduction in the buckling load. Figure [14](#page-25-1) highlights the optimal drop-of location considering the longitudinal position design variable for a hybrid tubular structure.

Hence, the results confrm that inserting drop-ofs on the tubular laminate provides drastic reduction on the structural mass and can provide benefts when the better location is achieved. Furthermore, the tube with drop-ofs proved to be adequate for the use in prostheses because of their high-level reliability in relation to failure index and buckling load.

5 Conclusions

In this study, the results related to better drop-off location in a tube used in prosthesis for lower limb were obtained by DOE using the factorial design, RSM and SFO with Pareto front. Firstly, combinations between design variables were elaborated in factorial design and analyzed using the FEM aiming to provide the responses in relation to failure index, buckling load, mass and 1st natural frequency. Additionally, a meta-model using the DOE was executed to determine the variables that have the most infuence on the drop-ofs location and, together with SFO, optimize these variables in search of the better drop-off location. The most important conclusions can be drawn:

- The use of a composite laminate with drop-offs afforded the reduction of mass, besides creating a unique format for a tubular geometry used in prosthesis.
- The R^2 greater than 90% for Tsai-Wu failure criterion and buckling load revealed great fts demonstrating how well the data were to the ftted model. That way, the meta-model created in this study allowed quick analyses with a reliability of 90%. In relation to mass, the R^2 presented value less than 70% noting that the model does not explain very well the variability of this data. A reason for this is because many times the mass was the same, independent of drop-ofs location on the tube. That way, the mass response variable was not considered in optimization process.
- For the variables that determine the drop-offs location, only the hybrid, Y_1 and Y_2 variables and their interactions had signifcant efects for both response variables. The X_2 variable and their interactions with variables mentioned above presented signifcance related to buckling load response. Hence, the ply variable becomes more relevant together with the hybrid variable. The $X₁$ and $X₂$ variables that represent the longitudinal position were seen as variables without statistical signifcance.
- The main effects of the variables demonstrated important parameters in the manufacturing of tubes with drop-ofs used in prostheses for lower limb, where higher buckling load and low failure index are achieved with the dropping-of of last plies and a hybrid tube.
- The optimization using SFO with Pareto front led to a simultaneous optimization of the Tsai-Wu failure criterion and buckling load responses generating adequate values for design variables, where the better drop-off location was found dropped the fourth and ffth plies on the longitudinal length between 0.10 m and 0.11 m.

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Data Availability The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

Declarations

Conflict of Interest The authors declare that they have no confict of interest.

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