



Effectiveness of intraoperative iodine in cataract surgery: cleanliness of the surgical field without preoperative topical antibiotics

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Abstract

Purpose To verify the possibility that preoperative topical antibiotics are not essential as long as iodine disinfection is performed during surgery.

Study design Crossover equivalence trial.

Patients and methods In 204 eyes of 102 patients who underwent routine bilateral cataract surgery, 1 eye was treated with intraoperative iodine, and the other, with preoperative topical antibiotics. For the intraoperative iodine eyes, 5 mL of 0.25% povidone-iodine was applied at 2 stages: (1) just after the placement of the speculum and (2) before intraocular lens (IOL) insertion. For the contralateral eyes, preoperative topical antibiotics were administered 3 days before surgery without intraoperative iodine. Conjunctival samples for culture were obtained at 3 time points: (a) presurgery, (b) beginning of surgery, and (c) postsurgery. Real-time polymerase chain reaction (PCR) samples were obtained at the beginning of surgery and before IOL insertion. Intracameral moxifloxacin was applied in all the cases.

Results The respective positive bacterial culture rates for intraoperative iodine eyes and preoperative topical antibiotics eyes were 95.1% and 98.0% at (a), 7.8% and 5.9% at (b), and 60.8% and 62.7% at (c). A significant difference in the positive bacterial culture rate was not found at any time point. For the intraoperative iodine eyes, the bacterial DNA copy number at (b) was significantly lower than that for the preoperative topical antibiotics eyes.

Conclusions The cleanliness of the operative field without using topical antibiotics was revealed to be equivalent to that of the conventional method (using preoperative antibiotics without intraoperative iodine) as long as intraoperative iodine was used.

Keywords Cataract surgery · Endophthalmitis · Intraoperative iodine · Povidone-iodine · Preoperative topical antibiotics

Introduction

Postoperative endophthalmitis is a devastating complication of cataract surgery; its causative bacteria are derived mainly from the patient's eyelids and conjunctiva. In Japan, clinical studies demonstrating that preoperative topical antibiotics significantly reduce bacterial positivity in the surgical field are widely recognized, and preoperative topical antibiotics

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are commonly used [1]. Conversely, in other countries, including Sweden, Canada, and Australia, preoperative topical antibiotics are not common [2]. Multiple instillations of antibiotics are expensive and complicated for patients [3]. There is also a risk that perioperative antibiotic eye drops induce drug-resistant bacteria [4]. It is beneficial for both patients and physicians if surgery is safely performed without topical antibiotics. Consequently, the concept of dropless cataract surgery, which involves performing surgery without use of instillations, was proposed [5]. In May 2015, the World Health Assembly debated the international response to antimicrobial resistance and issued a Global Action Plan to inspire medical professionals to enhance the awareness and understanding of antimicrobial resistance and to optimize the use of antimicrobials in humans and animals [6].

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Worldwide, it is common to use preoperative iodine for periocular disinfection in ophthalmic surgery [2, 7, 8]

In conventional cataract surgery, periocular skin disinfection using 5%–10% iodine, followed by 5% iodine instillations is performed before speculum placement. Recently, the concept of intraoperative iodine has been proposed [9, 10]. Iodine compound is inexpensive, has a strong bactericidal effect, and does not produce drug resistance [8]. In addition, iodine compound is effective in approximately 15 seconds and can be used intraoperatively, whereas antibiotics require more than 60 minutes to show their effect. If intraoperative iodine is used, there is a possibility of attaining an uncontaminated surgical field without preoperative topical antibiotics.

In this study, we sought to verify the possibility that preoperative topical antibiotics are not essential when iodine disinfection is performed during cataract surgery.

Patients and methods

Patient enrollment

This study was conducted at Nojima Hospital between December 2017 and February 2018. Patients without chronic conjunctivitis or blepharitis who were scheduled to undergo routine bilateral cataract surgery were enrolled (102 patients; 204 eyes). The first operated-on eye was assigned to the intraoperative iodine eye without preoperative topical antibiotics, and the contralateral eye, to the eye with preoperative topical antibiotics without intraoperative iodine disinfection. The interval between the surgeries was 3 days. Postoperative topical antibiotics were initiated on the morning of the day after surgery.

The procedures conformed to the tenets of the Declaration of Helsinki and were approved by the ethics review committee of Nojima Hospital. Informed consent was obtained from all participants before the surgical procedures, and possible complications were explained to them, including postoperative endophthalmitis. The study was registered on the International Clinical Trial Registry Platform (UMIN Clinical Trials Registry, UMIN000013348; http://www.umin.ac.jp/ ctr/index-j.htm).

Disinfection protocol

Preoperative disinfection with povidone-iodine (PVP-I) was performed on both eyes in all patients. The periocular skin was disinfected with 10% PVP-I, followed by two 5%

PVP-I instillations at 20-second intervals. The first eye of each patient was treated with intraoperative iodine, and the second eye, with preoperative topical antibiotics.

For the eye with intraoperative iodine, preoperative topical antibiotics were not prescribed. During the surgery, 5 mL of 0.25% PVP-I was applied to the conjunctiva and corneal surface at 2 stages: (1) just after the speculum placement and (2) just before the intraocular lens (IOL) insertion. In the first stage, the surgical field was irrigated twice with 0.25% PVP-I, with a 20-second interval immediately after the placement of the eye speculum and immediately before the initial sclerocorneal incision. The same irrigation procedure was repeated just before the insertion of the IOL.

For the eye with preoperative topical antibiotics, 1.5% levofloxacin (Santen) was administered 3 times a day for 3 days before the surgery and once on the morning of the surgery. In the operation room, iodine disinfection was performed before the placement of the speculum, but not after.

All the patients underwent conventional cataract surgery with phacoemulsification and implantation of an acrylic IOL through a sclerocorneal incision. In all cases, intracameral moxifloxacin with the flushing technique [11] was performed at the end of the surgery. Preoperative, intraoperative, and postoperative surgical field contaminations were compared between the eyes.

Sample collection and bacteriologic examinations

Conjunctival sac scrapings were collected after the conjunctiva was anesthetized with preservative-free oxybuprocaine hydrochloride 0.4% ophthalmic solution via insertion of sterile absorbent sponges (Medical Quick Absorber) moistened with sterile physiological saline into the fornix of the lower eyelid. Conjunctival samples for culture were obtained at the following time points: (a) presurgery, 1-2 weeks before the surgery; (b) beginning of surgery, after placement of the speculum before the first incision; and (c) postsurgery, 2 hours after the surgery. Polymerase chain reaction (PCR) samples were obtained at the beginning of the surgery, just after conjunctival scrapings were obtained for culture, and just before the intraoperative iodine irrigation before IOL insertion. Samples were obtained after iodine irrigation at the beginning of the surgery and before iodine irrigation at pre-IOL insertion (Fig. 1).

For bacterial culture, sodium thiosulfate (0.01%) was added to the samples to neutralize the germicidal activity of iodine, and the mixture was frozen. The samples were sent to the Research Institute for Microbial Diseases, Osaka University, for aerobic and anaerobic culture and drug susceptibility testing.

At the Osaka University laboratory, the samples were transferred to a thioglycollate medium and incubated at 35°C for 2 weeks to stimulate aerobic and anaerobic bacterial



Fig. 1 Study protocol. The individual steps in the perioperative infection prophylaxis regimen are illustrated. *Presurgery* 1–2 weeks before surgery, *Beginning of surgery* after placement of the speculum before

the first incision, *Pre-IOL insertion* before IOL insertion, *Postsurgery* 2 hours after the surgery, *PVI* povidone-iodine, *IOL* intraocular lens

growth. For the growth of anaerobic bacteria, the samples were transferred to Columbia agar enriched with 5% sheep blood and placed in an anaerobic bag at 37°C for 5 days and then incubated at 35°C for 2 days with 5% carbon dioxide. For real-time PCR, the samples were stored at -40°C immediately after the collection.

Broad-range real-time PCR of bacteria

DNA was extracted from the samples using a QIAamp DNA mini kit (Qiagen) [12]. The number of bacterial DNA copies was determined by real-time PCR targeting 16S ribosomal RNA, as previously described [13].

Statistical analysis

The study protocol was designed as an equivalence trial with positivity of bacterial culture [14]. The primary objective of this study was to examine whether bacterial culture positivity of cataract surgery with intraoperative iodine disinfection was equivalent to that of surgery with preoperative topical antibiotics. The lower and upper equivalence margins to determine the equivalence of surgery with or without preoperative topical antibiotics were set as 80% and 125%, respectively. To determine the required sample size, we used the intersubject coefficient of variation of culture positivity. On the basis of data from our previous study, the coefficient of variation was determined as 0.23 [10]. On the basis of a type I error of 5% and a type II error of 20%, the sample size was calculated as 92 using the FARTSSIE program (Free Analysis Research Tool for Sample Size Iterative Estimation, David Dubins, http://individual.utoronto.ca/ddubins/).

The primary outcome was compared using the Wilcoxon signed rank test, the paired *t* test, and mixed linear regression analysis, by means of Stata 15 software (StataCorp). Intention-to-treat analyses were conducted. Probability values below .05 were considered significant.

Results

The mean (SD) age of the patients was 74.4 (8.0) years, and 36 of the 102 patients were male. All surgeries were performed by a single surgeon (K.M.), and the operative time was \leq 15 minutes for each eye. No intraoperative or postoperative complications were observed.

Positive bacterial culture rates at (a) presurgery in the intraoperative iodine eyes and the preoperative topical antibiotics eyes were 95.1% and 98.0%, respectively. Positive bacterial culture rates in the intraoperative iodine eyes and preoperative topical antibiotics eyes were 7.8% and 5.9% at time point (b) (beginning of surgery) and 60.8% and 62.7% at time point (c) (postsurgery), respectively. Significant differences in the positive bacterial culture rate were not found at any time point (Fig. 2).

We examined whether any difference in culture positivity was found between the 2 conditions at the preoperative, intraoperative, and postoperative time points (Fig. 2). At all the time points, 2-sided 95% confidence intervals of the differences in culture positivity crossed the zero outcome difference. All confidence intervals were located within the equivalence range (Fig. 3). The average number of cultured bacterial species per eye was similar and not significantly different (Fig. 4). This indicates that the



Fig. 2 Positive bacterial culture rate: intraoperative iodine eyes vs preoperative topical antibiotics eyes. The percentages of positive cultures are shown at the different time points (presurgery: 1-2 weeks before the surgery, beginning of surgery: immediately after speculum placement, postsurgery: 2 hours after the surgery). Data are presented as means \pm standard error of the means



Fig. 3 Equivalence of positive bacterial culture rate between eyes with and without preoperative topical antibiotics. The shaded area indicates equivalence range (-0.20 to 0.25). The circle indicates observed estimate of culture positive rate difference between 2 arms. The error bar indicates 2-sided 95% confidence interval. At all the time points, the 95% confidence interval crossed the 0 outcome difference, and no significant difference in culture positive rates was observed. All confidence intervals were located within the predetermined equivalence range, and the culture positive rate was equivalent between the eyes. *Presurgery* 1–2 weeks before surgery, *Beginning of surgery* immediately after speculum placement, *Postsurgery* 2 hours after surgery

cleanliness of the surgical field without preoperative topical antibiotics did not differ significantly from that with topical antibiotics.

Before surgery (1–2 weeks before surgery), 17.4% of the bacteria were *Staphylococcus epidermidis*; 22.3%,



Fig. 4 Average number of cultured bacterial species per eye: intraoperative iodine eyes vs preoperative topical antibiotics eyes. The average numbers of bacterial species are shown at the different time points (*Presurgery* 1–2 weeks before surgery, *Beginning of surgery* immediately after speculum placement, *Postsurgery* 2 hours after surgery). Data are presented as means \pm standard error of the means

Propionibacterium acnes; 25.1%, *Corynebacterium* species; and 8.7%, *Streptococcus* species (Fig. 5).

At the beginning of surgery, 9 species of bacteria were found in 8 eyes of the intraoperative iodine patients, whereas 7 bacteria were found in 6 eyes of the preoperative topical antibiotic patients. In the intraoperative iodine eyes, 1 *S epidermidis*, 5 *P acnes*, 2 *Staphylococcus species*, and 1 *Streptococcus* species were identified. Two *S epidermidis* and 5 *P acnes* were identified in the preoperative topical antibiotic eyes (Table 1). Postsurgery, 20.3% of the bacteria were *S epidermidis*; 37.4%, *P. acnes*; 11.2%, *Corynebacterium* species; and 4.8%, *Streptococcus* species (Fig. 5).

Apparent differences were not observed between the groups. At any time point, *P acnes* was the most frequently detected bacterial species, followed by *S epidermidis* and *Corynebacterium* species (Table 1).

For eyes with intraoperative iodine, the number of 16S ribosomal RNAs at time point (a) (beginning of surgery) was 4.7 $\pm 0.2 \times 10^3$ copies, which was significantly lower than that for eyes with preoperative topical antibiotics ($5.4 \pm 0.2 \times 10^3$ copies; P = .000). This indicated that the intraoperative iodine irrigation resulted in a significant reduction in the amount of bacteria at the early stage of surgery. Apparent recontamination was not observed at pre-IOL insertion in either eye (Fig. 6).



Fig. 5 Distribution of bacteria cultured 1 to 2 weeks before surgery and 2 hours after surgery

Table 1 Distribution of bacteria

	Intraoperative iodine group $(n = 102)$			Preoperative topical antibiotics group (n = 102)		
	Presurgery	Beginning of surgery	Postsurgery	Presurgery	Beginning of surgery	Postsurgery
Staphylococcus epidermidis	35	1	17	47	2	21
Staphylococcus aureus (MRSA)	3 (0)	0 (0)	6 (1)	9 (2)	0 (0)	3 (0)
Streptococcus species	21	1	2	20	0	1
Propionibacterium acnes	55	5	34	50	5	44
Enterococcus faecalis	11	0	1	15	0	0
Other gram-positive bacteria (CNS)	37 (16)	2 (2)	18 (9)	37 (15)	0 (0)	17 (9)
Corynebacterium species	58	0	12	60	0	9
Gram-negative bacteria	6	0	2	6	0	0
Number of eyes with positive culture (total number of bacteria cultured)	97 (226)	8 (9)	62 (92)	100 (244)	6 (7)	64 (95)

CNS coagulase-negative staphylococcus, MRSA methicillin-resistant Staphylococcus aureus

Discussion

In this study, the cleanliness of the operative field without using preoperative topical antibiotics was revealed to be equivalent to that of the conventional method (using preoperative topical antibiotics without intraoperative iodine) as long as intraoperative iodine disinfection was performed. In other words, it was shown that preoperative topical antibiotics are not essential if intraoperative iodine is used. A consensus for using iodine compound before surgery has been universally obtained [2, 8]; however, even if a fair amount of cleanliness is obtained at the beginning of surgery before speculum placement, the possibility of recontamination during surgery cannot be denied. Shimada and colleagues introduced the effectiveness of continuously



Fig. 6 Average number of 16S ribosomal RNAs of bacteria: intraoperative iodine eyes vs preoperative topical antibiotics eyes. DNA copy numbers are shown at the different time points intraoperatively (*Beginning of surgery* immediately after speculum placement, *Pre-IOL insertion* just before the second intraoperative iodine irrigation before IOL insertion). The asterisk indicates a significant difference between the eyes. Data are presented as means \pm standard error of the means

applying diluted iodine compounds during surgery. Frequent use of iodine compounds, however, is associated with corneal epithelial damage [15].

Therefore, we previously examined the intraoperative use of iodine compounds by limiting it to 2 uses (the twiceiodine method). [10] After speculum placement, the first intraoperative disinfection was performed by iodine irrigation before the first incision was made. Contamination of the operative field was more reduced in the intraoperative iodine eyes than in the noniodine eyes. The second intraoperative iodine irrigation was conducted immediately before IOL insertion because the timing of IOL insertion is most likely to lead to intraocular contamination. Following the intraoperative application of iodine compound, the bacterial culture was negative at preincision and at pre-IOL insertion. The DNA copy number after speculum placement (preincision) was lower in the intraoperative iodine eyes [10]. Intraoperative iodine disinfection in the present study was performed using this twice-iodine method. To evaluate the recontamination during surgery, the sampling for PCR at pre-IOL insertion was conducted before iodine irrigation. The cleanliness was equivalent in both eyes. For the intraoperative iodine eyes, additional iodine irrigation was conducted.

In intravitreal injection, the risk of infection is considered to be higher when perioperative antimicrobial instillation is conducted because antibiotic resistance occurs [16, 17].

Before intravitreal injection, Moss and colleagues also performed iodine disinfection following the placement of the speculum or draping. In their report, comparisons were made with and without topical antibiotics before surgery. The positive culture rate dramatically decreased in both groups after intraoperative iodine was performed, and no significant difference was found between the groups [18].

In the American Academy of Ophthalmology's protocol for intravitreous injection, it is noted that preoperative topical antibiotics are not routinely used and that iodine disinfection is recommended after speculum placement [19].

For intravitreal injection, a 30-G needle is commonly used, and the injection site is covered by the conjunctiva. Thus, the cleanliness of the surgical field is necessary only at the moment of penetration of the injection needles. For cataract surgery, however, instruments are inserted into the eye during various procedures throughout the surgery. The timing of pre-IOL insertion is especially critical because the IOL is left in the eye. In other words, even if the surgical field is clean at the beginning of surgery, recontamination is inevitable, which may result in the contamination of the anterior chamber or vitreous. The findings of this study suggest that intraoperative iodine can achieve cleanliness of the surgical field throughout surgery without use of preoperative topical antibiotics.

In cataract surgery, bacteria may invade the eye postoperatively when insufficient self-sealing of the wound occurs. For the purpose of comparing the risk of infection in the early postoperative period, we also compared cultures 2 hours after surgery but found no difference between the eyes. This means that intraoperative iodine can replace the role of preoperative antibiotics even after the early postoperative period. Moreover, long-term use of antimicrobial drugs may induce drug-resistant bacteria. In contrast, when using intraoperative iodine, resistance is less likely to occur. Furthermore, it is also attractive that iodine can be intraoperatively applied by the surgeon him/herself during surgery. In this study, over 60% of the surgical fields were contaminated at 2 hours after surgery. There have been reports of over 20% of surgical fields being contaminated immediately after surgery with removal of the eye speculum despite 3-day preoperative antibiotic instillation [1]. It means that recontamination occurs immediately after surgery even if a fair degree of cleanliness was achieved during the surgery.

To overcome this problem, it is advisable to perform proper wound closure, ensure the wounds is self-sealed, and finish the surgery. Initiating the postoperative topical antibiotics immediately after surgery may be considered. However, it may be risky for patients to instill themselves during the initial period immediately after surgery. The most frequent cause of endophthalmitis is *S epidermidis*, which is associated with the problem of resistance to antibiotics worldwide [20, 21]. Levofloxacin is the antibacterial agent most frequently used as a perioperative topical antibiotic in Japan; however, the concentration of levofloxacin in the anterior chamber after repeated administration is approximately 1 μ g/mL [22, 23]. Thus, the effect of postoperative topical antibiotics on the bacteria introduced into the eye is limited. Therefore, the concept of intraoperative iodine or intracameral antibiotics becomes important. Iodine compound does not have resistant bacteria, and intracameral antibiotics can achieve sufficient intraocular concentration of antibiotics [24]. With intracameral antibiotics, it is not necessary to start antibiotic instillation on the day of surgery because a considerable concentration of antibiotic is supposed to remain in the anterior chamber several hours after surgery.

There are a few limitations to this study. Because the use of iodine compound has not been standardized, there are a myriad of combinations, such as the timing, concentration, and number of uses [8]. Although the twice-iodine method [10] was adopted in the current study, the result may be different if the usage of iodine varies. In addition, a large cohort study is necessary to prospectively confirm whether intraoperative iodine without preoperative topical antibiotics is beneficial in reducing the incidence of endophthalmitis. From the viewpoint of practicality, intraoperative iodine is not affected by patient compliance and is reliably performed by the surgeon during surgery. Although it is necessary to examine the optimal concentration, timing, and number of administrations of intraoperative iodine during intraocular surgery, the findings of this study suggest that preoperative topical antibiotics are not essential as long as intraoperative iodine is used.

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